## INEQUALITIES FOR CERTAIN CYCLIC SUMS II

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#### 1. Introduction

Let  $k \ge 2$  be an integer and each of  $v_1, v_2, ..., v_k$  and  $\delta_1, \delta_2, ..., \delta_k$  be 0 or 1. Then given any positive integer M and non-negative reals  $a_1, a_2, ..., a_M$  we put

$$S = S_M = S_M(a_1, a_2, ..., a_M) = \sum_{i=1}^M t_i,$$
 (1)

where

$$t_i = \frac{\nu_1 a_{i+1} + \nu_2 a_{i+2} + \dots + \nu_k a_{i+k}}{\delta_1 a_{i+1} + \delta_2 a_{i+2} + \dots + \delta_k a_{i+k}} \text{ for } 1 \le i \le M$$
(2)

and

$$a_{i+M} = a_i \text{ for all } i. \tag{3}$$

The object of our work is to evaluate  $\inf S_M$  and  $\sup S_M$ , where the  $\inf$  and  $\sup$  are evaluated over all choices of  $a_1, a_2, ..., a_M$ . When unable to find these we try to estimate  $\inf \{\inf S_M/M\}$ . It is (3) which gives the sum S its cyclic character.

Of course, we do not allow zero denominators. Also we ignore the trivial cases  $v_1 = v_2 = ... = v_k = 0$  and  $v_j = \delta_j$  for  $1 \le j \le k$ . The cases with k = 3 were discussed in (3). Here we report some interesting facts discovered by considering the case k = 4 with the aid of a computer, complete details of the study are given in (1).

First we show that any given sup is either  $\infty$  or obtainable from some inf. The best upper bounds we could find for inf  $S_M$  when k = 4 are summarised in Tables 1 and 2, and we are able to prove that some of them are best possible. Our study has led us to believe that one can get very close to inf  $S_M$ , for any given sum  $S_M$ , by evaluating  $S_M$  for a sequence  $a_1, \ldots, a_M$  which is very simple, as simple for instance as those appearing in (5) and (6). Many of our examples are derived from the exponential sequence, and we discuss the local stability of this sequence in Section 8. Other examples are obtained by repeating a few numbers like 0, 4, 0, 3, 2. The nature of the sequences enables us to write down explicitly the value of  $S_M$ , and for a given sum  $S_M$  there are not too many kinds of sequence to choose between. We improve here on all earlier upper bounds for inf  $S_M$ , and in particular for Shapiro's sum. We hope we have in fact found  $\lim_M \{\inf S_M\}$  for many sums, but we are by no means certain. The subject still abounds with open questions. To simplify the notation we let

$$\sum ac/ab \mod \sum_{i=1}^{M} \frac{a_{i+1}+a_{i+3}}{a_{i+1}+a_{i+2}},$$

which is the case  $v_1 = v_3 = \delta_1 = \delta_2 = 1$  and all other  $v_i$ ,  $\delta_i$  zero, and similarly for the other sums.

#### 2. The supremum

We say that the numerator is contained in the denominator when  $v_j = 1$ implies  $\delta_j = 1$  for  $1 \le j \le k$ . In this case  $S_M \le M$  because  $t_i \le 1$  for every term  $t_i$  no matter how  $a_1, a_2, ..., a_M$  are chosen. Moreover, there is another sum S' such that  $\sup S = M - \inf S'$ .

for example

 $\sup \Sigma b/abd = \Sigma abd/abd - \inf \Sigma ad/abd.$ 

On the other hand, if the numerator is not contained in the denominator there is a j with  $v_j = 1$  but  $\delta_j = 0$ , and then  $\sup S = \infty$  because  $t_1 \to \infty$  as  $a_{1+j} \to \infty$ . In view of these facts from now on we shall consider only inf S and not  $\sup S$ .

#### 3. Type a sums

An elementary result from calculus is

**Lemma 1.** If r is an integer and  $x_i = x_{i+M} > 0$  for all i then

$$\inf \sum_{i=1}^{M} x_i / x_{i+r} = M.$$

It immediately yields a result in (2), namely

inf 
$$S_M = (v_1 + v_2 + ... + v_k)M$$
 if  $\delta_1 + \delta_2 + ... + \delta_k = 1$ ,

so such sums need not be mentioned further. Also it gives

 $\inf \Sigma ab/cd = \inf \Sigma ac/bd = M,$ 

and so on. Other sums can be changed so that the lemma applies, for example

$$\Sigma ad/bc = \Sigma ab/bc + \Sigma cd/bc - \Sigma bc/bc$$

so inf  $\sum ad/bc = M$ , which is a neat proof of the main case of (2, Theorem 7). We say that the sums for which we can use Lemma 1 are of type *a*, they take their inf when  $a_1 = a_2 = \ldots = a_M = 1$ .

