The sensitivity of parameterization schemes in thermodynamic modeling of the landfast sea ice in Prydz Bay, East Antarctica

Changwei Liu, Guanghua Hao, Yubin Li, Jiechen Zhao, Ruibo Lei, Bin Cheng, Zhiqiu Gao, and Qinghua Yang

Abstract

Based on the measurements conducted over the landfast sea ice in Prydz Bay, East Antarctica during the sea-ice growth season in 2016, various parameterization schemes in the high-resolution thermodynamic snow/ice model HIGHTSI are evaluated. The parameterization scheme of turbulent fluxes produces the largest errors compared with the parameterization schemes for other surface heat fluxes. However, the sea-ice thickness simulation is most sensitive to the differences in upward longwave radiation at the surface. In addition, the sea-ice thickness simulation during the growth season is highly sensitive to the oceanic heat flux, and a new oceanic heat flux parameterization scheme based on the bulk method is proposed. The new parameterization scheme is tested in a second year, and it significantly improves the model performance relative to the standard configuration when compared against observations. Finally, the seasonal variation in the heat budget and its influence on the sea-ice thickness variation are analyzed. The net shortwave radiation, sensible heat flux and conductive heat flux (the net longwave radiation and latent heat flux) are found to be the surface heat sources (heat sinks) during the growth season. The larger conductive heat flux and the smaller oceanic heat flux can intensify the growth of sea ice.

1. Introduction

The response of the variation in Antarctic sea ice to global climate change has attracted increasing attention in recent decades. However, researchers still struggle to understand the mechanism responsible for the variation in Antarctic sea ice at the interannual scale, and current climate models exhibit an unsatisfactory performance when simulating Antarctic sea-ice variations (Turner and Comiso, 2017; Shu and others, 2020). As an important component of the Antarctic climate system, landfast sea-ice accounts for 35% (5%) of the total Antarctic sea-ice extent in summer (winter) (Fraser and others, 2012). In East Antarctica, the contribution of landfast sea ice to the sea-ice mass balance is greater than the drifting sea ice (Giles and others, 2008). The existence of landfast sea ice can reduce the energy exchange between the ocean and atmosphere, thereby affecting the regional atmospheric circulation and ecosystem.

Thermodynamic processes dominate the annual evolutionary cycle of landfast sea-ice thickness (hₗ) because of its immobility (Dumas and others, 2005). Accordingly, some previous studies have tested the sensitivity of the evolution of the Antarctic landfast sea-ice thickness to various thermodynamic processes using thermodynamic numerical models. For example, Heil and others (1996) concluded that the sea-ice evolution is most sensitive to the oceanic heat flux, snow cover and albedo. In contrast, Yang and others (2016a) pointed out that the albedo is important in summer and that snow cover plays a minor role in Prydz Bay because of the effect of redistribution by wind. By comparing the evolution of first-year sea ice with that of second-year sea ice in a small scale (~10 km) landfast sea-ice zone, Zhao and others (2017) found that the conductive heat flux led to the differences in the evolution of first- and second-year sea ice because of the differences in sea-ice thickness. Nevertheless, although the sensitivities of some thermodynamic processes were tested in these studies by altering the corresponding parameterization scheme to force the model, evaluations of the parameterization schemes of thermodynamic processes remain limited due to the scarcity of observations, especially for the observations of turbulence and radiation fluxes over the sea ice; hence, the influence of the differences between parameterized and observed parameters on the simulation of sea-ice mass balance need to be better understood.

In particular, due to the sparsity of oceanic heat flux observations, the oceanic heat flux is the least documented parameter in thermodynamic studies of sea ice. In the Arctic, an oceanic heat flux of 2 W m⁻² is widely considered a reasonable annual basin-averaged value during...
2.1 Site and instruments

2.2 Data and methods

2.2.1 Model description and initial setup

The growth and ablation of landfast sea ice are controlled mainly by thermodynamic processes (Williams and others, 2014). Hence, in this study, to simulate the landfast sea-ice mass balance, we choose a vertical 1-D thermodynamic snow and sea-ice model, i.e. the high-resolution thermodynamic snow/ice (HIGHTSI) model (Launiainen and Cheng, 1998). To date, this model is widely used as a tool to investigate the influence of sea-ice properties or synoptic process on the simulation of sea-ice mass balance in the Antarctica and Arctic (Cheng and others, 2013; Zhao and others, 2017; Merkouriadi and others, 2017, 2020). The main thermodynamic processes and corresponding parameterization schemes, as well as their initial settings included in the HIGHTSI model are summarized in Table 2. Five input variables: wind speed (U), air temperature (T_a), relative humidity (R_h), cloudiness (C_n) and precipitation (P) were initially used to force the model. Note that HIGHTSI does not consider the effects of blowing snow on the snow depth, even though blowing snow may play an important role in local snow accumulation. Hence, to eliminate the uncertainty of the snow depth simulation, we use the observed snow depth instead of precipitation to force the model. The initial sea-ice thickness and snow depth input for modeling are 0.53 and 0.23 m, respectively, which are observed on 29 April 2016.

As shown in Fig. 2a, the maximum 6 hourly averaged wind speed attains 13.7 m s^{-1}, and the mean wind speed is 4.1 m s^{-1}. The mean air temperature is −16.8°C, and the 6 hourly averaged maximum and minimum surface temperatures are −1.6°C and −47.1°C, respectively (Fig. 2b). For the surface temperature, which is derived from measured longwave radiation with the Stefan-Boltzmann relation (e.g. Vihma and others, 2009; Yang and others, 2016b), the mean value is −18.6°C. The 6 hourly averaged maximum and minimum surface temperatures are −3.8°C and −47.8°C, respectively (Fig. 2b). The halffourly averaged surface temperature is first observed to attain 0°C on 31 October. It is noted that the surface temperature refers to the surface skin temperature regardless of the bare sea-ice surface or snow surface. For the relative humidity (Fig. 2c), the mean value is 57.1%. Figure 2d shows the variation in cloudiness observed visually every 6 h. For the whole observation period, the proportions of C_n ≥ 0.1 and C_n ≥ 0.9 are 38.1 and 44.0%, respectively. Since the time step of the
HIGHTSI model is set to 30 min in this study, we interpolate the observed cloudiness every 6 h in half-hour increments. Figure 2e describes the variation in snow depth. The snow depth is maintained at ∼0.2 m before heavy precipitation occurred on 11 July, due to which the snow depth sharply increases to a maximum of 0.67 m; then, the snow depth gradually decreases until 15 August. From 1 September to 31 October, the accumulated snow shows a slight decreasing trend, and the snow depth attains 0.12 m at the end of the observation period. As shown in Fig. 2e, the precipitation is concentrated in June (25.0 mm) and July (44.1 mm), and the maximum daily precipitation of 19.2 mm occurred on 11 July.

