# UNIVERSAL VARIETIES OF SEMIGROUPS 

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#### Abstract

A category $\mathbf{V}$ is called universal (or binding) if every category of algebras is isomorphic to a full subcategory of $\mathbf{V}$. The main result states that a semigroup variety $\mathbf{V}$ is universal if and only if it contains all commutative semigroups and fails the identity $x^{n} y^{n}=(x y)^{n}$ for every $n>1$. Furthermore, the universality of a semigroup v riety $\mathbf{V}$ is equivalent to the existence in $\mathbf{V}$ of a nontrivial semigroup whose endomorphism monoid is trivial, and also to the representability of every monoid as the monoid of all endomorphisms of some semigroup in $\mathbf{V}$. Every universal semigroup variety contains a minimal one with this property while there is no smallest universal semigroup variety.


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## 1. Introduction

Every monoid (that is, a semigroup with an identity element) is isomorphic to the monoid of all endomorphisms of some semigroup and there exist arbitrarily large semigroups with a given endomorphism monoid. This is just one consequence of the universality of the category of all semigroup homomorphisms established in a pioneering article [3] of Z. Hedrlin and J. Lambek; for a somewhat stronger result, see V. Trnková [11], or [10]. From this result and from [12] it also follows that there are rigid semigroups of every infinite cardinality.

These claims obviously fail in small varieties (equational classes) of semigroups: for instance, every element of a semilattice constitutes a one-element subalgebra and hence each constant self-map of a semilattice is one of its endomorphisms. The present note aims to characterize varieties of semigroups that are universal

[^0]when considered as categories. It is somewhat surprising that this characterization also singles out semigroup varieties representing all monoids as endomorphism monoids of their members.

Recall that a category $\mathbf{A}$ is universal (or binding) if every category of algebras is isomorphic to a full subcategory of $\mathbf{A}$. An object $R$ of $\mathbf{A}$ is rigid if it has only the identity as its endomorphism. Every universal category contains a proper class of nonisomorphic objects with a given endomorphism monoid [5] and hence also a proper class of nonisomorphic rigid objects. For other consequences of universality and a comprehensive presentation of various universality results, the reader is referred to A. Pultr and V. Trnková [7].

To formulate the main result, call a semigroup identity $p(x, \ldots, t)=q(x, \ldots, t)$ balanced if the total degree of each variable $x$ in $p$ equals its total degree in $q$. A semigroup variety $\mathbf{V}$ is balanced if it is definable by balanced identities alone. Thus, for instance, the variety $\mathbf{C}$ of commutative semigroups is balanced and, in fact, a semigroup variety is balanced if and only if it contains Commutative semigroups do not form a binding category since the $n$th power law $x^{n} y^{n}=(x y)^{n}$ valid in $\mathbf{C}$ for all positive $n$ implies that the mapping assigning $x^{n}$ to every element $x$ of any such semigroup is one of its endomorphisms.

Theorem 1.1. A semigroup variety $\mathbf{V}$ is binding if and only if it is balanced and fails the nth power law for every $n>1$.

From a point of view of universal algebra Theorem 1.1 appears to be one of the few structural characterizations of binding subvarieties of a given variety; an early example of a result of this type concerning unary varieties can be found in [6]. The only complete characterization of binding unary varieties [8] uses categorical terms.

Since the non-universality of a semigroup variety manifests itself already on its one-object subcategories, the following characterization is also obtained.

Theorem 1.2. For any semigroup variety $\mathbf{V}$ the following are equivalent:
(1) $V$ contains a nontrivial rigid semigroup,
(2) V has arbitrarily large rigid objects,
(3) for any monoid $M$ the variety $\mathbf{V}$ contains arbitrarily large semigroups with endomorphisms monoids isomorphic to $M$.
(4) $\mathbf{V}$ is universal.

A variety is group-universal if every group is isomorphic to the full automorphism group of an algebra from $\mathbf{V}$. Every universal variety is also group-universal.

It may be of some interest to point out that [2] describes all group-universal varieties of semigroups (for example, semilattices form such a variety), and that group-universal unary varieties are characterized by [9].

## 2. Equationally definable semigroup homomorphisms

This section establishes a necessary condition for universality and characterizes varieties satisfying an $n$th power law.

Lemma 2.1. Any semigroup variety which is not balanced contains only trivial rigid semigroups.

