

ORDERED FIBONACCI PARTITIONS

BY

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ABSTRACT. Ordered partitions are enumerated by $F_n = \sum_k k! S(n, k)$ where $S(n, k)$ is the Stirling number of the second kind. We give some comments on several papers dealing with ordered partitions and turn then to ordered Fibonacci partitions of $\{1, \dots, n\}$: If d is a fixed integer, the sets A appearing in the partition have to fulfill $i, j \in A, i \neq j \Rightarrow |i - j| \geq d$. The number of ordered Fibonacci partitions is determined.

1. The polynomials $F_n(x) = \sum_k k! S(n, k)x^k$ and the numbers $F_n := F_n(1)$ have appeared in the literature for several times ($S(n, k)$ are *Stirling numbers* of the second kind [2]): R. D. James [5] dealt with F_n considering the number of *ordered nontrivial factorizations of a squarefree integer*. In O. A. Gross [3] F_n appears as the total number of *distinct rational preferential arrangements*; this connection was recently rediscovered by J. P. Bartholemy [1]. The *Bell numbers* B_n [6] are counting the number of ways to partition the set $\{1, \dots, n\}$. Now $S(n, k)$ is the number of partitions of $\{1, \dots, n\}$ into exactly k blocks. Thus $B_n = \sum_k S(n, k)$. This shows a very close relationship between B_n and F_n . F_n counts each partition with k blocks with the factor $k!$ which refers to the number of ways to permute the blocks. So F_n can be interpreted as the total number of *ordered partitions of a set with n elements* (compare S. M. Tanny [9]).

In this note we first give some comments on the previous papers dealing with F_n and $F_n(x)$ and turn then to the case of *ordered d -Fibonacci partitions* of a set with n elements (cf. [7], [8]): We allow only those ordered partitions where the blocks $A \subseteq \{1, \dots, n\}$ satisfy $i, j \in A, i \neq j \Rightarrow |i - j| \geq d$. Let $F_n^{(d)}$ be the number of those ordered partitions. Our main result is

$$F_n^{(d)} = 2^{1-d} \sum_{k=0}^{d-1} |s(d, d-k)| F_{n-k}$$

where $|s(d, l)|$ are signless *Stirling numbers* of the first kind.

Received by the editors March 2, 1982 and, in final revised form, October 5, 1982.

AMS Subject Classification (1980): 05A17

⁽¹⁾This research was supported by Grant A7877 of the Natural Sciences and Engineering Council of Canada while the author was visiting the University of Western Ontario, London.

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2. It was observed [1], [3], [5] that

$$(1) \quad G(z) = \sum_{n \geq 0} F_n \frac{z^n}{n!} = \frac{1}{2 - e^z}.$$

From this the asymptotic behaviour of F_n was derived [1], [3], [5]:

$$(2) \quad F_n \sim \frac{n!}{2} \left(\frac{1}{\log 2} \right)^{n+1}, \quad (n \rightarrow \infty).$$

Using the method of *subtracted singularities* (Henrici [4]), a stronger result is most easily derived: Regarding the zeros of $2 - e^z$, we find that $G(z)$ has singularities at $z_k = \log 2 + 2k\pi i$, $k \in \mathbb{Z}$. The singularities in question are just simple poles; the local expansions about those poles are

$$(3) \quad G(z) = \frac{1/2}{z_k - z} + O(1), \quad (z \rightarrow z_k).$$

The knowledge of the local behaviour about the singularities gives enough information to grind out an asymptotic formula for F_n with an arbitrary small error term (by choosing $m \in \mathbb{N}$). We find

$$(4) \quad \frac{F_n}{n!} = \frac{1}{2} \sum_{|k| < m} z_k^{-(n+1)} + O(z_m^{-n}), \quad (n \rightarrow \infty).$$

S. M. Tanny [9] gives for $x \neq -1$ the following representation of $F_n(x)$ as an infinite series:

$$(5) \quad F_n(x) = \frac{1}{1+x} \sum_{k \geq 0} \left(\frac{x}{1+x} \right)^k x^n.$$

As pointed out in [9], this formula is only meaningful for $|x/(1+x)| < 1$, i.e. $\text{Re } x > -1/2$.

We give now a similar formula which is valid for $|(x+1)/x| < 1$, i.e. $\text{Re } x < -1/2$:

Let $A(n, k)$ be the *Eulerian numbers* ([2]) and $A_n(u) := \sum_k A(n, k)u^k$. A formula of *Frobenius* ([2]) gives

$$(6) \quad A_n(u) = u \sum_{k=1}^n k! S(n, k)(u-1)^{n-k},$$

from which we conclude that

$$(7) \quad F_n(x) = \frac{x^{n+1}}{x+1} A_n\left(\frac{x+1}{x}\right).$$

Now it is well known that (e.g. see [2])

$$(8) \quad \frac{A_n(u)}{(1-u)^{n+1}} = \sum_{k \geq 0} u^k k^n,$$

which gives after simplification

$$(9) \quad F_n(x) = \frac{(-1)^{n+1}}{1+x} \sum_{k \geq 0} \left(\frac{1+x}{x} \right)^k k^n.$$

We remark that from (7) and the definition of $A_n(u)$ formula (16) of [9] is most easily derived.

