# LEVEL RINGS ARISING FROM MEET-DISTRIBUTIVE MEET-SEMILATTICES

## JÜRGEN HERZOG AND TAKAYUKI HIBI

**Abstract.** The homogenized ideal dual complex of an arbitrary meet-semi-lattice is introduced and described explicitly. Meet-distributive meet-semi-lattices whose homogenized ideal dual complex is level are characterized.

### Introduction

In the present paper we continue our discussion in [6] and [5], and describe explicitly the generators of the homogenized ideal dual complex of an arbitrary meet-semilattice (Theorem 2.1). In case of meet-distributive meet-semilattices, a combinatorial formula (Proposition 1.2) to compute the h-vector of the homogenized ideal dual complex is given.

It is known [5] that the homogenized ideal dual complex  $\Gamma_{\mathcal{L}}$  of a meet-semilattice  $\mathcal{L}$  is Cohen-Macaulay if and only if  $\mathcal{L}$  is meet-distributive. Thus it seems of interest to characterize the meet-distributive meet-semilattices  $\mathcal{L}$  for which  $\Gamma_{\mathcal{L}}$  is a level complex [8]. Our main theorem (Theorem 3.3) says that the homogenized ideal dual complex  $\Gamma_{\mathcal{L}}$  is level if and only if a certain simplicial complex coming from  $\mathcal{L}$  is pure. In particular, in case that  $\mathcal{L}$  is a finite distributive lattice,  $\Gamma_{\mathcal{L}}$  is level if and only if the simplicial complex consisting of all antichains of the poset of all join-irreducible elements of  $\mathcal{L}$  is pure (Corollary 3.4).

#### §1. The h-vector of a finite meet-distributive meet-semilattice

First of all, we prepare notation and terminologies on finite lattices and finite posets (partially ordered sets). In a finite poset P we say that  $\alpha \in P$  covers  $\beta \in P$  (or  $\beta$  is a lower neighbor of  $\alpha$ ) if  $\beta < \alpha$  and  $\beta < \gamma < \alpha$  for no  $\gamma \in P$ . Let  $N(\alpha)$  denote the set of lower neighbors of  $\alpha \in P$ . A poset ideal

Received April 8, 2004.

Revised March 28, 2005, May 12, 2005.

1991 Mathematics Subject Classification: 13D02, 13H10, 06A12, 06D99.

of P is a subset  $\mathcal{I}$  of P such that  $\alpha \in \mathcal{I}$  and  $\beta \in P$  together with  $\beta \leq \alpha$  imply  $\beta \in \mathcal{I}$ .

Let  $\mathcal{L}$  be a finite meet-semilattice [7, p. 103] and  $\hat{0}$  its unique minimal element. Since  $\mathcal{L}$  is a meet-semilattice, it follows from [7, Proposition 3.3.1] that  $\mathcal{L}$  is a lattice if and only if  $\mathcal{L}$  possesses a unique maximal element  $\hat{1}$ . In other words, if  $\mathcal{L}$  is a meet-semilattice and is not a lattice, then  $\mathcal{L} \cup \{\hat{1}\}$  with a new element  $\hat{1}$  such that  $\alpha < \hat{1}$  for all  $\alpha \in \mathcal{L}$  becomes a lattice. Thus, in a finite meet-semilattice  $\mathcal{L}$ , each element of  $\mathcal{L}$  is the join of elements of  $\mathcal{L}$ . A *join-irreducible element* of  $\mathcal{L}$  is an element  $\alpha \in \mathcal{L}$  such that one cannot write  $\alpha = \beta \vee \gamma$  with  $\beta < \alpha$  and  $\gamma < \alpha$ . In other words, a join-irreducible element of  $\mathcal{L}$  is an element  $\alpha \in \mathcal{L}$  which covers exactly one element of  $\mathcal{L}$ .

Let  $\mathcal{L}$  be a finite meet-semilattice and  $P \subset \mathcal{L}$  the set of join-irreducible elements of  $\mathcal{L}$ . We will associate each element  $\alpha \in \mathcal{L}$  with the subset

(1) 
$$\ell(\alpha) = \{ p \in P : p \le \alpha \}.$$

Thus  $\ell(\alpha)$  is a poset ideal of P, and  $\alpha \in \ell(\alpha)$  if and only if  $\alpha$  is join-irreducible. Moreover, for  $\alpha$  and  $\beta$  belonging to  $\mathcal{L}$ , one has  $\ell(\alpha) = \ell(\beta)$  if and only if  $\alpha = \beta$ .

LEMMA 1.1. One has 
$$\ell(\alpha \wedge \beta) = \ell(\alpha) \cap \ell(\beta)$$
 for all  $\alpha, \beta \in \mathcal{L}$ .

