

# ON TOTAL MASSES OF BALAYAGED MEASURES

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## Introduction

Beurling and Deny [1], [2] introduced the notion of Dirichlet spaces. They [2] showed the existence of balayaged measures and equilibrium measures in the theory of Dirichlet spaces. In this paper, we shall show that the following equivalence is valid for a Dirichlet space on a locally compact Hausdorff space  $X$ .

(1) For a pure potential  $u_\mu$  such that  $S_\mu$ , the support of  $\mu$ , is compact and for a compact neighborhood  $\omega$  of  $S_\mu$ , let  $\mu'$  be the balayaged measure of  $\mu$  to  $\mathcal{E}\omega$ . Then

$$\int d\mu = \int d\mu'.$$

(2) For an increasing net  $(\omega_\alpha)_{\alpha \in I}$  of relatively compact open sets satisfying  $\omega_\alpha \nearrow X$ , let  $\nu_\alpha$  be the equilibrium measure of  $\omega_\alpha$ . Then the net  $(\nu_\alpha)_{\alpha \in I}$  converges vaguely to 0.

Furthermore we shall examine analogous equivalences for a special Dirichlet space on a locally compact abelian group  $X$ .

## 1. Preliminaries on Dirichlet spaces

According to Beurling and Deny [2], we define a normal contraction of the complex plane  $\mathbb{C}$ .

DEFINITION 1. A transformation  $T$  of  $\mathbb{C}$  into itself is called a normal contraction if it satisfies the following conditions:

$$T(0) = 0 \text{ and } |Tz_1 - Tz_2| \leq |z_1 - z_2|$$

for any couple of  $z_1$  and  $z_2$  in  $\mathbb{C}$ .

Let  $X$  be a locally compact Hausdorff space and let  $C_k = C_k(X)$  be the space of complex valued continuous functions with compact support provided with the topology of uniform convergence.

DEFINITION 2.<sup>1)</sup> Let  $\xi$  be a positive Radon measure in  $X$  which is every-

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<sup>1)</sup> Cf. [2], p. 209.

where dense (i.e.,  $\xi(\omega) > 0$  for each non-empty open set  $\omega$  in  $X$ ). A Hilbert space  $D = D(X, \xi)$  is called a  $\xi$ -Dirichlet space (simply, a Dirichlet space) if each element in  $D$  is a complex valued function  $u(x)$  which is locally summable for  $\xi$  and the following three conditions are satisfied.

a) For each compact subset  $K$  in  $X$ , there exists a positive constant  $A(K)$  such that, for any  $u$  in  $D$

$$\int_K |u(x)| d\xi(x) \leq A(K) \|u\|.$$

b)  $C_k \cap D$  is dense both in  $C_k$  and in  $D$ .

c) For any normal contraction  $T$  and any  $u$  in  $D$ ,

$$Tu \in D \quad \text{and} \quad \|Tu\| \leq \|u\|.$$

More precisely, two functions which are equal to  $p.p.$  in  $X^2$  represent the same element in  $D$ . The norm in  $D$  is denoted by  $\|\cdot\|$ , the associated scalar product by  $(\cdot, \cdot)$ .

DEFINITION 3.<sup>3)</sup> An element  $u$  in  $D$  is called a potential if there exists a Radon measure  $\mu$  such that

$$(u, v) = \int \bar{v} d\mu$$

for any  $v$  in  $C_k \cap D$ . Such an element  $u$  is denoted by  $u_\mu$ . Especially if  $\mu$  is positive,  $u_\mu$  is called a pure potential.

It is evident that the subspace of linear combinations of pure potentials is dense in  $D$ .

DEFINITION 4.<sup>4)</sup> We say that a property holds  $p.p.p.$  on a subset  $E$  in  $X$  if the property holds  $\mu$ - $p.p.$  for any pure potential  $u_\mu$  such that  $S_\mu \subset E$ .<sup>5)</sup>

It is evident that a property holds  $p.p.$  on a subset  $E$  in  $X$  if the property holds  $p.p.p.$  on  $E$ , because for any complex valued bounded measurable function  $f$  with compact support, there exists the potential  $u_f$  generated by  $f$ .

In order to prove our main theorem, we need the following lemmas. Let  $D$  be a Dirichlet space on  $X$ . For each element  $u$  in  $D$ , the refinement of  $u$  is

<sup>2)</sup> A property is said to hold  $p.p.$  in a subset  $E$  in  $X$  if the property holds in  $E$  except a set which is locally of  $\xi$ -measure 0.

<sup>3)</sup> Cf. [2], p. 209.

<sup>4)</sup> Cf. [7].

<sup>5)</sup>  $S_\mu$  is the support of  $\mu$ .

denoted by  $u^*$ .<sup>6)</sup>

LEMMA 1. For elements  $u$  and  $v$  in  $D$ , suppose that  $u(x) \geq v(x)$  *p.p.* in an open set  $G$ . Then  $u^*(x) \geq v^*(x)$  *p.p.p.* in  $G$ .

