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Density of Polynomial Maps

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Abstract. Let *R* be a dense subring of $\text{End}(_DV)$, where *V* is a left vector space over a division ring *D*. If dim $_DV = \infty$, then the range of any nonzero polynomial $f(X_1, \ldots, X_m)$ on *R* is dense in $\text{End}(_DV)$. As an application, let *R* be a prime ring without nonzero nil one-sided ideals and $0 \neq a \in R$. If $af(x_1, \ldots, x_m)^{n(x_i)} = 0$ for all $x_1, \ldots, x_m \in R$, where $n(x_i)$ is a positive integer depending on x_1, \ldots, x_m , then $f(X_1, \ldots, X_m)$ is a polynomial identity of *R* unless *R* is a finite matrix ring over a finite field.

1 Results

Throughout, *V* is a left vector space over a division ring *D*. Let $\text{End}(_DV)$ denote the ring of endomorphisms of $_DV$. For $c \in \text{End}(_DV)$ and a subspace *W* of $_DV$, let $c \upharpoonright_W$ denote the restriction of *c* to *W*. The finite topology of $\text{End}(_DV)$ is obtained by endowing each $c \in \text{End}(_DV)$ with the family of neighborhoods

 $\{x \in \operatorname{End}_{D}V) \mid x \upharpoonright_{W} = c \upharpoonright_{W}\},\$

where *W* ranges over all finite-dimensional subspaces of $_DV$. Let *F* denote the center of *D*. By a (noncommuting) polynomial over *F*, we mean an element of the free algebra $F\{X_1, X_2, ...\}$ over the field *F* generated by indeterminates $X_1, X_2, ...$ The range of a polynomial $f(X_1, ..., X_m) \in F\{X_1, X_2, ...\}$ on a subring *R* of End($_DV$) is defined to be

$$\mathcal{R}(f;R) \stackrel{\text{def.}}{=} \{ f(x_1,\ldots,x_m) \in \operatorname{End}_{(DV)} \mid x_1,\ldots,x_m \in R \}.$$

Let *R* be a dense subring of $\text{End}_{(D}V)$. Assume that $\dim_{D}V = \infty$. Chuang [2, Lemma 1] proved that $\Re(f; R)$ is a dense subset of $\text{End}_{(D}V)$ for the case $f(X_1, X_2) = X_1X_2 - X_2X_1$. Wong extended this to nonzero multilinear polynomials [10, Lemma 2]. Our purpose here is to extend these results to their full generality.

Theorem 1.1 Let R be a dense subring of $\text{End}_{(DV)}$ and let $f(X_1, X_2, ..., X_m)$ be a nonzero polynomial. If dim $_DV = \infty$, then $\mathcal{R}(f; R)$ is a dense subset of $\text{End}_{(DV)}$.

This actually follows from Theorem 1.2, a more detailed and generalized version.

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Theorem 1.2 Let R be a dense subring of $End(_DV)$ and let $f(X_1, X_2, ..., X_m; Y)$ be a polynomial involving Y nontrivially. Assume that $\dim_D V = \infty$. Then given $c_1, c_2, ..., c_n \in End(_DV)$ and a finite-dimensional subspace V_0 of $_DV$, there exist $x_1, x_2, ..., x_m, y_1, y_2, ..., y_n \in R$ such that

$$f(x_1, x_2, \ldots, x_m; y_i)|_{V_0} = c_i|_{V_0}$$
 for $i = 1, 2, \ldots, n$.

Granted this, we can immediately give the proof.

Proof of Theorem 1.1 Since $f(X_1, X_2, ..., X_m)$ is nonzero, it must involve nontrivially some X_i , say X_m . Write $f = f(X_1, ..., X_{m-1}; X_m)$. Let $c \in \text{End}(_DV)$ and let V_0 be a finite-dimensional subspace of $_DV$. We apply Theorem 1.2 with X_m playing the role of Y. So there exist $x_1, ..., x_{m-1}, x_m \in R$ such that

$$f(x_1,\ldots,x_{m-1};x_m)|_{V_0}=c|_{V_0}.$$

So $\mathcal{R}(f; R)$ intersects nontrivially any neighborhood of $\text{End}(_DV)$ and is hence dense.