## 4. Results Table 1

In the last section we dismissed those sums with only one non-zero  $\delta$ . For k = 4 all the remaining sums are considered in Table 1. The rows of the table correspond to the numerators and the columns to the denominators of the sums. Of course, if we reverse the order of the *a*'s the *v*'s and the  $\delta$ 's in a sum S we get

			Table 2	Table 2	Table 2	<i>M</i> =	Σa/bc	±Mt ≧	cd
			$M + \Sigma a/bd$	Table 2	<i>M</i> =	Table 2	Σa/ac	≤ }M <sup>+</sup>	p q
			§ 7	<i>M</i> =	Table 2	§ 7	= 1	Table 2	bcd
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equal	= 2M	= M	Table 2	$M + \Sigma a/bc$	∑ac/ab	<i>W</i> =	1	Table 2	bc
= 2	equal	Table 2	Table 2	= 3	= 2	= 2	=	= 1	abcd
9 ₹	$M + \Sigma bc/ad$	equal	$M + \Sigma b/ad$	6 VI	9 1	9 VI	VI 9	2 cases	a d
≦ 3·5	$M + \Sigma b/acd$	= <del>}</del> M^+	equal	VII 2	≣∧ 3·5	ال ع			ab d
= 2	$M + \Sigma a/bcd$	Table 2	Table 2	equal	$[M^{\frac{1}{4}}] - M =$	= 2	= 1	1	abc
Σab/ac	= 2M	≤ <del>3</del> M <sup>+</sup>	Table 2	$M + \Sigma b/ac$	equal	= 4	= 2	2 cases	а с
= M	= 2M	≤ <del>3</del> M <sup>+</sup>	$M + \Sigma a/cd$	$M + \Sigma a/bc$	Table 2	equal	1	- -	ab
pc	abcd	a đ	ab d	abc	a c	ab	ą	a	
	$= M  \Sigma ab/ac \qquad = 2 \qquad \leq 3.5 \qquad \leq 6 \qquad = 2$	$\Sigma ab/ac$ = 2 $\leq 3 \cdot 5$ $\leq 6$ = 2= 2M $M + \Sigma a/bcd$ $M + \Sigma b/acd$ $M + \Sigma b/add$ equal	= M $\Sigma ab/ac$ = 2 $\leq 3\cdot 5$ $\leq 6$ = 2= 2M= 2M $M + \Sigma a/bcd$ $M + \Sigma b/acd$ $M + \Sigma b/cdd$ equal $\leq 3M^+$ $\leq 3M^+$ Table 2 $\leq 4M^+$ equalTable 2	$= M$ $\Sigma ab/ac$ $= 2$ $\leq 3\cdot5$ $\leq 6$ $= 2$ equal $= 2M$ $= 2M$ $M + \Sigma a/bcd$ $M + \Sigma b/ad$ $M + \Sigma b/ad$ $E = 2M$ $\leq 3M^+$ $\leq 3M^+$ Table 2 $\leq 4M^+$ equalTable 2 $= M$ $M + \Sigma a/cd$ Table 2 $\leq 4M^+$ equalTable 2 $= M$ $M + \Sigma a/cd$ Table 2Table 2equal $M + \Sigma b/ad$ Table 2Table 2	$= M$ $\Sigma ab/ac$ $= 2$ $\leq 3\cdot5$ $\leq 6$ $= 2$ equal $= 2M$ $= 2M$ $M + \Sigma a/bcd$ $M + \Sigma b/ad$ $M + \Sigma b/ad$ $M + \Sigma b/ad$ $E = 2M$ $\leq \frac{3}{4}M^+$ $\equiv 3M^+$ Table 2 $\leq \frac{3}{4}M^+$ equalTable 2 $= M$ $M + \Sigma a/cd$ Table 2 $\leq \frac{3}{4}M^+$ equalTable 2 $= M$ $M + \Sigma a/cd$ Table 2 $E = M$ $M + \Sigma b/ad$ Table 2 $= M$ $M + \Sigma a/bd$ equal $\leq 5$ $\leq 9$ $= 3$ $M + \Sigma a/bc$ $M + \Sigma a/bd$	$= M$ $\Sigma ab/ac$ $= 2$ $\leq 3\cdot5$ $\leq 6$ $= 2$ equal $= 2M$ $M + \Sigma a/bcd$ $M + \Sigma b/acd$ $M + \Sigma b/acd$ $M + \Sigma b/acd$ $E = 2M$ $\leq 3M^+$ $\leq 3M^+$ Table 2 $\leq 4M^+$ equalTable 2 $M$ $\leq 3M^+$ $\leq 3M^+$ Table 2 $\leq 4M^+$ equalTable 2 $M$ $M + \Sigma a/bd$ Table 2Table 2 $Table 2M + \Sigma a/bdM + \Sigma a/bdM + \Sigma a/bdequalM + \Sigma b/adTable 2Table 287M + \Sigma a/bdM + \Sigma a/bcequal\leq 5\leq 9= 3M + \Sigma