*Proof.* For any pure potential  $u_\mu$  such that  $S_\mu \subset G$ , it is sufficient to prove that

$$(u, u_\mu) \geq (v, u_\mu),$$

because

$$(u, u_\mu) = \int u^* d\mu \quad \text{and} \quad (v, u_\mu) = \int v^* d\mu.$$

Similarly as in the proof of Lemma 3 in [7], there exist positive bounded measurable functions  $f_n$  with compact support such that  $f_n(x) = 0$  *p.p.* in  $\mathcal{E}G$  and the sequence  $(u_{f_n})$  converges weakly  $u_\mu$  in  $D$ . By our assumption,

$$(u, u_{f_n}) = \int u(x) f_n(x) d\xi \geq \int v(x) f_n(x) d\xi = (v, u_{f_n}).$$

Hence

$$(u, u_\mu) = \lim_{n \rightarrow \infty} (u, u_{f_n}) \geq \lim_{n \rightarrow \infty} (v, u_{f_n}) = (v, u_\mu).$$

This completes the proof.

By Lemma 1, we obtain the following domination theorem.

LEMMA 2. For pure potentials  $u_{\mu_1}$  and  $u_{\mu_2}$  in  $D$ , suppose that

$$u_{\mu_1}(x) \geq u_{\mu_2}(x)$$

*p.p.* in some open neighborhood  $\omega$  of  $S_{\mu_2}$ . Then

$$u_{\mu_1} \geq u_{\mu_2}$$

*Proof.* By Lemma 1,

$$u_{\mu_1}^*(x) \geq u_{\mu_2}^*(x)$$

*p.p.p.* in  $\omega$ . It is known that there exists a pure potential  $u_\nu$  such that<sup>7)</sup>

$$u_\nu = \inf(u_{\mu_1}, u_{\mu_2}).$$

<sup>6)</sup> Cf. [2], p. 210.

<sup>7)</sup> Cf. [4], Lemma 2, p. 5.

By above, it holds that

$$\begin{aligned} u_{\nu}^*(x) &= \mu_{\mu_2}^*(x) \quad p.p.p. \text{ in } \omega, \\ u_{\nu}^*(x) &\leq \mu_{\mu_2}^*(x) \quad p.p.p. \text{ in } X. \end{aligned}$$

Then we have

$$\begin{aligned} \|u_{\mu_2} - u_{\nu}\|^2 &= \|u_{\mu_2}\|^2 - 2(u_{\mu_2}, u_{\nu}) + \|u_{\nu}\|^2 \\ &= \int u_{\mu_2}^* d\mu_2 - 2 \int u_{\nu}^* d\mu_2 + \int u_{\nu}^* d\nu \\ &= \int u_{\nu}^* d\nu - \int u_{\nu}^* d\mu_2 \\ &= \int (u_{\nu}^* - \mu_{\mu_2}^*) d\nu \leq 0. \end{aligned}$$

Hence

$$u_{\mu_2} = u_{\nu}, \text{ i.e., } u_{\mu_1} \geq u_{\mu_2}.$$

This completes the proof.

By the above lemma, we obtain the following unicity theorem.

**COROLLARY.** *Let  $u_{\mu_1}$  and  $u_{\mu_2}$  be two potentials in  $D$ . If*

$$u_{\mu_1}(x) = u_{\mu_2}(x) \quad p.p.p.$$

*in some neighborhood of  $S_{\mu_1} \cup S_{\mu_2}$ , then  $\mu_1 = \mu_2$ .*

This is evident by Lemma 2.

**LEMMA 3.** *For elements  $u$  and  $v$  in  $D$ , the following equalities hold.*

- (1)  $(\alpha u + \beta v)^*(x) = \alpha u^*(x) + \beta v^*(x) \quad p.p.p. \text{ in } X,$
- (2)  $(\inf(u, v))^*(x) = \inf(u^*(x), v^*(x)) \quad p.p.p. \text{ in } X.$

The proof is evident by Lemma 1 and the fact that  $(u^*)^*(x) = u^*(x) \quad p.p.p. \text{ in } X$  for any  $u$  in  $D$ .

**LEMMA 4.** *For any pure potential  $u_{\mu}$  in  $D$  with  $\int d\mu < +\infty$  and any positive number  $M$ , there exists a pure potential  $u_{\mu_M}$  such that*

$$u_{\mu_M}(x) = \inf(u_{\mu}(x), M) \text{ and } \int d\mu_M \leq \int d\mu.$$

*Proof.* The existence of a pure potential  $u_{\mu_M}$  is followed from a result of

Deny.<sup>8)</sup> For a relatively compact open set  $\omega$ , let  $u_\nu$  be the equilibrium potential of  $\omega$ .<sup>9)</sup> Then

$$\begin{aligned} \int_\omega d\mu_M &\leq \int u_\nu^* d\mu_M = (u_\nu, u\mu_M) = \int u_{\mu_M}^* d\nu \\ &= \int \inf(u_\mu^*(x), M) d\nu \leq \int u_\mu^* d\nu \\ &= \int u_\nu^* d\mu \leq \int d\mu. \end{aligned}$$

$\omega$  being arbitrary, we obtain

$$\int d\mu_M \leq \int d\mu.$$

This completes the proof.

