



## q-Pseudoconvexity and Regularity at the Boundary for Solutions of the $\bar{\partial}$ -problem

GIUSEPPE ZAMPIERI

*Dip. Matematica-Università v. Belzoni 7, Padova, Italy; e-mail: zampieri@math.unipd.it*

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**Abstract.** For a domain  $\Omega$  of  $\mathbb{C}^N$  we introduce a fairly general and intrinsic condition of weak  $q$ -pseudoconvexity, and prove, in Theorem 4, solvability of the  $\bar{\partial}$ -complex for forms with  $C^\infty(\bar{\Omega})$ -coefficients in degree  $\geq q + 1$ .

All domains whose boundary have a constant number of negative Levi eigenvalues are easily recognized to fulfill our condition of  $q$ -pseudoconvexity; thus we regain the result of Michel (with a simplified proof).

Our method deeply relies on the  $L^2$ -estimates by Hörmander (with some variants). The main point of our proof is that our estimates (both in weightened- $L^2$  and in Sobolev norms) are sufficiently accurate to permit us to exploit the technique by Dufresnoy for regularity up to the boundary.

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Let  $\Omega$  be a domain of  $\mathbb{C}^N$ ,  $z_o$  a point of  $M := \partial\Omega$ ,  $U$  a neighborhood of  $z_o$ . We consider an orthonormal basis of  $(1, 0)$ -forms  $\omega_1, \dots, \omega_N$  on  $U$ , and the dual basis  $\partial_{\omega_1}, \dots, \partial_{\omega_N}$  of  $(1, 0)$ -derivatives. We assume that  $M$  is  $C^2$ , take a defining function  $\rho$  for  $\Omega$  (thus  $\Omega = \{\rho < 0\}$ ) and denote by  $(\rho_{ij}(z))$  the matrix of the Hermitian form  $\bar{\partial}\partial\rho(z)$  in the basis  $\{\omega_i\}$ . We assume that, for a suitable choice of  $\{\omega_i\}$  with  $C^2$ -coefficients and with  $\omega_N = \partial\rho$ , and for an integer  $q$  with  $1 \leq q < N$ , we have

$$\begin{aligned} (\rho_{ij}(z))_{ij \leq q} \leq 0, \quad (\rho_{ij}(z))_{q+1 \leq ij \leq N-1} \geq 0, \quad (\rho_{ij}(z))_{i \leq q, q+1 \leq j \leq N-1} = 0 \\ \forall z \in M \cap U. \end{aligned} \tag{1}$$

*Remark 1.* Put  $\mathcal{M}(z) = \text{span}\{\partial_{\omega_1}, \dots, \partial_{\omega_q}\}$ , then  $\mathcal{M}$  is a  $C^2$  majorant of the negative eigenspace  $\mathcal{M}_M^-$  of  $\bar{\partial}\partial\rho|_{\partial\rho^\perp}$ . Here, as in the following,  $\partial\rho^\perp$  is the complex hyperplane of  $\mathbb{C}^N$  orthogonal to  $\partial\rho$ . We shall also use in the following the notation  $\mathcal{M}_M^0$  and  $\mathcal{M}_M^+$  for the null and positive eigenspace respectively.

Note also that (1) is independent of the choice of the ‘defining’ function  $\rho$ .

Denote by  $s_M^\pm(z)$  the numbers of respectively positive and negative eigenvalues of the form  $\bar{\partial}\partial\rho(z)|_{\partial\rho^\perp(z)}$  and consider the condition

$$s_M^-(z) \equiv q \quad \forall z \in M \cap U. \tag{2}$$

LEMMA 2. Let  $\Omega$  be  $C^4$ . Then (2) is equivalent, in a suitable  $C^2$  basis  $\{\omega_i\}$ , to (1) with the additional requirement:  $(\rho_{ij}(z))_{ij \leq q} < 0$  (instead of  $\leq 0$ ).