As an application to Theorem 1.2 we will prove the following.

Theorem 1.3 Let R be a prime ring with extended center C and without nonzero nil one-sided ideals. Let $f(X_1, \ldots, X_m)$ be a non-commuting polynomial over C and $0 \neq a \in R$. Suppose that for all $x_1, \ldots, x_m \in R$, there exists an integer $n(x_i) \geq 1$, depending on x_1, \ldots, x_m , such that $af(x_1, \ldots, x_m)^{n(x_i)} = 0$. Then $f(x_1, \ldots, x_m) = 0$ for all $x_1, \ldots, x_m \in R$, unless R is a finite matrix ring over a finite field.

We refer the reader to [6] for the case f(X) = X and to [4,7] for the case where $f(X_1, \ldots, X_m)$ is a multilinear polynomial. On the other hand, as pointed out in [11], if R is an $n \times n$ matrix ring over a finite field, then by [3, Theorem] for any $1 < k \le n$ there exists a polynomial $f(X_1, \ldots, X_m)$, not a polynomial identity of R, such that $f(x_1, \ldots, x_m)^k = 0$ for all $x_1, \ldots, x_m \in R$. Theorem 1.3 can be also generalized to one-sided ideals as in [4,6]. For simplicity, we state the result without proof.

Theorem 1.4 Let R be a prime ring without nonzero nil one-sided ideals. Let $f(X_1, \ldots, X_m)$ be a non-commuting polynomial over the extended centroid C of R. Given $0 \neq a \in R$ and a one-sided ideal I of R, suppose that for all $x_1, \ldots, x_m \in I$,

 $af(x_1,\ldots,x_m)^{n(x_i)} = 0$ for some $n(x_i) \ge 1$ depending on x_1,\ldots,x_m .

Then the following hold unless C is a finite field and I is generated by an idempotent e in the socle of R:

- (i) If I is a right ideal, then either aI = 0 or $f(x_1, \ldots, x_m)I = 0$ for all $x_1, \ldots, x_m \in I$.
- (ii) If *I* is a left ideal, then $If(x_1, \ldots, x_m) = 0$ for all $x_1, \ldots, x_m \in I$.

By [4, Main Theorem], we can drop the exceptional case when $f(X_1, \ldots, X_m)$ is a multilinear polynomial.

Proofs 2

Proof of Theorem 1.2 It suffices to prove the following.

Claim: For any given $a_1, \ldots, a_m, b_1, \ldots, b_n \in End(_DV)$ and for any given m + n + 1finite-dimensional subspaces $V_0, V_1, \ldots, V_m; U_1, \ldots, U_n$ of $_DV$ with

(2.1)
$$V_0 \cap (V_1 + \dots + V_m + U_1 + \dots + U_n) = 0,$$

there exist $x_1, \ldots, x_m; y_1, \ldots, y_n \in R$ satisfying the following:

(i) $x_1 \upharpoonright_{V_1} = a_1 \upharpoonright_{V_1}, \ldots, x_m \upharpoonright_{V_m} = a_m \upharpoonright_{V_m},$ (ii) $y_1|_{U_1} = b_1|_{U_1}, \dots, y_n|_{U_n} = b_n|_{U_n},$ (iii) $f(\vec{x}; y_1)|_{V_0} = c_1|_{V_0}, \dots, f(\vec{x}; y_n)|_{V_0} = c_n|_{V_0},$

where $f(\vec{x}; y_i) \stackrel{\text{def.}}{=} f(x_1, x_2, \dots, x_m; y_i)$ for $1 \le i \le n$.

Indeed, our theorem follows directly by taking $V_i = 0 = U_j$ for $1 \le i \le m$ and $1 \leq j \leq n$. Let $\alpha \in F$ be the constant term of $f(\vec{X}; Y)$. Replacing $f(\vec{X}; Y)$ by $f(\vec{X};Y) - \alpha$ and c_1, \ldots, c_n by $c_1 - \alpha, \ldots, c_n - \alpha$, respectively, we may assume that $f(\vec{X}; Y)$ has no constant term. Write

$$f(\vec{X};Y) = X_1 f_1(\vec{X};Y) + \dots + X_m f_m(\vec{X};Y) + Yg(\vec{X};Y).$$

We proceed by induction on the total degree of $f(X_1, X_2, \ldots, X_m; Y)$ and divide our argument into four cases.