*Proof.* Let  $\gamma = \alpha \wedge \beta$ . Then  $\ell(\gamma) \subset \ell(\alpha) \cap \ell(\beta)$ . Since  $\mathcal{L} \cup \{\hat{1}\}$  with a new element  $\hat{1}$  is a lattice, if  $\ell(\gamma) \neq \ell(\alpha) \cap \ell(\beta)$  and if  $p \in (\ell(\alpha) \cap \ell(\beta)) \setminus \ell(\gamma)$ , then  $\delta = \gamma \vee p \in \mathcal{L}$  with  $\gamma < \delta \leq \alpha$  and  $\delta \leq \beta$ . This contradicts  $\gamma = \alpha \wedge \beta$ .

П

Let K be a field and  $K[\mathbf{x}, \mathbf{y}] = K[\{x_p, y_p\}_{p \in P}]$  denote the polynomial ring in 2|P| variables over K with each deg  $x_p = \deg y_p = 1$ . We associate each element  $\alpha \in \mathcal{L}$  with the squarefree monomial

$$u_{\alpha} = \Big(\prod_{p \in \ell(\alpha)} x_p\Big)\Big(\prod_{p \in P \setminus \ell(\alpha)} y_p\Big) \in K[\mathbf{x}, \mathbf{y}]$$

and set

$$H_{\mathcal{L}} = (u_{\alpha})_{\alpha \in \mathcal{L}} \subset K[\mathbf{x}, \mathbf{y}].$$

Since the ideal  $H_{\mathcal{L}}$  is squarefree, there is a simplicial complex  $\Sigma_{\mathcal{L}}$  on the vertex set  $\{x_p, y_p\}_{p \in P}$  whose Stanley-Reisner ideal  $I_{\Sigma_{\mathcal{L}}}$  coincides with  $H_{\mathcal{L}}$ . We call  $\Sigma_{\mathcal{L}}$  the homogenized ideal complex of  $\mathcal{L}$ .

Let  $\Gamma_{\mathcal{L}}$  denote the Alexander dual ([2], [4]) of  $\Sigma_{\mathcal{L}}$  and call  $\Gamma_{\mathcal{L}}$  the homogenized ideal dual complex of  $\mathcal{L}$ . We write  $\mathcal{F}(\Gamma_{\mathcal{L}})$  for the set of facets (maximal faces) of  $\Gamma_{\mathcal{L}}$ . One has

(2) 
$$\mathcal{F}(\Gamma_{\mathcal{L}}) = \{ F_{\alpha} : \alpha \in \mathcal{L} \}$$

where

$$F_{\alpha} = \{x_q : q \in P \setminus \ell(\alpha)\} \cup \{y_q : q \in \ell(\alpha)\}.$$

Hence,

$$I_{\Gamma_{\mathcal{L}}} = \bigcap_{\alpha \in \mathcal{L}} (\{x_p : p \in \ell(\alpha)\} \cup \{y_q : q \in P \setminus \ell(\alpha)\}).$$

In particular  $\Gamma_{\mathcal{L}}$  is a pure simplicial complex of dimension |P|-1.

A finite meet-semilattice  $\mathcal{L}$  is called *meet-distributive* [7, p. 156] if each interval  $[\alpha, \beta] = \{ \gamma \in \mathcal{L} : \alpha \leq \gamma \leq \beta \}$  of  $\mathcal{L}$  such that  $\alpha$  is the meet of the lower neighbors of  $\beta$  in  $[\alpha, \beta]$  is boolean. For example, every poset ideal of a finite distributive lattice is a meet-distributive meet-semilattice.

Let  $\mathcal{L}$  be an arbitrary finite meet-distributive meet-semilattice and, as before,  $P \subset \mathcal{L}$  the set of join-irreducible elements of  $\mathcal{L}$ . The *distributive closure* of  $\mathcal{L}$  is the finite distributive lattice  $\mathcal{J}(P)$  consisting of all poset ideals of P ordered by inclusion.

Recall that Birkhoff's fundamental structure theorem on finite distributive lattices [7, Theorem 3.4.1] guarantees that every finite distributive lattice is of the form  $\mathcal{J}(P)$  for a unique finite poset P. In fact, if P is the set of join-irreducible element of a finite distributive lattice  $\mathcal{L}$ , then  $\mathcal{L} = \mathcal{J}(P)$ .

It is not difficult to see that the map  $\ell \colon \mathcal{L} \to \mathcal{J}(P)$  defined by (1) is an embedding of meet-semilattices if and only if  $\mathcal{L}$  is meet-distributive. Consult [3] for further information about meet-distributive lattices.