2.2.2 Design of controlled trials
To evaluate the parameterization schemes of different thermodynamic processes in the HIGHTSI model, we directly compare

Table 1. Key technical specifications and installation information of the sensors

<table>
<thead>
<tr>
<th>Sensor type</th>
<th>Measurement range</th>
<th>Precision</th>
<th>Sampling frequency (Hz)</th>
<th>Installation height (m)</th>
</tr>
</thead>
<tbody>
<tr>
<td>HMP155</td>
<td>$T_a$: -80 to +60°C</td>
<td>$\pm(0.226 - 0.0028 \times T_a)\degree C$ in $-80$ to $+20\degree C$ $\pm(1.2 + 0.012 \times R_h)%$ in $-40$ to $-20\degree C$ $\pm(1 + 0.008 \times R_h)%$ in $-20$ to $+40\degree C$</td>
<td>0.1</td>
<td>2</td>
</tr>
<tr>
<td>CS106</td>
<td>$P_a$: 500–1100 hPa</td>
<td>$\pm 1.5$ hPa in $-40$ to $+60\degree C$</td>
<td>0.1</td>
<td>0.5</td>
</tr>
<tr>
<td>CNR4</td>
<td>Shortwave: 0.3–2.8 μm</td>
<td>$u$: -30 to +30 m s$^{-1}$ $v$: -60 to +60 m s$^{-1}$ $w$: -8 to +8 m s$^{-1}$</td>
<td>0.1</td>
<td>1.5</td>
</tr>
<tr>
<td>IRGASON</td>
<td>Longwave: 4.5–42 μm</td>
<td>$T_{sonic}$: ±0.025°C $u$, $v$: ±0.08 m s$^{-1}$ $w$: ±0.04 m s$^{-1}$ $q$: ±0.006 mmol mol$^{-1}$</td>
<td>10</td>
<td>2</td>
</tr>
<tr>
<td>SR50A</td>
<td>$h$: 0–10 m</td>
<td>$\pm 0.001$ m</td>
<td>0.1</td>
<td>1</td>
</tr>
<tr>
<td>Cond 3210 SET1 (manually deployed)</td>
<td>$T_{os}$: -5 to +105°C</td>
<td>$\pm 0.1$°C</td>
<td>Once a week</td>
<td>~0.1</td>
</tr>
</tbody>
</table>

Fig. 1. (a) Geographical location of the observation site (red dot), (b) the local topography and (c) the meteorological tower at the observation site.
the results of solar radiation ($Q_s$), albedo ($\alpha$), downward longwave radiation ($Q_d$), upward longwave radiation ($Q_b$), sensible heat flux ($Q_h$) and latent heat flux ($Q_l$) from parameterization schemes with the corresponding observations. Then, we analyze the sensitivities of the simulation of sea-ice thickness to the differences between the parameterizations and observations through controlled trials. In the control groups, all the thermodynamic processes in the HIGHTSI model are described by parameterization schemes. In the experimental groups, we prescribe (rather than parameterizing) one variable using our observations to force the model. For example, to evaluate the influence of the differences between the parameterized and observed solar radiation on the sea-ice thickness simulation, we compare the simulation results from the HIGHTSI model forced by observed $U$, $T_a$, $R_h$, $C_n$, $h$, and $Q_s$ (the control group) with those forced by observed $U$, $T_a$, $R_h$, $C_n$, $h$, and $Q_s$ (the experimental group). Analogously, the design of the other control trials is described in Table 3. It should be noted that we use observed snow depth to force the model, the effects of thermodynamic processes on snow melting will be ignored, which may affect the reliability of sensitivity tests. However, during the sea-ice growth season the influence of thermodynamic processes on determining snow depth is negligible and the conclusion drawn from sensitivity studies by using observed snow depth will not be unreliable because of eliminating the feedback of thermodynamic processes on snow depth. In addition, to further understand the effects of the oceanic heat flux on the sea-ice thickness simulation, we compare the simulation results with different oceanic heat flux values, i.e. exp. F1 ($Q_w =$ 964 Changwei Liu and others