Proof. Let  $\mu_1(z) \leq \mu_2(z) \leq \dots \leq \mu_{N-1}(z)$  be the eigenvalues of  $(\rho_{ij}(z))|_{\partial\rho(z)^\perp}$ . It is clear that

$$\mu_q(z) < 0, \quad \mu_{q+1}(z) \geq 0 \quad \forall z \in U.$$

Thus the eigenspace of the first  $q$  (resp. second  $N - 1 - q$ ) eigenvectors depend  $C^2$  on  $z$  and coincide with  $\mathcal{M}_M^-$  (resp.  $\mathcal{M}_M^0 \cup \mathcal{M}_M^+$ ).  $\square$

For ordered multi-indices  $J = (j_1 < \dots < j_k)$  of a given length  $|J| = k$ , we shall consider vectors  $w = (w_J)$ . For any permutation  $\sigma$  we shall also put  $w_{\sigma(J)} := \text{segn}(\sigma)w_J$ .

PROPOSITION 3. Assume (1). Then for a suitable  $\rho$  and with  $\phi(z) = -\log(-\rho)(z) + \lambda'|z|^2$  ( $\lambda'$  real positive), we get an exhaustion function of  $\Omega$  at  $z_0$  such that for suitable  $\lambda'$  and for any  $k \geq q + 1$ :

$$\sum'_{|K|=k-1} \sum'_{ij=1, \dots, N} \phi_{ij}(z)w_{iK}\bar{w}_{jK} - \sum'_{|J|=k} \sum_{i \leq q} \phi_{ii}(z)|w_J|^2 \geq \lambda|w|^2 \quad \forall z \in \Omega \cap U, \quad (3)$$

$\forall w \in \mathbb{C}^N$

(with a new  $\lambda > 0$  and where  $\sum'$  indicates the sum restricted to ordered indices).

Proof. We begin by solving this initial problem. In condition (3) the Levi form is evaluated at points of  $\Omega$ , whereas in the assumption (1) it is evaluated at  $\partial\Omega$ . To fill this gap we represent  $\partial\Omega$  as a graph  $x_N = h$  and consider the projection  $\Omega \rightarrow \partial\Omega$ ,  $z \mapsto z^*$  along the  $x_N$ -axis. For  $\rho = x_N - h$  we clearly have:

$$\partial\rho^\perp(z) = \partial\rho^\perp(z^*), \quad \bar{\partial}\partial\rho(z) = \bar{\partial}\partial\rho(z^*).$$

For this reason, (1) is in fact fulfilled also in  $\Omega$  (even though in this form it is no more intrinsic and depends on our particular choice of the defining function  $\rho$ ). Thus we shall forget  $z$  in the following and always suppose it ranges through  $\Omega$ .

We shall also use the notation  $\omega' = (\omega_1, \dots, \omega_{N-1})$ ,  $\omega_N = \partial\rho$ . Let  $\lambda_1 \leq \lambda_2 \leq \dots$  and  $\mu_1 \leq \mu_2 \leq \dots$  be the eigenvalues of  $\bar{\partial}\partial\phi$  and  $\bar{\partial}\partial\rho|_{\partial\rho^\perp}$  respectively. Since  $\bar{\partial}\partial\phi = |\rho|^{-1}\bar{\partial}\partial\rho + |\rho|^{-2}\bar{\omega}_N \wedge \omega_N + \lambda'\bar{\omega} \wedge \omega$ , then  $|\rho|^{-1}\mu_i + \lambda'$  are the eigenvalues of  $\bar{\partial}\partial\phi|_{\partial\rho^\perp}$ . Also it is clear that:

$$\sum'_{|K|=k-1} \sum'_{ij=1, \dots, N} \phi_{ij}(z)w_{iK}\bar{w}_{jK} \geq \left( \sum_{i=1, \dots, k} \lambda_i \right) |w|^2, \quad (4)$$

$$\sum'_{|J|=k} \sum_{i \leq q} \phi_{ii}(z)|w_J|^2 = \left( -\rho \right)^{-1} \left( \sum_{i \leq q} \mu_i \right) + \lambda'q \Big) |w|^2.$$

We claim that for a suitable  $c > 0$ ,

$$\sum_{i=1, \dots, k} \lambda_i - \rho^{-1} \sum_{i=1, \dots, q} \mu_i - \lambda'q \geq ((k - q)\lambda' - kc) =: \lambda. \tag{5}$$

