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A LOOK AT THE FAITH CONJECTURE

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Abstract. A well known result of B. Osofsky asserts that if R is a left (or right) perfect, left and right selfinjective ring then R is quasi-Frobenius. It was subsequently conjectured by Carl Faith that every left (or right) perfect, left selfinjective ring is quasi-Frobenius. While several authors have proved the conjecture in the affirmative under some restricted chain conditions, the conjecture remains open even if R is a semiprimary, local, left selfinjective ring with $J(R)^3 = 0$. In this paper we construct a local ring R with $J(R)^3 = 0$ and characterize when R is artinian or self-injective in terms of conditions on a bilinear mapping from a D-D-bimodule to D, where D is isomorphic to R/J(R). Our work shows that finding a counterexample to the Faith conjecture depends on the existence of a D-D-bimodule over a division ring D satisfying certain topological conditions.

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A ring *R* is called *quasi-Frobenius* if it is left and right artinian and left and right selfinjective, equivalently, if *R* has the ACC on right or left annihilators and is right or left selfinjective. The *Faith conjecture* (see [4] or [5]) asserts that every left or right perfect, right selfinjective ring *R* is quasi-Frobenius. Following ideas of Osofsky [10], we construct a local ring *R* with $J(R)^3 = 0$ and characterize when *R* is artinian or selfinjective in terms of conditions on a bilinear mapping from a *D-D*-bimodule to a division ring $D \cong R/J(R)$. We conclude by characterizing other properties of *R* in a similar way.

Throughout this paper all rings are associative with unity, and all modules are unital. If *R* is a ring we write J = J(R) for the Jacobson radical of *R*. The socle of a module *M* is denoted by soc(M). Annihilators of a subset $X \subseteq R$ are written $l(X) = \{a \in R \mid aX = 0\}$ and $r(X) = \{a \in R \mid Xa = 0\}$. We write $N \subseteq^{ess} M$ (respectively $N \subseteq^{max} M$) to indicate that *N* is an essential (maximal) submodule of *M*. The symbol *D* will always denote a division ring.

Generalities. If S is any ring and ${}_{S}V_{S}$, ${}_{S}W_{S}$ and ${}_{S}P_{S}$ are bimodules, a function $V \times W \rightarrow P$, which we write multiplicatively as $(v, w) \rightarrow vw$, is called a *bimap* if

- (1) $(v + v_1)w = vw + v_1w$ and (sv)w = s(vw),
- (2) $v(w + w_1) = vw + vw_1$ and v(ws) = (vw)s,
- (3) (vs)w = v(sw)

hold for all v, v_1 in V, all w, w_1 in W, and all s in S. This is equivalent to the existence of a S-S-bimodule map $V \otimes_S W \to P$. Our interest is in the case when S = D is a division ring.

DEFINITION. Let ${}_DV_D$ and ${}_DP_D$ be nonzero bimodules over a division ring D, and suppose that a bimap $V \times V \rightarrow P$ is given. Write

$$R = [D, V, P] = D \oplus V \oplus P$$

and define a multiplication on R by

$$(d + v + p)(d_1 + v_1 + p_1) = dd_1 + (dv_1 + vd_1) + (dp_1 + vv_1 + pd_1).$$

It is a routine verification that R is an associative ring if and only if the product $V \times V \rightarrow P$ is a bimap. The ring R has a matrix representation as

$$R = \left\{ \begin{bmatrix} d & v & p \\ 0 & d & v \\ 0 & 0 & d \end{bmatrix} \middle| d \in D, \ v \in V \text{ and } p \in P \right\}.$$

Note that we shall assume that $V \neq 0$ and $P \neq 0$ throughout this paper.

Our first result collects several properties of this ring that will be used frequently below. If X is a nonempty subset of V we write $l_V(X) = \{v \in V \mid vX = 0\}$ and $r_V(X) = \{v \in V \mid Xv = 0\}$.

LEMMA 1. The ring R = [D, V, P] has the following properties.

- (1) *R* is an associative ring.
- (2) $VP = PV = P^2 = 0.$
- (3) *R* is local, $J = V \oplus P$, $J^2 = V^2 \subseteq P$ and $J^3 = 0$.
- (4) $soc(R_R) = l(J) = l_V(V) \oplus P \subseteq^{ess} R_R$.
- (5) xR = xD, for all $x \in soc(R_R)$.
- (6) If X_D ⊆ V, then X ⊕ P is a right ideal of R; and every right ideal T such that P ⊆ T ⊆ J has this form.
- (7) Every right D-subspace of $soc(R_R)$ is a right ideal of R.
- (8) Let X and Y be right D-subspaces of $soc(R_R)$. Then every D-linear transformation $X \to Y$ is R-linear.

Proof. (1) and (2) are routine verifications.

(3). The map $(d + v + p) \mapsto d$ is a ring morphism from R onto D with kernel $V \oplus P$, proving that R is local and $J = V \oplus P$. The rest of (3) is easily checked.

(4). We have $soc(R_R) \subseteq^{ess} R_R$ because R is semiprimary by (3), and $soc(R_R) = l(J)$ because R is semilocal. Now

$$l(J) = \{d + v + p \mid dV = 0 \text{ and } dP + vV = 0\}.$$

Since $V \neq 0$ it follows that d = 0, whence vV = 0. Thus $l(J) \subseteq l_V(V) \oplus P$. The other inclusion is clear.

(5). If $x = v + p \in soc(R_R)$, where vV = 0, then $xR = \{vd + pd \mid d \in D\} = xD$.

(6). It is routine that $X \oplus P$ is a right ideal. Given $P \subseteq T \subseteq J$, we have $T = (T \cap V) \oplus P$ by the modular law.

(7). This is a direct calculation using $soc(R_R) = l_V(V) \oplus P$ from (4).