PROPOSITION 1.2. Let  $\mathcal{L}$  be a finite meet-distributive meet-semilattice and  $\Gamma_{\mathcal{L}}$  its homogenized ideal dual complex. Let  $h(\Gamma_{\mathcal{L}}) = (h_0, h_1, \dots)$  be its h-vector. Then, for all i, one has

$$h_i = |\{\alpha \in \mathcal{L} : |N(\alpha)| = i\}|.$$

Proof. Let  $\alpha \in \mathcal{L}$  with  $|N(\alpha)| = i$  and  $\ell(\alpha) = \{q_1, \ldots, q_{\delta}\}$ . Let  $N(\alpha) = \{r_1, \ldots, r_i\}$  with each  $\ell(r_j) = \ell(\alpha) \setminus \{q_{\delta-j+1}\}$ . Let  $r = r_1 \wedge \cdots \wedge r_i$ . Thus  $\ell(r) = \bigcap_{j=1}^i \ell(r_j) = \{q_1, \ldots, q_{\delta-i}\}$  and the interval  $[r, \alpha]$  in  $\mathcal{L}$  is the boolean lattice of rank i. Since a subset  $A \subset \ell(\alpha)$  is contained in none of the sets  $\ell(r_1), \ldots, \ell(r_i)$  if and only if A contains  $\{q_{\delta}, q_{\delta-1}, \ldots, q_{\delta-i+1}\}$ , it follows that

the number of subsets  $A \subset \ell(\alpha)$  with |A| = k such that  $A \subset \ell(q)$  for no  $q \in \mathcal{L}$  with  $q < \alpha$  is  $\binom{\delta-i}{k-i}$ . In other words, the number of those faces  $F \subset F_{\alpha}$  of  $\Gamma$  with |F| = j+1 such that  $F \subset F_q$  for no  $q \in \mathcal{L}$  with  $q < \alpha$  is  $\sum_{k=i}^{\delta} \binom{|P|-\delta}{j-k+1} \binom{\delta-i}{k-i}$ , which is equal to  $\binom{|P|-i}{j-i+1} = \binom{|P|-i}{|P|-j-1}$ . Thus the number of faces F of  $\Gamma_{\mathcal{L}}$  with |F| = j+1 is

$$f_j(\Gamma_{\mathcal{L}}) = \sum_{i=0}^{j+1} \binom{|P|-i}{|P|-j-1} |\{\alpha \in \mathcal{L} : |N(\alpha)| = i\}|.$$

On the other hand, in general, one has

$$f_j(\Gamma_{\mathcal{L}}) = \sum_{i=0}^{j+1} \binom{|P|-i}{|P|-j-1} h_i(\Gamma_{\mathcal{L}}).$$

Hence  $h_i(\Gamma_{\mathcal{L}}) = |\{\alpha \in \mathcal{L} : |N(\alpha)| = i\}|$ , as desired.

COROLLARY 1.3. Let  $\mathcal{L}$  be a finite meet-distributive meet-semilattice, P the set of join-irreducible elements of  $\mathcal{L}$  and  $\Gamma_{\mathcal{L}}$  the homogenized ideal dual complex of  $\mathcal{L}$ . Let n = |P| and  $(h_0, h_1, \ldots, h_n)$  the h-vector of  $\Gamma_{\mathcal{L}}$ . Then  $h_1 = n$ , and the a-invariant of  $\Gamma_{\mathcal{L}}$  (which is the nonpositive integer  $\max\{i: h_i \neq 0\} - n$ ) is equal to  $\max\{|N(\alpha)|: \alpha \in \mathcal{L}\} - n$ .

- EXAMPLE 1.4. Let  $\mathcal{B}_{[n]}$  denote the boolean lattice of rank n and  $\mathcal{L}$  a poset ideal of  $\mathcal{B}_{[n]}$  which contains all join-irreducible elements (i.e.,  $\{1\},\ldots,\{n\}$ ) of  $\mathcal{B}_{[n]}$ . Then the meet-distributive meet-semilattice  $\mathcal{L}$  is a simplicial complex on [n] and the h-vector of  $\Gamma_{\mathcal{L}}$  coincides with the f-vector of  $\mathcal{L}$ .
- (a) By using (2) the Stanley-Reisner ideal  $I_{\Gamma_{\mathcal{L}}}$  of  $\Gamma_{\mathcal{L}}$  is generated by those squarefree monomials  $\prod_{q \in \ell(\beta)} y_q$  such that  $\beta \in \mathcal{B}_{[n]}$  is a minimal nonface of  $\mathcal{L}$  and by the quadratic monomials  $x_{\{i\}}y_{\{i\}}$  for all  $i \in [n]$ .
- (b) Let  $T = K[y_{\{1\}}, \ldots, y_{\{n\}}]$  and  $J \subset T$  the ideal generated by those squarefree monomials  $\prod_{q \in \ell(\beta)} y_q$  such that  $\beta \in \mathcal{B}_{[n]}$  is a minimal nonface of  $\mathcal{L}$  and by  $y_{\{i\}}^2$  for all  $i \in [n]$ . The quotient ring T/J is 0-dimensional and its h-vector is  $(f_{-1}, f_0, \ldots)$ , the f-vector of  $\mathcal{L}$  with  $f_{-1} = 1$ . It turns out that  $I_{\Gamma_{\mathcal{L}}}$  is the polarization [1, Lemma 4.3.2] of the ideal J. Since T/J is Cohen-Macaulay, it follows immediately that  $\Gamma_{\mathcal{L}}$  is Cohen-Macaulay. This fact is a special case of [5, Corollary 1.6].
- (c) Since T/J is a level ring [8, p. 91] if and only if the simplicial complex  $\mathcal{L}$  is pure, it follows that the homogenized ideal dual complex  $\Gamma_{\mathcal{L}}$  of  $\mathcal{L}$  is a level complex if and only if the simplicial complex  $\mathcal{L}$  is pure.

