

# Reduced norm map of division algebras over complete discrete valuation fields of certain type

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**Abstract.** We study a ramification theory for a division algebra  $D$  of the following type: The center of  $D$  is a complete discrete valuation field  $K$  with the imperfect residue field  $F$  of certain type, and the residue algebra of  $D$  is commutative and purely inseparable over  $F$ . Using Swan conductors of the corresponding element of  $\text{Br}(K)$ , we define Herbrand's  $\psi$ -function of  $D/K$ , and it describes the action of the reduced norm map on the filtration of  $D^*$ . We also give a relation among the Swan conductors and the 'ramification number' of  $D$ , which is defined by the commutator group of  $D^*$ .

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**Key words:** division algebra, reduced norm, Brauer group, wild ramification, Swan conductor.

## 1. Introduction

In this paper we develop a ramification theory of division algebras over a complete discrete valuation field  $K$ , which is analogous to the classical ramification theory of finite extensions of  $K$ . The classical ramification theory deals with a finite Galois extension  $L$  of  $K$ , under the assumption that the residue field  $F$  of  $K$  is perfect (see [5] Chapter 4, 5). There exists a good definition of 'Herbrand's function  $\psi$ ', which is decided by the state of wild ramification in  $L/K$ . The classical ramification theory gives a description of the action of the norm map on the filtration of the unit groups of  $L$  and  $K$ , by using this Herbrand's function.

Now we consider a finite dimensional central division algebra  $D$  over  $K$ , instead of  $L/K$ . If  $F$  is perfect, there is no 'wild ramification' in all  $D/K$ , so the ramification theory becomes too simple in this case. Hence we now consider the case that the characteristic of  $F$  is  $p > 0$  and  $[F : F^p] = p$ . We assume that the residue algebra of  $D$  is commutative and purely inseparable over  $F$ . This is the most important case; if  $F$  is separably closed, any  $D/K$  satisfies this property.

Our first main theorem is that there is a good definition of 'Herbrand's function  $\psi$ ' (which is decided by the state of wild ramification in  $D/K$ ) and the following holds (see Theorem 4.1).

THEOREM A. For any  $i = 0, 1, \dots$ , we have

$$\text{Nrd}(U_D^{\psi(i)}) \subset U_K^i,$$

$$\text{Nrd}(U_D^{\psi(i)+1}) \subset U_K^{i+1}.$$

Here  $U_K^i$  (resp.  $U_D^i$ ) is the  $i$ th unit group of  $K$  (resp.  $D$ ).

Let  $w$  be the element of the Brauer group of  $K$  corresponding to  $D$ . The Swan conductor of  $w$  is an analogue to Swan conductors of characters of Galois group of  $K$  and it measures how the ramification in  $D/K$  is big. Let  $s_j \in \mathbf{Z}_{\geq 0}$  be the Swan conductors of  $p^j w$  ( $j = 0, 1, \dots$ ). Herbrand's function  $\psi$  is completely decided by the numbers  $s_j$ . The graph of Herbrand's function is the hooked line, which starts from the origin and has the slope  $p^{n-j}$  in the interval  $s_j < x < s_{j+1}$ . The  $x$ -coordinates of hooked points are  $s_j$ . We call  $\psi(s_j)$  the ramification numbers of  $D/K$ .

In the classical case of  $L/K$ , there is a relation between the ramification numbers of  $L/K$  and valuations of  $\sigma(a)/a-1$  with  $\sigma \in \text{Gal}(L/K)$  and  $a \in L^*$ . For example, the least ramification number of  $L/K$  is equal to

$$\inf\{v_L(\sigma(a)/a - 1) \mid \sigma \in \text{Gal}(L/K), a \in L^*\}.$$

Here  $v_L$  denotes the normalized valuation on  $L$ . Our next theorem is to give a similar relation between ramification numbers of  $D/K$  and valuations of commutators.

THEOREM B. The least ramification number of  $D/K$  is equal to

$$\inf\{v_D(aba^{-1}b^{-1} - 1) \mid a, b \in D^*\}.$$

Here  $v_D$  denotes the normalized valuation on  $D$ .

We will also give a certain description for all ramification numbers by using values  $v_D(aba^{-1}b^{-1} - 1)$ . But this is more complicated than the case denoted above. For details, see Theorem 5.1.

We will use the notations below:

The word 'field' means commutative fields, unless the contrary is explicitly stated.

The map  $\text{Res}$  denotes the restriction map and  $\text{Cor}$  the corestriction map of Galois cohomology.

For a complete discrete valuation field  $k$  or a finite dimensional division algebra  $k$  over a complete discrete valuation field,

$v_k$  denotes the normalized valuation on  $k$ ,

$$O_k = \{x \in k \mid v_k(x) \geq 0\},$$

$$\begin{aligned} \mathfrak{m}_k &= \{x \in k \mid v_k(x) > 0\}, \\ U_k &= \{x \in k \mid v_k(x) = 0\}, \\ U_k^i &= \ker(U_k \rightarrow (O_k/\mathfrak{m}^i)^*) \quad \text{for } i = 0, 1, 2, \dots \end{aligned}$$

For a complete discrete valuation field  $k$ ,  $k_{nr}$  denotes the maximal unramified extension of  $k$ .

For any field  $k$ ,  $k^{\text{sep}}$  denotes the separable closure of  $k$ , and  $\text{Br}(k)$  denotes the Brauer group of  $k$ .

For  $\theta \in \text{Br}(k)$ ,  $D(\theta)$  denotes the division algebra over  $k$  corresponding to  $\theta$ .

For any field extension  $k'/k$  and  $\theta \in \text{Br}(k)$ ,  $\theta_{k'}$  denotes  $\text{Res}_{k'/k}(\theta)$ .

For any Abelian group  $A$  and natural number  $m$ ,  ${}_m A$  denotes  $\{a \in A \mid ma = 0\}$ .

### 2. Basic properties of elements of Brauer group

Let  $K$  be a complete discrete valuation field and  $F$  its residue field. Suppose that the characteristic of  $F$  is  $p > 0$  and  $[F : F^p] = p$ . Let  $D$  be a division algebra with center  $K$  and  $C$  its residue division algebra. We consider the following condition:

$$C \text{ is commutative and purely inseparable over } F. \tag{*}$$

Let  $w$  be the class of  $D$  in the Brauer group of  $K$ .

