

# Separation of Variables for $U_q(\mathfrak{sl}_{n+1})^+$

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*Abstract.* Let  $U_q(\mathfrak{sl}_{n+1})^+$  be the positive part of the quantized enveloping algebra  $U_q(\mathfrak{sl}_{n+1})$ . Using results of Alev–Dumas and Caldero related to the center of  $U_q(\mathfrak{sl}_{n+1})^+$ , we show that this algebra is free over its center. This is reminiscent of Kostant’s separation of variables for the enveloping algebra  $U(\mathfrak{g})$  of a complex semisimple Lie algebra  $\mathfrak{g}$ , and also of an analogous result of Joseph–Letzter for the quantum algebra  $\check{U}_q(\mathfrak{g})$ . Of greater importance to its representation theory is the fact that  $U_q(\mathfrak{sl}_{n+1})^+$  is free over a larger polynomial subalgebra  $N$  in  $n$  variables. Induction from  $N$  to  $U_q(\mathfrak{sl}_{n+1})^+$  provides infinite-dimensional modules with good properties, including a grading that is inherited by submodules.

## 1 Introduction

We work over a field  $\mathbb{K}$  of characteristic 0 and assume  $q \in \mathbb{K}^\times$  is not a root of unity. In this paper we show that the algebra  $U_q(\mathfrak{sl}_{n+1})^+$ , the quantized version of the enveloping algebra of the nilpotent Lie algebra of strictly upper triangular  $(n+1) \times (n+1)$  matrices, is free when viewed as a module over its center. This has consequences for the representation theory of  $U_q(\mathfrak{sl}_{n+1})^+$ , one of which being the existence of simple modules with arbitrary central character. In fact, we show first that  $U_q(\mathfrak{sl}_{n+1})^+$  is free over a polynomial subalgebra  $N$  in variables  $\Delta_1, \dots, \Delta_n$  that commute with the Chevalley generators  $e_1, \dots, e_n$  up to a power of the parameter  $q$ .

Our motivation is the study of infinite-dimensional  $U_q(\mathfrak{sl}_{n+1})^+$ -modules. We use the latter result to construct modules by inducing from one-dimensional  $N$ -modules. Given an  $N$ -character  $\chi \in \widehat{N} = \text{Alg}(N, \mathbb{K})$  with corresponding simple module  $V_\chi = \mathbb{K}v_\chi$ , the induced  $U_q(\mathfrak{sl}_{n+1})^+$ -module  $M_\chi = U_q(\mathfrak{sl}_{n+1})^+ \otimes_N V_\chi$  has a *weight space* decomposition with respect to  $N$ ,

$$M_\chi = \bigoplus_{\eta \in \widehat{N}} M_\chi^{(\eta)},$$

where  $M_\chi^{(\eta)} = \{m \in M_\chi \mid x.m = \eta(x)m \text{ for all } x \in N\}$ , and it is easy to see that every subquotient of  $M_\chi$  inherits this grading.

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Received by the editors December 10, 2003; revised July 15, 2004.

The author gratefully acknowledges support from Fundação para a Ciência e a Tecnologia, Grant BD/16019/98, from National Science Foundation Grant DMS–0245082, from Fundação Calouste Gulbenkian, from Centro de Matemática da Universidade do Porto, and from the University of Wisconsin–Madison.

AMS subject classification: 17B37, 16W35, 17B10, 16D60.

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For the case  $n = 2$ , the algebra  $U_q(\mathfrak{sl}_3)^+$  is isomorphic to the down-up algebra  $A(q + q^{-1}, -1, 0)$  with generators  $d, u$  and defining relations

$$\begin{aligned}d^2u - (q + q^{-1})dud + ud^2 &= 0, \\ du^2 - (q + q^{-1})udu + u^2d &= 0.\end{aligned}$$

In this case, the polynomial algebra  $N$  is just  $\mathbb{K}[du, ud]$ , and the modules we discuss are universal amongst cyclic weight modules for the down-up algebra  $A(q + q^{-1}, -1, 0)$ . The case  $n = 3$  is more intricate, but we obtain two distinct two-parameter families of representations.

We begin with the basic definitions, including the description of a PBW (Poincaré–Birkhoff–Witt) basis and a filtration for which the associated graded algebra is a *quantum affine space*. After briefly reviewing results of Caldero [5, 6] and of Alev–Dumas [1] on the center  $Z$  of  $U_q(\mathfrak{sl}_{n+1})^+$ , we show that  $U_q(\mathfrak{sl}_{n+1})^+$  is free over  $N$  and also over  $Z$ , by working in the graded algebra first. We can then exploit this result to develop the representation theory of  $U_q(\mathfrak{sl}_{n+1})^+$ .

The techniques of [7] can be used instead to show the freeness of  $U_q(\mathfrak{sl}_{n+1})^+$  over its center. Our approach is perhaps more pedestrian. But the same methods as we use here apply to the enveloping algebra of the Lie algebra  $\mathfrak{sl}_{n+1}^+$ , using Dixmier’s description of the center in [9]. We therefore see that  $U(\mathfrak{sl}_{n+1}^+)$  is also free over its center, a result that suggests that the class of algebras for which the separation of variables is true goes well beyond the universal enveloping algebras of the finite-dimensional complex semisimple Lie algebras and their quantum analogues. Further evidence of this comes from the theory of down-up algebras, which are known to behave similarly to enveloping algebras. In [2], the authors prove separation and annihilation theorems for the down-up algebra  $A(\alpha, \beta, \gamma)$  for all choices of parameters  $\alpha, \beta, \gamma$ . See also the remarks at the end of Section 5.

## 2 Definitions and Notation

**2.1** Let  $\mathbb{K}$  be a field of characteristic 0 and assume  $q \in \mathbb{K}^\times$  is not a root of unity. The algebra we are concerned with is the unital, associative  $\mathbb{K}$ -algebra having generators  $e_1, \dots, e_n$ , which satisfy the relations

$$\begin{aligned}(1) \quad & e_i e_j - e_j e_i = 0 \quad \text{if } |i - j| \neq 1, \\ (2) \quad & e_i^2 e_j - (q + q^{-1}) e_i e_j e_i + e_j e_i^2 = 0 \quad \text{if } |i - j| = 1.\end{aligned}$$

We will denote this algebra by  $U_q(\mathfrak{sl}_{n+1})^+$ ; it is the positive part of the quantized enveloping algebra  $U_q(\mathfrak{sl}_{n+1})$  with respect to the usual triangular decomposition (see [8, 10, 12, 13], for example).

**2.2** Let  $\mathfrak{sl}_{n+1}$  be the Lie algebra of traceless  $(n + 1) \times (n + 1)$  matrices over the complex field  $\mathbb{C}$ ;  $R$  the set of roots with respect to a Cartan subalgebra  $\mathfrak{h}$ ;  $\alpha_1, \dots, \alpha_n$  a base

of  $R$ ;  $\varpi_1, \dots, \varpi_n$  the fundamental weights;  $Q = \bigoplus_{k=1}^n \mathbb{Z}\alpha_k$  the root lattice;  $Q^+ = \bigoplus_{k=1}^n \mathbb{N}\alpha_k$  the positive root lattice;  $P = \bigoplus_{k=1}^n \mathbb{Z}\varpi_k$  the weight lattice; and  $R^+ = R \cap Q^+$  the set of positive roots. There is a nondegenerate bilinear form on  $Q \times Q$  given by  $(\alpha_i, \alpha_j) = 2\delta_{i,j} - \delta_{i,j\pm 1}$  for all  $i, j = 1, \dots, n$ .