(where in turn  $\lambda$  is positive for suitable  $\lambda'$ ). In fact:

$$\begin{aligned} \bar{\partial}\partial\phi &= (-\rho)^{-1}\bar{\partial}\partial\rho + \rho^{-2}\bar{\omega}_N \wedge \omega_N + \lambda'\bar{\omega} \wedge \omega \\ &= (-\rho)^{-1}\bar{\partial}'\partial'\rho + [\rho^{-2}\bar{\omega}_N \wedge \omega_N + 2(-\rho)^{-1}\Re e\bar{\partial}'\partial_{\omega_N}\rho + c|\omega'|^2] \\ &\quad - c\bar{\omega}' \wedge \omega' + \lambda'\bar{\omega} \wedge \omega. \end{aligned} \tag{6}$$

Now for suitable large  $c$  we can make the term between brackets ‘[.]’ in the second line of (6) to be positive. It follows:

$$\bar{\partial}\partial\phi \geq (-\rho)^{-1}\bar{\partial}'\partial'\rho - c\bar{\omega}' \wedge \omega' + \lambda'\bar{\omega} \wedge \omega. \tag{7}$$

Let  $\{N_k\}$  describe the family of complex  $k$ -dimensional planes in  $\mathbb{C}^N$ . We have:

$$\begin{aligned} \sum_{i=1, \dots, k} \lambda_i &= \inf_{N_k} \text{trace}(\bar{\partial}\partial\phi|_{N_k}) \\ &\geq \inf_{N_k} \text{trace}((( -\rho)^{-1}\bar{\partial}'\partial'\rho - c\bar{\omega} \wedge \omega + \lambda'\bar{\omega} \wedge \omega)|_{N_k}) \\ &\geq (k\lambda' - kc) + (-\rho)^{-1} \sum_{i=1, \dots, k} \mu_i. \end{aligned} \tag{8}$$

(where the central inequality is due to (7)). From (8) and (1) our claim (5) immediately follows. (5) and (4) imply in turn (3). The proof is complete.  $\square$

We shall consider forms  $f = \sum'_J f_J \bar{\omega}_J$  (resp.  $u = \sum'_K u_K \bar{\omega}_K$ ) of type  $(0, k)$  (resp.  $(0, k - 1)$ ). (Since all forms shall be understood to be antiholomorphic we shall only mention in the following their degree  $k$  instead of their type  $(0, k)$ .)

**THEOREM 4.** *Assume that in a  $C^2$  basis of  $\omega_i$ 's, (1) is fulfilled. Then there is a fundamental system of neighborhoods  $\{U\}$  of  $z_o$  such that if  $k(= \text{degree}(f)) \geq q + 1$  and  $\bar{\partial}f = 0$  in  $\overline{\Omega \cap U}$ , then the equation*

$$\bar{\partial}u = f \text{ is solvable in } C^\infty(\overline{\Omega \cap U'}) \text{ for any } U' \subset\subset U. \tag{9}$$

The proof will be given in many steps. For a real positive function  $\phi$  and for an integer  $k \geq 0$ , we define  $L^2_\phi(\Omega)^k$  to be the space of  $k$ -antiholomorphic forms  $f = \sum'_{|J|=k} f_J \bar{\omega}_J$  with  $\|f\|_\phi (:= (\int_\Omega e^{-\phi} |f_J|^2 dV)^{\frac{1}{2}}) < +\infty$  ( $dV =$  the Lebesgue measure on  $\mathbb{C}^N$ ,  $\{\omega_i\} =$  a basis over  $\mathbb{C}^N$ ). Here, as always,  $\sum'$  indicates the sum over ordered indices. We let  $\bar{\partial}$  act as a complex:

$$L^2_\phi(\Omega)^{k-1} \xrightarrow{\bar{\partial}} L^2_\phi(\Omega)^k \xrightarrow{\bar{\partial}} L^2_\phi(\Omega)^{k+1}. \tag{10}$$