PROPOSITION 2.1. (i) *If (\*) holds, then*

$$[D : K]^{1/2} = [C : F] = v_D(\pi_K).$$

(ii) *The condition (\*) is equivalent to the condition*

$$\text{the order of } w = \text{the order of } w_{K_{nr}}. \tag{*)'}$$

Furthermore, if this condition holds, then the order of  $w$  is equal to  $[D : K]^{1/2}$ .

(iii) *Suppose that (\*) holds for  $D$ . Then (\*) also holds for  $D(p^j w)$  ( $j = 0, 1, \dots$ ) and for  $D(w_L)$  where  $L$  is an algebraic extension of  $K$  and satisfying either of the three conditions below*

- (a)  $L \subset D$ ,
- (b)  $L$  is unramified over  $K$ ,
- (c)  $p \nmid [L : K] < \infty$ .

*Proof.* (i) Put  $[D : K] = r^2$ ,  $[C : F] = f$  and  $v_D(\pi_K) = e$ . It is well-known that  $ef = r^2$ . Take  $y \in C - C^p$  so that  $C = F(y)$ . Take its lifting  $x \in D$ , then we have

$$f = [C : F] \leq [K(x) : K] \leq r$$

(the last inequality follows from the fact  $K(x)$  is a commutative subfield of  $D$ ).

Next, we show that  $1, \pi_D, \dots, \pi_D^{e-1}$  are linearly independent over  $K$ . To show this, suppose that

$$a_0 + a_1\pi_D + \dots + a_{e-1}\pi_D^{e-1} = 0$$

with  $a_j \in K$ . Since all of  $v_D(a_j\pi_D^j) = ev_K(a_j) + j$  ( $j = 0, 1, \dots, e-1$ ) are distinct, all of  $a_j$  must be zero. This implies

$$e \leq [K(\pi_D) : K] \leq r.$$

From those two inequalities, we have  $r = e = f$ .

(ii) The assertions ‘ $(*)'$  implies  $(*)'$ ’ and ‘ $(*)'$  implies the last assertion’ can be shown easily by induction on the order of  $w$ , using [1] Section 4 Lemma 5 for the case that the order of  $w$  is  $p$ .

Now, we prove that  $(*)$  implies  $(*)'$ . From (i), we have  $[D : K]^{1/2} = [C : F] = v_D(\pi_K)$ . Since  $C/F$  is purely inseparable, those common values are a power  $p^n$  of  $p$ . It is well-known that the order of  $w$  divides  $[D : K]^{1/2} = p^n$ . So let  $p^m$  ( $m \leq n$ ) be the order of  $w$ . We prove  $m = n$  by induction on  $m$ .

We first consider the case  $m = 1$ . Suppose that  $w$  is split by some finite unramified Galois extension  $L/K$ . Put  $G = \text{Gal}(L/K)$ . Let  $H$  be some  $p$ -Sylow subgroup of  $G$  and  $L_0$  its fixed subfield. We see that the order of  $w_{L_0}$  is also  $p$  (because  $\text{Cor}(w_{L_0}) = [L_0 : K]w$  and  $p \nmid [L_0 : K]$ ). Further, we can see  $D(w_{L_0}) = D \otimes L_0$ . To see this, put  $p^{2r} = [D(w_L) : K]$ , then it is enough to show  $r = n$ . Since  $w_{L_0}$  is split by some extension of  $L_0$  of degree  $p^r$ ,  $w$  is split by an extension of  $K$  of degree  $[L_0 : K]p^r$ . So we have  $p^n \mid [L_0 : K]p^r$ , and hence  $r = n$ . Since  $H$  is a  $p$ -group, there is a sequence of fields

$$L_0 \subset L_1 \subset \dots \subset L_s = L,$$

such that  $[L_{j+1} : L_j] = p$  ( $j = 0, 1, \dots, s-1$ ). Take  $r \in \{0, 1, \dots, s-1\}$  as

$$[D(w_{L_r}) : L_r] = p^{2n} > [D(w_{L_{r+1}}) : L_{r+1}] = p^{2n'}.$$

Take any maximal subfield  $M$  of  $D(w_{L_{r+1}})$ . Then  $w_{L_r}$  is split by the extension  $M/L_r$  whose degree is  $p^{n'+1}$ . So we have  $n' + 1 = n$ , and then  $[M : L_r] = [D(w_{L_r}) : L_r]^{1/2}$ . This shows that  $D(w_{L_r})$  contains a field which is isomorphic to  $M$ . But the extension  $M/L_r$  contains the unramified extension  $L_{r+1}/L_r$ , this contradicts  $(*)$  for  $D(w_{L_r})$  (since  $D(w_{L_r}) = D \otimes L_r$ , it is clear that  $(*)$  holds for  $D(w_{L_r})$ ). This shows  $w_{K_{nr}} \neq 0$ .

When  $m > 1$ , the inductive hypothesis says that  $[D(pw) : K] = p^{2(m-1)}$ . Take a maximal commutative subfield  $L$  of  $D(pw)$ , then the order of  $w_L$  is  $p$ . From the case  $m = 1$ ,  $w_L$  is split by some extension of  $L$  of degree  $p$ , and it is an extension of  $K$  of degree  $p^m$ . This completes the proof.

(iii) The case (a) is clear from the fact that  $D(w_L)$  is isomorphic to the centralizer of  $L$  in  $D$ . The other parts are clear from (ii).  $\square$

**3. Herbrand’s function  $\psi$**

From now on we assume  $D$  is a division algebra satisfying  $(*)$ . Let  $C$  be its residue field,  $w$  the element of  $\text{Br}(K)$  corresponding to  $D$ , and  $p^n$  the order of  $w$ .