- (d) Let  $\Delta$  be a simplicial complex on the vertex set  $V = \{y_{\{1\}}, \ldots, y_{\{n\}}\}$ , and let  $W = \{x_{\{1\}}, \ldots, x_{\{n\}}\}$ . We write  $\Delta^{\sharp}$  for the simplicial complex on the vertex set  $V \cup W$  whose facets are those of  $\Delta$  together with all edges  $\{x_{\{i\}}, y_{\{i\}}\}$  for  $i = 1, \ldots, n$ . By the observation (a) for a simplicial complex  $\mathcal{L}$  ( $\subset \mathcal{B}_{[n]}$ ) on [n] one has a simplicial complex  $\Delta$  on V such that the facet ideal of  $\Delta^{\sharp}$ , i.e., the ideal generated by all monomials corresponding to the facets, coincides with the Stanley-Reisner ideal  $I_{\Gamma_{\mathcal{L}}}$  of  $\Gamma_{\mathcal{L}}$ . Conversely, given a simplicial complex  $\Delta$  on V, there is a simplicial complex  $\mathcal{L}$  ( $\subset \mathcal{B}_{[n]}$ ) on [n] such that the facet ideal of  $\Delta^{\sharp}$  coincides with  $I_{\Gamma_{\mathcal{L}}}$ . Since  $\Gamma_{\mathcal{L}}$  is always Cohen-Macaulay, the facet ideal of  $\Delta^{\sharp}$  is Cohen-Macaulay. This argument is a direct and easy proof of [5, Corollary 4.4].
- (e) By using (b) and (c), it follows that every f-vector of a pure simplicial complex is the h-vector of a level complex.

It would, of course, be of interest to generalize the fact (c) of Example 1.4 to arbitrary meet-distributive meet-semilattices  $\mathcal{L}$ .

#### §2. Alexander duality of meet-distributive meet-semilattices

A nice description of the homogenized ideal dual complex of a finite distributive lattice is obtained in [6, Lemma 3.1]. On the other hand, the homogenized ideal dual complex of a meet-distributive meet-semilattice of a special kind, namely, a poset ideal of a finite distributive lattice is described in [5, Theorem 4.2]. An explicit description of the homogenized ideal dual complex of an arbitrary finite meet-semilattice will be obtained in Theorem 2.1 below.

If, in general, P is a finite poset and  $B \subset P$ , then we write  $\langle B \rangle$  for the poset ideal of P generated by B, i.e.,  $p \in P$  belongs to  $\langle B \rangle$  if and only if  $p \leq q$  for some  $q \in B$ .

THEOREM 2.1. Let  $\mathcal{L}$  be an arbitrary finite meet-semilattice and P the set of join-irreducible elements of  $\mathcal{L}$ . Then the Stanley-Reisner ideal  $I_{\Gamma_{\mathcal{L}}}$  of the homogenized ideal dual complex  $\Gamma_{\mathcal{L}}$  of  $\mathcal{L}$  is generated by the following squarefree monomials:

- (i)  $x_p y_q$ , where  $p, q \in P$  with p < q;
- (ii)  $\prod_{q \in B} y_q$ , where B is an antichain of P with  $\langle B \rangle \not\subset \ell(\alpha)$  for all  $\alpha \in \mathcal{L}$ ;
- (iii)  $x_p \prod_{q \in B} y_q$ , where B is an antichain of P with  $\ell(\beta) \neq \langle B \rangle$  for all  $\beta \in \mathcal{L}$ , but with  $\langle B \rangle \subset \ell(\alpha)$  for some  $\alpha \in \mathcal{L}$  and where  $p \in \ell(\bigwedge_{\langle B \rangle \subset \ell(\alpha)} \alpha) \setminus \langle B \rangle$ .

