

## Wild knots and arcs in 3-space

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The first four chapters of the thesis are concerned with the development of invariants of local embedding type for certain restricted classes of wild arcs. More particularly, let  $M$  be a 3-manifold and  $k$  an arc in  $M$  with an isolated wild point  $w \in \text{Int}M$  (that is,  $w$  has a neighbourhood in  $M$  which contains no other wild points of  $k$ ). Let  $P_r$  denote the penetration index of  $k$  at  $w$ , relative to neighbourhoods of  $w$  which are "balls with  $r$  solid handles". Then if  $P_1 \leq 2$  and  $P_0 > 3P_1$ , we show in Chapter I that the cofinality class of the knot types of the solid tori occurring in a " $k$ -sequence" is an invariant of the non-oriented local arc type of  $k$  at  $w$ .

If  $M$  is orientable and  $k$  is oriented,  $P_1 \leq 2$  and  $P_0 > 3P_1$  as before, we can associate another infinite sequence with  $k$  if  $k$  satisfies certain rather mild geometric conditions, and we show that the cofinality class of this sequence is an invariant of the oriented local arc type of  $k$  at  $w$ .

Examples are given in Chapter IV to show how these invariants may be used to distinguish arcs in  $R^3$  or  $S^3$ , whose only wild point is an endpoint (such arcs have been called "nearly polyhedral"). In particular, we exhibit for each odd integer  $n \geq 5$  an uncountable family  $A_n$  of non-invertible nearly polyhedral arcs with penetration indices  $P_0 = n$  and  $P_1 = 1$  at the point  $w$ .

Chapter V relates the geometric invariant of enclosure genus of a wild knot with the algebraic invariant of its nullity. If  $M$  is the 3-sphere

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and  $k$  is a knot with one wild point, then the nullity of the Alexander module of  $\pi_1(S^3-k)$  is bounded above by the enclosure genus of  $k$ . This result is the best possible, in that for each integer  $n$  knots  $k_n$  and  $k'_n$  are exhibited, both with  $P_0 = 2n$  at their respective wild points, such that  $k_n$  has enclosure genus and nullity both equal to  $n$ , while  $k'_n$  has enclosure genus  $n$  and nullity 1.

The technique of "cutting and pasting", used to obtain the results of the first four chapters, is a modification of the technique used by N.F. Smythe ("Isotopy invariants of links", Ph.D. thesis, Princeton University, 1965). The results of Chapter V rest on the fact that the Jacobian module functor preserves colimits. Thus, if  $\{G_i\}$  is a family of groups with colimit  $G$ , and such that for each  $i$  there exists an epimorphism  $\alpha_i$  from  $G_i$  to a fixed group  $H$ , then there exists an epimorphism  $\alpha : G \rightarrow H$  such that the Jacobian  $JH$ -module  $M[G, \alpha]$  is the colimit of the  $JH$ -modules  $M[G_i, \alpha_i]$ .