

1 **Programmatic implementation of depression screening and remote mental health**
2 **support sessions for persons recently diagnosed with TB in Lima, Peru during the**
3 **COVID-19 pandemic**

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26 **Abstract**

27 **Background:** Few studies have explored a stepped care model for delivering mental health
28 care to persons with TB. Here, we evaluated depression screening and remote low-intensity
29 mental health interventions for persons initiating TB treatment in Lima, Peru during the
30 COVID-19 pandemic.

31 **Methods:** We used the PHQ-9 to screen participants for depressive symptoms (PHQ-9 \geq 5).
32 Participants with PHQ-9, 5-14 received remote Psychological First Aid (PFA) or Problem
33 Management Plus (PM+). Participants were re-evaluated six months after intervention
34 completion. We then compared the change in median PHQ-9 scores before and after
35 intervention completion. Those with PHQ-9 \geq 15 were referred to higher-level care.

36 **Findings:** We found 62 (45.9%) of 135 participants had PHQ-9 \geq 5 at baseline. Fifty-four
37 individuals with PHQ-9, 5-9 received PFA, of which 44 (81.5%) were re-evaluated. We
38 observed significant reductions in median PHQ-9 scores from 6 to 2 ($r = 0.98$; $p < 0.001$). Four
39 participants with PHQ-9, 10-14 received PM+ but were unable to be re-evaluated. Four
40 participants with PHQ-9 \geq 15 were referred to higher-level care.

41 **Conclusions:** Depressive symptoms were common among persons recently diagnosed with
42 TB. We observed improvements in depressive symptoms six months later for most
43 participants who received remote sessions of PFA.

44 **Key Words:** Depression, Mental Health, Tuberculosis, Psychological First Aid, Peru

45

46 **Impact Statement**

47 This report describes one of the first experiences incorporating depression screening and
48 remote mental health support interventions as part of a wider community-based active TB
49 screening program. Our findings reaffirm the high prevalence of depressive symptoms among
50 persons recently diagnosed with TB in northern Lima as well as the urgent need to meet and
51 address the psychosocial needs of members of this vulnerable patient population.
52 Importantly, our observations also provide further practical insight into how depression
53 screening and remote mental health interventions may be integrated into existing TB
54 programs, including community-based active TB screening programs.

55

56 **Introduction**

57 Tuberculosis (TB) is a debilitating infectious disease caused by *Mycobacterium tuberculosis*,
58 a human pathogen that affects the lungs and other organs, causing significant morbidity and
59 mortality (World Health Organization 2022b). TB remains a leading cause of mortality due to
60 a single infectious disease after the coronavirus disease (COVID-19) (World Health
61 Organization 2022a). The World Health Organization (WHO) estimated that in 2022 roughly
62 10.6 million people acquired TB and 1.3 million - 167,000 of which were HIV positive - died
63 from TB (World Health Organization 2023). In the Americas region, Peru has one of the
64 highest TB burdens with an estimated annual TB incidence rate of 130 per 100,000 persons
65 per year and is a hotspot for drug-resistant TB (World Health Organization 2022a).

66

67 Mental disorders such as depression are common among persons with TB. It is estimated that
68 about 45% of persons with TB have depression with prevalence estimates exceeding 50% in
69 persons with MDR-TB (Duko *et al.* 2020). Similar depression prevalence estimates have
70 been previously reported among persons with TB and MDR-TB in Peru (Ugarte-Gil *et al.*
71 2013; Vega *et al.* 2004). Furthermore, comorbid mental disorders have adverse impacts on
72 TB treatment outcomes. Recent systematic reviews and meta-analyses have reported that
73 persons with TB and depressive symptoms have more than four times the odds of poor TB
74 treatment outcomes compared with those without depressive symptoms (Ruiz-Grosso *et al.*
75 2020). Taken together, the current evidence base suggests that addressing comorbid mental
76 disorders such as depression is integral to improving both mental well-being and treatment
77 success rates among those with TB (Sweetland *et al.* 2018).