*Proof.* Let  $A \subset P$  and  $B \subset P$  with  $A \cap B = \emptyset$ . We write  $\mathbf{x}_A \mathbf{y}_B$  for the squarefree monomial  $\prod_{p \in A} x_p \prod_{q \in B} y_q$  of  $K[\mathbf{x}, \mathbf{y}]$ . By the definition of the Stanley-Reisner ideal  $I_{\Gamma_{\mathcal{L}}}$  of  $\Gamma_{\mathcal{L}}$ , it follows that  $\mathbf{x}_A \mathbf{y}_B$  belongs to  $I_{\Gamma_{\mathcal{L}}}$  if and only if there is no facet  $\mathcal{F}_{\alpha}$  of  $\Gamma_{\mathcal{L}}$  with  $\{x_p : p \in A\} \cup \{y_q : q \in B\} \subset \mathcal{F}_{\alpha}$ . Thus by using (2) one has  $\mathbf{x}_A \mathbf{y}_B \in I_{\mathcal{L}_{\Gamma}}$  if and only if there is no  $\alpha \in \mathcal{L}$  such that  $A \subset P \setminus \ell(\alpha)$  and  $B \subset \ell(\alpha)$ . In other words, one has  $\mathbf{x}_A \mathbf{y}_B \in I_{\mathcal{L}_{\Gamma}}$  if and only if the following condition (\*) is satisfied:

(\*) each 
$$\alpha \in \mathcal{L}$$
 with  $B \subset \ell(\alpha)$  satisfies  $A \cap \ell(\alpha) \neq \emptyset$ .

We say that a pair (A, B), where  $A \subset P$  and  $B \subset P$  with  $A \cap B = \emptyset$ , is an independent pair of  $\mathcal{L}$  if the condition (\*) is satisfied. Thus  $I_{\mathcal{L}_{\Gamma}}$  is generated by all monomials  $x_p y_p$  with  $p \in P$  together with those monomials  $\mathbf{x}_A \mathbf{y}_B$  such that (A, B) is an independent pair of  $\mathcal{L}$ .

Let M(B) denote the set of maximal elements of B. Thus one has  $\langle B \rangle = \langle M(B) \rangle$ . Hence (A,B) is independent if and only if (A,M(B)) is independent. Since  $\mathbf{x}_A \mathbf{y}_{M(B)}$  divides  $\mathbf{x}_A \mathbf{y}_B$  and since M(B) is an antichain of P, it follows that  $I_{\mathcal{L}_{\Gamma}}$  is generated by all monomials  $x_p y_p$  with  $p \in P$  together with those monomials  $\mathbf{x}_A \mathbf{y}_B$  such that (A,B) is an independent pair of  $\mathcal{L}$  and B is an antichain of P.

Let p and q belong to P. Since  $\ell(q) = \langle \{q\} \rangle \in \mathcal{L}$  for all  $q \in \mathcal{L}$ , the pair  $(\{p\}, \{q\})$  with  $p \neq q$  is an independent pair of  $\mathcal{L}$  if and only if p < q. Let  $\ell(\beta) = \langle B \rangle$  for some  $\beta \in \mathcal{L}$ . Then a pair (A, B) is independent if and only if  $A \cap \langle B \rangle \neq \emptyset$ . On the other hand,  $A \cap \langle B \rangle \neq \emptyset$  if and only if there are  $p \in A$  and  $q \in B$  with p < q.

Consequently,  $I_{\mathcal{L}_{\Gamma}}$  is generated by all monomials  $x_p y_q$ , where  $p, q \in P$  with p < q together with those monomials  $\mathbf{x}_A \mathbf{y}_B$ , where (A, B) is an independent pair of  $\mathcal{L}$  such that B is an antichain of P with  $\ell(\beta) = \langle B \rangle$  for no  $\beta \in \mathcal{L}$  and with  $A \cap \langle B \rangle = \emptyset$ .

Now, let B be an antichain of P with  $\ell(\beta) = \langle B \rangle$  for no  $\beta \in \mathcal{L}$  and  $A \subset P$  with  $A \cap \langle B \rangle = \emptyset$ .

- (a) First, if  $\langle B \rangle \subset \ell(\alpha)$  for no  $\alpha \in \mathcal{L}$ , then (A, B) is independent for all  $A \subset P$  with  $A \cap B = \emptyset$ . Thus in particular  $(\emptyset, B)$  is an independent pair of  $\mathcal{L}$ .
- (b) Second, if  $\langle B \rangle \subset \ell(\alpha)$  for some  $\alpha \in \mathcal{L}$ , then (A, B) is independent if and only if  $A \cap \left(\bigcap_{\langle B \rangle \subset \ell(\alpha)} \ell(\alpha)\right) \neq \emptyset$ . Since  $\bigcap_{\langle B \rangle \subset \alpha} \ell(\alpha) = \ell\left(\bigwedge_{\langle B \rangle \subset \alpha} \alpha\right)$ , it follows that (A, B) is independent if and only if there is  $p \in A$  with  $p \in \ell\left(\bigwedge_{\langle B \rangle \subset \alpha} \alpha\right) \setminus \langle B \rangle$ .

