

## EXTENSIONS OF A THEOREM OF JORDAN ON PRIMITIVE PERMUTATION GROUPS

MARTIN W. LIEBECK

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### Abstract

Let  $G$  be a primitive permutation group of finite degree  $n$  containing a subgroup  $H$  which fixes  $k$  points and has  $r$  orbits on  $\Delta$ , the set of points it moves. An old and important theorem of Jordan says that if  $r = 1$  and  $k \geq 1$  then  $G$  is 2-transitive; moreover if  $H$  acts primitively on  $\Delta$  then  $G$  is  $(k + 1)$ -transitive. Three extensions of this result are proved here: (i) if  $r = 2$  and  $k \geq 2$  then  $G$  is 2-transitive, (ii) if  $r = 2$ ,  $n > 9$  and  $H$  acts primitively on both of its two nontrivial orbits then  $G$  is  $k$ -primitive, (iii) if  $r = 3$ ,  $n > 13$  and  $H$  acts primitively on each of its three nontrivial orbits, all of which have size at least 3, then  $G$  is  $(k - 1)$ -primitive.

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### Introduction

In 1871 Jordan proved the following result (see Theorem I of Jordan (1871) or Theorems 13.1, 13.2 of Wielandt (1964)): let  $G$  be a primitive permutation group on a finite set  $\Omega$  and suppose that  $G$  has a subgroup  $H$  which fixes at least one point of  $\Omega$  and is transitive on  $\Delta = \text{supp}(H)$ , the set of points not fixed by  $H$ . Then  $G$  is 2-transitive; moreover if  $H$  is primitive on  $\Delta$  then  $G$  is  $(k + 1)$ -fold transitive where  $k = |\text{fix } H|$ .

This result has played a large part in the study of permutation groups since then (see for instance §13 of Wielandt (1964)). In fact Theorem I of Jordan (1871) gives more information than stated above:  $G$  is  $(k + 1)$ -fold transitive provided that  $H$  is transitive on  $\Delta$  and for all  $f \geq 1$ ,  $H$  admits at most one congruence on  $\Delta$  of modulus  $f$ . Marggraff (1892) generalised this, showing that the same conclusion

holds if  $H$  is transitive on  $\Delta$  and for all  $f \geq 1$ ,  $H$  admits at most  $f$  congruences on  $\Delta$  of modulus  $f$ .

Two questions which arise from these results are: (a) what can be said about a primitive group  $G$  which has a subgroup  $H$  having  $r$  orbits on  $\text{supp}(H)$ , where  $r > 1$ ? (b) what more can be said if we make assumptions about the action of  $H$  on its orbits? The purpose of this paper is to give some answers to these questions in the cases  $r = 2$  and  $r = 3$ . For  $r = 2$  we prove Theorems 1 and 2:

**THEOREM 1.** *Let  $G$  be a primitive group of degree  $n$  on a finite set  $\Omega$  and suppose that  $G$  has a subgroup  $H$  fixing at least two points of  $\Omega$  and having two orbits  $\Sigma_1, \Sigma_2$  on  $\text{supp}(H)$ . Then  $G$  is 2-transitive.*

**THEOREM 2.** *If, with the hypotheses of Theorem 1,  $H$  is primitive on  $\Sigma_1$  and on  $\Sigma_2$  and  $|\Sigma_1| \geq 3, |\Sigma_2| \geq 3$  then one of the following holds:*

- (i)  $G$  is  $k$ -fold primitive (where  $k = |\text{fix } H|$ ),
- (ii)  $n = 9, k = 3$  and  $G$  is  $ASL(2, 3)$  or  $AGL(2, 3)$ .

Theorem 2 is best possible, for there are many simply primitive groups  $G$  in which the stabiliser  $G_\alpha$  has two nontrivial orbits and acts primitively on both. The hypotheses of Theorem 2 are also considered in Antopolski (1971), where such a group  $G$  is shown to be 2-transitive.

For the case  $r = 3$  we prove:

**THEOREM 3.** *Let  $G$  be primitive on a finite set  $\Omega$  with a subgroup  $H$  such that  $|\text{fix } H| = k$  and  $H$  has 3 orbits  $\Sigma_1, \Sigma_2, \Sigma_3$  on  $\text{supp}(H)$ . Suppose that  $H$  acts primitively on each  $\Sigma_i$  and  $|\Sigma_i| \geq 4$  ( $i = 1, 2, 3$ ). Then  $G$  is  $(k - 1)$ -fold primitive.*

Again Theorem 3 is best possible, for there is a simply primitive group  $G$  in which  $G_{\alpha\beta}$  has three nontrivial orbits and acts primitively on each of them (see Example 3.3). The general cases  $r = 3, r = 4, r = 5$  are considered in Liebeck (1977), where it is proved that  $G$  has rank at most  $r + 1$ , thus verifying a conjecture of Wielandt (1971) in these cases. It is very likely that the methods of this paper will extend to prove further results for small values of  $r$ .

This paper is divided into four sections. In the first Theorem 1 is proved; the proof relies heavily on the graph theory associated with a permutation group as described in Neumann (1977). The second section consists of a proof of Theorem 2; here a different approach is taken—the orbits  $\Sigma_1, \Sigma_2$  of  $H$  are ‘built up’ step by step until they become orbits of  $G_\alpha$  for some  $\alpha \in \Omega$ . Then a theorem of O’Nan (1975) on 2-transitive but not 2-primitive groups is used to complete the proof. The proof of Theorem 3, given in the fourth section, runs along similar lines. In Section 3 some examples of primitive groups having subgroups with few orbits and several fixed points are presented.

The notation used is that of Wielandt (1964), except that for a subset  $\Delta$  of  $\Omega$  we write  $G_{(\Delta)}$ ,  $G_{\{\Delta\}}$  for the pointwise and setwise stabilisers of  $\Delta$  in  $G$ , respectively.

### 1. Proof of Theorem 1

Before proving Theorem 1 we briefly outline the results in Neumann (1977) which we shall need. Let  $G$  be a transitive permutation group on a finite set  $\Omega$  and let  $\alpha \in \Omega$ . There is a 1-1 correspondence between the orbits  $\Delta_0, \Delta_1, \dots, \Delta_s$  of  $G$  on  $\Omega \times \Omega$  and the orbits  $\Gamma_0, \Gamma_1, \dots, \Gamma_s$  of  $G_\alpha$  on  $\Omega$  given by

$$\Gamma_i = \Gamma_i(\alpha) = \{\gamma \in \Omega \mid (\alpha, \gamma) \in \Delta_i\}.$$

For  $i \geq 1$  we define the  $\Gamma_i$ -graph to be the directed graph on  $\Omega$  in which there is an edge from  $\beta$  to  $\gamma$  if and only if  $(\beta, \gamma) \in \Delta_i$ . We denote by  $\Gamma_i^*$  the suborbit paired with  $\Gamma_i$ . If  $\Gamma_i$  is self-paired then the  $\Gamma_i$ -graph is undirected.

Suppose now that  $G$  is primitive on  $\Omega$ . Then all the  $\Gamma_i$ -graphs are connected (Lemma 3 of Neumann (1977)). For any  $j, k$  define

$$\Delta_j \circ \Delta_k = \{(\beta, \gamma) \mid \beta \neq \gamma \text{ and there exists } \delta \in \Omega \text{ with } (\beta, \delta) \in \Delta_j, (\delta, \gamma) \in \Delta_k\}.$$

Let  $\Gamma_j \circ \Gamma_k$  be the corresponding union of suborbits. The following result is taken from Theorems 3 and 4 of Neumann (1977).

**RESULT A.** (i) *Suppose that for  $\beta \in \Gamma_i$ ,  $G_{\alpha\beta}$  is transitive on  $\Gamma_j$  and that  $|\Gamma_i| > 1$ ,  $|\Gamma_j| > 1$ . Then  $\Gamma_i^* \circ \Gamma_j$  is a single suborbit of size greater than  $|\Gamma_i|$  and  $|\Gamma_j|$ .*

(ii) *If  $s \geq 2$  and  $G_\alpha$  acts 2-transitively on  $\Gamma_i$  for some  $i \geq 1$  then  $\Gamma_i^* \circ \Gamma_i$  is a single suborbit of size greater than  $|\Gamma_i|$ .*

**PROOF OF THEOREM 1.** Let  $G$  be a primitive permutation group of degree  $n$  on  $\Omega$  and assume that  $G$  has a subgroup  $H$  fixing at least 2 points and having 2 orbits  $\Sigma_1, \Sigma_2$  on  $\text{supp}(H)$ , the support of  $H$  (that is,  $\Omega \setminus \text{fix } H$ ). Suppose for a contradiction that  $G$  is not 2-transitive. Let  $X$  be a subgroup of  $G$  with  $|\text{supp}(X)|$  maximal subject to the following conditions:

- (a)  $|\text{fix } X| \geq 2$ ,
- (b)  $X$  has at most 2 orbits on  $\text{supp}(X)$ .

By Jordan's Theorem,  $X$  has precisely 2 orbits  $\Delta_1, \Delta_2$  on  $\text{supp}(X)$ . Write  $\Delta = \Delta_1 \cup \Delta_2$  and  $d_i = |\Delta_i|$  ( $i = 1, 2$ ).

