

EXTENSION ALGEBRAS OF CUNTZ ALGEBRA, II

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Abstract

In this paper, we construct the unique (up to isomorphism) extension algebra, denoted by E_∞ , of the Cuntz algebra \mathcal{O}_∞ by the C^* -algebra of compact operators on a separable infinite-dimensional Hilbert space. We prove that two unital monomorphisms from E_∞ to a unital purely infinite simple C^* -algebra are approximately unitarily equivalent if and only if they induce the same homomorphisms in K-theory.

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1. Introduction and preliminaries

The study of extension theory for C^* -algebras was initiated by Busby [5] and further developed by Brown *et al.* [3, 4]. It provides an important way to construct new C^* -algebras.

Let A be a separable C^* -algebra. An extension of A by \mathcal{K} , the C^* -algebra of compact operators on a separable infinite-dimensional Hilbert space H , is a short exact sequence

$$0 \longrightarrow \mathcal{K} \xrightarrow{i} E \xrightarrow{q} A \longrightarrow 0$$

of C^* -algebras. The Busby invariant $\tau : A \rightarrow \mathcal{Q}(H) = B(H)/\mathcal{K}$ of the above extension is the unique homomorphism for which there is a commutative diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \mathcal{K} & \xrightarrow{i} & E & \xrightarrow{q} & A & \longrightarrow & 0 \\ & & \downarrow id & & \downarrow \sigma & & \downarrow \tau & & \\ 0 & \longrightarrow & \mathcal{K} & \xrightarrow{i} & B(H) & \xrightarrow{\pi} & \mathcal{Q}(H) & \longrightarrow & 0 \end{array}$$

We also refer to τ as an extension of A by \mathcal{K} and call E an extension algebra of A (see [1, 9] for details). The invariant τ is said to be *essential* if τ is injective, and

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trivial if τ lifts to a homomorphism from A to $B(H)$, which will be the case if and only if the associated exact sequence splits.

If A is unital, an extension $\tau : A \rightarrow \mathcal{Q}(H)$ is called unital if τ is unital. A trivial extension τ is called *strongly unital* if τ lifts to a unital homomorphism from A to $B(H)$.

Let $\tau_1, \tau_2 : A \rightarrow \mathcal{Q}(H)$ be two extensions of A . Then τ_1 and τ_2 are said to be *strongly equivalent* if there is a unitary $u \in B(H)$ such that $\tau_2(a) = \pi(u)\tau_1(a)\pi(u)^*$ for all $a \in A$. Let $\mathbf{Ext}_s(A)$ be the set of strong equivalence classes of essential extensions of A . If A is unital, we denote by $\mathbf{Ext}_s^u(A)$ the set of strong equivalence classes of unital essential extensions of A . We define the sum of τ_1 and τ_2 by $\tau_1 \oplus \tau_2 : A \rightarrow \mathcal{Q}(H) \oplus \mathcal{Q}(H) \subset M_2(\mathcal{Q}(H)) \cong \mathcal{Q}(H)$. Then $\mathbf{Ext}_s(A)$ and $\mathbf{Ext}_s^u(A)$ are semigroups. Let $\text{Ext}(A)$ be the quotient of $\mathbf{Ext}_s(A)$ by the subsemigroup of essential trivial extensions.

Extensions of Cuntz algebras were first studied by Cuntz [6, 7], and further investigations were undertaken by Paschke and Salinas [15], Lin [8, 11], and the authors [12]. In [12], we constructed and classified all extension algebras of the Cuntz algebra \mathcal{O}_n for $n \geq 2$. In this paper, we consider the case of \mathcal{O}_∞ , and show that there is only one extension algebra (up to isomorphism) of \mathcal{O}_∞ , which we denote by E_∞ . We construct this extension algebra and describe its K-theory. We also prove that two unital monomorphisms from E_∞ to a unital purely infinite simple C^* -algebra are approximately unitarily equivalent if and only if they induce the same homomorphisms in K-theory.

Throughout this paper, \mathbb{Z} will denote the group of integers and \mathbb{Z}_+ the semigroup of nonnegative integers; we write $\mathbb{Z}_n = \mathbb{Z}/n\mathbb{Z}$ and $\mathbb{Z}^n = \mathbb{Z} \oplus \cdots \oplus \mathbb{Z}$ (n copies of \mathbb{Z}).