#### §3. Level rings arising from meet-distributive meet-semilattices

It is known [5, Corollary 1.6] that the homogenized ideal dual complex  $\Gamma_{\mathcal{L}}$  of a finite meet-semilattice  $\mathcal{L}$  is Cohen-Macaulay if and only if  $\mathcal{L}$  is meet-distributive. The problem when the homogenized ideal dual complex of a meet-distributive meet-semilattice is a level ring is now studied.

Recall that a Cohen-Macaulay graded ring  $R = R_0 \oplus R_1 \oplus \cdots$  over a field  $K = R_0$  is called *level* [8, p. 91] if the canonical module of R is generated in one degree. Every Gorenstein ring is level.

Let  $\mathcal{L}$  be a finite meet-distributive meet-semilattice and P the set of join-irreducible elements of  $\mathcal{L}$ . Let, as before,  $K[\mathbf{x}, \mathbf{y}] = K[\{x_p, y_p\}_{p \in P}]$  denote the polynomial ring in 2|P| variables over a field K with each  $\deg x_p = \deg y_p = 1$ .

For each  $\alpha \in \mathcal{L}$  we write  $\alpha' \in \mathcal{L}$  for the meet of all  $\beta \in N(\alpha)$ , where  $N(\alpha)$  is the set of lower neighbors of  $\alpha$ . Since  $\mathcal{L}$  is a meet-distributive meet-semilattice, it follows that the interval

$$\mathcal{B}_{\alpha} = [\alpha', \alpha] = \{ \gamma \in \mathcal{L} : \alpha' \le \gamma \le \alpha \}$$

of  $\mathcal{L}$  is a boolean lattice. Let  $S(\alpha) \subset P$  denote the antichain

$$S(\alpha) = \ell(\alpha) \setminus \ell(\alpha').$$

Each element belonging to  $S(\alpha)$  is a maximal element of  $\ell(\alpha)$ , and  $t \in \ell(\alpha)$  belongs to  $S(\alpha)$  if and only if  $\ell(\beta) = \ell(\alpha) \setminus \{t\}$  for some  $\beta \in N(\alpha)$ .

LEMMA 3.1. If  $\alpha$  and  $\beta$  belong to  $\mathcal{L}$  with  $\alpha \neq \beta$ , then  $S(\alpha) \neq S(\beta)$ .

Proof. Let  $\gamma = \alpha \wedge \beta$ . If  $S(\alpha) = S(\beta)$ , then  $S(\alpha) \subset S(\gamma)$ . In fact, for each  $t \in S(\alpha) = S(\beta)$ , there are  $\alpha_0 \in N(\alpha)$  and  $\beta_0 \in N(\beta)$  with  $\ell(\alpha_0) = \ell(\alpha) \setminus \{t\}$  and  $\ell(\beta_0) = \ell(\beta) \setminus \{t\}$ . By using Lemma 1.1, one has  $\ell(\alpha_0 \wedge \beta_0) = \ell(\gamma) \setminus \{t\}$ . Hence  $t \in S(\gamma)$ . Now, since  $\gamma < \alpha$ , one has  $\delta \in N(\alpha)$  with  $\gamma \leq \delta < \alpha$ . Since  $\ell(\delta) = \ell(\alpha) \setminus \{t\}$  for some  $t \in S(\alpha)$ , it follows that  $t \notin \ell(\gamma)$ . This contradict  $S(\alpha) \subset S(\gamma)$ . Hence  $S(\alpha) \neq S(\beta)$ , as desired.  $\square$ 

Recall from the proof of Theorem 2.1 that a pair (A, B), where  $A \subset P$  and  $B \subset P$  with  $A \cap B = \emptyset$ , is said to be an independent pair of  $\mathcal{L}$  if each  $\alpha \in \mathcal{L}$  with  $B \subset \ell(\alpha)$  satisfies  $A \cap \ell(\alpha) \neq \emptyset$ .

LEMMA 3.2. Let  $\alpha \in \mathcal{L}$  and  $T \subset S(\alpha)$ . Then the pair  $(\emptyset,T)$  cannot be independent. Moreover, for  $p \in S(\alpha) \setminus T$ , the pair  $(\{p\},T)$  cannot be independent.

*Proof.* Since  $T \subset \ell(\alpha)$ , the pair  $(\emptyset, T)$  cannot be an independent pair of  $\mathcal{L}$ . On the other hand, since  $p \in S(\alpha)$ , one has  $\beta \in N(\alpha)$  with  $\ell(\beta) = \ell(\alpha) \setminus \{p\}$ . Since  $T \subset \ell(\beta)$  and since  $\{p\} \cap \ell(\beta) = \emptyset$ , it follows that  $(\{p\}, T)$  cannot be an independent pair of  $\mathcal{L}$ , as desired.

