# Functional connectivity of the amygdala subregions and the antidepressant effects of repeated ketamine infusions in major depressive disorder

Haiyan Liu<sup>1, 2, 3</sup>, Chengyu Wang<sup>1, 2, 3</sup>, Xiaofeng Lan<sup>1, 2, 3</sup>, Weicheng Li<sup>1, 2, 3, 4</sup>, Fan Zhang<sup>1, 2, 3, 4</sup>, Zhibo Hu<sup>1, 2, 3</sup>, Yanxiang Ye<sup>1, 2, 3</sup>, Yuping Ning<sup>1, 2, 3, 4</sup>, Yanling Zhou<sup>1, 2, 3</sup>

<sup>1</sup> Department of Child and Adolescent Psychiatry, Affiliated Brain Hospital of Guangzhou Medical University, Guangzhou, China

<sup>2</sup> Key Laboratory of Neurogenetics and Channelopathies of Guangdong Province and the Ministry of Education of China, The Second Affiliated Hospital, Guangzhou Medical University, Guangzhou, China

<sup>3</sup> Guangdong Engineering Technology Research Center for Translational Medicine of Mental Disorders, Guangzhou, China

<sup>4</sup> Department of Psychology, The First School of Clinical Medicine, Southern Medical University, Guangzhou, China

This peer-reviewed article has been accepted for publication but not yet copyedited or typeset, and so may be subject to change during the production process. The article is considered published and may be cited using its DOI.

This is an Open Access article, distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivatives licence (http://creativecommons.org/licenses/by-nc-nd/4.0/), which permits non-commercial re-use, distribution, and reproduction in any medium, provided the original work is unaltered and is properly cited. The written permission of Cambridge University Press must be obtained for commercial re-use or in order to create a derivative work. Corresponding author: Yuping Ning, MD, PhD, The Affiliated Brain Hospital of Guangzhou Medical University (Guangzhou Huiai Hospital), Guangzhou, China Email: <u>ningjeny@126.com</u> Address: Mingxin Road #36, Liwan District, Guangzhou, China 510370 Tel.: 86-20-81682902; Fax: 86-20-81891391

Corresponding author: Yanling Zhou, Ph.D., The Affiliated Brain Hospital of Guangzhou Medical University (Guangzhou Huiai Hospital), Guangzhou, China Email: <u>zhouylivy@aliyun.com</u> Address: Mingxin Road #36, Liwan District, Guangzhou, China 510370 Tel.: 86-20-81682902; Fax: 86-20-81891391

### Abstract

**Background** Amygdala subregion-based network dysfunction has been determined to be centrally implicated in major depressive disorder (MDD). Little is known about whether ketamine modulates amygdala subarea-related networks. We aimed to investigate the relationships between changes in the resting-state functional connectivity (RSFC) of amygdala subregions and ketamine treatment and to identify important neuroimaging predictors of treatment outcomes.

**Methods:** Thirty-nine MDD patients received six doses of ketamine (0.5 mg/kg). Depressive symptoms were assessed, and magnetic resonance imaging (MRI) scans were performed before and after treatment. Forty-five healthy controls underwent one MRI scan. Seed-to-voxel RSFC analyses were performed on the amygdala subregions, including the centromedial (CMA), laterobasal (LBA) and superficial (SFA) amygdala subregions.

**Results:** Abnormal RSFC between the left LBA and the left precuneus in MDD patients is related to the therapeutic efficacy of ketamine. There were significant differences in changes in bilateral CMA RSFC with the left orbital part superior frontal gyrus (ORBsup) and in changes in the left LBA with the right middle frontal gyrus (MFG) between responders and nonresponders following ketamine treatment. Moreover, there was a difference in the RSFC of left LBA and the right superior temporal gyrus/middle temporal gyrus (STG/MTG) between responders and nonresponders and nonresponders at baseline, which could predict the antidepressant effect of ketamine on Day 13 (area under the curve = 0.918, p<0.001).

**Conclusions:** The mechanism by which ketamine improves depressive symptoms may be related to its regulation of RSFC in the amygdala subregion. The RSFC between the left LBA and right STG/MTG may predict the response to the antidepressant effect of ketamine. **Keywords:** major depressive disorder; ketamine; amygdala subregion; functional connectivity, antidepressant.

### 1. Introduction

Major depressive disorder (MDD) is a common mental disorder characterized by a high incidence, high recurrence rate and high disability rate<sup>[1,2]</sup>. However, the effect of evidence-based treatment is not ideal at present<sup>[3]</sup>. Ketamine, an N-methyl-D-aspartic acid (NMDA) receptor antagonist, has attracted much attention because of its rapid antidepressant effect. Ketamine has been shown to be effective in treating adult patients with resistant depression. Several studies have suggested that repeated intravenous infusions of ketamine over 2-4 weeks may be more effective and may last longer than a single dose<sup>[4,5]</sup>. However, due to the heterogeneity of ketamine treatment, nearly 40% of MDD patients do not respond to repeated infusions of ketamine, underscoring the need to find the best neural predictor of symptom improvement.

With the development of brain imaging technology, some studies have explored the biological indicators that can predict the treatment response of MDD patients from the perspective of resting-state functional connectivity (RSFC). The main feature of MDD is emotional processing dysfunction. Many studies have shown that the functional network of the amygdala, which is the center of emotional processing, is abnormal<sup>[6,7]</sup>. Different antidepressant treatments have been found to be related to the regulation of the functional network of the amygdala<sup>[8,9]</sup>. Our previous study confirmed that ketamine can change the functional coupling of the amygdala: the RSFC between the left amygdala and the left medial superior frontal gyrus of MDD patients increased significantly after six ketamine infusions, and the baseline RSFC between the amygdala and right putamen could predict the antidepressant effects of ketamine<sup>[10]</sup>. However, these studies regard the amygdala as a homogeneous whole, ignoring its heterogeneity.

The amygdala is a nuclear complex with different structures and functions. According to the characteristics of cell structure, the amygdala can be divided into three functional subregions: the laterobasal amygdala (LBA) receives information from the cortex and subcortex<sup>[11,12]</sup>, the centromedial amygdala (CMA) integrates information from other

subregions of the amygdala and outputs the information into other brain regions, such as the brainstem and striatum<sup>[12-14]</sup>, and the superficial amygdala (SFA) is responsible for social information processing<sup>[12,15]</sup>. Some studies have shown that the RSFCs of the amygdala subregions in MDD patients are abnormal<sup>[16,17]</sup>. Studies have reported that the intensity of RSFC between the CMA and rostral anterior cingulate and between the CMA and insula are associated with depressive symptoms in MDD patients<sup>[18,19]</sup>. Luo et al. also found that RSFC between the left CMA and the left insula could modulate the relationship between childhood maltreatment and depression and trait anxiety levels<sup>[20]</sup>. These studies revealed a link between RSFC in the amygdala subregions and depressive symptoms.

