Reducing the risk of infection in the elderly by dietary intake of yoghurt fermented with *Lactobacillus delbrueckii* ssp. *bulgaricus* OLL1073R-1

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Immune senescence potentially leads to an increased risk of infections. It is desirable to augment the immune system and protect against infections by daily consumption of immunostimulatory food. The present study evaluated whether the intake of yoghurt fermented with *Lactobacillus delbrueckii* ssp. *bulgaricus* (L. *bulgaricus*) OLL1073R-1 has an effect on resistance to the common cold. We conducted two independent studies, in which fifty-seven (median age 74.5 years) and eighty-five healthy elderly individuals (median age 67.7 years) were participants. In each study, the subjects were divided into two groups based on age and sex and instructed to eat 90 g yoghurt or drink 100 ml milk once per d over an 8- to 12-week period. A meta-analysis of the results of these two independent studies showed the risk of catching the common cold was about 2.6 times lower (OR 0.39; *P* = 0.028). In addition, the quality of life score for the ‘eye/nose/throat’ system after intake was significantly higher in the yoghurt group than in the milk group (P = 0.028). We also reported that the polysaccharides produced by this strain exhibit mitogenic activity, macrophage activation and interferon-γ-inducing activity. Moreover, the enhancement of natural killer cell activity in mouse spleen cells was confirmed after the oral administration of these polysaccharides or yoghurt fermented with this strain.

From these reports, the daily intake of yoghurt fermented with *L. bulgaricus* OLL1073R-1 including its cell body and immunostimulatory polysaccharides is considered to induce the activation of biological defence mechanisms including natural killer cells and to inhibit infection-preventing effects against pathogens such as viruses.

The aim of the present study is to evaluate whether the intake of yoghurt fermented with *L. bulgaricus* OOLL1073R-1 improves the values of immune system parameters that contribute to biological defence in the elderly and if it has a preventive effect against respiratory tract infections, such as the common cold and influenza virus infection.

Abbreviations: Con A, concanavalin A; cpm, counts per min; QOL, quality of life.

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*Lactobacillus delbrueckii* ssp. *bulgaricus* (L. *bulgaricus*) OOLL1073R-1, a polysaccharide-producing lactic acid bacterial strain, has been confirmed by studies using mice to exhibit more marked effects on the immune system than other lactic acid bacteria. The cell body of *L. bulgaricus* OOLL1073R-1 exerted host-mediated anti-tumour activity in mice. It has also been reported that the polysaccharides produced by this strain exhibit mitogenic activity, macrophage activation and interferon-γ-inducing activity. Moreover, the enhancement of natural killer cell activity in mouse spleen cells was confirmed after the oral administration of these polysaccharides or yoghurt fermented with this strain.

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Materials and methods

Subjects

We conducted two independent studies, the Funagata study and the Arita study. Subjects were screened by clinical examinations and structured interviews to ensure that they were in good health. Inclusion criteria were: residents of Funagata or Arita town who are in good health with no previous history of relevant physical or psychiatric illness. Exclusion criteria were: any recent history of virus infection, cancer, or immunological disorders and abnormalities in haematological or biochemical serum parameters. Some chronic diseases that are found often in the elderly such as diabetes, vascular disease and muscle–bone diseases were not excluded.

In the Funagata study, the subjects were healthy residents of Funagata Town, Yamagata Prefecture, Japan aged 40 years or over who had undergone regular health screening in Funagata. In the Arita study, the subjects were healthy residents of Arita Town, Saga Prefecture, Japan aged 60 years or over.

Before their participation in these studies, written consent was obtained from all subjects after the physician-in-charge had explained the study to the group. In addition, these studies received the approval of the Ethics Committee of the Division of Research and Development of Meiji Dairies Corporation, and it was performed in accordance with the Declaration of Helsinki.

Diets

The test food was yoghurt prepared using two lactic acid bacterial strains, i.e. *L. bulgaricus* OLL1073R-1 and *Streptococcus thermophilus* OLS3059 (1073R-1 yoghurt). These strains were originally isolated from traditional Bulgarian yoghurt. The cell counts of *L. bulgaricus* OLL1073R-1 and *S. thermophilus* OLS3059 in the yoghurts were 2.0–3.5 × 10^8 colony-forming units/g and 6.3–8.8 × 10^7 colony-forming units/g, respectively. The extracellular polysaccharides in the yoghurts represented 36.5–68.0 mg/kg, as measured by the phenol–sulfuric acid method \(^{12}\). Milk was used as a reference food.