Let  $I_{\Gamma_{\mathcal{L}}}$  denote the Stanley-Reisner ideal of  $\mathcal{L}$  and  $K[\Gamma_{\mathcal{L}}] = K[\mathbf{x}, \mathbf{y}]/I_{\Gamma_{\mathcal{L}}}$  the Stanley-Reisner ring of  $\Gamma_{\mathcal{L}}$ . Since the dimension of  $\Gamma_{\mathcal{L}}$  is |P|-1 and the Krull dimension of  $K[\Gamma_{\mathcal{L}}]$  coincides with |P|, it follows easily that  $\{x_p - y_p : p \in P\}$  is a linear system of parameters of  $K[\Gamma_{\mathcal{L}}]$ . Since  $K[\Gamma_{\mathcal{L}}]$  is Cohen-Macaulay, by using Proposition 1.2, the Hilbert series of the quotient ring

$$K[\Gamma_{\mathcal{L}}]/(x_p - y_p : p \in P)$$

is  $h_0 + h_1 \lambda + h_2 \lambda^2 + \cdots$ , where  $(h_0, h_1, h_2, \ldots)$  is the h-vector of  $\Gamma_{\mathcal{L}}$ .

Let  $J_{\Gamma_{\mathcal{L}}}$  be the monomial ideal of  $K[\mathbf{x}] = K[\{x_p\}_{p \in P}]$  generated by those monomials

- (i)  $x_p x_q$ , where  $p, q \in P$  with p < q;
- (ii)  $\prod_{q \in B} x_q$ , where B is an antichain of P with  $\langle B \rangle \subset \ell(\alpha)$  for no  $\alpha \in \mathcal{L}$ ;
- (iii)  $x_p \prod_{q \in B} x_q$ , where B is an antichain of P with  $\ell(\beta) = \langle B \rangle$  for no  $\beta \in \mathcal{L}$ , but with  $\langle B \rangle \subset \ell(\alpha)$  for some  $\alpha \in \mathcal{L}$  and where  $p \in \ell(\bigwedge_{\langle B \rangle \subset \ell(\alpha)} \alpha) \setminus \langle B \rangle$ .

By virtue of Theorem 2.1 it follows that

$$K[\mathbf{x}]/J_{\Gamma_{\mathcal{L}}} = K[\Gamma_{\mathcal{L}}]/(x_p - y_p : p \in P).$$

We associate each  $\alpha \in \mathcal{L}$  with the monomial

$$u_{\alpha} = \prod_{p \in S(\alpha)} x_p$$

of degree  $|N(\alpha)|$ .

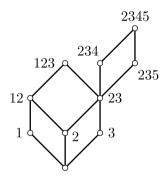
THEOREM 3.3. Let  $\mathcal{L}$  be a finite meet-distributive meet-semilattice, P the set of join-irreducible elements of  $\mathcal{L}$ , and  $K[\mathbf{x}] = K[\{x_p\}_{p \in P}]$  the polynomial ring in |P| variables over a field K. Then the set of monomials  $\{u_{\alpha} : \alpha \in \mathcal{L}\}$  is a K-basis of the quotient ring  $K[\mathbf{x}]/J_{\Gamma_{\mathcal{L}}}$ . Thus in particular  $\{S(\alpha) : \alpha \in \mathcal{L}\}$  is a simplicial complex on the vertex set  $\{x_p : p \in P\}$  whose f-vector coincides with the h-vector of  $\mathcal{L}$ .

*Proof.* Lemma 3.2 says that, for each  $\alpha \in \mathcal{L}$ , the monomial  $u_{\alpha}$  does not belongs to  $J_{\Gamma_{\mathcal{L}}}$ . Moreover, Lemma 3.1 guarantees that, for  $\alpha \neq \beta$  belonging to  $\mathcal{L}$ , one has  $u_{\alpha} \neq u_{\beta}$ . Hence, for each  $i = 0, 1, 2, \ldots$ , the number of monomials  $u_{\alpha}$  with  $\alpha \in \mathcal{L}$  of degree i is equal to  $h_i$ . Since the Hilbert series of  $K[\mathbf{x}]/J_{\Gamma_{\mathcal{L}}}$  is  $h_0 + h_1\lambda + h_2\lambda^2 + \cdots$ , it follows that  $\{u_{\alpha} ; \alpha \in \mathcal{L}\}$  is a K-basis of  $K[\mathbf{x}]/J_{\Gamma_{\mathcal{L}}}$ , as required.

