IS THERE A TIME-TRANSGRESSIVE HOLOCENE OPTIMUM IN THE EAST ASIAN MONSOON AREA?

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ABSTRACT. We have carried out a multiproxy analysis of high-resolution eutrophic peat/mud, sand dune, and loess/paleosol sequences covering the Holocene period in both southern and northern China, in order to test the hypothesis of a timetransgressive Holocene optimum in the East Asian monsoon area (An et al. 2000). Samples were radiocarbon dated to establish the chronology. Our results indicate that the Holocene optimum occurred between ~10,000–5000 cal yr ago in both southern and northern China, consistent with a global pattern rather than simply a local expression. Our data also support the conclusion that the evolution of Holocene climate in China is consistent with changes in Northern Hemisphere solar radiation.

INTRODUCTION

The East Asian monsoon is an integral part of the global climatic system. Monsoon climate, especially monsoon-associated precipitation, is important to the maintenance of living environments and socially sustainable development in the populous regions of East Asia (An et al. 2000). The East Asian monsoon regime is a subsystem of the Asian monsoon circulation (Gao and Xu 1962). The East Asian winter monsoon is linked to cold air flow from high latitudes, and the summer monsoon rains are linked with low-latitude sea surface temperatures (SST) and water vapor. In China, the present summer-monsoon rainfall belt usually consists of discontinuous rain belts that form as the front moves northward. The rainfall belt migrates with the frontal system, leading to the asynchronous onset of summer monsoon precipitation in different areas of the country. The rain belt is stable when the front maintains a quasi-stationary state. Generally, the first such pause occurs in the 2nd week of May in the maritime areas of southern China. The second pause occurs in the 3rd week of June in the area between the Yangtze and Yellow rivers. The third pause, in mid-July, occurs in northern and northeastern China (Figure 1). This concept has led to a model suggesting that Holocene East Asian summer monsoon precipitation reached a maximum in different regions of China at different times in the past (An et al. 2000). From the model, this time-transgressive Holocene optimum would have been experienced 10,000-8000 (9000; values in parentheses are midpoints given in An et al. 2000) cal yr ago in northeastern China, 10,000-7000 (9000) cal yr ago in north-central China, 7000-5000 (6000) cal yr ago in the middle and lower reaches of the Yangtze River, and ~3000 cal yr ago in southern China (Figure 1; An et al. 2000). However, most studies of the Holocene climate history of China have employed a single proxy indicator (e.g. Liu 1989; Shi et al. 1992; Wu et al. 1994; An et al. 2000; Zhou et al. 2002), so this time-transgressive behavior is not always clearly observed in some records. The conclusions from these studies have presented a controversial picture of the post-glacial evolution of regional climate. In order to test this theoretical spatial variation pattern using geological evidence, we have selected 1 peat/mud sequence from southern China and loess/paleosol and sand/paleosol sequences from northern China. We have carried out a multiproxy analysis, including determining geolipids, total organic carbon concentrations, pollen assemblages, and magnetic susceptibility, as well as radiocarbon dating of the deposits.

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Figure 1 The East Asian monsoon front spatial variation and study locations. The map shows the time-transgressive Holocene optimum (represented by the line representing the monsoon front) would have been experienced 9000 cal yr ago in northeastern and north-central China, 6000 cal yr ago in the middle and lower reaches of the Yangtze River, and ~3000 cal yr ago in southern China (An et al. 2000). Filled circles represent sites for this study; empty circles represent sites for document collection. The sites for this study are located in both the 3000- and 9000-yr zones.

STUDY AREAS AND SEDIMENT SEQUENCE

The study areas are shown in Figure 1. Dingnan Swamp $(24^{\circ}15'N, 115^{\circ}2'E)$ is located at the border of Guangdong and Jiangxi provinces, in the Nanling Mountains area in southern China. The location of the bog in the southern edge of the mid-subtropical zone, not far from the Pacific coast, makes its present climate particularly sensitive to the current East Asian monsoon. The present annual average temperature is 17.8 °C and the annual precipitation is 1592 mm. Peat is well developed in the hilly basin area. The Dingnan peat deposit is 800 m long and 50–500 m wide. The top of the profile (Figure 2), to 0.40 m depth, consists of brownish-yellow soil cultivated for rice growing. This sequence is underlain (0.40–1.15 m) by brown, herb-rich peat and by grayish-green mud layers (1.15–2.10 m depth). From 2.10 to 3.00 m, grayish-green mud, brown herb peat, and silt-clay containing fine gravel and sand predominate. Below 3.00 m, the sequence consists of a thin sand and gravel layers.

