n-REFLEXIVITY FOR LINEAR SPACES OF OPERATORS *by* KUN WOOK CHOI

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Abstract. We discuss the relationship between the *n*-reflexivity of a linear sub-space S in $\mathcal{B}(\mathcal{H})$, property $(\mathbf{A}_{1/n})$, Class \mathcal{C}_0 and strictly *n*-separating vectors. We also show that every algebraic operator with property (\mathbf{A}_2) is hyperreflexive.

1. Introduction. Let \mathcal{H} be a complex Hilbert space and let $\mathcal{B}(\mathcal{H})$ denote the collection of all bounded linear operators on \mathcal{H} . For a linear subspace $S \subset \mathcal{B}(\mathcal{H})$ the reflexive closure of S is defined as Ref $S = \{T \in \mathcal{B}(\mathcal{H}) : Tx \in [Sx] \text{ for all } x \in \mathcal{H}\}$, where $Sx = \{Sx : S \in S\}$ and [.] denotes the closure in the norm topology. A linear subspace $S \subset \mathcal{B}(\mathcal{H})$ is *reflexive* if S = Ref S. It is easily proved that reflexive subspaces are weakly closed and it is also easy to verify that Ref $\mathcal{A} = \text{Alglat } \mathcal{A}$ if \mathcal{A} is an algebra containing $I_{\mathcal{H}}$, where lat \mathcal{A} is the lattice of invariant subspace for \mathcal{A} , and Alglat $\mathcal{A} = \{T \in \mathcal{B}(\mathcal{H}) : \text{lat } \mathcal{A} \subset \text{lat } T\}$. We recall that a subspace S of $\mathcal{B}(\mathcal{H})$ is *hyperreflexive* if there is a $K \ge 1$ such that, for every T in $\mathcal{B}(\mathcal{H})$, dist $(T, S) \le K \sup\{\text{dist}(Tx, Sx) : x \in \mathcal{H}, ||x|| \le 1\}$. The smallest such K = K(S) is the constant of hyperreflexivity of S. Clearly, hyperreflexive implies reflexivity, but not vice versa [13]. We say that an operator T is *reflexive(hyperreflexive)* if the weakly closed algebra W_T generated by $I_{\mathcal{H}}$ and T is reflexive(hyperreflexive).

For a vector $x \in \mathcal{H}$ and a linear subspace $S \subset \mathcal{B}(\mathcal{H})$, we define the evaluation map $E_x : S \to \mathcal{H}$ by $E_x(T) = Tx$. A vector x in \mathcal{H} separates S if E_x is injective on S and a vector x in \mathcal{H} strictly separates S if E_x is bounded below on S. By the open mapping theorem, it is easy to see that x strictly separates S if and only if x separates S and Sx is norm closed. We write $S^{(n)} = \{S^{(n)} \in \mathcal{B}(\mathcal{H}^{(n)}) : S \in S\}$ as the *n*-fold ampliation of S, where $S^{(n)}$ is the direct sum of n copies of the operators acting on $\mathcal{H}^{(n)} = \mathcal{H} \oplus \ldots \oplus \mathcal{H}.S$ is said to be *n*-reflexive(*n*-hyperreflexive) if and only if $S^{(n)}$ is reflexive(hyperreflexive). S is *n*-reflexive(*n*-hyperreflexive) implies S is (n + 1)-reflexive((n + 1)-hyperreflexive), but the converse does not hold. We say that S has a strictly *n*-separating vector if $S^{(n)}$ has a strictly separating vector. It is easy to see that if S has a strictly *n*-separating vector, then S has a strictly separating vector. For a linear subspace $S \subset \mathcal{B}(\mathcal{H})$ and a linear subspace \mathcal{M} of \mathcal{H} , we define $\pi : S \mid \mathcal{M}$ by $\pi(S) = S \mid \mathcal{M}$. A linear subspace \mathcal{M} is said to be a strictly separating subspace for S if there exists $\varepsilon > 0$ such that $||S|\mathcal{M}|| \ge \varepsilon ||S||$, for all $S \in S$. It is easily seen that \mathcal{M} is a strictly separating S(\mathcal{M}) = $\{0\}$ is S = 0 and $S \mid \mathcal{M}$ is norm closed.