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**Diary (monitor notebook)**

Monitor notebooks were distributed to all subjects in advance for them to record the following items daily during the study: (a) body temperature on awakening; (b) whether the test or reference food was taken; (c) if yoghurt or fermented dairy products other than the test food were eaten, the product names and amount consumed (in g); (d) changes in physical condition, etc. These monitor notebooks were used for judging discontinuation of the study protocol and exclusion from the data analysis. A frequency of intake of the test or reference food, one serving of which was intended to be taken daily at an appropriate time, <80% was deemed insufficient. Those who ate yoghurt other than the test food with the test or reference food and those who developed a disease and took drugs including antibiotics under the instruction of a physician during the study were excluded from analyses.

Interviews and quality of life survey questionnaires

Interviews were performed concerning general physical condition, particularly the occurrence of the common cold and influenza virus infection.

A QOL survey was performed using a forty-six-item questionnaire during an interview concerning the physical and mental condition of the subjects before the beginning and after the end of the intake period (Table 1). The four choices concerning each item were scored as follows: 4, no symptoms; 3, unusual; 2, occasional; 1, frequent. According to the topics of the questions, the forty-six items were grouped into eight organ categories, i.e. respiration, skin,
The cells were incubated with 51Cr-labelled K562 cells for blood mononuclear cells were separated as target cells by ratio of 20:1 (Funagata study) or 50:1 (Arita study). Peripheral lysis against 51Cr-labelled K562 cells at an effector:target (Funagata study) or SRL Inc. (Arita study).

Blood sampling and immune measurement

Tests of immune function (natural killer cell activity and lymphocyte blastoid transformation by concanavalin A (Con A)) were performed before the beginning and after the end of the intake period by sampling about 30 ml blood each time. The subjects fasted (drinking of water was permitted) after 10.00 hours on the day before blood sampling, and blood was sampled on the morning of the sampling day. These blood tests were performed by Mitsubishi Chemical Corp. (Funagata study) or SRL Inc. (Arita study).

Natural killer cell activity was assessed by specific target lysis against 51Cr-labelled K562 cells at an effector:target ratio of 20:1 (Funagata study) or 50:1 (Arita study). Peripheral blood mononuclear cells were separated as target cells by density gradient centrifugation and washed twice in PBS. The cells were then adjusted to a concentration of $5 \times 10^5$ cells/ml in Roswell Park Memorial Institute (RPMI) 1640 medium with 10 % fetal bovine serum and then stimulated with Con A. After being cultured for 65.5 h (Funagata study) or 64 h (Arita study) at 37°C in 5 % CO2, [3H]thymidine was added to each well, and then the cells were cultured for a further 8 h at 37°C in 5 % CO2. The contents of the wells were harvested onto a glass filter using a cell harvester. [3H]Thymidine uptake was measured using a liquid scintillation counter.

Adverse events

Concerning severe adverse events that occurred during the period of intake of yoghurt or milk, the symptoms, severity, date of appearance, test or reference food intake, treatments, and outcomes were recorded, and their relationships with the test food were evaluated.

Statistical analyses

The characteristics of the subjects (sex, age, lifestyle, state of health, immune function and QOL) were compared between the yoghurt and milk groups. Categorical variables such as sex, lifestyle and state of health were compared between the two groups using the chi-square test. Age, the results of laboratory examinations, immune functions before the beginning of intake (baseline) and total QOL score were compared between the two groups using the Student’s t test and Mann–Whitney U test.

Concerning natural killer cell activity, the baseline values were categorised into low (lower than the lower limit of the normal range), normal (within the normal range) and high (higher than the upper limit of the normal range) according to the standard values of Mitsubishi Chemical Corp. (Funagata study) or SRL Inc. (Arita study). These ranges had been defined by Mitsubishi Chemical Corp. or SRL Inc. as the range covering 95 % of the natural killer cell activity of healthy individuals. Changes in the values between before and after intake in the low-, normal- and high-value groups were analysed using the Student’s paired t test in both the milk and yoghurt groups. Meta-analysis was also performed by integrating the results of these two independent cohort studies using the general variance-based method for mean differences on the same scale.