We denote by  $\bar{\partial}^*$  (resp.  $\delta_{\omega_i}$ ) the adjoint of  $\bar{\partial}$  (resp.  $-\partial_{\omega_i}$ ) in the  $L^2_\phi(\Omega)$ -norm. We have

$$\begin{aligned} & \sum'_{|K|=k-1} \sum'_{ij=1,\dots,N} \int_\Omega e^{-\phi} (\delta_{\omega_i} f_{iK} \overline{\delta_{\omega_j} f_{jK}} - \partial_{\bar{\omega}_j} f_{iK} \overline{\partial_{\bar{\omega}_i} f_{jK}}) dV + \\ & + \sum'_{|J|=k} \sum_{i=1,\dots,N} \int_\Omega e^{-\phi} |\partial_{\bar{\omega}_i} f_J|^2 dV = \|\bar{\partial}^* f + R(f)\|_\phi^2 + \|\bar{\partial} f + R(f)\|_\phi^2 \quad (11) \\ & \forall f \in C_c^\infty(\Omega)^k, \end{aligned}$$

where  $R(f)$  is an error where no  $f_J$  is differentiated and which involves the derivatives of the coefficients of the  $\omega_i$ 's. Let (I) be the left side of (11). We then get

$$(I) \leq 2(\|\bar{\partial}^* f\|_\phi^2 + \|\bar{\partial} f\|_\phi^2) + \sigma_1^2 \|f\|_\phi^2 \quad \forall f \in C_c^\infty(\Omega)^k, \quad (12)$$

where  $\sigma_1$  denotes terms which can be estimated by the sup-norm of the first derivatives of the  $\omega_i$ 's over the support of  $f$ . (In the following we shall also use the notation  $\sigma_2$  for constants which can be estimated by the second derivatives.) If we introduce now a new  $\psi \geq 0$ , and replace (10) by:

$$L^2_{\phi-2\psi}(\Omega)^{k-1} \xrightarrow{\bar{\partial}} L^2_{\phi-\psi}(\Omega)^k \xrightarrow{\bar{\partial}} L^2_\phi(\Omega)^{k+1}, \quad (13)$$

we get:

$$\begin{aligned} (I) &= \|\partial^\psi \bar{\partial}^* f + R(f) + \partial\psi \cdot f\|_\phi^2 + \|\bar{\partial} f + R(f)\|_\phi^2 \\ &\leq 2(\|\bar{\partial}^* f\|_{\phi-2\psi}^2 + \|\bar{\partial} f\|_\phi^2) + \sigma_1^2 \|f\|_\phi^2 + 2\|\partial\psi \cdot f\|_\phi^2 \quad \forall f \in C_c^\infty(\Omega), \end{aligned} \quad (14)$$

where  $\partial\psi \cdot f := \sum'_K \sum_i \partial_{\omega_i} \psi f_{iK}$ . The main ingredient of the proof of Th. 4 is contained in the following

**PROPOSITION 5.** *For any orthonormal  $C^2$ -basis  $\{\omega_i\}$ , and with  $(\phi_{ij})$  denoting the matrix of  $\bar{\partial}\bar{\partial}\phi$  in such basis, we have*

$$\begin{aligned} & \sum'_{|K|=k-1} \sum_{ij=1,\dots,N} \int_\Omega e^{-\phi} \phi_{ij} f_{iK} \bar{f}_{jK} dV - \sum'_{|J|=k} \int_\Omega e^{-\phi} \phi_{ii} |f_J|^2 dV \\ & \leq 2(\|\bar{\partial}^* f\|_{\phi-2\psi}^2 + \|\bar{\partial} f\|_\phi^2 + \|\partial\psi \cdot f\|_\phi^2) + (\sigma_1^2 + \sigma_2) \|f\|_\phi^2 \quad \forall f \in C_c^\infty(\Omega)^k. \end{aligned} \quad (15)$$