a/bc\leq 7M + \Sigma a/bdTable 2equal= M - [\frac{1}{2}M]\leq 5\leq 6= 2\Sigma ac/ab\leq 5= M$	$= M$ $\Sigma ab/ac$ $= 2$ $\leq 3\cdot5$ $\leq 6$ $= 2$ equal $= 2M$ $M + \Sigma a/bcd$ $M + \Sigma b/acd$ $\leq 3M^+$ $\leq 3M^+$ Table 2 $\leq 4M^+$ equalTable 2 $= M$ $\leq 3M^+$ $\leq 3M^+$ Table 2 $\leq 4M^+$ equalTable 2 $= M$ $M + \Sigma a/bc$ Table 2Table 2Table 2Table 2 $= M$ $M + \Sigma a/bc$ $M + \Sigma a/bc$ equal $\leq 5$ $\leq 9$ $= 3$ $M + \Sigma a/bc$ $\leq 7$ $M + \Sigma a/bd$ $M + \Sigma a/bc$ equal $\leq 5$ $\leq 9$ $= 3$ $M + \Sigma a/bc$ $\leq 5^*5$ $= M$ Table 2Table 2equal $= M - [\frac{4}{M}]$ $\leq 3^*5$ $\leq 6$ $= 2$ $\Sigma ac/ab$ $\leq 3$ $= M$ table 2equal $= 4$ $= 2$ $\leq 3$ $\leq 6$ $= 2$ $\Sigma ac/ab$ $\leq 7$ $M + \Sigma a/bc$ equal $= 4$ $= 2$ $\leq 3$ $\leq 6$ $= 2$ $\Sigma ac/ab$ $\leq 3$ $= M$	$= M$ $\Sigma ab/ac$ $= 2$ $\leq 3\cdot5$ $\leq 6$ $= 2$ equal $= 2M$ $M + \Sigma a/bcd$ $M + \Sigma b/acd$ $M + \Sigma b/acd$ $M + \Sigma b/acd$ $M + \Sigma b/acd$ $equal\leq 3M^+Z M^+Table 2\leq 4M^+equalTable 2= M\leq 3M^+Z M^+Z M^+equalTable 2= MM + \Sigma a/cdTable 2Z M + \Sigma b/adTable 2Table 2M + \Sigma a/bdM + \Sigma a/bdTable 2equalM + \Sigma b/adTable 2M + \Sigma a/bdM + \Sigma a/bdM + \Sigma a/bdequal\leq 5\leq 9= 3M + \Sigma a/bd\leq 7M + \Sigma a/bdM + \Sigma a/bdequal\leq 5\leq 9= 3M + \Sigma a/bd\leq 7M + \Sigma a/bdM + \Sigma a/bdequal\leq 5\leq 9= 3M + \Sigma a/bd\leq 7M + \Sigma a/bdM + \Sigma a/bdequal\leq 5\leq 9= 3M + \Sigma a/bd\leq 7M + \Sigma a/bdTable 2equal= M - [\frac{4}{M}]\leq 3\leq 6= 2\Sigma ac/ab\leq 7= MTable 2= 1= 4= 2\leq 3\leq 6= 2= 1\Sigma a/ac= 1= 2= 1= 1\leq 3= 1\Sigma a/acZZ$	$= M$ $\Sigmaab/ac$ $= 2$ $\leq 3\cdot5$ $\leq 6$ $= 2$ equal $= 2M$ $= 2M$ $M + \Sigmaa/bcd$ $M + \Sigmab/acd$ $M + \Sigmab/ad$ $equal$ $= 2M$ $\leq \frac{2}{3}M^{+}$ $Zable 2$ $\leq \frac{4}{3}M^{+}$ $equal$ $Table 2$ $= 2M$ $\leq \frac{2}{3}M^{+}$ $Zable 2$ $\leq \frac{4}{3}M^{+}$ $equal$ $Table 2$ $= M$ $M + \Sigmaa/bc$ Table 2Table 2 $equal$ $M + \Sigmab/ad$ $Zable 2$ $S^{+}$ $M + \Sigmaa/bc$ equal $\leq 5$ $\leq 9$ $= 3$ $M + \Sigmaa/bc$ $\leq 5 \cdot 5$ $= M$ $M + \Sigmaa/bc$ equal $\leq 5$ $\leq 9$ $= 3$ $M + \Sigmaa/bc$ $\leq 5 \cdot 5$ $= M$ $M + \Sigmaa/bc$ equal $\leq 5$ $\leq 9$ $= 3$ $M + \Sigmaa/bc$ $\leq 5 \cdot 5$ $= M$ $M + \Sigmaa/bc$ equal $= M - [\frac{4}{3}M]$ $\leq 5$ $= 3$ $M + \Sigmaa/bc$ $\leq 5 \cdot 5$ $= M$ $Table 2$ equal $= M - [\frac{4}{3}M]$ $\leq 5$ $= 3$ $M + \Sigmaa/bc$ $\leq 5 \cdot 5$ $= M$ $Table 2$ equal $= M - [\frac{4}{3}M]$ $\leq 5$ $= 2$ $Zac/ab$ $\leq 5 \cdot 5$ $= M$ $Table 2$ $= 1$ $= 1$ $\leq 3$ $\leq 6$ $= 2$ $Zac/ab$ $\leq 7$ $= M$ $Table 2= 1= 1\leq 3= 1= 1Zac/ab\leq 7= 1M + \Sigmaa/bc= 2= 3= 2= 2= 2= 3= 2= 1M + \Sigmaa/bc= 1= 1= 1= 1= 1= 1Zac/ab= 1$