We give yet another formula for F_n . For this, let $[z^n]f$ denote the coefficient of z^n in the power series f .

$$(10) \quad \begin{aligned} \sum_{k=0}^n (-1)^k \binom{k}{i} &= [z^n] \frac{1}{1-z} \sum_{k \geq 0} (-1)^k \binom{k}{i} z^k \\ &= [z^n] \frac{1}{1-z} \frac{1}{i!} (-z)^i \left(\frac{d}{d(-z)} \right)^i \frac{1}{1+z} \\ &= [z^n] \frac{1}{1-z} \frac{1}{i!} (-z)^i \frac{i!}{(1+z)^{i+1}} \\ &= [z^n] \frac{(-z)^i}{(1-z)(1+z)^{i+1}}. \end{aligned}$$

Now

$$(11) \quad k! S(n, k) = \sum_{i \geq 0} i^n (-1)^{k-i} \binom{k}{i}$$

and thus ($n \geq 1$)

$$(12) \quad \begin{aligned} F_n &= \sum_{k=0}^n k! S(n, k) = \sum_{i \geq 0} (-1)^i i^n \sum_{k=0}^n (-1)^k \binom{k}{i} \\ &= \sum_{i \geq 0} i^n [z^n] \frac{z^i}{(1-z)(1+z)^{i+1}} \\ &= [z^n] \frac{1}{(1-z)(1+z)} \sum_{i \geq 0} \left(\frac{z}{1+z} \right)^i i^n \\ &= [z^n] \frac{1}{(1-z)(1+z)} A_n \left(\frac{z}{1+z} \right) \cdot (1+z)^{n+1} \\ &= [z^n] \frac{(1+z)^n}{1-z} A_n \left(\frac{z}{1+z} \right). \end{aligned}$$

3. In [7], [8] the present writer defined a d -Fibonacci set $A \subseteq \{1, 2, \dots, n\}$ to be a set with the property

$$(13) \quad i, j \in A, \quad i \neq j \Rightarrow |i-j| \geq d.$$

The numbers $C_n^{(d)}$ of partitions of $\{1, \dots, n\}$ where all sets are d -Fibonacci sets were determined; it turned out that

$$(14) \quad C_n^{(d)} = B_{n+1-d}$$

where B_m is a *Bell number*. Within this context, it is natural to consider $F_n^{(d)}$, the number of *ordered partitions of $\{1, \dots, n\}$ into d -Fibonacci sets*. These numbers are most easily determined by use of a particularly elegant technique developed by Rota [6].

Let us recall from [7] that the number of functions $f: \{1, \dots, n\} \rightarrow U$ (a finite set with u elements) such that

$$(15) \quad |\{f(i), f(i+1), \dots, f(i+d-1)\}| = d \text{ for all } i$$

is given by

$$(16) \quad (u)_{d-1}(u-d+1)^{n+1-d},$$

where $(u)_d := u(u-1) \cdots (u-d+1)$.

The functions fulfilling (15) are partitioned with respect to their kernels: (The kernel of f is the partition of $\{1, \dots, n\}$ defined by saying that a and b are in the same block iff $f(a) = f(b)$.) Let $N(\pi)$ denote the number of blocks of the partition π . Then

$$(17) \quad (u)_{N(\pi)} = (u)_{d-1}(u-d+1)^{n+1-d}.$$

The application of the linear functional \bar{L} defined by $(u)_k \rightarrow 1$ for all k to (17) gives $C_n^{(d)}$, since each summand gives a contribution of 1. To find $F_n^{(d)}$, we have to use the linear functional L defined by $(u)_k \rightarrow k!$, because there are $k!$ ways to “order” the k blocks of the partition, so that the contribution of a partition with $N(\pi)$ blocks to the application of L to the left-handside of (17) is $N(\pi)!$.

Tanny [9] has proved that for any polynomial p

$$(18) \quad L(p(u)) = p(0) + L(\Delta p(u))$$

with $\Delta p(u) = p(u+1) - p(u)$. Repeated application of (18) gives:

Let s be the smallest natural number such that $p(s) \neq 0$ holds (for $p \neq 0$); then

$$(19) \quad 2^s Lp(u) = Lp(u+s).$$

Now we have

$$(20) \quad F_n^{(d)} = L(u)_{d-1}(u-d+1)^{n+1-d}$$

and thus using (19) with $p(u) = (u)_{d-1}$ and $s = d-1$

$$(21) \quad 2^{d-1} F_n^{(d)} = L(u+d-1)_{d-1} u^{n+1-d}.$$

In Comtet [2] we find essentially that

$$(22) \quad (u+d-1)_{d-1} = \sum_{k=0}^{d-1} |s(d, k+1)| u^k,$$

where the $|s(d, l)|$ are *signless Stirling numbers of the first kind*. From this we

infer

$$\begin{aligned}
 F_n^{(d)} &= 2^{1-d} L \sum_{k=0}^{d-1} |s(d, k+1)| u^{n+1-d+k} \\
 (23) \qquad &= 2^{1-d} L \sum_{k=0}^{d-1} |s(d, d-k)| u^{n-k} \\
 &= 2^{1-d} \sum_{k=0}^{d-1} |s(d, d-k)| F_{n-k}.
 \end{aligned}$$

An easy consequence of (2) and (23) is

$$(24) \qquad F_n^{(d)} \sim 2^{1-d} F_n, \quad (n \rightarrow \infty).$$

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