A few studies have shown that antidepressant treatment can modulate the functional network of the amygdala subregion. One study reported that the strength of the RSFC between the left SFA and the left posterior fusiform gyrus increased with improvements in depressive symptoms after electroconvulsive therapy in MDD patients<sup>[21]</sup>. Additionally, another study showed that the baseline RSFC of the left LBA and left precuneus in treatment responders was stronger than that in nonresponders in patients with anxiety-related depression, and the RSFC between the left LBA and left precuneus could predict treatment outcome<sup>[22]</sup>. However, the role of RSFC in the amygdala subregion during ketamine treatment remains unclear.

Therefore, we will conduct seed-to-voxel RSFC analysis between amygdala subregions and whole-brain voxels to investigate the relationships between changes in the RSFC of amygdala subregions and improvements in depressive symptoms after repeated ketamine infusions in MDD patients. We also compared changes in the RSFC of the amygdala subregions between responders and nonresponders over time (before and after ketamine treatment) to identify ketamine-induced changes in the RSFC specific to responders. In addition, we investigated whether the difference in the baseline RSFC of the amygdala subregion between responders and nonresponders was related to improvements in depressive symptoms and explored the potential of these differences to predict the

antidepressant efficacy of ketamine.

### 2. Methods

### 2.1 Participants

Participants were recruited from a clinical trial that explored the antidepressant effects of repeated ketamine treatment on MDD patients. This study was carried out in accordance with the Helsinki Declaration of Ethical Principles and approved by the Clinical Research Ethics Committee of the Affiliated Brain Hospital of Guangzhou Medical University. All participants provided informed consent before entering the trial. The inclusion criteria for patients were as follows: (1) aged 18 - 65 years; (2) met the Diagnostic and Statistical Manual of Mental Diseases-5 (DSM-5, SCID)<sup>[23]</sup> standard of MDD with no psychotic symptoms; (3) had a 17-item Hamilton Depression Scale (HAMD-17) score  $\geq 17^{[24]}$ ; and (4) exhibited suicidal ideation (confirmed by the Beck Scale for Suicidal Ideation (SSI) -Part I, with a score  $\ge 2$  at screening)<sup>[25]</sup> or experienced treatment resistance, defined as the failure of two or more adequate antidepressant trials. The exclusion criteria were as follows: (1) had any other psychiatric diagnosis according to the DSM-5 diagnostic criteria, such as bipolar disorder or schizophrenia; (2) had severe medical or neurological illness or severe head trauma; and (3) had substance dependence. Moreover, forty-five healthy controls (HCs) who met the same exclusion criteria and, according to the SCID, had no previous mental disorders were recruited from the community.

### **2.2 Procedures**

All MDD patients received a 40-minute open-label infusion of ketamine (0.5 mg/kg, diluted in saline) three times a week for two weeks. The detailed research process, including safety monitoring, has been described in our previous studies<sup>[26,27]</sup>. There were no restrictions on the use of psychiatric medications in MDD patients during the study period, but if patients were taking psychotropic medications at screening, a stable dose of  $\geq$  4 weeks had to be achieved before ketamine infusion, and patients continued to receive the same regimen and dose throughout the study period.

MDD patients were assessed for depressive symptoms 24 hours prior to the first ketamine infusion (baseline) and 24 hours (Day 13) and one week (Day 19) after the sixth ketamine infusion. All MDD patients underwent rs- fMRI scans at baseline and Day 13, whereas HCs underwent rs- fMRI scans only at baseline.

### 2.3 Rating scales

The severity of depressive symptoms was assessed using the HAMD-17. The higher the score on this scale was, the more severe the depressive symptoms were. The reduction rate of the HAMD-17 score ( $\triangle$ HAMD-17%) was used to assess the antidepressant effects of ketamine. The reduction rate of the HAMD-17 score was calculated as follows: pretreatment score minus post-treatment score, then divided by the pre-treatment score, and finally multiplied by 100%. Responder status was defined as a  $\triangle$ HAMD-17%  $\geq$  50% on Day 13.

### 2.4 MRI acquisition

All resting-state functional MRI data were acquired by a 3.0-T Philips Achieva MRI scanner (Philips, the Netherlands) with eight-channel phased-array head coils and were acquired by using a gradient echo-planar imaging (EPI) sequence with the following parameters: echo time = 30 ms; repetition time = 2,000 ms; flip angle = 90°; 33 slices; matrix =  $64 \times 64$ ; field-of-view =  $220 \times 220 \times 150$  mm<sup>3</sup>; voxel size =  $3.44 \times 3.44 \times 4$  mm<sup>3</sup>; gap = 0.6 mm; and number of signal averages (NSA) = 1. The resting fMRI scan lasted 8 minutes, and 240 volumes were acquired. All subjects were required to keep their eyes closed and remain awake, avoiding systematic thinking during the scans.

### 2.5 Image preprocessing

All fMRI data were preprocessed using the Data Processing and Analysis of Brain Imaging (DPABI, version 5.0) toolbox (http://rfmri.org/DPARSF) in MATLAB R2019b (MathWorks, Natick, MA, USA). First, we discarded the first 10 time points and performed slice timing and head motion corrections (maximum head motion parameters of > 2 mm translation and/or >2.0° rotation were excluded). Then, EPI templates were used for spatially normalization, and a 4-mm full width at half maxima (FWHM) isotropic Gaussian kernel was used for smoothing. Linear and quadratic trends were removed, and linear regression was used to remove nuisance signals from 24-parameter head motion profiles, white matter signals, cerebrospinal fluid signals, and global signals. Finally, we filtered at 0.01-0.1 Hz and "scrubbed" one time point before and one time point after bad images, whose frame displacement (FD) > 0.5.