(8). If r = d + v + p then xr = xd, for all $x \in X \cup Y$, by (2) and (4).

Note that Lemma 1(5) shows that a right ideal $T \subseteq soc(R_R)$ is simple if and only if $dim_D(T_D) = 1$. The next result shows that if $dim(P_D) = 1$ we can obtain the converse to (6) and (7) of Lemma 1, and so characterize the right ideals of R = [D, V, P]. Call a right ideal $T \subseteq R$ proper if $T \neq R$.

LEMMA 2. Let R = [D, V, P], where $dim(P_D) = 1$. Then the proper right ideals of R are

$$\{X \oplus P \mid X_D \subseteq V\}$$
 and $\{Y \mid Y_D \subseteq soc(R_R)\}.$

Proof. These are all right ideals by (6) and (7) of Lemma 1. If $T \neq R$ is a right ideal, then $T \subseteq J$ because R is local. Since P_R is simple, either $P \subseteq T$ or $P \cap T = 0$. In the first case, $T = X \oplus P$ for $X_D \subseteq V$ by Lemma 1(6). If $P \cap T = 0$, we show that $T \subseteq soc(R_R)$. If $t = v + p \in T$ then, for $v_1 \in V$, $vv_1 = (v + p)v_1 \in P \cap T = 0$. Thus $v \in l_V(V)$, and so $t \in l_V(V) \oplus P = soc(R_R)$.

Note that, under the hypotheses of Lemma 2, the proper (two-sided) ideals of *R* are $\{X \oplus P \mid {}_D X_D \subseteq V\}$ and $\{Y \mid {}_D Y_D \subseteq [l_V(V) \cap r_V(V)] \oplus P\}$.

Even without the hypothesis that $dim(P_D) = 1$ we can characterize when R = [D, V, P] is right artinian.

PROPOSITION 1. The following conditions are equivalent for R = [D, V, P].

- (1) *R* is right artinian.
- (2) *R* is right noetherian.
- (3) $\dim(V_D) < \infty$ and $\dim(P_D) < \infty$.
- (4) $dim(R_D) < \infty$.

Proof. The implications $(3) \Rightarrow (4) \Rightarrow (1) \Rightarrow (2)$ are clear. If R_R is noetherian and $X_1 \subset X_2 \subset \cdots$ are subspaces of V_D , then $X_1 \oplus P \subset X_2 \oplus P \subset \cdots$. It follows from Lemma 1(6) that $dim(V_D) < \infty$. We have $dim(P_D) < \infty$ because every *D*-subspace of *P* is a right ideal (by Lemma 1(7)).

The main theorem. In order to study the Faith conjecture, we must characterize when R = [D, V, P] is right selfinjective. We begin by characterizing a weaker injectivity condition. A ring R is called *right mininjective* if every R-morphism γ from a simple right ideal to R_R is given by left multiplication $\gamma = c$. by an element c of R, equivalently [8, Lemma 1.1] if lr(k) = Rk whenever kR is a simple right ideal of R. Clearly every right selfinjective ring is right mininjective. The next result will be used several times.

PROPOSITION 2. The following are equivalent for R = [D, V, P]. (1) *R* is right mininjective. (2) $l_V(V) = 0$ and $dim(_DP) = 1$.

Proof. (1) \Rightarrow (2). If $0 \neq p_{\circ} \in P$ and $u \in l_V(V)$, and if $\gamma : p_{\circ}D \rightarrow (u + p_{\circ})D$ is given by $\gamma(p_{\circ}d) = (u + p_{\circ})d$, then γ is *R*-linear by Lemma 1(8). By (1), $\gamma = c$ is left multi-

plication by $c \in R$ and so $u + p_{\circ} = \gamma(p_{\circ}) = cp_{\circ} \in P$. Thus u = 0, whence $l_{V}(V) = 0$. If $0 \neq p \in P$, then pR = pD is simple so that lr(p) = Rp by (1). Hence Lemma 1(4) gives

$$Dp = Rp = lr(p) = l(J) = l_V(V) \oplus P = P.$$

Thus $dim(_{D}P) = 1$.

(2) \Rightarrow (1). Let $\gamma : K_R \to R_R$ be *R*-linear, where K_R is a simple right ideal; we must show that $\gamma = c$. for $c \in R$. We may assume that $\gamma \neq 0$. We have $soc(R_R) = P$ by (2), and so $K \subseteq P$. It follows from Lemma 1(7) that $dim(K_D) = 1$. Write $K = p_{\circ}D$, where $p_{\circ} \in P$. Since $\gamma(K)$ is simple we have $\gamma(K) \subseteq soc(R_R) = P = Dp_{\circ}$ by (2); say $\gamma(p_{\circ}) = d_{\circ} p_{\circ}$, where $d_{\circ} \in D$. Then, for all $d \in D$,

$$\gamma(p_\circ d) = \gamma(p_\circ) d = (d_\circ p_\circ) d = d_\circ(p_\circ d).$$

This shows that $\gamma = d_{\circ} \cdot$, as required.

It is worth noting that, since we are assuming that $P \neq 0$, (4) and (7) of Lemma 1 give

 $soc(R_R)$ is simple as a right ideal if and only if $l_V(V) = 0$ and $dim(P_D) = 1$.

The condition that $dim(P_D) = 1$ holds if R = [D, V, P] satisfies another important weakened form of selfinjectivity. A ring *R* is called *right simple-injective* if every *R*-linear map with simple image from a right ideal of *R* to *R* is given by left multiplication by an element of *R*. Clearly every right simple-injective ring is right mininjective. The next lemma will be used later and strengthens the condition in Proposition 2.

LEMMA 3. Suppose that the ring R = [D, V, P] is right simple-injective. Then

 $l_V(V) = 0$ and $dim(P_D) = 1 = dim(_DP)$.