For vectors x and y in \mathcal{H} , we write $x \otimes y$ for the rank one operator defined by $(x \otimes y)(u) = (u, y)x, u \in \mathcal{H}$. Let $S \subset \mathcal{B}(\mathcal{H})$ be a weak*-closed subspace and n is a positive integer. We say that S has property $(A_{1/n})$ if every weak*-continuous functional φ on S can be written as

$$\varphi = \sum_{i=1}^{n} [x_i \otimes y_i]$$
 for some x_i and y_i in \mathcal{H} . (1)

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Furthermore, S has property $(\mathbf{A}_{1/n})(r)$, $r \ge 1$ if it has property $(\mathbf{A}_{1/n})$ and if for any C > r the decomposition(1) can be realized with $\sum_{i=1}^{n} ||x_i|| ||y_i|| \le C ||\varphi||$. Suppose m and n are cardinal numbers such that $1 \le m, n \le \aleph_0$. We say that $S \subset \mathcal{B}(\mathcal{H})$ has property $(\mathbf{A}_{m,n})$ provided that for every family $\{\varphi_{ij}: 0 \le i < m, 0 \le j < n\}$ of weak*-continuous functional on S, there exist sequences $\{x_i: 0 \le i < m\}$ and $\{y_j: 0 \le j < n\}$ of vectors in \mathcal{H} such that

$$\varphi_{ij} = \begin{bmatrix} x_i \otimes y_j \end{bmatrix}_{\substack{0 \le i < m \\ 0 \le j < m}}.$$
(2)

Furthermore, if $m, n \in \mathbb{N}$ and r is a fixed real number satisfying $r \ge 1$, then $S \subset \mathcal{B}(\mathcal{H})$ is said to have property $(\mathbf{A}_{m,n})(r)$ if for every s > r, there exist sequences $\{x_i\}_{0 \le i < m}, \{y_j\}_{0 \le j < n}$ that satisfy (2) and also satisfy the following conditions:

$$\|x_i\| \le \left(s \sum_{0 \le j < n} \|\varphi_{ij}\|\right)^{1/2} (0 \le i < m), \tag{3}$$

and

$$\|y_{j}\| \leq \left(s \sum_{0 \leq i < m} \|\varphi_{ij}\|\right)^{1/2} (0 \leq j < n).$$
(4)

For brevity, we shall denote $(A_{n,n})$ by (A_n) .

In [4], H. Bercovici, C. Foias and C. Pearcy [Proposition 9.16] proved that if a weakly closed subspace S of $\mathcal{B}(\mathcal{H})$ has property (A₁), then S is 3-reflexive. In Section 2 [Theorem 2.3], we prove the following generalization: a weak*-closed subspace S of $\mathcal{B}(\mathcal{H})$ with property (A_{1/n}) is (2n + 1)-reflexive. In Section 3 [Theorem 3.2], we prove that every operator of class C_0 is 2-reflexive. It was shown by L. Ding [10, Theorem 2.5] that if S is a fine dimensional linear subspace of $\mathcal{L}(\mathcal{V})$ and S has a k-dimensional separating subspace, then S is (k + 1)-reflexive. In the last section [Theorem 4.2], we prove that a norm closed linear subspace S of $\mathcal{B}(\mathcal{H})$ with a strictly *n*-separating vector is (n+1)-hyperreflexive. We recover a well-known result as a special case.

2. Property $(A_{1/n})$. If S is a WOT-closed subspace of $\mathcal{B}(\mathcal{H})$, then S is weak*-closed but not conversely. B. Chevreau and J. Esterle [6] proved the following interesting result.

LEMMA 2.1. Let S be a weak*-closed subspace of $\mathcal{B}(\mathcal{H})$ with property $(\mathbf{A}_{1/n})$ for some $n \in \mathbb{N}$. Then S is WOT-closed.