**LEMMA 1.0.** *Suppose  $g \in G$  is such that  $\Delta_1 g \cap \Delta_1 \neq \emptyset$  and  $\Delta_1 g \neq \Delta_1$ . Then  $\Delta_2 g \cap \Delta \neq \emptyset$  and  $|\Delta g \cup \Delta| \geq n - 1$ .*

**PROOF.** Let  $Y = \langle X, X^g \rangle$ . The nontrivial orbits of  $Y$  consist of unions among the sets  $\Delta_1, \Delta_2, \Delta_1 g$  and  $\Delta_2 g$ . Suppose that  $\Delta_2 g \cap \Delta = \emptyset$ . Then the nontrivial

orbits of  $Y$  are either

- (i)  $\Delta_2 g$  and  $\Delta_1 g \cup \Delta_1 \cup \Delta_2$  (if  $\Delta_1 g \cap \Delta_2 \neq \emptyset$ ), or
- (ii)  $\Delta_2 g, \Delta_2$  and  $\Delta_1 g \cup \Delta_1$  (if  $\Delta_1 g \cap \Delta_2 = \emptyset$ ).

In case (i) we may choose  $y \in X^g$  such that  $\Delta_1 y \cap \Delta_1 \neq \emptyset$  and  $\Delta_1 y \cap \Delta_2 \neq \emptyset$ . Let  $Z = \langle X, X^y \rangle$ . If  $|\text{supp}(Z)| = |\text{supp}(X)|$  then  $Z$  has just 1 nontrivial orbit, so  $G$  is 2-transitive by Jordan's Theorem, which is not so. Hence  $|\text{supp}(Z)| > |\text{supp}(X)|$ . However  $Z$  has at most 2 nontrivial orbits and certainly  $\Delta_2 g \subseteq \text{fix } Z$ , so  $|\text{fix } Z| \geq 2$  and  $Z$  contradicts our choice of  $X$ . In case (ii) we may pick  $y \in X^g$  with  $\Delta_1 y \cap \Delta_1 \neq \emptyset$  and  $\Delta_1 y \neq \Delta_1$ ; then  $\langle X, X^y \rangle$  gives a similar contradiction.

Hence  $\Delta_2 g \cap \Delta \neq \emptyset$ . Finally, suppose that  $|\Delta g \cup \Delta| \leq n - 2$ . Then  $|\text{fix } Y| \geq 2$ . Clearly  $Y$  has at most 2 nontrivial orbits, so by choice of  $X$  we must have  $\text{supp}(Y) = \text{supp}(X)$ . But then since  $\Delta_1 g \cap \Delta_1 \neq \emptyset$  and  $\Delta_1 g \neq \Delta_1$ ,  $Y$  has just 1 nontrivial orbit, so  $G$  is 2-transitive by Jordan's Theorem. This contradiction shows that  $|\Delta g \cup \Delta| \geq n - 1$ , proving the lemma.

We continue the proof of Theorem 1 in a series of steps.

STEP 1.1. We have  $|\text{fix } X| < \frac{1}{2}n < |\Delta|$ . For suppose that  $|\Delta| \leq \frac{1}{2}n$ . Since  $G$  is primitive we may choose  $g \in G$  such that  $\Delta_1 g \cap \Delta_1 \neq \emptyset$  and  $\Delta_1 g \neq \Delta_1$ . By Lemma 1.0 then,  $\Delta_2 g \cap \Delta \neq \emptyset$  and  $|\Delta g \cup \Delta| \geq n - 1$ . However

$$|\Delta g \cup \Delta| = |\Delta g| + |\Delta| - |\Delta g \cap \Delta| \leq \frac{1}{2}n + \frac{1}{2}n - 2 = n - 2,$$

which is a contradiction.

STEP 1.2. Let  $\alpha \in \text{fix } X$  and let  $\Gamma_1, \dots, \Gamma_s$  ( $s \geq 2$ ) be the nontrivial orbits of  $G_\alpha$ . Suppose that  $\Delta_1$  and  $\Delta_2$  lie in different orbits, say  $\Delta_1 \subseteq \Gamma_1, \Delta_2 \subseteq \Gamma_2$ . Then  $\Delta_1, \Delta_2$  are blocks of imprimitivity for  $G_\alpha^{\Gamma_1}, G_\alpha^{\Gamma_2}$  respectively. Further, either  $\Delta_1 = \Gamma_1$  or  $\Delta_2 = \Gamma_2$ .

Note that the last part of Step 1.2 follows from the first, for if  $\Delta_1 \subset \Gamma_1$  and  $\Delta_2 \subset \Gamma_2$  then by the first part,  $|\Delta_i| \leq \frac{1}{2} |\Gamma_i|$  ( $i = 1, 2$ ), so  $|\Delta| \leq \frac{1}{2}n$ , contradicting Step 1.1.

Suppose that  $\Delta_1$  is not a block for  $G_\alpha^{\Gamma_1}$  and pick  $g \in G_\alpha$  such that  $\Delta_1 g \neq \Delta_1$  and  $\Delta_1 g \cap \Delta_1 \neq \emptyset$ . By Lemma 1.0,  $\Delta_2 g \cap \Delta \neq \emptyset$  and  $\Delta g \cup \Delta = \Omega \setminus \{\alpha\}$ , from which it follows that  $s = 2, \Delta_1 g \cup \Delta_1 = \Gamma_1, \Delta_2 g \cup \Delta_2 = \Gamma_2$  and  $\Delta_2 g \cap \Delta_2 \neq \emptyset$ . Let  $\Theta_i = \Gamma_i \setminus \Delta_i$  ( $i = 1, 2$ ). Then  $\Theta_1 \neq \emptyset$  since  $\Delta_1$  is not a block for  $G_\alpha^{\Gamma_1}$ , so  $1 \leq |\Theta_1| < d_1$ .

We complete Step 1.2 in the following stages:

(a)  $\Theta_1$  is a block for  $G_\alpha^{\Gamma_1}$ . For otherwise there exists  $h \in G_\alpha$  with  $\Theta_1 h \neq \Theta_1$  and  $\Theta_1 h \cap \Theta_1 \neq \emptyset$ , forcing  $|\Delta h \cup \Delta| < n - 1$ , which contradicts Lemma 1.0.

(b)  $\Gamma_1, \Gamma_2$  are self-paired suborbits of  $G$ . For  $G_\alpha$  acts 2-transitively on the block system for  $G_\alpha^{\Gamma_1}$  which contains  $\Theta_1$ . Hence 2 divides  $|G|$  and (b) follows.

(c) We have  $\Delta_2 \subset \Gamma_2$ . Suppose on the contrary that  $\Delta_2 = \Gamma_2$ . Then if  $\beta \in \Theta_1$  we have  $X \leq G_{\alpha\beta}$ , so  $G_{\alpha\beta}$  is transitive on  $\Gamma_2$ . But then by Result A,  $\Gamma_2 \circ \Gamma_1$  is a suborbit of  $G$  of size greater than  $|\Gamma_1|$  and  $|\Gamma_2|$ , which is a contradiction.

Hence  $1 \leq |\Theta_2| < d_2$  and as for (a),  $\Theta_2$  is a block for  $G_\alpha^{\Gamma_2}$ . For  $i = 1, 2$  let  $\mathfrak{B}_i$  be the block system for  $G_\alpha^{\Gamma_i}$  containing  $\Theta_i$  and let  $k_i = |\mathfrak{B}_i|$ .

(d) We have  $G_{\alpha(\Theta_1)} = G_{\alpha(\Theta_2)}$ . Also  $k_1 = k_2 = k$  and there exist  $g_2, \dots, g_k \in G_\alpha$  such that  $\mathfrak{B}_i = \{\Theta_i, \Theta_i g_2, \dots, \Theta_i g_k\}$  ( $i = 1, 2$ ). For if there exists  $h \in G_{\alpha(\Theta_2)} \setminus G_{\alpha(\Theta_1)}$  then  $|\Delta h \cup \Delta| < n - 1$ , contradicting Lemma 1.0. The last part follows if we take  $\{1, g_2, \dots, g_k\}$  to be a set of coset representatives for  $G_{\alpha(\Theta_1)}$  in  $G$ .

As described at the beginning of this section, for  $\beta \in \Omega$  we write  $\Gamma_1(\beta), \Gamma_2(\beta)$  for the nontrivial orbits of  $G_\beta$  (so  $\Gamma_1 = \Gamma_1(\alpha), \Gamma_2 = \Gamma_2(\alpha)$ ).

(e) One of the following holds:

(i)  $\beta \in \Gamma_1(\gamma)$  for all  $\beta \in \Theta_1, \gamma \in \Delta_2$ ;

(ii)  $\beta \in \Gamma_2(\gamma)$  for all  $\beta \in \Theta_2, \gamma \in \Delta_1$ .

For suppose that (ii) is false. Then there exist  $\beta \in \Theta_2, \gamma \in \Delta_1$  such that  $\beta \in \Gamma_1(\gamma)$ . Since  $X \leq G_{\alpha\beta}$  and  $X$  is transitive on  $\Delta_1$  this means that  $\beta \in \Gamma_1(\gamma)$  for all  $\gamma \in \Delta_1$ . It follows that  $\beta g_2 \in \Gamma_1(\gamma g_2)$  for all  $\gamma \in \Delta_1$ ; however  $\beta g_2 \in \Delta_2$  and  $\Theta_1 \subseteq \Delta_1 g_2$ . Hence  $\beta' \in \Gamma_1(\gamma')$  for some  $\beta' \in \Theta_1, \gamma' \in \Delta_2$  and we see that (i) holds by considering the actions of  $G_{\alpha(\Theta_1)}$  and  $X$ .

We assume without loss of generality that (i) holds.