The Weinan loess/paleosol profile ($34^{\circ}20'$ N, $109^{\circ}29'$ E) is located to the east of Xi'an, Shaanxi Province, and is about 8.00 m thick. The present annual average temperature is 13.6 °C and the annual precipitation is 590 mm. The top of the profile, to 2.00 m depth, consists of sediments mainly modified by farming activities. From 2.00 to 6.00 m, the sequence consists of a loess/paleosol complex. The paleosol complex contains 3 cinnamon paleosol layers intercalated with a layer of loess. Below 6.00 m, there is only a loess horizon (Figure 3).



Figure 2 The detailed ¹⁴C chronology for the Dignan profile is depicted together with the detailed stratigraphy; % total organic carbon (TOC); CPI-ALK (the carbon preference index of *n*-alkanes); ACL (the average chain length of *n*-alkanes); the ratio of $C_{18:i}/C_{18:0}$ fatty acid; and the percent of *Castanopsis/Lithocarpus* pollen (warm, wet, and sensitive to cold) chosen as the most sensitive proxies reflecting environmental change. CPI-ALK = ΣC_{23-33} (odd) / ΣC_{22-32} (even); ACL = $\Sigma (C_i \times [C_i]) / \Sigma [C_i]$), where $[C_i]$ is the concentration of the *n*-alkanes with carbon number C_i , over the range 27–33 (Poynter et al. 1989).

The Daotang He sand dune (36°28'N, 100°55'E) is located southeast of Lake Qinghai. The profile is named after the nearby Daotang He River, which flows from the northwest into Lake Qinghai. The annual temperature is 0.7 °C and annual precipitation is 360 mm. The region is at the loess/ desert boundary. Thus, the eolian sand deposit is intercalated with a sandy paleosol. The depth of the sand dune is about 3 m (Figure 3).

EXPERIMENTAL METHODS

Radiocarbon Dating

Wet sieving (90–300 μ m grain size) was carried out for peat samples to isolate plant fragments from the smaller grain-size muds and silts and rootlets, and for sand dune material to isolate charcoals from the sand/paleosol sequence, as these were believed to be the most reliable dating media (Zhou et al. 1997, 2002, 2004). For the loess/paleosol sequence, it is possible to use chemical separation methods to isolate combined humic acid from non-humic material, such as minerals, and remove mobile organic components from the sample. The NaOH-insoluble fraction from Chinese loess has been shown to be a reliable material for dating (Head et al. 1989; Zhou et al. 1990). We have carried out a comparison study between NaOH-soluble and NaOH-insoluble fractions from a loess/paleosol sequence at Weinan, China. The results show that the NaOH-soluble fraction (2540 ± 250 BP) is about 700 yr younger than the NaOH-insoluble fraction (3200 ± 200 BP) from the same paleosol sample. In this case, the NaOH-insoluble fraction was shown to be closer to the true age, since the



Figure 3 Sand dune magnetic susceptibility for the Dao Tang He site together with that of the loess-paleosol sequence from Weinan site.

loess layer above 300–200 cm contains gray potsherds thought to date to ~3000 BP. Graphite preparation was performed on CO₂ produced by the combustion of the 90–300- μ m grain-size peat sediment fraction and on charcoal from the sand dune, using the method of Slota et al. (1987). The samples were processed at the State Key Laboratory of Loess and Quaternary Geology, and the targets were measured at the NSF-Arizona Accelerator Mass Spectrometry (AMS) facility. Liquid benzene was prepared on the NaOH-insoluble fraction from loess/paleosol sequences at the State Key Laboratory of Loess and Quaternary Geology in Xi'an and was measured by liquid scintillation counting (LSC) in Xi'an and Australian National University (ANU). Calibrated ages were obtained from these sedimentary boundary ages using CALIB v 5.0.1 software (Stuiver and Reimer 1993) and the IntCal04 calibration curve (Reimer et al. 2004) (see Tables 1–3). The calibrated ages against depth provide the chronological framework by using linear regression based on the 5-order polynomial fitting method.