Case 1: $g(\vec{X}; Y)=0$. Then some $f_i(\vec{X}; Y)$, say $f_m(\vec{X}; Y)$, must involve Y nontrivially. Since dim $_DV = \infty$, there exists a subspace V'_0 of V such that dim $_DV'_0 = \dim_D V_0$ and such that $V'_0 \cap (V_0 + V_1 + \dots + V_m + U_1 + \dots + U_n) = 0$. Fix an isomorphism $\sigma: V_0 \to V'_0$. By (2.1), we pick $a'_m \in \text{End}(_DV)$ such that

$$a'_m \upharpoonright_{V_m} = a_m \upharpoonright_{V_m}$$
 and $a'_m \upharpoonright_{V_0} = \sigma$.

For $1 \le i \le m - 1$, we also pick $a'_i \in \text{End}(_DV)$, such that

$$a'_i \upharpoonright_{V_i} = a_i \upharpoonright_{V_i}$$
 and $a'_i \upharpoonright_{V_0} = 0$.

Clearly, $f_m(\vec{X}; Y)$ has smaller degree than $f(\vec{X}; Y)$. By the induction hypothesis, there exist $x_i, y_j \in R, 1 \le i \le m$ and $1 \le j \le n$, satisfying the following:

- $x_i \upharpoonright_{V_0+V_i} = a_i \upharpoonright'_{V_0+V_i}$ for i = 1, ..., m, $y_j \upharpoonright_{U_j} = b_j \upharpoonright_{U_j}$ for j = 1, ..., n,
- $f_m(\vec{x}; y_j)|_{V'_a} = \sigma^{-1} \circ (c_j|_{V_a})$ for $j = 1, \ldots, n$.

By our choice of a'_i , these x_i also satisfy (i). For $v \in V_0$, we have $vx_i = va'_i = 0$ for $1 \le i \le m-1$ and $vx_m = va'_m = v\sigma$. So for $v \in V_0$,

$$vf(\vec{x}; y_j) = v(x_1 f_1(\vec{x}; y_j) + \dots + x_m f_m(\vec{x}; y_j)) = vx_m f_m(\vec{x}; y_j) = (v\sigma)(\sigma^{-1} \circ c_j) = vc_j$$

for
$$1 \le j \le n$$
. So $f(\vec{x}; y_j)|_{V_0} = c_j|_{V_0}$. This proves (iii).

Case 2: $g(\vec{X}; Y)$ is a nonzero constant, say, $0 \neq \beta \in F$. By (2.1) and the density of R in End($_DV$) there exist $x_i, y_i \in R$ satisfying the following:

- $x_i \upharpoonright_{V_i} = a_i \upharpoonright_{V_i}$ and $x_i \upharpoonright_{V_0} = 0$ for $1 \le i \le m$.
- $y_j \upharpoonright_{U_j} = b_j \upharpoonright_{U_j}$ and $y_j \upharpoonright_{V_0} = \beta^{-1} c_j \upharpoonright_{V_0}$ for $1 \le j \le n$.

Trivially, (i) and (ii) hold. For $v \in V_0$ we have $vx_i = 0$ for $1 \le i \le m$ and $vy_j = \beta^{-1}vc_j$ for $1 \le j \le n$. So we have

$$vf(\vec{x}; y_j) = v(x_1 f_1(\vec{x}; y_j) + \dots + x_m f_m(\vec{x}; y_j) + y_j g(\vec{x}; y_j))$$

= $vy_j g(\vec{x}; y_j) = (\beta^{-1}v)c_j \beta = vc_j.$

So (iii) also holds, as claimed.