(f) Let  $t_i = |\Theta_i|$  ( $i = 1, 2$ ). Then  $t_1 > d_2$ . Suppose that  $t_1 \leq d_2$ . First we show that the  $\Gamma_1$ -graph has no triangles. To do this we choose  $\beta \in \Theta_1$  and show that  $\beta$  is joined in the  $\Gamma_1$ -graph to no point of  $\Gamma_1$ . By (e),  $\beta \in \Gamma_1(\gamma)$  for all  $\gamma \in \Delta_2$ . If  $\beta \in \Gamma_1(\delta)$  for some  $\delta \in \Delta_1$  then  $\beta \in \Gamma_1(\delta)$  for all  $\delta \in \Delta_1$  (by the action of  $X$ ), so the valency  $v_1(\beta)$  of  $\beta$  in the  $\Gamma_1$ -graph satisfies

$$v_1(\beta) \geq d_1 + d_2 + 1.$$

However  $v_1(\beta) = |\Gamma_1| = d_1 + t_1$ , from which it follows that  $t_1 > d_2$ , contrary to assumption. Hence  $\beta$  is joined in the  $\Gamma_1$ -graph to no point of  $\Delta_1$ . If  $\beta \in \Gamma_1(\delta)$  for some  $\delta \in \Theta_1$  then by (e),  $\beta$  and  $\delta$  have at least  $d_2$  mutual adjacencies in the  $\Gamma_1$ -graph. However  $\alpha \in \Gamma_1(\beta)$  and  $\alpha, \beta$  have at most  $t_1 - 1$  mutual adjacencies in the  $\Gamma_1$ -graph, so  $t_1 - 1 \geq d_2$  which is not so. Hence  $\beta$  is joined in the  $\Gamma_1$ -graph to no point of  $\Gamma_1$  and we have shown that the  $\Gamma_1$ -graph has no triangles.

Now let  $\beta' \in \Theta_2$  and suppose  $\beta' \in \Gamma_1(\gamma)$  for some  $\gamma \in \Theta_2$ . Then  $\beta' g_2 \in \Gamma_1(\gamma g_2)$  and  $\beta' g_2, \gamma g_2 \in \Delta_2$ , so  $\beta, \beta' g_2, \gamma g_2$  form a triangle in the  $\Gamma_1$ -graph, which is a contradiction. Since the  $\Gamma_2$ -graph is connected (Lemma 3 of Neumann (1977)) there exists  $\delta \in \Gamma_2$  with  $\beta' \in \Gamma_1(\delta)$ ; it must be the case that  $\delta \in \Delta_2$ . Hence  $\beta' \in \Gamma_1(\delta)$  for all  $\delta \in \Delta_2$ .

Finally, if  $\beta' \in \Gamma_1(\gamma)$  for some  $\gamma \in \Theta_1$  then  $\beta', \gamma, \delta$  form a triangle in the  $\Gamma_1$ -graph for any  $\delta \in \Delta_2$ . Hence  $\beta' \in \Gamma_1(\gamma)$  for all  $\gamma \in \Delta_1$ . Consequently

$$v_1(\beta') = d_1 + d_2.$$

But then  $v_1(\beta) = d_1 + d_2$ , so  $\beta$  must be joined in the  $\Gamma_1$ -graph to some point of  $\Gamma_1 \cup \Theta_2$ . This we have seen above to be impossible. This contradiction establishes (f).

Now we can complete Step 1.2. Let  $\beta \in \Theta_1$ . Then  $v_1(\beta) = |\Gamma_1| = kt_1$ , and  $k \geq 3$ . By (f) we have  $t_1 > d_2 > t_2$ , so

$$kt_1 \geq t_1 + 2t_1 > t_1 + d_2 + t_2 = |\Theta_1| + |\Gamma_2|.$$

Thus  $v_1(\beta) > |\Gamma_2 \cup \Theta_1|$  and so  $\beta \in \Gamma_1(\gamma)$  for some  $\gamma \in \Delta_1$ , hence for all  $\gamma \in \Delta_1$ . By (e) then,  $\beta \in \Gamma_1(\gamma)$  for all  $\gamma \in \Delta (= \Delta_1 \cup \Delta_2)$ . It follows that for each  $j$ ,  $\delta \in \Gamma_1(\epsilon)$  for all  $\delta \in \Theta_1 g_j$ ,  $\epsilon \in \Delta g_j$ . Also  $\Delta g_j = (\Gamma_1 \cup \Gamma_2) \setminus (\Theta_1 \cup \Theta_2) g_j$ . Consequently in the  $\Gamma_2$ -graph, points of  $\Theta_1 g_j$  can be joined only to points of  $(\Theta_1 \cup \Theta_2) g_j$ . Let  $\beta \in \Theta_1$ ,  $\gamma \in \Theta_1 g_2$ . Then  $\beta \in \Gamma_1(\gamma)$  and  $\beta, \gamma$  have no mutual adjacencies in the  $\Gamma_2$ -graph. However  $\gamma \in \Gamma_1(\alpha)$  and  $\alpha, \gamma$  have at least one mutual adjacency in the  $\Gamma_2$ -graph since the  $\Gamma_2$ -graph is connected.

This final contradiction completes Step 1.2.

STEP 1.3. Let  $\alpha \in \text{fix } X$  and let  $\Gamma_1, \dots, \Gamma_s$  be the nontrivial orbits of  $G_\alpha$ . Then each  $\Gamma_i$  ( $i = 1, \dots, s$ ) is a union of sets of the form  $\Delta_j g$  ( $j = 1$  or  $2$ ) where  $g \in G$  and  $X^g \leq G_\alpha$ .

To see this, let

$$W = \langle X^g \mid g \in G, X^g \leq G_\alpha \rangle.$$

Then  $W \triangleleft G_\alpha$  and  $W$  is weakly closed in  $G_\alpha$  with respect to  $G$ , so by Theorem 3.5 of Wielandt (1964),  $G_\alpha = N_G(W)$  is transitive on  $\text{fix } W$ . Hence  $\text{fix } W = \{\alpha\}$  and so for any  $\gamma \in \Gamma_i$  there exists  $g_\gamma \in G$  such that  $X^{g_\gamma} \leq G_\alpha$  and  $\gamma \in \text{supp}(X^{g_\gamma}) = \Delta g_\gamma$ . Step 1.3 follows.

We can now finish the proof of Theorem 1. First suppose that  $\Delta_1, \Delta_2$  lie in different suborbits of  $G$ , say  $\Delta_1 \subseteq \Gamma_1, \Delta_2 \subseteq \Gamma_2$ . By Step 1.2 we may assume that  $\Delta_1 = \Gamma_1$ . Choose  $t$  such that  $\Gamma_t \cap \text{fix } X \neq \emptyset$  and

$$|\Gamma_t| = \max\{|\Gamma_i| : \Gamma_i \cap \text{fix } X \neq \emptyset\},$$

so that  $t \geq 2$ . Let  $\beta \in \Gamma_t \cap \text{fix } X$ ; then  $X \leq G_{\alpha\beta}$ . Hence if  $\Delta_2 = \Gamma_2$  then by Result A, one of  $\Gamma_t^* \circ \Delta_1$  and  $\Gamma_t^* \circ \Delta_2$  is a suborbit of  $G$  of size greater than  $|\Gamma_t|, |\Delta_1|$  and  $|\Delta_2|$ , which is clearly impossible. And if  $\Delta_2 \subset \Gamma_2$  then  $\Gamma_t^* \circ \Delta_1$  gives a similar contradiction (for then  $|\Gamma_t| \geq |\Gamma_2|$  by choice of  $\Gamma_t$ ).

Thus we may suppose that  $\Delta_1, \Delta_2$  lie in the same suborbit of  $G$ , say  $\Delta_1 \cup \Delta_2 \subseteq \Gamma_1$ . By Step 1.3 there exists  $g \in G$  such that  $X^g \leq G_\alpha$  and, say,  $\Delta_1 g \subseteq \Gamma_2$ . Certainly  $|\Gamma_2| < |\Delta|$  by Step 1.1, so  $\Delta_2 g \subseteq \Gamma_t$  for some  $t \neq 2$ . Hence  $\Delta_1 g, \Delta_2 g$  lie in different suborbits of  $G$  and now the argument of the previous paragraph yields a contradiction with  $X^g$  replacing  $X$  and  $\Delta_i g$  replacing  $\Delta_i$  ( $i = 1, 2$ ).

This completes the proof of Theorem 1.

### 2. Proof of Theorem 2

Before embarking on the proof of Theorem 2 we prove a lemma necessary to the proof.