Concerning QOL scores, the total score of the forty-six items, the scores for each of the forty-six items, and the total scores for each of the eight categories were compared between before and after intake within each group and between groups after intake. Comparisons within each group were performed using Wilcoxon’s signed-rank test. Comparisons between the groups were performed using the Mann–Whitney U test.

The occurrence of the common cold and influenza during the intake period was compared between groups using Fisher’s exact test. Meta-analysis was also performed by integrating the results of these two independent cohort studies using the Mantel–Haenszel method.

Concerning the level of the promotion of immune functions, the percentage changes in the values of each item relative to their baseline values were divided into four quartiles, and

| Table 1. Quality of life questionnaire items asked in the Arita study |
|-----------------------------|-----------------------------|
| Organ categories | Items |
| Respiration | Shortness of breath, phlegm or coughing |
| Skin | Rash, dry skin, skin itching |
| Mental condition | Lack of general motivation, irritation, stress, depression, sleeplessness, waking at night, waking early in morning, failure of memory, sluggish feeling, awareness of health |
| Eye/nose/throat | Dim eyes, eye fatigue, eye itching, dark circles under eyes, runny nose or nasal congestion, sore throat, thirstiness |
| Kidney | Fatigued feeling in morning, leg swelling, waking for urination more than twice a day, urination at night, easily fatigued, unrelieved feeling after urination |
| Digestive system | Poor appetite, bloated feeling or stomach ache, diarrhoea, tasteless feeling, constipation, haemorrhoids |
| Musculoskeletal system | Stiff neck or back pain, arthralgia, muscular pain, numb hands or feet |
| Others | Headache, palpitations, instantaneous orthostatic hypotension, buzzing in the ears, hands and feet get cold easily, sweating heavily, flushed feeling, swelling or bleeding at gingivae, chapped lips |
the values in the first quartile were regarded as decreases, those in the second and third quartiles as no change, and those in the fourth quartile as increases. Changes in QOL were categorised as ‘improved’ when the percentage changes in the total score of the forty-six items and total scores for each of the seven categories after intake relative to those before intake were $\geq 10\%$, as ‘no change’ when they were $10\%$ to $10\%$, and as ‘declined’ when they were $\leq -10\%$. The correlation between the improvements in immune functions and QOL was analysed using Spearman’s rank correlation coefficient.

Statistical analyses were performed using the statistical software package SPSS14.0J (SPSS Inc., Chicago, IL, USA, 2005). Two-tailed tests were performed at a significance level of $5\%$.

**Results**

**Characteristics of subjects**

In the Funagata study, sixty subjects were selected and divided randomly into two groups of thirty subjects that were comparable in age and sex. As three dropped out during the study, the number of subjects at the end of the study was fifty-seven (median age 74.5 (range 69–80) years), of whom twenty-eight were in the milk group, and twenty-nine were in the yoghurt group.

In the Arita study, 112 subjects were selected. Since seventeen subjects were selectively assigned to the milk or yoghurt group, ninety-five subjects were randomised to the two groups without unevenness in age, sex, or yoghurt intake habit, resulting in the assignment of forty-six to the milk group and forty-nine to the yoghurt group. Since nine subjects were absent due to personal reasons on the first day of the study, the number of subjects who participated in the study decreased to 103 and randomised subjects were eighty-seven (forty-three in the milk group and forty-four in the yoghurt group). As two individuals dropped out during the study, the number of subjects at the end of the study was 101, of whom eighty-five were randomised subjects (median age 67.7 (range 59–84) years), forty-two were in the milk group, and forty-three were in the yoghurt group.

The compliance rate was 80% or higher in all subjects, with no significant difference between the two groups. Nobody ate...
yoghurt other than the test food with the test or reference food or developed a disease requiring drugs including antibiotics except for those who caught the common cold or were infected with the influenza virus during the study.

The characteristics of the randomised subjects were compared between the test and reference food groups with regard to sex, age, lifestyle, health status including current diseases, immune functions such as natural killer cell activity and QOL. No significant difference was noted between the two groups in either study (data not shown).

