## THE C<sup>1</sup>-INVARIANCE OF THE GODBILLON-VEY MAP IN ANALYTICAL *K*-THEORY

## TOSHIKAZU NATSUME

**1.** Introduction. An action  $\alpha$  of a discrete group  $\Gamma$  on the circle  $S^1$  as orientation preserving  $C^{\infty}$ -diffeomorphisms gives rise to a foliation on the homotopy quotient  $S^1\Gamma$ , and its Godbillon-Vey invariant is, by definition, a cohomology class of  $S^1\Gamma$  ([1]). This cohomology class naturally defines an additive map from the geometric *K*-group  $K^0(S^1, \Gamma)$  into **C**, through the Chern character from  $K^0(S^1, \Gamma)$  to  $H_*(S^1\Gamma; \mathbf{Q})$ .

Using cyclic cohomology, Connes constructed in [2] an additive map,  $GV(\alpha)$ , which we shall call the Godbillon-Vey map, from the  $K_0$ -group of the reduced crossed product  $C^*$ -algebra  $C(S^1) \rtimes_{\alpha} \Gamma$  into **C**. He showed that  $GV(\alpha)$  agrees with the geometric Godbillon-Vey invariant through the index map  $\mu$  from  $K^0(S^1, \Gamma)$  to  $K_0(C(S^1) \rtimes_{\alpha} \Gamma)$ . In order to define  $K^0(S^1, \Gamma)$  and  $\mu$ , Connes considered  $C^{\infty}$ -actions in [2]. However, a close examination of his construction shows that the map  $GV(\alpha)$  itself can be defined for an action  $\alpha$  of  $\Gamma$  on  $S^1$  as orientation preserving  $C^2$ -diffeomorphisms.

Raby showed in [5] that, if two codimension one  $C^{\infty}$ -foliations are  $C^{1}$ -diffeomorphic, then their geometric Godbillon-Vey invariants coincide. By this fact, together with Connes's description mentioned above, when an action is of class  $C^{\infty}$ , the  $C^{1}$ -invariance of the Godbillon-Vey map would follow from the Baum-Connes conjecture that the index map  $\mu$  is always an isomorphism. Unfortunately, so far we do not know whether this conjecture is true for all actions of discrete groups on  $S^{1}$ . Therefore it is desirable to show the  $C^{1}$ -invariance of the Godbillon-Vey map directly in the analytical framework.

In the present work, we will show that if two  $C^2$ -actions  $\alpha$  and  $\beta$  of a discrete group  $\Gamma$  are conjugate to each other by a  $C^1$ -diffeomorphism  $\varphi$ , then the associated maps  $GV(\alpha)$  and  $GV(\beta)$  coincide, via the canonical isomorphism between  $K_0(C(S^1) \rtimes_{\alpha} \Gamma)$  and  $K_0(C(S^1) \rtimes_{\beta} \Gamma)$  derived from  $\varphi$  (Theorem 4).

It should be noted that even in the case of  $C^{\infty}$ -conjugation, and of  $C^{\infty}$ -actions, the invariance of the Godbillon-Vey map is not obvious. For one thing, the construction of the Godbillon-Vey map uses a specified volume form on  $S^1$ , which is not necessarily invariant under even a  $C^{\infty}$ -diffeomorphism giving a conjugation between two actions. It is as

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a consequence of the theorem that the Godbillon-Vey map is independent of the choice of volume form on  $S^1$ .

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2. The Godbillon-Vey map. In this section we give an explicit construction of the Godbillon-Vey map.

Let  $\alpha$  be an action of a group  $\Gamma$  on  $S^1$  as orientation preserving diffeomorphisms of class  $C^2$ . For  $g \in \Gamma$ , denote by  $\alpha_o$  the corresponding  $C^2$ -diffeomorphism. Let dx be the canonical volume form on S<sup>1</sup>. Define the Jacobian J(g) of  $\alpha_g$  by

$$\alpha_{\sigma}^{*}(dx) = J(g)dx,$$

and put  $l(g) = \log J(g)$ . The function  $g \mapsto l(g)$  is a group 1-cocycle on  $\Gamma$ with values in the space  $C^{1}(S^{1})$  of  $C^{1}$ -functions, where we consider the action of  $\Gamma$  on the right defined by  $f \cdot g = \alpha_g^*(f)$ . More precisely, we have the relation

$$l(gh) = \alpha_h^* l(g) + l(h).$$

Put

$$\omega_{\alpha}(g, h) = dl(gh)l(h) - l(gh)dl(h).$$

LEMMA 1. The function  $\omega_{\alpha}$  is a group 2-cocycle on  $\Gamma$  with values in the space  $\Omega^1$  of continuous 1-forms on  $S^1$ . Moreover,  $\omega_{\alpha}$  is normalized in the sense that if one of g, h, and gh is equal to the neutral element  $e \in \Gamma$ , then  $\omega_{\alpha}(g, h) = 0.$ 