The following elementary Lemma comes from [4, Lemma 9.15].

LEMMA 2.2. Suppose that $n \in \mathbb{N}$, $T \in \mathcal{B}(\mathcal{H})$ and S is a linear subspace of $\mathcal{B}(\mathcal{H})$. Then the n-fold direct sum $T^{(n)}$ belongs to Ref $(S^{(n)})$ if and only if whenever $\{x_1 \dots x_n\}$ and $\{y_1 \dots y_n\}$ are sequences from \mathcal{H} such that $\sum_{j=1}^n [x_j \otimes y_j] = 0$, we have $\sum_{j=1}^n \langle Tx_j, y_j \rangle = 0$. Moreover, T belongs to the WOT-closure of S if and only if the n-fold direct sum $T^{(n)}$ belongs to Ref $(S^{(n)})$, for every positive integer n.

THEOREM 2.3. Let S be a weak*-closed subspace of $\mathcal{B}(\mathcal{H})$ with property $(A_{1/n})$. Then S is (2n + 1)-reflexive.

Proof. By Lemma 2.1, S is WOT-closed. Much of the proof is based on ideas of [4]. Suppose the (2n + 1)-fold direct sum $T^{(2n+1)}$ belongs to Ref $(S^{(2n+1)})$ for some T in $\mathcal{B}(\mathcal{H})$. We have to show that T belongs to S. By Lemma 2.2, it suffices to show that the implication

$$\sum_{j=1}^{k} [x_j \otimes y_j] = 0 \Rightarrow \sum_{j=1}^{k} \langle Tx_j, y_j \rangle = 0$$
(5)

holds for all integers k. We proceed by induction on p. By the hypothesis, we know that (5) is satisfied for $k \le (2n + 1)$. Assume that (5) has been proven for all $2n + 1 \le k < p$ and let $x_1, x_2, \ldots, x_p, y_1, y_2, \ldots, y_p$ in \mathcal{H} satisfy the relation

$$\sum_{j=1}^{p} [x_j \otimes y_j] = 0.$$
(6)

Since S has property $(A_{1/n})$, there exist sequences of vectors $\{u_1, \ldots, u_n\}$ and $\{v_1, \ldots, v_n\}$ in \mathcal{H} such that

$$\sum_{j=1}^{n} [u_j \otimes v_j] = \sum_{j=n+2}^{p} [x_j \otimes y_j].$$
(7)

Since the equality $\sum_{j=1}^{n} \left[-u_j \otimes v_j \right] + \sum_{j=n+2}^{p} \left[x_j \otimes y_j \right] = 0$ has (p-1) terms, we have $\sum_{j=1}^{n} \langle -Tu_j, v_j \rangle + \sum_{j=n+2}^{p} \langle Tx_j, y_j \rangle = 0$, or, equivalently,

$$\sum_{j=1}^{n} \langle Tu_j, v_j \rangle = \sum_{j=n+2}^{p} \langle Tx_j, y_j \rangle.$$
(8)

Furthermore, from (6) and (7) we have $\sum_{j=1}^{n+1} [x_j \otimes y_j] + \sum_{j=1}^n [u_j \otimes v_j] = 0$. Thus it follows from the induction hypothesis that

$$\sum_{j=1}^{n+1} \langle Tx_j, y_j \rangle + \sum_{j=1}^n \langle Tu_j, v_j \rangle = 0,$$
(9)

since k has (2n + 1) terms. Consequently, by Lemma 2.2, $T \in S$. Hence the proof is complete. We recover a result in [4] as a special case.

COROLLARY 2.4. Suppose S is a weak*-closed subspace of $\mathcal{B}(\mathcal{H})$ with property (A₁). Then S is 3-reflexive.

The following proposition improves a result of A. Loginov and V. Shulman.