TABLE 1

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another sum S' which is essentially the same as S, and in the table only one of S and S' appear in most cases. Also some further transformations are suggested in the table. For instance, reversing makes  $\Sigma abcd/abd$  correspond to  $M + \Sigma b/acd$ . Also  $\Sigma a/ad$  is the same as three sums or one sum of the form  $\Sigma a/ab$  depending upon whether 3 divides M or not. The entry  $\leq 3$  in the table for sum  $\Sigma b/ad$ means that 3 is the best upper bound we have found for inf  $\Sigma b/ad$ . The entry = 2M for sum  $\Sigma abcd/bc$  means that inf  $\Sigma abcd/bc = 2M$ . The entry  $\leq \frac{2}{3}M^+$ for  $\Sigma ad/ab$  means there is a (small) constant  $\tau$  such that inf  $S_M \leq \frac{2}{3}M + \tau$  for  $M \geq 1$ . The same interpretation applies to the other sums. Those sums whose entry says "Table 2" will be discussed in Section 7. Notice that all sums with = M or = 2M as their entry are of type a.

## 5. Interval denominator sums

These are the sums for which  $\delta_s = 1$  whenever  $1 \leq r \leq s \leq t \leq k$  and  $\delta_r = \delta_t = 1$ . They include Shapiro's sum  $\Sigma a/bc$  and Dianananda's generalisations of it,  $\Sigma a/bcd$ ,  $\Sigma a/bcd$ , etc.

Lemma 2. If

$$t_{i} = a_{i+j}/(a_{i+1} + a_{i+2} + \dots + a_{i+k})$$
where  $0 \le j \le k+1$ , and  $a_{M} \le \min\{a_{1}, a_{2}, \dots, a_{M-1}\}$  then
$$S_{M-1}(a_{1}, a_{2}, \dots, a_{M-1}) \le S_{M}(a_{1}, a_{2}, \dots, a_{M-1}, a_{M}).$$
(4)

**Proof.** First write out  $S_{M-1}$  and  $S_M$  and cancel terms which appear on both sides of the inequality  $S_{M-1} \leq S_M$ . Then the remaining terms on the left pair off with terms on the right so that each left-hand term does not exceed its corresponding right-hand term. One term somewhere on the right-hand side is not paired off, but it will be non-negative. The other terms pair off in order of appearance. This completes our proof of the lemma.

The lemma immediately implies that inf  $S_{M-1} \leq \inf S_M$  whenever  $\delta_2 = \delta_3 = \ldots = \delta_{k-1} = 1$  in (1), and we feel that this is the case for all choices of the v's and  $\delta$ 's.

**Lemma 3.** Suppose  $t_i$  is as in (4) with  $0 < j \le k+1$ , then

$$S_{M+k}(a_1, a_2, ..., a_M, a_1, a_2, ..., a_k) = 1 + S_M(a_1, a_2, ..., a_M).$$

This result is obvious upon expansion of  $S_{M+k}$ . It is interesting because it gives an upper bound on the rate of growth of the inf for many interval denominator sums, for example inf  $S_{M+4} \leq 2 + \inf S_M$  when  $S = \sum ab/bcde$ .