The proof is immediate by a routine computation. Following [1], we shall call  $\omega_{\alpha}$  the *Thurston cocycle* of  $\alpha$ . For  $f^0$ ,  $f^1$ ,  $f^2 \in C_c(S^1 \rtimes_{\alpha} \Gamma)$ , put

$$\tau_{\alpha}(f^{0}, f^{1}, f^{2}) = \sum_{g_{0}g_{1}g_{2}=e} \int_{S^{1}} f^{0}_{g_{0}} \alpha^{*}_{g_{0}-1}(f'_{g_{1}}) \alpha^{*}_{(g_{0}g_{1})^{-1}}(f^{2}_{g_{2}}) \omega_{\alpha}(g_{1}, g_{2})$$

to get a cyclic 2-cocycle on  $C_c(S^1 \rtimes_{\alpha} \Gamma)$ . We study the cocycle  $\tau_{\alpha}$ . For  $f^0, f^1 \in C_c(S^1 \rtimes_{\alpha} \Gamma)$ , put

$$\tau_2(f^0, f^1) = \sum_{g_0g_1=e} \int_{S^1} f^0_{g_0} \alpha^*_{g_0-1}(f^1_{g_1}) dl(g_1).$$

Since *l* is a normalized 1-cocycle,  $\tau_2$  is a cyclic 1-cocycle. For any  $f^1 \in C_c(S^1 \rtimes_{\alpha} \Gamma)$ , there exists a constant *C* such that

$$|\tau_2(f^0, f^1)| \leq C ||f^0||_A$$

for all  $f^0 \in C_c(S^1 \rtimes_{\alpha} \Gamma)$ , where  $|| ||_A$  is the C\*-norm on the reduced crossed product  $A = C(S^1) \rtimes_{\alpha} \Gamma$ . This enables us to define a densely defined linear map  $\delta: A \to A^*$  by

$$\delta(f^{1})(f^{0}) = \tau_{2}(f^{0}, f^{1}).$$

Since  $\tau_2$  is a cyclic cocycle,  $\delta$  is a closable derivation ([2, Lemma 4]). Modifying the proof of [2, Lemma 2], we get the following.

LEMMA 2. Let B be a Banach space endowed with an A-bimodule structure. Let  $\delta: A \rightarrow B$  be a densely defined closed derivation. Then the domain of  $\delta$  is stable under the holomorphic functional calculus.

Now let  $(\sigma_t)$  be the modular automorphism group of the state on A associated to the 1-form dx. Then  $(\sigma_t)$  preserves A. Let  $D_{\alpha}$  be the generator of  $(\sigma_t)$ . We have the relations

(a)  $D_{\alpha}(f) = 0$  for  $f \in C(S^1)$ ,

(b) 
$$D_{\alpha}(U_g) = U_g l(g)$$
 for  $g \in \Gamma$ .

By a straightforward computation, we get the next lemma.

LEMMA 3. For  $f^0$ ,  $f^1$ ,  $f^2 \in C_c(S^1 \rtimes_{\alpha} \Gamma)$ , we have  $\tau_{\alpha}(f^0, f^1, f^2) = \tau_2(D_{\alpha}(f^2)f^0, f^1) - \tau_2(f^0D_{\alpha}(f^1), f^2).$ 

Let B be the direct sum  $A \oplus A^*$  of Banach spaces with A-bimodule structure given by

 $a(a_1 \oplus \varphi)b = (aa_1b) \oplus (a\varphi b).$ 

Define an unbounded operator  $\delta': A \to B$  by

 $\delta'(a) = (D_{\alpha}(a), \delta(a))$ 

for  $a \in C_c(S^1 \rtimes_{\alpha} \Gamma)$ , where  $\delta$  is the derivation associated to  $\tau_2$  constructed above. Then  $\delta'$  is a closable derivation. Let  $\mathscr{B}$  be the domain of the closure  $\overline{\delta'}$  of  $\delta'$ , equipped with the graph norm associated to  $\delta'$ . Then  $\mathscr{B}$  is a Banach algebra embedded in A as a dense subalgebra, stable under the holomorphic functional calculus by Lemma 2. Lemma 3 says that the cyclic 2-cocycle  $\tau_{\alpha}$  is continuous with respect to the graph norm on  $C_c(S^1 \rtimes_{\alpha} \Gamma)$  induced from that on  $\mathscr{B}$ . Therefore  $\tau_{\alpha}$  extends to a cyclic 2-cocycle on  $\mathscr{B}$ . Since  $K_0(\mathscr{B}) \cong K_0(A)$ , we obtain a map

 $GV(\alpha): K_0(A) \to \mathbb{C}$ 

by [2, Theorem 7]. We call  $GV(\alpha)$  the *Godbillon-Vey map* associated to the action  $\alpha$ .

For the use in the later sections, let us study the algebra  $\mathcal{B}$  more thoroughly.

Let  $\delta''$  be the restriction of  $\delta'$  to  $C_c^1(S^1 \rtimes {}_{\alpha}\Gamma)$ . Then  $\delta''$  is also clos-

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able. Let  $\mathscr{B}'$  be the domain of the closure of  $\delta''$ . The algebra  $\mathscr{B}'$  is the completion of  $C_c^1(S^1 \rtimes_{\alpha} \Gamma)$  with respect to the graph norm. Obviously,  $\mathscr{B}' \subset \mathscr{B}$ , and this inclusion is continuous. Since  $C_c^1(S^1 \rtimes_{\alpha} \Gamma)$  is dense in  $C_c(S^1 \rtimes_{\alpha} \Gamma)$  with respect to the inductive limit topology on  $C_c(S^1 \rtimes_{\alpha} \Gamma)$ , actually we have  $\mathscr{B}' = \mathscr{B}$ . Thus,  $\mathscr{B}$  is the completion of  $C_c^1(S^1 \rtimes_{\alpha} \Gamma)$  with respect to the graph norm given by  $\delta'$ .