PROPOSITION 2.5. Suppose S is a weak*-closed subspace of $\mathcal{B}(\mathcal{H})$ and has property $(\mathbf{A}_{1/n})$ and suppose S is n-reflexive. Then every weakly-closed subspace of S is n-reflexive, where n is a positive integer, (i.e., S is hereditarily n-reflexive).

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Proof. Suppose \mathcal{M} be a weakly closed subspace of S and let the *n*-fold direct sum $T^{(n)}$ belong to Ref $(\mathcal{M}^{(n)})$. We have to show T belongs to \mathcal{M} . It suffices to show the implication $T \in \text{Ref}_{E_n}(\mathcal{M}) \Rightarrow T \in \mathcal{M}$, where $E_n = E + E + \ldots + E$ (*n* summands). Assume that $T \in \text{Ref}_{E_n}(\mathcal{M})$ and $T \notin \mathcal{M}$. Then $T \notin \mathcal{M} = (\mathcal{M}^{\perp})_{\perp}$. Thus there exists φ in \mathcal{M}^{\perp} such that $\varphi(T) \neq 0$. Since S has property $(A_{1/n})$, there exist sequences of vectors $\{x_1, \ldots, x_n\}$, $\{y_1, \ldots, y_n\}$ in \mathcal{H} such that

$$\varphi - \sum_{i=1}^{n} [x_i \otimes y_i] \in \mathcal{S}^{\perp}.$$
 (10)

Thus $\varphi \in \mathcal{M}^{\perp} \cap E_n$ and $\sum_{i=1}^n \langle Tx_i, y_i \rangle \neq 0$. Thus we have $T \notin (\mathcal{M}^{\perp} \cap E_n)_{\perp} = \operatorname{Ref}_{E_n}(\mathcal{M})$. Consequently, $T \in \mathcal{M}$. Hence the proof is complete.

3. The Class C_0 . Recall that a completely nonunitary contraction $T \in \mathcal{B}(\mathcal{H})$ (on a separable Hilbert space \mathcal{H}) is an operator of class C_0 if u(T) = 0 for some $u \in \mathbf{H}^{\infty}$, $u \neq 0$. The simplest operators of class C_0 are the Jordan Blocks $S(\Theta)$, with $\Theta \in \mathbf{H}^{\infty}$ an inner function, defined by

$$\mathbf{S}(\Theta) = \left(\mathbf{S}^* \mid \left(\mathbf{H}^2 \ominus \Theta \mathbf{H}^2\right)\right)^*,\tag{11}$$

where S is the unilateral shift. It is known [3] that every operator T of class C_0 is quasi-similar to a Jordan operator $S = \bigoplus_i S(\Theta_i)$, where the values of i are ordinal numbers and the inner function are subject to the conditions $\Theta_i = 1$ for some $i \ge 0$, Θ_i divides Θ_j whenever $i \ge j$ and $\Theta_i = \Theta_j$ whenever card(i) = card(j). We start this section with the following Lemma from [7, Proposition 6].

LEMMA 3.1. For an inner function Θ , the weak*-closed algebra $\mathcal{A}_{\mathcal{S}(\Theta)}$ generated by 1 and $\mathcal{S}(\Theta)$ has property $(\mathbf{A}_{1,2})(1)$.

THEOREM 3.2. Every operator of class C_0 is 2-reflexive.

Proof. Suppose $T \in C_0$. Then T is quasi-similar to $S = \bigoplus_i S(\Theta_i)$. Since $\mathcal{A}_{S(\Theta_i)}$ has property $(\mathbf{A}_{1,2})(1)$, $\mathcal{A}_{S(\Theta_i)}$ is weakly closed and 2-reflexive [4, Proposition 9.17], for i = 1, 2, ... This implies that $\mathcal{A}_{S(\Theta_i)} \oplus \mathcal{A}_{S(\Theta_2)} \oplus ...$ is 2-reflexive. Since $\mathcal{A}_S = \mathcal{A}_{S(\Theta_1)\oplus S(\Theta_2)\oplus...}$ is contained in $\mathcal{A}_{S(\Theta_1)} \oplus \mathcal{A}_{S(\Theta_2)} \oplus ..., \mathcal{A}_S$ is a weakly closed and has property $(\mathbf{A}_{1,2})(1)$, \mathcal{A}_S is 2-reflexive [Propostion 2.5]. Thus $S = \bigoplus_i S(\Theta_i)$ is 2-reflexive. Since T and S are quasi-similar operators of class C_0 , T is 2-reflexive [3, Collary 3.6]. Hence the proof is complete.