Proof. Since *R* is right miniplective, $l_V(V) = 0$ and $dim(_DP) = 1$, by Proposition 2. Suppose that $dim(P_D) \ge 2$ and let $\{p_1, p_2, \dots\}$ be a *D*-basis of P_D . Define $\alpha : P_D \to P_D$ by $\alpha(p_1) = p_2$ and $\alpha(p_i) = 0$ for all $i \ge 2$. Then α is *R*-linear by Lemma 1(8) and so, since $im(\alpha) = p_2D$ is simple, $\alpha = a$ for some $a \in R$ by hypothesis. If a = d + v + p, then $\alpha(p_i) = ap_i = dp_i$, for each *i*, so that d = 0 because $\alpha(p_2) = 0$. But then $p_2 = \alpha(p_1) = dp_1 = 0$, a contradiction.

The condition in Lemma 3 does not characterize when R = [D, V, P] is right simple-injective. This is part of our main result, a characterization of when R = [D, V, P] is right selfinjective. Surprisingly, this is equivalent to simple-injectivity. The following "separation" axiom will be referred to several times.

Condition S. If $V = xD \oplus M_D$, $x \neq 0$, there exists $v_o \in V$ such that $v_o x \neq 0$ and $v_o M = 0$.

Observe that Condition S is equivalent to asking that, if $x \in V - X$, where $X_D \subseteq V$ is any subspace, there exists $v_o \in V$ such that $v_o x \neq 0$ and $v_o X = 0$.

THEOREM 1. Let R = [D, V, P]. The following are equivalent.

(1) *R* is right selfinjective.

(2) *R* is right simple-injective.

(3) $l_V(V) = 0$, $dim(P_D) = 1 = dim(_DP)$, and Condition S holds.

Proof. (1) \Rightarrow (2). This is clear.

 $(2) \Rightarrow (3)$. By Lemma 3 it remains to prove Condition S. Fix $0 \neq q \in P$ and let $V_D = xD \oplus M$, where $x \neq 0$ and $M \subseteq V_D$. Define

$$\beta: V \oplus P = xD \oplus M \oplus P \to P$$
 by $\beta(xd + m + p) = qd$.

This is well defined because D is a division ring, and it is R-linear because

$$\beta[(xd + m + p)(d_1 + v_1 + p_1)] = \beta[xdd_1 + md_1 + (xdp_1 + mv_1 + pd_1)]$$

= q(dd_1)
= qd(d_1 + v_1 + p_1)
= [\beta(xd + m + p)](d_1 + v_1 + p_1).

Since $\beta[V \oplus P] = qD$ is simple, it follows from (2) that $\beta = b$ is left multiplication by $b \in R$. Write $b = d_{\circ} + v_{\circ} + p_{\circ}$, so that $q = \beta(x) = bx = d_{\circ}x + v_{\circ}x$. Hence $v_{\circ}x = q \neq 0$ and $d_{\circ}x = 0$. This means that $d_{\circ} = 0$, and so $v_{\circ}m = bm = \beta(m) = 0$, for all $m \in M$, proving Condition S.

(3) \Rightarrow (1). If $T \subseteq R$ is a right ideal, let $\alpha : T \to R_R$ be *R*-linear; we must show that $\alpha = a$ for some $a \in R$. This is clear if T = R or T = 0. Assume $0 \subset T \subseteq J$. Since $soc(R_R) = l_V(V) \oplus P = P$ is simple, by (3), it follows from Lemma 2 that

$$T = X \oplus P$$

for some $X_D \subseteq V$ because $T \neq 0$. Since *R* is right mininjective by Proposition 2, $\alpha_{|P} = a$ for some $a \in R$.

Claim. If $x \in X$ then $\alpha(x) - ax \in P$.

Proof. Write $\alpha(x) = d_1 + v_1 + p_1$. If $v \in V$ is arbitrary, we have $xv \in P$ and so

$$a(xv) = \alpha(xv) = \alpha(x)v = (d_1 + v_1 + p_1)v = d_1v + v_1v.$$

As a(xv) and v_1v are in P, it follows that $d_1v = 0$ and $a(xv) = v_1v$. Hence $d_1 = 0$ and $ax - v_1 \in l_V(V) = 0$. Thus $\alpha(x) = ax + p_1$, proving the Claim.

Now define $\beta : T \to R$ by $\beta = \alpha - a \cdot .$ It suffices to show that $\beta = b \cdot$, for some $b \in R$ (because then $\alpha = (a + b) \cdot .$). We have $P \subseteq ker(\beta)$ because $\alpha_{|P} = a \cdot .$, and so $\beta(T) = \beta(X \oplus P) = \beta(X) \subseteq P$ by the Claim. If $\beta = 0$, take b = 0. If $\beta \neq 0$ then $\beta(T) = P$ because $dim(P_D) = 1$, and the fact that $P \subseteq ker(\beta) \subseteq X \oplus P$ gives $ker(\beta) = Y \oplus P$ where $Y = X \cap ker(\beta)$. Hence

$$\frac{X}{Y} \cong \frac{X \oplus P}{Y \oplus P} = \frac{T}{ker(\beta)} \cong \beta(T) = P \text{ whence } \dim_D(\frac{X}{Y}) = 1.$$

Hence, if we choose $x \in X - Y$, then $X = xD \oplus Y$ as *D*-spaces so that

 $T = xD \oplus Y \oplus P = xD \oplus ker(\beta).$

Write $V_D = xD \oplus M$, for some subspace $M \supseteq ker(\beta)$. Then Condition S shows that $v_o \in V$ exists such that $v_o M = 0$ and $v_o x \neq 0$. Thus $P = Dv_o x$ because $dim(_DP) = 1$. Write $\beta(x) = d_o v_o x$, where $d_o \in D$. Hence

$$\beta(xd+y+p) = \beta(xd) = \beta(x)d = (d_\circ v_\circ x)d = d_\circ v_\circ(xd+y+p)$$

because $v_{\circ}y \in v_{\circ}Y \subseteq v_{\circ}M = 0$. Thus $\beta = (d_{\circ}v_{\circ})$, which completes the proof of (1).