The algebra  $U_q(\mathfrak{sl}_{n+1})^+$  can be graded by the positive root lattice  $Q^+$  by assigning to  $e_i$  the degree  $\alpha_i$ , as the defining relations are homogeneous. We use the terminology *weight* instead of degree for this gradation and write  $\text{wt}(u) = \beta$  if  $u \in U_q(\mathfrak{sl}_{n+1})^+$  has weight  $\beta \in Q^+$ .

### 3 PBW Basis and a Filtration

Many authors have studied PBW-bases of  $U_q(\mathfrak{sl}_{n+1})^+$  (e.g., [16–19]); here we follow Ringel [17]. The filtration in 3.2 below is similar to the one in [8] and yields the same graded algebra.

- 3.1** For each  $1 \leq i < j \leq n + 1$ , we can define weight elements  $X_{ij}$  recursively by setting  $X_{i,i+1} = e_i$  for all  $i \in \{1, \dots, n\}$  and  $X_{ij} = X_{ik}X_{kj} - q^{-1}X_{kj}X_{ik}$  for  $1 \leq i < k < j \leq n + 1$ . It can be shown that this definition does not depend on  $k$  (see [17, App. 2]). These elements correspond bijectively to the positive roots of  $\mathfrak{sl}_{n+1}$ , as  $\text{wt}(X_{ij}) = \alpha_i + \dots + \alpha_{j-1}$  for all  $i < j$ . The set  $\{X_{ij}\}_{1 \leq i < j \leq n+1}$  can be linearly ordered using the rule

$$X_{ij} < X_{kl} \iff (k < i) \text{ or } (k = i \text{ and } l < j).$$

We use the alternative notation  $X_k$  for the  $k$ -th element in this increasing chain, so that  $\{X_{ij}\}_{1 \leq i < j \leq n+1} = \{X_k\}_{1 \leq k \leq m}$ , where  $m = |R^+| = \frac{1}{2}n(n + 1)$ .

Let  $\mathbf{b} \in \mathbb{N}^m$  and write  $X^{\mathbf{b}} := X_1^{b_1} \dots X_m^{b_m}$ . By [17, Thm. 2], the monomials  $X^{\mathbf{b}}$  ( $\mathbf{b} \in \mathbb{N}^m$ ) form a basis of  $U_q(\mathfrak{sl}_{n+1})^+$ . Furthermore, for all  $i < j$  we have

$$(3) \quad X_j X_i = q^{(\text{wt}(X_i), \text{wt}(X_j))} X_i X_j + \sum c_{a_{i+1}, \dots, a_{j-1}} X_{i+1}^{a_{i+1}} \dots X_{j-1}^{a_{j-1}},$$

where  $c_{a_{i+1}, \dots, a_{j-1}} \in \mathbb{K}$ , and the sum is over all sequences  $(a_{i+1}, \dots, a_{j-1})$  of natural numbers such that the homogeneity of (3) is preserved.

- 3.2** We order  $\mathbb{N}^m$  by setting  $\mathbf{b} < \mathbf{c} \iff$  there is  $l \in \{1, \dots, m\}$  such that  $b_l < c_l$  and  $b_t = c_t$  for all  $t > l$ . Naturally,  $\mathbf{b} \leq \mathbf{c}$  means  $\mathbf{b} < \mathbf{c}$  or  $\mathbf{b} = \mathbf{c}$ . This is easily seen to be a well-ordered relation on  $\mathbb{N}^m$ . Define

$$U_q^+(\mathbf{a}) = \bigoplus_{\mathbf{b} \leq \mathbf{a}} \mathbb{K}X^{\mathbf{b}} \quad \text{and} \quad U_q^+(\leq \mathbf{a}) = \bigcup_{\mathbf{b} < \mathbf{a}} U_q^+(\mathbf{b}).$$

The family  $\{U_q^+(\mathbf{a})\}_{\mathbf{a} \in \mathbb{N}^m}$  is an increasing filtration of  $U_q(\mathfrak{sl}_{n+1})^+$  by  $\mathbb{N}^m$  with respect to the order defined above. In particular,  $U_q^+(\mathbf{b}) \subseteq U_q^+(\mathbf{a})$  if  $\mathbf{b} \leq \mathbf{a}$ ,

$$\bigcup_{\mathbf{a} \in \mathbb{N}^m} U_q^+(\mathbf{a}) = U_q(\mathfrak{sl}_{n+1})^+ \quad \text{and} \quad U_q^+(\mathbf{a}) \cdot U_q^+(\mathbf{b}) \subseteq U_q^+(\mathbf{a} + \mathbf{b}).$$

The latter property is essentially a consequence of (3).

**3.3** By 3.2 we can define the associated graded algebra as

$$S \stackrel{\text{def}}{=} gr(U_q(\mathfrak{sl}_{n+1})^+) = \bigoplus_{\mathbf{a} \in \mathbb{N}^m} U_q^+(\mathbf{a})/U_q^+(\langle \mathbf{a} \rangle), \quad (U_q^+(\langle \mathbf{0} \rangle) = (0)),$$

where multiplication is defined by linearity in the following way: Given  $u \in U_q^+(\mathbf{a}) \setminus U_q^+(\langle \mathbf{a} \rangle)$ , we say  $u$  has degree  $\mathbf{a}$  (by convention,  $\text{deg}(0) = (-\infty, \dots, -\infty)$ ). Write  $gr(u) = u + U_q^+(\langle \mathbf{a} \rangle)$ . If  $v \in U_q^+(\mathbf{b}) \setminus U_q^+(\langle \mathbf{b} \rangle)$ , then

$$gr(u) \cdot gr(v) = uv + U_q^+(\langle \mathbf{a} + \mathbf{b} \rangle).$$

This is well defined by 3.2, and we have the relations

$$gr(X_j)gr(X_i) = q^{(\text{wt}(X_i), \text{wt}(X_j))} gr(X_i)gr(X_j) \quad \text{if } i < j.$$

Therefore  $\text{deg}(uv) = \text{deg}(u) + \text{deg}(v)$ , and the associated graded algebra  $S$  is an integral domain. Also,  $gr(u)gr(v) = gr(uv)$ . In fact,  $S$  is the *quantum affine space* given by generators  $\theta_1, \dots, \theta_m$  and relations  $\theta_j\theta_i = t_{ij}\theta_i\theta_j$ , where  $\theta_i = gr(X_i)$ , and

$$(4) \quad t_{ij} = \begin{cases} q^{(\text{wt}(X_i), \text{wt}(X_j))} & \text{if } i < j, \\ 1 & \text{if } i = j, \\ t_{ji}^{-1} & \text{if } j < i. \end{cases}$$

### 4 Central and $q$ -Central Elements of $U_q(\mathfrak{sl}_{n+1})^+$

Alev and Dumas [1] as well as Caldero [4,5] have determined the center of  $U_q(\mathfrak{sl}_{n+1})^+$ . According to their work, there exist algebraically independent elements  $\Delta_1, \dots, \Delta_n$  of  $U_q(\mathfrak{sl}_{n+1})^+$  that commute with the generators  $e_1, \dots, e_n$  up to a power of  $q$ . They generate a (commutative) polynomial subalgebra that contains the center. We summarize results of [5] regarding the  $\Delta_i$ , and then determine  $gr(\Delta_i)$  ( $1 \leq i \leq n$ ) explicitly in the graded algebra  $S$  of 3.3.