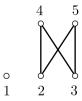
We now come to the combinatorial characterization for the homogenized ideal dual complex  $\Gamma_{\mathcal{L}}$  of a finite meet-distributive meet-semilattice  $\mathcal{L}$  to be level.

COROLLARY 3.4. The homogenized ideal dual complex  $\Gamma_{\mathcal{L}}$  of a finite meet-distributive meet-semilattice  $\mathcal{L}$  is a level complex if and only if the simplicial complex  $\{S(\alpha) : \alpha \in \mathcal{L}\}$  is pure. Thus in particular the homogenized ideal dual complex  $\Gamma_{\mathcal{L}}$  of a finite distributive lattice  $\mathcal{L} = \mathcal{J}(P)$  is level if and only if the simplicial complex consisting of all antichains of P is pure.

Consider the following example of a meet-distributive meet-semilattice  ${\mathcal L}$ 



with the following poset of join-irreducible elements

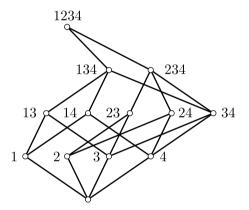


By using Theorem 2.1 the Stanley-Reisner ideal of the homogenized ideal dual complex of  $\mathcal{L}$  is generated by the following monomials:

- (i)  $x_1y_1, \ldots, x_5y_5, x_2y_4, x_2y_5, x_3y_4$  and  $x_3y_5$ ;
- (ii)  $y_1y_4$  and  $y_1y_5$ ;
- (iii)  $x_2y_1y_3$ .

The h-vector of  $\mathcal{L}$  is (1,5,4). By using Corollary 3.4 the homogenized ideal dual complex  $\Gamma_{\mathcal{L}}$  is level.

In the following meet-distributive meet-semilattice  $\mathcal{L}$  the facets of the simplicial complex  $\{S(\alpha) : \alpha \in \mathcal{L}\}$  are  $\{1,2\}$ ,  $\{1,3,4\}$  and  $\{2,3,4\}$ . Since this simplicial complex is not pure it follows from Corollary 3.4 that  $\Gamma_{\mathcal{L}}$  is not level. The h-vector of  $\mathcal{L}$  is (1,4,6,2).



By Theorem 3.3 the h-vector of the homogenized ideal dual complex of a meet-distributive meet-semilattice is just the f-vector of a simplicial complex, and the h-vector of a level simplicial complex coming from a meet-distributive meet-semilattice is just the f-vector of a pure simplicial complex. These facts lead us to the following

QUESTION 3.5. (a) Characterize the h-vectors of the homogenized ideal dual complex of finite distributive lattices.

- (b) Characterize the h-vectors of the homogenized ideal dual complex of meet-distributive lattices.
- (c) Find a nice class of level simplicial complexes whose h-vector is not the f-vector of a pure simplicial complex.

For example (1,3,3) is the h-vector of the homogenized ideal dual complex of the meet-distributive lattice  $\mathcal{B}_{[3]} \setminus \{1,3\}$ , but is not the h-vector of the homogenized ideal dual complex of a distributive lattice.

#### References

- W. Bruns and J. Herzog, Cohen-Macaulay rings, Revised Edition, Cambridge University Press, 1996.
- [2] J. Eagon and V. Reiner, Resolutions of Stanley-Reisner rings and Alexander duality,
   J. Pure Appl. Algebra, 130 (1998), 265–275.
- [3] P. Edelman, Abstract convexity and meet-distributive lattices, Combinatorics and ordered sets (Arcata, Calif., 1985), Contemp. Math. 57, Amer. Math. Soc., Providence, RI (1986), pp. 127–150.
- [4] J. Herzog, T. Hibi and X. Zheng, Dirac's theorem on chordal graphs and Alexander duality, Europ. J. Combin., 25 (2004), 949–960.
- [5] J. Herzog, T. Hibi and X. Zheng, *The monomial ideal of a finite meet-semilattice*, to appear, Trans. Amer. Math. Soc.
- [6] J. Herzog and T. Hibi, Distributive Lattices, Bipartite Graphs and Alexander Duality, to appear, J. Alg. Combin.
- [7] R. P. Stanley, Enumerative Combinatorics, Volume I, Wadsworth & Brooks/Cole, Monterey, CA, 1986.
- [8] R. P. Stanley, Combinatorics and Commutative Algebra, Second Edition, Birkhäuser, Boston, MA, 1996.

Jürgen Herzog
Fachbereich Mathematik und Informatik
Universität Duisburg-Essen
Campus Essen
45117 Essen
Germany
juergen.herzog@uni-essen.de

Takayuki Hibi
Department of Pure and Applied Mathematics
Graduate School of Information Science and Technology
Osaka University
Toyonaka
Osaka 560-0043
Japan
hibi@math.sci.osaka-u.ac.jp