An operator $T \in \mathcal{B}(\mathcal{H})$ is algebraic if p(T) = 0 for some polynomial p.

COROLLARY 3.3. Every algebraic operator $T \in \mathcal{B}(\mathcal{H})$ with $||T|| \le 1$ is 2-hyperreflexive. Moreover, every algebraic operator with property (\mathbf{A}_2) is hyperreflexive.

Proof. It is known that every algebraic operator with property (A_2) is reflexive [5, Corollary 6]. By [11, Theorem 3.14], every reflexive algebraic operator is hyperreflexive. Hence we have the corollary.

4. Strictly *n*-separating vectors. In this section we study the relationship between *n*-hyperreflexity and strictly *n*-separating vectors. The following lemma comes from [11, Theorem 4.10].

LEMMA 4.1. Let S be a norm closed linear subspace of $\mathcal{B}(\mathcal{H})$. Suppose S has a strictly separating vector e and a strictly separating closed subspace \mathcal{M} such that $\overline{(S\mathcal{M})} = \overline{sp}\{Sx : S \in S, x \in \mathcal{M}\}$ satisfies $\overline{(S\mathcal{M})} \cap Se = \{0\}$ and $\overline{(S\mathcal{M})} + Se$ is norm closed. Then S is hyperreflexive.

THEOREM 4.2. Let S be a norm closed linear subspace of $\mathcal{B}(\mathcal{H})$. Suppose S has a strictly nseparating vector. Then S is (n + 1)-hyperreflexive for some $n \in \mathbb{N}$.

Proof. Suppose $S^{(n)}$ has a strictly separating vector $e = (e_1, e_2, \ldots, e_n)$. We show that S has the *n*-dimensional strictly separating subspace \mathcal{M} . Suppose $= \overline{\text{span}}\{e_1, e_2, \ldots, e_n\}$. We must show that $S \to S \mid \mathcal{M}$ is injective and $S \mid \mathcal{M}$ is norm closed. Since $S^{(n)}$ has a strictly separating vector $e = (e_1, e_2, \ldots, e_n)$.

$$\|S^{(n)}e\| \ge \delta \|S^{(n)}\| \text{ where } \delta > 0.$$
(12)

Thus

$$\|S\| = \|S^{(n)}\| \le \frac{1}{\delta} \|S^{(n)}e\|$$
(13)

$$= \frac{1}{\delta} \|S^{(n)}(e_1, e_2, \dots, e_n)\|$$
(14)

$$= \frac{1}{\delta} \| (Se_1, Se_2, \dots, Se_n) \|$$
(15)

$$= \frac{1}{\delta} \left(\sum_{i=1}^{n} \|Se_i\|^2 \right)^{\frac{1}{2}}$$
(16)

$$\leq \frac{\sqrt{n}}{\delta} \operatorname{Max}_{1 \leq i \leq n} \|Se_i\|.$$
(17)

Then we have

$$\|S|\mathcal{M}\| \geq \operatorname{Max}_{1 \leq i \leq n} \|Se_i\| \geq \frac{\delta}{\sqrt{n}} \|S\|.$$

We define $\pi : S \to S \mid M$ by $\pi(S) = S \mid M$. Then we have

$$\|\pi(S)\| \ge \frac{\delta}{\sqrt{n}} \|S\| = \beta \|S\|$$
, where $\beta = \frac{\delta}{\sqrt{n}} > 0$.