Question 1. If D is a division ring, and R = [D, V, P] is right mininjective and satisfies Condition S, does it follow that R is right selfinjective?

In view of Proposition 2, this asks: if Condition S holds, $l_V(V) = 0$, and $dim(_DP) = 1$, does it follow that $dim(P_D) = 1$? Note that if this is true then R is also left minipicative because Condition S implies that $r_V(V) = 0$. Note further that both $l_V(V) = 0$ and $dim(_DP) = 1$ hold if and only if R_R is uniform (Proposition 8 below).

Theorem 1 provides a vector space condition that the Faith conjecture is false.

THEOREM 2. Suppose that there exists a bimap $V \times V \rightarrow P$ over a division ring D such that.

(1) $l_V(V) = 0$ and $dim(_D P) = 1 = dim(P_D)$.

- (2) Condition S holds.
- (3) $dim(V_D) = \infty$.

Then the Faith conjecture is false.

Proof. R = [D, V, P] is local with $J^3 = 0$, by Lemma 1, and R is right selfinjective by Theorem 1. However, R is not right artinian by Proposition 1.

Note that if (1) and (2) in Theorem 2 hold, the proof shows that R[D, V, P] is a counterexample to the Faith conjecture if and only if $dim(V_D) = \infty$. In Theorem 3 below we give some matrix conditions that R[D, V, P] is a counterexample to the conjecture.

Question 2. Is there a converse to Theorem 2?

Some examples. Thus the Faith conjecture is related to the existence of certain bimaps, and the following two results reveal one aspect of the structure of these bimaps. Recall that $hom(V_D, P_D)$ is a *D*-*D*-bimodule via

The next proposition isolates the conditions S and $l_V(V) = 0$ occurring in Theorem 1.

PROPOSITION 3. Let D be a division ring, let $_DV_D$ and $_DP_D$ be bimodules, and assume that $\dim(_DP) = 1 = \dim(P_D)$. Given a bimap $V \times V \rightarrow P$ define

$$\sigma: {}_DV_D \to hom(V_D, P_D) by \sigma(v) = v \cdot \text{ for all } v \in V.$$

Then σ is a *D*-*D*-bimodule homomorphism and

(1) σ is one-to-one if and only if $l_V(V) = 0$,

(2) σ is onto if and only if Condition S holds.

Proof. It is routine to check that σ is a bimodule homomorphism and so (1) follows from the fact that $ker(\sigma) = \{u \mid uV = 0\} = l_V(V)$.

To prove (2), assume first that Condition S holds and let $\lambda \in hom(V_D, P_D)$. If $\lambda = 0$ then $\lambda = \sigma(0)$. If $\lambda \neq 0$ use the fact that $dim(P_D) = 1$ to write $V = xD \oplus ker(\lambda)$. By Condition S let $v_o \in V$ satisfy $v_o x \neq 0$ and $v_o ker(\lambda) = 0$. Fix $0 \neq p_o \in P$ so that $P = Dp_o$. Write $v_o x = d_o p_o$ and $\lambda(x) = d_1 p_o$, where d_o and d_1 are in D. If $v_1 = d_1 d_o^{-1} v_o$, then $v_1 x = d_1 p_o = \lambda(x)$ while, for $k \in ker(\lambda)$, $v_1 k = d_1 d_o^{-1} v_o k = 0 = \lambda(k)$. Since $V = xD \oplus ker(\lambda)$, this shows that $\lambda = v_1 \cdot = \sigma(v_1)$. Conversely, if $V = xD \oplus M$ and $P = Dp_o$, define $\lambda : V_D \to P_D$ by $\lambda(xd + m) = p_o d$. If σ is onto, let $\lambda = v_o \cdot$ where $v_o \in V$. Then $v_o x = \lambda(x) = p_o \neq 0$ and $v_o M = \lambda(M) = 0$. This proves Condition S.

Thus, if R = [D, V, P] is right selfinjective and $\{v_i \mid i \in I\}$ is a basis of V_D , then

$$_DV_D \cong hom(V_D, P_D) = hom(\bigoplus_{i \in I} v_i D, P_D) \cong \prod_{i \in I} hom(v_i D, P)$$

so that, as $dim(P_D) = 1$, we have $|V| \ge 2^{|I|}$.

The set of all bimaps $\varphi: V \times V \to P$ becomes a \mathbb{Z} -bimodule using pointwise operations, where \mathbb{Z} denotes the integers. Proposition 3 reveals that there is a close connection between the bimaps $V \times V \to P$ and $hom(V_D, P_D)$. In fact there is a \mathbb{Z} -isomorphism.

PROPOSITION 4. If $\varphi: V \times V \to P$ is a bimap, define $\varphi': V \to hom(V_D, P_D)$ by $\varphi'(v) = v \cdot$. Then φ' is D-D-linear, and $\varphi \mapsto \varphi'$ is a \mathbb{Z} -isomorphism

{bimaps
$$\varphi: V \times V \to P$$
} \to {D-D-morphisms $\theta: _D V_D \to hom(V_D, P_D)$ }

with inverse $\theta \mapsto \theta'$, where $\theta'(v, w) = [\theta(v)](w)$ for all v and w in V.

Proof. We omit the routine verifications.