Besides our  $\mathscr{B}$ , there might exist a dense Banach subalgebra  $\mathscr{B}_2$  of A which is stable under the holomorphic functional calculus and contains  $C_c^{l}(S^1 \rtimes_{\alpha} \Gamma)$  as a dense subalgebra, and on which

$$\tau_{\alpha} C_{c}^{1}(S^{1} \rtimes_{\alpha} \Gamma)$$

extends to a cyclic cocycle  $\tau'$ . Then  $\tau'$  also induces an additive map

$$\tau'_*: K_0(A) \to \mathbb{C}.$$

However, we do not know whether  $\tau'_*$  coincides with  $GV(\alpha)$ , because there are no relations between  $\mathscr{B}$  and  $\mathscr{B}_2$ , in general. (Notice that we are dealing with unbounded operators.) For this reason, when we talk about the Godbillon-Vey map, we will keep in mind the algebra  $\mathscr{B}$  constructed above as the domain.

**3.**  $C^1$ -conjugation. Let  $\alpha$ ,  $\beta$  be actions of a group  $\Gamma$  on  $S^1$  as orientation preserving diffeomorphisms of class  $C^2$ . Assume that  $\alpha$ ,  $\beta$  are conjugate to each other by a  $C^1$ -diffeomorphism  $\varphi$  of  $S^1$ ; that is, for any  $g \in \Gamma$ ,

$$\varphi^{-1}\beta_g\varphi = \alpha_g.$$

The diffeomorphism  $\varphi^{-1}$  induces an isomorphism  $\Phi$  of  $C(S^1) \rtimes_{\alpha} \Gamma$  onto  $C(S^1) \rtimes_{\beta} \Gamma$  in an obvious way. Consequently we have an isomorphism

 $\Phi_*: K_0(C(S^1) \rtimes_{\alpha} \Gamma) \to K_0(C(S^1) \rtimes_{\beta} \Gamma).$ 

Our main result is the following.

THEOREM 4. In the above situation, we have the relation

 $GV(\beta) \circ \Phi_* = GV(\alpha).$ 

*Proof.* We will prove Theorem 4 in a sequence of lemmata. To begin with, we study the 2-cocycle  $\tau_{\beta} \circ \Phi$  which is associated to the group 2-cocycle  $\varphi^* \omega_{\beta}$ .

Since  $\varphi$  is of class  $C^1$ , the pullback  $\varphi^*(dx)$  of dx by  $\varphi$  is defined, and  $\varphi^*(dx) = k dx$  for some nowhere-vanishing continuous function k. For simplicity, assume that  $\varphi$  is orientation preserving. Then k is positive.

By easy computations,

 $\varphi^*(l'(g)) = \log(\alpha_g^*k/k) + l(g)$ 

for all  $g \in \Gamma$ , where *l*, *l'* are the logarithms of the Jacobians of  $\alpha$ ,  $\beta$ , respectively. The above formula says, in particular, that  $(\alpha_g^*k/k)$  is a  $C^1$ -function. Let

$$K(g) = \log(\alpha_{\sigma}^* k/k).$$

We find that

$$\varphi^*(\omega_\beta(g, h)) - \omega_\alpha(g, h)$$
  
=  $dK(gh)K(h) - K(gh)dK(h) + dl(gh)K(h) - K(gh)dl(h)$   
+  $dK(gh)l(h) - l(gh)dK(h).$ 

For  $g, h \in \Gamma$ , put

$$p_1(g, h) = dK(gh)K(h) - K(gh)dK(h),$$
  

$$p_2(g, h) = dl(gh)K(h) - K(gh)dl(h), \text{ and }$$
  

$$p_3(g, h) = dK(gh)l(h) - l(gh)dK(h).$$

Then  $p_1, p_2$ , and  $p_3$  are  $\Omega^1$ -valued normalized 2-cocycles on  $\Gamma$ . For  $g \in \Gamma$ , let

$$\sigma_1(g) = \log(k\alpha_g^*k) \, dK(g),$$
  
$$\sigma_2(g) = \log(k\alpha_g^*k) \, dl(g).$$

The functions  $\sigma_1$ ,  $\sigma_2$  are  $\Omega^1$ -valued normalized 1-cochains on  $\Gamma$ . Let  $\partial^*$  be the coboundary operator of the cochain complex  $C^*(\Gamma; \Omega^1)$  of the group  $\Gamma$  with coefficients in the right  $\Gamma$ -module  $\Omega^1$ . By straightforward computations, we get the next lemma.

LEMMA 5. We have the relations  $\partial^* \sigma_1 = p_1$  and  $\partial^* \sigma_2 = p_2$ .

For  $g \in \Gamma$ , let  $\sigma_3(g)$  be the distribution on  $S^1$  defined by

$$\langle \sigma_3(g), f \rangle = \int_{S^1} \log(k \alpha_g^* k) d(l(g) f)$$

for  $f \in C^{1}(S^{1})$ . Obviously  $\sigma_{3}(g) = 0$  if g = e.