Proof. If the total degree of $p$ differs from that of $q$, then an identity $x^{m+n}=x^{m}$ with $m, n>0$ is obtained if all variables of $p=q$ are identified. If the total degrees of $p$ and $q$ both equal $t$ and $x$ occurs $r$ times in $p$, substitute $x^{2}$ for all variables of $p=q$ distinct from $x$ to arrive at an identity $x^{2 t-r}=x^{2 t-s}$ in which $s$ is the total degree of $x$ in $q$. We see that an identity of the form $x^{m+n}=x^{m}$ with $m, n>0$ follows from any non-balanced semigroup identity. Now $x^{m+m n}=x^{m}$, and the identity $x^{2 m n}=x^{m n}$ is obtained; the constant mapping whose value is $x^{m n}$ is an endomorphism of any semigroup satisfying $p=q$. Such a semigroup is rigid only if it is trivial.

Lemma 2.2. No nontrivial semigroups satisfying an nth power law for some $n>1$ are rigid. Moreover, there are monoids not representable as endomorphism monoids of such semigroups.

Proof. If $x^{n} y^{n}=(x y)^{n}$ in a semigroup $S$, then the mapping $f(x)=x^{n}$ is an endomorphism of $S$ commuting with all other endomorphisms of $S$. Whenever the center of the monoid to be represented by endomorphisms of $S$ is trivial, the nonbalanced identity $x^{n}=x$ must be satisfied in $S$ and hence $S$ has a constant endomorphism with the value $x^{n-1}$ for each element $x$ of $S$. Therefore no monoid without left zeros whose center is trivial can be represented and, in particular, each rigid semigroup $S$ satisfying the $n$th power law for some $n>1$ is trivial.

Since every binding category contains a proper class of nonisomorphic rigid objects the claim below follows immediately.

Corollary 2.3. If a semigroup variety $\mathbf{V}$ does not contain $\mathbf{C}$ or if it satisfies the nth power law for some $n>1$, then there exist monoids not occurring as endomorphism monoids of semigroups from $\mathbf{V}$; thus such a variety is not universal.

From the properties of categorical universality mentioned earlier it is now possible to conclude the validity of the implications (4) $\rightarrow(3) \rightarrow(2) \rightarrow(1)$. It is therefore enough to show that every balanced semigroup variety $\mathbf{V}$ failing the $n$th power law for every $n>1$ is universal. First we characterize these varieties.

Let $V(\{a, b, c, d, e\})$ denote the semigroup freely generated by $\{a, b, c, d, e\}=$ $A$ in a balanced variety $V$. Assume that for the least congruence $\theta(a b c, d e)$ containing the pair $\{a b c, d e\}$
(5) $\left\{a^{n} b^{n} c^{n}, d^{n} e^{n}\right\} \in \theta(a b c, d e)$ for some $n>1$;
note that (5) holds if $\mathbf{V}$ satisfies the $n$th power law. If $f$ is a homomorphism of $V(A)$ into $V(\{x, y, z\})$ such that $f(a)=f(d)=x, f(b)=y, f(c)=z$, and $f(e)=$ $y z$, then the kernel of $f$ identifies $a b c$ with $d e$. By (5) we obtain $x^{n} y^{n} z^{n}=x^{n}(y z)^{n}$, so that
(6) $x^{n}(y z)^{n}=x^{n} y^{n} z^{n}=(x y)^{n} z^{n}$ are identities of $\mathbf{V}$,
where the second identity follows similarly. Furthermore,
(7) for $N=n^{2}$, both $x^{n} y^{N} z^{N}=x^{n}(y z)^{N}$ and $x^{N} y^{N} z^{n}=(x y)^{N} z^{n}$ are identities of $V$.

To prove the first identity set $v=y^{n}, t=z^{n}$; then

$$
\begin{aligned}
x^{n} y^{N} z^{N} & =x^{n} v^{n} t^{n}=x^{n}(v t)^{n}=x^{n}\left(y^{n} z^{n}\right)(v t)^{n-1} \\
& =x^{n}(y z)^{n}(v t)^{n-1}=x^{n}(y z)^{n} y^{n} z^{n}(v t)^{n-2}=x^{n}(y z)^{2 n} y^{n} z^{n}(v t)^{n-3} \\
& =\cdots=x^{n}(y z)^{(n-1) n} y^{n} z^{n}=x^{n}(y z)^{N}
\end{aligned}
$$

follows by repeated applications of (6). In addition to (5), suppose
(8) $\left\{a^{r} b^{r}, d^{r} e^{r}\right\} \in \theta(a b, d e)$ for some $r>1$.