Put  $s_j = \text{sw}(p^j w) \in \mathbf{Z}_{\geq 0} (j = 0, 1, \dots, n)$ . Here, for any  $\theta \in \text{Br}(K)$ ,  $\text{sw}(\theta)$  denotes the Swan conductor of  $\theta$  which is defined in [2] (see below). We have

$$s_0 > s_1 > \dots > s_n = 0.$$

Formally put  $s_{-1} = \infty$ . Using those numbers, we define Herbrand’s function  $\psi: \mathbf{Z}_{\geq 0} \rightarrow \mathbf{Z}_{\geq 0}$  for  $D$  as follows

$$\begin{aligned} \psi(0) &= 0, \\ \psi(i) &= \psi(s_j) + p^{n-j}(i - s_j) \quad \text{if } s_j \leq i \leq s_{j-1}. \end{aligned}$$

We review on Swan conductors. For any  $m \in \mathbf{Z}$ , the cup product induces the map

$$\begin{aligned} K^*/K^{*m} \otimes_m \text{Br}(K) &= H^1(K, \mathbf{Z}/m\mathbf{Z}(1)) \otimes H^2(K, \mathbf{Z}/m\mathbf{Z}(1)) \\ &\rightarrow H^3(K, \mathbf{Z}/m\mathbf{Z}(2)) \end{aligned}$$

and taking the inductive limit on  $m$ , it induces

$$K^* \otimes \text{Br}(K) \rightarrow H^3(K, \mathbf{Q}/\mathbf{Z}(2)).$$

(In the case that the characteristic of  $K$  is  $p$ , the definitions of  $p$ -primary part of  $\mathbf{Z}/m\mathbf{Z}(r)$  and  $\mathbf{Q}/\mathbf{Z}(r)$  are complicated. For details, see [2].) We write the image of  $a \otimes \theta \in K^* \otimes \text{Br}(K)$  by this map as  $\{\theta, a\}$ .

For any finite extension  $L/K$ , we have

$$\begin{aligned} \text{Cor}(\{\theta_L, a\}) &= \{\theta, N_{L/K}(a)\} \quad \text{for any } \theta \in \text{Br}(K), a \in L^*, \\ \text{Cor}(\{\theta, a\}) &= \{\text{Cor}(\theta), a\} \quad \text{for any } \theta \in \text{Br}(L), a \in K^*. \end{aligned} \tag{1}$$

When  ${}_p \text{Br}(F) \neq 0$ , Swan conductors can be defined as ([2] Proposition(6.5))

$$\text{sw}(\theta) = \inf\{m | \ker(\{\theta, ?\}) \supset U_K^{m+1}\} \tag{2}$$

for any  $\theta \in \text{Br}(K)$ . Remark that this definition is correct only when  ${}_p \text{Br}(F) \neq 0$  and  $[F: F^p] = p$ .

Now, suppose  ${}_p\text{Br}(F) = 0$ . In this case, we need more precise definition of Swan conductors, but after the proof of the next lemma, we can reduce all problems to the case  ${}_p\text{Br}(F) \neq 0$ .

Fix  $\pi_K \in K$  such that  $v_K(\pi_K) = 1$ . Let  $K_m$  be the fraction field of the completion of  $O_K[T^{p^{-m}}]_{(\pi_K)}$  ( $m = 0, 1, \dots$ ) and  $K_\infty$  the fraction field of the completion of  $\bigcup_{m=0}^\infty O_K[T^{p^{-m}}]_{(\pi_K)}$ . Then their residue fields are  $F_m = F(T^{p^{-m}})$  and  $F_\infty = \bigcup F_m$ .

LEMMA 3.1. (i)  $[F_\infty : F_\infty^p] = p$  and  ${}_p\text{Br}(F_\infty) \neq 0$ .

(ii)  $D \otimes K_\infty$  is a division algebra.

(iii) For any  $\theta \in \text{Br}(K)$ , we have

$$\text{sw}(\theta) = \text{sw}(\theta_{K_\infty}).$$

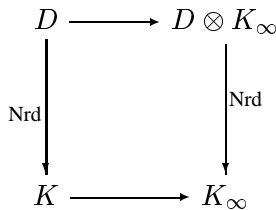
In particular, Herbrand's functions for  $D$  and  $D \otimes K_\infty$  coincide.

(iv)  $v_D = v_{D \otimes K_\infty}|_D$ . In particular, for any  $i = 0, 1, \dots$ , we have

$$U_D^i = U_{D \otimes K_\infty}^i \cap D,$$

$$U_K^i = U_{K_\infty}^i \cap K.$$

(v) The diagram



commutes.

*Proof.* (iv), (v) and the first assertion of (i) are clear. Now, we prove the later part of (i). Let  $\chi \in H^1(F_\infty, \mathbf{Q}/\mathbf{Z})$  be the character of  $\text{Gal}(F_\infty^{\text{sep}}/F_\infty)$  which corresponds to the extension defined by the equation  $\alpha^p - \alpha = T$ . Take  $a \in F - F^p$ . Then the element  $(\chi, a)$  of  ${}_p\text{Br}(F_\infty)$  is not zero, because  $(\chi, a) = 0$  is equivalent to  $a \in N_{F_\infty(\alpha)/F_\infty}(F_\infty(\alpha)^*)$  (see [5] Chapter 14 for the definition of  $(\chi, a)$ ).

(iii) From [2] Proposition(6.3), we can easily see

$$\text{sw}(\theta_{K_0}) \geq \text{sw}(\theta_{K_\infty}).$$

Further, the same proposition says that, to show the opposite inequality it is enough to show that

$$\{\theta_{L_\infty}, 1 + \pi_K^{N+1} S\} = 0 \quad \text{implies} \quad \{\theta_{L_0}, 1 + \pi_K^{N+1} S\} = 0 \quad \text{for any } N,$$

where  $L_m$  is the fractional field of the henselization of  $O_{K_m}[S]_{(\pi_K)}$  and similarly  $L_\infty$ . Since

$$\{\theta_{L_\infty}, 1 + \pi_K^{N+1}S\} = \text{Res}(\{\theta_{L_0}, 1 + \pi_K^{N+1}S\})$$

in  $H^3(L_\infty, \mathbf{Q}/\mathbf{Z}(2))$ ,  $\{\theta_{L_\infty}, 1 + \pi_K^{N+1}S\} = 0$  is equivalent to  $\{\theta_{L_m}, 1 + \pi_K^{N+1}S\} = 0$  for some  $m$ . But [2] Lemma (6.2) says

$$\text{sw}(\theta) = \text{sw}(\theta_{K_m}) \quad \text{for all } m = 0, 1, \dots$$

From this, if  $\{\theta_{L_m}, 1 + \pi_K^{N+1}S\} = 0$  holds for some  $m$ , then it also holds for all  $m$ , especially for  $m = 0$ . This completes the proof. When we have proved (ii), the later part of (iii) is clear from this.