Now we define the spectrum of an element in  $D$ . Given an element  $u$  in  $D$ , there exists the greatest open set  $\omega$  having the following property:  $(u, v) = 0$  for any  $v$  in  $C_k \cap D$  with support in  $\omega$ .

DEFINITION 5.<sup>9)</sup> The complementary set of such an open set  $\omega$  is called the spectrum of  $u$ , denoted by  $s(u)$ .

Evidently for any potential  $u_\mu$  in  $D$ ,  $s(u_\mu) = S_\mu$ .

We put, for an open set  $\omega$ ,

$$\begin{aligned} D_\omega^{(1)} &= \{u \in D; s(u) \subset \omega\}, \\ D_\omega^{(2)} &= \{f \in C_k \cap D; S_f \subset \omega\}, \end{aligned}$$

and for a closed set  $F$  in  $X$ ,

$$\begin{aligned} D_F^{(1)} &= \{u \in D; s(u) \subset F\}, \\ D_F^{(2)} &= \{u \in D; u^*(x) = 0 \text{ p.p.p. on } F\}. \end{aligned}$$

LEMMA 5. Let  $u_\mu$  be a pure potential in  $D$  and let  $F$  be a closed set in  $X$ . Then there exists a pure potential  $u_{\mu'}$  in  $D$  such that

- (1)  $\mu'$  is supported by  $F$  and  $\int d\mu' \leq \int d\mu$ ,
- (2)  $u_\mu^*(x) = u_{\mu'}^*(x)$  p.p.p. on  $F$ ,
- (3)  $u_\mu(x) \geq u_{\mu'}(x)$  p.p. in  $X$ ,

<sup>8)</sup> Cf. [4], p. 6.  
<sup>9)</sup> Cf. [2], p. 215.

(4)  $u_{\mu'}$  is equal to the projection of  $u_{\mu}$  to  $D_F^{(3)}$ .

Proof. We put

$$E_{u_{\mu'}, F} = \{u \in D; u^*(x) \geq u_{\mu}^*(x) \text{ p.p. on } F\}.$$

Then  $E_{u_{\mu'}, F}$  is a non-empty closed convex set in  $D$ . Let  $u'$  be the unique element which minimizes the norm in  $E_{u_{\mu'}, F}$ . Similarly as the proof of Beurling and Deny's Balayage Theorem,<sup>10)</sup> we can prove that  $u'$  is a pure potential in  $D$  and the conditions (1)–(3) are satisfied. Furthermore similarly as the proof of Lemma 3 in [7], we can prove that the condition (4) is satisfied.

We remark that for a pure potential  $u_{\mu}$  in  $D$ , the element which satisfied the conditions (1)–(3) is uniquely determined in  $D$  by Lemma 2. We call such a pure potential  $u_{\mu'}$  the balayaged potential of  $u_{\mu}$  to  $F$  and the positive measure  $\mu'$  the balayaged measure of  $\mu$  to  $F$ .

LEMMA 6. For an open set  $\omega$  in  $X$ ,  $D_{\omega}^{(2)}$  is a Dirichlet space on  $\omega$  with the norm induced from the norm in  $D$ . Let  $u'_{\mu}$  be a pure potential in  $D_{\omega}^{(2)}$  such that  $S_{\mu}$  is compact in  $\omega$ . Then there exists a potential  $u_{\mu}$  in  $D$  such that

$$u'_{\mu}(x) = u_{\mu}(x) - u_{\mu'}(x),$$

where  $u_{\mu'}$  is the balayaged potential of  $u_{\mu}$  to  $\mathcal{E}\omega$ .

Proof. It is evident that  $D_{\omega}^{(2)}$  become a Dirichlet space on  $\omega$  by the norm induced from the norm in  $D$ . We may assume that

$$D_{\omega}^{(2)} = \{u - u_1; u \in D_{\omega}^{(1)}\},$$

where  $u_1$  is the projection of  $u$  to  $D_{\mathcal{E}\omega}^{(1)}$ . Hence there exists an element  $v$  in  $D_{\omega}^{(1)}$  such that

$$u'_{\mu} = v - v_1$$

Obviously

$$s(v - v_1) \subset s_{\mu} \cup \mathcal{E}\omega.$$

$S_{\mu}$  being compact in  $\omega$ ,  $s(v) = S_{\mu}$  and for any  $\varphi$  in  $C_k \cap D_{\omega}^{(2)}$ ,

$$(v, \varphi) = \int \bar{\varphi}(x) d\mu,$$

that is, for any  $\varphi$  in  $C_k \cap D$ ,

$$(v, \varphi) = \int \bar{\varphi}(x) d\mu.$$

<sup>10)</sup> Cf. [2], p. 210 and [7].