The congruence $\theta(a b, d e)$ is the transitive closure of the set of pairs of the form $\{B a b C, B d e C\}$ with (possibly empty) words $B, C$ in $a, b, c, d, e$. Since $V(A)$ is a free algebra in a balanced variety, the total degree of $U$ equals that of $W$ whenever $\{U, T\},\{T, W\}$ are two such pairs. Thus if (8) holds, then all elements occuring in pairs $\{B a b C, B d e C\}$ of any string connecting $a^{r} b^{r}$ to $d^{r} e^{r}$ have total degree $2 r>2$; in particular, at least one of $B, C$ is a nonempty word. Consider a homomorphism $g$ of $V(A)$ into $V(\{x, y\})$ defined by $g(a)=x^{N}, g(b)=y^{N}$, $g(c)=g(d)=(x y)^{n}, g(e)=(x y)^{n(n-1)}$. Using (7) it is easily verified that $g(t) g(a b)=g(t) g(d e)$ and $g(a b) g(t)=g(d e) g(t)$ for all $t \in A$; since at least one of the words $B, C$ is nonempty, it follows that $g(B a b C)=g(B d e C)$ for each pair $\{B a b C, B d e C\}$ contained in any string connecting $a^{r} b^{r}$ to $d^{r} e^{r}$. Therefore $x^{N r} y^{N r}=g\left(a^{r} b^{r}\right)=g\left(d^{r} e^{r}\right)=(x y)^{N r}$ with $N r>1$. This proves the characterization below, for the converse implication is trivial.

Proposition 2.4. A balanced semigroup variety V satisfies the kth power law for some $k>1$ if and only if (5) and (8) hold in the five-generated $\mathbf{V}$-free semigroup.

The failure of (5) or of (8) will be used to construct two full embeddings of a category of graphs into $\mathbf{V}$ in the last section. In addition, extensions of semigroups by elements of infinite prime height will be employed in a manner analogous to that used by L. Fuchs to build large indecomposable abelian groups in [1].

## 3. Elements of infinite $p$-height

Throughout this section, let $\mathbf{V}$ be an arbitrary balanced semigroup variety. For an arbitrary nonempty set $Y$, let $V(Y)$ denote the semigroup freely generated by $Y$ in $V$, and let $R$ denote the additive semigroup of all non-negative rational numbers. Note that $R$ lies in the variety $\mathbf{V}$ by virtue of its commutativity. Let $N$ denote the set of all positive integers.

An element $s$ of a semigroup $S$ is said to have an infinite $p$-height in $S$ if there are elements $s=s_{0}, s_{1}, \ldots, s_{i}, \ldots$ such that $\left(s_{i+1}\right)^{p}=s_{i}$ for all non-negative integers $i$.

For an arbitrary subset $W$ of $V(Y)$ let $Z$ be the union of $Y$ with $W \times N$. Let $P$ be an arbitrary mapping of $W$ into the set of all prime numbers, and let $S$ denote the quotient of $V(Z)$ modulo the least congruence $\theta$ satisfying
(9) $(w, i+1)^{P(w)} \theta(w, i)$ for all $(w, i) \in W \times N$,
(10) $(w, 1)^{P(w)} \theta w$ for all $w \in W$.

There exists a homomorphism $h$ of $V(Z)$ into $R^{Y}$ such that, for $x, y \in Y$, $[h(y)](x)=0$ if $y \neq x,[h(y)](y)=1$, and $h(w, i)=P(w)^{-i} h(w)$ for $(w, i) \in W$ $\times N$. It is easy to verify that the kernel of $h$ satisfies (9) and (10); from the definition of $S$ it now follows that there exists a homomorphism $t: S \rightarrow R^{Y}$ such that $h=t \circ f$ where $f$ is the canonical homomorphism with $\operatorname{Ker}(f)=\theta$. Clearly, all but finitely many components $[t(s)](y)$ of $t(s)$ vanish, and for every prime number $p$ the sequence $t(s)$ is of infinite $p$-height whenever $s$ is.