(ii) It is enough to show  $(p^{n-1}w)_{K_\infty} \neq 0$ . But [2] Proposition (6.1) and (iii) say  $\text{sw}(p^{n-1}w_{K_\infty}) = \text{sw}(p^{n-1}w) > 0$ . This shows  $(p^{n-1}w)_{K_\infty} \neq 0$ .  $\square$

In the rest of this section, we prove some properties of Swan conductors and Herbrand’s functions. If  $a$  and  $b$  are two elements of some group, we write  $[a, b] = aba^{-1}b^{-1}$ . For  $a \in O_D$ , we write  $\bar{a}$  for the class of  $a$  in  $C$ .

LEMMA 3.2. *If  $n = 1$ , then we have*

$$s_0 = \inf\{v_D([a, b] - 1) \mid a, b \in D^*\}.$$

*Proof.* Let  $t$  be the right-hand side of above equation. First, we reduce to the case  ${}_p\text{Br}(F) \neq 0$ . Using notations in Lemma 3.1, we have  $s_0 = \text{sw}(w_{K_\infty})$ . So we should show

$$t = \inf\{v_{D \otimes K_\infty}([a, b] - 1) \mid a, b \in (D \otimes K_\infty)^*\}.$$

Take  $\alpha \in O_D$  such that  $\bar{\alpha} \notin F$ , and  $\pi_D \in D^*$  such that  $v_D(\pi_D) = 1$ . Then we also have  $\bar{\alpha} \notin F_\infty$ , and  $v_{D \otimes K_\infty}(\pi_D) = 1$ . Hence, the claim is clear from [1] Section 1 Lemma 1. Now we assume  ${}_p\text{Br}(F) \neq 0$ . In this case, [1] Section 1 says

$$t = \inf\{m \mid \text{Nrd}(D^*) \supset U_K^{m+1}\}.$$

Further, [4] Theorem (12.2) says that

$$\text{Nrd}(D^*) = \ker(\{w, ?\}).$$

From (2), this completes the proof.  $\square$

LEMMA 3.3. *If  $L/K$  is a finite extension such that the residue extension is purely inseparable, then we have*

$$\text{Cor: } H^3(L, \mathbf{Q}/\mathbf{Z}(2)) \rightarrow H^3(K, \mathbf{Q}/\mathbf{Z}(2))$$

is isomorphic in  $p$ -primary part.

*Proof.* For any  $m$ , there exists an isomorphism

$$H^3(K, \mathbf{Z}/p^m\mathbf{Z}(2)) \rightarrow {}_p\text{Br}(F)$$

described in [3]. Let  $E$  be the residue field of  $L$ . It is easy to see that the diagram

$$\begin{array}{ccc} H^3(K, \mathbf{Z}/p^m\mathbf{Z}(2)) & \xrightarrow{\text{iso.}} & {}_p\text{Br}(F) \\ \text{Cor} \uparrow & & \uparrow \cong \\ H^3(L, \mathbf{Z}/p^m\mathbf{Z}(2)) & \xrightarrow{\text{iso.}} & {}_p\text{Br}(E) \end{array}$$

commutes, here right arrow is induced by  $[E : F]$ -th power map from  $E$  to  $F$ .  $\square$

LEMMA 3.4. Let  $L/K$  be a field extension such that  $[L : K]$  is prime to  $p$ . Then,

- (i)  $D \otimes L$  is a division algebra.
- (ii) Let  $e = v_L(\pi_K)$  and  $\psi'$  be Herbrand's function for  $D \otimes L$ . Then, for any  $i = 0, 1, \dots$ , we have

$$\psi'(ei) = e\psi(i).$$

- (iii) For any  $m = 0, 1, \dots$ , we have

$$U_D^i = U_{D \otimes L}^{ei} \cap D,$$

$$U_K^i = U_L^{ei} \cap K.$$

(iv) The diagram

$$\begin{array}{ccc} D & \longrightarrow & D \otimes L \\ \text{Nrd} \downarrow & & \downarrow \text{Nrd} \\ K & \longrightarrow & L \end{array}$$

commutes.

*Proof.* (i) It is enough to show that the order of  $w_L$  is  $p^n$ . But this is clear from the fact that the restriction map is injective in 'prime to  $[L : K]$ -part'.

(ii) It is enough to show that  $\text{sw}(\theta_L) = e \text{sw}(\theta)$  for any  $\theta \in \text{Br}(K)$ . From Lemma 3.1, we can assume  ${}_p\text{Br}(F) \neq 0$ . Take the maximal unramified extension  $L'$  in  $L/K$ , then the extension  $L/L'$  is totally ramified (since  $[L : K]$  is prime to



*p*). So it is enough to show the claim in the cases that  $L/K$  is unramified or totally ramified.

First, we consider the case  $L/K$  is totally ramified so that  $e = [L : K] = v_L(\pi_K)$ . Take  $l, m \in \mathbf{Z}$  such that  $p^{nl} + em = 1$ . Take any  $a \in U_K^i$  ( $i > \text{sw}(\theta_L)/e$ ). Since  $U_K^i \subset U_L^{\text{sw}(\theta_L)+1}$ , we have

$$\begin{aligned} \{\theta, a\} &= \{(p^{nl} + em)(\theta), a\} \\ &= \{em\theta, a\} \\ &= m\{\text{Cor}(\theta_L), a\} \\ &= m \text{Cor}(\{\theta_L, a\}) \quad \text{from (1)} \\ &= 0. \end{aligned}$$

From (2), this means  $e \text{sw}(\theta) \leq \text{sw}(\theta_L)$ . To show the opposite inequality, note that

$$N_{L/K}(U_L^{ei+1}) \subset U_K^{i+1} \quad \text{for any } i = 0, 1, \dots$$

This is proved by [5] Chapter 5. Take any  $a \in U_L^{e\text{sw}(\theta)+1}$ . Then we have

$$\text{Cor}(\{\theta_L, a\}) = \{\theta, N(a)\} = 0 \quad \text{from (1)}.$$

This proves the opposite inequality by using (2) and Lemma 3.3.