### 2.6 Definition of region of interest and RSFC

Similar to previous studies, we used the statistical parametric mapping (SPM) Anatomy Toolbox (www.fz-juelich.de/inm/inm-1/DE/Forschung/\_docs/SPM Anatomy Toolbox/SPM Anatomy Toolbox\_node.html) based on probabilistic maps from the JuBrain Cytoarchitectonic Atlas to obtain bilateral amygdala subregions<sup>[28,29]</sup>. The amygdala was divided into three subregions: the lateral basal amygdala (LBA), medial central amygdala (CMA) and superficial amygdala (SFA). Then, whole-brain voxel-level RSFC of each amygdala subregion was mapped for all subjects. The main steps were as follows: (1) calculating the average time series of each amygdala subregion; (2) calculating the Pearson correlation coefficient (r value) between the average time series of each amygdala subregion and that of each voxel in the rest of the brain; and (3) converting the r values to z-values by Fisher's transformation to improve normality.

### 2.7 Statistical analysis

Two independent samples t tests or chi-squared tests were applied to determine whether there were differences in the baseline demographic data between the MDD patients and HCs or between the responders and nonresponders.

First, two-sample independent t tests in each amygdala subregion were performed to explore the differences in RSFC between MDD patients and HCs by using the SPM 12 toolbox (https://www.fil.ion.ucl.ac.uk/spm), controlling for age, sex, and head motion. According to normality, Pearson correlation or Spearman correlation analysis was conducted to identify the associations between the significant differences in RSFC identified above and the reduction rate of HAMD-17 score.

Second, we used a flexible factorial design that included two factors, group (responders and nonresponders) and time (baseline and post-treatment), in SPM12 (https://www.fil.ion.ucl.ac.uk/spm/). The group×time interaction was calculated to explore response-related RSFC changes, with age, gender, head motion and the medication load index as covariates. Additionally, two-sample independent t tests in each amygdala subregion were performed to explore the differences in the RSFC between responders and nonresponders. Bivariate correlation analyses were then conducted to identify the associations between the significant differences in the RSFC reported above and the reduction rate of HAMD-17 score and between the changes in RSFC reported above after treatment and the reduction in depressive symptoms. Receiver operating characteristic (ROC) curve analysis was performed to determine whether the significant difference in the RSFC between responders and nonresponders and nonresponders and nonresponders to determine whether the significant difference in the RSFC between responders and nonresponders and nonresponders to determine whether the significant difference in the RSFC between responders and nonresponders could predict the response to ketamine.

All resulting group-level analyses had a threshold of p < 0.05 at the cluster level using false discovery rate (FDR) correction at a height threshold of p < 0.001.

All statistical analyses, other than the computation of three-dimensional RSFC maps, were performed using the Statistical Package for Social Sciences (SPSS 25.0). P < 0.05 was considered to indicate statistical significant.

### 3. Results

### 3.1 Demographics of participants.

A total of 39 MDD patients were included in this study. Among these, 19 patients (48.72%) were classified as responders, whereas 20 patients (51.28%) were defined as nonresponders. The demographic and clinical characteristics are described in Table 1. The flowchart is shown in Figure 1.

**3.2** Differences in the RSFC of the amygdala subregion between HCs and MDD patients

For each of the six a priori-defined amygdala subregion seeds, we assessed and compared whole-brain RSFCs between MDD patients and HCs. Compared to that in HCs, MDD patients displayed hyperconnectivity between the left CMA and the left postcentral gyrus. In addition, the MDD patients showed hypoconnectivity between the left CMA and the bilateral insula, left putamen and right supplementary motor area (SMA) (Figure 2A, Supplementary Table 1).

Compared to that in HCs, hyperconnectivity in MDD patients was shown between the left LBA and some clusters of the left precuneus and right inferior temporal gyrus (Figure 2B, Supplementary Table 1).

Abnormal RSFC also presented in the left SFA. Compared to that in HCs, hypoconnectivity was shown between the left SFA and some clusters of the region in MDD patients, including the bilateral putamen and the left opercular part inferior frontal gyrus (IFGoperc), and right median cingulate and paracingulate gyri (DCG) (Figure 2C, Supplementary Table 1).

Compared to that in HCs, MDD patients displayed hyperconnectivity between the right CMA and some clusters of the bilateral postcentral gyrus, left paracentral lobule (PCL), right rolandic operculum and right superior occipital gyrus. In addition, MDD patients exhibited hypoconnectivity between the left orbital part inferior frontal gyrus(ORBinf), left dorsolateral superior frontal gyrus (SFGdor), and some cerebellar regions, including the right cerebelum\_crus1 and vermis\_1\_2 (Figure 2D, Supplementary Table 1).

In the right LBA, no significant differences were found in the RSFC between the MDD patients and HCs.

Additionally, the hypoconnectivity was also found between the right SFA and some clusters of the bilateral putamen (Figure 2E, Supplementary Table 1).

**3.3** Correlations between baseline amygdala subregion RSFC and improvements in depressive symptoms in MDD patients

At baseline, as shown in Figure 3A-B, the reduction rate of the HAMD-17 score on Day 13 (r = 0.37, p = 0.022) and the reduction rate of the HAMD-17 score on Day 19 (r = 0.49, p = 0.003) were positively correlated with the RSFC in the left LBA and left precuneus; even after increasing the medication load index as a covariate, this difference was still significant on Day 13 (r = 0.54, p = 0.001) and Day19 (r = 0.51, p = 0.002). No significant difference was found in the RSFC of other amygdala subregions on Day 13 or Day 19, regardless of whether the medication load index was added as a covariate.

# 3.4 Predictive ability of amygdala subregion RSFC to predict the antidepressant effect of ketamine

After ketamine infusions, three regions, the left orbital part superior frontal gyrus(ORBsup), the middle frontal gyrus (MFG), and the left ORBsup, exhibited a significant group×time interaction effect in the RSFC analysis (voxel-level p < 0.001, cluster-level p < 0.05 corrected by FDR), which used the left CMA, left LBA and right CMA as seeds, respectively. In the analysis using the left LBA as a seed, we discovered a significant time main effect between responders and nonresponders in the region of the right MFG, left SFGdor and right SOG (voxel-level p < 0.001, cluster-level p < 0.05 corrected by FDR); we also discovered a significant time main effect between responders time main effect between responders and nonresponders in the region of the right MFG (voxel-level p < 0.001, cluster-level p < 0.05 corrected by FDR); we also discovered a significant time main effect between responders and nonresponders in the region of the right MFG (voxel-level p < 0.001, cluster-level p < 0.05 corrected by FDR), which used the right SFA as a seed. All of the above results are shown in Table 2 and Supplementary Figure 1A-C.

In addition, we assessed and compared whole-brain RSFC between responders and nonresponders. Compared with that of nonresponders, responders exhibited hyperconnectivity between the left LBA and the right superior temporal gyrus/ middle temporal gyrus (STG/MTG) (cluster size = 33; Montreal Neurological Institute [MNI] coordinates: x = 51, y = -30, z = 3; t value = 5.15, pFDR – corr < 0.019; Figure 4A).