Therefore  $v = u_\mu$  and by Lemma 5,  $v_1 = u_{\mu'}$ . This completes the proof.

**2. Main theorems**

By the above lemmas, we obtain the following main theorems.

**THEOREM I.** *Let  $D$  be a Dirichlet space on  $X$ . Then the following two conditions are equivalent.*

(I. 1) *For a pure potential  $u_\mu$  in  $D$  such that  $S_\mu$  is compact in  $X$  and a compact neighborhood  $\omega$  of  $S_\mu$ , let  $\mu'$  be the balayaged measure of  $\mu$  to  $\mathcal{E}_\omega$ .<sup>11)</sup> Then*

$$\int d\mu = \int d\mu' .$$

(I. 2) *For an increasing net  $(\omega_\alpha)$  of relatively compact open sets with  $\omega_\alpha \nearrow X$ , the net of the equilibrium measures  $\nu_\alpha$  of  $\omega_\alpha$ <sup>12)</sup> converges vaguely to 0.*

*Proof.* First we prove the implication (I. 1)  $\Leftrightarrow$  (I. 2). Since the net  $(u_{\nu_\alpha}^*)$  is increasing and converges to 1 *p.p.p.* in  $X$ ,

$$\lim_{\alpha \in I} \int u_{\nu_\alpha}^* d\mu = \lim_{\alpha \in I} \int u_{\nu_\alpha}^* d\mu'$$

for any  $u_\mu$  in  $D$  such that  $S_\mu$  is compact and any compact neighborhood  $\omega$ .  $S_\mu$  being compact,

$$0 = \lim_{\alpha \in I} \int u_{\nu_\alpha}^* d(\mu - \mu') = \lim_{\alpha \in I} \int (u_\mu^* - \mu_{\mu'}^*) d\nu_\alpha .$$

We take a fixed function  $\varphi$  in  $C_k$ . Next we take a relatively compact open sets  $\omega$  and  $\omega_0$  such that

$$S_\varphi \subset \omega_0 \subset \bar{\omega}_0 \subset \omega .$$

Let  $u_{\nu'}$  be the equilibrium potential of  $\omega_0$  in the Dirichlet space  $D_\omega^{(2)}$ . By Lemma 6, there exists a pure potential  $u_\nu$  in  $D$  such that

$$u_{\nu'} = u_\nu - u_{\nu''} ,$$

where  $u_{\nu''}$  is the balayaged potential of  $u_\nu$  to  $C\omega$ . Furthermore we take a relatively compact open set  $\omega'$  such that  $\bar{\omega} \subset \omega'$ . Let  $\nu''$  be the balayaged measure of  $\nu$  to  $\mathcal{E}_{\omega'}$ . Then

$$u_{\nu'}(x) \geq u_{\nu''}(x)$$

<sup>11)</sup> Cf. [2], p. 210 and [7].

<sup>12)</sup> Cf. [2], p. 210 and [7].

*p.p.* in  $\mathcal{E}\omega$ . By Lemma 2,

$$u_{\nu'}(x) \geq u_{\nu''}(x)$$

*p.p.* in  $X$ . That is,

$$u_{\nu}^*(x) - u_{\nu'}^*(x) \leq u_{\nu}^*(x) - u_{\nu''}^*(x)$$

*p.p.p.* in  $X$ . Hence there exists a positive number  $M$  such that

$$\begin{aligned} \overline{\lim}_{\alpha \in I} \int |\varphi| d\nu_{\alpha} &\leq \overline{\lim}_{\alpha \in I} M \int (u_{\nu}^* - u_{\nu'}^*) d\nu_{\alpha} \\ &\leq \overline{\lim}_{\alpha \in I} M \int (u_{\nu}^* - u_{\nu''}^*) d\nu_{\alpha} = \lim_{\alpha \in I} M \int (u_{\nu}^* - u_{\nu''}^*) d\nu_{\alpha} = 0. \end{aligned}$$

Therefore the net  $(\nu_{\alpha})_{\alpha \in I}$  converges vaguely to 0.

Next we prove the implication (I. 2)  $\Leftrightarrow$  (I. 1). Let  $u_{\mu}$  and  $u_{\mu'}$  be the elements in our theorem. By Lemma 4, for each positive number  $M$ , there exists positive measures  $\mu_M$  and  $\mu'_M$  such that

$$u_{\mu_M} = \inf(u_{\mu}, M) \text{ and } u_{\mu'_M} = \inf(u_{\mu'}, M).$$

Since we have

$$\int d\mu_M \leq \int d\mu \text{ and } \int d\mu'_M \leq \int d\mu',$$

we may assume that there exist bounded linear functionals  $T$  and  $T'$  on  $C$ , where  $C$  is the Banach space of bounded continuous functions in  $X$  with norm

$$\|f\|_C = \sup_{x \in X} |f(x)|.$$

Then

$$\int f d\mu_M \longrightarrow T(f) \text{ and } \int f d\mu'_M \longrightarrow T'(f)$$

as  $M \rightarrow \infty$  for any  $f$  in  $C$ . On the other hand

$$\|u_{\mu_M}\|^2 = \int u_{\mu_M}^* d\mu_M \leq \int u_{\mu}^* d\mu_M = \int u_{\mu}^* d\mu \leq \int u_{\mu}^* d\mu = \|u_{\mu}\|^2.$$