Magnetic Susceptibility (SUS) Measurement

The low-frequency (4.7 kHz) magnetic susceptibility (SUS) of samples from the Weinan and Daotanghe loess/sand dune sequences was measured in our laboratory. The section was sampled at 1and 5-cm intervals, respectively. Measured SUS is the induced magnetization of objects in an artificial weak magnetic field. The principle carrier in loess and paleosol sediment is considered to be ultrafine (<1 m) magnetite and maghemite (Kukla and An 1989). The ultrafine single-domain grains display superparamagnetism and provide the main contribution to the SUS signal, which is related to summer monsoon intensity (An et al. 1991). A higher SUS value correlates with strong pedogenesis (Maher and Thompson 1992), a low dust accumulation rate, and therefore with strong summer monsoon circulation.

Total Organic Carbon and Geolipid Analyses

Samples for the determination of total organic carbon (TOC) concentrations were taken at 1-cm intervals. TOC concentrations were obtained using a modified Walkley-Black technique (Walkley 1947). Here, the low TOC correlates with an increased amount of sand grains, which suggest wetter conditions with stream deposition, and silt, suggesting lacustrine conditions. Higher TOC suggests "drier" marshy (peat-forming) conditions. We note that these interpretations are different from the traditional one for Chinese peat/sediment sites along the loess-desert transitional zone (Zhou et al. 1996, 1999).

For geolipid analysis, the air-dried sediment samples were first ground to <80 mesh. Sediment samples of 1–30 g were then ultrasonically extracted with chloroform. The dried total extractable lipids were weighed and redissolved in *n*-hexane. The extracts were separated into saturated hydrocarbons, aromatic hydrocarbons, and non-hydrocarbon fractions by silica gel column chromatography (60 mesh) and by sequential elution with *n*-hexane, benzene, and methanol. The saturated hydrocarbons were then directly analyzed by gas chromatography-mass spectrometry (GC-MS) while the non-hydrocarbons were derivatized by heating at 70 °C for 1.5 hr with N, O-bis (trimethylsilyl) trifluoroacetamide (BSTFA) before GC-MS analysis. C_{18:1}/C_{18:0} ratios may indicate the amount of degradation of the biomarkers, which are sensitive to paleotemperature changes (Kawamura et al. 1980). The n-alkane average chain length (ACL) value is the concentration-weighted mean chain length of the C_{27} to C_{33} *n*-alkanes, which have historically been explained by differences in growing-season temperatures and moisture of the source regions (Gagosian and Peltzer 1986; Poynter et al. 1989; Simoneit et al. 1991). In warmer tropical climates, land plants are postulated to biosynthesize longer chain compounds for their waxy coatings, whereas in cooler temperate regions somewhat shorter chain compounds are produced (Gagosian and Peltzer 1986). The n-alkane ACL could thus reflect a combination of both humidity and temperature effects. The carbon preference index (CPI) value of the higher molecular weight *n*-alkanes summarizes the relative proportions of evennumbered and odd-numbered carbon molecules in the *n*-alkanoic acid, and *n*-alkane distributions of biological and geological samples (Bray and Evans 1961; Kvenvolden 1966). In fresh lipid material, odd-numbered carbon chains dominate hydrocarbon compositions and even-numbered chains dominate compositions of fatty acids (e.g. Tissot and Welte 1984; Meyers and Ishiwatari 1993). The nalkane CPI value reflecting climate change has been documented in a peat core in northern England (Xie et al. 2004). Under a cold, dry climate, microbial degradation and diagenesis of organic matter are slowed (Kuder and Kruge 1998). Xie et al. (2004) states that such conditions can preserve a high CPI value. In contrast, a lower CPI value results from the accelerated microbial degradation, and diagenesis of organic matter is associated with a warm, wet climate.

Pollen Analysis

For pollen analyses, samples were taken every 4 cm, with each pollen sample containing at least 300 grains, using routine laboratory pretreatment methods as described by Pennington and Bonny (1970). For each sample, 5 cm³ were taken, and analyses were carried out using the standard addition of 10,500 grains of *Lycopodium* spores per sample. The pollen assemblages occurring in the Dingnan peat profile, together with the ecology and distribution characteristics of modern plants in the area, indicate that the most sensitive indices reflecting environmental and climatic change are *Alnus*, representing a cool and wet environment, and *Castanopsis/Lithocarpus* (warm, wet, and sensitive to winter temperatures), representing a warm and moist environment. Herb and aquatic plant pollen found in the area include *Typha*, *Potamogeton*, *Impatiens*, *Umbelliferae*, *Gramineae*, *Ranunculaeae*, and *Cyperaceae*.