Next, we consider the case  $L/K$  is unramified so that  $e = 1$ . In this case, we have (see [5] Chapter 5)

$$N_{L/K}(U_L^i) = U_K^i \quad \text{for any } i = 0, 1, \dots$$

Using this fact, the inequality  $\text{sw}(\theta_L) \geq \text{sw}(\theta)$  can be shown by a similar way as above. We can take  $a \in U_K^{\text{sw}(\theta)}$  such that  $\{\theta, a\} \neq 0$ . There exist  $b \in U_L^{\text{sw}(\theta)}$  such that  $N(b) = a$ . Then we have

$$0 \neq \{\theta, a\} = \{\theta_L, b\} \quad \text{from (1)}.$$

From (2), this shows the opposite inequality and completes the proof.

(iii) and (iv) are trivial. □

#### 4. The action of reduced norm on the filtration

**THEOREM 4.1.** *For any  $i = 0, 1, \dots$ , we have*

$$\text{Nrd}(U_D^{\psi(i)}) \subset U_K^i,$$

$$\text{Nrd}(U_D^{\psi(i)+1}) \subset U_K^{i+1}.$$

To prove this theorem, we use induction on  $n$ . For  $n = 1$ , the proof is already done in [1] Section 1 and Lemma 3.2.

Assume  $n > 1$ . From Lemma 3.1, we can assume  ${}_p\text{Br}(F) \neq 0$ . Our plan of the proof is as follows. Take a Galois extension  $L/K$  of degree  $p$  contained in  $D$ . Let  $D'$  be the centralizer of  $L$  in  $D$ . For  $x \in D'$ , we have

$$\text{Nrd}_{D/K}(x) = \text{N}_{L/K}(\text{Nrd}_{D'/L}(x)).$$

Hence, for such  $x$ , the problem is divided into ‘ $\text{Nrd}_{D'/L}$ -part’ and ‘ $\text{N}_{L/K}$ -part’.

First, we prove the following claim: We can assume that for any  $x \in U_D$  there exists a Galois extension of  $K$  of degree  $p$  contained in  $D$  such that  $x$  is an element of the centralizer of it in  $D$ .

When the characteristic of  $K$  is  $p$  and the extension  $K(x)/K$  is purely inseparable, we have  $\text{Nrd}(x) = x^{p^n}$  and  $x \in U_D^i$  implies  $x^{p^n} \in U_K^i$ . Whatever the values of  $\text{sw}(p^j w)$  are, we have  $\psi(i) \geq i$  ( $i = 0, 1, \dots$ ). So there is no problem in this case.

In the every other case, we can take a commutative subfield  $L$  of  $D$  containing  $K$  such that the extension  $L/K$  is not trivial and separable, and  $x$  is an element of the centralizer of  $L$  in  $D$ . We can write  $L = K(y)$  for some  $y \in L$ . Take any pro- $p$ -Sylow subgroup of  $\text{Gal}(K^{\text{sep}}/K)$  and let  $K_1$  be its fixed subfield in  $K^{\text{sep}}$ . Since a  $p$ -group is solvable, we can take a field extension  $K_1(z)/K_1$  such that

$$K_1 \subset K_1(z) \subset K_1(y) = K_1L,$$

$$[K_1(z) : K_1] = p.$$

Write  $z = f(y)/g(y)$  where  $f$  and  $g$  are polynomials whose coefficients are in  $K_1$ . Let  $K_2$  be the field generated by  $K$ , all coefficients of  $f$  and  $g$ , and all coefficients of the minimal equation of  $z$  over  $K_1$ . Then

$$p \nmid [K_2 : K] < \infty,$$

$$K_2 \subset K_2(z) \subset K_2(y),$$

$$[K_2(z) : K_2] = p.$$

Using Lemma 3.4, we can assume the existence of separable (not necessary Galois) extension  $L/K$  of degree  $p$ .

Now assume that a separable extension  $L/K$  of degree  $p$  is given. Take the Galois closure  $L'$  of  $L/K$ , and let  $K'$  be the fixed field of some  $p$ -Sylow subgroup of  $\text{Gal}(L'/K)$ . Since  $[L' : K] \leq p!$ , we have  $p \nmid [K' : K]$  and the extension  $L'/K'$  is Galois. Hence we have showed the claim, by using Lemma 3.4.

Now we take such a Galois extension  $L/K$  of degree  $p$  contained in  $D$ . Let  $D'$  be the centralizer of  $L$  in  $D$ . It is well-known that the class of  $D'$  in  $\text{Br}(L)$  is equal to  $w_L$ . The extension  $L/K$  is either a totally ramified extension or an extension with a purely inseparable residue extension of degree  $p$ . We call the first case ‘totally ramified’ and the latter case ‘having residue extension’. Put  $s'_j = \text{sw}(p^{n-1-j}w_L)(j = 0, 1, \dots, n - 1)$  and let  $\psi'$  be the Herbrand’s function for  $D'/L$ . Now we can use inductive hypothesis, hence we have

$$\begin{aligned} \text{Nrd}_{D'/L}(U_{D'}^{\psi'(i)}) &\subset U_L^i, \\ \text{Nrd}_{D'/L}(U_{D'}^{\psi'(i)+1}) &\subset U_L^{i+1}. \end{aligned}$$

In the case ‘totally ramified’, we can use [5] Chapter 5. Put  $t = v_L(\pi_L^\sigma/\pi_L - 1)$  where  $\sigma$  is a generator of  $\text{Gal}(L/K)$  and  $\pi_L$  is an element of  $L$  such that  $v_L(\pi_L) = 1$ . Using this, we define

$$\begin{aligned} \rho(i) &= i && \text{if } 0 \leq i \leq t, \\ \rho(i) &= t + p(i - t) && \text{if } t \leq i. \end{aligned}$$

Then we have

$$\begin{aligned} \text{N}_{L/K}(U_L^{\rho(i)}) &\subset U_K^i, \\ \text{N}_{L/K}(U_L^{\rho(i)+1}) &\subset U_K^{i+1}. \end{aligned}$$

On the other hand, we have

$$\begin{aligned} U_{D'}^i &= U_D^i \cap D', \\ U_K^i &= U_L^{pi} \cap K. \end{aligned}$$

So we must show

$$\psi \geq \psi' \circ \rho.$$

This is an easy consequence of next lemma.