Similarly we have

$$\|u_{\mu'_M}\|^2 \leq \|u_{\mu'}\|^2.$$

For any bounded measurable function  $f$  with compact support, we have the following convergences

$$\lim_{M \rightarrow \infty} \int u_{\mu_M}(x) f(x) d\xi(x) = \int u_{\mu}(x) f(x) d\xi(x),$$

$$\lim_{M \rightarrow \infty} \int u_{\mu'_M}(x) f(x) d\xi(x) = \int u_{\mu'}(x) f(x) d\xi(x).$$

Hence  $(u_{\mu_M})$  and  $(u_{\mu'_M})$  converges weakly to  $u_{\mu}$  and  $u_{\mu'}$ , respectively, because the totality of potentials generated by such functions  $f$  is dense in  $D$ . Now for any  $\varphi$  in  $C_k \cap D$ ,

$$T(\varphi) = \int \varphi d\mu \text{ and } T'(\varphi) = \int \varphi d\mu'.$$

By the denseness of  $C_k \cap D$  in  $C_k$ ,

$$T(f) \geq \int f d\mu \text{ and } T'(f) \geq \int f d\mu'$$

for any  $f$  in  $C$ . On the other hand by lemma 4,

$$T(1) \leq \int d\mu \text{ and } T'(1) \leq \int d\mu'.$$

That is,

$$T(1) = \int d\mu \text{ and } T'(1) = \int d\mu'.$$

By the above equality, it is sufficient to prove that for any  $M > 0$ ,

$$\int d\mu_M = \int d\mu'_M.$$

Since we have

$$0 \leq u_{\mu_M}^* - u_{\mu'_M} \leq M \text{ p.p.p. in } X,$$

$$u_{\mu_M}^* - u_{\mu'_M}^* = 0 \text{ p.p.p. in } \mathcal{E} \omega,$$

there exists a function  $\varphi$  in  $C_k$  such that

$$u_{\mu_M}^*(x) - u_{\mu'_M}^*(x) \leq \varphi(x)$$

p.p.p. in  $X$ . Hence by our assumption,

$$\overline{\lim}_{\alpha \in I} \int (u_{\mu_M}^* - u_{\mu'_M}^*) d\nu_{\alpha} \leq \lim_{\alpha \in I} \int \varphi d\nu_{\alpha} = 0.$$

Therefore

$$\lim_{\alpha \in I} \int (u_{\mu_M}^* - u_{\mu'_M}^*) d\nu_{\alpha} = \lim_{\alpha \in I} \int u_{\nu_{\alpha}}^* d(\mu_M - \mu'_M) = 0.$$

That is,

$$\int d\mu_M = \int d\mu'_M.$$

This completes the proof.

*Remark 1.* In the above theorem, a sufficient condition for the condition (I. 1) to be satisfied is the following (I.2').

(I. 2') There exists an increasing net  $(\omega_\alpha)_{\alpha \in I}$  of relatively compact open sets such that  $\omega_\alpha \nearrow X$  and the net of the equilibrium measures  $\nu_\alpha$  of  $\omega_\alpha$  converges vaguely to 0.

*Remark 2.* Let  $X$  be a bounded domain in the  $n$ -dimensional Euclidean space  $R^n$  ( $n \geq 2$ ) with sufficiently smooth boundary and let  $0 < \alpha < 2$ . In the Dirichlet space  $D_\alpha^0$  on  $X$  introduced by Elliott [6], the condition (I. 1) in Theorem I is not satisfied, because for any sequence  $(\omega_m)$  of relatively compact open sets tending to  $X$ , the sequence  $(\nu_m)$  of the equilibrium measures of  $\omega_m$  converges vaguely to  $m(x)$ , where

$$m(x) = J_\alpha \int_{\mathcal{E}_X} |x-y|^{-\alpha-n} dy$$

and  $J_\alpha$  is a positive constant.