78

79 Various mental health interventions have demonstrated promise in improving treatment
80 outcomes in persons sick with TB. For example, across three randomized controlled trials,
81 psycho-emotional interventions (including counseling, self-help groups, and psychotherapy)
82 were associated with an increased likelihood of achieving successful TB treatment outcomes
83 (pooled RR, 95% CI, 1.37, 1.08 – 1.73); however, these studies considered all persons with
84 TB and included those without comorbid mental disorders (van Hoorn *et al.* 2016). A more
85 recently published systematic review by Farooq *et al.* considered two pharmacological and 11
86 psychosocial interventions for addressing common mental disorders such as depression
87 among persons with TB (n = 4,326) in various low- and middle-income countries (Farooq *et*
88 *al.* 2021). They reported that persons with TB who receive some kind of psychosocial
89 intervention generally have higher TB treatment adherence and cure rates compared with
90 those who do not receive the intervention or when compared with the pre-intervention period.
91 More recently, a large interventional study conducted by Pasha *et al.* in Pakistan evaluated
92 the implementation of screening for anxiety/depression and subsequent delivery of a series of
93 counseling sessions throughout the TB treatment period among 3,500 persons with TB
94 disease. They found that those who completed at least four sessions had significantly higher
95 rates of completing TB treatment compared with those who completed less than four sessions
96 (Pasha *et al.* 2021).

97

98 Even before the COVID-19 pandemic, there had been a significant interest in implementing
99 remote mental health interventions for various common mental health issues in low-and
100 middle-income countries (LMICs) (Fu *et al.* 2020). A recent systematic review and meta-
101 analysis of randomized controlled trials (n = 4,104 across 22 trials) found that psychological
102 interventions delivered across various digital modalities (e.g., websites, smartphone apps,

103 computers, audio-devices, and text messages) were moderately effective in addressing
104 common mental disorders (pooled Hedges' $g = 0.60$, 95% CI 0.45 – 0.75) when compared
105 with control interventions or usual care, and the vast majority of these interventions were
106 used to address depression and substance use disorders (Fu *et al.* 2020). Since the start of the
107 pandemic, systematic reviews of remote mental health interventions have reported adaptive
108 transitions to implementing synchronous telemental health tools. However, the vast majority
109 of studies were conducted in high-income countries, and commonly cited barriers to scaling
110 up remote mental health interventions in LMICs include a lack of information technology
111 resources and infrastructure in mental health services, socio-economic inequalities affecting
112 access to remote mental health services, and reduced technological literacy (Witteveen *et al.*
113 2022).

114

115 Despite these challenges, research suggests that designing and delivering remote mental
116 health interventions may be effective in addressing comorbid mental disorders among
117 persons with TB. However, to our knowledge, none has evaluated the implementation of
118 depression screening and delivery of remote mental health interventions embedded within the
119 context of a wider community-based active TB screening program. Furthermore, few studies
120 have reported on the use of a stepped care model for allocating different low-intensity mental
121 health support interventions based on different depressive symptom severities at the time of
122 initial screening (Bower and Gilbody 2005; Walker *et al.* 2018). Thus, the aim of this study
123 was to evaluate remote low-intensity mental health interventions, specifically Psychological
124 First Aid and Problems Management+, on depressive symptoms among people initiating
125 treatment for pulmonary TB as part of a community-based active TB screening program in
126 Lima, Peru during the COVID-19 pandemic.

127

128 **Methods**

129 **Study design and context**

130 We conducted a secondary analysis of data collected as part of a mental health program run
131 by the non-profit organization Socios En Salud based in Lima, Peru. This program screened
132 persons with active TB for depressive symptoms and subsequently provided low-intensity
133 mental health interventions during their TB treatment period between 2019 and 2021. This
134 mental health program was incorporated as part of a wider community-based active TB
135 screening program called “TB Móvil” (TB-M), which SES first implemented in collaboration
136 with the Ministry of Health (MINSA) of Peru in 2019 to identify persons with active TB
137 across various districts of Metropolitan Lima (Galea *et al.* 2022; Yuen *et al.* 2021). Persons
138 diagnosed with TB through the TB-M program were referred to participate in the SES mental
139 health program. The mental health program was implemented remotely in parallel to the TB-
140 M program between September 2020 and June 2021 during the early phases of the COVID-
141 19 pandemic.

142

143 **Intervention procedures**

144 *Participant enrollment and data collection*

145 Participants were eligible for depressive symptom screening if they satisfied the following
146 inclusion criteria: individuals were recently diagnosed with TB through the TB-M program
147 and had subsequently initiated treatment; were referred by TB-M program staff members for
148 further mental health evaluation; were 18 years or older; and were residents of communities
149 and districts of northern Lima that were a part of the TB-M program catchment area. SES

150 psychologists contacted individuals who were referred by TB-M program staff by telephone
151 and then invited them to be screened for depressive symptoms within 30 days of initiating TB
152 treatment. Those who did not start TB treatment during this period were excluded. Participant
153 sociodemographic (e.g., age, sex, highest level of educational attainment, and region of
154 birth), clinical, and microbiological data (e.g., TB disease diagnosis, sputum smear
155 microscopy status, GeneXpert results, and rifampin resistance status) were obtained from the
156 TB-M program's database.