## 6. Type b sums

These are the sums with  $v_1v_k = 0$  and  $\delta_1\delta_k = 1$ . It seems that they are the only ones for which  $S_M$  has an upper bound independent of M. We prove the existence of such a bound in

**Lemma 4.** If  $v_1v_k = 0$  and  $\delta_1\delta_k = 1$  then inf  $S_M \leq (k-1)^2$  for  $1 \leq M$ .

**Proof.** We may assume  $v_k = 0$  and let  $S' = \sum t'_i$  be the sum with

$$t'_{i} = (a_{i+1} + a_{i+2} + \dots + a_{i+k-1})/(a_{i+1} + a_{i+k}),$$

then  $S_M \leq S'_M$  for all  $a_i$ . Now  $S'_M \rightarrow (k-1)^2$  as  $\alpha \rightarrow \infty$  when  $M \geq k$  and the  $a_i$  are

$$\alpha, \alpha^2, ..., \alpha^N, \alpha^N, ..., \alpha^N \tag{5}$$

with N = M - k + 2. Also  $S'_M(1, 1, ..., 1) = \frac{1}{2}(k-1)M$  for  $1 \leq M < k$ , and the lemma follows.

Theorem 1. If  $v_1v_k = 0$  and  $\delta_1 = \delta_2 = \dots = \delta_k = 1$  then inf  $S_M = v_1 + v_2 + \dots + v_k$  for  $M \ge k$ .

**Proof.** We have  $S_k = v_1 + ... + v_k$  whatever the values of  $a_1, ..., a_k$ . Also Lemma 2 shows that  $\inf S_{M-1} \leq \inf S_M$ . Finally either  $S_M(\alpha, \alpha^2, ..., \alpha^M)$  or  $S_M(\alpha^M, ..., \alpha^2, \alpha)$  tends to  $v_1 + ... + v_k$  as  $\alpha$  tends to  $\infty$ . The theorem follows inductively.

**Theorem 2.** If  $v_j = \delta_j = \delta_{j+1} = 1$  and all other v's are zero then inf  $S_M = 1$ **Proof.** We have  $1 \leq \inf S_M$  by Theorem 1 and  $S_M(\alpha, \alpha^2, ..., \alpha^M) \rightarrow 1$  as  $\alpha \rightarrow \infty$ .

It is possible to extend Theorem 2. For instance, inf  $\sum a/acd = 1$  by Theorem 1 and the example  $\alpha^N$ , 0,  $\alpha^{N-1}$ , 0, ... with  $N = \lfloor \frac{1}{2}(M+1) \rfloor$ . It was by choosing sequences in this sort of way that we were able to establish the various bounds in Table 1 for the infs of the type b sums, full details are given in (1). Each sum with a constant entry in Table 1 is of type b.

## 7. Computer results

The sums in Table 2. In Table 2 we give the smallest values of  $S_M/M$  we could get for various sums S. The sequences  $a_1, a_2, ..., a_M$  referred to in the table are

..

$$., \beta^4, 0, \beta^2, 0, \alpha, \alpha^2, \alpha^3, ..., \alpha^{\theta M}$$
(6)

..., 
$$\beta^8$$
,  $\beta^7$ , 0, 0,  $\beta^4$ ,  $\beta^3$ , 0, 0,  $\alpha$ ,  $\alpha^2$ ,  $\alpha^3$ , ...,  $\alpha^{\theta M}$  (7)

$$\underbrace{\ldots, \beta^6, 0, 0, \beta^3, 0, 0, \alpha, \alpha^2, \alpha^3, \ldots, \alpha^{\theta M}}_{(8)}$$

# $(1-\theta)M$ terms $\theta M$ terms

and

$$1, 0, 0, 1, 0, 0, 1, 0, 0, \dots$$
 (9)

..., 0, 
$$\alpha^8$$
, 1, 0,  $\alpha^5$ , 1, 0,  $\alpha^2$ , 1 (10)

- ...,  $\alpha^9$ , 0, 1,  $\alpha^6$ , 0, 1,  $\alpha^3$ , 0, 1 (11)
- $\alpha, 0, \alpha^3, 0, \alpha^5, 0, \dots$  (12)

or their reverses. To see how we made these choices consider the sum  $\sum abc/bd$ . Examination of the computer output suggested that we might get a good value of  $S_M/M$  with sequence (7) when  $\alpha, \beta, \theta$  are suitably chosen. Now in  $S_M$  there are at most four terms  $t_i$  which involve both powers of  $\alpha$  and powers of  $\beta$ , and we must ensure that these  $t_i$  are not too big. Therefore we would like  $\alpha$  and  $\beta$ to be of the same order of magnitude, and similarly for  $\beta^{(1-\theta)M}$  and  $\alpha^{\theta M}$ .