Occurrence of common colds and influenza
A questionnaire was performed to examine the susceptibility of the subjects to infections in daily life. In the Funagata study, the common cold or influenza virus infections were observed in three subjects in the yoghurt group and eight subjects in the milk group. The risk of catching the common cold or influenza virus infection was about 3.4 times lower in the yoghurt group (OR 0.29; $P=0.103$) than in the milk group.

In the Arita study, no influenza virus infection was noted in either group. The common cold was observed in seventeen subjects in the yoghurt group and twenty-five subjects in the milk group. The risk of catching the common cold was about 2.3 times lower in the yoghurt group (OR 0.44; $P=0.084$) than in the milk group.

We performed meta-analysis by integrating the results of these two studies. The results of meta-analysis showed that the risk of catching the common cold or influenza virus infection was about 2.6 times (significantly) lower in the entire yoghurt group (OR 0.39; $P=0.019$) than in the milk group (Fig. 1).

Effects on immune parameters
In the Funagata study, the value of lymphocyte blastoid transformation induced by Con A increased significantly after intake in the yoghurt and milk groups, and this increase was significantly greater in the yoghurt group than in the milk group (Fig. 2). Natural killer cell activity also increased in the yoghurt group, but these increases were not significant (Fig. 3(b)). So, we analysed natural killer cell activity by classifying the subjects into normal-, low- and high-activity subject groups according to their natural killer cell activity at the beginning of intake because low natural killer cell activity has been shown to be associated with the development of infections in healthy elderly subjects and it has been reported that natural killer cell activity in subjects who have relatively low natural killer activity is enhanced by the intake of a probiotic strain. As a result, in the yoghurt group, the natural killer cell activity in the low-activity subject group increased significantly after intake until it was within the normal range (Fig. 3(d)). On the other hand, the natural killer cell activity in the normal-activity subject group was not affected as much and remained within the normal range after intake (Fig. 3(d)). The natural killer cell activity of the subjects in the high-activity group returned to within the normal range after intake (Fig. 3(d)). In the milk group, the natural killer activity showed similar changes to those in

![Fig. 3.](https://www.cambridge.org/core/doi/10.1017/S000711451000173X.1002)

Fig. 3. Natural killer cell activity in the milk ($n=28$) (a, c) and yoghurt (fermented with Lactobacillus delbrueckii ssp. bulgaricus OLL1073R-1) ($n=29$) (b, d) groups in the Funagata study. The subjects were categorised into low-activity (milk group, □, $n=10$; yoghurt group, ▲, $n=9$), normal-activity (milk group, ◊, $n=17$; yoghurt group, ●, $n=19$) and high-activity (milk group, ◉, $n=1$; yoghurt group, ○, $n=1$) groups according to their natural killer cell activity before intake (c, d). Dashed lines represent the range of normal activity that has been defined by Mitsubishi Chemical Corp. as the range covering 95% of the natural killer cell activity of healthy individuals. Values are means, with standard deviations represented by vertical bars. * Mean value was significantly different from that before the intervention ($P<0.05$).
the yoghurt group, but no significant increase was detected after intake in any subject group (Fig. 3(c)).

In the Arita study, lymphocyte blastoid transformation by Con A (Fig. 4) and natural killer cell activity (Fig. 5(a) and (b)) significantly increased after intake in both groups, but these increases were not significantly different between the groups. The natural killer cell activity in the low-activity subject groups increased after intake, and the value was within the normal range in both groups as in the Funagata study (Fig. 5(c) and (d)). The increase in the yoghurt group was significant, but in the milk group there were only two subjects in the low-activity subject group. In the normal-activity subjects, it also increased significantly in both groups but stayed within the normal range (Fig. 5(c) and (d)). On the other hand, in the high-activity subjects, no marked changes were observed in either group, and the values remained within the high range (Fig. 5(c) and (d)).

We performed a meta-analysis by integrating the results of these two studies. The results of the meta-analysis showed that the increase in natural killer cell activity was significantly higher in the yoghurt group compared with the milk group ($P=0.028$).