**4.1** Consider the matrix

$$\mathcal{X} = \begin{pmatrix} \xi & X_{1,2} & X_{1,3} & \cdots & X_{1,n+1} \\ & \xi & X_{2,3} & \cdots & X_{2,n+1} \\ & & \ddots & \vdots & \vdots \\ & 0 & & \xi & X_{n,n+1} \\ & & & & \xi \end{pmatrix}$$

with  $\xi = q(q - q^{-1})^{-1}$ . For every  $i = 1, \dots, n$ , define  $\Delta_i = \text{Det}_q(\mathcal{X}_i)$ , where  $\mathcal{X}_i$  is the  $i \times i$  matrix obtained from the top  $i$  rows and rightmost  $i$  columns of  $\mathcal{X}$ , and  $\text{Det}_q$  is a *quantum determinant* that associates to any matrix  $M = (m_{kl})_{1 \leq k,l \leq p}$  with entries in a  $\mathbb{K}$ -algebra  $C$  the element

$$(5) \quad \text{Det}_q M = \sum_{\sigma \in \Sigma_p} (-q^{-1})^{l(\sigma)} m_{\sigma(p),p} \cdots m_{\sigma(1),1},$$

$l(\sigma)$  being the length of the permutation  $\sigma$  in the symmetric group  $\Sigma_p$ .

**4.2** Let  $\check{U}_q^0$  be the group algebra of the weight lattice  $P$ . Then  $\check{U}_q^0$  is the algebra of Laurent polynomials  $\mathbb{K}[K_{\varpi_1}^{\pm}, \dots, K_{\varpi_n}^{\pm}]$ , where each  $K_{\varpi_i}$  corresponds to the fundamental weight  $\varpi_i$ . The “positive Borel”  $\check{U}_q(\mathfrak{sl}_{n+1})^{\geq 0}$  is defined so that  $U_q(\mathfrak{sl}_{n+1})^+$  and  $\check{U}_q^0$  are subalgebras and  $\check{U}_q(\mathfrak{sl}_{n+1})^{\geq 0} \simeq U_q(\mathfrak{sl}_{n+1})^+ \otimes_{\mathbb{K}} \check{U}_q^0$  as a vector space, with the additional relations:

$$(6) \quad K_{\varpi_i} e_j K_{\varpi_i}^{-1} = q^{\delta_{ij}} e_j, \text{ for all } 1 \leq i, j \leq n.$$

There exists a Hopf algebra structure on  $\check{U}_q(\mathfrak{sl}_{n+1})^{\geq 0}$ , endowing this algebra with a (left) adjoint action denoted by  $\text{ad}$ . For each  $1 \leq i \leq n$  let  $L_q(\varpi_i)$  be the finite-dimensional simple module of highest weight  $\varpi_i$  for the quantized enveloping algebra  $U_q(\mathfrak{sl}_{n+1})$  (see [12], for example). The submodule  $\text{ad } U_q(\mathfrak{sl}_{n+1})^+(K_{\varpi_i}^{-2})$  of  $\check{U}_q(\mathfrak{sl}_{n+1})^{\geq 0}$  is isomorphic to  $L_q(\varpi_i)$  as a  $U_q(\mathfrak{sl}_{n+1})^+$ -module [5, 6, 14], and the element  $e_{s(\varpi_i)} \in U_q(\mathfrak{sl}_{n+1})^+$  is defined in [5, 6] so that  $K_{\varpi_i}^{-2} e_{s(\varpi_i)}$  corresponds to a highest weight vector of  $L_q(\varpi_i)$  under that isomorphism. In other words,  $\text{ad } e_j(K_{\varpi_i}^{-2} e_{s(\varpi_i)}) = 0$  for all  $1 \leq i, j \leq n$ .

**4.3** The following theorem describes the center of  $U_q(\mathfrak{sl}_{n+1})^+$  and the nature of the  $\Delta_i$ ,  $1 \leq i \leq n$ . Part (c) is the quantum analogue of [9, Thm. 1].

**Theorem 1** ([5, 6]) *For  $1 \leq i, j \leq n$ , the following hold:*

- (a)  $e_i \Delta_j = q^{\delta_{ij} - \delta_{i, n+1-j}} \Delta_j e_i$ .
- (b) *The subalgebra  $N$  of  $U_q(\mathfrak{sl}_{n+1})^+$  generated by  $\Delta_1, \dots, \Delta_n$  is a polynomial algebra  $\mathbb{K}[\Delta_1, \dots, \Delta_n]$  in  $n$  variables.*
- (c) *The center  $Z$  of  $U_q(\mathfrak{sl}_{n+1})^+$  is the polynomial algebra in the variables  $\{\Delta_k \Delta_{n+1-k} \mid 1 \leq k \leq n/2\}$  if  $n$  is even and  $\{\Delta_k \Delta_{n+1-k} \mid 1 \leq k \leq (n-1)/2\} \cup \{\Delta_{(n+1)/2}\}$  if  $n$  is odd.*

**Proof** Let  $\zeta: U_q(\mathfrak{sl}_{n+1})^+ \rightarrow U_q(\mathfrak{sl}_{n+1})^+$  be the antiautomorphism with  $\zeta(e_i) = e_i$  for all  $i$ . Using [5, Thm. 4.1], it is not hard to see that  $e_{s(\varpi_i)} = \zeta(\Delta_i)$  for all  $1 \leq i \leq n$ . Then, part (a) follows from the proof of [5, Thm. 3.2], part (c) from [5, Thm. 4.1] and part (b) from [5, Prop. 3.2] and [6, Rem. 2.2]. ■

In the case of the algebra  $U_q(\mathfrak{sl}_3)^+$ , for example,  $\Delta_1 = X_{1,3} = e_1 e_2 - q^{-1} e_2 e_1$  and  $\Delta_2 = X_{2,3} X_{1,2} - q^{-1} X_{1,3} \xi = \xi(e_2 e_1 - q^{-1} e_1 e_2)$ . Hence the center of  $U_q(\mathfrak{sl}_3)^+$  is the polynomial subalgebra  $\mathbb{K}[z]$ , where  $z = \Delta_1 \Delta_2$ .

