

## REMARKS CONCERNING THE 2-HILBERT CLASS FIELD OF IMAGINARY QUADRATIC NUMBER FIELDS

ELLIOT BENJAMIN

Let  $k$  be an imaginary quadratic number field and let  $k_1$  be the 2-Hilbert class field of  $k$ . If  $C_{k,2}$ , the 2-Sylow subgroup of the ideal class group of  $k$ , is elementary and  $|C_{k,2}| \geq 8$ , we show that  $C_{k_1,2}$  is not cyclic. If  $C_{k,2}$  is isomorphic to  $Z/2Z \times Z/4Z$  and  $C_{k_1,2}$  is elementary we show that  $k$  has finite 2-class field tower of length at most 2.

### 1. INTRODUCTION

Let  $k$  be a number field and let  $C_{k,2}$  denote its 2-class group, that is the 2-Sylow subgroup of the ideal class group,  $C_k$ , (in the wider sense) of  $k$ . We let  $k_1$  denote the 2-Hilbert class field of  $k$ ; that is the maximal unramified (including the infinite primes) Abelian field extension of  $k$  which has degree a power of 2. It is well known that if  $C_{k,2}$  is elementary of rank 2, that is isomorphic to  $Z/2Z \times Z/2Z$ , which we shall denote by  $(2, 2)$ , then  $C_{k_1,2}$  is cyclic (see Kisilevsky [6], Gorenstein [4], Taussky [8]). However, in the case where  $k$  is an imaginary quadratic number field and  $C_{k,2}$  is elementary of rank greater than 2, we show that  $C_{k_1,2}$  is not cyclic.

We next examine the case where  $k$  is an imaginary quadratic number field,  $C_{k,2}$  is isomorphic to  $Z/2Z \times Z/4Z$  (denoted by  $(2, 4)$ ), and  $C_{k_1,2}$  is elementary. From our earlier paper (see Snyder and Benjamin [7]), and the group tables in Hall and Senior [5] we are able to show that  $C_{k_1,2}$  does not have rank 3, which implies that  $k$  has finite 2-class field tower of length at most 2 (see Blackburn [1, 2]). In the process of examining various groups  $G$  of order 64 with  $G/G' \cong (2, 4)$  we have uncovered some errors in Hall and Senior [5] which we describe.

### 2. RESULTS WHEN $C_{k,2}$ IS ELEMENTARY

We begin by stating a result that characterises the Galois group of the second Hilbert 2-class field,  $k_2$ , over  $k$  for  $k$  a number field and  $C_{k,2} \cong (2, 2)$ . We let  $Q_m$ ,  $D_m$ ,  $S_m$  be the quaternion, dihedral, and semidihedral groups of order  $2^m$ . It is well known that (see Gorenstein [4]):

---

Received 24 November 1992

---

Copyright Clearance Centre, Inc. Serial-fee code: 0004-9729/93 \$A2.00+0.00.

**LEMMA 1.** *If  $G$  is a 2-group of order  $2^m$ ,  $m > 2$ , such that  $G/G' \cong (2, 2)$ , then  $G$  is isomorphic to  $D_m$ ,  $Q_m$  or  $S_m$ . In particular  $G'$  is cyclic.*

We now define  $G = \text{Gal}(k_2/k)$ . Then from class field theory we know that  $G' = \text{Gal}(k_2/k_1) \cong C_{k_1,2}$  and  $G/G' \cong \text{Gal}(k_1/k) \cong C_{k,2}$  where  $G'$  is the commutator subgroup of  $G$  (see Gorenstein [4]). We can therefore conclude that if  $k$  is a number field with  $C_{k,2} \cong (2, 2)$  then  $C_{k_1,2}$  is cyclic.