**LEMMA 2.0.** *Let  $G$  be a 2-transitive permutation group of degree  $n \geq 9$  on  $\Omega$ , and suppose that for  $\alpha \in \Omega$ ,  $G_\alpha$  has a system  $\mathfrak{B}$  of blocks of imprimitivity in  $\Omega \setminus \{\alpha\}$  with blocks of size 2. Assume further that if  $B = \{\beta, \gamma\} \in \mathfrak{B}$  then  $G_{\alpha\beta\gamma}$  acts primitively on  $\mathfrak{B} \setminus \{B\}$ . Then  $n = 9$  and  $G$  is  $ASL(2, 3)$  or  $AGL(2, 3)$ .*

**PROOF.** If  $n = 9$  the conclusion is easily seen to be true (see Sims (1970)), so assume that  $n > 9$ . Let  $B_1 = \{\delta, \epsilon\} \in \mathfrak{B} \setminus \{B\}$  and write  $L = G_{\alpha\beta\gamma}$ . Now if  $L_{\{\delta\epsilon\}}$  has an orbit on  $\mathfrak{B} \setminus \{B, B_1\}$  of size 2 or 1 then since it is primitive,  $L^{\mathfrak{B} \setminus \{B\}}$  has order  $p$  or  $2p$  where  $p = \frac{1}{2}(n - 3)$  is prime. But then  $G$  contains an element which is a product of 1 or 2  $p$ -cycles and fixes at least 3 points, contradicting Theorem 13.10 of Wielandt (1964). Hence every orbit of  $L_{\{\delta\epsilon\}}$  on  $\mathfrak{B} \setminus \{B, B_1\}$  has size 3 or more, so  $G_{\alpha\beta\gamma\delta\epsilon}$  fixes no points in  $\Omega \setminus \{\alpha, \beta, \gamma, \delta, \epsilon\}$ . This means that the unique third fixed point of  $G_{\beta\delta}$  lies in  $\{\alpha, \gamma, \epsilon\}$ , which is impossible as  $G_{\beta\delta\alpha}, G_{\beta\delta\gamma}, G_{\beta\delta\epsilon}$  fix  $\gamma, \alpha, \alpha$  respectively.

Now let  $G$  be primitive of degree  $n$  on  $\Omega$  and suppose that  $G$  has a subgroup  $H$  which has 2 nontrivial orbits  $\Sigma_1, \Sigma_2$  of size at least 3 and acts primitively on both of them. If  $n = 9$  it is easy to see that (ii) of Theorem 2 holds, so we assume that  $n > 9$ . To prove Theorem 2 it is enough to show that  $G$  is 2-primitive if  $k \geq 2$  (where  $k = |\text{fix } H|$ ), for then the obvious induction argument will establish the result. Suppose then that  $k \geq 2$  but  $G$  is not 2-primitive. Let  $\Sigma = \Sigma_1 \cup \Sigma_2$  and define

$$\mathfrak{S} = \{x \in G \mid \Sigma_i x \cap \Sigma \neq \emptyset \text{ and } \Sigma_i x \not\subseteq \Sigma \text{ for some } i \in \{1, 2\}\}$$

so that  $\mathfrak{S} \neq \emptyset$  by Theorem 8.1 of Wielandt (1964). Pick  $g \in \mathfrak{S}$  with  $|\Sigma g \cup \Sigma|$  as small as possible. We may assume that  $\Sigma_1 g \cap \Sigma \neq \emptyset$  and  $\Sigma_1 g \not\subseteq \Sigma$ .

**STEP 2.1.** *We have  $\Sigma_2 g \cap \Sigma \neq \emptyset$ . For suppose that  $\Sigma_2 g \cap \Sigma = \emptyset$ . Now  $|\Sigma_1 g \cap \Sigma_j| > 0$  for some  $j \in \{1, 2\}$ . If  $|\Sigma_1 g \cap \Sigma_j| \geq 2$  choose  $\alpha, \beta \in \Sigma_1 g \cap \Sigma_j$ . Since  $H^g$  is primitive on  $\Sigma_1 g$  there exists  $x \in H^g$  such that*

$$\alpha x \in \Sigma, \quad \beta x \in \Sigma_1 g \setminus \Sigma.$$

Then  $x \in \mathfrak{S}$ . But  $\Sigma_2 g \not\subseteq \Sigma x$ , so  $|\Sigma \cup \Sigma x| < |\Sigma \cup \Sigma g|$ , contradicting the choice of  $g$ . And if  $|\Sigma_1 g \cap \Sigma_j| = 1$ , say  $\Sigma_1 g \cap \Sigma_j = \{\alpha\}$ , then any  $x \in H^g$  with  $\alpha x \in \Sigma_1 g \setminus \Sigma$  contradicts the choice of  $g$ .

Let  $H_1 = \langle H, H^g \rangle$ . By Step 2.1,  $H_1$  has  $d$  nontrivial orbits  $\Delta_1, \dots, \Delta_d$  where  $d$  is 1 or 2. Write  $\Theta_i = \Delta_i \setminus \Sigma$  ( $i = 1, \dots, d$ ).

STEP 2.2. *If  $d = 2$  then  $|\Theta_i| \leq 1$  for  $i = 1, 2$ . We prove this for  $i = 1$ ; the case  $i = 2$  is covered by the same argument. Suppose first that  $|\Theta_1| \geq |\Sigma_1|$ . Then by choice of  $g$ ,  $\Sigma_1$  is a block for  $H_1^{\Delta_1}$ . This forces  $\Sigma_1 \subseteq \Sigma g$ , for if not then there exists  $x \in H^g$  fixing  $\Sigma_1 \setminus \Sigma g$  and mapping an element of  $\Sigma_1$  to an element of  $\Theta_1$ , contradicting the fact that  $\Sigma_1$  is a block for  $H_1^{\Delta_1}$ . However, since  $H^g$  is primitive on  $\Sigma_i g$  we have  $|\Sigma_1 \cap \Sigma_i g| \leq 1$  for  $i = 1, 2$ . This forces  $|\Sigma_1| \leq 2$  as  $\Sigma_1 \subseteq \Sigma g$ . This contradiction shows that  $|\Theta_1| < |\Sigma_1|$ . Hence by choice of  $g$ ,  $\Theta_1$  is a block for  $H_1^{\Delta_1}$  and consequently  $|\Theta_1| \leq 1$ .*

STEP 2.3. *If  $d = 1$  then  $|\Theta_1| \leq 2$  and  $\Theta_1$  is a block for  $H_1^{\Delta_1}$ . To see this, suppose that  $|\Theta_1| \geq 3$ . Then  $|\Sigma_i g \setminus \Sigma| \geq 2$  for some  $i$ , so since  $H^g$  is primitive on  $\Sigma_i g$  there exists  $h \in H^g$  such that  $\Theta_1 h \neq \Theta_1$  and  $\Theta_1 h \cap \Theta_1 \neq \emptyset$ . By choice of  $g$  it must be the case that  $h \notin \mathcal{S}$ , so we may assume that*

$$\Sigma_1 h \subseteq \Sigma \quad \text{and} \quad \Sigma_2 h \subseteq \Theta_1.$$

This implies that  $\Sigma_2 \subseteq \Sigma g$ , and so

$$|\Theta_1| = |\Sigma g| - |\Sigma g \cap \Sigma| = |\Sigma| - |\Sigma_2| - |\Sigma g \cap \Sigma_1| < |\Sigma_1|.$$

First we show that  $H_1^{\Delta_1}$  is primitive. Let  $\Gamma$  be a block for  $H_1^{\Delta_1}$  with  $\Gamma \cap \Sigma_2 \neq \emptyset$  and  $|\Gamma| > 1$ . Now  $\Gamma \cap \Sigma_2$  is a block for  $H^{\Sigma_2}$ , so either  $\Sigma_2 \subseteq \Gamma$  or  $|\Gamma \cap \Sigma_2| = 1$ . If  $|\Gamma \cap \Sigma_1| = 1$  then  $\Gamma \cap \Theta_1 = \emptyset$ ,  $|\Gamma \cap \Sigma_1| = 1$  and  $|\Gamma| = 2$ . This forces  $\Gamma h$  ( $h$  as above) to contain a point of  $\Sigma$  and a point of  $\Theta_1$ , which is impossible as  $\Gamma h$  is a block for  $H_1^{\Delta_1}$ . Hence  $\Sigma_2 \subseteq \Gamma$ , from which it follows without difficulty that  $\Gamma = \Delta_1$ , using the fact that  $\Sigma_j g$  intersects both  $\Sigma_1$  and  $\Sigma_2$  nontrivially for some  $j$  (since  $d = 1$ ).

Hence  $H_1^{\Delta_1}$  is primitive. It follows from Theorem 1 that  $H_1^{\Delta_1}$  is also 2-transitive (this can also be deduced here using elementary arguments).

Finally, choose  $\alpha \in \Theta_1$ . Since  $H_1^{\Delta_1}$  is 2-transitive there exists  $k \in H_{1\alpha}$  such that  $\Sigma_1 k \cap \Theta_1 \neq \emptyset$ . Then certainly  $\Sigma_1 k \not\subseteq \Sigma$ . Since  $|\Theta_1| < |\Sigma_1|$  we have  $\Sigma_1 k \cap \Sigma \neq \emptyset$ . Hence  $k \in \mathcal{S}$ . However, as  $k \in H_{1\alpha}$  we have

$$\Sigma k \cup \Sigma \subseteq \Delta_1 \setminus \{\alpha\}$$

so that  $k$  contradicts the choice of  $g$ .

This contradiction shows that  $|\Theta_1| \leq 2$ . Clearly by choice of  $g$ ,  $\Theta_1$  is a block for  $H_1^{\Delta_1}$ , so Step 2.3 is complete.

Note that in both cases  $d = 1$  and  $d = 2$  we have  $|\Sigma g \setminus \Sigma| \leq 2$ , so  $g$  is such that  $|\Sigma g \cup \Sigma|$  is minimal subject to the condition  $\Sigma g \neq \Sigma$ . In particular  $\Sigma g \cup \Sigma \subset \Omega$  since  $k \geq 2$ , and so  $|\text{fix } H_1| \geq 1$ .