*Proof.* We recall that

$$\begin{aligned} \delta_{\omega_i} &= -\partial_{\bar{\omega}_i}^*, \\ \delta_{\omega_i} \partial_{\bar{\omega}_j} - \partial_{\bar{\omega}_j} \delta_{\omega_i} &= \partial_{\bar{\omega}_j} \partial_{\omega_i} \phi + \sum_h c_{ji}^h \partial_{\omega_h} - \sum_h \bar{c}_{ij}^h \partial_{\bar{\omega}_h} \\ &= \phi_{ji} + \sum_h c_{ji}^h \delta_{\omega_h} - \sum_h \bar{c}_{ij}^h \partial_{\bar{\omega}_h}, \end{aligned} \quad (16)$$

where the terms  $c_{ji}^h$  involve the antiholomorphic derivatives of the coefficients of the  $\omega_i$ 's. We apply (16) to the terms in the first sums of (I) with  $i \neq j$  or  $i = j \geq q + 1$ . The remaining terms added to the second sum give

$$\sum'_{|K|=k-1} \sum_{i \leq q} \|\delta_{\omega_i} f_{iK}\|_{\phi}^2 + \sum'_{|J|=k} \sum_{i \geq q+1 \text{ or } i \notin J} \|\partial_{\bar{\omega}_i} f_J\|_{\phi}^2. \tag{17}$$

We also apply (16) to the terms in the second sums in (17) with  $i \leq q, i \notin J$ . Thus (17) becomes:

$$\sum'_{|J|=k} \sum_{i \leq q} \|\delta_{\omega_i} f_J\|_{\phi}^2 + \sum'_{|J|=k} \sum_{i \geq q+1} \|\partial_{\bar{\omega}_i} f_J\|_{\phi}^2 - \sum'_{|J|=k} \sum_{i \leq q, i \notin J} \int_{\Omega} e^{-\phi} \phi_{ii} |f_J|^2 dV.$$

Thus we get

$$\begin{aligned} & \left( \sum'_{|K|=k-1} \sum_{ij=1, \dots, N} \int_{\Omega} e^{-\phi} \phi_{ij} f_{iK} \bar{f}_{jK} dV - \sum'_{|J|=k} \sum_{i \leq q} \int_{\Omega} e^{-\phi} \phi_{ii} |f_J|^2 dV \right) + \\ & + \left( \sum'_{|J|=k} \sum_{i \leq q} \|\delta_{\omega_i} f_J\|_{\phi}^2 + \sum'_{|J|=k} \sum_{i \geq q+1} \|\partial_{\bar{\omega}_i} f_J\|_{\phi}^2 \right) \\ & \leq 2 \left( \|\bar{\partial}^* f\|_{\phi-2\psi}^2 + \|\bar{\partial} f\|_{\phi}^2 + \|\partial \psi\|_{\phi}^2 \right) + \sigma_1^2 \|f\|_{\phi}^2 + \\ & + \left( \sum'_K \sum_{hij} \left| \int_{\Omega} e^{-\phi} c_{ji}^h \delta_{\omega_h}(f_{iK}) \bar{f}_{jK} dV \right| + \sum'_K \sum_{hij} \left| \int_{\Omega} e^{-\phi} \bar{c}_{ij}^h \partial_{\bar{\omega}_h}(f_{iK}) \bar{f}_{jK} dV \right| \right). \end{aligned} \tag{18}$$

Let us denote by  $A, B, C, D$ , the four lines in (18). To get a good estimation for  $D$  we remark that:

$$\int_{\Omega} e^{-\phi} c_{ji}^h \delta_{\omega_h} f_{iK} \bar{f}_{jK} dV = - \int_{\Omega} e^{-\phi} c_{ji}^h f_{iK} \overline{\partial_{\bar{\omega}_h} f_{jK}} dV - \int_{\Omega} e^{-\phi} \partial_{\omega_h}(c_{ji}^h) f_{iK} \bar{f}_{jK} dV. \tag{19}$$

It follows:

$$D \leq \sigma_1 \|f\|_{\phi} \left( \frac{B}{2} \right)^{\frac{1}{2}} + \sigma_2 \|f\|_{\phi}^2 \leq \frac{B}{2} + (\sigma_1^2 + \sigma_2) \|f\|_{\phi}^2. \tag{20}$$

Then the conclusion follows. □

Let us denote by  $D_{\bar{\partial}^*}$  and  $D_{\bar{\partial}}$  the domains of  $\bar{\partial}^*$  and  $\bar{\partial}$  respectively defined by (13).