2. Extension algebras of \mathcal{O}_∞

Recall that the Cuntz algebra \mathcal{O}_∞ is the universal C^* -algebra generated by a sequence of isometries $\{s_i\}$ with mutually orthogonal range projections. It is well-known that \mathcal{O}_∞ is a unital purely infinite simple C^* -algebra and that $K_0(\mathcal{O}_\infty) = \mathbb{Z}$ and $K_1(\mathcal{O}_\infty) = 0$. Also, \mathcal{O}_∞ is unique in the sense that for any sequence of isometries $\{s'_i\}$ with mutually orthogonal range projections, the C^* -algebra generated by $\{s'_i\}$ is isomorphic to \mathcal{O}_∞ .

A separable nuclear C^* -algebra A is said to satisfy the *UCT* [1, 9] if for any separable C^* -algebra B there is the short exact sequence

$$0 \longrightarrow \text{Ext}_{\mathbb{Z}}^1(K_*(A), K_*(B)) \xrightarrow{\delta} KK^*(A, B) \xrightarrow{\gamma} \text{Hom}(K_*(A), K_*(B)) \longrightarrow 0.$$

Here the map γ has degree zero and δ has degree one. In particular, taking $B = \mathcal{K}$, since $\text{Ext}(A, \mathcal{K}) = KK(A, \mathcal{K})$ we have the following short exact sequence:

$$0 \longrightarrow \text{Ext}_{\mathbb{Z}}^1(K_0(A), \mathbb{Z}) \longrightarrow \text{Ext}(A) \longrightarrow \text{Hom}(K_1(A), \mathbb{Z}) \longrightarrow 0.$$

PROPOSITION 2.1. $\text{Ext}_s^{\text{u}}(\mathcal{O}_\infty) = 0$.

PROOF. Since \mathcal{O}_∞ is a unital separable nuclear C^* -algebra, $\text{Ext}(\mathcal{O}_\infty)$ is a group. Since $K_0(\mathcal{O}_\infty) = \mathbb{Z}$ and $K_1(\mathcal{O}_\infty) = 0$, $\text{Ext}(\mathcal{O}_\infty) = 0$ by the *UCT*. Because $[\mathbf{1}_{\mathcal{O}_\infty}]_0$ is a generator of $K_0(\mathcal{O}_\infty) = \mathbb{Z}$,

$$\{h([\mathbf{1}_{\mathcal{O}_\infty}]_0) \mid h \in \text{Hom}(K_0(\mathcal{O}_\infty), \mathbb{Z})\} = \mathbb{Z}.$$

Since $\mathcal{O}_\infty \otimes C(\mathbb{T})$ satisfies the *UCT*, it follows from [2, Proposition 1] that

$$\text{Ext}_s^{\text{u}}(\mathcal{O}_\infty) = \mathbb{Z} / \{h([\mathbf{1}_{\mathcal{O}_\infty}]_0) \mid h \in \text{Hom}(K_0(\mathcal{O}_\infty), \mathbb{Z})\} = 0. \quad \square$$

The following lemma is well-known, but we give its proof for completeness.

LEMMA 2.2. *Let A be a (unital) separable C^* -algebra, and let*

$$0 \longrightarrow \mathcal{K} \xrightarrow{i_1} E_1 \xrightarrow{q_1} A \longrightarrow 0$$

and

$$0 \longrightarrow \mathcal{K} \xrightarrow{i_2} E_2 \xrightarrow{q_2} A \longrightarrow 0$$

be two (unital) essential extensions of A with Busby invariants τ_1 and τ_2 , respectively. Then τ_1 and τ_2 are strongly equivalent if and only if there is an isomorphism $\varphi : E_1 \rightarrow E_2$ such that the following diagram is commutative:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \mathcal{K} & \xrightarrow{i_1} & E_1 & \xrightarrow{q_1} & A & \longrightarrow & 0 \\ & & \downarrow \varphi|_{\mathcal{K}} & & \downarrow \varphi & & \downarrow \text{id} & & \\ 0 & \longrightarrow & \mathcal{K} & \xrightarrow{i_2} & E_2 & \xrightarrow{q_2} & A & \longrightarrow & 0 \end{array}$$