Now let $V = D^{(I)}$ be the direct sum of |I| copies of D, and write $v \in V$ as $v = \langle v_i \rangle$, thought of as a row vector. If $A = [a_{ii}]$ is any $I \times I$ matrix over D, then

$$vA = \langle \Sigma_i v_i a_{ij} \rangle$$
 and $Av^T = \langle \Sigma_j a_{ij} v_j \rangle$

are both defined (but lie in the direct product D^{I}). Hence we may define a product $V \times V \rightarrow D$ by

$$vw = vAw^T = \sum_{i,j} v_i a_{ij} w_j$$

 \square

This satisfies the axioms for a bimap except possibly for (vd)w = v(dw), and this latter requirement holds if and only if each a_{ij} lies in the center of the division ring D. In fact the condition (vd)w = v(dw) means $\sum_{i,j}v_i(da_{ij})w_j = \sum_{i,j}v_i(a_{ij}d)w_j$, for all v_i and w_j , which implies that $da_{ij} = a_{ij}d$. Furthermore, every bimap into D arises in this way. Indeed, if $\{e_i \mid i \in I\}$ is the standard basis of $D^{(I)}$ then $a_{ij} = e_ie_j$ is central in D and $vw = (\sum_i v_i e_i)(\sum_j e_jw_j) = vAw^T$.

EXAMPLE 1. Let $I = \{1, 2, \dots\}$ and, given $n \ge 1$, let A be the $I \times I$ matrix where the first n rows are zero and the remaining rows are a copy of the $I \times I$ identity matrix. Thus $vw = v_{n+1}w_1 + v_{n+2}w_2 + \cdots$, so that $r_V(V) = 0$ while we have

$$l_V(V) = \{ < u_1, u_2, \dots, u_n, 0, 0, \dots > | u_i \in V \}$$
 has dimension n.

EXAMPLE 2. Again let $I = \{1, 2, \dots\}$ but now let A be the $I \times I$ matrix where the even rows are zero and the odd rows are the rows of the $I \times I$ identity matrix in order. Thus $vw = v_1w_1 + v_3w_2 + v_5w_3 + \cdots$. In this case we have $r_V(V) = 0$ but $l_V(V) = \{< 0, u_2, 0, u_4, 0, u_6, \dots > | u_i \in V\}$ has infinite dimension.

EXAMPLE 3. Let $V = D^n$ and let A be an $n \times n$ matrix from the center of D. Then $vw = vAw^T$ is a bimap $V \times V \rightarrow D$ as above, and the following are equivalent for R = [D, V, D]:

- (1) R is quasi-Frobenius,
- (2) R is right selfinjective,
- (3) *R* is right mininjective,
- (4) A is invertible.

Indeed, it is clear that $(1) \Rightarrow (2) \Rightarrow (3)$. It is a routine matter to verify that $l_V(V) = 0$ if and only if vA = 0 implies v = 0; that is if and only if A is invertible. Thus $(3) \Rightarrow (4)$ by Proposition 2 because P = D here. Finally, R is artinian by Proposition 1 and so, if A is invertible, (1) follows if we can prove (2). By Theorem 1, we need only verify Condition S. Let $V = x_1 D \oplus M_D$ and $\{x_2, \dots, x_n\}$ be a basis of M_D . Then $B = [x_1^T, \dots, x_n^T]$ is an invertible matrix. Let $v_o = [1, 0, \dots, 0]B^{-1}A^{-1}$. Then

$$[1, 0, \dots, 0] = v_{\circ}AB = v_{\circ}[Ax_1^T, \dots, Ax_n^T] = [v_{\circ}x_1, \dots, v_{\circ}x_n]$$

so that $v_{\circ}x_1 \neq 0$ and $v_{\circ}M = 0$. Thus (4) \Rightarrow (1).

More generally, we can identify matrix conditions needed to construct a counterexample to the Faith conjecture. Let $_DV$ be any D-space with basis $\{e_i \mid i \in I\}$ where I is infinite, and let $RFM_I(D)$ denote the ring of all row-finite $I \times I$ matrices over D. Given a bimodule structure $_DV_D$ on V we obtain a ring homomorphism $\rho: D \to RFM_I(D)$ given for $d \in D$ by

$$\rho(d) = [\rho_{ij}(d)], \text{ where } e_i d = \sum_{k \in I} \rho_{ik}(d) e_k.$$

Conversely, every bimodule structure ${}_DV_D$ arises in this way from such a representation ρ .

Given ρ we get a bimodule $_DV_D$ so, if $\{f_k \mid k \in K\}$ is a basis of V_D , we obtain the "adjoint" representation $\psi: D \to CFM_K(D)$, the column finite matrices, given for $d \in D$ by

$$\psi(d) = [\psi_{ij}(d)], \text{ where } df_k = \sum_{l \in K} f_l \psi_{lk}(d).$$

If $A \in M_{I \times K}(D)$ is an arbitrary $I \times K$ matrix, we get a product $V \times V \to D$, written $(v, w) \mapsto v \cdot w$, given by

$$v \cdot w = \sum_{i,k} v_i a_{ik} w_k$$
, where $v = \sum_i v_i e_i$ and $w = \sum_k f_k w_k$. (1)

As before, this satisfies all the bimap axioms except possibly (vd)w = v(dw). Since $e_i \cdot f_k = a_{ik}$ we have

$$(e_i d) \cdot f_k = e_i \cdot (df_k)$$
 if and only if $\sum_i \rho_{ij}(d) a_{jk} = \sum_m a_{im} \psi_{mk}(d)$.

It follows that (1) defines a bimap on $_DV_D$ if and only if

$$\rho(d)A = A\psi(d), \text{ for all } d \in D.$$
(2)

THEOREM 3. Given a bimodule $_DV_D$, let $\{e_i \mid i \in I\}$ and $\{f_k \mid k \in K\}$ be bases of $_DV$ and V_D respectively, and assume that an $I \times K$ matrix A satisfies $\rho(d)A = A\psi(d)$, for all $d \in D$, as above. Then the following are equivalent.