Suppose now that  $d = 1$ , so that  $|\Theta_1| \leq 2$  and  $\Theta_1$  is a block for  $H_1^{\Delta_1}$ . Let  $\Gamma$  be a proper block for  $H_1^{\Delta_1}$  with  $\Gamma \cap \Theta_1 \neq \emptyset$ . If  $\Sigma_1 \subseteq \Gamma$  or  $\Sigma_2 \subseteq \Gamma$  then  $\Gamma = \Delta_1$  since  $\Sigma_i g$  intersects both  $\Sigma_1$  and  $\Sigma_2$  for some  $i$ . Hence  $\Gamma \subseteq \Theta_1$ . Thus the only proper block systems for  $H_1^{\Delta_1}$  are the trivial one and the one containing  $\Theta_1$ . If  $|\text{fix } H_1| \geq 2$  then Theorem I of Jordan (1871) (stated in the Introduction) shows that  $G$  is 3-transitive, which is not so. Hence  $|\text{fix } H_1| = 1$ . But now if  $|\Theta_1| = 1$  then  $H_1^{\Delta_1}$  is primitive, so  $G$  is 2-primitive, which is not the case; and if  $|\Theta_1| = 2$  then Lemma 2.0 gives a contradiction.

Consequently  $d = 2$  and the orbits of  $H_1$  are  $\Sigma_i^1 = \Sigma_i \cup \Theta_i$  where  $|\Theta_i| \leq 1$  ( $i = 1, 2$ ). Write  $\Sigma^1 = \Sigma g \cup \Sigma$ . Now define  $g_1, g_2, \dots$  inductively as follows: for  $i = 1, 2, \dots$ ,  $g_i \in G$  is chosen such that  $|\Sigma^i g_i \cup \Sigma^i|$  is minimal subject to the condition  $\Sigma^i g_i \neq \Sigma^i$ ; and  $\Sigma^{i+1} = \Sigma^i g_i \cup \Sigma^i$ . By the above considerations the group  $H_{i+1} = \langle H_i, H_i^{g_i} \rangle$  has 2 nontrivial orbits  $\Sigma_1^{i+1}, \Sigma_2^{i+1}$ . Clearly there is a positive integer  $s$  such that  $|\Omega \setminus \Sigma^s| = 1$ . Then  $\text{fix } H_s = \{\alpha\}$ , say, and  $H_s$  has the 2 nontrivial orbits

$$\Sigma_i^s = \Sigma_i \cup \Theta_i \cup \Theta_i^1 \cup \dots \cup \Theta_i^{s-1} \quad (i = 1, 2)$$

where all  $|\Theta_i^j| \leq 1$ . If  $u_i = |\Theta_i \cup \Theta_i^1 \cup \dots \cup \Theta_i^{s-1}|$  then  $H_s$  is  $(u_i + 1)$ -transitive on  $\Sigma_i^s$ . Since  $k \geq 2$  we have  $u_1 + u_2 \geq 1$ .

We can now complete the proof of Theorem 2. If  $G$  is not 2-transitive then  $\Sigma_1^s, \Sigma_2^s$  are the nontrivial orbits of  $G_\alpha$ , and  $G_\alpha$  cannot be 2-transitive on both of them by Result A(ii). Hence we may assume that  $\Sigma_1^s = \Sigma_1$ . But then  $\Sigma_1 \circ \Sigma_2^s$  is a suborbit of  $G$  of size greater than  $|\Sigma_1|$  and  $|\Sigma_2^s|$  by Result A(i), which is a contradiction.

Hence  $G$  is 2-transitive. Finally, since  $G$  is not 2-primitive, one of the following must hold:

- (a)  $\mathfrak{B} = \{\Sigma_1^s, \Sigma_2^s\}$  is a block system for  $G_\alpha$  on  $\Omega \setminus \{\alpha\}$ ,
- (b)  $G_\alpha$  has a block system on  $\Omega \setminus \{\alpha\}$  with blocks of size 2, each containing 1 point from  $\Sigma_1^s$  and 1 from  $\Sigma_2^s$ .

In case (b) Lemma 2.0 gives a contradiction. In case (a) the kernel  $K$  of the action of  $G_\alpha$  on  $\mathfrak{B}$  contains  $H_s$ , so  $K$  acts 2-transitively on both of its orbits  $\Sigma_i^s$  ( $i = 1, 2$ ). Hence by Theorem D of O’Nan (1975),  $G$  is a normal extension of  $PSL(m, q)$  in its natural 2-transitive representation on  $PG(m - 1, q)$  for some  $m, q$ . But the stabiliser of a point in such an extension of  $PSL(m, q)$  cannot have a block system with 2 blocks.

This contradiction completes the proof of Theorem 2.

REMARK. An obvious modification of the proof (in Step 2.2) shows that the restrictions  $|\Sigma_i| \geq 3$  in Theorem 2 are unnecessary providing we exclude the groups  $PSL(2, 7)$  of degree 7 and  $AGL(3, 2)$  of degree 8.

### 3. Some examples

In this section we present some examples of primitive groups having subgroups with several fixed points and few nontrivial orbits.

**EXAMPLE 3.1.** Let  $G$  be a group with  $ASL(d, q) \leq G \leq AGL(d, q)$  acting on a  $d$ -dimensional vector space  $V$  over  $GF(q)$  ( $d \geq 2$ ,  $q$  a prime power). Then the pointwise stabiliser  $G_{(W)}$  of a  $(d - 1)$ -dimensional subspace  $W$  of  $V$  acts semiregularly with degree  $q^d - q^{d-1}$  on  $V \setminus W$  and has  $|AGL(d, q) : G|$  nontrivial orbits. This number of orbits can be any positive integer dividing  $q - 1$ ; in particular it can be 2 (if  $q$  is odd) or 3 (if  $q \equiv 1 \pmod{3}$ ). Notice that the actions of  $G_{(W)}$  on its orbits are primitive if and only if  $d = 2$ ,  $q$  is prime and  $G$  is  $ASL(d, q)$ ; if  $d = 2$ ,  $q = 3$  then  $G_{(W)}$  has 2 orbits of size 3 and 3 fixed points (see conclusion (ii) of Theorem 2).

**EXAMPLE 3.2.** Let  $G$  be  $PGL(d, q)$  ( $d \geq 3$ ) acting on  $PG(d - 1, q)$ , the set of 1-dimensional subspaces of  $V$  and let  $\{v_1, \dots, v_d\}$  be a basis for  $V$ . For  $r \leq s$  put  $\Delta_{rs} = \{\langle v \rangle \mid \langle v \rangle \subseteq \langle v_r, \dots, v_s \rangle\}$ . Then  $G_{\alpha\beta}$  has two nontrivial orbits for any  $\alpha, \beta \in PG(d - 1, q)$ . For  $s \leq d - 1$  let  $H_s$  be the subgroup  $\{g \in G_{(\Delta_1)} \mid \Delta_{s+1,d} g \subseteq \Delta_{2d}\}$ . Then  $H_s$  has two nontrivial orbits and  $\text{fix } H_s = \Delta_{1s}$ .

Since ' $M_{21}$ ' is  $PSL(3, 4)$  acting on  $PG(2, 4)$ , considerations similar to the above give examples of subgroups of  $M_{22}$ ,  $M_{23}$ ,  $M_{24}$  (in their representations of degrees 22, 23, 24 respectively) having two nontrivial orbits of sizes either (a) 3 and 16 or (b) 8 and 8.

**EXAMPLE 3.3.** As promised in the Introduction here is an example of a simply primitive group  $G$  such that  $G_{\alpha\beta}$  has 3 nontrivial orbits and acts primitively on each of them. Let  $G$  be the Higman-Sims simple group  $HS$  acting with degree 100, as described in Higman and Sims (1968). Then  $G$  has rank 3 and  $G_\alpha \cong M_{22}$  has nontrivial orbits of sizes 22, 77. If  $\beta$  is a point in the orbit of size 22 then  $G_{\alpha\beta} \cong PSL(3, 4)$  and  $G_{\alpha\beta}$  has 3 nontrivial orbits of sizes 21, 21 and 56, acting 2-transitively on the orbits of size 21 and primitively on that of size 56.

In fact this is the only known example of a simply primitive group  $G$  in which  $G_{\alpha\beta}$  has 3 primitive orbits; for it is easy to see that such a group must have rank 3 and act 2-primitively on one of its suborbits. The known examples of such rank 3 groups are listed in Atkinson (1977) and  $HS$  is the only one satisfying our requirements.

### 4. Proof of Theorem 3

As for the proof of Theorem 2 we require a preliminary lemma.

LEMMA 4.0. *Let  $G$  be a 2-transitive group of degree  $n \geq 13$  on  $\Omega$  and suppose that  $G_\alpha$  has a system  $\mathfrak{B}$  of blocks of imprimitivity with blocks of size 3 satisfying the following conditions:*

(i) *if  $B = \{\beta, \gamma, \delta\} \in \mathfrak{B}$  the  $G_{\alpha\beta\gamma\delta}$  has a subgroup  $H$  with 3 nontrivial orbits  $\Sigma_1, \Sigma_2, \Sigma_3$  on  $\Omega \setminus \{\alpha, \beta, \gamma, \delta\}$ , each  $H^{\Sigma_i}$  being primitive,*

(ii) *for any  $B' \in \mathfrak{B} \setminus \{B\}$ ,  $|B' \cap \Sigma_i| = 1$  ( $i = 1, 2, 3$ ).*

*Then  $n = 13$  and  $G$  is  $PSL(3, 3)$ .*

PROOF. If  $n = 13$  the conclusion is easily seen to be true (see Sims (1970)) so assume that  $n \geq 14$ . Let  $B' = \{\beta', \gamma', \delta'\} \in \mathfrak{B} \setminus \{B\}$  with  $\beta' \in \Sigma_1, \gamma' \in \Sigma_2, \delta' \in \Sigma_3$ . Since  $H \leq G_\alpha$  and  $B'$  is a block for  $G_\alpha$  we have  $H_{\beta'} = H_{\gamma'} = H_{\delta'}$ . By the argument in the proof of Lemma 2.0 every nontrivial orbit of  $G_{\alpha\beta\gamma\delta\beta'\gamma'\delta'}$  has size 3 or more. Now the  $G$ -translates of  $\{\alpha\} \cup B$  form the blocks of a Steiner system  $\mathfrak{S}(2, 4, n)$  (see Case 1 on page 274 of Atkinson (1973)); however by the previous sentence the unique block containing  $\{\beta, \beta'\}$  must be contained in  $\{\alpha, \beta, \gamma, \delta, \beta', \gamma', \delta'\}$ , which is impossible.