**Effects on quality of life in the Arita study**

After intake, when compared with the values before the intake, the total score of the forty-six items increased significantly in both groups, and the scores of ten items showed significant
increases in the yoghurt or milk groups (Table 2). In the yoghurt group, significant improvements were detected after intake in the scores for ‘lacks general motivation’, ‘irritation’, ‘stress’, ‘waking at night’, ‘failure of memory’, ‘easily fatigued’ and ‘leg swelling’. The scores for ‘irritation’, ‘failure of memory’ and ‘easily fatigued’ also increased significantly in the milk group. In addition, in the milk group only, the scores for ‘waking early in morning’, ‘sluggish feeling’ and ‘urination at night’ increased significantly.

According to the topics of the questions, the forty-six items were grouped into organ categories and others, i.e. respiration, skin, mental condition, eye/nose/throat, kidney, digestive system, musculoskeletal system, and others (Table 1). In both groups, the ‘mental condition’ and ‘kidney’ organ category scores increased significantly after intake. Concerning the ‘skin’ organ category scores, a significant increase was also increased significantly in the milk group only (Table 3).

Moreover, the score for ‘eye/nose/throat’ after intake was significantly higher in the yoghurt group than in the milk group (\(P = 0.030\)) (Table 3). No significant difference was observed in the other categories.

### Table 2. Quality of life (QOL) scores before the beginning and after the end of milk or yoghurt (fermented with Lactobacillus delbrueckii ssp. bulgaricus OLL1073R-1) intake in the Arita study

<table>
<thead>
<tr>
<th>Items</th>
<th>Milk group (n=42)</th>
<th>1073R-1 yoghurt group (n=43)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Before</td>
<td>After</td>
</tr>
<tr>
<td>Lacks general motivation</td>
<td>3.7</td>
<td>0.6</td>
</tr>
<tr>
<td>Irritation</td>
<td>3.5</td>
<td>0.7</td>
</tr>
<tr>
<td>Stress</td>
<td>3.4</td>
<td>0.8</td>
</tr>
<tr>
<td>Waking at night</td>
<td>2.8</td>
<td>1.1</td>
</tr>
<tr>
<td>Waking early in morning</td>
<td>3.1</td>
<td>1.0</td>
</tr>
<tr>
<td>Failure of memory</td>
<td>2.8</td>
<td>0.9</td>
</tr>
<tr>
<td>Sluggish feeling</td>
<td>3.5</td>
<td>0.7</td>
</tr>
<tr>
<td>Easily fatigued</td>
<td>3.1</td>
<td>1.0</td>
</tr>
<tr>
<td>Leg swelling</td>
<td>3.6</td>
<td>0.9</td>
</tr>
<tr>
<td>Urination at night</td>
<td>3.1</td>
<td>1.2</td>
</tr>
<tr>
<td>Total score</td>
<td>159.9</td>
<td>12.7</td>
</tr>
</tbody>
</table>

* The four choices concerning each item were scored 4, 3, 2 and 1 in decreasing value in relation to QOL.
† Statistical differences were evaluated using Wilcoxon’s signed-rank test.
‡ Scores after intake were compared between the groups. Statistical differences were evaluated using the Mann–Whitney U test.

### Correlation analysis

We analysed the correlations between the immune function parameters and QOL scores. As a result, the promotion of natural killer cell activity was found to be correlated with the total score for the forty-six items (\(r = 0.203; P = 0.066\)). Moreover, a significant positive correlation was noted between the promotion of natural killer cell activity and the total score for ‘eye/nose/throat’ (\(r = 0.255; P = 0.018\)).

### Safety

In these studies, no adverse event that related to the intake of the test or reference food was noted.

### Discussion

In the present study, the intake of yoghurt fermented with L. bulgaricus OLL1073R-1 (1073R-1 yoghurt) was found to significantly reduce the risk of catching the common cold in the elderly compared with reducing the risk with the intake...
of milk. It is generally accepted that yoghurt and milk are beneficial foods for maintaining our health and some studies have demonstrated that the consumption of yoghurt may enhance the immune response, particularly in immunocompromised populations, such as the elderly. Recently, immunostimulatory effects of probiotics were also evaluated eagerly and some of the probiotic strains were shown to be effective in infections in randomised double-blind controlled trials. In the elderly, *L. johnsonii* and *L. casei* were shown to reduce the duration of infections including of the airway and gastrointestinal tract. The intake of a tablet was reported to shorten common cold episodes and reduce the severity of symptoms. However, these studies concluded that the probiotics could reduce the duration of the infections but not the occurrence. Concerning yoghurt, Van de Water et al. demonstrated the role of yoghurt in the modulation of the immune system in a randomised controlled trial. Of their subjects, those who consumed 200 g yoghurt once per d for a year, as compared with either the same product heat-treated after fermentation or the exclusion of yoghurt products from the diet during the length of the study, showed a significant decrease in allergic symptoms but there were a large number of respiratory infections in all groups during the severe respiratory season. Therefore, this is the first report that proves that the intake of yoghurt can reduce the risk of respiratory infections.