The  $\Delta_i$  are said to be  $q$ -central, because they commute with the Chevalley generators of  $U_q(\mathfrak{sl}_{n+1})^+$ , up to a power of  $q$ . The set of  $q$ -central elements is a proper subset of  $N$  which is closed under multiplication, but is not a subspace. For example,  $\Delta_1 + \Delta_n$  is not  $q$ -central. See [6, Thm. 2.2] for details.

**4.4** It is easy to see that the term of highest order of  $\Delta_i$ ,  $1 \leq i \leq n$ , when expressed in terms of the PBW-basis of 3.1 is obtained by taking the identity permutation in (5).

Therefore,

$$\Delta_i = X_{i,n+1}X_{i-1,n} \cdots X_{2,n+3-i}X_{1,n+2-i} + (\text{lower order terms})$$

and consequently, in  $S = gr(U_q(\mathfrak{sl}_{n+1})^+)$ ,

$$(7) \quad gr(\Delta_i) = gr(X_{i,n+1}) gr(X_{i-1,n}) \cdots gr(X_{2,n+3-i}) gr(X_{1,n+2-i}).$$

Hence, each of the elements  $gr(X_{i,j})$ ,  $1 \leq i < j \leq n + 1$ , occurs exactly once in precisely one of the monomials  $gr(\Delta_k)$ ,  $1 \leq k \leq n$ .

### 5 $U_q(\mathfrak{sl}_{n+1})^+$ as a Module Over Its Center

Recall that the algebraically independent elements  $\Delta_1, \dots, \Delta_n$  generate a polynomial algebra denoted by  $N$ . We show that  $U_q(\mathfrak{sl}_{n+1})^+$  is free as a module over  $N$ , acting via (right or left) multiplication, and as a consequence, we see that it is also free over its center,  $Z$ . When we write  $A \cong_{\mathbb{K}} B \otimes_{\mathbb{K}} C$  for a  $\mathbb{K}$ -algebra  $A$ , we mean that  $B$  and  $C$  are subspaces of  $A$  and that the map  $m: B \otimes_{\mathbb{K}} C \rightarrow A$  that sends  $b \otimes c$  to  $bc$  is a vector space isomorphism.

**5.1** Let  $T = (t_{ij})_{1 \leq i, j \leq r}$  be a matrix with nonzero scalar entries satisfying  $t_{ii} = 1$  and  $t_{ij} = t_{ji}^{-1}$  for all  $i, j$ . The *quantum affine space* associated with  $T$  is the unital, associative  $\mathbb{K}$ -algebra with generators  $z_1, \dots, z_r$ , and relations  $z_j z_i = t_{ij} z_i z_j$  for all  $i, j$ . We denote it by  $\mathbb{K}_T[z_1, \dots, z_r]$ . The subalgebra generated by the monomial  $z_1 \cdots z_r$  is a polynomial algebra in one variable that we naturally denote by  $\mathbb{K}[z_1 \cdots z_r]$ . The following technical lemma is straightforward to prove:

**Lemma 1**  $\mathbb{K}_T[z_1, \dots, z_r]$  is free over  $\mathbb{K}[z_1 \cdots z_r]$  (acting by multiplication). Indeed, there is a set of linearly independent monomials  $B_r \subseteq \mathbb{K}_T[z_1, \dots, z_r]$  such that if  $H_r$  is the vector space spanned by  $B_r$ , then

$$\mathbb{K}_T[z_1, \dots, z_r] \cong_{\mathbb{K}} H_r \otimes_{\mathbb{K}} \mathbb{K}[z_1 \cdots z_r].$$

The set  $B_r$  can be defined recursively (and independently of  $T$ ) by

$$B_r = B_{r-1} \cdot (\{(z_1 \cdots z_{r-1})^a \mid a \in \mathbb{N}\} \cup \{z_r^c \mid c \in \mathbb{N} \setminus \{0\}\}), \quad B_1 = \{1\}.$$

**5.2** Let  $S$  be the graded algebra introduced in 3.3. As noted earlier, it is the quantum affine space  $\mathbb{K}_T[\theta_1, \dots, \theta_m]$  where  $\theta_i = gr(X_i)$  and  $t_{ij}$  is given by (4). As in 3.1, we also use the notation  $\theta_{ij} = gr(X_{ij})$ . For each  $1 \leq i \leq n$ , let  $S_i$  be the subalgebra of  $S$  generated by  $\{\theta_{k,k+n+1-i} \mid 1 \leq k \leq i\}$ . Set

$$y_i := gr(\Delta_i) = \theta_{i,n+1} \cdots \theta_{1,n+2-i} \in S_i$$

and  $J_i = \mathbb{K}[y_i] \subseteq S_i$ . Denote by  $J$  the subalgebra of  $S$  generated by  $y_1, \dots, y_n$ . Since  $y_i = gr(\Delta_i)$  for all  $1 \leq i \leq n$ , by (7), we conclude that the  $y_j$  commute with

each other, and hence that  $J$  is the polynomial algebra in the variables  $y_1, \dots, y_n$ . Therefore,

$$S \cong_{\mathbb{K}} S_1 \otimes_{\mathbb{K}} \cdots \otimes_{\mathbb{K}} S_n, \quad \text{and} \quad J \cong_{\mathbb{K}} J_1 \otimes_{\mathbb{K}} \cdots \otimes_{\mathbb{K}} J_n.$$

It is clear that  $S_i$  is the quantum affine space  $\mathbb{K}_{T_i}[\theta_{1,n+2-i}, \dots, \theta_{i,n+1}]$ ,  $T_i$  being obtained from  $T$  in the obvious way. Thus  $S_i \cong_{\mathbb{K}} H_i \otimes_{\mathbb{K}} J_i$  by Lemma 1, where  $H_i$  is the linear span of the monomial basis given in this lemma. Since the spaces  $H_j$  are homogeneous, (in the sense that they have a basis consisting of certain monomials in the variables  $\theta_k$ ) it follows that  $J_i \otimes_{\mathbb{K}} H_j \cong_{\mathbb{K}} H_j \otimes_{\mathbb{K}} J_i$  for all  $i, j$  and so

$$\begin{aligned} (8) \quad S &\cong_{\mathbb{K}} (H_1 \otimes_{\mathbb{K}} J_1) \otimes_{\mathbb{K}} \cdots \otimes_{\mathbb{K}} (H_n \otimes_{\mathbb{K}} J_n) \\ &\cong_{\mathbb{K}} (H_1 \otimes_{\mathbb{K}} \cdots \otimes_{\mathbb{K}} H_n) \otimes_{\mathbb{K}} (J_1 \otimes_{\mathbb{K}} \cdots \otimes_{\mathbb{K}} J_n) \\ &\cong_{\mathbb{K}} H \otimes_{\mathbb{K}} J \end{aligned}$$

with  $H = H_1 \otimes_{\mathbb{K}} \cdots \otimes_{\mathbb{K}} H_n$ . This shows that  $S$  is free over  $J$ : if  $\mathcal{B}$  is a  $\mathbb{K}$ -basis for  $H$ , then  $S \cong \bigoplus_{b \in \mathcal{B}} bJ$  as (right)  $J$ -modules.