### Table 2. Various parameterization schemes that can be used in the HIGHTSI model

<table>
<thead>
<tr>
<th>Thermodynamic parameter</th>
<th>Parameterization schemes</th>
<th>Initial settings</th>
</tr>
</thead>
<tbody>
<tr>
<td>Solar radiation ($Q_s$)</td>
<td>Sh84 scheme (Shine, 1984)</td>
<td>–</td>
</tr>
<tr>
<td>Albedo ($\alpha$)</td>
<td>PW79 scheme (Parkinson and Washington, 1979)</td>
<td>Pe96 scheme</td>
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<tr>
<td></td>
<td>SMHI scheme (Vancoppenolle and others, 2009)</td>
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<td></td>
<td>HIRHAM scheme (Dethloff and others, 1996)</td>
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<td>REMO scheme (Jacob and others, 2001)</td>
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<td></td>
<td>HadCM3 scheme (Gordon and others, 2000)</td>
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<td></td>
<td>FB96 scheme (Flato and Brown, 1996)</td>
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<tr>
<td></td>
<td>ARCMYN scheme (Lynch and others, 1995)</td>
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<tr>
<td></td>
<td>CCSM3 scheme (Briegleb and others, 2004)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Pe96 scheme (Perovich, 1996)</td>
<td></td>
</tr>
<tr>
<td>Downward longwave radiation ($Q_d$)</td>
<td>Ku61 scheme (Kuzmin, 1961)</td>
<td>Gu98 scheme</td>
</tr>
<tr>
<td></td>
<td>Ef61 scheme (Efimova, 1961)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Sw63 scheme (Swinbank, 1963)</td>
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<td></td>
<td>UJ69 scheme (Idso and Jackson, 1969)</td>
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<td></td>
<td>MCT3 scheme (Maykut and Church, 1973)</td>
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<td></td>
<td>Br75 scheme (Brustaert, 1975)</td>
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<td></td>
<td>Sa79 scheme (Satterlund, 1979)</td>
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<td></td>
<td>Oh81 scheme (Ohmura, 1981)</td>
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<tr>
<td></td>
<td>Id81 scheme (Idso, 1981)</td>
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<tr>
<td></td>
<td>AA82 scheme (Andreas and Ackley, 1982)</td>
<td></td>
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<td></td>
<td>Pr96 scheme (Prata, 1996)</td>
<td></td>
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<tr>
<td></td>
<td>Gu98 scheme (Guest, 1998)</td>
<td></td>
</tr>
<tr>
<td>Upward longwave radiation ($Q_b$)</td>
<td>Stefan–Boltzmann law</td>
<td>–</td>
</tr>
<tr>
<td>Sensible heat flux ($Q_h$) and latent heat flux ($Q_l$)</td>
<td>Bulk method (Launiainen and Cheng, 1995)</td>
<td>–</td>
</tr>
<tr>
<td>Thermal conductivity of snow ($k_i$)</td>
<td>SI97 scheme (Sturm and others, 1997)</td>
<td>–</td>
</tr>
<tr>
<td>Thermal conductivity of sea ice ($k_s$)</td>
<td>MU71 scheme (Maykut and Untersteiner, 1971)</td>
<td>–</td>
</tr>
<tr>
<td>Oceanic heat flux ($Q_w$)</td>
<td>15 W m$^{-2}$ (Yang and others, 2016a)</td>
<td>–</td>
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</tbody>
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**Fig. 2.** Time series of the observed 6 hourly averaged (a) wind speed, (b) air temperature, (c) relative humidity, (d) cloudiness, (e) snow depth and (f) daily precipitation during the whole observation period.

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5 W m$^{-2}$, exp. F2 ($Q_w = 15$ W m$^{-2}$) and exp. F3 ($Q_w = 25$ W m$^{-2}$). These values of $Q_w$ are in the typical range of $Q_w$ reported by previous studies in Antarctica (Gordon and Huber, 1990; Heil and others, 1996; Lei and others, 2010; Yang and others, 2016a; Zhao and others, 2019).

### 2.3 Calculations of sea-ice thickness in the HIGHTSI model

The total sea-ice thickness change is determined by the sea-ice evolution at the surface and bottom. At the surface, the melting dominated the change of snow or sea-ice thickness, and it can be expressed as (Launiainen and Cheng, 1998):

$$Q_m = - \rho_i L_i \left( \frac{dh_i}{dt} \right)_{st,}$$

where $Q_m$ is the excess energy consider to melt the snow or sea ice when the surface temperature exceeds the melting point, $\rho_i$ is the sea-ice density, $L_i$ is the latent heat of freezing and $(dh_i/dt)_{st}$ is the snow or sea-ice thickness change at the surface.

At the sea-ice bottom, the sea-ice thickness change can be calculated as:

$$- \rho_i L_i \left( \frac{dh_i}{dt} \right)_{bot} = \left( -k_i \frac{\partial T_i}{\partial z} \right)_{bot} + Q_w,$$

where $(dh_i/dt)_{bot}$ is the sea-ice thickness change at the bottom, $k_i$ is the thermal conductivity of sea-ice, $(\partial T_i/\partial z)_{bot}$ is the temperature gradient at the bottom and $Q_w$ is the oceanic heat flux. Finally, the total sea-ice thickness change $(dh_i/dt)_{tot}$ can be derived according to:

$$(dh_i/dt)_{tot} = (dh_i/dt)_{st} + (dh_i/dt)_{bot},$$

### 2.4 Parameterizing the oceanic heat flux

In the HIGHTSI model, the ocean mixed-layer model is not included and the oceanic heat flux is set as a constant. However, some previous studies reported that the oceanic heat flux in the landfast sea-ice zone in Antarctica exhibited significant seasonal variation (Heil and others, 1996; Lei and others, 2010; Yang and others, 2016a; Zhao and others, 2019). Hence, we consider presenting a modified parameterization scheme for the oceanic heat flux. The oceanic heat flux can be accurately expressed as:

$$Q_w = \rho_w c_{pw} \left( w_i^* T_{aw} \right),$$

where $\rho_w$ and $c_{pw} \left( = 3980 \right)$ kg$^{-1}$ K$^{-1}$, Ackley and others, 2015) are the density and specific heat capacity of sea water respectively, and $(w_i^* T_{aw})$ is the vertical turbulent heat flux of sea water, which can be obtained by using acoustic Doppler velocimetry combined with conductivity, temperature and depth measurements. However, we had not measured ocean turbulence or velocity below the sea ice. To obtain the $Q_w$ without turbulent measurements, McPhee and others (1999) used the bulk method to estimate $(w_i^* T_{aw})$:

$$(w_i^* T_{aw}) = C_{bl} u_{aw} (T_{aw} - T_w),$$

where $C_{bl}$ is the bulk transfer coefficient for heat in the sea, and the reference value is 0.0057 (Kirillov and others, 2015); $T_{aw}$ and $T_w$ are the sea-water temperature beneath the sea ice and the temperature of the sea-ice bottom, respectively, where $T_w$ is set as $-1.8$°C according to Heil and others (1996); $u_{aw}$ is the frictional velocity beneath the sea ice. For the landfast ice, the quadratic resistance law can be used to describe the relationship between $u_{aw}$ and the velocity of sea water ($U_w$) according to Jenkins and others (2010):

$$u_{aw}^2 = c_w U_w^2,$$

where $c_w$ is a dimensionless drag coefficient, and the reference value is 0.0055 (Hibler, 1979). Unfortunately, we lack the observations on $U_w$. To simplify the oceanic heat flux without $U_w$ observations in the HIGHTSI model, we suppose that:

$$Q_w = k_c (T_{aw} - T_w),$$

where $k_c$ is the empirical coefficient. As shown in Eqsns (4–6), $k_c$ should be related to the current velocity, density and heat capacity of sea water. In this study, $k_c$ is assumed to be a constant. To determine the best possible value of $k_c$, the HIGHTSI model is run with