By Beurling and Deny's Representation Theorem<sup>13)</sup> and our Theorem I, we obtain the following

**THEOREM II.** Let  $D$  be a Dirichlet space on  $X$ . Then the following two conditions are equivalent.

(II. 1) For a pure potential  $u_\mu$  in  $D$  such that  $S_\mu$  is compact and a compact neighborhood  $\omega$  of  $S_\mu$ , let  $\mu'$  be the balayaged measure of  $\mu$  to  $\mathcal{E}_\omega$ . Then

$$\int d\mu = \int d\mu'.$$

(II. 2) There exist a positive Hermitian form  $N(f, g)$  on  $C_k \cap D$  with a local character<sup>14)</sup> and a positive symmetric measure  $\sigma$  in  $X \times X - \delta$  ( $\delta$  is the diagonal set of  $X \times X$ ) such that

$$(f, g) = N(f, g) + \iint (f(x) - f(y))(\bar{g}(x) - \bar{g}(y)) d\sigma(x, y).$$

By Beurling and Deny's Representation Theorem and the remark with respect to it in [7], it is evident that the conditions (I. 1) and (II. 2) are equivalent.

<sup>13)</sup> Cf. [2], pp. 211 and [7].

<sup>14)</sup> This means that  $N(f, g) = 0$  if  $g$  is constant in some neighborhood of  $S_f$ .

### 3. Special Dirichlet spaces

According to Beurling and Deny [2], we define a negative definite function in a locally compact abelian group  $X$  and a special Dirichlet space on  $X$ .

**DEFINITION 6.**<sup>15)</sup> A complex valued continuous function  $\lambda(x)$  defined in  $X$  is said to be negative definite if the following Hermitian form

$$\sum_{i,j=1}^n (\lambda(x_i) + \overline{\lambda(x_j)} - \lambda(x_i - x_j)) \rho_i \rho_j$$

is positive for each set of  $n$  points  $x_1, x_2, \dots, x_n$  in  $X$  and each  $n$  complex number  $\rho_1, \rho_2, \dots, \rho_n$  ( $n=1, 2, \dots$ ).

**DEFINITION 7.**<sup>16)</sup> A Dirichlet space  $D=D(X, \xi)$  is said to be special if  $X$  is a locally compact abelian group and  $\xi$  is the Haar measure on  $X$ , the following condition being satisfied.

d) If  $U_x u$  is the function obtained from  $u$  in  $D$  by the translation  $x \in X$  (i.e.,  $U_x u(y) = u(y-x)$ ), then

$$U_x u \in D \quad \text{and} \quad \|U_x u\| = \|u\|.$$

Beurling and Deny [2] showed the following important result.

To a special Dirichlet space  $D$  on  $X$  corresponds a real valued negative definite function  $\lambda(\hat{x})$  on the dual group  $\hat{X}$  of  $X$  such that  $\lambda^{-1}$  is locally summable and the following equality holds:

$$\|u\|^2 = \int \lambda(\hat{x}) |\hat{u}(\hat{x})|^2 d\hat{x} \quad (1)$$

for any  $u$  in  $C_c \cap D$ , where  $\hat{u}$  is the Fourier transform of  $u$ .

Conversely, such a negative definite function  $\lambda(\hat{x})$  on  $\hat{X}$  defines, by means of (1), a special Dirichlet space on  $X$ .

Furthermore for a special Dirichlet space  $D$ , there exists a positive measure  $\kappa$  having  $\lambda^{-1}$  as the Fourier transform. We call this measure  $\kappa$  the kernel of  $D$ . We [7] proved the following proposition.

**PROPOSITION.** *Let  $D$  be a special Dirichlet space on  $X$  and let  $\kappa$  be the kernel of  $D$ . For a point  $x$  in  $X$  and a compact neighborhood  $\omega$  of  $x$ , there exists a positive measure  $\varepsilon'_x$  such that*

<sup>15)</sup> Cf. [2], p. 214 and [4], p. 8.

<sup>16)</sup> Cf. [2], p. 215 and [4], p. 9.

- (1)  $\epsilon'_x$  supported by  $\overline{\mathcal{E}\omega}$  and  $\int d\epsilon'_x \leq 1$ ,
- (2)  $\kappa*\epsilon_x = \kappa*\epsilon'_x$  as a measure in  $\mathcal{E}\omega$ ,
- (3)  $\kappa*\epsilon_x \geq \kappa*\epsilon'_x$  in  $X$ .

This measure  $\epsilon'_x$  is called the balayaged measure of the unit measure  $\epsilon_x$  at  $x$  to  $\mathcal{E}\omega$ .

To prove the second main theorem, we need the following lemmas.

LEMMA 7. *Let  $D$  be a special Dirichlet space on  $X$ . For each increasing net  $(\omega_\alpha)_{\alpha \in I}$  of relatively compact open sets with  $\omega_\alpha \nearrow X$ , the net  $(\nu_\alpha)_{\alpha \in I}$  converges vaguely to  $\lambda(\delta)$  if  $\lambda(\delta) \neq 0$ , where  $\nu_\alpha$  is the equilibrium measure of  $\omega_\alpha$ .*

Cf. [7], Lemma 12.