**5.3** Consider the linear isomorphism  $\beta: U_q(\mathfrak{sl}_{n+1})^+ \rightarrow S$  defined by

$$\sum_{\mathbf{a} \in \mathbb{N}^m} c_{\mathbf{a}} X^{\mathbf{a}} \mapsto \sum_{\mathbf{a} \in \mathbb{N}^m} c_{\mathbf{a}} \theta^{\mathbf{a}},$$

and let  $\mathcal{K} = \beta^{-1}(H)$ .

**Proposition 1**  $U_q(\mathfrak{sl}_{n+1})^+$  is free over the polynomial algebra  $N$ . Specifically,

$$U_q(\mathfrak{sl}_{n+1})^+ \cong_{\mathbb{K}} \mathcal{K} \otimes_{\mathbb{K}} N.$$

**Proof** Let  $\psi: \mathcal{K} \otimes_{\mathbb{K}} N \rightarrow U_q^+$  be the multiplication map.

*$\psi$  is surjective:* We will show that  $X^{\mathbf{a}} \in \text{Im } \psi$  by induction on  $\mathbf{a} \in \mathbb{N}^m$ . If  $\mathbf{a} = (0, \dots, 0)$ , then  $1 = X^{\mathbf{a}} \in \psi(\mathcal{K} \otimes_{\mathbb{K}} N)$ , as  $1 \in \mathcal{K}$ . Suppose the result is true for all  $\mathbf{d} < \mathbf{a}$ . By (8),  $gr(X^{\mathbf{a}}) = \theta^{\mathbf{a}} = \sum_{i=1}^k h_i p_i$  with  $h_i \in H$  and  $p_i = p_i(y_1, \dots, y_n) \in J$ . It can be assumed that the  $h_i$  are monomials in the  $\theta_j$ , and the  $p_i$  are monomials in the  $y_j$  (and hence in the  $\theta_i$  also) up to a nonzero scalar multiple. Since  $\theta^{\mathbf{a}}$  is itself a monomial, we can further assume  $k = 1$  and  $\theta^{\mathbf{a}} = hp$ , say  $h = \theta^{\mathbf{b}}$  and  $p = \lambda y^{\mathbf{c}}$ . Notice that  $X^{\mathbf{b}} = \beta^{-1}(h) \in \mathcal{K}$  and  $gr \psi(X^{\mathbf{b}} \otimes \lambda \Delta^{\mathbf{c}}) = gr(X^{\mathbf{a}})$ . Therefore  $X^{\mathbf{a}} - \psi(X^{\mathbf{b}} \otimes \lambda \Delta^{\mathbf{c}}) \in U_q^+(\mathbf{d})$  for some  $\mathbf{d} < \mathbf{a}$ , and the induction hypothesis implies that  $X^{\mathbf{a}} \in \text{Im } \psi$ .

*$\psi$  is injective:* Suppose  $\beta^{-1}(h_1)p_1 + \cdots + \beta^{-1}(h_k)p_k = 0$  with  $h_i \in H$  and  $p_i \in N$ . We can assume the  $h_i$  are (distinct) monomials in the  $\theta_j$  and that the elements  $\beta^{-1}(h_i)p_i$  all have the same degree, say  $\mathbf{d} \in \mathbb{N}^m$ . Then we have

$$\begin{aligned} (9) \quad 0 &= gr(\beta^{-1}(h_1)p_1 + \cdots + \beta^{-1}(h_k)p_k) \\ &= h_1 gr(p_1) + \cdots + h_k gr(p_k). \end{aligned}$$

Since the  $h_i \in H$  are linearly independent over  $\mathbb{K}$  and  $gr(p_i) \in J$ , equations (8) and (9) force  $gr(p_i) = 0$  for all  $1 \leq i \leq k$ , and hence  $p_1 = \dots = p_k = 0$ .

Therefore  $\psi$  is a linear isomorphism and the proposition is proved. ■

This brings us to an analogue of Kostant’s separation of variables [15] (see also [14] for a version for  $\check{U}_q(\mathfrak{g})$ ,  $\mathfrak{g}$  semisimple). Since the center  $Z$  of  $U_q(\mathfrak{sl}_{n+1})^+$  is a polynomial algebra in the variables  $\Delta_1\Delta_n, \Delta_2\Delta_{n-1}, \dots$  (see Theorem 1(c)), we see that  $N$  is free over  $Z$ . Combining this with Proposition 1 yields the following separation theorem for  $U_q(\mathfrak{sl}_{n+1})^+$ :

**Theorem 2**  $U_q(\mathfrak{sl}_{n+1})^+$  is free over its center.

**Remarks** 1. Recently, Futorny and Ovsienko [11] have proved a similar result for what they call *special PBW algebras* over algebraically closed fields of characteristic 0. These are algebras  $R$  with a PBW-type basis and with an increasing filtration over  $\mathbb{N}$ , such that the associated graded algebra is a (commutative) polynomial ring. Their hypothesis is that there are mutually commuting *regular* elements  $x_1, \dots, x_r$ , that generate a polynomial subalgebra  $\Gamma \subseteq R$ . They prove that  $R$  is free as a left or right  $\Gamma$ -module. A major difference between their work and ours is that our associated graded algebra is not commutative, and  $\mathbb{K}$  is not assumed to be algebraically closed. Consequently, the algebraic geometry methods of [11] do not apply here.

2.  $U_q(\mathfrak{sl}_{n+1})^+$  is not finite over  $Z$ , as the proof shows and as is also apparent from the fact that there are infinite-dimensional simple modules.

## 6 Applications to Representations

**6.1** As before,  $Z$  denotes the center and  $N = \mathbb{K}[\Delta_1, \dots, \Delta_n]$ . If  $\mathbb{K}$  is algebraically closed, the irreducible  $N$ -modules are parametrized by the characters of  $N$ , i.e., algebra homomorphisms in  $\text{Alg}(N, \mathbb{K})$ , which in turn can be identified with the elements of  $\mathbb{K}^n$ . Following this idea, we think of  $\chi = (\chi_1, \dots, \chi_n) \in \mathbb{K}^n$  as the character  $N \rightarrow \mathbb{K}$ ,  $\Delta_i \mapsto \chi_i$ .

Let  $V_\chi = \mathbb{K}v_\chi$  be the simple  $N$ -module corresponding to  $\chi$ , and define the induced  $U_q(\mathfrak{sl}_{n+1})^+$ -module  $M_\chi = U_q(\mathfrak{sl}_{n+1})^+ \otimes_N V_\chi$ . By Proposition 1,

$$M_\chi = \mathcal{K} \otimes_{\mathbb{K}} V_\chi = \bigoplus_{\eta \in \mathbb{K}^n} M_\chi^{(\eta)}$$

as vector spaces, where each  $M_\chi^{(\eta)}$  is a semisimple  $N$ -module with simple summands isomorphic to  $V_\eta$ . The space  $M_\chi^{(\chi)}$  is nonzero and generates  $M_\chi$  as a  $U_q(\mathfrak{sl}_{n+1})^+$ -module. Any maximal submodule of  $M_\chi$  inherits this grading by  $\mathbb{K}^n$ , and the corresponding factor module is an irreducible  $U_q(\mathfrak{sl}_{n+1})^+$ -module, which is semisimple as an  $N$ -module and has a common eigenvector for  $N$  with eigenvalue  $\chi$ .