157

158 *Data preparation and handling*

159 For this secondary data analysis, we accessed non-identifiable information on TB-M program
160 participants from the SES informatics system. To describe the socio-demographic and
161 clinical characteristics, we considered the following variables among all participants
162 evaluated for depressive symptoms: age, sex, highest educational level attained, region of
163 birth, BK results, Gene Xpert results, chest radiography status, and rifampin resistance status.
164 We considered the age of participants as a continuous variable. The highest level of education
165 attained was categorized into three groups: primary, secondary, and post-secondary. We
166 defined region of birth as being born inside or outside of the Lima region. Clinical variables
167 like BK and GeneXpert results were considered as binary variables - negative or positive.

168

169 The main variables analyzed in this study were depressive symptoms at baseline and follow-
170 up as well as the type of remote mental health intervention provided by mental health
171 professionals.

172

173 *Depressive symptom screening and definitions*

174 SES psychologists interviewed participants using the validated Spanish version of the Patient
175 Health Questionnaire 9 (PHQ-9), a depression screening instrument widely used in clinical
176 practice and research (Calderón *et al.* 2012). The score evaluates the number and frequency
177 of nine depressive symptoms and ranges from 0 (i.e., experiencing no depressive symptoms
178 none of the time) to 27 (i.e., experiencing all depressive symptoms nearly every day). The
179 PHQ-9 uses different score ranges to classify different depressive symptom severities. They
180 include: minimal (PHQ-9 ≤ 4), mild (PHQ-9, 5-9), moderate (PHQ-9, 10-14), moderately
181 severe (PHQ-9, 15-19), and severe (PHQ-9 score ≥ 20) (Kroenke *et al.* 2001).

182

183 *Mental health interventions*

184 The mental health interventions offered as part of the SES mental health program were
185 originally developed before the pandemic and subsequently underwent revisions at the onset
186 of the pandemic. Here, we describe the mental health interventions that were delivered during
187 the early phases of the pandemic period specifically. Between September 2020 and June
188 2021, remote mental health support sessions were offered to participants identified with signs
189 and symptoms of depression. Those with PHQ-9 scores 5-9 received one session of
190 Psychological First Aid (PFA), and those with PHQ-9 scores from 10-14 received five
191 support sessions of Problem Management Plus (PM+) (Dawson *et al.* 2015). People with
192 PHQ-9 scores ≥ 15 received one session of PFA before being promptly referred for higher-
193 level mental health care at public health care institutions. The remote PFA and PM+ support
194 sessions and referral process for patients with severe depressive symptoms are further
195 described in detail below.

196

197 **Psychological First Aid (PFA).** The remote PFA sessions provided basic psychological
198 support in emergent and stressful situations. The primary aim of the remote PFA support
199 sessions was to help participants restore their emotional balance according to three principles:
200 (1) observe the person's problem, needs, and possible solutions; (2) listen carefully to the
201 person and help him/her feel supported and address his/her basic needs; (3) connect the
202 individual to public or private mental health institutions if further specialized care was
203 needed (International Federation of Red Cross and Red Crescent Societies 2020). This
204 intervention was administered on an individual basis by SES psychologists via a telephone
205 call, consisting of a single session lasting approximately 45 minutes.

206

207 **Problem Management Plus (PM+).** PM+ is a low-intensity, trans-diagnostic psychological
208 intervention recommended by the WHO in treating common mental disorders in many
209 resource-limited settings (Hamdani *et al.* 2018; World Health Organization 2016). Previous
210 studies have shown that it is effective in reducing symptoms of anxiety and depression
211 (Hamdani *et al.* 2018; Rahman *et al.* 2016). Its primary advantage is that it can be delivered
212 widely by trained non-specialists such as community health workers, volunteers, and
213 psychology students. The PM+ intervention has previously been adapted for use in the
214 general Peruvian population (Coleman *et al.* 2021). The intervention consisted of five remote
215 90-minute sessions that were delivered on an individual basis every week. In the first session,
216 participants were oriented and motivated to participate and receive psychoeducation and learn
217 basic stress management and control strategies. In the second session, participants learned
218 problem-solving techniques for life problems and were introduced to behavioral activation
219 techniques. In the third and fourth sessions, they were introduced to techniques for
220 strengthening social support and continued to practice problem-solving techniques,

221 behavioral activation procedures, and relaxation exercises. In the last session, all learned
222 strategies were reviewed and demonstrated by participants as a means of assessing
223 understanding for future use and application.