RESULTS AND DISCUSSION

The results of multiproxy analysis in the Dingnan, Daotanghe, and Weinan profiles are shown in Figures 2 and 3. In relation to Figure 2, we note that because the Dingnan site has always been in the humid subtropical zone, both during and after sedimentation the depositional environment was always wet. High TOC also suggests "drier" marshy (peat-forming) conditions. These interpretations are supported by the overall pollen analyses. We also employed the *n*-alkane CPI as a proxy for relative paleotemperature change. As noted, CPI values should increase when cooler conditions depress *n*-alkane diagenesis and should decrease under warmer conditions. Similarly, lower $C_{18:0}$, ratios indicate greater alterations of fatty acids under warmer conditions. The *n*-alkane ACL is a potential proxy for changes in both humidity and temperature. When humidity and temperature increase, the ACL is higher. Pollen such as *Castanopsis/Lithocarpus* (warm, wet, and sensitive to winter temperature) is the most diagnostic index to reconstruct environmental changes in this region. Zhou et al. (2004) conclude that high values of the ratio of *Castanopsis* and *Lithocarpus* pollen, both broad-leaved evergreen trees, indicate a warm and moist environment. Our multiproxies show that the Dingnan peat bog experienced 5 major changes during the last 16 kyr BP.

Dingnan Site

Figure 2 depicts the stratigraphic sequence at Dingnan and its ¹⁴C chronology, which is also shown in Table 1. Detailed examination of the sediments shows that all proxies (TOC from 2–42%, CPI-ALK from 1.3–9.0, $C_{18:1}/C_{18:0}$ from 0.03–0.55, ACL-ALK from 28–30.6, and *Castanopsis/Lithocarpus* from 0.5–32%) fluctuated with time.

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		Depth	¹⁴ C age	Cal age BP
Sample nr	Lab code ^a	(cm)	(BP)	(2 σ)
JXDN30	AA29575	30	2395 ± 70	2327-2715
JXDN35	AA29574	35	2295 ± 50	2150-2367
JXDN40	AA33735	40	2410 ± 45	2344-2544
JXDN43	AA32053	43	3790 ± 85	3965-4418
JXDN47	AA33736	47	4265 ± 55	4787-4971
JXDN83	AA31665	83	4820 ± 50	5465-5654
JXDN105	AA33737	105	5075 ± 50	5710-5922
JXDN110	AA31666	110	5135 ± 50	5840-5953
JXDN126	AA31667	126	5415 ± 50	6173-6303
JXDN180	AA31668	180	8765 ± 65	9550-9948
JXDN185	AA29572	185	8325 ± 60	9189-9471
JXDN211	AA31699	211	$10,060 \pm 75$	11,305-11,836
JXDN240	AA31670	240	$11,355 \pm 85$	13,086–13,382
JXDN245	AA33744	245	$11,310 \pm 75$	13,061-13,325
JXDN251	AA31671	251	$12,520 \pm 85$	14,211-15,019
JXDN290	AA29571	290	$13,120 \pm 100$	15,141–15,942
JXDN296	AA31672	296	$13,800 \pm 95$	16,036–16,869

Table 1 ¹⁴C data for Dingnan. All samples are 90–300 µm peat.

^aAA = lab code of the NSF-AMS facility, University of Arizona, Tucson, Arizona, USA.