<table>
<thead>
<tr>
<th>Table 3. Design of the controlled trials</th>
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<tbody>
<tr>
<td><strong>Factor</strong></td>
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<tr>
<td>---</td>
</tr>
<tr>
<td>$Q_s$</td>
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<tr>
<td></td>
</tr>
<tr>
<td>$\alpha$</td>
</tr>
<tr>
<td>$Q_d$</td>
</tr>
<tr>
<td>$Q_b$</td>
</tr>
<tr>
<td></td>
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<tr>
<td>$Q_s$ and $Q_w$</td>
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<td></td>
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<tr>
<td>$Q_w$</td>
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<td></td>
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<tr>
<td>$k_c$</td>
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</table>
a step of 0.1 W m\(^{-2}\) C\(^{-1}\) within the range from 0 to 50 W m\(^{-2}\) C\(^{-1}\) of \(k_c\). It should be noted that all the surface thermodynamic processes are prescribed based on the observations in these experiments. Then the optimal value of \(k_c\) can be identified when the minimum bias between the modeled and observed sea-ice thicknesses is obtained. Hence, \(k_c\) is a tuning parameter.

3. Results and discussion

3.1 Evaluation of the solar radiation parameterization scheme

In the HIGHTSI model, the \(Q_s\) parameterization scheme for a clear sky was proposed by Shine (1984), and a cloudiness factor of \((1 - 0.52 C_n)\) is used for cloudy conditions (Bennett, 1982). As shown in Fig. 3a, the parameterization scheme of \(Q_s\) can accurately reproduce the observations during the period from May to September when the \(Q_s\) is relatively small. However, the parameterized \(Q_s\) is underestimated in some periods after September. This is partially caused by the uncertainty in the visually observed cloudiness because it is observed at Zhongshan station, ∼1 km away from our sea-ice measurement site. In addition, the use of empirical cloudiness factor of \((1 - 0.52 C_n)\) may be another cause for the underestimation of \(Q_s\), for example, the cloudiness factor of \((1 - 0.6C_n)\) proposed by Parkinson and Washington (1979) produces larger solar radiation reaching to the surface when \(C_n < 0.94\). Figure 3b presents the observed and parameterized daily maximum \(Q_s\), revealing that the parameterized daily maximum \(Q_s\) is underestimated after mid-October.

To quantitatively understand the influence of the differences between the parameterized and observed \(Q_s\) on the sea-ice thickness simulation, Fig. 3c compares the sea-ice thickness simulated by the parameterized \(Q_s\) with that simulated by the observed \(Q_s\), which the results demonstrate are very similar to each other. As shown in Fig. 3d, the relative difference, defined as the ratio of the difference between the sea-ice thickness simulated by the parameterized \(Q_s\) and that simulated by the observed \(Q_s\) to the sea-ice thickness simulated by the observed \(Q_s\) (a similar definition is used for the following variables), is <0.1% before October but increases to a maximum of 0.36% at the end of October. While the absolute difference, defined as the sea-ice thickness simulated by the parameterized \(Q_s\) minus the sea-ice thickness simulated by the observed \(Q_s\) (a similar definition is used for the following variables), does not exceed \(6 \times 10^{-3}\) m during the whole observation period. These results indicate that the effects of the differences between the parameterized \(Q_s\) and observed \(Q_s\) on the sea-ice thickness simulation are negligible in the HIGHTSI model during the observation period. Figure 3c also presents the observed sea-ice thicknesses obtained by borehole measurements. The observed sea-ice thickness is 0.53 m at the beginning of the observation period and gradually grows to a maximum of 1.82 m by 18 October 2016. Comparing with the simulated sea-ice thickness by the HIGHTSI model under initial settings (equivalent to the sea-ice thicknesses obtained by borehole measurements). The observed sea-ice thickness is 0.53 m at the beginning of the observation period and gradually grows to a maximum of 1.82 m by 18 October 2016. Comparing with the simulated sea-ice thickness by the HIGHTSI model under initial settings.
thickness simulated by the parameterized $Q_s$, it is clear that the simulation results are consistent with observations before mid-June, but they are significantly underestimated after mid-June. The differences between the simulation results and observations tend to increase with time.

3.2 Evaluation of albedo parameterization schemes

There are nine parameterization schemes for $\alpha$ in the HIGHTSI model (as shown in Table 2). Figure 4a presents the parameterized $\alpha$ and the observed monthly mean $\alpha$ when the observed daily maximum $Q_s > 20 \text{ W m}^{-2}$. The std dev. of observed monthly mean albedo is $\sim 0.06$ for each month. According to the variation in the observed monthly mean $\alpha$, the maximum of 0.86 appears before August 2016, and the observed monthly mean $\alpha$ gradually decreases from July to October, which is related to the metamorphism of the snow cover. Moreover, the parameterization result of 0.86 from the REMO scheme is more consistent with the observations before August compared with those from the other schemes; however, in August and September, the Pe96 scheme and SMHI scheme perform better, respectively, and the HadCM3 scheme performs better than the other schemes in October. Generally, the current version of the HIGHTSI model cannot accurately describe the seasonal variation in $\alpha$ over snow surface by using a single parameterization scheme.