*Remark.* To define  $\sigma_3(g)$  we used the fact that l(g) is of class  $C^1$ .

Let  $\mathscr{E}'$  denote the dual of  $C^1(S^1)$  with respect to the  $C^1$ -topology. A right  $\Gamma$ -action on  $\mathscr{E}'$  is defined by

 $\langle T \cdot g, f \rangle = \langle T, \alpha_{g-1}^*(f) \rangle$ 

for  $T \in \mathscr{E}'$ ,  $f \in C^1(S^1)$ , and  $g \in \Gamma$ . Let  $C^*(\Gamma; \mathscr{E}')$  be the cochain complex of  $\Gamma$  with coefficients in  $\mathscr{E}'$ , and let  $\partial^*$  be its coboundary operator. The canonical inclusion  $\Omega^1 \subset \mathscr{E}'$  induces an inclusion of cochain complexes,

 $C^*(\Gamma; \Omega^1) \subset C^*(\Gamma, \mathscr{E}').$ 

LEMMA 6. In  $C^2(\Gamma; \mathscr{E}')$  we have  $\partial^* \sigma_3 = p_3$ . In particular,

$$\partial^* \sigma_3 \in C^2(\Gamma; \Omega^1).$$

Proof. By definition,

$$\langle \sigma_3(h), f \rangle = \int_{S^1} \log(k \alpha_h^* k) \, d(fl(h)),$$
  
 
$$\langle \sigma_3(gh), f \rangle = \int_{S^1} \log(k \alpha_{gh}^* k) \, d(fl(gh)),$$

and, furthermore,

$$\langle \sigma_3(g) \cdot h, f \rangle = \langle \sigma_3(g), \alpha_{h-1}^* f \rangle$$
  
= 
$$\int_{S^1} \log(\alpha_h^* k(\alpha_{gh}^* k)) d(f(l(gh) - l(h))).$$

From these equations the conclusion follows.

By Lemmas 5 and 6, we know that in  $C^2(\Gamma; \mathscr{E}')$  we have the relation

 $\varphi^*\omega_{\beta} - \omega_{\alpha} = \partial^*(\sigma_1 + \sigma_2 + \sigma_3).$ 

Using the cochains  $\sigma_i$ , we construct cyclic cochains.

LEMMA 7. The  $\mathcal{E}'$ -valued 1-cochain  $\sigma_j$  (j = 1, 2, 3) enjoys the following relation:

 $\sigma_j(g) \cdot g^{-1} = -\sigma_j(g^{-1})$ 

for all  $g \in \Gamma$ . In particular,  $\sigma_i(e) = 0$ .

*Proof.* We give a proof for  $\sigma_1$ . Let  $f \in C^1(S^1)$ . By definition,

$$\langle \sigma_1(g) \cdot g^{-1}, f \rangle = \langle \sigma_1(g), \alpha_g^*(f) \rangle$$

$$= \int_{S^1} \log(k \alpha_g^* k) \alpha_g^*(f) dK(g)$$

$$= \int_{S^1} \alpha_g^* \{ \log((\alpha_{g-1}^* k)k) f \alpha_{g-1}^*(dK(g)) \}$$

$$= -\int_{S^1} \log(k \alpha_{g-1}^* k) f dK(g^{-1})$$

$$= -\langle \sigma_1(g^{-1}), f \rangle.$$

Similarly we get relations for  $\sigma_2$  and  $\sigma_3$ .

*Remark.* If p is a normalized 1-cocycle with values in  $\mathcal{E}'$ , then the relation stated in Lemma 7 is automatic.

LEMMA 8. Let 
$$p \in C^1(\Gamma; \mathscr{E}')$$
. Suppose that  
 $p(g) \cdot g^{-1} = -p(g^{-1})$  for all  $g \in \Gamma$ .

Then the following formula defines a cyclic 1-cochain  $\tau$ :

$$\tau(f^0, f^1) = \sum_{gh=e} \langle p(h), f^0_g \alpha^*_{g-1}(f'_h) \rangle$$

for  $f^0$ ,  $f^1 \in C_c^1(S^1 \rtimes_{\alpha} \Gamma)$ .

Proof. By definition,

$$\begin{aligned} \pi(f^{1}, f^{0}) &= \sum \langle p(h), f'_{g} \alpha^{*}_{g-1}(f^{0}_{h}) \rangle \\ &= \sum \langle p(h), \alpha^{*}_{g-1}(\alpha^{*}_{g}(f'_{g})f^{0}_{h}) \rangle \\ &= \sum \langle p(h) \cdot h^{-1}, f^{0}_{h} \alpha^{*}_{n-1}(f'_{g}) \rangle \\ &= -\tau(f^{0}, f^{1}). \end{aligned}$$

Let p be as in Lemma 8. Then  $C = \partial^* p$  is a normalized 2-cocycle. In fact, as

$$c(g, h) = p(h) - p(gh) + p(g) \cdot h,$$

if gh = e, then

$$c(g, h) = p(g^{-1}) + p(g) \cdot g^{-1} = 0,$$

and if g = e or h = e, c(g, h) = 0 is obvious.