Let $W_{p}$ be the set of all $w \in W$ with $P(w)=p$; we aim to show that all elements of $S$ with infinite $p$-height lie in a subsemigroup $S_{p}$ of $S$ generated by $f\left(W_{p} \times N\right)$.

To this end, let $s \in S$ have infinite $p$-height. If $a$ is an upper bound of $[t(s)](y)$ for $y \in Y$, choose an integer $k$ large enough to satisfy $p^{k}>a$. Since $t(s)=p^{k} t\left(s_{k}\right)$ for the $p^{k}$ th root $s_{k}$ of $s$, all values of $t\left(s_{k}\right)$ are positive rationals smaller than one, and thus we may assume that
(11) $[t(s)](y)<1$ for all $y \in Y$.

Note that every $s$ satisfying (11) belongs to the subsemigroup [ $f(W \times N)$ ] of $S$ generated by $f(W \times N)$.

Assume that $s=f(u(w, j) v)$ for some $u, v \in V(Z)$ and let $P(w)=q \neq p$. Choose $y \in Y$ occurring in $w$. Then $[t(s)](y)=a q^{-j}+b$ with a nonzero integer $a$ and a $q$-integer $b$. If $s_{k}$ is a $p^{k}$ th root of $s$, then $t(s)=p^{k} t\left(s_{k}\right)$, and $\left[t\left(s_{k}\right)\right](y)=$ $a_{k} q^{-i}+b_{k}$ for an integer $a_{k}$ and a $q$-integer $b_{k}$. Hence $a q^{-j}+b=p^{k} a_{k} q^{-i}+p^{k} b_{k}$; since this rational and all the additive terms of the latter equality are between 0 and $1, a q^{-j}=p^{k} a_{k} q^{-i}$ follows. Thus $a$ is divisible by arbitrarily high powers of $p$; this contradiction shows that every element of $S$ whose $p$-height is infinite must belong to $S_{p}$.

Lemma 3.1. Any element of $S$ of infinite p-height belongs to $S_{p}=f\left[W_{p} \times N\right]$.
For every $v \in V(Z)$ set $v(v)=\{y \in Y ;[h(v)](y)>0\}$; note that $c(y)=\{y\}$ for all $y \in Y, c(w)=c(w, i)$ for all $(w, i) \in W \times N$ and $c\left(v_{1} v_{2}\right)=c\left(v_{1}\right) \cup c\left(v_{2}\right)$ if $v_{i} \in V(Z)$. It is easily seen that $c$ maps $V(Z)$ onto the semilattice $S(Y)$ freely generated by $Y$ and that $c$ has $h$ (and hence $f$ ) as its left factor.

Let $A \subseteq Y$ and assume that both $r, s \in[A]$ are products of at least two distinct elements of $A$. Furthermore, let
(12) $c(r) \cap c(s)=\varnothing$,
(13) $c(w) \subseteq A$ for no $w \in W$,
(14) $c$ be one-to-one on $W$,
(15) $c(r), c(s) \subseteq c\left(W_{p}\right)$ for no prime $p$, where $c\left(W_{p}\right)=U\left(c(w): w \in W_{p}\right)$. From (13) we immediately obtain
(16) $c(v) \subseteq A$ only if $v \in[A]$.

Set $S(r, s)=V(Z) /(\theta(r, s) \vee \theta)=S / \theta(f(r), f(s))$ and let $g$ denote the corresponding homomorphism of $V(Z)$ onto $S(r, s)$.

Lemma 3.2. If $r$, $s$ are products of at least two distinct elements of $A \subseteq Y$ and if (12)-(15) hold, then
(a) $g$ is one-to-one on $Z=Y \cup(W \times N)$,
(b) $g[A]$ is isomorphic to $V(A) / \theta(r, s)$,
(c) $g(v) \in g\left[W_{p} \times N\right]$ only if $c(v) \subseteq c\left(W_{p}\right)$,
(d) every element of $S(r, s)$ with infinite $p$-height is contained in $g\left[W_{p} \times N\right]$,
(e) $g(w, i)$ is the only $P(w)^{i}$ th root of $w \in W$ that has infinite $P(w)$-height.