Bivariate correlation analysis revealed that the reduction rate of the HAMD-17 score on Day 13 was positively correlated with the baseline RSFC between the left LBA and right STG/MTG after ketamine treatment (r = 0. 57, p < 0. 001; Figure 4B). The ROC analysis indicated that the RSFC between the left LBA and right STG/MTG could predict the treatment response to ketamine on Day 13. The significant RSFC showed an effectively differential capability with an AUC of 0.918 (95% CI = 0.832 - 1.000, P < 0.001; Figure 4C) for discriminating responders from nonresponders. The post hoc analysis conducted on nonresponder data revealed that the RSFC between the left LBA and right STG/MTG was significantly greater on Day 13 that at baseline after six ketamine infusions (-0.07  $\pm$  0.08 vs. 0.01  $\pm$  0.12, t = -2.660, p = 0.015; Figure 4D). No such findings were found among the responders.

### 4. Discussion

Our study mainly explored the response-related changes in the RSFC of amygdala subregions induced by repeated ketamine infusions. In the present study, we revealed the following: (1) MDD patients displayed hyperconnectivity between the left LBA and the left precuneus, and the strength of this RSFC was positively correlated with the improvement of depressive symptoms after ketamine on Day 13 and Day 19; (2) the ketamine-induced response was related to changes in the RSFC between the bilateral CMA and the ORBsup and RSFC between the left LBA and the right MFG; and (3) the baseline RSFC between the left LBA and the right STG/MTG had the potential to predict the effect of ketamine in MDD patients.

Studies of MDD have shown that the precuneus is a key node of posterior default (pDMN), which plays a key role in the antidepressant treatment response. In this study, we found that the RSFC between the left LBA and the precuneus was stronger in MDD patients than in HCs, which was similar to findings of previous studies<sup>[7,30]</sup>. These studies revealed that the RSFC between the amygdala and the precuneus was stronger in grief or MDD participants. In addition, our study revealed that the stronger RSFC between the left LBA and the precuneus was related to the antidepressant effect of ketamine. This finding was

similar to the result reported by Yuan et al.<sup>[22]</sup>. Their study showed that in all anxiety depression patients the RSFC between the LBA and left precuneus of ketamine responders at baseline was stronger than that in nonresponders, and this RSFC was related to the reduction in depressive symptoms. In addition, in post hoc analysis, Yuan et al. reported that after ketamine infusions, the RSFC between the LBA and left precuneus was significantly reduced in responders. This finding was inconsistent with our finding. Our study revealed that the differences in efficacy between ketamine responders and nonresponders were mainly related to the ability of ketamine to regulate RSFC between amygdala subregions and prefrontal cortex (PFC). The use of different sample inclusion criteria and differences in application methodology may be important reasons for inconsistent conclusion. Nevertheless, another study has also emphasized the role of the precuneus circuit of the amygdala in treatment<sup>[31]</sup>. Considering the central role of the precuneus in self-referential thought and the positive correlation between the activation of the amygdala and rumination<sup>[32,33]</sup>, we speculated that the lower RSFC between the amygdala subregion and the precuneus may be the basis for MDD patients suppressing spontaneous negative self-concept at rest. According to our results, we believe that the stronger RSFC of the amygdala subregion and precuneus may be a marker of the antidepressant response to ketamine rather than a target of ketamine infusions. However, further studies with larger sample sizes are needed for verification.

Additionally, our study revealed that ketamine could extensively modulate the RSFC between amygdala subregions and the PFC. Numerous studies have confirmed the critical role of the prefrontal lobe in the treatment of MDD, and neuroanatomy has suggested that widespread connectivity from the PFC exerts top-down inhibitory control over the amygdala to regulate emotional expression<sup>[14,34,35]</sup>. PFC dysfunction is observed in TRD patients and may contribute to the inability to control limbic areas such as the amygdala. Although current imaging studies have shown that ketamine treatment for MDD may be associated with modulation of the amygdala, to date, there has been no research exploring

how ketamine affects amygdala functional pathways. Glutamate is an important neuroexcitatory transmitter in the amygdala and PFC, and another study reported that increased glutamatergic neurotransmission in the PFC is critical for the rapid antidepressant effects of ketamine<sup>[36]</sup>. The current study showed that a scaffold protein related to the glutamatergic pathway was altered in the PFC of MDD patients and that pathway activity was reduced <sup>[37]</sup>. At the same time, studies have confirmed decreased glutamate and its metabolites in regions such as the PFC of MDD patients <sup>[38,39]</sup>. Milak et al. reported that the concentrations of glutamate and glutamine complexes in the PFC of the brains of patients who responded to ketamine treatment began to rise rapidly when ketamine was injected<sup>[40]</sup>. Thus we could reasonably speculate that ketamine may regulate brain communication between amygdala subregions and the PFC via the glutamate pathway.

Another important finding of this study was that the RSFC between the left LBA and right STG/MTG predicted the antidepressant efficacy of ketamine. The temporal lobe is involved in reward and affective processing<sup>[41,42]</sup>. Structural imaging studies showed that the thickness of the isocortex of the temporal lobe increased significantly in TRD patients and that of the STG increased after MECT treatment<sup>[43]</sup>. A previous study reported decreased RSFC of the amygdala and temporal lobe in MDD patients and the decreased in RSFC between the amygdala and STG was related to increased rumination<sup>[44,45]</sup>. One study also indicated that trauma could lead to a decrease in RSFC between the LBA and STG<sup>[46]</sup>. These studies suggested that there were structural and functional imbalances in the pathway of the amygdala and temporal lobe cortex in MDD patients. After subdividing the amygdala further, we also found RSFC abnormalities between the left LBA/SFA and temporal lobe. Notably, we found that responders had stronger RSFC between the left LBA and right STG/MTG of nonresponders was significantly stronger after ketamine treatment. A previous rTMS study revealed that glucose metabolism in the left fusiform and left MTG

after treatment was significantly reduced only in rTMS responders, and nonresponders showed a worsening pattern of increased metabolism in the bilateral temporal cortex and fusiform gyrus<sup>[47]</sup>. These findings may indicate that ketamine may have a therapeutic effect though a mechanism that modulates hyperactivity normalization in the STG/MTG.