At this point, assuming  $k$  to be an imaginary quadratic number field, we are able to formulate an interesting contrast when  $C_{k,2}$  is elementary of rank greater than 2. We let  $L$  be an unramified quadratic extension of  $k$  with  $H = \text{Gal}(k_2/L)$  and let  $j$  be the homomorphism from  $C_k \rightarrow C_L$  induced by the extension of ideals from  $k$  to  $L$ . The ideal classes in the kernel of  $j$ , that is those ideal classes which become principal in  $L$ , are said to “capitulate” in  $L$ . It is well known that if  $k$  is an imaginary quadratic number field then  $|\ker j| = 2$  if  $N_{L/k}(E_L) = \{1, -1\}$  and  $|\ker j| = 4$  if  $N_{L/k}(E_L) = \{1\}$  where  $E_L$  denotes the group of units in  $L$  and  $N_{L/k}(E_L)$  denotes the norm from  $L$  to  $k$  of  $E_L$ . We know that the order of any ideal class in  $\ker j$  divides  $[L : k] = 2$  (see for example Furtwangler [3]) and  $\ker j$  is therefore contained in  $C_{k,2}$ . The Artin map  $\phi$  induces the following commutative diagram:

$$\begin{array}{ccc} C_k & \xrightarrow{\phi} & G/G' \\ j \downarrow & & \downarrow t \\ C_L & \xleftarrow{\phi} & H/H' \end{array}$$

the rows are isomorphisms and  $t: G/G' \rightarrow H/H'$  is the group transfer map (Verlagerung) which has the following simple characterisation when  $H$  is of index 2 in  $G$ . Let  $G = H \cup zH$ ; then  $t(hG') = hzhz^{-1}H'$  and  $t(zhG') = (zh)^2H'$ . Thus  $\ker j$  is determined by  $\ker t$ . We are now able to prove the following theorem.

**THEOREM 1.** *Let  $k$  be an imaginary quadratic number field with  $C_{k,2}$  elementary and  $|C_{k,2}| \geq 8$ . Then  $C_{k_1,2}$  is not cyclic.*

**PROOF:** Let  $G = \text{Gal}(k_2/k)$ ; therefore  $G' = \text{Gal}(k_1/k) \cong C_{k_1,2}$  and  $G/G' = \text{Gal}(k_1/k) \cong C_{k,2}$ . Assume  $G'$  is cyclic; therefore there exists  $x, y \in G$  such that  $G' = \langle \alpha \rangle$  with  $xyx^{-1}y^{-1} = \alpha$ . Since  $G$  has rank  $\geq 3$  (by the Burnside Basis Theorem) there exists a maximal subgroup  $H$  containing  $x$  and  $y$ , and thus  $H' = G' = \langle \alpha \rangle$ . Since  $G$  has rank  $m \geq 3$  we let  $G/G' = \langle \bar{\alpha}_1, \bar{\alpha}_2, \dots, \bar{\alpha}_m \rangle$  and examine the two cases for the transfer map  $t: G/G' \rightarrow H/H'$ :

**CASE 1.** If  $\alpha_i \notin H$ ,  $t(\bar{\alpha}_i) = \alpha_i^2 \langle \alpha \rangle$ .

**CASE 2.** If  $\alpha_i \in H$ ,  $t(\bar{\alpha}_i) = \alpha_i \alpha_j \alpha_i \alpha_j^{-1} \langle \alpha \rangle$ ,  $\alpha_j \notin H$ .

Since  $\alpha_i^2 \in \langle \alpha \rangle$  then in Case 1  $t(\bar{\alpha}_i) = I\langle \alpha \rangle$ . Since  $\alpha_i \alpha_j \alpha_i \alpha_j^{-1} = \alpha_i^2 (\alpha_i^{-1} \alpha_j \alpha_i \alpha_j^{-1}) \in \langle \alpha \rangle$  then in Case 2 we also have  $t(\bar{\alpha}_i) = I\langle \alpha \rangle$ . Thus the kernel of  $t$  is  $G/G'$ . From the commutative diagram above we conclude that all ideals in  $C_{k,2}$  capitulate in the corresponding unramified quadratic extension to  $H$ . However  $|C_{k,2}| \geq 8$  and since  $k$  is an imaginary quadratic number field at most 4 ideals in  $C_{k,2}$  can capitulate. Consequently we have a contradiction and therefore  $C_{k_1,2}$  is not cyclic.  $\square$