Proof. Every nontrivial generating pair of the congruence $\theta$ contains an element $v$ such that $c(w) \subseteq c(v)$ for some $w \in W$; since $c$ is constant on the classes of $\theta$, we see that
(*) if $u \theta v$ are distinct, then there is a $w \in W$ such that $c(w) \subseteq c(u)=c(v)$.
Similarly,
$(* *)$ if $u$ is an element of a nontrivial class of $\theta(r, s)$ then $c(r) \subseteq c(u)$ or $c(s) \subseteq c(u)$.

In view of (*) and (13) only trivial classes of $\theta$ intersect $[A]$. If $\{B r C, B s C\}$ is a generating pair of $\theta(r, s)$, then $B r C \in[A]$ yields $c(B) \cup c(C) \subseteq A$, so that $B s C \in[A]$ by (16); thus each class of $\operatorname{Ker}(g)$ intersecting $[A]$ is, in fact, a class of the least congruence $\theta(r, s)$ on $V(A)$ identifying $r$ with $s$. This proves (b).

Similarly, (**) and (15) show that all classes of $\theta(r, s)$ intersecting any [ $W_{p} \times N$ ] are trivial. For a nontrivial pair $\{u, v\} \in \theta$ with $u \in\left[W_{p} \times N\right]$ we obtain $c(v) \subseteq c\left(W_{p}\right)$ by $(*)$; if, in addition, $\{v, t\} \in \theta(r, s)$, then a contradiction with (15) would follow from (*) if $v \neq t$. This proves (c). If $y \in Y,\{y, v\} \in \theta$ and $\{v, t\} \in \theta(r, s)$ is a nontrivial pair, then $c(r) \subseteq c(v)=c(y)=\{y\}$ or $c(s) \subseteq\{y\}$ follow from (*) and (**), contradicting the choice of $r, s$. Altogether, the kernels of $f$ and that of $g$ coincide on $Y \cup \cup\left(\left[W_{p} \times N\right]: p\right)$; from (14) and the definition of $h$ we conclude that $h$, and therefore also $f$ are one-to-one on $Y \cup(W \times N)$. This concludes the proof of (a); note also that $g\left[W_{p} \times N\right]$ is isomorphic to $S_{p}$.
Let $E: S \rightarrow S(r, s)$ be the homomorphism whose kernel is $\theta(f(r), f(s))$. If $a_{0} \in S(r, s)$ has infinite $p$-height, then there are $b_{i} \in S$ with $E\left(b_{i+1}\right)^{p}=E\left(b_{i}\right)$, $E\left(b_{0}\right)=a_{0}$. To prove ( $d$ ), define an auxiliary function $M: S \rightarrow R$ by

$$
M(b)=\max \{[t(b)](y): y \in c(r)\}+\max \{[t(b)](z): z \in c(s)\} .
$$

If $\{d f(r) e, d f(s) e\}$ is a generating pair of $\theta(f(r), f(s))$, then $M(d f(r) e)=M(d e)$ $+1=M(d f(s) e)$ by (12) and the choice of $r, s$; hence $M$ is constant on each nontrivial class of $\theta(f(r), f(s))$ and its value is at least one on such a class. Note also that $M\left(b^{k}\right)=k M(b)$ for every positive integer $k$. Hence $M(b)=p^{i} M\left(b_{i}\right)>p^{i}$ whenever $b_{i}$ lies in a nontrivial class of $\theta(f(r), f(s))$, and this is possible only if there is an integer $j$ such that all $b_{i}$ with $i>j$ lie in trivial classes of $\theta(f(r), f(s))$. Therefore $b_{i}$ is the $p$ th power of $b_{i+1}$ for all $i>j$ and Lemma 3.1 gives $b_{i} \in f\left[W_{p} \times N\right]$. Hence $E\left(b_{i}\right) \in g\left[W_{p} \times N\right]$ and (d) follows since $a_{0} \in\left[E\left(b_{i}\right)\right]$ for all $i$.

If $g(v)$ is a $p^{i}$ th root with infinite $p$-height of $g(w)$ for $w \in W$, then $g(v) \in$ $g\left[W_{p} \times N\right]$ by (d). Since this subsemigroup is isomorphic to $S_{p}, c(v)=c(w)$ and (14) implies that $v=(w, j)^{k}$ since each $[\{w\} \times N]$ is commutative. Now $h(w)=$ $p^{i} h(v)=p^{i-j} k h(w)$, so that $k=p^{j-i}$ and (e) is proved.