Finally, several limitations need to be considered. First, the sample size was small, which was one of the most important shortcomings of our study. Second, participants received ketamine infusions with concurrent antidepressant medications, which might affect the observed response to ketamine, but an add-on ketamine study was able to provide real-world data. Moreover, we calculated a composite measure of total medication load for each individual (reflecting the dose and variety of different medications taken) by summing all individual medications and adding this index as a covariate during the statistical analysis<sup>[48,49]</sup>, which further enhanced the persuasiveness of the research conclusions. We are currently conducting a randomized controlled study with a larger sample size, and we hope that subsequent analysis can verify the conclusions of the study or provide additional research findings.

This study suggested that the mechanism by which ketamine improved depressive symptoms may be related to its regulation of RSFC in the amygdala subregion; at the same time, our study supported that the RSFC of the amygdala subregion may be a predictor of antidepressant neurologic responses to ketamine treatment. Future studies should further confirm the potential of these neural targets to predict therapeutic efficacy with a larger sample of randomized, placebo-controlled studies.

### Acknowledgements

We would like to thank all the participants in this trial and the contributions of all investigators.

### **Data Availability Statement**

The data included in this study are available from the corresponding author upon reasonable request.

### **Author Contribution**

Y.N. and Y.Z. designed the study. X.L. and C.W. recruited the participants, collected the data and administration. W.L., F.Z., Y.Y., and Z.H. undertook the statistical analysis. H.L. wrote the first draft of the manuscript, and Y.Z. helped to revise the manuscript.

### **Financial Support**

This study was supported by Science and Technology Plan Project of Guangdong Province (grant number 2019B030316001), Science and Technology Program of Guangzhou Liwan District (grant number 202201003), Guangdong Basic and Applied Basic Research Foundation (grant number 2022A1515011567), Guangzhou Science and Technology Planning Project (grant number 202103000032, 202201010714) and Science and Technology Plan Project of Guangzhou (2023A03J0842). The funding source had no involvement in the study design, collection, analysis, interpretation of data, in the writing of the report, and in the decision for publication.

### **Competing Interest**

The authors declare none.

### **References:**

[1] Moussavi S, Chatterji S, Verdes E, Tandon A, Patel V, Ustun B. Depression, chronic diseases, and decrements in health: results from the World Health Surveys. Lancet. 2007;370(9590):851-8. doi:10.1016/S0140-6736(07)61415-9.

[2] Mueller TI, Leon AC, Keller MB, Solomon DA, Endicott J, Coryell W, et al. Recurrence after recovery from major depressive disorder during 15 years of observational follow-up. Am J Psychiatry.

#### 1999;156(7):1000-6.

[3] Trivedi MH, Rush AJ, Wisniewski SR, Nierenberg AA, Warden D, Ritz L, et al. Evaluation of outcomes with citalopram for depression using measurement-based care in STAR\*D: implications for clinical practice. Am J Psychiatry. 2006;163(1):28-40. doi:10.1176/appi.ajp.163.1.28.

 [4] Aan HRM, Collins KA, Murrough JW, Perez AM, Reich DL, Charney DS, et al. Safety and efficacy of repeated-dose intravenous ketamine for treatment-resistant depression. Biol Psychiatry. 2010;67(2):139-45.
 doi: 10.1016/j.biopsych.2009.08.038.

[5] Murrough JW, Perez AM, Pillemer S, Stern J, Parides MK, Aan HRM, et al. Rapid and longer-term antidepressant effects of repeated ketamine infusions in treatment-resistant major depression. Biol Psychiatry. 2013;74(4):250-6. doi:10.1016/j.biopsych.2012.06.022.

[6] Phelps EA, LeDoux JE. Contributions of the amygdala to emotion processing: from animal models to human behavior. Neuron. 2005;48(2):175-87. doi:10.1016/j.neuron.2005.09.025.

[7] Tang S, Lu L, Zhang L, Hu X, Bu X, Li H, et al. Abnormal amygdala resting-state functional connectivity in adults and adolescents with major depressive disorder: A comparative meta-analysis. EBioMedicine. 2018;36:436-445. doi:10.1016/j.ebiom.2018.09.010.

[8] Nakamura T, Tomita M, Horikawa N, Ishibashi M, Uematsu K, Hiraki T, et al. Functional connectivity between the amygdala and subgenual cingulate gyrus predicts the antidepressant effects of ketamine in patients with treatment-resistant depression. Neuropsychopharmacol Rep. 2021;41(2):168-178.

doi:10.1002/npr2.12165.

[9] Liu J, Fang J, Wang Z, Rong P, Hong Y, Fan Y, et al. Transcutaneous vagus nerve stimulation modulates amygdala functional connectivity in patients with depression. J Affect Disord. 2016;205:319-326. doi:10.1016/j.jad.2016.08.003.

[10] Liu H, Wang C, Lan X, Li W, Zhang F, Fu L, et al. Functional connectivity of the amygdala and the antidepressant and antisuicidal effects of repeated ketamine infusions in major depressive disorder. Front Neurosci. 2023;17:1123797. doi: 10.3389/fnins.2023.1123797.

[11] Adhikari A, Lerner TN, Finkelstein J, Pak S, Jennings JH, Davidson TJ, et al. Basomedial amygdala mediates top-down control of anxiety and fear. Nature. 2015;527(7577):179-85. doi:10.1038/nature15698.

[12] Bzdok D, Laird AR, Zilles K, Fox PT, Eickhoff SB. An investigation of the structural, connectional, and functional subspecialization in the human amygdala. Hum Brain Mapp. 2013;34(12):3247-66. doi:10.1002/hbm.22138.

[13] LeDoux J. The emotional brain, fear, and the amygdala. Cell Mol Neurobiol. 2003;23(4-5):727-38.doi:10.1023/A:1025048802629.

[14] Mcdonald AJ. Cortical pathways to the mammalian amygdala. Progress in Neurobiology.1998;55(3):257-332. doi:10.1016/S0301-0082(98)00003-3.

[15] Goossens L, Kukolja J, Onur OA, Fink GR, Maier W, Griez E, et al. Selective processing of social stimuli in the superficial amygdala. Hum Brain Mapp. 2009; 30(10):3332-8. doi:10.1002/hbm.20755.

[16] Tang S, Li H, Lu L, Wang Y, Zhang L, Hu X, et al. Anomalous functional connectivity of amygdala subregional networks in major depressive disorder. Depress Anxiety. 2019;36(8):712-722.doi:10.1002/da.22901.

[17] Zhang S, Cui J, Zhang Z, Wang Y, Liu R, Chen X, et al. Functional connectivity of amygdala subregions predicts vulnerability to depression following the COVID-19 pandemic. J Affect Disord. 2022;297:421-429. doi:10.1016/j.jad.2021.09.107.