Thus π is injective and ran π is norm closed. Let $f = e \oplus 0 \in \mathcal{H}^{(n+1)}$. Then f is a strictly separating vector for $\mathcal{S}^{(n+1)}$. Set

$$\mathcal{G} = \left\{ \underbrace{0 \oplus \ldots \oplus 0}_{(n)} \oplus m \mid m \in \mathcal{M} \right\} \subset \mathcal{H}^{(n+1)}.$$
(18)

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Then \mathcal{G} is a strictly separating subspace for $\mathcal{S}^{(n+1)}$. We also note that $\mathcal{S}^{(n+1)}f \cap (\mathcal{S}^{(n+1)}\mathcal{G}) = \{0\}$ and $\mathcal{S}^{(n+1)}f + (\mathcal{S}^{(n+1)}\mathcal{G})$ is norm closed. By Lemma 4.1, $\mathcal{S}^{(n+1)}$ is hyperreflexive. Hence \mathcal{S} is (n+1)-hyperreflexive.

COROLLARY 4.3. Suppose S is a norm closed linear subspace of $\mathcal{B}(\mathcal{H})$ and S has a finite dimensional strictly separating subspace \mathcal{M} . Then there is a positive integer n such that S is n-hyperreflexive.

Proof. We can choose from unit sphere of \mathcal{M} a finite collection of vectors v_1, \ldots, v_k so that (v_1, \ldots, v_k) is a strictly k-separating vector for \mathcal{S} . It follows from the above theorem that there is a positive integer n(>k) such that \mathcal{S} is *n*-hyperreflexive. Hence the proof is complete.

A subalgebra $\mathcal{A} \subset \mathcal{B}(\mathcal{H})$ is said to be *strictly cyclic* if $\mathcal{A}x = \mathcal{H}$, for some vector x in \mathcal{H} .

COROLLARY 4.4. Suppose $\mathcal{A}^{(n)}$ is a strictly cyclic abelian algebra. Then \mathcal{A} is (n + 1)-hyperreflexive, for some $n \in \mathbb{N}$.

PROPOSITION 4.5. A weak*-closed subspace S has a strictly n-separating vector. Such as S has property $(A_{1/n})(nr)$, for some r > 1 and $n \in \mathbb{N}$.

Proof. Suppose S has a strictly *n*-separating vector. Then $S^{(n)}$ has a strictly separating vector. Thus $S^{(n)}$ has property $(A_1)(r)$. By Proposition 7.3(1) of [1], S has a property $(A_{1/n})(nr)$. The proof is complete.

In the following example, $S^{(2)} = \{S \oplus S \mid S \in S\}$ has a strictly separating subspace \mathcal{M} but $S^{(2)}\mathcal{M}$ is not norm closed.

EXAMPLE 4.6. Suppose S is a norm closed linear subspace of $\mathcal{B}(\mathcal{H})$ and S has a strictly separating vector e. Let $f \in \mathcal{H}$ and $\mathcal{M} = sp\{e \oplus 0, 0 \oplus f\}$. Then we have

$$\|S^{(2)} \mid \mathcal{M}\| \ge \|S^{(2)}(e \oplus 0)\| = \|Se\|$$
(19)

$$\geq \varepsilon \|S\| = \varepsilon \|S^{(2)}\|. \tag{20}$$

Thus \mathcal{M} is a strictly separating subspace for $\mathcal{S}^{(2)}$. But since

$$\mathcal{S}^{(2)}\mathcal{M} = \{S_1 e \oplus S_2 f \mid S_1, S_2 \in \mathcal{S}\} = \mathcal{S}e \oplus \mathcal{S}f,\tag{21}$$

 $\mathcal{S}^{(2)}\mathcal{M}$ is not norm closed.

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DEPARTMENT OF MATHEMATICS COLLEGE OF NATIONAL SCIENCES KYUNGPOOK NATIONAL UNIVERSITY TAGUE, 702-702, S. KOREA Present address Department of Mathematics College of Natural Sciences Pohang University of Science and Technology Pohang, 790-784, S. Korea