(i) R = [D, V, D] is a counterexample to the Faith conjecture.

(ii) The rows of A are a basis of the direct product D^{K} .

Proof. In view of Theorem 2, it suffices to prove the following statements.

(a) $l_V(V) = 0$ if and only if the rows of A are independent.

(b) Condition S is satisfied if and only if the rows of A span $_D(D^K)$.

Given $v = \sum_i v_i e_i$ in V write $\bar{v} = \langle v_i \rangle \in D^{(I)}$. Observe that $v \cdot f_k = \sum_i v_i (e_i \cdot f_k) = \sum_i v_i a_{ii}$, so that

$$\langle v \cdot f_k \rangle = \bar{v}A.$$
 (3)

Hence if $v \in V$, then $v \cdot V = 0$ if and only if $v \cdot f_k = 0$, for all $k \in K$, if and only if $\bar{v}A = 0$. Now (a) follows because the rows of A are independent if and only if $\bar{v}A = 0$ implies $\bar{v} = 0$.

If Condition S holds and $0 \neq \overline{b} = \langle b_k \rangle \in D^K$ is given, let $P \in CFM_K(D)$ be an invertible matrix with \overline{b} as row 0. Define

$$< f'_k > = < f_k > P^{-1},$$

so that $\{f'_k \mid k \in K\}$ is a basis of V_D . By Condition S let $v_0 \in V$ satisfy

$$v_0 \cdot f'_k = \begin{cases} 1 & \text{if } k = 0, \\ 0 & \text{if } k \neq 0. \end{cases}$$
(4)

Then observe that

$$v_0 \cdot f_k = v_0 \cdot (\Sigma_l f'_l p_{lk}) = \Sigma_l (v_0 \cdot f'_l) p_{lk} = p_{0k} = b_k.$$

Hence (3) shows that $\bar{b} = \bar{v}_0 A$ is a linear combination of the rows of A.

Finally, assume that the rows of A span $_D(D^K)$. If $\{f'_k \mid k \in K\}$ is any basis of V_D it suffices to find $v_0 \in V$ such that (4) holds. If \bar{e}_0 is row 0 of the $K \times K$ identity

matrix, this asks for $v_0 \in V$ such that $\bar{e}_0 = \langle v_0 \cdot f'_k \rangle$. But there exists an invertible matrix $P \in CFM_K(D)$ such that $\langle f_k \rangle = \langle f'_k \rangle P$. By hypothesis row 0 of P is a linear combination of the rows of A; that is $\bar{e}_0 P = \bar{v}_0 A$, for some $v_0 \in V$. But then (3) gives

$$\bar{e}_0 P = \bar{v}_0 A = \langle v_0 \cdot f_k \rangle = \langle v_0 \cdot f'_k \rangle P,$$

using the fact that $\langle f_k \rangle = \langle f'_k \rangle P$. Since P is invertible, $\bar{e}_0 = \langle v_0 \cdot f_k \rangle$ as required.

One difficulty with applying Theorem 3 is that, for a bimodule ${}_DV_D$, we cannot define the map ρ in terms of A and ψ . In a concrete example we have to first find ρ and ψ and then ask for the matrix A. However A need not exist in general, even in the finite dimensional case. For example, let D = F be a commutative field with endomorphism $\sigma: F \to F$, and consider $V = F^n$, where the right structure V_F is as usual, and the left structure is defined by $f \cdot v = \sigma(f)v$. Then an invertible A exists such that (2) is satisfied if and only if $\sigma^2 = 1_F$. This example illustrates that the structure of A depends heavily on the particular bimodule structure, and not only on the dimensions.

Other Properties of R = [D, V, P]. Many other properties of the ring R = [D, V, P] can be characterized as in Theorem 1 in terms of vector space properties of V and P. Several of these are collected in this section.

A ring *R* is called *right Kasch* if every simple right *R*-module embeds in R_R . The ring R = [D, V, P] is local and so has only one simple module. Since $P \neq 0$ we have $soc(R_R) \neq 0$ (and $soc(_RR) \neq 0$) by Lemma 1(4), whence we have the following result.

PROPOSITION 5. R = [D, V, P] is right and left Kasch.

The next result follows from Lemma 1(4) and the fact that $soc(R_R) \subseteq^{ess} R_R$.

PROPOSITION 6. R = [D, V, P] has finite right uniform dimension if and only if $dim(P_D) < \infty$ and $dim[l_V(V)_D] < \infty$.

A ring R is called a *left minannihilator* ring if lr(K) = K, for all simple left ideals K. These rings are closely related to the right mininjective rings (see [8]) and the following result shows that if R = [D, V, P] is left minannihilator then it is right mininjective.

PROPOSITION 7. The following are equivalent for R = [D, V, P]. (1) R is a left minannihilator ring. (2) $l_V(V) = 0 = r_V(V)$ and $\dim_{(D}P) = 1$. (3) $soc(R_R) = soc(_RR)$ is simple as a left R-module.

Proof. (1) \Rightarrow (2). If $0 \neq p \in P$, then $r(p) \supseteq r(P) = J$ and so r(p) = J because R is local. As Dp = Rp is simple, (1) gives

$$Dp = lr(p) = l(J) = soc(R_R) = l_V(V) \oplus P.$$

As $P \neq 0$, this gives $l_V(V) = 0$ and $dim(_D P) = 1$. Finally, if $w \in r_V(V)$ and $0 \neq p \in P$, then w + p and p are in $soc(_R R)$ so that r(w + p) = J = r(p). As before, (1) gives D(w + p) = lr(w + p) = lr(p) = Dp. Since $V \oplus P$ is direct, this implies that w = 0, whence $r_V(V) = 0$.