[18] Jalbrzikowski M, Larsen B, Hallquist MN, Foran W, Calabro F, Luna B. Development of White Matter Microstructure and Intrinsic Functional Connectivity Between the Amygdala and Ventromedial Prefrontal Cortex: Associations With Anxiety and Depression. Biol Psychiatry. 2017;82(7):511-521. doi:10.1016/j.biopsych.2017.01.008.

[19] Zu M, Wang A, Bai T, Xie W, Guan J, Tian Y, et al. Resting-State Functional Connectivity Between Centromedial Amygdala and Insula as Related to Somatic Symptoms in Depressed Patients: A Preliminary Study. Psychosom Med. 2019;81(5):434-440. doi:10.1097/PSY.000000000000697.

[20] Luo L, Yang T, Zheng X, Zhang X, Gao S, Li Y, et al. Altered centromedial amygdala functional connectivity in adults is associated with childhood emotional abuse and predicts levels of depression and anxiety. J Affect Disord. 2022;303:148-154. doi:10.1016/j.jad.2022.02.023.

[21] Wang J, Wei Q, Bai T, Zhou X, Sun H, Becker B, et al. Electroconvulsive therapy selectively enhanced feedforward connectivity from fusiform face area to amygdala in major depressive disorder. Soc Cogn Affect

Neurosci. 2017;12(12):1983-1992. doi: 10.1093/scan/nsx100.

[22]. Yuan S, Luo X, Chen X, Wang M, Hu Y, Zhou Y, et al. Functional connectivity differences in the amygdala are related to the antidepressant efficacy of ketamine in patients with anxious depression. J Affect Disord. 2023;320:29-36. doi: 10.1016/j.jad.2022.09.125.

[23] Association A P. Diagnostic and Statistical Manual of Mental Disorders, 5th Edition. American Psychiatric Association, 2013.

[24]. HAMILTON M. A rating scale for depression. J Neurol Neurosurg Psychiatry. 1960;23(1):56-62. doi:10.1136/jnnp.23.1.56.

[25] Beck AT, Kovacs M, Weissman A. Assessment of suicidal intention: the Scale for Suicide Ideation. J Consult Clin Psychol. 1979;47(2):343-52. doi:10.1037/0022-006X.47.2.343.

[26] Zhou Y, Zheng W, Liu W, Wang C, Zhan Y, Li H, et al. Antidepressant effect of repeated ketamine administration on kynurenine pathway metabolites in patients with unipolar and bipolar depression. Brain Behav Immun. 2018;74:205-212. doi:10.1016/j.bbi.2018.09.007.

[27] Zheng W, Zhou YL, Liu WJ, Wang CY, Zhan YN, Li HQ, et al. Rapid and longer-term antidepressant effects of repeated-dose intravenous ketamine for patients with unipolar and bipolar depression. J Psychiatr Res. 2018;106:61-68. doi:10.1016/j.jpsychires.2018.09.013.

[28] Amunts K, Mohlberg H, Bludau S, Zilles K. Julich-Brain: A 3D probabilistic atlas of the human brain's cytoarchitecture. Science. 2020;369(6506):988-992. doi:10.1126/science.abb4588.

[29] Eickhoff SB, Stephan KE, Mohlberg H, Grefkes C, Fink GR, Amunts K, et al. A new SPM toolbox for combining probabilistic cytoarchitectonic maps and functional imaging data. Neuroimage. 2005;25(4):1325-35. doi:10.1016/j.neuroimage.2004.12.034.

[30] Chen G, Ward BD, Claesges SA, Li SJ, Goveas JS. Amygdala Functional Connectivity Features in
Grief: A Pilot Longitudinal Study. Am J Geriatr Psychiatry. 2020;28(10):1089-1101.
doi:10.1016/j.jagp.2020.02.014.

[31] Cullen KR, Klimes-Dougan B, Vu DP, Westlund SM, Mueller BA, Eberly LE, et al. Neural Correlates of Antidepressant Treatment Response in Adolescents with Major Depressive Disorder. J Child Adolesc Psychopharmacol. 2016;26(8):705-712. doi: 10.1089/cap.2015.0232. [32] Cavanna AE, Trimble MR. The precuneus: a review of its functional anatomy and behavioural correlates. Brain. 2006;129(Pt 3):564-83. doi: 10.1093/brain/awl004.

[33] Siegle GJ, Steinhauer SR, Thase ME, Stenger VA, Carter CS. Can't shake that feeling: event-related fMRI assessment of sustained amygdala activity in response to emotional information in depressed individuals. Biol Psychiatry. 2002;51(9):693-707. doi:10.1016/S0006-3223(02)01314-8.

[34] Rosenkranz J A, Grace A A. Dopamine Attenuates Prefrontal Cortical Suppression of Sensory Inputs to the Basolateral Amygdala of Rats. The Journal of Neuroscience: The Official Journal of the Society for Neuroscience, 2001(11):21. doi:10.1016/S0006-3223(99)00197-3.

[35] Cho J H , Deisseroth K , Bolshakov V .Synaptic Encoding of Fear Extinction in mPFC-amygdala Circuits. Neuron, 2013, 80(6):1491. doi:10.1016/j.neuron.2013.09.025.

[36] Li, C. T., Chen, M. H., Lin, W. C., Hong, C. J., Yang, B. H., & Liu, R. S., et al. The effects of low dose ketamine on the prefrontal cortex and amygdala in treatment - resistant depression: A randomized controlled study. Human Brain Mapping, 2016, 37(3):1080-1090. doi:10.1002/hbm.23085.

[37] Zhao, J., Bao, A. M., Qi, X. R., Kamphuis, W., Luchetti, S., & Lou, J. S. et al. Gene expression of GABA and glutamate pathway markers in the prefrontal cortex of non-suicidal elderly depressed patients. J Affect Disord, 2012, 138(3):494-502. doi:10.1016/j.jad.2012.01.013.

[38] Arnone D, Mumuni AN, Jauhar S, Condon B, Cavanagh J. Indirect evidence of selective glial involvement in glutamate-based mechanisms of mood regulation in depression: Meta-analysis of absolute prefrontal neuro-metabolic concentrations. European Neuropsychopharmacology, 2015, 25(8):1109-1117. doi:10.1016/j.euroneuro.2015.04.016.

[39] Yildiz-Yesiloglu A, Ankerst DP. Review of 1H magnetic resonance spectroscopy findings in major
 depressive disorder: a meta-analysis. Psychiatry Research, 2006, 147(1):1-25.
 doi:10.1016/j.pscychresns.2005.12.004.