Case 3: $g(\vec{X}; Y)$ involves Y nontrivially. By (2.1), we pick a'_i , $1 \le i \le m$, such that

$$a'_i \upharpoonright_{V_i} = a_i \upharpoonright_{V_i}$$
 and $a'_i \upharpoonright_{V_0} = 0$

Since dim $_D V = \infty$, there exists a subspace V'_0 of V such that dim $_D V'_0 = \dim_D V_0$ and such that $V'_0 \cap (V_0 + V_1 + \cdots + V_m + U_1 + \cdots + U_n) = 0$. Fix an isomorphism $\sigma: V_0 \to V'_0$. By (2.1) again, we pick $b'_i, 1 \le j \le n$, such that

$$b'_j \upharpoonright_{U_j} = b_j \upharpoonright_{U_j}$$
 and $b'_j \upharpoonright_{V_0} = \sigma$.

Clearly, *g* has smaller degree than *f*. Note that $\sigma^{-1} \circ (c_j \upharpoonright_{V_0})$ is defined on V'_0 , which is disjoint from $V_0 + \sum_{i=1}^m V_i + \sum_{j=1}^n U_j$. By the induction hypothesis, there exist $x_i, y_j \in R$ such that

• $x_i|_{V_0+V_i} = a_i|_{V_0+V_i}'$ for i = 1, ..., m.

•
$$y_j |_{V_0+U_i} = b_j |'_{V_0+U_i}$$
 for $j = 1, ..., n$.

• $g(\vec{x}; y_j)|_{V'_0} = \sigma^{-1} \circ (c_j|_{V_0})$ for j = 1, ..., n.

These x_i, y_j satisfy (i) and (ii) by our choice of a'_i, b'_j . For $v \in V_0$ we have $vx_i = 0$ for $1 \le i \le m$ and $vy_j = v\sigma$. So

$$vf(\vec{x}; y_j) = v(x_1 f_1(\vec{x}; y_j) + \dots + x_m f_m(\vec{x}; y_j) + y_j g(\vec{x}; y_j))$$
$$= vy_j g(\vec{x}; y_j) = (v\sigma)(\sigma^{-1} \circ (c_j \upharpoonright_{V_0})) = vc_j.$$

So (iii) also follows as claimed.

Case 4: $g(\vec{X}; Y)$ is not a constant and does not involve Y. So $g(\vec{X}; Y)$ involves non-trivially some X_i , say X_m . So write $g(\vec{X}; Y) = g(X_1, ..., X_m) = g(\vec{X})$. By (2.1), we choose *n* finite-dimensional subspaces $V_0^{(j)}$, j = 1, ..., n, satisfying the following:

- $\dim_D V_0^{(i)} = \dim_D V_0$ for i = 1, ..., n.
- The sum $\sum_{j=1}^{n} V_0^{(j)}$ is direct.
- $(\sum_{j=1}^{n} V_0^{(j)}) \cap (V_0 + \sum_{i=1}^{m} V_i + \sum_{i=1}^{n} U_i) = 0.$

Pick isomorphisms $\sigma_j \colon V_0 \to V_0^{(j)}$ for $j = 1, \ldots, n$. Define $c \in \text{End}(_DV)$ satisfying

$$c \upharpoonright_{V_0^{(j)}} = \sigma_j^{-1} \circ (c_j \upharpoonright_{V_0}) \text{ for } 1 \le j \le n.$$

Density of Polynomial Maps

Pick $a'_i \in \text{End}(_DV)$, $1 \le i \le m$, such that

$$a'_i \upharpoonright_{V_i} = a_i \upharpoonright_{V_i}$$
 and $a'_i \upharpoonright_{V_0} = 0.$

Clearly, g has smaller degree than f. Note that

$$(V_0^{(1)} \oplus \cdots \oplus V_0^{(n)}) \cap (V_0 + V_1 + \cdots + V_m) = 0.$$

We apply the induction hypothesis to $g(X_1, ..., X_m)$ with X_m playing the role of Y. So there exist $x_1, ..., x_{m-1}, x_m \in R$ such that

- $x_i \upharpoonright_{V_0 \oplus V_i} = a'_i \upharpoonright_{V_0 \oplus V_i}$ for $1 \le i \le m$.
- $g(x_1,\ldots,x_m)\upharpoonright_{V_0^{(1)}\oplus\cdots\oplus V_0^{(n)}} = c\upharpoonright_{V_0^{(1)}\oplus\cdots\oplus V_0^{(n)}}.$

Moreover, by the density of *R* in End($_DV$) there exist $y_j \in R$, $1 \le j \le n$, such that

$$y_j \upharpoonright_{U_i} = b_j \upharpoonright_{U_i}$$
 and $y_j \upharpoonright_{V_0} = \sigma_j$.