Sum	$S_M/M$	Sequence	α	θ
Σa/bc	0.49457	6 Reversed	1.10562	0.43493
Σac/ab	0.97801	6	1.21383	0.46034
$\Sigma abd/cd$	1.49814	6 reversed	1.03769	0.40000
$\Sigma abc/cd$	1.49811	6	1.03866	0.40000
$\Sigma abd/bc$	1.49732	6 reversed	1.05304	0·41441
$\Sigma abc/bd$	1.48408	7	1.19486	0.34900
$\Sigma abd/ac$	1.48306	7 reversed	1.18083	0·41074
$\Sigma a/bcd$	0.32598	8 reversed	1.11653	0·40640
$\Sigma ad/abc$	0.63755	8	1.22208	0·44910
$\Sigma ac/bcd$	0.65870	8 reversed	1.13410	0-38500
$\Sigma abd/abc$	0.96872	8	1.24974	0.43357
$\Sigma ad/abcd$	+	9		
$\Sigma ac/cd$	<del>2</del> <del>3</del>	10	→0	
$\Sigma ab/bd$	<del>2</del> 3	11	-→0	
$\Sigma abd/acd$	<del>1</del>	12	$\rightarrow \infty$	
$\Sigma abd/abcd$	ł	12	<b>-→</b> ∞	

TABLE 2
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Therefore we make

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$$\beta^{(1-\theta)} = \alpha^{\theta},\tag{13}$$

and for large M the value of  $S_M/M$  is approximately

$$\frac{1}{4}(1-\theta)\left\{\frac{\beta^9+\beta^8+0}{\beta^8+0}+\frac{\beta^8+0+0}{0+\beta^5}+\frac{0+0+\beta^5}{0+\beta^4}+\frac{0+\beta^5+\beta^4}{\beta^5+0}\right\} + \theta\left\{\frac{\alpha+\alpha^2+\alpha^3}{\alpha^2+\alpha^4}\right\}.$$

Minimising this expression, subject to (13), over  $0 < \theta < 1$  and  $0 < \alpha$  gave us the asymptotic result in Table 2 for  $\Sigma abc/bd$ . The other choices were made in a similar way.

The sums  $\Sigma a/bd$ ,  $\Sigma a/cd$ ,  $\Sigma ad/ab$ ,  $\Sigma ad/ac$ ,  $\Sigma ad/abd$ . The computer indicated that these sums tend towards their smallest values as  $\alpha \to \infty$  with 1,  $\alpha$ , 0, 1,  $\alpha$ , 0, ... or its reverse for the  $a_i$ . In any case it was these  $a_i$  which yielded the bounds for inf  $S_M$  for these sums in Table 1.

The sum  $\Sigma abd/bcd$ . Here the smallest value of  $S_M/M$  that we found was just less than 0.96, and was attained with M divisible by 5 and the  $a_i$  repetitions of a five-term sequence almost exactly 0, 4, 0, 3, 2. This sum and the next one are the only two we know which behave in this way.

The sum  $\sum ab/bcd$ . In this case our best result was  $S_{11}/11 = 0.65191$  with approximately 61, 0, 212, 0, 73, 184, 0, 146, 128, 0, 195 for the  $a_i$ . We found this sum to be particularly interesting because for M = 100 our computer program would consistently lead to stable choices of  $a_i$  which were not as good as the ones we could construct by repeating the above eleven terms.

The pair of sums  $\sum abd/cd$  and  $\sum abc/cd$ . For reasons that we do not understand, for these sums our computer program led to identical values of  $S_M$  and the  $a_i$ , but with the  $a_i$  terms in reverse order. We got  $S_{99}/99 = 1.4985$  with the  $a_i$  as follows for the latter sum

18	18	19	20	20	21	21	23	22	24
23	25	26	25	30	24	36	19	45	12
53	4	58	-	56	0	53	0	50	0
47	0	44	0	41	0	39	0	36	0
34	0	32	0	30	0	28	0	26	0
25	0	23	0	22	0	20	-		0
18	0	17	0	16	0	14	1		3
11	5	9	7	9	8	9	9	9	10
10	11	10	11	11	12	12	12	13	13
13	14	14	15	15	16	16	17	17.	