Furthermore, we examine the influence of the parameterized $\alpha$ on the sea-ice thickness simulation. Figures 4b, c present the relative differences and the absolute differences in the sea-ice thickness simulated using different $\alpha$ parameterization schemes compared with that simulated using the observed $\alpha$. The relative differences of all parameterization schemes are small before September but show considerable diversity after September. The relative differences of the CCSM3 scheme maintain a continuous increase after September, and the increase is the largest among all the schemes, attaining 3.5% at the end of the observation period. In contrast, the relative differences of the HadCM3 scheme are maintained at $<0.3\%$ during the whole observational period, indicating that the HadCM3 scheme is the best choice for parameterizing $\alpha$ in the HIGHTSI model. It can also be found that the PW79, HIRHAM, HadCM3, FB96, ARCSYM and CCSM3 schemes produce negative absolute differences after September, while the absolute differences of SHMI, Perovich and REMO schemes are positive. In addition, Yang and others (2016a) concluded that the simulation of sea-ice thickness is very sensitive to the setting of the $\alpha$ parameterization scheme in the HIGHTSI model during the melting season. However, our results show relatively low sensitivity of the sea-ice thickness simulation to the $\alpha$ parameterization scheme because our experiment is carried out mainly during the growth season of sea ice, when $Q_s$ is relatively small.

Fig. 4. Comparison of albedo between the observed and parameterized results, and (b) the relative differences and (c) absolute differences in the sea-ice thickness simulated using various albedo parameterization schemes against that simulated using the observed albedo.
3.3 Evaluation of the longwave radiation parameterization schemes

The longwave radiation budget plays an important role in the surface heat balance, especially during winter when the shortwave radiation is relatively weak. However, the inaccuracy in estimating the atmospheric longwave radiation $Q_d$ even under clear-sky conditions tends to be considerable (Launiainen and Cheng, 1998). In the HIGHTSI model, there are 12 parameterization schemes for determining $Q_d$. The cloudiness factor of $(1 + 0.26C_n)$ is used for cloudy conditions in Ef61, Sw63, Br75, Oh81, Id81, AA82, Pr96 and Gu97 schemes (Jacobs, 1978), and the cloudiness factors of $(1 + 0.12C_n)$, $(1 + 0.275C_n)$, $(1 + 0.2232C_n^{2.75})$ and $(1 + 0.2C_n^{2})$ are used in Ku61, IJ69, MC73 and Sa79 schemes, respectively. Figure 5 compares the observed $Q_d$ and parameterized $Q_d$ obtained by these schemes. The bias, which is defined as $|\text{observed} - \text{parameterized}|/m$ (m is the number of samples), reflects the absolute difference between observations and parameterizations. Our results show that the Pr96 scheme produces the smallest bias, but the bias from the Br75 scheme is the largest.

To evaluate the consistency of variation, the correlation coefficient ($R$) is also given in Fig. 5. It is found that the parameterizations based on the Ef61, IJ69, Br75, Id81, AA82 and Pr96 schemes show the highest correlation with observations, while the Ku61 scheme performs the worst. In addition, the slope is also used to quantify the systematic deviation. It can be found that the parameterizations from the Br75 scheme show the most significant underestimation, and the parameterizations from the Id85 scheme produce the smallest systematic deviation. By using the observations collected in the Arctic, Key and others (1996) also evaluated some $Q_d$ parameterization schemes. They pointed that the Ef61 scheme for clear sky and the MC73 scheme for all-sky performed well, but AA82 scheme was less accurate for clear sky. Similar results can also be reproduced in our assessment.

To further understand the influence of the differences between observed $Q_d$ and parameterized $Q_d$ on the sea-ice thickness simulation, Fig. 6 presents the relative differences in the sea-ice thicknesses simulated using various $Q_d$ parameterization schemes against that simulated using the observed $Q_d$. As shown in Fig. 6a, the relative differences of the Id81 scheme are the smallest with an average of 0.5% during the whole observation period, whereas the relative differences derived from the Br75 scheme attain the maximum of 12.8%, and the average relative difference of the Br75 scheme (9.9%) is significantly higher than that of the other schemes. In addition, the Ef61, IJ69 and MC73 schemes present the negative absolute differences, while other schemes show the positive absolute differences. The Br75 (MC73) scheme produces the maximum positive (negative) absolute difference of 0.167 ($-0.066$) m at the end of the observation period. These results indicate that the choice of the $Q_d$ parameterization scheme is important when simulating the sea-ice thickness in the HIGHTSI model, and the Id81 scheme appears to be more applicable for the landfast sea ice in the coastal region of East Antarctica.

The longwave radiation emitted from the snow/ice surface is determined using the Stefan–Boltzmann relation. In the HIGHTSI model, the surface temperature ($T_s$) is described by the surface heat balance equation:

$$F(T_s) = (1 - \alpha)Q_s - I_0 + Q_d - Q_b(T_s) + Q_h(T_s) + Q_c(T_s),$$

(8)

where $F(T_s)$ is the net flux, the term $I_0$ is the penetration part of solar radiation, and $Q_c$ is the conductive heat flux. Under freezing...
conditions, $F(T_s)$ should be equal to 0 W m$^{-2}$. Then Eqn (8) can be solved effectively by the Newton iteration method (e.g. Launiainen and Cheng, 1998; refer to the HIGHTSI technical paper):

$$T_{n+1}^s = T_n^s - \frac{F(T_n^s)}{F'(T_n^s)}, \quad n = 0, 1, 2, 3 \ldots$$  

(9)

where $F'(T_n^s)$ denotes the derivative term with respect to $T_s$. $T_n^s$ is the first guess of $T_s$, which is set as $T_a - 0.5°C$. Then, the iterative processes are made to match $|T_{n+1}^s - T_n^s| < 0.01$, and the maximum iterative step is set to be 15 (i.e. $n = 15$). Once the value of $T_s$ is obtained, the corresponding $Q_b$ can also be derived by the Stefan–Boltzmann relation. Figure 7 compares the calculated $Q_b$ with the observed $Q_b$, demonstrating that the calculated $Q_b$ is fractionally underestimated compared with the observed $Q_b$. The bias, $R$ and slope between the calculated $Q_b$ and observed $Q_b$ are 13.5 W m$^{-2}$, 0.82 and 0.98, respectively.