Clearly, these x_i , y_j satisfy (i) and (ii). For $v \in V_0$, $vx_i = 0$ for $1 \le i \le m$, and $v\sigma_j \in V_0^{(j)}$ for $1 \le j \le n$. So we have for $1 \le j \le n$,

$$vf(\vec{x}; y_j) = v(x_1 f_1(\vec{x}; y_j) + \dots + x_m f_m(\vec{x}; y_j) + y_j g(\vec{x}))$$
$$= vy_j g(\vec{x}) = (v\sigma_j)\sigma_j^{-1} \circ (c_j \upharpoonright_{V_0}) = vc_j.$$

Hence, (iii) follows as claimed.

We now turn to the proof of Theorem 1.3 Let *R* be a prime ring. Then the extended centroid *C* of *R* is a field; we refer the reader to [1] for details. Let $C\{X_1, X_2, ...\}$ denote the free algebra over *C* in noncommuting indeterminates $X_1, X_2, ...$ Let $RC\{X_1, X_2, ...\}$ denote the free product of the *C*-algebras *RC* and $C\{X_1, X_2, ...\}$. Elements of $RC\{X_1, X_2, ...\}$ (resp. of $C\{X_1, X_2, ...\}$) are called *generalized polynomial* (resp. *polynomial*). We call $f(X_1, X_2, ..., X_t)$ in $RC\{X_1, X_2, ...\}$ (resp. in $C\{X_1, X_2, ...\}$) a *generalized polynomial identity*, abbreviated as GPI (resp. *polynomial identity*, abbreviated as GPI (resp. *polynomial identity*, abbreviated as PI) if $f(x_1, ..., x_t) = 0$ for all $x_i \in R$. A prime ring *R* is called a GPI-ring (resp. a PI-ring) if it satisfies a nonzero GPI (resp. a nonzero PI). To prove Theorem 1.3 we need the following two lemmas (see [4, Lemmas 1 and 2]).

Lemma 2.1 Let $S = M_n(D)$, where D is a division ring. If $ab^{\ell} = 0$ for some integer $\ell \ge 1$ where $a, b \in R$, then $ab^n = 0$.

Lemma 2.2 Let S be a simple Artinian ring and let T be a subset of S such that $uTu^{-1} \subseteq T$ for all invertible elements $u \in S$. Then either $\ell_S(T) = 0$ or T = 0, where $\ell_S(T)$ is the left annihilator of T in S.

Proof of Theorem 1.3 Let $\rho \stackrel{\text{def.}}{=} aR$, a nonzero right ideal of R. Let $x_1, \ldots, x_m \in R$. By assuption, there exists an integer $n(x_i a) \ge 1$, depending on $x_1 a, \ldots, x_m a$, such that $a f(x_1 a, \ldots, x_m a)^{n(x_i a)} = 0$ and so