224

225 **Referral process and criteria for further specialized mental health care**

226 SES psychologists referred participants with PHQ-9 ≥ 15 for higher-level mental health care
227 at public health care institutions. The referral process included identifying public health care
228 facilities closest to the participant's home, contacting the local facility, and arranging
229 appropriate follow-up to ensure that the referral process was successful. In areas without
230 access to mental health facilities, participants were referred to a nearby health care center
231 instead. Those experiencing mental health problems other than depression were referred to
232 specialized public mental health services operated by the MINSA of Peru.

233

234 *Depression re-evaluation*

235 Among those who had received and completed remotely administered sessions of PFA or
236 PM+, SES psychologists contacted those same participants six months later and invited them
237 to be re-evaluated for depressive symptoms using the PHQ-9 questionnaire.

238

239 *Data collection and statistical analysis*

240 SES psychologists uploaded data collected from participants to the SES electronic data
241 system. These included PHQ-9 scores at the time of enrollment/baseline and at the time of
242 follow-up, type of remote mental health support sessions received (PFA or PM+), and

243 whether participants were referred to primary care facilities or public health centers for
244 higher-level mental health care. Continuous variables of characteristics of people with TB
245 were reported as medians with interquartile ranges (IQR), and categorical variables were
246 reported as frequencies with percentages. We reported the overall proportion of people
247 initiating treatment for TB with PHQ-9 \geq 5 at the time of study enrollment. For participants
248 who were re-evaluated, we used the Wilcoxon's paired sign-rank test to compare the within-
249 person change in median PHQ-9 scores between baseline and the six-month follow-up. We
250 reported the relevant effect size ("r") estimate and accompanying p-value (Kerby 2014;
251 Rosenthal 1994). All statistical analyses were conducted using Stata/SE 17.0 (Stata Corp.,
252 College Station, TX) with a significance level of 0.05.

253

254 **Results**

255 During the pandemic, we identified a total of 161 individuals who were referred for mental
256 health evaluation after being assessed by the TB-M program (Figure 1). After excluding 26
257 participants, a total of 135 (83.9%) eligible participants underwent depressive symptom
258 screening at baseline. Among all persons with TB who had undergone depressive symptom
259 screening at baseline, the median age was 38.9 years (IQR: 28.4 years) and the majority were
260 male (76 of 135 participants, 56.3%) (Table 1). Most participants were born in the Lima
261 region (101 of 130 participants, 77.7%) and completed secondary education (91 of 129
262 participants, 70.5%). Microbiologically, 106 (81.5%) of 130 participants were tested for TB
263 using sputum samples. Of those who had available GeneXpert MTB complex results (n =
264 100), 90 (90.0%) were positive; 10 (11.1%) of 90 participants with information on rifampicin
265 resistance were resistant to rifampin.

266

267 Among 135 participants who underwent screening for depression at baseline, 62 (45.9%) had
268 PHQ-9 \geq 5 (Table 1). Persons with TB and PHQ-9 \geq 5 at baseline tended to be younger
269 compared with those with PHQ-9 $<$ 5; albeit the comparison was not statistically significant
270 (median age and IQR for participants with depressive symptoms vs. without at baseline: 37.2
271 years [22.0 years] vs. 41.6 years [30.5 years], $p = 0.581$) (Table 1). Furthermore, we did not
272 find any statistically significant difference in the proportion of participants with PHQ-9 \geq 5 at
273 baseline neither by sex ($p = 0.055$) nor by education level ($p = 0.816$; Table 2). However,
274 those with PHQ-9 \geq 5 at baseline were more likely to be born within Lima compared with
275 those with PHQ-9 scores $<$ 5 (PHQ-9 \geq 5 vs. $<$ 5 for the region of birth within Lima: 50 [70.4%]
276 vs. 51 [86.4%], $p = 0.035$). We did not find a statistically significant difference in baseline
277 depression status for those who had microbiological confirmation of their TB compared with
278 those diagnosed based on clinical/radiological findings ($p = 0.652$).