PROOF. If τ_1 and τ_2 are strongly equivalent, then there is a unitary $u \in B(H)$ such that

$$\tau_2(x) = \pi(u)\tau_1(x)\pi(u)^* \quad \forall x \in A.$$

Define $\varphi : E_1 \rightarrow E_2$ by $\varphi(x) = uxu^*$. Now, for $x \in E_1$,

$$\begin{aligned} \pi(\varphi(x)) &= \pi(uxu^*) = \pi(u)\pi(x)\pi(u)^* \\ &= \pi(u)\tau_1(q_1(x))\pi(u)^* = \tau_2(q_1(x)), \end{aligned}$$

so $\varphi(x) \in E_2$. Therefore φ is well-defined and it is obvious that φ is an isomorphism. Since $\tau_2(q_2(\varphi(x))) = \pi(\varphi(x)) = \tau_2(q_1(x))$ and τ_2 is injective, we have $q_2(\varphi(x)) = q_1(x)$. Thus, the above diagram is commutative.

Conversely, suppose that there is an isomorphism $\varphi : E_1 \rightarrow E_2$ such that the diagram is commutative. It is well-known that $\varphi|_{\mathcal{K}}$ is an isomorphism from \mathcal{K} onto \mathcal{K} and there is a unitary $u \in B(H)$ such that $\varphi(x) = uxu^*$ for all $x \in \mathcal{K}$. For any $x \in \mathcal{K}$ and $y \in E_1$,

$$\varphi(y)(uxu^*) = \varphi(yx) = uyxu^* = (uyu^*)(uxu^*).$$

Since the range of $u\mathcal{K}u^*$ is dense in H , $\varphi(y) = uy u^*$. For $x = q_1(y) \in A$ with $y \in E_1$,

$$\begin{aligned} \pi(u)\tau_1(x)\pi(u)^* &= \pi(u)\tau_1(q_1(y))\pi(u)^* \\ &= \pi(u)\pi(y)\pi(u)^* = \pi(\varphi(y)) \\ &= \tau_2(q_2(\varphi(y))) = \tau_2(q_1(y)) = \tau_2(x). \end{aligned} \quad \square$$

By Proposition 2.1 and Lemma 2.2, there exists a unique (up to isomorphism) extension algebra of \mathcal{O}_∞ . We now construct it concretely.

Let $\{t_i \mid i = 1, 2, \dots\}$ be a sequence of partial isometries with mutually orthogonal range projections such that $t_1^*t_1 < 1$, $t_i^*t_i = 1$ for $i \geq 2$, and $p + \sum_{i=1}^n t_i t_i^* < 1$ for any positive integer n , where $p = 1 - t_1^*t_1$ is a projection of rank one. Let E_∞ be the universal C^* -algebra generated by $\{t_i \mid i = 1, 2, \dots\}$, and let $I(E_\infty)$ be the (only closed) ideal of E_∞ generated by p .

THEOREM 2.3. $I(E_\infty) \cong \mathcal{K}$ and $E_\infty/I(E_\infty) \cong \mathcal{O}_\infty$; that is, E_∞ is an essential unital extension of \mathcal{O}_∞ by \mathcal{K} .

PROOF. Let l be a positive integer and W_l the set of all l -tuples (i_1, \dots, i_l) with $i_j \in \mathbb{N} = \{1, 2, \dots\}$, $j = 1, \dots, l$. We assume that $W_0 = \{0\}$ and $W = \bigcup_{l \geq 0} W_l$. Let $T_0 = 1$ and, for $\alpha = (i_1, \dots, i_l) \in W_l$, denote by T_α the partial isometry $T_\alpha = t_{i_1} \cdots t_{i_l}$. Note that $pT_\alpha = 0$ for any $\alpha \in W$.

Let \mathcal{F} be the set of all linear combinations of elements of the form $T_\alpha p T_\beta^*$ for any $\alpha, \beta \in W$. It is easy to see that the closure of \mathcal{F} is an ideal of E_∞ , and that \mathcal{F} is contained in every ideal containing p . It follows that the closure of \mathcal{F} is the ideal of E_∞ generated by p , that is, $I(E_\infty)$.

Let $X = (T_\alpha p T_\mu^*)(T_\nu p T_\beta^*)$. It is easy to see that $X \neq 0$ if and only if $\mu = \nu$. One can check that

$$(T_\alpha p T_\mu^*)(T_\nu p T_\beta^*) = \delta_{\mu\nu} T_\alpha p T_\beta^*$$

and

$$(T_\alpha p T_\beta^*)^* = T_\beta p T_\alpha^*.$$

Then the set $\{T_\alpha p T_\beta^* \mid \alpha, \beta \in W\}$ is a self-adjoint system of matrix units generating \mathcal{F} . It follows that $I(E_\infty)$ is isometric to \mathcal{K} .