Thus, we see that any character  $\chi$  of  $N$  can be “lifted” to a simple  $U_q(\mathfrak{sl}_{n+1})^+$ -module  $L = \bigoplus_{\eta \in \mathbb{K}^n} L^{(\eta)}$ , with  $L^{(\chi)} \neq (0)$  and  $L^{(\eta)}$  a direct sum of copies of the simple  $N$ -module  $V_\eta$ , for all  $\eta \in \mathbb{K}^n$ . An analogous statement is true if we use  $Z$  instead of  $N$ , but in such a case,  $L = L^{(\theta)}$  for  $\theta$  a given character of  $Z$ .



**6.2** Throughout this subsection we consider the algebra  $U_q(\mathfrak{sl}_3)^+$ , so that  $n = 2$ . We will construct a family of modules for  $U_q(\mathfrak{sl}_3)^+$ , each universal with respect to the property that they are generated by a common eigenvector for the  $q$ -central elements  $\Delta_1$  and  $\Delta_2$  with a given eigenvalue. These turn out to be closely related to the weight modules for the down-up algebra  $A(q + q^{-1}, -1, 0)$ , defined in [3].

The generators of the PBW basis of  $U_q(\mathfrak{sl}_3)^+$  described in 3.1 are:

$$X_1 = e_2, \quad X_2 = e_1e_2 - q^{-1}e_2e_1, \quad X_3 = e_1,$$

and the  $q$ -central elements  $\Delta_1$  and  $\Delta_2$  can be taken to be

$$\Delta_1 = X_2 \quad \text{and} \quad \Delta_2 = e_1e_2 - qe_2e_1.$$

A basis for  $U_q(\mathfrak{sl}_3)^+$  over  $\mathbb{K}[\Delta_1, \Delta_2]$  is  $B = \{X_1^a \mid a \geq 1\} \cup \{X_3^b \mid b \geq 0\}$ . Let  $(\alpha, \beta) \in \mathbb{K}^2$  be a character of  $\mathbb{K}[\Delta_1, \Delta_2]$ . The induced module  $M_{(\alpha, \beta)} = U_q(\mathfrak{sl}_3)^+ \otimes_N V_{(\alpha, \beta)}$  has a  $\mathbb{K}$ -basis indexed by  $B$ . Computing in  $U_q(\mathfrak{sl}_3)^+$ , we see that  $M_{(\alpha, \beta)}$  is the  $U_q(\mathfrak{sl}_3)^+$ -module  $\mathbb{K}[x^{\pm 1}]$  with action:

$$e_1 \cdot x^a = \begin{cases} {}_\alpha [a]_\beta x^{a-1} & \text{if } a \geq 1, \\ x^{a-1} & \text{if } a \leq 0, \end{cases}$$

$$e_2 \cdot x^a = \begin{cases} x^{a+1} & \text{if } a \geq 0, \\ {}_\alpha [a + 1]_\beta x^{a+1} & \text{if } a \leq -1, \end{cases}$$

where we have identified  $x^a$  with  $X_1^a$  if  $a \geq 1$  and with  $X_3^{-a}$  if  $a \leq 0$ . The quantity  ${}_\lambda [k]_\mu$ , with  $\lambda, \mu \in \mathbb{K}$  and  $k \in \mathbb{Z}$  is given by

$$(10) \quad {}_\lambda [k]_\mu = \frac{\lambda q^k - \mu q^{-k}}{q - q^{-1}}.$$

In the particular case where  $\lambda = 1 = \mu$  we recover the  $q$ -integer  $[k] = {}_1 [k]_1$ .

Notice that

$$\Delta_1 \cdot x^a = q^a \alpha x^a \quad \text{and} \quad \Delta_2 \cdot x^a = q^{-a} \beta x^a, \quad \text{for all } a \in \mathbb{Z}$$

and hence, if  $(\alpha, \beta) \neq (0, 0)$ , this module is graded by  $\mathbb{Z}$ , with  $\deg x^a = a$ . Every submodule inherits this grading. This implies that  $M_{(\alpha, \beta)}$  has a unique maximal submodule when  $(\alpha, \beta) \neq (0, 0)$ , as the graded components have dimension 1. Let us examine this in more detail. We have two cases:

- (A)  $\alpha\beta^{-1} = q^{-2m}$ , for some  $m \in \mathbb{Z}$ . Then  ${}_\alpha [a]_\beta = 0 \Leftrightarrow a = m$ . The unique maximal submodule is  $\text{span}_{\mathbb{K}}\{x^r \mid r \geq m\}$  in case  $m \geq 1$ , or  $\text{span}_{\mathbb{K}}\{x^r \mid r \leq m - 1\}$  in case  $m \leq 0$ ;
- (B) If we are not in the situation of case (A), then (0) is the unique maximal submodule, and  $M_{(\alpha, \beta)}$  is simple.

If  $(\alpha, \beta) = (0, 0)$ , there is no longer a unique maximal submodule. For example, if  $\gamma \in \mathbb{K}^\times$  then the following are all maximal submodules of  $M_{(0,0)}$  of codimension 1:

$$U_q(\mathfrak{sl}_3)^+(x - \gamma 1), \quad U_q(\mathfrak{sl}_3)^+(\gamma 1 - x^{-1}), \quad U_q(\mathfrak{sl}_3)^+(x, x^{-1}).$$

In fact, if the field  $\mathbb{K}$  is algebraically closed, then as  $\gamma$  runs through all nonzero scalars, these are all its maximal submodules, and the corresponding simple quotients account for all isomorphism classes of finite-dimensional simple  $U_q(\mathfrak{sl}_3)^+$ -modules. There is a nonzero vector  $v_0$  such that the simple quotient is isomorphic to  $\mathbb{K}v_0$  with action given by  $e_1.v_0 = 0, e_2.v_0 = \gamma v_0; e_1.v_0 = \gamma v_0, e_2.v_0 = 0$ ; or  $e_1.v_0 = 0 = e_2.v_0$ , respectively.

The class of modules  $M_{(\alpha,\beta)}$  is, by construction, universal in the sense that if  $V$  is any  $U_q(\mathfrak{sl}_3)^+$ -module generated by an element  $v_0 \in V$  with  $\Delta_1.v_0 = \alpha v_0$  and  $\Delta_2.v_0 = \beta v_0$ , then  $V$  is a homomorphic image of  $M_{(\alpha,\beta)}$ .

We are now ready to make the connection with the down-up algebra  $A = A(q + q^{-1}, -1, 0)$ . The reader is referred to [3] for all the definitions concerning this algebra, which we shall not review here. After identifying  $d$  and  $u$  in  $A$  with  $e_1$  and  $e_2$  in  $U_q(\mathfrak{sl}_3)^+$  respectively, we see that these algebras coincide.