From ~15.6 to 14.3 kyr cal BP (2.80–2.52 m), the first organic carbon-rich peat layer accumulated. High TOC indicates drier conditions. High *n*-alkane carbon preference index (CPI) values suggest organic matter degradation was suppressed, but low $C_{18:1}/C_{18:0}$ values contradict this interpretation. Average chain length (ACL) values gradually decrease. The ratio of *Castanopsis/Lithocarpus* indicates a cool and wet climate, which is consistent with peat formation. From 14.3 to 11.5 kyr cal BP (2.52–2.10 m), all of the proxies vary erratically, which suggests that this may be a period of unstable climate. At 2.52 m, there is a layer of coarse sand, which signifies a change in sedimentary facies to dark-gray fluvial muds. This facies change occurs at ~14.3 kyr cal BP, which agrees with the age assigned to the onset of the Bølling-Allerød interstadial (Wang et al. 2001). All proxies indicate wet and cool conditions. At 2.28 m, there is a distinct change to a lighter colored muddy/silty sediment coinciding with the onset of the Younger Dryas (YD) stade (12.9 kyr cal BP; Grootes et al. 1993; Sowers and Bender 1995; Wang et al. 2001). Alnus is dominant (Xiao et al. 1998), indicating constant cool, wet conditions, and the presence of silty muds in this unit suggests water depths reached their maximum for the entire sequence, contrasting with the high-latitude (cold, dry) response to the YD event. This observation is supported by work published elsewhere (Zhou et al. 1996, 2001, 2004).

From 11.5 to 6.0 kyr cal BP (2.10–1.15 m), there are alternations of dark-colored lake mud and lighter sandy or coarse mud accumulations; together they have the lowest TOC percentage. Low CPI values and $C_{18:1}/C_{18:0}$ ratios, a relatively high *n*-alkane ACL, and a high *Castanopsis/Lithocarpus* pollen ratio imply a period of possible higher temperature and precipitation. During this period, we estimate the average precipitation was ~1800 mm and average temperature was about 1–2 °C above present, based on comparison with modern vegetation. Hence, this interval represents the Holocene optimum rather than the 6000 or 3000 yr pointed out by An et al. (2000). We also know the optimum occurred at the time of maximum Northern Hemisphere insolation, which reinforces the solar radiation forcing concept.

From 6.0 to 3.8 kyr cal BP (1.15–0.40 m), a second organic carbon-rich peat layer accumulated. TOC and *n*-alkane CPI values are high, $C_{18:1}/C_{18:0}$ values increase, and ACL values decrease. The *Castanopsis/Lithocarpus* ratios decline and the proportions of tree pollen decrease, whereas those of grass and fern pollen increase (Zhou et al. 2004). The concordance of these proxy changes indicates a change to a drier and cooler mid-Holocene climate.

After 3.8 kyr cal BP (0.40–0 m), the sedimentary record is strongly disturbed by human activities. Not all of the proxies can be used for interpreting paleoclimatic signals due to the consequences of rice paddy farming and associated elevated methanogenic bacterial activity in the water-saturated soil.

Daotanghe and Weinan Sites

Figure 3 shows that the higher peak magnetic susceptibility of Daotanghe is bracketed between ~4000–9300 cal BP, during which time the sandy paleosol was strongly developed, although there is a fluctuation of the lower magnetic susceptibility around 9000 cal BP. The profile indicates that a combination of higher temperature and higher precipitation occurred during ~4000–9000 cal BP; after ~4000 cal BP, magnetic susceptibility decreased.

Magnetic susceptibility in the Weinan profile reached higher values from ~5000–10,900 cal BP, in correspondence with the development of the 2-layer paleosols. After 5000 cal BP, magnetic susceptibility decreased. The top 1.5 m of loess is modified by farming and cannot therefore be used for this study.

An et al. (1991) recognized that the magnetic susceptibility signal is related to summer monsoon intensity: the higher the value, the stronger the summer monsoon. Both the Daotanghe and Weinan loess/paleosol sequences in Figure 3 indicate a Holocene optimum represented by higher magnetic susceptibility ranges from ~4000 to 10,000 cal BP.

Sample nr	Lab code ^a	Depth (cm)	Sample type	¹⁴ C age (BP)	Cal age BP (2σ)
C28	ANU6201	300	NaOH-insoluble paleosol	3200 ± 200	2919–3896
C27	ANU6202	350	NaOH-insoluble paleosol	3420 ± 360	2789-4618
C13	XLLQ111	400	NaOH-insoluble paleosol	5700 ± 100	6298–6679
C12	XLLQ37	480	NaOH-insoluble paleosol	8000 ± 80	8603-9032
C11	XLLQ104	600	Organic of Ca nodule	9600 ± 170	10,413–11,344
C25	ANU6393	950	NaOH-soluble (mud)	$14,000 \pm 1700$	12,564-20,646

Table 2 ¹⁴C data for Weinan.