Let $\pi : E_\infty \rightarrow E_\infty/I(E_\infty)$ be the quotient map. It is easy to see that $\{\pi(t_i)\}$ is a sequence of isometries in $E_\infty/I(E_\infty)$ with orthogonal ranges. Since $E_\infty/I(E_\infty)$ is a unital C^* -algebra generated by $\{\pi(t_i)\}$, $E_\infty/I(E_\infty) \cong \mathcal{O}_\infty$ by the uniqueness of \mathcal{O}_∞ . Therefore E_∞ is an essential unital extension of \mathcal{O}_∞ by \mathcal{K} , since \mathcal{O}_∞ is a unital simple C^* -algebra. □

REMARK 2.4. Let H be a separable infinite-dimensional Hilbert space. It is easy to see that there exist partial isometries t_1, t_2, \dots in $B(H)$ satisfying the conditions in the definition of E_∞ .

COROLLARY 2.5 (Uniqueness of E_∞). Let $\{t'_i \mid i = 1, 2, \dots\}$ be a sequence of partial isometries with mutually orthogonal range projections such that $t'_1 t'_1 < 1$,

Recall that E_n is the universal C^* -algebra generated by isometries t_1, t_2, \dots, t_n with $\sum_{i=1}^n t_i t_i^* < 1$. It is well-known that E_n is a unital essential extension of \mathcal{O}_n by \mathcal{K} and that $\mathcal{O}_\infty = \varinjlim E_n$.

Let F_n ($n \geq 2$) be the C^* -subalgebra of E_∞ generated by t_1, t_2, \dots, t_n , and let $I(F_n)$ be the ideal of F_n generated by $p = 1 - t_1^* t_1$. We can prove the following results as above, so the details are omitted.

PROPOSITION 2.8.

- (1) $\mathbf{Ext}_s^u(E_n) = 0$.
- (2) $I(F_n) \cong \mathcal{K}$ and $F_n/I(F_n) \cong E_n$; moreover, F_n is the only unital essential extension algebra of E_n by \mathcal{K} .
- (3) $K_0(F_n) = \mathbb{Z} \oplus \mathbb{Z}$ and $K_1(F_n) = 0$.
- (4) $E_\infty = \varinjlim F_n$, where the map $F_n \rightarrow F_{n+1}$ of the system sends t_i to t_i for $i = 1, 2, \dots, n$.

COROLLARY 2.9. *Let H be a separable infinite-dimensional Hilbert space. Suppose that $\varphi, \psi : A \rightarrow \mathcal{Q}(H)$ are two unital injective homomorphisms, where $A = \mathcal{O}_\infty$ or E_n . Then there is a unitary $u \in B(H)$ such that $\varphi(x) = \pi(u)^* \psi(x) \pi(u)$ for all $x \in A$.*

PROOF. Now, φ and ψ are two unital essential extensions of A by \mathcal{K} , so the result follows immediately from the fact that $\mathbf{Ext}_s^u(A) = 0$. □

COROLLARY 2.10. *Let H be a separable infinite-dimensional Hilbert space.*

- (1) *Let v_1, v_2, \dots, v_n ($n \geq 2$) be isometries in the Calkin algebra $\mathcal{Q}(H)$ with mutually orthogonal range projections such that $\sum_{i=1}^n t_i t_i^* < 1$. Then there are isometries V_1, V_2, \dots, V_n in $B(H)$ with mutually orthogonal range projections such that $\pi(V_i) = v_i$ for $i = 1, 2, \dots, n$.*
- (2) *Let $\{v_i\}$ be a sequence of isometries in $\mathcal{Q}(H)$ with mutually orthogonal range projections. Then there is a sequence of isometries $\{V_i\}$ in $B(H)$ with mutually orthogonal range projections such that $\pi(V_i) = v_i$ for $i = 1, 2, \dots$.*

PROOF. For part (1), the map $\tau : E_n \rightarrow \mathcal{Q}(H)$ defined by $\tau(t_i) = v_i$ is a unital essential extension of E_n by \mathcal{K} . It is trivial since $\mathbf{Ext}_s^u(E_n) = 0$; thus τ lifts to a unital injective homomorphism $\sigma : E_n \rightarrow B(H)$. Now put $V_i = \sigma(t_i)$. The proof of (2) is similar, since $\mathbf{Ext}_s^u(\mathcal{O}_\infty) = 0$. □