Now let  $G$  be a primitive group of degree  $n$  on  $\Omega$  and suppose that  $G$  has a subgroup  $H$  which has 3 nontrivial orbits  $\Sigma_1, \Sigma_2, \Sigma_3$  of size at least 4 and acts primitively on each of them. To prove Theorem 3 it is sufficient to show that  $G$  is 2-primitive if  $k \geq 3$ . Suppose then that  $k \geq 3$  and  $G$  is not 2-primitive. We mimic the proof of Theorem 2. Thus let  $\Sigma = \Sigma_1 \cup \Sigma_2 \cup \Sigma_3$  and define

$$\mathfrak{S} = \{x \in G \mid \Sigma_i x \cap \Sigma \neq \emptyset, \Sigma_i x \not\subseteq \Sigma \text{ for some } i \in \{1, 2, 3\}\}.$$

Choose  $g \in \mathfrak{S}$  with  $|\Sigma g \cup \Sigma|$  minimal. Let  $H_1 = \langle H, H^g \rangle$  have nontrivial orbits  $\Delta_1, \dots, \Delta_d$  and write  $\Theta_i = \Delta_i \setminus \Sigma$  ( $i = 1, \dots, d$ ). Copying the proofs of Steps 2.1, 2.2 and 2.3 we have

STEP 4.1. *We have  $\Sigma_i g \cap \Sigma \neq \emptyset$  for  $i = 1, 2, 3$  (so  $d \leq 3$ ). If  $d = 3$  then  $|\Theta_i| \leq 1$  ( $i = 1, 2, 3$ ) and if  $d = 2$  then  $|\Theta_1| \leq 2, |\Theta_2| \leq 1$  (where we take  $\Delta_1$  to contain two of the  $\Sigma_i$ ).*

However the next step does not yield so easily.

STEP 4.2. *If  $d = 1$  then  $|\Theta_1| \leq 3$ . Suppose that  $|\Theta_1| \geq 4$ . As in the proof of Step 2.3 there exists  $h \in H^g$  such that  $\Sigma_m h \subseteq \Theta_1$  and  $\Sigma_m \subseteq \Sigma g$  for some  $m \in \{1, 2, 3\}$ . We may take  $m = 1$ . Thus*

$$\Sigma_1 h \subseteq \Theta_1, \quad \Sigma_1 \subseteq \Sigma g.$$

Again it is easy to see that  $H_1^\Delta$  is primitive, so by Jordan's Theorem  $\Delta_1 = \Omega$ .

We first show that  $H_1$  is 2-transitive. Let  $\alpha \in \Theta_1$  and let  $\Gamma_1, \dots, \Gamma_s$  be the orbits of  $H_{1\alpha}$  on  $\Omega \setminus \{\alpha\}$ . Suppose that  $H_1$  is not 2-transitive, so that  $s \geq 2$ . For  $i = 1, \dots, s$  let  $B_i = \{j \mid \Sigma_j \subseteq \Gamma_i\}$ . We obtain a contradiction in the following three stages.

(A)  $|B_i| \geq 2$  for some  $i$ . For suppose that  $|B_i| \leq 1$  for all  $i$ . Then by choice of  $g$ , if  $j \in B_i$  then  $\Sigma_j$  is a block for  $H_{1\alpha}^{\Gamma_i}$ . Since  $|\Theta_1| < |\Sigma|$  it follows that  $\Gamma_i = \Sigma_j$  for some  $i, j$ . Choose  $\Gamma_k$  of maximal size in

$$\{\Gamma_i \mid \Gamma_i = \Sigma_j \text{ for some } i, j\}$$

and choose  $\Gamma_l$  of maximal size in

$$\{\Gamma_i \mid \Gamma_i \cap \Theta_1 \neq \emptyset\}.$$

Then by Result A,  $\Gamma_l^* \circ \Gamma_k$  is a suborbit of  $H_1$  of size greater than  $|\Gamma_l|$  and  $|\Gamma_k|$ , which is a contradiction.

(B) If  $j, k \in B_i$  for some  $i$  then  $|\Sigma_j| = |\Sigma_k|$ . To see this, first suppose that  $|B_i| = 2$  and  $\Gamma_i \cap \Theta_1 \neq \emptyset$ . Now if  $\Sigma_j x \cap \Theta_1 \neq \emptyset$  for some  $x \in H_{1\alpha}$  then  $\Sigma_j x \subseteq \Theta_1$  by choice of  $g$ . Hence  $H_{1\alpha}^{\Gamma_i}$  is imprimitive and it is easy to see that  $\Sigma_j, \Sigma_k$  are conjugate blocks for  $H_{1\alpha}^{\Gamma_i}$ , so that  $|\Sigma_j| = |\Sigma_k|$ .

Next, if  $|B_i| = 3$  and  $\Gamma_i \cap \Theta_1 \neq \emptyset$  then either  $\Sigma_j, \Sigma_k$  are conjugate blocks or  $\mathfrak{B} = \{\Sigma_{i_1} \cup \Sigma_{i_2}, \Sigma_{i_3}, \Gamma_i \cap \Theta_1\}$  is a block system for  $H_{1\alpha}^{\Gamma_i}$  (where  $\{i_1, i_2, i_3\} = \{1, 2, 3\}$ ). In the latter case the kernel  $K$  of the action of  $H_{1\alpha}$  on  $\mathfrak{B}$  contains  $H$ , so is primitive on  $\Sigma_{i_3}$  and hence on  $\Sigma_{i_1} \cup \Sigma_{i_2}$ . Thus we may pick  $y \in K$  such that

$$\Sigma_{i_1} y \neq \Sigma_{i_1} \quad \text{and} \quad \Sigma_{i_1} y \cap \Sigma_{i_1} \neq \emptyset.$$

Then  $\langle H, H^y \rangle$  has only 2 nontrivial orbits  $\Sigma_{i_1} \cup \Sigma_{i_2}$  and  $\Sigma_{i_3}$ , so  $H_1$  is 2-transitive by Theorem 1, contradicting our assumption. If  $|B_i| = 3$  and  $\Gamma_i \cap \Theta_1 = \emptyset$  we obtain a similar contradiction unless  $\Sigma_j, \Sigma_k$  are conjugate blocks for  $H_{1\alpha}^{\Gamma_i}$ .

Finally, if  $|B_i| = 2$ ,  $\Gamma_i \cap \Theta_1 = \emptyset$  and  $|\Sigma_j| \neq |\Sigma_k|$  then  $H_{1\alpha}^{\Gamma_i}$  is primitive. The third orbit  $\Sigma_l$  is contained in a block system  $\mathfrak{B}$  for  $H_{1\alpha}^{\Gamma_i}$  for some  $f$ , and the kernel  $K$  of the action of  $H_{1\alpha}$  on  $\mathfrak{B}$  contains an element  $y$  such that  $\Sigma_j y \neq \Sigma_j$ . Then  $\langle H, H^y \rangle$  has only 2 nontrivial orbits and yields a contradiction by Theorem 1 again.

(C) For some  $i$ ,  $H^{\Sigma_i}$  is regular of prime degree. Since  $|\Theta_1| \geq 4$  there exists  $i \in \{1, 2, 3\}$  such that  $|\Sigma_i g \setminus \Sigma| \geq 2$ . Suppose that  $H^{\Sigma_i}$  is not regular of prime degree and choose  $\alpha \in \Sigma_i g \setminus \Sigma$ . We first show that  $|\Sigma_1| = |\Sigma_2| = |\Sigma_3|$ . If  $|B_j| = 3$  for some  $j$  this follows from (B), so we suppose (using (A)) that

$$|B_1| = 1, \quad |B_2| = 2.$$

Assume first that  $1 \in B_1$ , that is,  $\Sigma_1 \subseteq \Gamma_1$ . Recall that  $\Sigma_1 \subseteq \Sigma g$  and  $h \in H^g$  is such that  $\Sigma_1 h \subseteq \Theta_1$ . We have  $|\Sigma_2| = |\Sigma_3|$  by (B).

If  $\Sigma_2 \subseteq \Sigma g$  then

$$|\Theta_1| = |\Sigma g| - |\Sigma g \cap \Sigma| < |\Sigma_3|$$

so  $\Gamma_2 = \Sigma_2 \cup \Sigma_3$  (for otherwise there exists  $x \in H_{1\alpha}$  such that  $\Sigma_3 x \subseteq \Theta_1$ , which is impossible). Hence for  $\beta \in \Theta_1 \setminus \{\alpha\}$  we have

$$(\Sigma_2 \cup \Sigma_3)H_{1\beta} \neq \Sigma_2 \cup \Sigma_3$$

since otherwise  $\Sigma_2 \cup \Sigma_3$  is a fixed set of  $\langle H_{1\alpha}, H_{1\beta} \rangle = H_1$ . Now (B) applied to the orbits of  $H_{1\beta}$  gives  $|\Sigma_1| = |\Sigma_2| = |\Sigma_3|$ .