LEMMA 8. *Let  $D_1$  and  $D_2$  be special Dirichlet spaces on  $X$  and  $\lambda_1(\hat{x})$  and  $\lambda_2(\hat{x})$  be the negative definite functions of  $D_1$  and  $D_2$ , respectively. If  $\lambda_1(\hat{x}) \geq \lambda_2(\hat{x})$ , then  $D_1 \subset D_2$ .*

*Proof.* For any  $u$  in  $C_k \cap D_1$ ,

$$\|u\|_2^2 = \int |\hat{u}(\hat{x})|^2 \lambda_2(\hat{x}) d\hat{x} \leq \int |\hat{u}(\hat{x})|^2 \lambda_1(\hat{x}) d\hat{x} = \|u\|_1^2,$$

where  $\|\cdot\|_i$  is the norm in  $D_i$ . Then  $u$  is in  $D_2$  and  $\|u\|_2 \leq \|u\|_1$ .

Therefore  $D_1 \subset D_2$ , because  $C_k \cap D_1$  is dense in  $D_1$ . This completes the proof.

By Lemma 7 and Lemma 8, we obtain the following

LEMMA 9. *Let  $D$  be a special Dirichlet space on  $X$  and let  $\lambda(\hat{x})$  be the negative definite function of  $D$ . For each increasing net  $(\omega_\alpha)_{\alpha \in I}$  of relatively compact open sets with  $\omega_\alpha \nearrow X$ , the net  $(\nu_\alpha)_{\alpha \in I}$  converges vaguely to  $\lambda(\delta)$ , where  $\nu_\alpha$  is the equilibrium measure of  $\omega_\alpha$ .*

*Proof.* For a fixed positive number  $c$ , we put

$$\lambda'(\hat{x}) = \lambda(\hat{x}) + c.$$

Then  $\lambda'$  is negative definite and  $\lambda'^{-1}$  is finite continuous in  $X$ . Let  $D'$  be the special Dirichlet space on  $X$  such that  $\lambda'(\hat{x})$  is the negative definite function of  $D'$ . For our net  $(\omega_\alpha)_{\alpha \in I}$ , we take another net  $(\omega'_\alpha)_{\alpha \in I}$  of relatively compact open sets such that

$$\overline{\omega_\alpha} \subset \omega'_\alpha$$

for any  $\alpha$ . Let  $u'_{\mu_\alpha}$  be the condenser potential with respect to  $\omega_\alpha$  and  $\overline{\mathcal{E}\omega'_\alpha}$  in

$D'$ . We take a fixed function  $\varphi$  in  $C_k$  such that

$$\varphi(x) \geq 0 \quad \text{and} \quad \int \varphi(x) dx = 1.$$

Then  $u_{\mu_\alpha * \varphi}$  is in  $C_k \cap D'$ . Put

$$u_\alpha(x) = u_{\mu_\alpha * \varphi}(x).$$

Then  $u_\alpha(x)$  tends to 1. For any  $u$  in  $C_k \cap D'$ , there exists a number  $\alpha_0$  such that  $u_\alpha(x) = 1$  in some neighborhood of  $S_u$  for each  $\alpha \geq \alpha_0$ . By Beurling and Deny's Representation Theorem and Lemma 7,

$$(u, u_\alpha)' = (\lambda(\delta) + c) \int u(x) dx + 2 \iint u(x)(1 - u_\alpha(y)) d\sigma(x, y),$$

where  $(\cdot, \cdot)'$  is the scalar product in  $D'$  and  $\sigma$  is a positive symmetric measure in  $X \times X - \delta$  ( $\delta$  is the diagonal set of  $X \times X$ ). Hence we obtain that

$$\begin{aligned} \lim_{\alpha \in I} (u, u_\alpha)' &= \lim_{\alpha \in I} \int u(x) \mu_\alpha * \varphi(x) dx \\ &= (\lambda(\delta) + c) \int u(x) dx. \end{aligned}$$

On the other hand by Beurling and Deny's Representation theorem and Lemma 8, The net  $(\nu_\alpha)$  converges vaguely to some positive measure  $\nu$  and we obtain the following equality,

$$\lim_{\alpha \in I} (u, u_\alpha) = \int u(x) d\nu(x).$$

Since we have the equality

$$(u, u_\alpha)' - (u, u_\alpha) = c \int u(x) \bar{u}_\alpha(x) dx = c \int u(x) u_\alpha(x) dx,$$

$$\lim_{\alpha \in I} (u, u_\alpha) = \int u(x) d\nu(x) = \lambda(\delta) \int u(x) dx.$$

By the denseness of  $C_k \cap D'$  in  $C_k$ , we have the equality  $\nu = \lambda(\delta)$  as a measure in  $X$ . This completes the proof.

By Theorem I and the above lemma, we obtain the following theorem.

**THEOREM III.** *Let  $D$  be a special Dirichlet space on  $X$ . Then the following three condition are equivalent.*

- (1) *There exist a point  $x$  in  $X$  and a compact neighborhood  $\omega$  of  $x$  such that*

$$\int d\varepsilon'_x = 1,$$

where  $\varepsilon'_x$  is the balayaged measure of  $\varepsilon_x$  to  $\mathcal{E}\omega$ .

$$(2) \quad \lambda(\delta) = 0.$$

(3) For any point  $x$  in  $X$  and any compact neighborhood  $\omega$  of  $x$ , the total mass of the balayaged measure of  $\varepsilon_x$  to  $\mathcal{E}\omega$  is equal to 1.