For 
$$f^0$$
,  $f^1$ ,  $f^2 \in C_c^1(S^1 \rtimes_{\alpha} \Gamma)$ , let  
 $\psi(f^0, f^1, f^2) = \sum_{g_0g_1g_2 = c} \langle c(g_1, g_2), f_{g_0}^0 \alpha_{g_0-1}^*(f'_{g_1}) \alpha_{(g_0g_1)}^* | (f_{g_2}^2) \rangle$ 

Then we have the following.

LEMMA 9. The functional  $\psi'$  is a cyclic 2-cocycle, and  $\psi' = b\tau$ , where b is the Hochschild coboundary.

*Proof.* The first statement is a modification of Lemma 1 of [2, p. 86]. The second statement follows from a routine computation.

Let us return to the proof of Theorem 4. By Lemmas 5, 6, 7, and 9, we know that on  $C_c^1(S^1 \rtimes_{\alpha} \Gamma)$  there exists a cyclic cochain  $\psi$  such that

$$\varphi^* \tau_{eta} - \tau_{lpha} = b \psi.$$

To complete the proof, it remains to prove that  $\varphi^* \tau_{\beta}$ ,  $\tau_{\alpha}$ ,  $b\psi$ , and  $\psi$  extend to cyclic cochains on a dense subalgebra stable under the holomorphic functional calculus, on which we have the relation

$$\varphi^* \tau_{\beta} - \tau_{\alpha} = b\psi.$$
  
For  $f^0, f^1 \in C_c(S^1 \rtimes_{\alpha} \Gamma)$ , put  
 $\tau_1(f^0, f^1) = \sum_{g_0 g_1 = e} \int_{S^1} f^0_{g_0} \alpha^*_{g_0 - 1}(f^1_{g_1}) dK(g_1).$ 

Similarly, define  $\tau_2$  by

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$$\tau_2(f^0, f^1) = \sum_{g_0g_1=e} \int_{S^1} f^0_{g_0} \alpha^*_{g_0-1}(f^1_{g_1}) dl(g_1).$$

Then  $\tau_1$ ,  $\tau_2$  are 1-traces in Connes's terminology [2, Definition 3]. In other words,  $\tau_1$  and  $\tau_2$  give rise to densely defined closed derivations  $\delta_1$  and  $\delta_2$  from A into A\*, respectively.

Let  $c_1$ ,  $c_2$ , and  $c_3$  be the cyclic 1-cochains associated to  $\sigma_1$ ,  $\sigma_2$ , and  $\sigma_3$  respectively, by Lemma 8. By brute force we get the next lemma.

LEMMA 10. On  $C_c(S^1 \rtimes_{\alpha} \Gamma)$  we have the relations

(1) 
$$C_1(f^0, f^1) = \tau_1((\log k)f^0, f^1) + \tau_1(f^0, (\log k)f^1),$$

(2) 
$$C_2(f^0, f^1) = \tau_2((\log k)f^0, f^1) + \tau_2(f^0, (\log k)f^1).$$

Let D be the inner derivation of A defined by  $\log k \in A$ .

LEMMA 11. On  $C_c(S^1 \rtimes_{\alpha} \Gamma)$ , we have

(1) 
$$bC_1 = i_D \tau_1$$
, and

$$(2) \quad bC_2 = i_D \tau_2.$$

*Proof.* By the definition of the contraction  $i_D$  ([2, p. 91]),

$$\begin{aligned} &(i_D \tau_j)(f^0, f^1, f^2) \\ &= \tau_j(D(f^2)f^0, f^1) - \tau_j(f^0 D(f^1), f^2) \quad (j = 1, 2). \end{aligned}$$

The relations follow by somewhat tedious computations.

*Remark.* In [2], to define the contraction  $i_D \pi$ , Connes assumed that  $\pi$  is invariant under the automorphism group generated by *D*. What is precisely needed there is that

$$\pi(DX^0, X^1) + \pi(X^0, DX^1) = 0.$$

In our situation, obviously our cocycles  $\tau_1$ ,  $\tau_2$  have this property with respect to the derivation *D*.

LEMMA 12. We have the relation

$$bC_3 = i_{D_{\alpha}} \tau_1$$
 on  $C_c(S^1 \rtimes_{\alpha} \Gamma)$ 

Proof. Let us first check that

$$\tau_1(D_{\alpha}(f^0), f^1) + \tau_1(f^0, D_{\alpha}(f^1)) = 0.$$

We have

$$\begin{aligned} \tau_1(D_{\alpha}(f^0), f^1) &= \tau_1 \Big( \sum_g f_g^0 \alpha_{g-1}^*(l(g)) U_g, f^1 \Big) \\ &= \sum_{g_0 g_1 = c} \int_{S^1} f_{g_0}^0 \alpha_{g_0 - 1}^*(l(g_0)) \alpha_{g_0 - 1}^*(f_{g_1}^1) dK(g_1). \end{aligned}$$

Similarly,

$$\tau_1(f^0, D_{\alpha}(f^1)) = \sum_{g_0g_1=e} \int_{S^1} f^0_{g_0} \alpha^*_{g_0-1}(f^1_{g_1}\alpha^*_{g_1-1}(l(g_1))) dK(g_1).$$

Since  $\alpha_{g_0-1}^*(l(g_0)) = -l(g_1)$ , we see that

$$\tau_1(D_{\alpha}(f^0), f^1) + \tau_1(f^0, D_{\alpha}(f^1)) = 0.$$

Now the relation  $i_{D_a}\tau_1 = bC_3$  follows by straightforward computation.