279

280 Of the 62 participants who were found to have PHQ-9 \geq 5 at baseline, almost all had PHQ-9,
281 5-9 (54 [87.1%]); 4 (6.5%) participants had PHQ-9, 10-14; 3 (4.8%) had PHQ-9, 15-19; and
282 1 (1.6%) had PHQ-9 \geq 20 (Table 2; Figure 1). Among the 54 participants who were found to
283 have PHQ-9, 5-9, 44 (81.5%) were re-evaluated six months after completing remote PFA
284 support sessions. The majority of participants re-evaluated six months later no longer had
285 clinically significant depressive symptoms, as evidenced by PHQ-9 scores $<$ 5 ($n = 38$
286 [86.4%]); only 6 (13.6%) had PHQ-9, 5-9. We observed a statistically significant reduction in
287 the within-person change in the median PHQ-9 scores at baseline and during the six-month
288 follow-up after completing the remote PFA support sessions (median PHQ-9 score and IQR

289 at baseline and at follow-up: 6 [3] and 2 [3], respectively, ($r = 0.98$; $p < 0.001$; Table 3).
290 Remote PM+ support sessions were delivered to four participants who were initially found to
291 have PHQ-9, 10-14; however, they all refused re-evaluation six months later, and, therefore,
292 a comparison could not be made. All participants who were found to have $\text{PHQ-9} \geq 15$
293 successfully received a single remote session of PFA and were immediately referred to
294 higher-level mental health care.

295

296 **Discussion**

297 During the COVID-19 pandemic, we found that almost half of all persons recently diagnosed
298 with TB as part of a community-based active TB screening program exhibited depressive
299 symptoms ($\text{PHQ-9} \geq 5$). Furthermore, our findings also indicate that implementing a stepped
300 care model for mental health screening and care delivery was associated with overall
301 improvements in median PHQ-9 scores six months later.

302

303 Overall, we found that 45.9% of all persons with TB in our sample had depressive symptoms
304 at the time of TB treatment initiation. We recognize that this prevalence estimate was
305 determined using a liberal PHQ-9 cutoff score of 5. Nonetheless, our prevalence estimate is
306 much higher than that recently reported in the general Peruvian population during the
307 pandemic period (~20%) (Zegarra-López *et al.* 2022). Our estimate is consistent with the
308 pooled depressive symptom prevalence estimate among persons with TB (Duko *et al.* 2020).
309 In our program, most participants with depressive symptoms exhibited mild depression,
310 defined by PHQ-9, 5-9 (54/62 [87.1%]). Most were contacted by SES psychologists within

311 the first 30 days following TB diagnosis, a period that also coincides with the initiation of TB
312 treatment. The high prevalence at baseline may be related to an increased incidence of new
313 depressive symptoms or an acute worsening of pre-existing depression or some other
314 unassessed mental disorders within our study population. While our data limits our ability to
315 differentiate between these two possibilities, the high prevalence of depressive symptoms
316 may be due to a variety of acute psychosocial stressors related to receiving a TB diagnosis
317 and/or transitioning to receiving TB treatment (Sweetland *et al.* 2017). In particular, the
318 perceived stigma associated with a TB diagnosis is notably high among persons with TB, and
319 its sequelae (e.g., discrimination) are common means by which persons with TB may develop
320 and experience depressive symptoms (Chen *et al.* 2023; Lee *et al.* 2017; Mohammedhussein
321 *et al.* 2020; Sweetland *et al.* 2017). Thus, our findings suggest that the integration of early
322 depression screening within TB screening programs could be useful in identifying a large
323 sample of patients who may benefit from concurrent mental health care during their TB
324 treatment, especially around the time of TB diagnosis and initiation of TB treatment.

325

326 Previous studies addressing comorbid mental and health concerns among persons with TB
327 disease have primarily focused on delivering mental health interventions to those who screen
328 positive for depressive symptoms, regardless of their symptom severity at the time of initial
329 screening (Farooq *et al.* 2021). However, few studies have implemented a stepped care model
330 of first screening for mental disorders and subsequently delivering severity-appropriate
331 mental health interventions. In Nepal, Walker *et al.* conducted a feasibility and acceptability
332 pilot study for a psychosocial support package among patients with MDR-TB (Walker *et al.*
333 2018). Their package involved providing all patients with information and educational
334 materials and initially screening them for symptoms of anxiety and depression using the