*Proof.* First we shall prove the implication (1)  $\Leftrightarrow$  (2). Assume that  $\lambda(\delta) \neq 0$ . Then  $\lambda^{-1}$  is finite continuous in  $X$ , because

$$\lambda(\hat{x}) \geq \lambda(\delta)$$

for all  $\hat{x}$  in  $\hat{X}$ . By Bochner's theorem, the total mass of the kernel  $\kappa$  of  $D$  is finite. By the unicity theorem with respect to special Dirichlet spaces (Cf. [7]),

$$\int d\kappa > \int d(\kappa * \varepsilon'_x) = \int d\kappa \cdot \int d\varepsilon'_x$$

for each  $x$  and each compact neighborhood  $\omega$  of  $x$ . That is, the total mass of  $\varepsilon'_x$  is less than 1. This contradicts our assumption.

The implication (2)  $\Leftrightarrow$  (3) is evidently followed from Theorem I and Lemma 9.

The implication (3)  $\Leftrightarrow$  (1) is evident.

This completes the proof.

Moreover we obtain the following

**THEOREM IV.** Let  $D$  be a special Dirichlet space on  $X$  and let  $\lambda(\hat{x})$  be the negative definite function of  $D$ . Assume that  $\lambda(\delta) \neq 0$ . Then for any increasing net  $(\omega_\alpha)_{\alpha \in I}$  of compact neighborhoods of  $x$  in  $X$  with  $\omega_\alpha \nearrow X$ , we obtain the following convergence

$$\lim_{\alpha \in I} \int d\varepsilon'_\alpha = 0,$$

where  $\varepsilon'_\alpha$  is the balayaged measure of  $\varepsilon_x$  to  $\mathcal{E}\omega_\alpha$ .

*Proof.* By our assumption,  $\lambda(\hat{x}) > 0$  for any  $\hat{x}$  in  $X$ . Hence the total mass of the kernel  $\kappa$  of  $D$  is finite. Since

$$\int d\varepsilon'_\alpha < 1 \quad \text{and} \quad S_{\varepsilon'_\alpha} \subset \overline{\mathcal{E}\omega_\alpha},$$

we obtain the convergence

$$\lim_{\alpha \in I} \int f(x) d\varepsilon'_\alpha = 0,$$

for any finite continuous function  $f$  vanishing at infinity. On the other hand

$$u_{\mu'_\alpha}(x) \geq u_{\mu'_\beta}(x)$$

if  $\alpha \leq \beta$  for any pure potential  $u_\mu$ , where  $u_{\mu'_\alpha}$  and  $u_{\mu'_\beta}$  are the balayaged potentials of  $u_\mu$  to  $\mathcal{C}\omega_\alpha$  and  $\mathcal{C}\omega_\beta$ , respectively. Hence

$$\kappa * \varepsilon'_\alpha \geq \kappa * \varepsilon'_\beta$$

if  $\alpha \leq \beta$ . Since the total mass of  $\kappa$  is finite, there exists a positive measure  $\eta$  such that

$$\lim_{\alpha \in I} \int f(x) d(\kappa * \varepsilon'_\alpha) = \int f(x) d\eta$$

for any bounded continuous function  $f$  in  $X$ . For each  $\varphi$  in  $C_k$ ,  $\kappa * \varphi(x)$  is a finite continuous function vanishing at infinity, and hence

$$\lim_{\alpha \in I} \int \varphi(x) d(\kappa * \varepsilon'_\alpha) = \lim_{\alpha \in I} \int \kappa * \varphi(x) d\varepsilon'_\alpha = 0.$$

Therefore  $\eta = 0$ . Now since the total mass of  $\kappa$  is not zero, there exists a bounded measurable function  $f$  in  $X$  such that

$$\kappa * f(x) \geq 1$$

in  $X$ . Then

$$\begin{aligned} \overline{\lim}_{\alpha \in I} \int d\varepsilon'_\alpha &\leq \overline{\lim}_{\alpha \in I} \int \kappa * f(x) d\varepsilon'_\alpha \\ &= \overline{\lim}_{\alpha \in I} \int f(x) d(\kappa * \varepsilon'_\alpha) = \lim_{\alpha \in I} \int f(x) d(\kappa * \varepsilon'_\alpha) = 0. \end{aligned}$$

This completes the proof.

*Remark.* Let  $D$  be a Dirichlet space on a locally compact Hausdorff space  $X$ . It is an open question if the same result with Theorem IV exists when  $\nu_\alpha$ , the equilibrium measure of  $\omega_\alpha$ , tends vaguely to a non-zero measure for an increasing net  $(\omega_\alpha)$  of relatively compact open sets with  $\omega_\alpha \nearrow X$ .

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