If  $\Sigma_2 \not\subseteq \Sigma g, \Sigma_3 \not\subseteq \Sigma g$  then either  $(\Sigma_2 \cup \Sigma_3)(H^g)_\alpha = \Sigma_2 \cup \Sigma_3$  or (B) applied to the orbits of  $H_{1\alpha}$  gives  $|\Sigma_1| = |\Sigma_2| = |\Sigma_3|$ ; so suppose  $(\Sigma_2 \cup \Sigma_3)(H^g)_\alpha = \Sigma_2 \cup \Sigma_3$ . Let  $\beta \in \Sigma_i g \setminus \Sigma$  with  $\beta \neq \alpha$ . Since we have assumed that  $(H^g)^{\Sigma_i g}$  is not regular we have

$$(H^g)^{\Sigma_i g} = \langle (H^g)_\alpha, (H^g)_\beta \rangle^{\Sigma_i g}.$$

It is easy to see that  $\Sigma_i g$  must intersect  $\Sigma_2 \cup \Sigma_3$  nontrivially, so

$$(\Sigma_2 \cup \Sigma_3)(H^g)_\beta \neq \Sigma_2 \cup \Sigma_3.$$

Now (B) applied to the orbits of  $H_{1\beta}$  gives  $|\Sigma_1| = |\Sigma_2| = |\Sigma_3|$ .

We have now shown that  $|\Sigma_1| = |\Sigma_2| = |\Sigma_3|$  if  $1 \in B_1$ . Similar arguments yield the same conclusion if  $2 \in B_1$  or  $3 \in B_1$ . Hence in all cases,

$$|\Sigma_1| = |\Sigma_2| = |\Sigma_3|.$$

Now we may choose  $\alpha (\in \Sigma_i g \setminus \Sigma)$  such that  $\Sigma H_{1\alpha} \neq \Sigma$ . We have

$$|\Theta_1| = |\Sigma g| - |\Sigma g \cap \Sigma| < |\Sigma g| - |\Sigma_1| = 2|\Sigma_1|.$$

By the argument of Step 1.3 any nontrivial orbit of  $H_{1\alpha}$  has size at least  $|\Sigma_1|$ . Hence since  $|\Theta_1| < 2|\Sigma_1|$  and  $\Sigma H_{1\alpha} \neq \Sigma, H_{1\alpha}$  must have precisely 2 nontrivial orbits  $\Gamma_1, \Gamma_2$  with either

- (1)  $\Sigma_{i_1} = \Gamma_1, \Sigma_{i_2} \cup \Sigma_{i_3} \subset \Gamma_2$ , or
- (2)  $\Sigma_{i_1} \subset \Gamma_1, \Sigma_{i_2} \cup \Sigma_{i_3} = \Gamma_2$

where  $\{i_1, i_2, i_3\} = \{1, 2, 3\}$ . In case (1) the suborbit  $\Gamma_2 \circ \Gamma_1$  gives the usual contradiction by Result A. In case (2), for any  $\beta \in \Gamma_1 \setminus \Sigma_{i_1}$ , one of the following possibilities must hold:

- (a) the orbits of  $H_{1\beta}$  are as in case (1) above,
- (b)  $H_{1\beta}$  has an orbit  $\Sigma_i \cup \Sigma_j$  for some  $i, j$ ,
- (c)  $\Sigma H_{1\beta} = \Sigma$ .

Since  $\langle H_{1\alpha}, H_{1\beta} \rangle = H_1$  for all  $\beta \in \Gamma_1 \setminus \Sigma_{i_1}$  and  $|\Gamma_1 \setminus \Sigma_{i_1}| \geq |\Sigma_{i_1}| \geq 4$ , it is clear that (a) must hold for some  $\beta$ . This gives a contradiction as in case (1).

This finishes the proof of (C).

Now we can complete the proof of the 2-transitivity of  $H_1$ . By (C), some  $H^{\Sigma_i}$  is regular of prime degree  $p$ , say. Let  $K$  be the kernel of the action of  $H$  on  $\Sigma_i$ . If

$K \neq 1$  then by Theorem 8.8 of Wielandt (1964),  $K$  has at most 2 nontrivial orbits, so  $H_1$  is 2-transitive by Theorem 1. And if  $K = 1$  then  $|H| = p$  and so  $G$  contains an element which is a product of 1, 2 or 3  $p$ -cycles, contradicting Theorem 13.10 of Wielandt (1964).

Hence  $H_1$  is 2-transitive. We obtain a final contradiction, proving Step 4.2, by showing that  $H_1$  is 2-primitive. It is easy to see that the only possible proper, nontrivial block systems  $\mathfrak{B}$  for  $H_{1\alpha}$  ( $\alpha \in \Theta_1$ ) are

$$\{\Sigma_1, \Sigma_2, \Sigma_3, \Theta_1 \setminus \{\alpha\}\}, \quad \{\Sigma_{i_1} \cup \Sigma_{i_2}, \Sigma_{i_3}, \Theta_1 \setminus \{\alpha\}\},$$

$$\{\Sigma_{i_1} \cup \Sigma_{i_2} \cup \Theta_1 \setminus \{\alpha\}, \Sigma_{i_3}\}$$

where  $\{i_1, i_2, i_3\} = \{1, 2, 3\}$ . In each case let  $K$  be the kernel of the action of  $H_{1\alpha}$  on  $\mathfrak{B}$ . In the first two cases  $K$  does not restrict faithfully to its orbits on  $\Omega \setminus \{\alpha\}$ , and in the third case  $K$  is 2-transitive on each of its orbits (by Theorem 1). Now Theorem D and Proposition 4 of O’Nan (1975) show that none of these cases is possible.

This completes Step 4.2.

Note that in each of the cases  $d = 1, d = 2, d = 3$  we have  $|\Sigma g \setminus \Sigma| \leq 3$ , so  $g$  is such that  $|\Sigma g \cup \Sigma|$  is minimal subject to the condition  $\Sigma g \neq \Sigma$ . Hence  $\Sigma g \cup \Sigma \subset \Omega$  since  $k \geq 3$ , and so  $|\text{fix } H_1| \geq 1$ .

The argument presented after Step 2.3 (using Lemma 4.0 instead of Lemma 2.0) shows that  $d$  cannot be 1. Consequently either  $d = 3$  and  $H_1$  has orbits

$$\Sigma_i^1 = \Sigma_i \cup \Theta_i \quad (|\Theta_i| \leq 1, i = 1, 2, 3)$$

or  $d = 2$  and we may take  $H_1$  to have orbits

$$\Sigma_1^1 = \Sigma_1 \cup \Sigma_2 \cup \Theta_1 \quad \text{and} \quad \Sigma_2^1 = \Sigma_3 \cup \Theta_2 \quad (|\Theta_1| \leq 2, |\Theta_2| \leq 1).$$

Write  $\Sigma^1 = \Sigma g \cup \Sigma$ . Now for  $i = 1, 2, \dots$  choose  $g_i \in G$  such that  $|\Sigma^i g_i \cup \Sigma^i|$  is minimal subject to the condition  $\Sigma^i g_i \neq \Sigma^i$ . Write  $\Sigma^{i+1} = \Sigma^i g_i \cup \Sigma^i$  and  $H_{i+1} = \langle H_i, H_i^{g_i} \rangle$ . For some  $r$  we have  $\Sigma^r = \Omega \setminus \{\alpha\}$  for some  $\alpha \in \Omega$ . Then by Steps 4.1, 4.2 and the above reasoning (note however that we must use an obvious modification of Lemma 4.0 if some  $H_i$  has only 2 nontrivial orbits), the nontrivial orbits of  $H_r$  are of one of the following types:

- (i) 3 orbits  $\Sigma_i^r = \Sigma_i \cup \Theta_i \cup \Theta_i^1 \cup \dots \cup \Theta_i^{r-1}$  ( $i = 1, 2, 3$ , all  $|\Theta_i^j| \leq 1$ ),
- (ii) 2 orbits  $\Sigma_i^r = \Sigma_1 \cup \Sigma_2 \cup \Theta_1 \cup \Theta_1^1 \cup \dots \cup \Theta_1^{r-1}$  and

$$\Sigma_2^r = \Sigma_3 \cup \Theta_2 \cup \Theta_2^1 \cup \dots \cup \Theta_2^{r-1}, \quad (\text{all } |\Theta_i^j| \leq 2, |\Theta_2^j| \leq 1, |\Theta_1| \leq 2, |\Theta_2| \leq 1).$$

STEP 4.3.  $G$  is 2-transitive. Suppose false; then the rank of  $G$  is 3 or 4. If it is 4 then the nontrivial orbits of  $G_\alpha$  are  $\Sigma_i^r$  ( $i = 1, 2, 3$ ) and by Result A(ii),  $\Sigma_i^r = \Sigma_i$  for some  $i$ . Hence if  $\Sigma_j$  is of maximal size in  $\{\Sigma_i \mid \Sigma_i^r = \Sigma_i\}$  and  $\Sigma_k$  is of maximal

size in  $\{\Sigma'_i \mid \Sigma_i \subset \Sigma'_i\}$  then by Result A(i),  $\Sigma_j^* \circ \Sigma'_k$  is a suborbit of  $G$  of size greater than  $|\Sigma_j|$  and  $|\Sigma'_k|$ , which is impossible. Consequently  $G$  has rank 3 and  $G_\alpha$  has as orbits either

$$\Gamma_1 = \Sigma'_1 \cup \Sigma'_2, \Gamma_2 = \Sigma'_3 \text{ (}\Sigma'_i \text{ as in (i) above), or}$$

$$\Gamma_1 = \Sigma'_1, \Gamma_2 = \Sigma'_2 \text{ (}\Sigma'_i \text{ as in (ii) above).}$$

We suppose that the first case holds; it will easily be seen that the ensuing argument also applies to the second case.