335 Johns Hopkins Symptom Checklist. For those who screened positive for either anxiety or
336 depression, they were subsequently referred for depressive symptom screening using the
337 PHQ-9. Those who had PHQ-9 scores less than 10 were re-screened on a monthly frequency.
338 Those who had PHQ-9, 10-19 received a series of counseling sessions based on behavioral
339 activation originally evaluated in India for treating depression. Those who had PHQ-9>19 or
340 who expressed suicidal intent were referred for higher-level psychiatric and medical care.
341 Although this study concluded that, overall, it was feasible to design and implement a
342 stepped care model for mental health care within a National Tuberculosis Program, it
343 suffered a couple of key limitations, including (a) utilization of a complex two-step screening
344 system that likely resulted in fewer number of patients receiving the counseling intervention;
345 and (b) inability to evaluate the potential impact of the mental health intervention on changes
346 in depression and anxiety severity in relation to the time of initial screening. Our present
347 study utilized the PHQ-9 as the only standardized screening tool and implemented a stepped
348 care model with different severity-appropriate virtual mental health support sessions.
349 Although we were unable to re-evaluate enough participants who received remote PM+
350 sessions, we were able to re-evaluate a high proportion (~80%) of those who completed
351 remote PFA sessions and gain a sense of the possible mental health impact associated with
352 providing severity-specific mental health interventions.

353

354 More broadly speaking, our findings and programmatic experience are consistent with overall
355 global patterns of shifting toward remote means of delivering mental health care and support
356 at the onset of the COVID-19 pandemic. This shift has been described by a recent umbrella
357 review of 38 systematic reviews of studies on remote mental health interventions and care
358 delivery during the pandemic (Witteveen *et al.* 2022). In particular, there was a greater shift

359 toward synchronous modalities of remote mental health care delivery (e.g., use of
360 videoconferencing platforms and telephone calls) than asynchronous means (e.g., self-help
361 apps, websites, or digital tools) (Witteveen *et al.* 2022). This shift is also consistent with our
362 programmatic approach with the use of telephone calls and videoconferencing means to
363 deliver remote PFA sessions and PM+ sessions. However, despite these adaptive changes,
364 these reviews also highlighted limited access to remote mental health care and services
365 among members of vulnerable populations and communities as a chief barrier and disparity;
366 this included a paucity of studies reporting on remote mental health interventions from
367 LMICs, particularly during the early phase of the pandemic (Witteveen *et al.* 2022). Our
368 study adds to a growing need for research that evaluates innovative and integrated ways of
369 meeting the psychosocial needs of vulnerable patient populations like those with TB in
370 resource-limited settings.

371

372 Our programmatic experience and findings have implications for integrating mental health
373 care and TB care. We show that low-intensity mental health interventions such as PFA and
374 PM+ support sessions can be administered virtually to persons recently diagnosed with TB
375 during the pandemic era. This type of care delivery modality is in line with the wider and
376 accelerated shifts toward adapting and utilizing telehealth-based technologies during the
377 pandemic (Moreno *et al.* 2020; Witteveen *et al.* 2022). Low-intensity psychosocial
378 interventions such as PFA are first-line psychosocial interventions that can be administered in
379 high-stress mental health crises and delivered by trained non-specialist personnel. This may
380 greatly expand service coverage in settings with limited resources and fewer formally-trained
381 mental health professionals (Pollock *et al.* 2020); these lessons may also be applicable in
382 high-income settings. Furthermore, integrated TB and mental health screening in high-risk

383 populations, settings, or communities offers an opportunity to detect a higher number of
384 individuals with both TB and mental health issues. This may be particularly important during
385 times when acute psychosocial stressors and TB-associated stigma are likely to be most
386 severe.

387

388 Our study has several notable limitations. First, although we observed statistically significant
389 reductions in the median PHQ-9 scores from baseline to six months following completion of
390 PFA sessions, we are limited in our ability to infer to what extent the mental health
391 interventions may have contributed to these reductions. On one hand, remote PFA sessions
392 could have improved depressive symptoms by providing immediate psychosocial support to
393 persons with newly diagnosed TB during what is often a significant and traumatic life event
394 and transition (Hermosilla *et al.* 2023). On the other hand, we also considered other plausible
395 explanations, which include but are not limited to natural improvements in depressive
396 symptoms with time, improvements in TB disease because of ongoing treatment, the
397 beneficial impacts of other unmeasured psychosocial and/or clinical factors (i.e., residual
398 confounding), or a combination of these factors. In a similar vein, we were unable to compare
399 changes in median PHQ-9 scores over time with a control group, as every participant who
400 had PHQ-9 \geq 5 at baseline was offered mental health support. Second, we were unable to re-
401 evaluate slightly less than 20% of those who had PHQ-9 scores 5-9 at baseline six months
402 after completing PFA support sessions. Assuming those with higher PHQ-9 scores are less
403 likely to follow-up, this could have led to an overestimation of the change in median PHQ-9
404 scores between baseline and follow-up. Finally, we were unable to assess the association
405 between completing the mental health interventions and TB treatment outcomes, including
406 known mediators such as treatment adherence. Previous studies have demonstrated a positive

407 correlation between emotional support during TB treatment and improved treatment
408 adherence and success rates (Ruiz-Grosso *et al.* 2020). Therefore, future mental health
409 interventions could include regular, monthly follow-up depression assessments throughout
410 the TB treatment period, particularly at the start of TB treatment when depressive symptoms
411 are likely to be most severe.