^aANU = lab code of the Australian National University; XLLQ = lab code of the Xi'an Laboratory of Loess and Quaternary Geology.

		Depth		¹⁴ C age	Cal age BP
Sample nr	Lab code ^a	(cm)	Material	(BP)	(2 σ)
980703-01	AA32744	50	Charcoal	735 ± 70	618–790
980703-02	AA32755	125	Charcoal	3330 ± 45	3454–3643
DTH-032	AA12325	150	Charcoal	6120 ± 65	6831-7170
DTH-031	AA12326	150	Charcoal	6230 ± 60	6976–7269
980703-03	AA31609	150	Charcoal	5865 ± 60	6500–6798
DTH-027	AA12320	200	Charcoal	8160 ± 70	8981-9321
980703-04	AA31608	200	Charcoal	8320 ± 65	9130-9474
DTH-034	AA12323	220	Mix-charcoal	8420 ± 80	9251–9544
DTH-033	AA12324	220	Charcoal	8220 ± 70	9015–9330
DTH-028	AA12321	245	Charcoal	8210 ± 70	9011-9326
980703-08	AA31598	270	Charcoal	8790 ± 340	9002-10,756

Table 3 ¹⁴C data for Daotanghe.

^aAA = lab code of the NSF-AMS facility, University of Arizona, Tucson, Arizona, USA.

Climatic Proxies Along a North-South Gradient

Figure 4a presents proxies covering a north-south gradient from Dingnan peat to the Weinan loess sequence and Baxie loess sequence (Zhou et al. 1992; An et al. 1993) along the arid to semi-arid transitional zone. Between ~10,000 and 5000 cal BP, the lipid, pollen, and SUS proxies reflect the largest precipitation and maximum temperatures—though there are fluctuations—and the intensity of summer solar radiation in the Northern Hemisphere reached a maximum. The observations and the simulated hydrologic budgets from the CCM covering the latitudinal belt from 8.9° to 26.6°N agree, so that the highest lake level and largest excess of precipitation over evaporation are placed in the 9000- to 6000-yr period (COHMAP members 1988). Therefore, it is appropriate to label this period as the Holocene optimum (Figures 2, 3, and 4). This timing is basically consistent with the Holocene optimum found globally. Unfortunately, there is a 1000-yr error for the Holocene optimum because the start and termination of climatic proxies are different, and dating error is involved. These geological records are also supported by the summer monsoon index of the numerical modeling (An et al. 2000; see also Figure 4b). The modeling results show that the East Asian monsoon reached a maximum around 9000 yr, which is coincident with the peak in Northern Hemisphere solar insolation. It is interesting to note the contrast to the Indian monsoon, which peaked around 12,000 yr, some 3000 yr before the East Asian monsoon.



Figure 4 a) Documentation of multiproxies from a north-south gradient in China illustrating the Holocene optimum. The % TOC, ACL-ALK, and % *Castanopsis/Lithocarpus* pollen proxies are given for Dingnan Swamp; the magnetic susceptibility is given for the Weinan loess sequence and for the Baxie site (Zhou et al. 1992; An et al. 1993) along the arid to semi-arid transitional zone. b) The numerical modeling result shows that solar radiation anomaly (departure from present) (Δ S) compared with the East Asian monsoon index (the difference of sea-level pressure between 160° and 110°E longitude along 25–50°N latitude) and the tropical Indian monsoon index (the difference of sea-level pressure between ocean and land within the region 45° to 120°E longitude and 45°N and 15°S latitude [Δ M(hpa)]) at 3000-yr intervals since 15 kyr calendar yr BP. The monsoon indices are calculated from the climate model simulation for July using CCM0 (after An et al. 2000).

In summary, our results do not support the earlier suggestion of a time-transgressive Holocene optimum in China at the millennial scale (An et al. 2000). Our comparison of the north-south gradient also supports the idea that solar forcing is one of the main factors controlling the East Asian monsoon climate. Our results also show the importance of accurate ¹⁴C dating, as a reliable age depends on the material dated. It is worthwhile to mention that our chemical pretreatment of samples still provides a few reversed ages; however, this may be caused by bioturbation, or perhaps our pretreatment for some samples still needs further refinement. Careful attention to the chemical pretreatment of samples is important for future studies.

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