Let us study the cochain  $C_3$  more carefully. Let  $\tau$  be the transverse fundamental class for a transformation group  $(S^1, \Gamma, \alpha)$ . By definition, for  $f^0, f^1 \in C_c^1(S^1 \rtimes_{\alpha} \Gamma)$  we have

$$\tau(f^0, f^1) = \sum_{g_0g_1 = e} \int_{S^1} f^0_{g_0} \alpha^*_{g_0 - 1} (df^1_{g_1}).$$

LEMMA 13. For  $f^0$ ,  $f^1 \in C_c^1(S^1 \rtimes_{\alpha} \Gamma)$ , we have the relation  $C_3(f^0, f^1) = C_2(f^0, f^1) + \tau(D_{\alpha}(f^1) \log k, f^0)$   $+ \tau(D_{\alpha}(\log k \cdot f^1), f^0) - \tau(D_{\alpha}(\log k \cdot f^0), f^1)$  $- \tau(D_{\alpha}(f^0) \log k, f^1).$ 

Proof. By definition,

$$\begin{split} C_{3}(f^{0}, f^{1}) &= \sum_{g_{0}g_{1}=e} \int_{S^{1}} d(f_{g_{0}}^{0} \alpha_{g_{0}-1}^{*}(f_{g_{1}}^{1}) l(g_{1})) (\log k + \log \alpha_{g_{1}}^{*}(k))) \\ &= \sum \int \{d(f_{g_{0}}^{0}) \alpha_{g_{0}-1}^{*}(f_{g_{1}}^{1}) l(g_{1}) \\ &+ f_{g_{0}}^{0} d(\alpha_{g_{0}-1}^{*}(f_{g_{1}}^{1})) l(g_{1}) \} (\log k(\alpha_{g_{1}}^{*}k)) \\ &+ \sum \int f_{g_{0}}^{0} \alpha_{g_{0}-1}^{*}(f_{g_{1}}^{1}) dl(g_{1}) \log(k(\alpha_{g_{1}}^{*}k)) \\ &= \sum \int d(f_{g_{0}}^{0}) \alpha_{g_{0}-1}^{*}(f_{g_{1}}^{1} \alpha_{g_{0}}^{*}(l(g_{1}))) \log \alpha_{g_{0}}^{*}k) \\ &+ \sum \int f_{g_{0}}^{0} (d\alpha_{g_{0}-1}^{*}(f_{g_{1}}^{1}) l(g_{1})) \log k) \\ &+ \sum \int f_{g_{0}}^{0} (d\alpha_{g_{0}-1}^{*}(f_{g_{1}}^{1})) l(g_{1}) \log k \\ &+ \sum \int f_{g_{0}}^{0} l(g_{1}) \log(\alpha_{g_{1}}^{*}k) d(\alpha_{g_{0}-1}^{*}(f_{g_{1}}^{1})) \\ &+ C_{2}(f^{0}, f^{1}) \end{split}$$

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$$= \tau(D_{\alpha}(f^{1}) \log k, f^{0}) + \tau((\log k)D_{\alpha}(f^{1}), f^{0})$$
  
-  $\tau(\log k(D_{\alpha}(f^{0})), f^{1}) - \tau(D_{\alpha}(f^{0}) \log k, f^{1})$   
+  $C_{2}(f^{0}, f^{1}),$ 

as was to be shown.

On  $C_c^1(S^1 \rtimes_{\alpha} \Gamma)$ , let us consider unbounded derivations  $\delta_1$ ,  $\delta_2$ ,  $\delta: A \to A^*$  associated to  $\tau_1$ ,  $\tau_2$ ,  $\tau$ , respectively. These derivations are closable, because  $\tau_1$ ,  $\tau_2$ ,  $\tau$  are cyclic. Notice that  $D_{\alpha}$  restricted to  $C_c^1(S^1 \rtimes_{\alpha} \Gamma)$  is also closable. Let  $\overline{\delta}_1, \overline{\delta}_2, \overline{\delta}$ , and  $\overline{D}_{\alpha}$  be the closure of

$$\delta_1, \delta_2, \delta, \text{ and } D_{\alpha|_{C^1(S^1 \rtimes D)}}$$

respectively. Consider the direct sum of Banach spaces  $B = A \oplus A^* \oplus A^* \oplus A^*$ , equipped with the A-bimodule structure

$$a(a_0 \oplus \varphi_1 \oplus \varphi_2 \oplus \varphi_3)b = (aa_0b) \oplus (a\varphi_1b) \oplus \ldots \oplus (a\varphi_3b).$$

Define a densely defined map  $\delta_0: A \to B$  by

$$\delta'(a) = \overline{D}_{a}(a) \oplus \overline{\delta}(a) \oplus \overline{\delta}_{1}(a) \oplus \overline{\delta}_{2}(a).$$

Then  $\delta'$  is a closable derivation, since  $\overline{D}_{\alpha}$ ,  $\overline{\delta}$ ,  $\overline{\delta}_1$ , and  $\overline{\delta}_2$  are closed. Let  $\delta_0$  be the closure of

 $\delta'|_{C^1_c(S^1 \rtimes_{\sigma} \Gamma)}.$ 

Then, by Lemma 2, the domain of  $\delta_0$  is a Banach algebra  $\mathscr{B}$  stable under the holomorphic functional calculus. The algebra  $\mathscr{B}$  is the completion of  $C_c^{\rm l}(S^{\rm l} \rtimes_{\alpha} \Gamma)$  with respect to the graph norm  $||| \cdot |||$  associated to  $\delta_0$ .