(2) \Rightarrow (3). Using Lemma 1(4), $soc(_R R) = r_V(V) \oplus P = P = l_V(V) \oplus P = soc(R_R)$. This is left simple because $dim(_D P) = 1$.

(3) \Rightarrow (1). Write $S = soc(_RR) = soc(R_R)$. This the only simple left ideal by (3), so that S = P and (1) follows from $lr(S) = l(J) = soc(R_R) = S$.

A ring R is said to satisfy the *right* C1-condition if every right ideal of R is essential in a summand eR, $e^2 = e$. The *right* C2-condition holds in R if every right ideal of R that is isomorphic to a summand is itself a summand. A ring is called *right* continuous if it satisfies both the right C1-condition and the right C2-condition. Clearly every right selfinjective ring is right continuous.

PROPOSITION 8. Let R = [D, V, P].

- (1) *R* always satisfies the left and right C2-conditions.
- (2) The following are equivalent.
 - (a) *R* is right continuous.
 - (b) R_R is uniform.
 - (c) $soc(R_R)$ is simple.
 - (d) $l_V(V) = 0$ and $dim_D(P_D) = 1$.
 - (e) $P \subseteq T$ for all right ideals $T \neq 0$.
 - (f) Every right ideal $T \neq 0$, R has the form $T = X \oplus P$, where $X_D \subseteq V_D$.

Proof. Let $T \cong eR$, $e^2 = e$. As R is local, either e = 0 (so that T = 0 is a summand) or e = 1. In the last case, T = aR, where $a \in R$ and r(a) = 0. Thus $a \notin J$ and so T = R is a summand. This proves half of (1); the rest follows by symmetry.

(a) \Rightarrow (b). If $T \neq 0$ is a right ideal then $T \subseteq^{ess} R_R$ by the C1-condition because R is local.

(b) \Rightarrow (c). This is clear since $soc(R_R) \neq 0$ by our standing assumption that $P \neq 0$. (c) \Rightarrow (d). This follows from (4) and (7) of Lemma 1 because $P \neq 0$.

(d) \Rightarrow (e). Suppose that $T \neq 0$ and $P \not\subseteq T$. Then $T \cap P = 0$ because $dim_D(P_D) = 1$. We may assume that $T \subseteq J$ because R is local. Let $t = v + p \in T$. If $v_1 \in V$ we have $t v_1 = v v_1 \in T \cap P = 0$, and so $v \in l_V(V) = 0$. Thus $T \subseteq P$, a contradiction.

(e) \Rightarrow (f). This is clear from Lemma 1(6).

(f)⇒(a). If $T \neq 0$ is a right ideal, then $0 \neq P \subseteq T$, by (f). It follows that R_R is uniform, so that $T \subseteq^{ess} R_R$. Hence R satisfies the C1-condition and so (a) follows from (1).

We now turn to a discussion of annihilators. Observe first that the following statements are valid.

If $X_D = r_V(Y)$, where $Y \subseteq V$, we may assume that $Y = {}_DY$ because $X = r_V[l_V r_V(Y)]$. If ${}_DX = l_V(Y)$, where $Y \subseteq V$, we may assume that $Y = Y_D$ because $X = l_V[r_V l_V(Y)]$.

LEMMA 4. Let R = [D, V, P]. (1) If $T = X_D \oplus P$, where $X \subseteq V$, then $l(T) = l_V(X) \oplus P$. (2) If $L = {}_DY \oplus P$, where $Y \subseteq V$, then $r(L) = r_V(Y) \oplus P$. *Proof.* We prove (1); (2) is similar. We have $l(T) \subseteq J$ as $T \neq 0$. If $v + p \in l(T)$, then vx = (v + p)x = 0, for all $x \in X$; that is $v \in l_V(X)$. Thus $l(T) \subseteq l_V(X) \oplus P$. Conversely, if $v \in l_V(X)$ then $(v + p)(x + p_1) = vx = 0$, for all $x + p_1$ in T, and so $l_V(X) \oplus P \subseteq l(T)$.

LEMMA 5. Let R = [D, V, P] and suppose $T \neq 0$ and $L \neq 0$ are proper right and left ideals of R respectively.

(1) *T* is a right annihilator in *R* if and only if $T = r_V(Y) \oplus P$, for some $_D Y \subseteq V$.

(2) *L* is a left annihilator in *R* if and only if $L = l_V(X) \oplus P$, for some $X_D \subseteq V$.

Proof. Again we prove only (1), as (2) is analogous. If $T = r_V(Y) \oplus P$, then $T = r(Y \oplus P)$, by Lemma 4. Conversely, if T is a right annihilator, then T = rl(T). Now $T \neq R$ means $T \subseteq J$ and so $P \subseteq l(T)$. Hence $l(T) = Y \oplus P$, for some $_D Y \subseteq V$, by Lemma 1(6), so that $T = rl(T) = r(Y \oplus P) = r_V(Y) \oplus P$, by Lemma 4.

We say that V has ACC on left annihilators if it has ACC on subspaces of the form $l_V(X)$, where $X \subseteq V$, with similar terminology for the DCC and for right annihilators.

PROPOSITION 9. Let R = [D, V, P]. Then R has ACC (DCC) on right (left) annihilators if and only if the same is true for V.