On the other hand, the observed $Q_b$ can be used as an input to force the HIGHTSI model; in this case, the term related to $Q_b$ in $F'(T_s)$ should be eliminated. Figure 8 compares the sea-ice thickness simulated using the parameterized $Q_b$ against that simulated using the observed $Q_b$, revealing that the former is generally larger than the latter, and the differences between them gradually increase during the sea-ice growth season. The mean relative difference (absolute difference) during the whole observation period is 5.4% (0.06 m), and the maximum relative difference (absolute difference) can attain 7.5% (0.09 m). In the HIGHTSI model, $T_s$ plays an important role in simulating sea-ice thickness because it not only determines the surface melting but also directly affects the snow and sea-ice temperature profiles. Although the surface melting can be ignored during the sea-ice growth season, the impacts of surface temperature on snow and sea-ice temperature profiles cause the differences of simulated sea-ice thickness by different parameterization schemes. The differences between parameterized $Q_b$ and observed $Q_b$ produce the relatively large differences in the calculated surface temperature, which further lead to the relatively large differences in the simulated sea-ice thickness. Furthermore, our results also show that the simulated sea-ice thickness is sensitive to the differences between

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**Fig. 6.** (a) Relative differences and (b) absolute differences in the sea-ice thickness simulated using various atmospheric longwave radiation parameterization schemes against that simulated using the observed atmospheric longwave radiation.

**Fig. 7.** Comparison between the observed upward longwave radiation and calculated upward longwave radiation. The red dotted line is the 1:1 line, and the blue solid line is the linear best-fitting line.

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parameterized $Q_b$ and observed $Q_b$ at the upper sea-ice boundary. This may indicate that the using of observed $Q_b$ instead of parameterized $Q_b$ induces the significant influence on the calculation of surface temperature.

### 3.4 Evaluation of the turbulent heat flux parameterization schemes

In the HIGHTSI model, $Q_b$ and $Q_{le}$ are parameterized using the familiar bulk formula based on the Monin–Obukhov similarity (MOS) theory; the details of the calculation can be found in Launiainen and Cheng (1998). The settings of the aerodynamic roughness length ($z_{0m}$) and scalar roughness length for temperature ($z_{0h}$) in the HIGHTSI model follow Banke and others (1980) and Andreas (1987). The $z_{0m}$ calculated by Banke and others (1980) is $1.42 \times 10^{-4}$ m, and the $z_{0h}$ ranges from $1.1 \times 10^{-4}$ to $1.6 \times 10^{-4}$ m according to Andreas (1987). However, Liu and others (2020) analyzed the same dataset employed in this study and revealed that the values of $z_{0m}$ and $z_{0h}$ should be $1.9 \times 10^{-5}$ and $3.7 \times 10^{-5}$ m, respectively. Hence, the values of $z_{0m}$ and $z_{0h}$ in the HIGHTSI model are revised according to Liu and others (2020). Figures 9a, b compare the parameterized $Q_b$ and $Q_{le}$ against the corresponding observations, revealing that $Q_b$ is overestimated by the HIGHTSI model, whereas $Q_{le}$ is underestimated. The accuracies of the parameterized $Q_b$ and $Q_{le}$ are greatly inferior compared with the parameterization results of the radiation fluxes in the HIGHTSI model. For $Q_{le}$, we further analyze why the parameterization result is markedly overvalued with the same $z_{0m}$ and $z_{0h}$ as employed by Liu and others (2020). We find that the underestimation of the calculated surface temperature in the HIGHTSI model is the main cause of the overvalued $Q_b$. Thus, if we use the observed $T_s$ to calculate $Q_b$ with the same method as described in the HIGHTSI model, the parameterized $Q_b$ is consistent with the observations (as shown in Fig. 9c). For $Q_{le}$, the inaccuracy may be related to the uncertainty in the surface humidity and the corresponding roughness length in the parameterization scheme. However, effective means with which to measure these key parameters are lacking. Hence, because of problems with identifying parameters and their availability, there is still an imperfection in parameterizing $Q_{le}$ within the MOS theory framework.

Furthermore, to quantify the influence of the differences between the parameterized and observed surface turbulent heat fluxes ($Q_b$ and $Q_{le}$) on the sea-ice thickness simulation, we compare the sea-ice thickness simulated by the parameterized $Q_b$ and $Q_{le}$ with that simulated by the observed $Q_b$ and $Q_{le}$ in Fig. 10, demonstrating that the latter is slightly thinner than the former. The maximum relative difference is only 5.5%, and the mean relative difference is 3.1%. For absolute differences, the maximum value of 0.05 m occurs in mid-July and mid-September and the mean absolute difference is 0.03 m. In addition, although the parameterized surface turbulent heat fluxes show a large discrepancy compared with observations, the influence of the differences between the parameterized and observed surface turbulent heat fluxes on the sea-ice simulation is smaller than that of the differences between the parameterized and observed $Q_b$ on the sea-ice simulation.

### 3.5 Sensitivity test and improvement of the oceanic heat flux parameterization scheme

In the HIGHTSI model, $Q_w$ is initially set as a constant. However, $Q_w$ is highly dependent on the sea-water temperature, salinity and current velocity beneath the sea-ice bottom (Kirillov and others, 2015). Hence, a proper determination of $Q_w$ may necessitate coupling an ocean mixed-layer model. In the current study, we first test the sensitivity of $Q_w$ to the sea-ice thickness simulation during the growth season. Figure 11 shows the simulated sea-ice thicknesses obtained by exps. F1, F2 and F3. The simulated sea-ice thicknesses clearly vary with different values of $Q_w$, and the differences tend to increase with time. The simulated maximum sea-ice thickness is 1.1 m when using $Q_w$ of 25 W m$^{-2}$; however, it can attain 1.73 m when $Q_w$ is 5 W m$^{-2}$ (Fig. 10). This result indicates that the sea-ice thickness simulation is very sensitive to $Q_w$ during the sea-ice growth season. Thus, it is crucial to accurately describe $Q_w$ in the HIGHTSI model.