Thus we may assume that, under the hypothesis of Lemma 3.2, $S(r, s)$ is generated by $Y \cup(W \times N)$ and that $A \subseteq Y$ generates $V(A) / \theta(r, s)$ in $S(r, s)$.

## 4. The construction

An undirected graph is pair ( $X, R$ ) in which $R$ is a set of two-element subsets of the set $X$. The category $\mathbf{G}$ of all connected undirected graphs and their compatible maps (that is, maps $f: X \rightarrow X^{\prime}$ with $\{f(x), f(y)\} \in R^{\prime}$ for each $\{x, y\} \in R$ ) is
binding [4]. To prove that a semigroup variety $\mathbf{V}$ is binding it is enough to construct a full and faithful functor $F: \mathbf{G} \rightarrow \mathbf{V}$; recall that $F$ is full if every morphism $v: F(G) \rightarrow F\left(G^{\prime}\right)$ is of the form $v=F(g)$ for some morphism $g$ : $G \rightarrow G^{\prime}$ of $\mathbf{G}$, and faithful if it is one-to-one on each $\operatorname{Hom}\left(G, G^{\prime}\right)$.

From now on, let $\mathbf{V}$ be a balanced semigroup variety failing the $k$ th power law for every $k>1$.

Let $B=\{a, b, c, d, e, u, v\}, A=B \backslash\{u, v\}$, and let $(X, R) \in \mathbf{G}$. Set $Y(X)=Y$ to be the disjoint union of $X$ with $B$. For $i=1, \ldots, 10$ let $W_{i}=\{k l\}$, where $k l$ is the product of $k \in A$ with $l \in\{u, v\}$ in $V(Y)$ and the singleton sets $W_{i}$ are pairwise disjoint. Furthermore, let $W_{11}$ consist of all products $u x$ with $x \in X$, and set $W_{12}=\{v x y:\{x, y\} \in R\}$. Let $W=\cup\left(W_{i}: i=1, \ldots, 12\right)$, select distinct prime numbers $p_{i}$ and define a mapping $P$ on $W$ by $P(w)=p_{i}$ for $w \in W_{i}$. Next, let $r_{0}=a b, r_{1}=a b c, s=d e$ and note that these elements satisfy the hypotheses of Lemma 3.2. Denote $\theta_{j}=\theta\left(r_{j}, s\right)$ for $j=0,1$ and define $F_{j}(X, R)$ as the quotient of $V(Y \cup(W \times N))$ modulo the least congruence $\theta_{j}(X, R)$ containing both $\theta_{j}$ and $\theta$ defined by (9) and (10).

For a compatible mapping $f:(X, R) \rightarrow\left(X^{\prime}, R^{\prime}\right)$ define $f^{+}$as $f$ on $X, f^{+}(t)=t$ for $t \in B$; if $V\left(f^{+}\right)$is the free extension of $f^{+}$to the semigroup $V(Y)$, define $f^{*}$ : $V(Z) \rightarrow V\left(Z^{\prime}\right)$ by $f^{*}(w, i)=\left(V\left(f^{+}\right)(w), i\right), f^{*}(v)=V\left(f^{+}\right)(v)$ on $V(Y)$. Only a routine computation is needed to verify that $f^{*}$ maps each class of $\theta_{j}(X, R)$ into a class of $\theta_{j}\left(X^{\prime}, R^{\prime}\right)$; hence there is a semigroup homomorphism $F_{j}(f): F_{j}(X, R) \rightarrow$ $F_{j}\left(X^{\prime}, R^{\prime}\right)$. It is also easily seen that $F_{j}$ is a functor; since (12)-(15) are satisfied, Lemma 3.2(a) applies and hence $F_{j}$ is a faithful functor for $j=0,1$.