According to [3], a *weight module* for  $A$  is one for which the operators  $du$  and  $ud$  are simultaneously diagonalizable. Since a common eigenvector for  $du$  and  $ud$  is also a common eigenvector for  $du - q^{-1}ud$  and  $du - qud$ , and vice versa, it follows that such modules are the ones having a basis of common eigenvectors for  $\Delta_1$  and  $\Delta_2$ . Furthermore, as  $\Delta_1$  and  $\Delta_2$  are  $q$ -central, it suffices that the module be generated by such eigenvectors in order for it to be a weight module. Given the universal property of the modules  $M_{(\alpha,\beta)}$ , we see that any cyclic weight module is a homomorphic image of  $M_{(\alpha,\beta)}$ , for some  $(\alpha, \beta) \in \mathbb{K}^2$ . In particular, the following proposition is easy to prove:

**Proposition 2** *Let  $\kappa, \lambda \in \mathbb{K}$  and define the highest weight module  $V(\lambda)$ , lowest weight module  $W(\kappa)$  and doubly infinite module  $V(\kappa, \lambda)$  as in [3]. Then,*

- (a)  $\text{Span}_{\mathbb{K}}\{x^i \mid i \leq -1\}$  is a submodule of  $M_{(\lambda,\lambda)}$ , and the corresponding factor module is isomorphic to  $V(\lambda)$ ;
- (b)  $\text{Span}_{\mathbb{K}}\{x^i \mid i \geq 1\}$  is a submodule of  $M_{(-q^{-1}\kappa, -q\kappa)}$ , and the corresponding factor module is isomorphic to  $W(\kappa)$ ;
- (c) If  $(\kappa, \lambda) = (0, 0)$ , then  $V(0, 0)$  is not a Noetherian module, and therefore is not isomorphic to a subquotient of  $M_{(\alpha,\beta)}$ , for any  $(\alpha, \beta) \in \mathbb{K}^2$ ;
- (d) If  $\lambda - q\kappa = q^{2(m+1)}(\lambda - q^{-1}\kappa)$ , for some  $m \in \mathbb{Z}$ , then  $V(\kappa, \lambda)$  is isomorphic to  $M_{(\alpha,\beta)}$ , where  $\alpha = -q^{-1}(\lambda[m] - \kappa[m - 1])$  and  $\beta = q^2\alpha$ ;
- (e) If  $(\kappa, \lambda)$  satisfies neither of the conditions from (c) or (d), then  $V(\kappa, \lambda)$  is isomorphic to  $M_{(\lambda - q^{-1}\kappa, \lambda - q\kappa)}$ , and is therefore simple.

**6.3** Now we want to study the next simplest case,  $U_q(\mathfrak{sl}_4)^+$ . A PBW basis is given by:

$$\begin{aligned} X_1 &= e_3, & X_2 &= e_2e_3 - q^{-1}e_3e_2, & X_3 &= e_2, \\ X_4 &= e_1X_2 - q^{-1}X_2e_1, & X_5 &= e_1e_2 - q^{-1}e_2e_1, & \text{and } X_6 &= e_1, \end{aligned}$$

and we can take

$$\begin{aligned} \Delta_1 &= X_4, & \Delta_2 &= X_2X_5 - q^{-1}X_3X_4, \\ \Delta_3 &= q^{-2}((q - q^{-1})^2X_1X_3X_6 - (q - q^{-1})X_1X_5 - (q - q^{-1})X_2X_6 + X_4), \\ z_1 &= \Delta_1\Delta_3, & z_2 &= \Delta_2. \end{aligned}$$

The center is  $Z = \mathbb{K}[z_1, z_2]$ , and a basis for  $U_q(\mathfrak{sl}_4)^+$  over  $N = \mathbb{K}[\Delta_1, \Delta_2, \Delta_3]$  is

$$\begin{aligned} &\{X_1^a X_2^b X_3^c \mid (a, b, c) \in \mathbb{N}^3\} \cup \{X_1^a X_3^b X_5^c \mid (a, b, c) \in \mathbb{N}^3\} \\ &\cup \{X_1^a X_2^b X_6^c \mid (a, b, c) \in \mathbb{N}^3\} \cup \{X_1^a X_5^b X_6^c \mid (a, b, c) \in \mathbb{N}^3\} \\ &\cup \{X_2^a X_3^b X_6^c \mid (a, b, c) \in \mathbb{N}^3\} \cup \{X_3^a X_5^b X_6^c \mid (a, b, c) \in \mathbb{N}^3\}, \end{aligned}$$

which is already a considerably large set. Instead of inducing modules from  $N$ , we would like to find a bigger subalgebra to induce from, so that  $U_q(\mathfrak{sl}_4)^+$  is still free over this larger subalgebra, but with a free basis that is somewhat easier to manage.

Since  $X_1^a X_6^b$ ,  $(a, b) \in \mathbb{N}^2$ , are among the basis elements listed above, it is clear that the  $q$ -commuting elements  $X_1, X_6, \Delta_1$  and  $\Delta_2$  are algebraically independent, hence generate the quantum affine subalgebra

$$\Gamma = \mathbb{K}[X_1, X_6, \Delta_1, \Delta_2],$$

with relations  $X_1X_6 = X_6X_1$ ,  $X_1\Delta_2 = \Delta_2X_1$ ,  $X_1\Delta_1 = q^{-1}\Delta_1X_1$ ,  $X_6\Delta_2 = \Delta_2X_6$ ,  $X_6\Delta_1 = q\Delta_1X_6$ ,  $\Delta_1\Delta_2 = \Delta_2\Delta_1$ . It is easily seen by our discussion in Section 5 that  $U_q(\mathfrak{sl}_4)^+$  is free over  $\Gamma$ , with basis  $B = \{X_2^a X_3^b \mid (a, b) \in \mathbb{N}^2\} \cup \{X_3^a X_5^b \mid (a, b) \in \mathbb{N}^2\}$ . Given  $(\alpha, \beta) \in \mathbb{K}^2$ , there is a  $\Gamma$ -character determined by

$$X_1 \mapsto 0, \quad X_6 \mapsto 0, \quad \Delta_1 \mapsto \alpha, \quad \Delta_2 \mapsto \beta.$$

Let  $V_{(\alpha, \beta)}$  be the corresponding one-dimensional module, and set

$$M_{(\alpha, \beta)} = U_q(\mathfrak{sl}_4)^+ \otimes_{\Gamma} V_{(\alpha, \beta)}.$$

This is a cyclic  $U_q(\mathfrak{sl}_4)^+$ -module with a  $\mathbb{K}$ -basis indexed by  $B$ , and if we make the identifications

$$X_2^b X_3^a \leftrightarrow x^a y^b, \quad X_3^a X_5^c \leftrightarrow x^a y^{-c}, \quad a, b, c \in \mathbb{N},$$

we see that this corresponds to the  $U_q(\mathfrak{sl}_4)^+$ -module  $\mathbb{K}[x, y^{\pm 1}]$ , with action given by:

$$\begin{aligned} e_1 \cdot x^a y^b &= \begin{cases} \alpha q^{-a}[a + b]x^a y^{b-1} + \beta[a]q^{-a-b+1}x^{a-1}y^{b-1} & \text{if } b \geq 1, \\ [a]x^{a-1}y^{b-1} & \text{if } b \leq 0, \end{cases} \\ e_2 \cdot x^a y^b &= \begin{cases} q^b x^{a+1} y^b & \text{if } b \geq 0, \\ x^{a+1} y^b & \text{if } b < 0, \end{cases} \\ e_3 \cdot x^a y^b &= \begin{cases} -q^{a-b}[a]x^{a-1}y^{b+1} & \text{if } b \geq 0 \\ \alpha q[b - a]x^a y^{b+1} - \beta q[a]x^{a-1}y^{b+1} & \text{if } b \leq -1. \end{cases} \end{aligned}$$