Furthermore, we derive a more reasonable $Q_w$ parameterization scheme by running the HIGHTSI model with the observed heat fluxes at the upper sea-ice boundary. As demonstrated in Section 2.4, a simple bulk formula is adopted to determine $Q_w$. Figure 12a presents the observed $T_m - T_s$ retrieved by borehole measurements, which are acquired at a frequency of

![Fig. 8. (a) Sea-ice thickness simulated using the parameterized upward longwave radiation (black line) and that simulated using the observed upward longwave radiation (red line) and (b) their relative (green line, scaled to the left y axis) and absolute (blue line, scaled to the right y axis) differences.](https://doi.org/10.1017/jog.2022.8)
approximately once a week. It should be noted that although the measurement depth of $T_{os}$ is not fixed, the sea-water temperature shows little variation with depth in the oceanic surface layer where the measurement of $T_{os}$ is conducted. To match the time step of 30 min for the model input, continuous daily $T_{os} - T_w$ data are first generated through smoothing the observed $T_{os} - T_w$ with moving average method. Then, the $Q_w$ scheme based on Eqn (7) is used to force the HIGHTSI model, and the value of $k_c$ in Eqn (7) is tested within the range from 0 to 50 W m$^{-2}$°C$^{-1}$ with a step of 0.1 W m$^{-2}$°C$^{-1}$. These experiments indicate the optimum $k_c$ of 21.8 W m$^{-2}$°C$^{-1}$. Figure 12b exhibits the simulated sea-ice thickness by the model forced by measurements of $U$, $T_a$, $R_h$, $C_n$ and $h_s$, plus measurements of all the upper interface fluxes when $k_c$ is set as 21.8 W m$^{-2}$°C$^{-1}$. It can be found that the simulated sea-ice thickness is in good agreement with the observations, and the smallest mean bias of 0.05 m is obtained when $k_c$ is set as 21.8 W m$^{-2}$°C$^{-1}$.

Figure 12b also shows the corresponding time series of $Q_w$ which clearly exhibits a seasonal variation characterized by a decrease from 7.5 W m$^{-2}$ at the beginning of the observation period to 0.9 W m$^{-2}$ at the end. The seasonal variation of $Q_w$ reflects the seasonal variation of sea-water temperature, which is related to the water masses advected along the shelf (Heil and others, 1996). Some previous studies reported the similar $Q_w$ value to our results. For example, Heil and others (1996) found that $Q_w$ was <2 W m$^{-2}$ during the period from early July to late November in the Prydz Bay region; Lei and others (2010) indicated that $Q_w$ decreased from 11.8 (±3.5) W m$^{-2}$ in April to 1.9 (±2.4) W m$^{-2}$ in September at Zhongshan station. However, some studies also show that $Q_w$ exits significant interannual and spatial variability (Heil and others, 1996; Perovich and Elder, 2002; Kirillov and others, 2015). In addition, a similar seasonal variation pattern of $Q_w$ was reported by Yang and others (2016a). However, although Yang and others (2016a) also used the HIGHTSI model combined with observed sea-ice thicknesses to derive $Q_w$ near Zhongshan station, they reported that $Q_w$ decreased from 20 to 5 W m$^{-2}$ during the same season as our experiment. A possible explanation is that they used $U$, $T_a$, $R_h$, $C_n$ and $P$ only to force the HIGHTSI model; that is, the thermodynamic processes at the upper boundary were parameterized. In contrast, in our study, to reduce the errors caused by parameterization schemes at the upper boundary, the observed surface energy fluxes are used to force the HIGHTSI model.
Furthermore, by using surface temperature and sea-ice thickness observations to solve the thermal energy balance equation at the bottom of sea ice (named the heat residual method), Zhao and others (2019) discovered that the monthly mean \( Q_w \) in May was \( 27 \text{ W m}^{-2} \) and fell to \( \sim 10 \text{ W m}^{-2} \) after July. Compared with the method used in our study, the heat residual method is simpler; nevertheless, the heat residual method produces a periodic 1- to 2-month oscillation (Lei and others, 2010; Zhao and others, 2019). Hence, the heat residual method may be less reliable because it produces a spurious oscillation.

On the other hand, to verify the applicability of new \( Q_w \) parameterization scheme, the \( U, T_w, R_0, C_w \) and \( h_i \) data collected at the same site during the period from 29 April to 31 October in 2017, and the calculated \( Q_w \) by using the formula of \( k_c \times (T_{\text{in}} - T_w) \) with \( k_c = 21.8 \text{ W m}^{-2} \text{ C}^{-1} \) is used to force the HIGHTSI model. Due to the lack of reliable measurements of the upper interface fluxes in 2017, the thermodynamic processes are parameterized in the HIGHTSI model and the choices of parameterization schemes are based on our evaluation results. As shown in Fig. 13a, the calculated \( Q_w \) decreases from \( 9.9 \text{ W m}^{-2} \) at the beginning of observation period to the minimum of \( 1.5 \text{ W m}^{-2} \) on 5 October 2017, and it shows a slight increase in trend after 5 October 2017. By using this calculated \( Q_w \) to force the HIGHTSI model, the simulated sea-ice thickness shows good agreement with the observations, however, the simulated sea-ice thickness simulated by the constant \( Q_w \) of \( 15 \text{ W m}^{-2} \) is significantly underestimated compared with the observations (Fig. 13b). Hence, these results indicate that the new parameterization scheme of \( Q_w \) is useful across two seasons at Prydz Bay. Compared with the calculated \( Q_w \) in 2016, the calculated \( Q_w \) in 2017 looks to be a different magnitude across both years by 25%, but the new parameterization scheme still works well. Furthermore, the best parameterization in 2016 also works well in 2017, which indicates the robustness of the new parameterization.