Next we shall consider a semigroup homomorphism $H: F_{j}(X, R) \rightarrow F_{j}\left(X^{\prime}, R^{\prime}\right)$. Let $k \in A$; there are distinct primes $p, q$ such that ( $k u, 1$ ), $(k v, 1)$ are of infinite $p$-height, $q$-height respectively. Hence $H(k u, 1)$ has infinite $p$-height; from the definition of $F_{j}\left(X^{\prime}, R^{\prime}\right)$ and from Lemma 3.2(d) we conclude that $H(k u, 1)$ is a power of some ( $k u, m$ ). Similarly, $H(k v, 1)$ is a power of some ( $k v, n$ ). From $k u=(k u, 1)^{p}$ it follows that $H(k)$ is a factor of some power of $(k u, m)$ and, analogously, a factor of a power of $(k v, n)$. Hence $c(t) \subseteq c\left(W_{p}\right) \cap c\left(W_{q}\right)=\{k\}$ for any $t$ with $g(t)=H(k)$ by Lemma 3.2(c); in other words, $H(k)$ is a power of $k$. A similar argument applies to $u$ and $v$; thus $H(k)$ is a power of $k$ for all $k \in B$. If, say, $H(a)=a^{n}$ and $H(u)=u^{r}$ then $a^{n} u^{r}=H(a u)$ has infinite $p$-height only if it equals to a power of some ( $a u, i$ ): see (d) of Lemma 3.2 and note that $W_{p}=\{a u\}$; this is possible only if $n=r$. Since this argument applies to all pairs from $A \times\{u, v\}$ we conclude the existence of a positive $n$ such that $H(k)=k^{n}$ for all $k \in B$. In particular, $a^{n} b^{n}=d^{n} e^{n}$ must hold if $j=0$ and $a^{n} b^{n} c^{n}=d^{n} e^{n}$ for $j=1$. In view of Proposition 2.4 and (b) of Lemma 3.2 there is a $j$ such that $n=1$ is the only possibility, that is, the homomorphism $H: F_{j}(X, R) \rightarrow F_{j}\left(X^{\prime}, R^{\prime}\right)$ fixes all elements of $[B]$.

Every $x \in X$ is contained in some $\{x, y\} \in R$ and hence $u x \in W_{11}, v x y \in W_{12} ;$ there are distinct primes $p, q$ such that $u x$ has infinite $p$-height and $v x y$ has infinite $q$-height. From Lemma 3.2(d) it follows that $u H(x)=H(u x) \in g\left[W_{p} \times\right.$ $N]$ and $v H(x) H(y) \in g\left[W_{q} \times N\right]$. Now $H(x)$ is a factor of both of these elements, so that $c(t) \subseteq c\left(W_{11}\right) \cap c\left(W_{12}\right)=X^{\prime}$ for every $t$ satisfying $H(x)=g(t)$ follows from Lemma 3.2(c). Therefore $H(x)=x_{1} x_{2} \cdots x_{k}$; on the other hand, $u x_{1} x_{2} \cdots x_{k} \in g\left[W_{11} \times N\right]$ only if $k=1$. We conclude that $H(x) \in X^{\prime}$, so that the restriction $f$ of $H$ to the subset $X$ of $Z=X \cup B \cup(W \times N)$ maps $X$ into $X^{\prime}$. Finally, an element $v f(x) f(y)=H(v x y)$ is of infinite $q$-height only if $\{f(x), f(y)\} \in R^{\prime}$, thus $f$ is a compatible mapping of $(X, R)$ into ( $X^{\prime}, R^{\prime}$ ). In fact, $H$ coincides with $f^{+}$on $Y=X \cup B$. Since $H$ preserves $W_{k} \subseteq[Y]$ for every $k$, it easily follows from Lemma 3.2(e) that $H=F_{j}(f)$; this shows that the functor $F_{j}$ is full and thus finishes proofs of both theorems.

## 5. Concluding remarks

There is no smallest universal semigroup variety: the intersection of varieties given by $x y z t=y x z t, x y z t=x y t z$ respectively satisfies the third lower law, while any $(x y)^{n}$ can only be equal to a polynomial of the form $A x y, x y A$ respectively, with $A$ of total degree $2(n-1)$, so that every nontrivial $n$th power law fails in these balanced varieties. Each semigroup variety $\mathbf{V}_{n}$ given by the single identity $x^{n} y^{n}=(x y)^{n}$ for $n>1$ is a nonextremal dually compact element in the dually algebraic lattice of all semigroup varieties. The intersection of a chain of varieties not contained in any $\mathbf{V}_{n}$ cannot be contained in any $\mathbf{V}_{n}$ either. In view of the presented characterization, Zorn's Lemma shows that every universal semigroup variety contains a minimal universal one. This partially solves the semigroup case of Problem 7 posed by A. Pultr and V. Trnková [7]; a complete solution should characterize minimal binding varieties structurally.

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