**LEMMA 4.2.** *Use above assumptions and notations. Take  $m$  as  $s_m \leq t < s_{m-1}$ . Then we have  $m \leq n - 1$  (i.e. it does never happen that  $t < s_{n-1}$ ), and*

$$\begin{aligned} s_{n-1} &\leq s'_{n-2} \leq s_{n-2} \leq s'_{n-3} \leq \dots \\ \dots &\leq s_m \leq t < s_{m-1} = \rho^{-1}(s'_{m-1}) \end{aligned}$$

$$< s_{m-2} = \rho^{-1}(s'_{m-2})$$

$$< \dots$$

*Proof.* It is enough to show five inequalities below

$$s_{n-1} \leq t, \tag{3}$$

$$s_{j+1} \leq s'_j \quad j = 0, 1, \dots, n-1, \tag{4}$$

$$s'_j \leq \rho(s_j) \quad j = 0, 1, \dots, n-1, \tag{5}$$

$$t \leq s'_{m-1}, \tag{6}$$

$$\rho(s_j) \leq s'_j \quad j = 0, 1, \dots, m-1. \tag{7}$$

These inequalities can be proved rather easily as follows. The key of the proof is (1) and (2).

*Proof of (3):* Take  $a \in U_K^{t+1}$ . Then we can write  $a = N_{L/K}(b)$  for some  $b \in U_L^{t+1}$  ([5] Chapter 5). So

$$\{p^{n-1}w, a\} = \text{Cor}(\{(p^{n-1}w)_L, b\}) = 0$$

and this implies (3).

*Proof of (4):* Take  $a \in U_K^{s'_j+1}$ . Then  $a \in U_L^{s'_j+1}$ . So

$$\{p^{j+1}w, a\} = \text{Cor}(\{(p^jw)_L, a\}) = 0,$$

and this implies (4).

*Proof of (5):* Take  $a \in U_L^{\rho(s_j)+1}$ . Then  $N_{L/K}(a) \in U_K^{s_j+1}$ . So

$$\text{Cor}(\{(p^jw)_L, a\}) = \{p^jw, N(a)\} = 0$$

and this implies (5) by Lemma 3.3.

*Proof of (6):* Since  $t < s_{m-1}$ , we can take  $a \in U_K^{t+1}$  such that  $\{p^{m-1}w, a\} \neq 0$ . We can also take  $b \in U_L^{t+1}$  such that  $a = N(b)$ . So

$$0 \neq \{p^{m-1}w, a\} = \text{Cor}(\{p^{m-1}w_L, b\})$$

and this implies (6).

*Proof of (7):* Take  $a \in U_K^i$  as  $\rho(i) > s'_j$ . Since  $t \leq s'_j$ , we can write  $a = N_{L/K}(b)$  for some  $b \in U_L^{s'_j+1}$ . So

$$\{p^jw, a\} = \text{Cor}(\{(p^jw)_L, b\}) = 0,$$

and this implies (7). □

*Remark 4.3. From this lemma, for  $L$  such that  $t = s_{n-1}$ , we have*

$$\begin{aligned} \psi &= \psi' \circ \rho, \\ \rho(s_j) &= s'_j \quad \text{for all } j = 0, 1, \dots, n - 2. \end{aligned}$$

*Similar fact holds when  $L/K$  has residue extension. See below.*

In the case ‘having residue extension’, we can use [1] Section 1: Put  $t = pv_L(h^\sigma/h - 1)$  where  $\sigma$  is a generator of  $\text{Gal}(L/K)$  and  $h$  is an element of  $O_L$  such that  $\bar{h} \notin F$ . Using this, we define

$$\begin{aligned} \rho(i) &= i/p && \text{if } 0 \leq i \leq t \\ \rho(i) &= t/p + (i - t) && \text{if } t \leq i. \end{aligned}$$

Then we have

$$\begin{aligned} N_{L/K}(U_L^{\rho(i)}) &\subset U_K^i, \\ N_{L/K}(U_L^{\rho(i)+1}) &\subset U_K^{i+1}. \end{aligned}$$

On the other hand, we have

$$\begin{aligned} U_{D'}^i &= U_D^{pi} \cap D', \\ U_K^i &= U_L^i \cap K. \end{aligned}$$

So we must show

$$\psi \geq p\psi' \circ \rho.$$

This is an easy consequence of next lemma.

**LEMMA 4.4.** *Use above assumptions and notations. Take  $m$  as  $s_m \leq t < s_{m-1}$ . Then we have  $m \leq n - 1$  and*

$$\begin{aligned} s_{n-1} &\leq ps'_{n-2} \leq s_{n-2} \leq ps'_{n-3} \leq \dots \\ \dots &\leq s_m \leq t < s_{m-1} = \rho^{-1}(s'_{m-1}) \\ &< s_{m-2} = \rho^{-1}(s'_{m-2}) \\ &< \dots. \end{aligned}$$

*Proof.* It is enough to show five inequalities below

$$\begin{aligned} s_{n-1} &\leq t, \\ s_{j+1} &\leq s'_j < ps'_j \quad j = 0, 1, \dots, n-1, \\ s'_j &\leq \rho(s_j) \quad j = 0, 1, \dots, n-1, \\ t/p &\leq s'_{m-1}, \\ \rho(s_j) &\leq s'_j \quad j = 0, 1, \dots, m-1. \end{aligned}$$

The proof is very similar to ‘totally ramified case’, so we omit it.  $\square$

## 5. The ramification numbers

For any subset  $S$  of  $D^*$ , we write

$$t_D(S) = \inf\{v_D([a, b] - 1) \mid a, b \in S\}.$$

We can prove the following fact by just the same way as [1] Section 1 Lemma 1. If  $\alpha \in O_D$  and  $\pi_D \in D^*$  satisfy  $\bar{\alpha} \in C - C^p$  and  $v_D(\pi_D) = 1$ , then

$$t_D(D^*) = v_D([\alpha, \pi_D] - 1).$$

Recall that the numbers  $\psi(s_j)$  are called the ramification numbers of  $D/K$ .