It should be noted that our study presents a constant \( k_c \), however, as demonstrated in Section 2.4, \( k_c \) should be related to the current velocity, which exists a daily variation (Jenkins and others, 2010). In addition, the \( T_w \), which is set as a constant of \(-1.8 \text{ C} \) in this study, should depend on the salinity of the underlying sea water (Vancoppenolle and others, 2019) and the settings of \( T_w \) will also have impacts on \( k_c \). Hence, the representativeness of \( k_c \) presented may be limited to the local scale and the temporal variation characteristic of \( k_c \) is lost. To develop a more realistic \( Q_w \) parameterization scheme, the measurements of current velocity, salinity, as well as some turbulent parameters in the oceanic surface layer are still required.

### 3.6 Seasonal variation in the heat budget and its influence on the sea-ice thickness variation

The monthly mean net shortwave radiation \( Q_s(1 - \alpha) - I_0 \), net longwave radiation \( (Q_d - Q_b) \), \( Q_0 \), \( Q_m \), \( Q_c \), \( F(T_i) \), \( Q_w \) and the
The monthly growth of sea-ice thickness are presented in Table 4. These values are calculated from the results of modeling experiment, which is driven by the observed surface heat fluxes and with best choice of $K_c$. It can be found that the net shortwave radiation, $Q_h$, and $Q_c$ are the heat sources at the snow surface, while the net longwave radiation and $Q_l$ are the heat sinks at the snow surface during the growth season. From May to September, the surface loses energy to atmosphere through radiation fluxes, mainly due to a negative net longwave radiation, while $Q_h$ and $Q_c$ become the leading heat source. The monthly mean $Q_w$ gradually decreases from 6.2 W m$^{-2}$ in May to 1.2 W m$^{-2}$ in October, and the value of the monthly mean $Q_w$ is small compared with the other terms. Among these heat budget terms, the seasonal variation in the net shortwave radiation is the most significant,

![Figure 12](image12.png)

**Fig. 12.** (a) Observed $T_{os} - T_w$ (red triangle) and smoothed result (red line), and (b) the observed sea-ice thickness (blue circle), simulated sea-ice thickness with $k_c = 21.8$ W m$^{-2}$ °C$^{-1}$ (blue line), and the corresponding oceanic heat flux (black line) in 2016.

![Figure 13](image13.png)

**Fig. 13.** (a) Observed (red triangle) and smoothed (red line) $T_{os} - T_w$, and the calculated oceanic heat flux $Q_w$ by $k_c \times (T_{os} - T_w)$ with $k_c = 21.8$ W m$^{-2}$ °C$^{-1}$ (black line), and (b) the comparison between observed sea-ice thickness (blue circle) and simulated sea-ice thickness with $Q_w = 15$ W m$^{-2}$ (blue line) and $Q_w = k_c \times (T_{os} - T_w)$ with $k_c = 21.8$ W m$^{-2}$ °C$^{-1}$ (red line) in 2017.
increasing from 0 W m$^{-2}$ in June to 39.8 W m$^{-2}$ in October. It can also be found that the larger $Q_s$ and the smaller $Q_w$ will intensify the growth of the sea-ice bottom. For example, the $Q_w$ in August is similar to that in September, which leads to the more sea-ice growth in August. In October, the significantly reduced $Q_s$ leads to the minimum of sea-ice growth. In addition, although the $Q_w$ in August is close to that in May, caused by the smaller $Q_s$ in August, the sea-ice growth in August is larger than that in May. Hence, this case indicates the smaller $Q_s$ is conducive to the growth of the sea ice.

$F(\alpha_{c}) = 0 \text{ W m}^{-2}$ under freezing conditions.

4. Summary

By using data collected throughout the sea-ice growth season over the landfast sea ice in Prydz Bay, East Antarctica, the parameterization schemes of thermodynamic processes at the upper sea-ice boundary in the HIGHTSI model are examined, and we propose a modified oceanic heat flux ($Q_{sw}$) parameterization scheme.

Comparing the results of solar radiation ($Q_s$), albedo ($\alpha$), downward longwave radiation ($Q_{lw}$), upward longwave radiation ($Q_{uw}$), sensible heat flux ($Q_h$) and latent heat flux ($Q_e$) from the parameterization schemes with the corresponding observations reveals that the surface turbulent flux parameterization schemes produce the largest deviations from the observations. However, although the parameterized $Q_h$ and $Q_e$ present the largest deviations from the observations, the influence of this deviation on the sea-ice thickness simulation is not the most significant. At the upper sea-ice boundary, the differences between the parameterized and observed $Q_h$ tend to have the greatest influence on the simulated sea-ice thickness. In addition, our results suggest that the best choices for the $\alpha$ and $Q_{sw}$ parameterization schemes in the HIGHTSI model are the HadCM3 scheme and Id81 scheme, respectively. Furthermore, the simulated sea-ice thickness is very sensitive to $Q_{lw}$ during the sea-ice growth season. Hence, it is important to select a reasonable $Q_{lw}$ parameterization scheme in the HIGHTSI model. In the current study, a modified scheme of $k_s \times (T_{lw} - T_{sw})$ with $k_s = 21.8 \text{ W m}^{-2} \text{ °C}^{-1}$ is proposed. This scheme also works well for an independent verification in a different year.

Finally, the seasonal variation in the heat budget and its influence on the sea-ice thickness variation are also discussed in this study. The net shortwave radiation and $Q_s$ are found to be the heat sources at the surface during the growth season, while the net longwave radiation and $Q_w$ are the heat sinks at the surface. The larger conductive heat flux and the smaller oceanic heat flux are conductive to intensify the growth of the sea-ice.

This study helps us to improve understanding of the thermodynamic processes of sea ice and our results provide a reference for the choice of parameterization scheme of thermodynamic processes. However, it should be noted that this study only focuses on the simulation of sea-ice thickness during the sea-ice growth season due to the limitation of observation data, while it is also important to investigate the simulation of sea-ice thickness during the melting season. In addition, our data were collected at a single observation site in Prydz Bay, East Antarctica, the representativeness of our results may be limited to the very local area. To overcome this limitation, the international joint efforts on the Antarctic landfast sea-ice observations are strongly required (e.g. Heil and others, 2011).

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