[40] Milak MS, Proper CJ, Mulhern ST, Parter AL, Kegeles LS, Ogden RT, et al. A pilot in vivo proton magnetic resonance spectroscopy study of amino acid neurotransmitter response to ketamine treatment of major depressive disorder. Mol Psychiatry. 2016;21(3):320-7. doi:10.1038/mp.2015.83.

[41] Ghazizadeh A, Griggs W, Leopold DA, Hikosaka O. Temporal-prefrontal cortical network for

discrimination of valuable objects in long-term memory. Proceedings of the National Academy of Sciences of the United States of America, 2018, 115(9):E2135-E2144. doi:10.1073/pnas.1707695115.

[42] Li X, Wang J. Abnormal neural activities in adults and youths with major depressive disorder during emotional processing: a meta-analysis. Brain Imaging Behav. 2021; 15(2):1134-1154. doi: 10.1007/s11682-020-00299-2.

[43] Yrondi A, Nemmi F, Billoux S, Giron A, Sporer M, Taib S, et al. Grey Matter changes in treatmentresistant depression during electroconvulsive therapy. J Affect Disord. 2019; 258:42-49. doi: 10.1016/j.jad.2019.07.075.

[44] Cheng W, Rolls ET, Qiu J, Xie X, Lyu W, Li Y, et al. Functional connectivity of the human amygdala in health and in depression. Soc Cogn Affect Neurosci. 2018;13(6):557-568. doi: 10.1093/scan/nsy032.
[45] Satyshur MD, Layden EA, Gowins JR, Buchanan A, Gollan JK. Functional connectivity of reflective and brooding rumination in depressed and healthy women. Cogn Affect Behav Neurosci. 2018;18(5):884-901. doi: 10.3758/s13415-018-0611-7.

[46] Liu T, Ke J, Qi R, Zhang L, Zhang Z, Xu Q, et al. Altered functional connectivity of the amygdala and its subregions in typhoon-related post-traumatic stress disorder. Brain Behav. 2021;11(1):e01952. doi:10.1002/brb3.1952.

[47] Li CT, Wang SJ, Hirvonen J, Hsieh JC, Bai YM, Hong CJ, et al. Antidepressant mechanism of add-on repetitive transcranial magnetic stimulation in medication-resistant depression using cerebral glucose metabolism. J Affect Disord. 2010;127(1-3):219-29. doi: 10.1016/j.jad.2010.05.028

[48] Sackeim HA. The definition and meaning of treatment-resistant depression. J Clin Psychiatry. 2001.62(16):10-17. doi:10.1076/jcen.23.6.829.1022.

[49] Redlich R, Almeida JJ, Grotegerd D, Opel N, Kugel H, Heindel W, et al. Brain morphometric biomarkers distinguishing unipolar and bipolar depression. A voxel-based morphometry-pattern classification approach. JAMA Psychiatry. 2014;71(11):1222-1230. doi:10.1001/jamapsychiatry.2014.1100.

### Legends

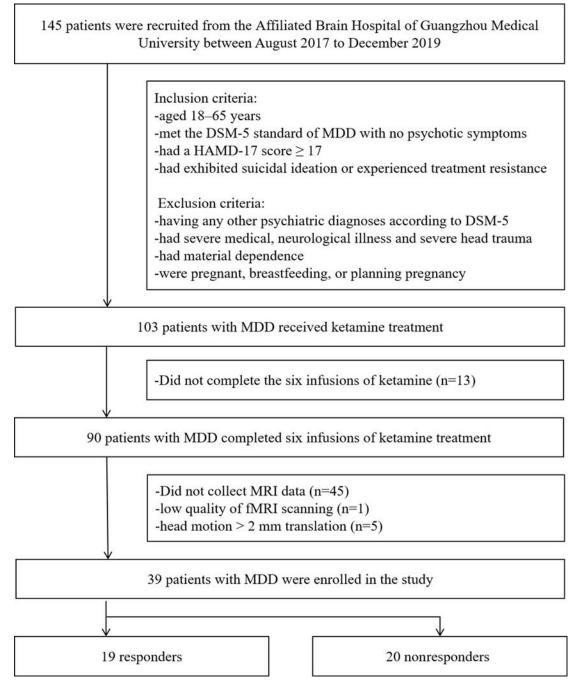
Figure 1: Flowchart.

Figure 2A-E Differences in the RSFC of amygdala subregions between HCs and MDD patients.

Figure 3 Correlations between improvements of depressive symptoms on Day 13 (A) and 19 (B) after ketamine treatment and abnormal amygdala subregion RSFC at baseline. Abbreviations: RSFC: resting-state functional connectivity; HAMD-17: 17-item Hamilton Depression Scale. r: correlation coefficient.

Figure 4 A Differences in the RSFC of the amygdala subregion between responders and nonresponders at baseline. B: Correlation between the difference in amygdala subregion RSFC at baseline between responders and nonresponders and the reduction in depressive symptoms after ketamine treatment. C: ROC curve analysis. The ROC curve showed an area under the curve (AUC) of 0.918 (95% CI = 0.382-1.000, P < 0.001) for the RSFC of the left LBA and the right STG/MTG, with a sensitivity of 89.5% and specificity of 85.0%. D: The difference in the RSFC of the left LBA and the right STG/MTG of the left LBA and the right streatment. Abbreviations: RSFC: resting-state functional connectivity; HAMD-17: 17-item Hamilton Depression Scale; AUC, area under the curve; ROC, receiver operating characteristics. STG/MTG: superior temporal gyrus/middle temporal gyrus.

r: correlation coefficient. \*: p < 0.05.





## Accepted manuscript: Authors' Copy

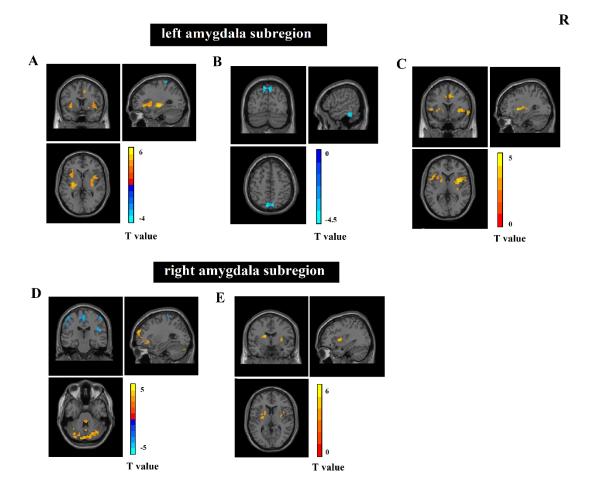


Figure 2A-E Figure 2A-E Differences in the RSFC of amygdala subregions between HCs

and MDD patients.