**THEOREM 5.1.** For  $j = 0, 1, \dots, n-1$ , put

$$t_j = \sup\{t_D(D'^*) \mid D' \text{ satisfies conditions below}\},$$

$D'$  is a division algebra,

$$K \subset D' \subset D,$$

$$[D : \text{center of } D'] = p^{2j+2},$$

$$[\text{center of } D' : K] = p^{n-j-1}.$$

(In particular

$$t_{n-1} = t_D(D^*).$$

Then we have

$$\psi(s_j) = t_j \quad \text{for any } j = 0, 1, \dots, n-1.$$

First we prove two lemmas.

**LEMMA 5.2.** *Fix any  $\pi_D \in D$  such that  $v_D(\pi_D) = 1$ , and put  $\pi_K = \text{Nrd}(\pi_D)$ . If  $i < s_{n-1}$ , then we have*

$$\text{Nrd}(1 + \pi_D^i u) \equiv 1 + \pi_K^i u^{p^n} \pmod{\pi_K^{i+1}}$$

for any  $u \in U_D$ .

*Proof.* This can be showed easily by induction using three points below. The case  $n = 1$  is proved in [1] Section 1. Similar fact for  $N_{L/K} : L \rightarrow K$  is proved in [5] Chapter 6 or [1] Section 1. For any totally ramified Galois extension  $L/K$  of degree  $p$ , we already proved in Lemma 4.2 that

$$s_{n-1} \leq t,$$

$$s_{n-1} \leq s'_{n-2},$$

or similar fact for a ‘having residue extension’ case, using notations as in the proof of Theorem 4.1. □

**LEMMA 5.3.** *If  $K_0/K$  is a finite field extension such that  $p \nmid [K_0 : K]$ . Then  $D \otimes K_0$  is a division algebra and*

$$t_{D \otimes K_0}((D \otimes K_0)^*) = e t_D(D^*).$$

Here  $e = v_{K_0}(\pi_K)$ .

*Proof.* The first part of this lemma is already proved in Lemma 3.4. Take  $\alpha \in O_D$  such that  $\bar{\alpha} \in C - C^p$ , then we also have

$$\bar{\alpha} \in (O_{D \otimes K_0} / \mathfrak{m}_{D \otimes K_0}) - (O_{D \otimes K_0} / \mathfrak{m}_{D \otimes K_0})^p.$$

Fix  $\pi_D \in D$  and  $\pi_{K_0} \in K_0$  such that  $v_D(\pi_D) = 1$  and  $v_{K_0}(\pi_{K_0}) = 1$ . Take  $l, m \in \mathbf{Z}$  such that  $p^n l + em = 1$ . Put  $\pi_{D \otimes K_0} = \pi_{K_0}^l \pi_D^m$  so that  $v_{D \otimes K_0}(\pi_{D \otimes K_0}) = 1$ . Put  $[\alpha, \pi_D] = 1 + \pi_D^r u$  with  $u \in U_D$ , then we have

$$\begin{aligned} [\alpha, \pi_{D \otimes K_0}] &= [\alpha, \pi_{K_0}^l \pi_D^m] \\ &= [\alpha, \pi_D^m] \\ &= [\alpha, \pi_D](\pi_D[\alpha, \pi_D]\pi_D^{-1}) \dots (\pi_D^{m-1}[\alpha, \pi_D]\pi_D^{1-m}) \\ &\equiv 1 + \pi_D^r (u + \pi_D u \pi_D^{-1} + \dots + \pi_D^{m-1} u \pi_D^{1-m}) \pmod{\pi_{D \otimes K_0}^{er+1}}. \end{aligned}$$

Since  $u \equiv \pi_D u \pi_D^{-1} \pmod{\pi_D}$  and  $p \nmid m$ , we have

$$u + \pi_D u \pi_D^{-1} + \dots + \pi_D^{m-1} u \pi_D^{1-m} \equiv m u \not\equiv 0 \pmod{\pi_D}.$$

Hence we have

$$\begin{aligned} t_{D \otimes K_0}((D \otimes K_0)^*) &= v_{D \otimes K_0}([\alpha, \pi_{D \otimes K_0}] - 1) = er \\ &= ev_D([\alpha, \pi_D] - 1) = et_D(D^*) \end{aligned}$$

and this completes the proof. □

Now, let us begin the proof of Theorem 5.1. We again use induction on  $n$ . The case  $n = 1$  is already done in Lemma 3.2.

Suppose that  $n > 1$ . First, we prove the case  $j = n - 1$ . We have  $t_{n-1} = t_D(D^*)$  and  $\psi(s_{n-1}) = s_{n-1}$ . Since  $\text{Nrd}([a, b]) = 1$  for any  $a, b \in D^*$ , we can easily see  $v_D([a, b] - 1) \geq s_{n-1}$  using Lemma 5.2. Now, we must show the existence of  $a, b \in D$  such that  $v_D([a, b] - 1) = s_{n-1}$ .

The first step is to prove the following claim: We can assume an existence of a Galois extension  $L/K$  of degree  $p$  contained in  $D$  which satisfies the next condition: Let  $\sigma$  be a generator of  $\text{Gal}(L/K)$ . Then,

$$\begin{aligned} s_{n-1} &= v_L(\sigma(\pi_L)/\pi_L - 1) && \text{for some } \pi_L \in L \text{ such that } v_L(\pi_L) = 1 \\ & && \text{when } L/K \text{ is totally ramified,} \\ s_{n-1} &= pv_L(\sigma(h)/h - 1) && \text{for some } h \in O_L \text{ such that } \bar{h} \notin F \\ & && \text{when } L/K \text{ has residue extension.} \end{aligned}$$

If  $L$  is a maximal commutative subfield of  $D(p^{n-1}w)$ , then there is an inclusion  $L \hookrightarrow D$  (this can be proved by the same argument as in Section 2). Hence, it is enough to show the claim in the case  $n = 1$ . In this case, we know that there exists some  $x, y \in D^*$  such that

$$s_0 = v_D([x, y] - 1).$$

Take some maximal commutative subfield  $L$  of  $D$  which contains  $[x, y]$ . Again we can assume the extension  $L/K$  is Galois. If the extension  $L/K$  is totally ramified, put  $= v_L(\sigma(\pi_L)/\pi_L - 1)$ , using the same notation as above. Then it is clear that

$$1 \neq \text{the class of } [x, y] \in \ker(\mathbf{N}: U_L^{s_0}/U_L^{s_0+1} \rightarrow U_K^{s_0}/U_K^{s_0+1}).$$

On the other hand, [5] Chapter 6 says that for  $i < t$

$$\mathbf{N}: U_L^i/U_L^{i+1} \rightarrow U_K^i/U_K^{i+1}$$

is injective. This implies  $s_0 \geq t$ . We already know  $s_0 \leq t$  by Lemma 4.2. This proves the claim in this case. The proof of the case that the extension  $L/K$  has residue extension goes similarly, and hence we omit it.