 $C_c^{l}(S^1 \rtimes_{\alpha} \Gamma)$  with respect to the graph norm  $||| \cdot |||$  associated to  $\delta_0$ . Let us check that  $C_3$  on  $C_c^{l}(S^1 \rtimes_{\alpha} \Gamma)$  is continuous. By Lemmas 10 and 13, for  $x^0, x^1 \in C_c^{l}(S^1 \rtimes_{\alpha} \Gamma)$  we have

$$\begin{aligned} |C_{3}(x^{0}, x^{1})| &\leq ||\log k||_{\mathcal{A}} ||X^{0}||_{\mathcal{A}} ||\delta_{1}(x^{1})||_{\mathcal{A}^{*}} \\ &+ 2||\log k||_{\mathcal{A}} ||D_{\alpha}(x^{1})||_{\mathcal{A}} ||\delta(x^{0})||_{\mathcal{A}} \\ &+ 2||\log k||_{\mathcal{A}} ||D_{\alpha}(x^{0})||_{\mathcal{A}} ||\delta(x^{1})||_{\mathcal{A}} \\ &\leq 5||\log k||_{\mathcal{A}} ||x^{0}||| |||x^{1}|||. \end{aligned}$$

This says that  $C_3$  is continuous as a function of two variables with respect to  $||| \cdot |||$ .

Similarly, the cyclic cochains  $\tau_{\alpha}$ ,  $C_1$ ,  $C_2$ ,  $bC_1$ ,  $bC_2$ , and  $bC_3$  are continuous. Consequently,  $\Phi^*\tau_{\beta}$  is also continuous. Therefore all these cyclic cochains extend to cyclic cochains on  $\mathcal{B}$ , and satisfy

$$\Phi^* \tau_{\beta} - \tau_{\alpha} = b(C_1 + C_2 + C_3)$$

on *B*.

It remains to analyze the cocycle  $\Phi^* \tau_{\beta}$ . Since  $\Phi$  is an isomorphism from

 $C(S^1) \rtimes_{\alpha} \Gamma$  onto  $C(S^1) \rtimes_{\beta} \Gamma$ , the image  $\Phi(\mathscr{B})$  of  $\mathscr{B}$  is stable under the holomorphic functional calculus. We have to show that  $\tau_{\beta}$  on  $C_c^1(S^1 \rtimes_{\beta} \Gamma)$  extends to a cocycle on  $\Phi(\mathscr{B})$ . Let  $\mathscr{B}_{\beta}$  be the Banach algebra constructed in Section 1 for the transformation group  $(S^1, \Gamma, \beta)$ . We compare  $\mathscr{B}_{\beta}$  with  $\Phi(\mathscr{B})$ . Since  $\varphi$  is a  $C^1$ -diffeomorphism, obviously

$$\Phi(C_c^1(S^1 \rtimes_{\alpha} \Gamma)) = C_c^1(S^1 \rtimes_{\beta} \Gamma).$$

Let  $\delta_{\alpha}$ ,  $\delta_{\beta}$  be the closed derivation associated to the transverse fundamental classes on  $C_c^{l}(S^1 \rtimes_{\alpha} \Gamma)$ ,  $C_c^{l}(S^1 \rtimes_{\beta} \Gamma)$ , respectively.

LEMMA 14. We have  $\Phi(\text{Dom}(\delta_{\alpha})) = \text{Dom}(\delta_{\beta})$ .

*Proof.* By a straightforward computation, we see that

 $\delta_{\beta}(\Phi(a))(x) = \delta_{\alpha}(a)(\Phi^{-1}(x))$ 

for all  $a \in C_c^1(S^1 \rtimes_{\alpha} \Gamma)$  and all  $x \in C_c(S^1 \rtimes_{\beta} \Gamma)$ . From this, we find

 $||\delta_{\beta}(\Phi(a))|| = ||\delta_{\alpha}(a)||,$ 

since  $\Phi$  is an isometry. The conclusion follows immediately.

Let  $D_{\beta}$  be the generator of the modular automorphism group for the state on  $C(S^1) \rtimes_{\beta} \Gamma$  associated to the 1-form dx.

LEMMA 15. We have the relation

 $\Phi^{-1} \circ D_{\beta} \circ \Phi = D_{\alpha} + D,$ 

where D is the inner derivation determined by

 $\log k \in C(S^1) \rtimes {}_{a}\Gamma.$ 

*Proof.* Since  $\varphi^*(dx) = kdx$ , the conclusion follows (cf. [4]).