**PROPOSITION 6.** *Let  $\Omega$  be bounded and endowed with an exhaustion function which satisfies (3) ( $\forall z \in \Omega$ ) in a suitable basis of  $\omega_i$  over  $\bar{\Omega}$ . Then if  $k \geq q + 1$  and for a new  $\phi$*

and a suitable  $\psi$ , we have:

$$\|f\|_{\phi-\psi}^2 \leq \|\bar{\partial}^* f\|_{\phi-2\psi}^2 + \|\bar{\partial} f\|_{\phi}^2 \quad \forall f \in D_{\bar{\partial}} \cap D_{\bar{\partial}^*}. \tag{21}$$

Moreover for any compact subset  $K \subset\subset \Omega$ , we may choose  $\psi|_K \equiv 0$  and  $\phi|_K \equiv (2 + \sigma_1^2 + \sigma_2)|z|^2$ .

*Proof.* We choose  $\psi$  according to [5, Lemma 4.1.3]; (in particular,  $\forall K$ , we can choose  $\psi|_K \equiv 0$ ). This ensures density of  $C_c^\infty$  into  $L^2$ -forms.

We then take an exhaustion function  $\phi$  for  $\Omega$  which satisfies (3)  $\forall z \in \Omega$ . We go back to (15) of Proposition 5; this holds now for  $L^2$  instead of  $C_c^\infty$  forms. Moreover, in the present situation the left side is larger than  $\lambda\|f\|_{\phi}^2$  for some constant  $\lambda > 0$  independent of  $K$ . Let  $c \geq \phi|_K$ ; we replace the above  $\phi$  by  $\chi(\phi) + (2 + \sigma_1^2 + \sigma_2)|z|^2$ , where  $\chi$  is a positive convex function of a real argument  $t$  which satisfies:

$$\begin{aligned} \chi(t) &\equiv 0, && \text{for } t \leq c, \\ \chi(t) &\geq \sup_{\{z:\phi(z) \leq t\}} \frac{2(|\partial\psi|^2 + e^{-\psi})}{\lambda}, && \text{for } t \geq c. \end{aligned} \tag{22}$$

Under this choice of  $\phi$  and  $\psi$ , (21) clearly follows. □

With the conclusions of Proposition 6 at our disposal, the rest of the proof of Theorem 4 can be carried out along classical lines. First we need to translate the basic estimate (21) into two results on existence and regularity of solutions of the system  $(\bar{\partial}, \bar{\partial}^*)$ . For their proof we give [5, Lemma 4.41 and Th. 4.2.5] as general reference and [13, Prop. 2.1 and Prop. 2.2] for a specific proof. We shall denote by  $m = m(z)$  the (strictly plurisubharmonic) function  $m = (2 + \sigma_1^2 + \sigma_2)|z|^2$ . We shall denote by  $\bar{\partial}$  (resp.  $\bar{\partial}^*$ ) the  $\bar{\partial}$ -complex (resp. its adjoint) over  $L_m^2(\Omega)$ -forms.

**PROPOSITION 7.** *Let  $\Omega$  be bounded, assume (3)  $\forall z \in \Omega$  in a  $C^2$  basis of  $\omega_i$ , and let  $k \geq q + 1$ . Then for any  $f \in L_m^2(\Omega)^k$  with  $\bar{\partial} f = 0$  there exists  $u \in L_m^2(\Omega)^{k-1}$  such that*

$$(\bar{\partial} u = f, \bar{\partial}^* u = 0), \quad \|u\|_m^2 \leq \|f\|_m^2. \tag{23}$$

Let  $\|\cdot\|_{(s)}$  denote the norm of the Sobolev space  $W^s(\Omega)$  of index  $s$ . Let  $\Omega_\varepsilon = \{z \in \Omega | \text{dist}(z, \partial\Omega) > \varepsilon\}$ .