Similarly, we could have used the  $\Gamma$ -character determined by

$$X_1 \mapsto \alpha, \quad X_6 \mapsto \beta, \quad \Delta_1 \mapsto 0, \quad \Delta_2 \mapsto \gamma,$$

for  $(\alpha, \beta, \gamma) \in \mathbb{K}^3$ , and the result would have been a  $U_q(\mathfrak{sl}_4)^+$ -module  $P_{(\alpha, \beta, \gamma)}$ , isomorphic to  $\mathbb{K}[x, y^{\pm 1}]$  with action:

$$\begin{aligned} e_1 \cdot x^a y^b &= \begin{cases} \beta q^{-a-b} x^a y^b + \gamma [a] q^{-a-b+1} x^{a-1} y^{b-1} & \text{if } b \geq 1, \\ \beta q^{-a-b} x^a y^b + [a] x^{a-1} y^{b-1} & \text{if } b \leq 0, \end{cases} \\ e_2 \cdot x^a y^b &= \begin{cases} q^b x^{a+1} y^b & \text{if } b \geq 0, \\ x^{a+1} y^b & \text{if } b < 0, \end{cases} \\ e_3 \cdot x^a y^b &= \begin{cases} \alpha q^{a-b} x^a y^b - q^{a-b} [a] x^{a-1} y^{b+1} & \text{if } b \geq 0, \\ \alpha q^{a-b} x^a y^b - \gamma q [a] x^{a-1} y^{b+1} & \text{if } b \leq -1. \end{cases} \end{aligned}$$

Let us look at the module  $M_{(\alpha, \beta)}$  more carefully. We have,

$$\Delta_1 \cdot x^a y^b = q^b \alpha x^a y^b, \quad \Delta_2 \cdot x^a y^b = \beta x^a y^b, \quad \text{and} \quad \Delta_3 \cdot x^a y^b = q^{-b} \alpha x^a y^b,$$

for all  $a \in \mathbb{N}$  and  $b \in \mathbb{Z}$ . Assume  $\alpha \neq 0$ . Then there is a natural  $\mathbb{Z}$ -grading on  $M_{(\alpha, \beta)}$  given by setting  $\deg(x^a y^b) = b$  for all  $b \in \mathbb{Z}$ . It has the additional property that any submodule of  $M_{(\alpha, \beta)}$  inherits this grading. Note that the homogeneous subspace of degree  $k$  is  $\mathbb{K}[x]y^k$ . We will show now under the assumption  $\alpha \neq 0$  that  $M_{(\alpha, \beta)}$  is simple. Let  $W$  be a nonzero submodule, and take a nonzero homogeneous element of  $W$ , say  $p$ , which we can write as

$$p = (a_0 + a_1 x + \dots + a_l x^l) y^b = a_0 y^b + a_1 x y^b + \dots + a_l x^l y^b,$$

where  $a_i \in \mathbb{K}$ ,  $a_l \neq 0$ ,  $l \geq 0$ , and  $b = \deg p$ .

**Case 1**  $b \geq 0$ . Since

$$e_3^l \cdot p = (-1)^l q^{-l(b-1)} [l]! a_l y^{b+l},$$

we see that  $y^{b+l} \in W$ , and hence so is

$$e_1^{b+l} \cdot y^{b+l} = \alpha^{b+l} [b+l]! 1.$$

It follows that  $1 \in W$  and so  $W = M_{(\alpha, \beta)}$ , as 1 generates  $M_{(\alpha, \beta)}$ .

**Case 2**  $b < 0$ . As in the previous case, one sees from the following computations that  $1 \in W$  and  $W = M_{(\alpha, \beta)}$ :

$$\begin{aligned} e_1^l \cdot p &= a_l [l]! y^{b-l}, \\ e_3^{l-b} \cdot y^{b-l} &= (-q\alpha)^{l-b} [l-b]! 1. \end{aligned}$$

So  $M_{(\alpha,\beta)}$  is indeed simple for all pairs  $(\alpha, \beta) \in \mathbb{K}^\times \times \mathbb{K}$ . The center  $Z$  of  $U_q(\mathfrak{sl}_4)^+$  acts via

$$(11) \quad z_1 \cdot m = \alpha^2 m,$$

$$(12) \quad z_2 \cdot m = \beta m, \quad \text{for all } m \in M_{(\alpha,\beta)},$$

where  $z_1, z_2$  are as in 6.3. The above equations show that if the modules  $M_{(\alpha,\beta)}$  and  $M_{(\alpha',\beta')}$  are isomorphic, then  $\alpha^2 = (\alpha')^2$  and  $\beta = \beta'$ , as their central characters should be the same. Furthermore, the eigenvalues of the operator  $\Delta_1$  on each module must coincide and hence  $\alpha' = q^b \alpha$  for some  $b \in \mathbb{Z}$ , which forces  $\alpha = \alpha'$ , as  $\alpha^2 = (\alpha')^2$ . Therefore the modules  $M_{(\alpha,\beta)}$  are pairwise non-isomorphic, and simple if  $\alpha \neq 0$ . A similar argument shows that  $M_{(\alpha,\beta)}$  is not isomorphic to the module  $P_{(\gamma,\delta,\epsilon)}$  defined earlier or to any of its simple quotients if  $\alpha \neq 0$ , as the central element  $z_1$  annihilates  $P_{(\gamma,\delta,\epsilon)}$ .

**Remark** The subalgebra of  $U_q(\mathfrak{sl}_4)^+$  generated by the elements  $X_1, X_6, \Delta_1, \Delta_2$ , and  $\Delta_3$  is isomorphic to *quantum affine 5-space*, but  $U_q(\mathfrak{sl}_4)^+$  is no longer free over it, and in fact if we try to induce one-dimensional modules for this algebra up to  $U_q(\mathfrak{sl}_4)^+$ , then corresponding to any character with  $\Delta_1 \mapsto \lambda, \Delta_3 \mapsto \mu$ , and  $\lambda \neq \mu$ , we obtain just the zero  $U_q(\mathfrak{sl}_4)^+$ -module.

**Acknowledgements** The author especially wishes to thank Professor G. Benkart for her careful reading of the text and her many useful suggestions. The results of this paper were presented at the conference ‘‘Lie and Jordan Algebras, their Representations and Applications’’, University of Sao Paulo, May 2002, and at the 991st AMS Meeting, University of North Carolina, Chapel Hill, October 2003. The author takes this opportunity to thank the organizers of those meetings.

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