Thus we are assuming that  $\Gamma_1 = \Sigma'_1 \cup \Sigma'_2, \Gamma_2 = \Sigma'_2$ . Write  $\Theta_i^0 = \Theta_i$  and let

$$\Delta_i = \Sigma'_i \setminus \Theta_i^{r-1} \quad \text{and} \quad d_i = |\Delta_i| \quad (i = 1, 2, 3).$$

Since  $H_r$  is 2-transitive on some  $\Sigma'_i, |G|$  is even and so  $\Gamma_1, \Gamma_2$  are self-paired suborbits of  $G$ . We deal separately with the cases I.  $|\Theta_1^{r-1} \cup \Theta_2^{r-1}| > 0$  and II.  $|\Theta_1^{r-1} \cup \Theta_2^{r-1}| = 0$ .

I. *The case*  $|\Theta_1^{r-1} \cup \Theta_2^{r-1}| > 0$ . Choose  $\gamma \in \Theta_1^{r-1}$ , say. If  $|\Theta_3^{r-1}| = 0$  then  $G_{\alpha\gamma}$  is transitive on  $\Gamma_2$ , giving the usual contradiction by Result A. Hence  $\Theta_3^{r-1} = \{\beta\}$ , say. If  $\beta \in \Gamma_2(\delta)$  for some  $\delta \in \Delta_3$  then, since  $G_{\alpha\beta}$  is transitive on  $\Delta_3$  we have  $\beta \in \Gamma_2(\delta)$  for all  $\delta \in \Delta_3$  and  $\Gamma_2 \cup \{\alpha\}$  is a component in the  $\Gamma_2$ -graph, which is impossible by Lemma 3 of Neumann (1977). Hence the  $\Gamma_2$ -graph has no triangles. If  $\gamma \in \Gamma_2(\delta)$  for all  $\delta \in \Delta_3$  then since the  $\Gamma_1$ -graph is connected, we have  $\gamma \in \Gamma_1(\beta)$ ; since  $|\Gamma_2(\gamma)| = |\Delta_3| + 1$  it follows that  $\Theta_2^{r-1} = \{\epsilon\}$ , say, and  $\gamma \in \Gamma_2(\epsilon)$ . But then  $\gamma, \delta, \epsilon$  form a triangle in the  $\Gamma_2$ -graph for any  $\delta \in \Delta_3$ , which is not so. Thus  $\gamma \in \Gamma_1(\delta)$  for all  $\delta \in \Delta_3$  and so  $\gamma \in \Gamma_2(\beta)$ . Now for some  $i \in \{1, 2\}$  we have  $\beta \in \Gamma_2(\delta)$  for all  $\delta \in \Delta_i$ ; we may take  $i = 1$ . Hence, since there are no triangles in the  $\Gamma_2$ -graph,  $\gamma \in \Gamma_2(\delta)$  for all  $\delta \in \Delta_2$ . Consequently  $|\Theta_2^{r-1}| = 0$ ; for if  $\Theta_2^{r-1} = \{\epsilon\}$ , say, then  $\gamma, \epsilon$  have at least  $d_2$  mutual adjacencies in the  $\Gamma_2$ -graph (the points of  $\Delta_2$ ), whereas  $\alpha, \gamma$  have only 1 mutual adjacency (the point  $\beta$ ). Since  $\gamma \in \Gamma_1(\epsilon)$  and  $\gamma \in \Gamma_1(\alpha)$  this is a contradiction. Thus, writing  $v_2(\beta)$  for the valency of  $\beta$  in the  $\Gamma_2$ -graph, we have

$$|\Gamma_2| = d_3 + 1 = v_2(\beta) = d_1 + 2 = v_2(\gamma) = d_2 + 1.$$

Counting edges in the  $\Gamma_2$ -graph between  $\Gamma_2$  and  $\Gamma_1$ , we obtain

$$|\Gamma_2|(d_1 + 1) = |\Gamma_1|.$$

Since  $|\Gamma_1| = d_1 + d_2 + 1$  this forces  $d_3$  to be 1 or 0, which is not so.

II. *The case*  $|\Theta_1^{r-1} \cup \Theta_2^{r-1}| = 0$ . Certainly  $|\Theta_3^{r-1}| = 1$  here, say  $\Theta_3^{r-1} = \{\beta\}$ . Since  $|\text{fix } H| = k \geq 3$  we have

$$\bigcup_{i=1}^3 \Theta_i^{r-2} \neq \emptyset.$$

Suppose first that  $|\Theta_1^{r-2} \cup \Theta_2^{r-2}| > 0$ , say  $\Theta_1^{r-2} = \{\gamma\}$ . If  $\gamma \in \Gamma_2(\delta)$  for  $\delta \in \Delta_3 \setminus \Theta_3^{r-2}$  then since  $v_2(\gamma)$  is  $d_3 + 1$  or  $d_3 + 2$ , we have  $\Theta_2^{r-2} = \{\epsilon\}$ , say, and

$\gamma \in \Gamma_2(\epsilon)$ . Then  $\gamma, \delta, \epsilon$  form a triangle in the  $\Gamma_2$ -graph for any  $\delta \in \Delta_3 \setminus \Theta_3^{r-2}$ , which cannot be so. Hence  $\gamma \in \Gamma_1(\delta)$  for all  $\delta \in \Delta_3 \setminus \Theta_3^{r-2}$ . If  $\gamma \in \Gamma_2(\beta)$  then  $\beta \in \Gamma_2(\delta)$  for all  $\delta \in \Delta_1$ , so  $\gamma \in \Gamma_2(\delta)$  for all  $\delta \in \Delta_2 \setminus \Theta_2^{r-2}$  and we have

$$|\Gamma_2| = d_3 + 1 = v_2(\beta) = d_1 + 1 = v_2(\gamma)$$

and  $v_2(\gamma)$  is one of  $d_2, d_2 + 1$  and  $d_2 + 2$ . However  $|\Gamma_2| d_1$  is either  $|\Gamma_1|$  or  $2|\Gamma_1|$ , which forces  $d_1 \leq 3$ , a contradiction. Thus  $\gamma \in \Gamma_1(\beta)$ ; this yields a similar contradiction.

Finally, suppose that  $|\Theta_1^{r-2} \cup \Theta_2^{r-2}| = 0$  and let  $\Theta_3^{r-2} = \{\epsilon\}$ . We may assume that  $\beta \in \Gamma_2(\delta)$  for all  $\delta \in \Delta_1$  and  $\beta \in \Gamma_1(\delta)$  for all  $\delta \in \Delta_2$ . Hence

$$\Delta_i G_{\alpha\beta} = \Delta_i \quad \text{for } i = 1, 2.$$

Now some points of  $\Delta_2$  are joined to points of  $\Delta_3$  in the  $\Gamma_2$ -graph, so  $\epsilon \in \Gamma_2(\delta)$  for all  $\delta \in \Delta_2$ . From the action of  $G_{\alpha\beta}$  we see that  $\gamma \in \Gamma_2(\delta)$  for all  $\gamma \in \Delta_3, \delta \in \Delta_2$ . Pick  $\delta_1 \in \Delta_1, \delta_2 \in \Delta_2$ . Then  $\alpha, \delta_1$  have 1 mutual adjacency in the  $\Gamma_2$ -graph, but  $\alpha, \delta_2$  have  $d_3$  mutual adjacencies, which is a contradiction.

This completes Step 4.3.

Now we can finish the proof of Theorem 3. Suppose that the orbits of  $H_r$  are of type (i) described above (just before Step 4.3). Now  $G_\alpha$  is imprimitive on  $\Omega \setminus \{\alpha\}$  by assumption. Let  $\Delta$  be a proper, nontrivial block for  $G_\alpha$ . If  $|\Delta| < 4$  then it is easy to see that  $|\Delta| = 3$  and Lemma 4.0 gives a contradiction. And if  $|\Delta| \geq 4$  we can take the block system  $\mathfrak{B}$  containing  $\Delta$  to be one of

$$\{\Sigma'_1, \Sigma'_2, \Sigma'_3\} \quad \text{and} \quad \{\Sigma'_1 \cup \Sigma'_2, \Sigma'_3\}.$$

Let  $K$  be the kernel of the action of  $G_\alpha$  on  $\mathfrak{B}$ , so that  $H_r \leq K$ . Then (using Theorem 1 in the second case)  $K$  is 2-transitive on each of its orbits and we have a contradiction by Theorem D of O’Nan (1975).

Similar arguments deal with the case where the orbits of  $H_r$  are of type (ii). Thus Theorem 3 is proved.

REMARK. Again (see the remark at the end of Section 2) we can relax the restrictions in Theorem 3 to  $|\Sigma_i| \geq 3$ , providing we exclude the group  $PSL(3, 3)$  of degree 13.

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Department of Pure Mathematics  
University College  
Cardiff CF1 1XL, Wales  
U. K.