3. RESULTS WHEN  $C_{k,2} \cong (2, 4)$

We next examine the case where  $k$  is an imaginary quadratic number field,  $C_{k,2} \cong (2, 4)$ , and  $C_{k_1,2}$  is elementary. Let  $G = \text{Gal}(k_2/k)$ ; since  $G/G' \cong C_{k,2} \cong (2, 4)$ ,  $G/G' = \langle \bar{a}, \bar{b} \rangle$  for some  $a, b \in G$  such that  $\bar{a}^2 = I = \bar{b}^4$ . We note that  $G$  has three maximal subgroups given by  $H_1 = \langle b, G' \rangle$ ,  $H_2 = \langle ab, G' \rangle$ ,  $H_3 = \langle a, b^2, G' \rangle$ . Let  $K_i$  be the subfield of  $k_2$  fixed by  $H_i$ ,  $i = 1, 2, 3$ . Then  $K_1, K_2, K_3$  comprise all of the unramified quadratic extensions of  $k$ . We let  $j_i: C_k \rightarrow C_{K_i}$  be the homomorphism induced by extension of ideals from  $k$  to  $K_i$ . We use the following result implicit in our earlier work (see Snyder and Benjamin [7]).

**LEMMA 2.** *Let  $k$  be an imaginary quadratic number field with  $C_{k,2} \cong (2, 2^m)$ . If  $C_{k_1,2}$  is not cyclic, then  $|\ker j_1| = 2$  or  $|\ker j_2| = 2$ .*

We now define the 2-class field tower of  $k$  as the sequence  $k \leq k_1 \leq k_2 \dots k_i \leq k_{i+1} \dots$  where  $k_{i+1}$  is the 2-Hilbert class field of  $k_i$ . If  $k_i = k_{i+1}$  and  $i$  is minimal we say that  $k$  has finite 2-class field tower of length  $i$ ; otherwise  $k$  has infinite 2-class field tower. We now state and prove the following theorem.

**THEOREM 2.** *Let  $k$  be an imaginary quadratic number field with  $C_{k,2} \cong (2, 4)$  and  $C_{k_1,2}$  elementary. Then  $k$  has finite 2-class field tower of length at most 2.*

**PROOF:** By Blackburn [1] we know that if  $G/G' \cong (2, 4)$  and  $k$  has 2-class field tower of length greater than 2, then  $G'$  must have rank  $\leq 3$ . By Blackburn [2] if  $G$  has rank 2 and the rank of  $G'$  is less than or equal to 2 then  $G'$  is abelian. Therefore since  $C_{k,2} \cong (2, 4)$ ,  $C_{k_1,2}$  has rank equal to 3. By Hall and Senior [5] there are two non-isomorphic groups  $G$  with  $G/G \cong (2, 4)$  and  $G' \cong (2, 2, 2)$  ( $(2, 2, 2)$  denotes  $Z/2Z \times Z/2Z \times Z/2Z$ ). These groups can be described as follows:

$$\begin{aligned}
 G_1 = \langle q, s \rangle : & x^2 = y^2 = z^2 = 1, zrzr = x, ysy s^{-1} = x, qrqr = y, \\
 & zszs^{-1} = y, qsqs^{-1} = z, q^2 = 1, r^2 = 1 \\
 G_2 = \langle q, s \rangle : & x^2 = y^2 = z^2 = 1, zrzr = x, ysy s^{-1} = x, qrq^{-1}r = y, \\
 & zszs^{-1} = y, qsq^{-1}s^{-1} = z, q^2 = x, r^2 = 1, s^2 = r.
 \end{aligned}$$

In each case  $G/G' = \langle \bar{q}, \bar{s} \rangle$ ,  $\bar{q}^2 = 1, \bar{s}^4 = 1, G' = \langle x, y, z \rangle$  and the three maximal subgroups are  $H_1 = \langle s, G' \rangle, H_2 = \langle qs, G' \rangle, H_3 = \langle q, s^2, G' \rangle$ . We note that  $H'_i =$