Another sum with a very similar sequence is  $\Sigma abd/bc$ . In Table 2 we give better values of  $S_M/M$  for large M.

The turning value of M. For any sum  $S_M$  we define its turning value to be the largest integer  $M_0$  such that  $\inf S_M = S_M(1, 1, ..., 1)$  for  $1 \le M \le M_0$ . It was shown by P. Nowosad (5) that  $M_0 \ge 10$  for Shapiro's sum  $\Sigma a/bc$ . For each of the sums (1) with  $k \le 4$  we found an upper bound for  $M_0$  by the computer and they are given in (1). We only got  $M_0 \le 39$  for  $\Sigma abd/bc$  and this is surprising because we got  $M_0 \le 22$  for all the other sums.

#### 8. Local stability

A sequence  $a_1, ..., a_M$  is locally stable at  $a_i$  when

$$\partial S/\partial a_i > 0$$
 if  $a_i = 0$ ,

but

$$\partial S/\partial a_i = 0$$
 and  $\partial^2 S/\partial a_i^2 > 0$  if  $a_i > 0$ .

E.M.S.---O

We will show in Lemma 5 that exponential sequences like  $\alpha$ ,  $\alpha^2$ ,  $\alpha^3$ , ... and 0,  $\alpha^2$ , 0,  $\alpha^4$ , ... are almost locally stable for all our sums. First we introduce some notation. Let

$$N_i = v_1 a_{i+1} + \dots + v_k a_{i+k}$$
 and  $D_i = \delta_1 a_{i+1} + \dots + \delta_k a_{i+k}$ 

so  $t_i = N_i/D_i$ . Then since  $a_k$  occurs only in  $t_0, t_1, ..., t_{k-1}$  we have

$$\partial S/\partial a_{k} = + \{v_{k}D_{0}^{-1} + \dots + v_{1}D_{k-1}^{-1}\} - \{\delta_{k}N_{0}D_{0}^{-2} + \dots + \delta_{1}N_{k-1}D_{k-1}^{-2}\}$$
(14)

 $\frac{1}{2}\partial^2 S/\partial a_k^2 = -\{v_k \delta_k D_0^{-2} + \dots + v_1 \delta_1 D_{k-1}^{-2}\} + \{\delta_k N_0 D_0^{-3} + \dots + \delta_1 N_{k-1} D_{k-1}^{-3}\}$ (15) and our result is

**Lemma 5.** Suppose  $\alpha > 0$  and p divides k and  $M \ge 2k$ . If  $a_{ip} = \alpha^{r+ip}$  when  $1 \le i < 2k/p$  but  $a_i = 0$  otherwise, then  $\partial S_M / \partial a_k = 0$  when  $a_k \ne 0$ .

**Proof.** Without loss of generality we assume r = 0. The choice of  $a_i$  makes the N's in (14) as follows

$$N_0 = v_p \alpha^p + v_{2p} \alpha^{2p} + \dots + v_k \alpha^k$$
$$N_1 = v_{p-1} \alpha^p + v_{2p-1} \alpha^{2p} + \dots + v_{k-1} \alpha^k$$

and so on. So  $N_{i+p} = \alpha^p N_i$  and similarly for the D's in (14). Hence (14) becomes

$$\partial S_{M} / \partial a_{k} = \sum_{i=0}^{p-1} \{ v_{k-i} D_{i}^{-1} + v_{k-i-p} D_{i+p}^{-1} + v_{k-i-2p} D_{i+2p}^{-1} + \dots \}$$

$$- \sum_{i=0}^{p-1} \{ \delta_{k-i} N_{i} D_{i}^{-2} + \delta_{k-i-p} N_{i+p} D_{i+p}^{-2} + \delta_{k-i-2p} N_{i+2p} D_{i+2p}^{-2} + \dots \}$$

$$= \sum_{i=0}^{p-1} \{ v_{k-i} \alpha^{k-i} + v_{k-i-p} \alpha^{k-i-p} + v_{k-i-2p} \alpha^{k-i-2p} + \dots \} \alpha^{i-k} D_{i}^{-1}$$

$$- \sum_{i=0}^{p-1} \{ \delta_{k-i} \alpha^{k-i} + \delta_{k-i-p} \alpha^{k-i-p} + \delta_{k-i-2p} \alpha^{k-i-2p} + \dots \} \alpha^{i-k} N_{i} D_{i}^{-2}$$

$$= \alpha^{i-k} \sum_{i=0}^{p-1} (\{N_{i}\} D_{i}^{-1} - \{D_{i}\} N_{i} D_{i}^{-2}) = 0,$$

as stated.