Now suppose that such an extension  $L/K$  is given. We use the same notations as in the proof of Theorem 4.1 for  $D', s'_j, \psi', t$  and  $\rho$ . Since the case  $L/K$  has



residue extension can be proved by the similar way, we prove the case  $L/K$  is totally ramified. In this case, there exists  $\pi_{D'} \in D'$  such that  $v_D(\pi_{D'}) = 1$ . Put  $\pi_L = \text{Nrd}_{D'/L}(\pi_D)$  and  $\pi_K = \text{Nrd}_{D/K}(\pi_D)$ . From the definition, we have  $s_{n-1} = t = v_L(\sigma(\pi_L)/\pi_L - 1)$ . From general theories of central simple algebras, there exists  $\alpha \in D^*$  such that the restriction of the inner automorphism

$$x \mapsto \alpha x \alpha^{-1}$$

on  $D$  to  $L$  is equal to  $\sigma$ . We have

$$\begin{aligned} s_{n-1} = t &= v_L(\sigma(\pi_L)/\pi_L - 1) \\ &= v_L([\alpha, \pi_L] - 1) \\ &= v_L([\alpha, \text{Nrd}_{D'/L}(\pi_D)] - 1) \\ &= v_L(\text{Nrd}_{D'/L}([\alpha, \pi_D]) - 1) \end{aligned}$$

Since  $s_{n-1} = t$ , Lemma 4.2 says  $t < s'_{n-2}$ . Applying Lemma 5.2 to  $[\alpha, \pi_D]$  on  $D'/L$ , we have

$$v_L(\text{Nrd}_{D'/L}([\alpha, \pi_D]) - 1) = v_D([\alpha, \pi_D] - 1).$$

This completes the proof.

Next, we consider the case  $j < n - 1$ . First, we prove the existence of  $D_0$  such that  $t_D(D_0^*) = s_j$ . We use the same  $L$  as in the proof of the case  $j = n - 1$ . Again, we only deal with the case  $L/K$  is totally ramified, because the proof of the case  $L/K$  has residue extension goes similarly. In this case, we have  $\psi = \psi' \circ \rho$  and  $t_D(S) = t_{D_0}(S)$  for any  $S \in D_0^*$ . Using the inductive hypothesis, there exists a sub-division algebra  $D_0 \subset D'$  such that

$$\begin{aligned} [D_0 : \text{the center of } D_0] &= p^{2j+2}, \\ [\text{the center of } D_0 : L] &= p^{n-2-j}, \\ \psi'(s'_j) &= t_{D_0}(D_0^*). \end{aligned}$$

Then we have

$$t_D(D_0^*) = \psi'(s'_j) = \psi'(\rho(s_j)) = \psi(s_j).$$

This is what we wanted.

Next, take any sub division algebra  $D_0 \subset D$  such that

$$[D_0 : \text{the center of } D_0] = p^{2j+2} \quad \text{and} \quad [\text{the center of } D_0 : K] = p^{n-1-j},$$

and we begin to prove  $\psi(s_j) \geq t_D(D_0^*)$ . Let  $L_0$  be the center of  $D_0$ . First, we consider the case  $L_0/K$  is not purely inseparable. In this case, we can assume that there exists  $L$  such that  $K \subset L \subset L_0$  and  $L/K$  is a Galois extension of degree  $p$  by using Lemma 3.4 and 5.3. Let  $D'$  be the centralizer of  $L$  in  $D$  so that  $D_0 \subset D'$ . We will use the same notations as before. Using the inductive hypothesis, we have

$$t_{D'}(D_0^*) \leq \psi'(s'_j).$$

Again, we only prove in the case  $L/K$  is totally ramified. Lemma 4.2 says that we can choose  $m \in \{0, \dots, n-1\}$  so that

$$\begin{aligned} s_{n-1} &\leq s'_{n-2} \leq s_{n-2} \leq s'_{n-3} \leq \dots \\ \dots &\leq s_m \leq t < s_{m-1} = \rho^{-1}(s'_{m-1}) \\ &< s_{m-2} = \rho^{-1}(s'_{m-2}) \\ &< \dots \end{aligned}$$

Hence we have

$$\psi(s_j) \geq \psi'(\rho(s_j)) \geq \psi'(s'_j) \geq t_{D'}(D_0^*) = t_D(D_0^*).$$

This proves the inequality.

When  $L_0/K$  is purely inseparable, we can prove the inequality more easily. Put  $v_{L_0}(\pi_K) = p^e$ . Then we have

$$\begin{aligned} v_{L_0}(a) &= p^e v_K(a) && \text{for any } a \in K, \\ v_D(a) &= p^{n-j-1-e} v_{D_0}(a) && \text{for any } a \in D_0. \end{aligned}$$

Using this, we have

$$\begin{aligned} t_D(D_0^*) &= p^{n-j-1-e} t_{D_0}(D_0^*) \\ &= p^{n-j-1-e} \text{sw}(w_{L_0}) && \text{by the inductive hypothesis} \\ &\leq \text{sw}(p^j w) && \text{see below} \\ &\leq \psi(s_j) && \text{because } i \leq \psi(i) \text{ for any } i. \end{aligned}$$

Now, let us show  $p^{n-j-1-e} \text{sw}(w_{L_0}) \leq \text{sw}(p^j w)$ . Take  $a \in U_{L_0}^i$  with  $i > p^{e+1+j-n} s_j$ . Noting that

$$N_{L_0/K}(a) = a^{p^{n-j-1}} \in U_{L_0}^{ip^{n-j-1}} \cap K \subset U_K^{ip^{n-j-1-e}} \subset U_K^{s_j+1},$$

we have

$$\{w_{L_0}, a\} = \{w, a^{p^n - j - 1}\} = 0 \quad \text{from (1)}.$$

From (2), this proves the inequality. And hence, we have just proved Theorem 5.1.  $\square$

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