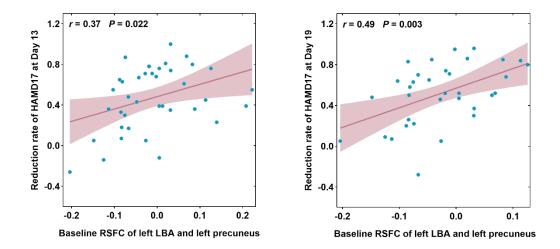


Figure 3 Correlations between improvements of depressive symptoms on Day 13 (A) and 19 (B) after ketamine treatment and abnormal amygdala subregion RSFC at baseline. Abbreviations: RSFC: resting-state functional connectivity; HAMD-17: 17-item Hamilton Depression Scale. r: correlation coefficient.

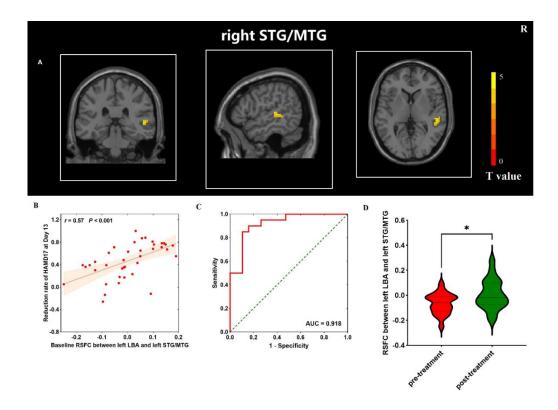


Figure 4 A Differences in the RSFC of the amygdala subregion between responders and nonresponders at baseline. B: Correlation between the difference in amygdala subregion RSFC at baseline between responders and nonresponders and the reduction in depressive symptoms after ketamine treatment. C: ROC curve analysis. The ROC curve showed an area under the curve (AUC) of 0.918 (95% CI = 0.382-1.000, P < 0.001) for the RSFC of the left LBA and the right STG/MTG, with a sensitivity of 89.5% and specificity of 85.0%. D: The difference in the RSFC of the left LBA and the right STG/MTG of the left LBA and the right streatment. Abbreviations: RSFC: resting-state functional connectivity; HAMD-17: 17-item Hamilton Depression Scale; AUC, area under the curve; ROC, receiver operating characteristics. STG/MTG: superior temporal gyrus/middle temporal gyrus.

r: correlation coefficient. \*: p < 0.05.

Characteristic	MDD	Responders	Nonresponders	HCs	HCs vs MDD	Responder vs Nonresponders
	(n = 39)	(n = 19)	(n = 20)	(n = 45)	P value	P value
Age (years)	36.46±12.11	$40.58 \pm 11.11$	32.55±11.96	31.40±7.98	0.031*	0.037*
Gender (% females) <sup>a</sup>	24 (61.54%)	11 (57.89%)	13 (65.00%)	27 (60.00%)	0.886	0.648
Head motion	$0.05 \pm 0.02$	$0.06 \pm 0.03$	$0.04 \pm 0.01$			0.039*
Education (years)	$11.82 \pm 3.28$	$11.63 \pm 2.99$	$12.00 \pm 3.60$			0.731
BMI (kg/m2)	$22.97 \pm 3.19$	$23.68 \pm 2.62$	$22.30 \pm 3.58$			0.180
Duration of illness (months)	84.08±80.01	$103.21 \pm 84.50$	65.90±72.97			0.148
First episode (yes) <sup>a</sup>	15 (38.46)	6 (31.58%)	9 (45.00%)			0.389
Age of onset (years)	$29.03 \pm 11.43$	$31.68 \pm 11.01$	26.50±11.51			0.160
Psychiatric comorbidity <sup>a</sup>	6 (15.38%)	2 (10.50%)	4 (20.00%)			0.661
Baseline HAMD-17	$23.23 \pm 4.55$	$22.37 \pm 2.67$	$24.05 \pm 5.76$			0.249
Use of drugs						
Medication load index	$4.08 \pm 1.75$	$4.05 \pm 1.68$	$4.10 \pm 1.86$			0.934
SSRI	26	12	14			
SNRI	13	5	8			
NaSSA	6	3	3			
Tricyclic	2	1	1			
antidepressants	2	1	1			
Other	2	2	0			
Antidepressant	25	11	14			
monotherapy	23	11	14			
Two Antidepressants	12	6	6			
Lithium	2	1	1			
Antipsychotics	25	11	14			
Benzodiazepines	21	11	10			

Table 1: Demographic and clinical characteristics.

MDD: major depressive disorder; HCs, healthy controls; BMI: body Mass Index; HAMD-17: 17-item Hamilton Depression Rating Scale; SSRI: selective serotonin reuptake inhibitor; SNRI: selective serotonin-norepinephrine reuptake inhibitor; NaSSA: Norepinephrine and specific serotonergic inhibitor.

a:  $\chi 2$  test of continuity correction.

\*: p < 0.05.

DOI	A A T	Effect	Clusters	MNI coordinates (Peak) <sup>a</sup>		- E-selve	EDD	
ROI	AAL			X	Y	Z	- F value	pFDR-corr
left CMA	left ORBsup	interaction	60	-12	48	-21	24.19	0.001
left LBA	right MFG	interaction	32	51	18	42	25.68	0.033
	right MFG	time	30	30	33	54	26.89	0.015
	left SFGdor	time	42	-15	60	18	23.96	0.005
	right SOG	time	41	36	-81	42	17.37	0.005
right CMA	left ORBsup	interaction	40	-21	48	-21	27.50	0.012
right SFA	right MFG	time	31	45	51	6	22.48	0.038

Table 2. Regions showing significant differences in analysis

Abbreviations: ROI: region of interest; AAL: Anatomical Automatic Labeling; FDR: false discovery rate; ORBsup: the orbital part superior frontal gyrus; MFG: the middle frontal gyrus; SFGdor: the dorsolateral superior frontal gyrus; SOG: the superior occipital gyrus; CMA: the centromedial amygdala; LBA: the laterobasal amygdala; SFA: the superficial amygdala.

a: x, y, z = MNI (Montreal Neurological Institute) coordinates of significant effects.