In the lemma we checked  $\partial S/\partial a$  only at a non-zero term *a*. Unfortunately at a zero term *a* of a sequence like  $0, \alpha^2, 0, \alpha^4, \ldots$ , the sign of  $\partial S/\partial a$  depends on the sum under consideration. The value of (15) always seems to depend on the sum *S* being considered. However each of the sequences in Table 2 are locally stable at all but a few of their terms  $a_i$ . This fact encourages us to hope that Table 2 gives the correct asymptotic value of inf  $S_M/M$ .

#### 9. The computer program

This is much better than the one described in (2), and very simple. Given  $a_1, a_2, ..., a_M$  and  $\varepsilon > 0$  and j in  $1 \le j \le M$  put

$$u_j^+, u_j^- = S_M(a_1, ..., a_{j-1}, a_j \pm \varepsilon, a_{j+1}, ..., a_M) - S_M(a_1, a_2, ..., a_M).$$

Very little work is required to evaluate  $u_j^+, u_j^-$  as we only need to consider those terms of  $S_M$  which involve  $a_j$ . Since the  $a_j$  must remain non-negative when  $a_j - \varepsilon < 0$  the computer considers  $a_j - \varepsilon$  to be equal to 0. If, however, this condition gives a zero denominator the computer in fact only evaluates  $u_j^+$  and puts  $u_j^- = +\infty$ . The program consists of repeating the following process. With j = 1, 2, ..., M in turn, (i) if  $0 \le u_j^+$  and  $0 \le u_j^-$  do nothing, (ii) if  $u_j^+ < 0$ and  $u_j^+ \le u_j^-$  replace  $a_j$  by  $a_j + \varepsilon$ , (iii) if  $u_j^- < 0$  and  $u_j^- < u_j^+$  replace  $a_j$  by  $a_j - \varepsilon$ when  $a_j - \varepsilon > 0$  but by 0 when  $a_j - \varepsilon \le 0$ . In case it turned out that  $0 \le u_j^+, u_j^$ for j = 1, 2, ..., M so that there were no replacements then halve  $\varepsilon$  before the next repetition. The program stops when  $\varepsilon$  is sufficiently small.

Clearly the program reduces  $S_M$  at each replacement and leads to a sequence  $a_1, \ldots, a_M$  which is locally stable. We have already remarked that it may not yield inf  $S_M$  from all initial values of  $a_1, \ldots, a_M$ . An improved version of the program only increments j when it enters case (i) above. When it enters cases (ii) or (iii) it re-evaluates  $u_j^+, u_j^-$  with the same j but the new value of  $a_j$  and obeys (i), (ii) or (iii) again.

We stopped using the method described in (3), even though it is potentially very fast, because it tends to produce an oscillating sequence of  $a_i$ 's and we could not get the machine to take over the smoothing of the sequence which was previously done by human interpolation.

#### 10. Diananda's sums

The generalisations in (16) below of Shapiro's sum  $\sum a/bc$  were considered by P. H. Diananda (4). In particular he proved

Theorem 3.

$$\Sigma a_i / (a_{i+1} + a_{i+2} + \dots + a_{i+k}) \ge M/k \tag{16}$$

if k divides M+2 or 2M or 2M+1 or 2M+2 } (17)

or  $k \equiv 5 \pmod{8}$  or  $k \equiv 6 \pmod{9}$  or  $k \equiv 8, 9 \pmod{12}$ .

To conclude this paper we report some computer results obtained by K. Y. Choong and one of us (D. E. D.) at the University of Malaya in 1968. Examples of  $a_1, \ldots, a_M$  were sought for which (16) is false. In other words, an effort was made to determine the turning value of M for small fixed values of k. The results indicated that conditions (17) are the best possible for k sufficiently large, and enough examples were found to establish that this is the case for k = 11, 23, 24. In the cases of (k, M) listed below inequality (16) is not proved true by (17) or Nowosad (5) but no example was found which made it false. For  $k \leq 12$  the list contains all cases (k, M) for which it is not yet known whether (16) holds for all  $a_i$ .

(2, 11)	(2, 13)	(2, 15)	(2, 17)	(2, 19)	(2, 21)	(2, 23)	(2, 12)
(3, 10)	(3, 13)	(3, 16)	(3, 19)	(3, 9)	(3, 12)	(4, 13)	(4, 17)
(4, 12)	(4, 16)	(5, 16)	(5, 15)	(6, 19)	(6, 18)	(7, 22)	(7, 11)
(7, 21)	(8, 25)	(8, 24)	(9, 27)	(10, 15)	(10, 30)	(12, 18)	(12, 9).

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