3. Homomorphisms from E_∞

DEFINITION 3.1. Let A and B be C^* -algebras, let F be a finite subset of A , and let φ and ψ be two homomorphisms from A into B . Let $\varepsilon > 0$. We say that φ and ψ are approximately unitarily equivalent within ε , with respect to F , if there is a unitary $u \in \tilde{B}$ such that

$$\|\varphi(x) - u\psi(x)u^*\| < \varepsilon$$

for all $x \in F$, where \tilde{B} is the unitization of B . We abbreviate this as $\varphi \sim_\varepsilon \psi$ with respect to F . When the set F is understood, we shall omit mention of it.

We further say that φ and ψ are approximately unitarily equivalent if for every finite $F \subset A$ and $\varepsilon > 0$, we have $\varphi \sim_\varepsilon \psi$ with respect to F .

THEOREM 3.2. *Let A be a unital purely infinite simple C^* -algebra, and let $\varphi, \psi : E_\infty \rightarrow A$ be two unital monomorphisms. Then the following statements are equivalent:*

- (i) φ and ψ are approximately unitarily equivalent;
- (ii) $[\varphi] = [\psi]$ in $KK(E_\infty, A)$;
- (iii) $\varphi_* = \psi_* : K_0(E_\infty) \rightarrow K_0(A)$;
- (iv) $\varphi(p) \sim \psi(p)$ in A , where $p = 1 - t_1^*t_1$;
- (v) $\varphi(t_1^*t_1) \sim \psi(t_1^*t_1)$ in A .

PROOF. (i) \Leftrightarrow (ii). Since $K_*(E_\infty)$ is finitely generated, $KK(E_\infty, A) = KL(E_\infty, A)$. The result then follows immediately from [10, Theorem 2.9], since every unital purely infinite simple C^* -algebra has properties (P_1) , (P_2) and (P_3) and every monomorphism into a simple C^* -algebra is full in the sense of [10].

(ii) \Leftrightarrow (iii). Since $K_0(E_\infty) = \mathbb{Z} \oplus \mathbb{Z}$ is a free abelian group and $K_1(E_\infty) = 0$, by the *UCT* we have $KK(E_\infty, A) \cong \text{Hom}(K_0(E_\infty), K_0(A))$. Note that $\gamma(\varphi) = \varphi_*$. Therefore, $[\varphi] = [\psi]$ in $KK(E_\infty, A)$ if and only if $\varphi_* = \psi_* : K_0(E_\infty) \rightarrow K_0(A)$.

(iii) \Leftrightarrow (iv). Since $K_0(E_\infty) = \mathbb{Z} \oplus \mathbb{Z}$ is an abelian group generated by $[1]_0$ and $[p]_0$, $\varphi_* = \psi_* : K_0(E_\infty) \rightarrow K_0(A)$ if and only if $[\varphi(1)]_0 = [\psi(1)]_0$ and $[\varphi(p)]_0 = [\psi(p)]_0$ in $K_0(A)$; this occurs if and only if $[\varphi(p)]_0 = [\psi(p)]_0$, since φ and ψ are unital. But A is a purely infinite simple C^* -algebra, so $[\varphi(p)]_0 = [\psi(p)]_0$ in $K_0(A)$ if and only if $\varphi(p) \sim \psi(p)$ in A .

(iv) \Leftrightarrow (v). This is obvious since $\varphi(p)$, $\psi(p)$, $\varphi(t_1^*t_1) = 1 - \varphi(p)$ and $\psi(t_1^*t_1) = 1 - \psi(p)$ are nonzero projections in a purely infinite simple C^* -algebra. \square

Similar results hold for F_n , with the same proof.

PROPOSITION 3.3. *Let A be a unital purely infinite simple C^* -algebra, and let $\varphi, \psi : F_n \rightarrow A$ be two unital monomorphisms. Then the following statements are equivalent:*

- (i) φ and ψ are approximately unitarily equivalent;
- (ii) $[\varphi] = [\psi]$ in $KK(F_n, A)$;
- (iii) $\varphi_* = \psi_* : K_0(F_n) \rightarrow K_0(A)$;
- (iv) $\varphi(p) \sim \psi(p)$ in A , where $p = 1 - t_1^*t_1$;
- (v) $\varphi(t_1^*t_1) \sim \psi(t_1^*t_1)$ in A .

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