$\langle x, y \rangle$  for  $i = 1, 2, 3$  and for each group  $G$  we find the kernels of the transfer maps  $t_i: G/G' \rightarrow H_i/H'_i$  are as follows:  $\ker t_1 = \langle \bar{q}, \bar{s}^2 \rangle$ ,  $\ker t_2 = \langle \bar{q}, \bar{s}^2 \rangle$ ,  $\ker t_3 = \langle \bar{s}^2 \rangle$ . We observe that  $t_1$  and  $t_2$  have 4 ideals that capitulate, and  $t_3$  has 2 ideals that capitulate. From Lemma 2 we see that this capitulation cannot occur for  $k$  imaginary and therefore if  $|C_{k_1,2}| = 8$ ,  $C_{k_1,2}$  is not elementary. We conclude that  $k$  has 2-class field tower of length at most 2.

In the process of attempting to refine our earlier results for  $k$  imaginary,  $C_{k,2} \cong (2, 4)$  and  $C_{k_1,2}$  cyclic, we observed three groups in Hall and Senior [5] that are in error.

These groups are listed as groups 64/140, 64/141 and 64/143 in Hall and Senior, and can be described as follows:

GROUP 64/140.  $x^2 = 1, y^2 = x, z^2 = y^{-1}, q^2 = z^{-1}, yry^{-1}r^{-1} = x, zrz^{-1}r^{-1} = y, qrq^{-1}r^{-1} = z, r^2 = w, w^2 = 1$ .

GROUP 64/141.  $x^2 = 1, y^2 = x, z^2 = y^{-1}, q^2 = z^3, r^2 = w, yry^{-1}r^{-1} = x, zrz^{-1}r^{-1} = y, qrq^{-1}r^{-1} = z, w^2 = 1$ .

GROUP 64/143.  $x^2 = 1, y^2 = x, z^2 = y^{-1}, x = w^2, q^2 = z^{-1}, r^2 = w, yry^{-1}r^{-1} = x, zrz^{-1}r^{-1} = y, qrq^{-1}r^{-1} = z$ .

We note that in all three groups  $G_i, i = 1, 2, 3$ , we have  $G_i = \langle q, r \rangle, G'_i = \langle z \rangle, G_i/G'_i = \langle \bar{q}, \bar{r} \rangle$ , and  $Z_i = \langle x, w \rangle$  where  $Z_i$  is the centre of  $G_i$ . We also note that  $H_3$ , the non-cyclic maximal subgroup as a factor group of  $G'$ , is always abelian since in all three groups  $H_3 = \langle q, r^2, z \rangle = \langle q, w \rangle$ . However, in all three groups  $G_i$  there is a problem with the transfer map  $t_i: G_i/G'_i \rightarrow H_3/H'_3$ . The transfer map  $t_i$  can be described for all three groups  $G_i$  in the following way:  $t_i(\bar{I}) = t_i(\bar{z}) = zrzr^{-1} = yrzr^{-1} = yry^{-1}r^{-1} = x$ . Thus the transfer map takes the identity element to an element that is not the identity, which of course cannot happen. □

REFERENCES

- [1] N. Blackburn, 'On prime-power groups in which the derived group has two generators', *Proc. Camb. Phil. Soc.* **53** (1957), 19-27.
- [2] N. Blackburn, 'On prime-power groups with two generators', *Proc. Camb. Phil. Soc.* **54** (1958), 327-337.
- [3] Ph. Furtwängler, 'Über die Klassenzahl Abelscher Zahlkörper', *Crelle* **134** (1908), 91-94.
- [4] D. Gorenstein, *Finite groups* (Harper and Row, New York, 1968).
- [5] M. Hall and J.K. Senior, *The groups of order 2^n (n ≤ 6)* (Macmillan, New York, 1964).
- [6] H. Kisilevsky, 'Number fields with class number congruent to 4 mod 8 and Hilbert's Theorem 94', *J. Number Theory* **8** (1976), 271-279.
- [7] C. Snyder and E. Benjamin, 'Number fields with 2-class group isomorphic to  $(2, 2^m)$ ', submitted for publication (1992).

- [8] O. Taussky, 'A remark on the class field tower', *J. London Math. Soc.* **12** (1937), 82–85.

Mathematics Department  
Unity College  
Unity ME 04988-9502  
United States of America