Lemma 15, together with 14, says that  $\Phi(\mathscr{B}) \subset \mathscr{B}_{\beta}$ , and that this inclusion is continuous. Therefore the extension  $\tau'_{\beta}$  of  $\tau_{\beta}$  to  $\Phi(\mathscr{B})$  coincides with the restriction of the extension  $\overline{\tau}_{\beta}$  of  $\tau_{\beta}$  to  $\mathscr{B}_{\beta}$ . Hence the additive map defined by the pairing with  $\tau'_{\beta}$  from  $K_0(\Phi(\mathscr{B}))$  to **C** is just equal to  $GV(\beta)$ .

Let  $\mathscr{B}_{\alpha}$  be the domain of the Godbillon-Vey map  $GV(\alpha)$ . Then by the definition of  $\mathscr{B}$ , we see that  $\mathscr{B} \subset \mathscr{B}_{\alpha}$ , and that this inclusion is continuous. Hence the extension of  $\tau_{\alpha}$  to  $\mathscr{B}$  gives us the same map as  $GV(\alpha)$ .

Now we know that on the Banach algebra  $\mathscr{B}$  the two cyclic cocycles  $\Phi^*\tau_\beta$  and  $\tau_\alpha$  are cohomologous. Consequently, they define the same map from  $K_0(C(S^1) \rtimes_\alpha \Gamma)$  to **C**.

That is the end of the proof of Theorem 4.

The proof of Theorem 4 given above shows also that the definition of the Godbillon-Vey map is independent of the choice of a volume form of class  $C^2$  on  $S^1$ , used to get the Jacobians of the diffeomorphisms. This corresponds to the fact that the Godbillon-Vey class in geometry is independent of the choices of certain differential forms involved in the definition.

Finally, let us emphasize that the stability of the Godbillon-Vey map under  $C^2$ -conjugation is not quite as simple as one might expect, because we are dealing with unbounded operators.

## 4. Example. We consider the following example.

The group  $SL_2(\mathbb{Z})$  faithfully acts on the space of oriented lines through 0 in  $\mathbb{R}^2$ , which we identify with the circle  $S^1$ , Call this action  $\alpha$ .

**PROPOSITION 16.** The Godbillon-Vey map  $GV(\alpha)$  associated to  $\alpha$  is the zero map.

Before giving a proof, let us make an observation. Since the Thurston cocycle  $\omega_{\alpha}$  is zero in  $H^2(SL_2(\mathbb{Z}), \Omega^1)$ , one might think that Proposition 16 is trivial. If a 1-cochain p with  $\partial^* p = \omega_{\alpha}$  satisfies the assumption of Lemma 8, we get a cyclic 1-cochain  $\psi$  such that  $b\psi = \tau_{\alpha}$  on  $C_c(S^1 \rtimes_{\alpha} \Gamma)$ . Then it is really a trouble that we do not know whether the relation  $b\psi = \tau_{\alpha}$  holds on a suitable subalgebra which has the same K-theory as  $C(S^1) \rtimes_{\alpha} SL_2(\mathbb{Z})$ . As Connes pointed out in [2, p. 26], a cyclic cocycle associated to a group cocycle does not necessarily give rise to an *n*-trace. Thus Proposition 16 is never trivial. Something that goes below the surface is required.

*Proof of Proposition* 16. By the six-term exact sequence obtained in [3] we see that the canonical map

$$K_0(C(S^1) \rtimes_{\alpha} \mathbb{Z}_4) \oplus K_0(C(S^1) \rtimes_{\alpha} \mathbb{Z}_6) \to K_0(C(S^1) \rtimes_{\alpha} SL^2(\mathbb{Z}))$$

is surjective. Therefore it suffices to show that  $GV(\alpha)$  is null on both  $K_0(C(S^1) \rtimes_{\alpha} \mathbb{Z}_4)$  and  $K_0(C(S^1) \rtimes_{\alpha} \mathbb{Z}_6)$ .

Consider

$$GV(\alpha): K_0(C(S^1) \rtimes_{\alpha} \mathbb{Z}_4) \to \mathbb{C}.$$

It is known that the action  $\alpha$  of  $\mathbb{Z}_4$  is smoothly conjugate to rational rotations. More precisely, there exist an action

$$\alpha': \mathbf{Z}_4 \to SO(2)$$

and  $\varphi \in \text{Diff}^{\infty}_{+}(S^{l})$  such that

$$lpha_{g}' = arphi \circ lpha_{g} \circ arphi^{-1}$$

for all  $g \in \mathbb{Z}_4$ . Since  $\alpha'_g$  is linear, it is obvious that  $GV(\alpha') = 0$ . Therefore, by Theorem 4,

$$GV(\alpha): K_0(C(S^1) \rtimes {}_{\alpha}\mathbb{Z}_4) \to \mathbb{C}$$

is the null map.

Similarly,

$$GV(\alpha): K_0(C(S^1) \rtimes {}_{\alpha}\mathbf{Z}_6) \to \mathbf{C}$$

is also null. Consequently,

$$GV(\alpha) = 0$$
 on  $K_0(C(S^1) \rtimes {}_{\alpha}SL_2(\mathbf{Z}))$ .

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University of Copenhagen, Copenhagen, Denmark