412

413 In conclusion, we found that depressive symptoms were common among people with TB
414 who were identified by a community-based active TB screening program. We also observed
415 significant improvements in depressive symptoms six months later among most participants
416 who received remote sessions of PFA. Future studies are needed to rigorously evaluate the
417 feasibility and utility of frequent depression assessments during the TB treatment period as
418 well as the impact of severity-appropriate, low-intensity psychosocial interventions on TB
419 treatment outcomes regarding cost, programmatic scalability, and acceptability among
420 persons with TB.

421

422 **Author Contribution Statement**

423 CC is the corresponding author. CC, JS, and JG designed and oversaw the implementation of
424 the mental health interventions. DP, MT, JP, LL, SK, and CY designed and oversaw the
425 implementation of the community-based active TB case finding program “TB Móvil.” LR
426 devised the analytical approach and carried out the data analysis. CC and JS drafted the
427 primary version of the manuscript. ALC, CC, JS, DP, MT, JP, LL, SK, CY, and GR revised

428 and edited subsequent versions of the manuscript. All authors reviewed and approved the
429 final version of the manuscript.

430

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434

435 **Conflict of Interest Statement**

436 All authors have no conflicts of interest to declare.

437

438 **Ethics Statement**

439 The study was reviewed and approved by the Ethics Review Committee of SES.
440 Confidentiality was maintained throughout the study. All collected data were kept
441 confidential and used only for study purposes.

442

443 **Data Availability Statement**

444 The anonymized version of the data analyzed in this study is available upon request.

445

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579

580 **Table 1. Baseline participant characteristics, by baseline depressive symptom status (N**
 581 **= 135)**

Characteristics	Total no. (%), N = 135 ^a	Baseline depressive symptom status, no. % (column)		p-value
		PHQ-9 scores <5 (n = 73)	PHQ-9 scores ≥5 (n = 62)	
Age, years, median (IQR) (n = 135)	135 (100.0)	41.6 (30.5)	37.2 (22.0)	0.581
Gender (n = 135)				0.055
Male	76 (56.3)	47 (64.4)	29 (46.8)	
Female	59 (43.7)	26 (35.6)	33 (53.2)	
Highest educational level achieved (n = 129)				0.816
Primary or less	24 (17.8)	14 (20.6)	10 (16.4)	
Secondary	91 (70.5)	46 (67.7)	45 (73.8)	
Post-secondary	14 (10.9)	8 (11.8)	6 (9.8)	
Region of birth (n = 130)				0.035
From Lima	101 (77.7)	50 (70.4)	51 (86.4)	
Outside of Lima	29 (22.3)	21 (29.6)	8 (13.6)	
TB diagnosis methodology (n = 130)				0.652
Microbiological confirmation	106 (81.5)	54 (79.4)	52 (83.9)	
Clinical/radiological criteria	24 (18.5)	14 (20.6)	10 (16.1)	
BK results (n = 100)				0.159
Negative	53 (53.0)	33 (60.0)	20 (44.4)	
Positive	47 (47.0)	22 (40.0)	25 (55.6)	
GeneXpert MTB Complex Detection Status (n = 100)				0.021
Negative/not detected	10 (10.0)	9 (16.4)	1 (2.2)	
Positive/detected	90 (90.0)	46 (83.6)	44 (97.8)	
Rifampin resistance status ^b (n = 90)				0.076
Sensitive	49 (54.4)	25 (54.3)	24 (54.5)	
Resistant	31 (34.4)	19 (41.3)	12 (27.3)	
Indeterminant	10 (11.1)	2 (4.3)	8 (18.2)	

^a Total number may be less than 135 due to missing data

^b Numbers and percentages reported only among participants with a positive GeneXpert test result

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583

584 **Table 2. Breakdown of depressive symptom severity at baseline among persons with TB**

Baseline depressive symptom severity	PHQ-9 score range	No. (%)
None/ minimal	0 – 4	73 (54.1)
Mild	5 – 9	54 (40.0)
Moderate	10 – 14	4 (3.0)
Moderately severe	15 – 19	3 (2.2)
Severe	≥20	1 (0.7)
Total		135 (100.0)