Proof. We give the argument for the ACC on right annihilators; the other three cases are analogous. By Lemma 5, every ascending chain of right annihilators in R has the form $r_V(Y_1) \oplus P \subseteq r_V(Y_2) \oplus P \subseteq \cdots$. This gives $r_V(Y_1) \subseteq r_V(Y_2) \subseteq \cdots$ and so, if V has the ACC, $r_V(Y_n) = r_V(Y_{n+1}) = \cdots$ for some n. Hence the chain in R terminates. Conversely, if $r_V(Y_1) \subseteq r_V(Y_2) \subseteq \cdots$ in V, then $r(Y_1 \oplus P) \subseteq r(Y_2 \oplus P) \subseteq \cdots$ by Lemma 4. If $r(Y_n \oplus P) = r(Y_{n+1} \oplus P) = \cdots$ for some n, it follows from Lemma 4 that $r_V(Y_n) = r_V(Y_{n+1}) = \cdots$.

Using Lemma 2, we can locate the right singular ideal $Z(R_R)$ in R = [D, V, P].

- **PROPOSITION** 10. Let R = [D, V, P] and assume that $dim(P_D) = 1$.
- (1) $Z(R_R) = l_V l_V(V) \oplus P = l[soc(R_R)] \subseteq^{ess} R_R.$
- (2) $soc(R_R) \subseteq Z(R_R)$.
- (3) $Z(R_R) = J$ if and only if $l_V(V) \subseteq r_V(V)$ if and only if $soc(R_R) \subseteq soc(_RR)$.

Proof. For convenience write $U = l_V(V)$, so that $soc(R_R) = U \oplus P$, by Lemma 1(4). (1). Always $Z(R_R) \subseteq l[soc(R_R)] = l_V(U) \oplus P$. We claim that $l_V(U) \oplus P \subseteq Z(R_R)$. Let $y = v + p \in l_V(U) \oplus P$. Since $v \in l_V(U)$ we have $U \subseteq r_V(v)$, and so $soc(R_R) = U \oplus P \subseteq r_V(v) \oplus P = r(y)$. Thus $y \in Z(R_R)$ because $soc(R_R) \subseteq ^{ess} R_R$. This proves the equalities in (1). Finally, $U \subseteq l_V(U)$ because $U^2 = 0$. Hence $soc(R_R) \subseteq l_V(U) \oplus P = l[soc(R_R)]$, and (1) follows.

(2). Since $U^2 = 0$ we have $[soc(R_R)]^2 = 0$, so that $soc(R_R) \subseteq l[soc(R_R)]$ and (2) follows from (1).

(3). Since $Z(R_R) = l_V(U) \oplus P$ and $J = V \oplus P$, we have $Z(R_R) = J$ if and only if $l_V(U) = V$ if and only if VU = 0 if and only if $U \subseteq r_V(V)$. The second equivalence holds because $soc(R_R) = U \oplus P$ and $soc(_RR) = r_V(V) \oplus P$ (by the right-left analogue of Lemma 1(4)).

A ring *R* is called *right principally injective (right P-injective)* [7] if every *R*-linear map from a principal right ideal of *R* to *R* is given by left multiplication by an element of *R*, equivalently if lr(a) = Ra for all $a \in R$. These rings are both right mininjective and left minannihilator, a fact which is reflected in the following result.

PROPOSITION 11. If R = [D, V, P], then R is right P-injective if and only if it satisfies the following three conditions:

(a) $dim(_D P) = 1$, (b) $l_V(V) = 0 = r_V(V)$,

(c) $l_V r_V(v) = Dv$ for all $v \in V$.

Proof. Assume first that *R* is right P-injective. Then Proposition 2 implies (a) and $l_V(V) = 0$. To show that $r_V(V) = 0$, suppose that $0 \neq w \in r_V(V)$. Then Vw = 0 so that Rw = Dw, and we have $lr(w) = Rw = Dw \subseteq V$, by P-injectivity. But if $p \in P$, then $r(w) \subseteq J = r(p)$ and so $p \in lr(w)$. This implies that $P \subseteq V$, a contradiction. Hence $r_V(V) = 0$, proving (b).

Claim. If
$$0 \neq v \in V$$
 and $p \in P$, then $R(v + p) = Dv \oplus P$.

Proof. Observe first that Vv = P by (a) because $v \notin r_V(V)$. Hence

 $R(v + p) = \{dv + (dp + v_1v) \mid d \in D \text{ and } v_1 \in V\} = Dv \oplus P,$

proving the Claim.

To show that $Dv = l_V r_V(v)$, we may assume that $v \neq 0$. Then the Claim and Lemma 4 give

$$r(v) = r(Rv) = r[Dv \oplus P] = r_V(v) \oplus P.$$

Hence

$$l_V r_V(v) \oplus P = lr(v) = Rv = Dv \oplus P,$$

and (c) follows.

Conversely, assume (a), (b) and (c). If $a \in R$ we must show that lr(a) = Ra. This is clear if a = 0 or if $a \notin J$ (because R is local), and it also holds if $a \in P$; (then $r(a) = J = V \oplus P$, so that $lr(a) = l_V(V) \oplus P = P = Ra$ by (b)). Assume $a \in J - P$, say a = v + p, where $v \neq 0$. Then $Ra = Dv \oplus P$ by the Claim (the proof uses only $dim(_DP) = 1$ and $r_V(V) = 0$) and so Lemma 4 (twice) gives $r(a) = r_V(v) \oplus P$. Hence $lr(a) = l_V r_V(v) \oplus P = Dv \oplus P = Ra$ by (c).

EXAMPLE 4. As in Examples 1, 2 and 3 above, let $D = D^{(I)}$, where $I = \{1, 2, 3, \dots\}$. If A is the $I \times I$ identity matrix, the bimap is $vw = v_1w_1 + v_2w_2 + \cdots$, where $v = \langle v_i \rangle$ and $w = \langle w_i \rangle$. Then $l_V(V) = 0 = r_V(V)$ is clear and it is a routine matter to verify that $l_V r_V(v) = Dv$ and $r_V l_V(v) = vD$, for all $v \in V$. Hence R = [D, V, D] is a right and left P-injective ring that is neither right nor left artinian.

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