(2.2)
$$f(ax_1,\ldots,ax_m)^{n(x_i,a)}a = af(x_1a,\ldots,x_ma)^{n(x_i,a)} = 0.$$

Set $\overline{\rho} = \rho/\rho \cap \ell_R(\rho)$. Since *R* is a prime ring without nonzero nil one-sided ideals, so is the ring $\overline{\rho}$. In view of [8, Lemma 3], the extended centroid \overline{C} of the prime ring $\overline{\rho}$ is canonically isomorphic to *C*. This induces a canonical isomorphism of free algebras $C\{X_1, X_2, \ldots\}$ and $\overline{C}\{X_1, X_2, \ldots\}$. Let $\overline{f}(X_1, \ldots, X_m)$ denote the canonical image of $f(X_1, \ldots, X_m)$. By (2.2), $\overline{f}(x_1, \ldots, x_m)$ is nilpotent for all $x_1, \ldots, x_m \in \overline{\rho}$. It follows from [11] that either $\overline{f}(X_1, \ldots, X_m)$ is a polynomial identity for $\overline{\rho}$ or $\overline{\rho}$ is a finite matrix ring over a finite field. In either case, ρ itself is a PI-ring. Since *R* contains a nonzero PI right ideal, it is a GPI-ring. By Martindale's theorem [9, Theorem 3], *RC* has a minimal idempotent *g* such that *gRCg* is a finite-dimensional central division *C*-algebra. Let *H* denote the socle of *RC*. Since $Ha \subseteq H$, for our purpose it suffices to assume $a \in H$ from the start.

We claim that $af(x_1, \ldots, x_m)^{n(x_i)} = 0$ for all $x_1, \ldots, x_m \in H$, where $n(x_i)$ is a positive integer depending on x_1, \ldots, x_m . Suppose on the contrary that there exist $z_1, \ldots, z_m \in H$ such that

$$af(z_1,...,z_m)^k \neq 0$$
 for all $k = 1, 2, ...$

Notice that *H* is a simple ring with nonzero socle. By Litoff's theorem [5], there exists an idempotent $e \in H$ such that $a, z_1, \ldots, z_m \in eHe$. Moreover, $eHe = eRCe \cong M_p(D)$ for some division ring $D \cong gRCg$ and for some integer $p \ge 1$. By Lemma 2.1 we see that

(2.3)
$$af(x_1,\ldots,x_m)^p = 0 \text{ for all } x_1,\ldots,x_m \in R \cap eRCe.$$

Case 1. Assume that *C* is a finite field. Pick an ideal $I \neq 0$ of *R* such that $IC \subseteq R$. Then $eRCe = eICe \subseteq R$ by the simplicity of eRCe. So (2.3) holds for all $x_1, \ldots, x_m \in eRCe$. In particular, $af(z_1, \ldots, z_m)^p = 0$, a contradiction.

Case 2. Assume that *C* is an infinite field. Pick an ideal $I \neq 0$ of *R* with $eIe \subseteq R$. Then (2.3) holds for all $x_i, \ldots, x_m \in eIe$. Note that *C* is infinite. If we further choose *I* with $\alpha I \subseteq R$ for sufficiently, but finitely many, $\alpha \in C$, then by a Vandermonde argument (2.3) holds for all $x_i, \ldots, x_m \in eICe$. Then eICe = eRCe follows by the simplicity of eRCe. So $af(z_1, \ldots, z_m)^p = 0$, a contradiction again.

This proves our claim. Set $V \stackrel{\text{def.}}{=} gRC$ and $D \stackrel{\text{def.}}{=} gRCg$. Then, by the density theorem, H acts densely on $_DV$. Suppose first that $\dim_D V = \infty$. Choose a vector $v \in V$ such that $va \neq 0$. By Theorem 1.1, there exist $x_1, \ldots, x_m \in H$ such that $vaf(x_1, \ldots, x_m) = va$ and so $vaf(x_1, \ldots, x_m)^k = va \neq 0$ for all $k \ge 1$, a contradiction. Thus $\dim_D V < \infty$, implying that $R = RC = H \cong M_p(D)$ for some integer $p \ge 1$. By Lemma 2.1, $af(x_1, \ldots, x_m)^p = 0$ for all $x_1, \ldots, x_m \in R$. The subset T of R consisting of all elements $f(x_1, \ldots, x_m)^p$ for $x_1, \ldots, x_m \in R$ clearly satisfies $uTu^{-1} \subseteq T$ for all invertible elements $u \in R$. Since $a \neq 0$, Lemma 2.2 asserts that $f(x_1, \ldots, x_m)^p = 0$ for all $x_1, \ldots, x_m \in R$. Applying [11], we see that either $f(x_1, \ldots, x_m) = 0$ for all $x_1, \ldots, x_m \in R$ or R is a finite matrix ring over a finite field.

228

Density of Polynomial Maps

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