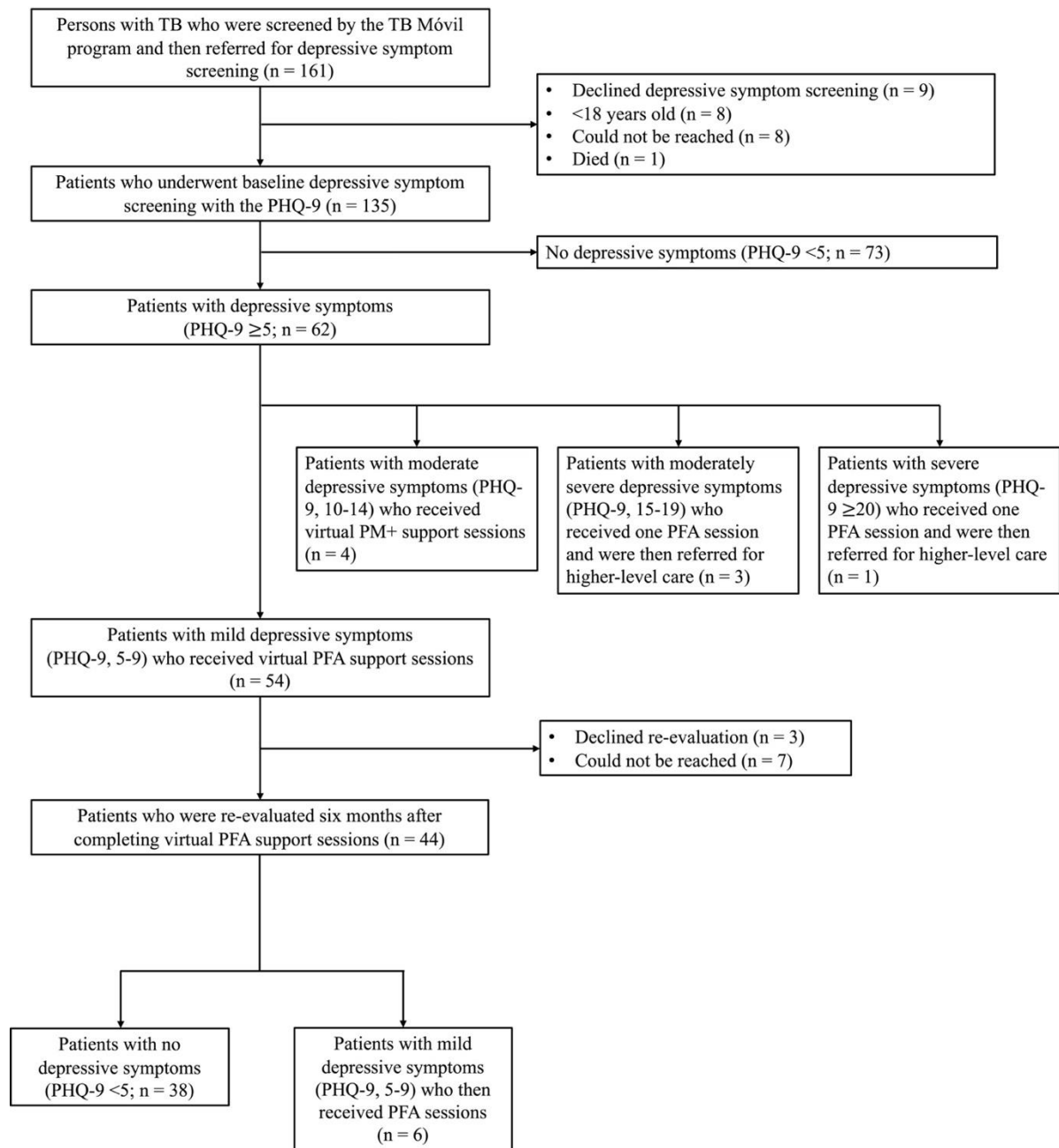
585

586 **Table 3.** Comparisons of median PHQ-9 scores at baseline and six months following
 587 completion of remote PFA support sessions in persons with TB (n = 44)

Baseline PHQ-9				PHQ-9 at Re-evaluation (Six Months Follow-up)				Change in Median PHQ-9	
Median	Min	Max	IQR	Median	Min	Max	IQR	Effect size (r)	p-value
6	5	9	3	2	0	5	3	0.98	<0.001

588

589

590 **Figure 1. Study flow chart**

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