**PROPOSITION 8.** *Let  $\Omega$  be bounded, suppose (3) be satisfied  $\forall z \in \Omega$  in a suitable basis of  $\omega_i$ , and let  $k \geq q + 1$ . Then for any  $f \in C^\infty(\Omega)^k$  with  $\bar{\partial} f = 0$  there is  $u \in C^\infty(\Omega_\varepsilon)$  such that for any  $s > 0$  and for suitable  $S_s > 0$  (independent of  $f$ ):*

$$(\bar{\partial} u = f, \bar{\partial}^* u = 0), \quad \|u\|_{(s+1)} \leq \frac{S_s}{\varepsilon^{s+1}} \|f\|_{(s)}, \tag{24}$$

where the norm of  $f$  and  $u$  are over  $\Omega$  and  $\Omega_\varepsilon$  respectively.

*End of Proof of Theorem 4* (cf. Dufresnoy [2]). We choose a decreasing sequence of domains  $\dots, \Omega_\nu \supset \Omega_{\nu+1} \dots \supset \Omega$  which inherit from  $\Omega$  the property (1) (and hence, if

they are small enough, (3)), and require that for  $\eta$  with  $0 < \eta < \frac{1}{2}$  we have  $\eta^{2^{v+1}} < \text{dist}(\partial\Omega_v, \partial\Omega) < (\eta^{2^v}/2)$ . For instance, if  $\Omega$  is defined in a neighbourhood of  $z_o$  by  $x_N - h < 0$ , we can define  $\Omega_v$  by  $x_N - h < (\eta^{2^v}/2)$ . Let  $U$  (resp.  $U_v$ ) be the sphere with center  $z_o$  and radius  $\sigma$  (resp.  $\sigma + (\eta^{2^v}/2)$ ). we consider the functions

$$\phi_v = -\log\left(-x_N + h + \frac{\eta^{2^v}}{2}\right) + \lambda|z|^2 - \log\left(-|z - z_o|^2 + \left(\sigma + \frac{\eta^{2^v}}{2}\right)^2\right).$$

Clearly the functions  $\phi_v$  verify (3) for a smooth basis of  $\omega_i$ 's on  $\overline{\Omega_v \cap U_v}$ . Let  $f$  be a smooth form in  $\overline{\Omega \cap U_{v_o}}$  for  $v_o$  large. To solve the equation  $\bar{\partial}u = f$  in  $\bar{\Omega} \cap U$ , we first extend  $f$  to  $\tilde{f}$  in  $\Omega_v$ ,  $v \geq v_o$  such that  $\tilde{f}$  is still  $C^\infty$  and

$$\|\bar{\partial}\tilde{f}|_{\Omega_v \cap U_v}\|_{(s)} \leq C_{S,S} \eta^{2^v S} \tag{25}$$

for any  $S$  and for suitable  $C_{S,S}$ . (This is clearly possible because  $\bar{\partial}\tilde{f}| \equiv 0$  on  $\Omega$ .) On account of Proposition 8, we take solutions  $h_v$  over  $\Omega_v \cap U_v$  of

$$\begin{cases} \bar{\partial}h_v = \bar{\partial}\tilde{f} \\ \|h_v|_{\Omega_{v+1} \cap U_{v+1}}\|_{(s+1)} \leq S_s (\eta^{2^{v+1}})^{-(s+1)} \|\tilde{f}\|_{(s)}, \end{cases} \tag{26}$$

a solution  $\alpha_1$  of  $\bar{\partial}\alpha_1 = \tilde{f} - h_1$ , and finally solutions  $\alpha_{v+1}$  of

$$\begin{cases} \bar{\partial}\alpha_{v+1} = h_v - h_{v+1} \\ \|\alpha_{v+1}\|_{(s+2)} \leq S_{s+1} (\eta^{2^{v+2}})^{-(s+2)} \|h_v - h_{v+1}\|_{(s+1)} \leq C_{S,S} (\frac{1}{2})^v, \end{cases}$$

(for  $S$  and  $v$  large). This is clearly possible by recalling (25), (26). It follows that the series  $\sum_v \alpha_v$  converges in  $C^\infty(\bar{\Omega} \cap \bar{U})$  and solves  $\bar{\partial}(\sum_v \alpha_v) = \tilde{f} - \lim_v h_v = \tilde{f}$ . This completes the proof of Theorem 4. □

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