We previously showed that 1073R-1 yoghurt and its polysaccharides increased natural killer cell activity in experiments using mice. Natural killer cells are believed to provide a substantial defence against viral infection, and low natural killer cell activity was shown to be associated with the development of infections, for example, the common cold, acute bronchitis, pneumonia, and death due to infection in healthy elderly subjects. Therefore, we believe that the effect of 1073R-1 yoghurt is mainly dependent on the immunostimulatory polysaccharides produced from *L. bulgaricus* OLL1073R-1. However, the cell body of *L. bulgaricus* OLL1073R-1 may also contribute to the effect of this yoghurt because the cell body has been reported to exert host-mediated anti-tumour activity in mice and some probiotics have been shown to enhance natural killer cell activity in elderly individuals.

With regard to natural killer cell activity, in the present study, we found a significant positive correlation between the promotion of natural killer cell activity and the total score for the ‘eye/nose/throat’ system, and these two parameters after intake were significantly higher in the 1073R-1 yoghurt group than in the milk group. As the higher scores for QOL in the present study indicate that milk also exerts some immunostimulatory effects by supplying important nutrients. It is generally accepted that nutrition is an important determinant of immune function. "Cows' milk contains proteins, Ca and an abundance of vitamin B12. In particular, vitamin B12 has been reported to increase the natural killer cell activity of patients with vitamin B12-deficiency anaemia and to enhance the T cell proliferative response to Con A. In addition, a relationship between vitamin B12 status and the risk of cognitive impairment and dementia has been noted. It is very interesting that the QOL score for ‘failure of memory’ increased significantly after the intake of both of 1073R-1 yoghurt and milk in the present study. Furthermore, tryptophan contained in milk proteins may also have contributed to increasing the immune system parameters in the elderly. Tryptophan is the precursor of melatonin which has been demonstrated to enhance immune functions in many animal species including humans. In addition, the melatonin concentration in the blood exhibits a clear circadian rhythm, and its level falls gradually with age. In many individuals beyond 65 years of age, a day–night rhythm is almost absent and chronic sleep disturbances caused by these changes may be associated with mental health problems including QOL. Therefore, the improvement in the ‘mental condition’-associated scores in both the 1073R-1 yoghurt and milk groups may be dependent on the tryptophan supplementation from milk proteins.

In the 21st century, we will face a rapidly ageing society, and, as seen from the calls for improved QOL rather than simple longevity, there is now a strong desire to achieve longevity with both health and true wellbeing. In addition, age-related immune changes put older adults at much greater risk of impairment and death from infection, such as from influenza viruses or pneumonia. In recent years, numerous studies have been published on the health-promoting effects of yoghurt and the bacterial cultures used in the production of yoghurt. Some studies using yoghurt, individual lactic acid bacteria species, or both showed promising health benefits for certain gastrointestinal conditions, including lactose intolerance, constipation, diarrhoeal diseases, colon cancer, inflammatory bowel disease, *Helicobacter pylori* infection and allergies. In the present study, we have shown that the intake of the yoghurt fermented with *L. bulgaricus* OLL1073R-1 augmented natural killer cell activity and reduce the risk of respiratory infections. We believe that yoghurt fermented with *L. bulgaricus* OLL1073R-1 may be a new beneficial food for the elderly.

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The authors’ contributions were as follows: S. I., A. K., H. S. and N. O. designed the research; H. H. made the yoghurts and supplied the yoghurts and milks to the subjects;
S. I. and A. K. conducted the research and analysed the data; S. M. wrote the paper. S. M. and S. I. had primary responsibility for the final content. All authors read and approved the final manuscript.

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