Why are long-acting injectable antipsychotics still underused?

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SUMMARY
‘Depot antipsychotics’ (‘long-acting injectable antipsychotic medications’ or LAIs) are underused in the treatment of schizophrenia (including first episodes) and, possibly, of comorbid substance use disorders. Patients’ and clinicians’ beliefs and attitudes, and service barriers, affect best practice and evidence-based care in LAI prescription. Poor medication adherence is a key reason for LAI prescription, but patients receiving LAIs may still relapse or experience significant side-effects. Patients’ and clinicians’ attitudes towards antipsychotic medication, as well as the quality of their recovery-focused relationship, are key factors in adherence. Clinicians should avoid a dichotomous ‘oral v. LAI’ choice: LAIs may have a place at various stages in the continuum of care and they should be one of the options discussed with any patient requiring long-term treatment, even early in the illness course. Many clinicians need better education about LAIs and greater familiarity with schizophrenia treatment guidelines.

LEARNING OBJECTIVES
• Understand the contemporary benefits and risks of LAIs in the continuum of care of schizophrenia
• Appreciate the barriers to the use of LAIs, including stigma and clinicians’ attitudes
• Understand the role of a recovery-focused approach to optimising therapeutic utility of LAIs

DECLARATION OF INTEREST
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It has been a decade since Patel & David’s review in Advances titled ‘Why aren’t depot antipsychotics prescribed more often and what can be done about it?’ (Patel 2005). Increasingly, the term ‘long-acting injectable antipsychotic medication’ (LAI) has been adopted in preference to the more stigmatising ‘depot’ and the latter’s association with limitations attributed to first-generation antipsychotics (Patel 2009). The burgeoning range of LAIs has largely been one of reformulations of existing medications, rather than the development of novel agents, and the use of LAIs has continued to fall in many jurisdictions (Patel 2010). Poor adherence to maintenance antipsychotics, rather than patient preference, is still the primary indication for LAIs (Patel 2009). The continuing challenges with LAIs include:

• adherence, even when suboptimal adherence is more overt than with oral agents
• variable prescribing by clinicians, with a focus mostly on long-standing rather than first-episode schizophrenia
• variable acceptance by patients and clinicians
• increasing concern regarding tolerability.

There is now greater emphasis on recovery-focused care and supported decision-making, rather than overly paternalistic relationships between clinicians and patients (Chopra 2009). This collaborative shift in the therapeutic dyad encompasses shared decision-making about LAIs (Haddad 2011) and hence joint discussion and consideration of medication options, and their benefits v. risks, as well as adherence. Although the risk of extrapyramidal side-effects (e.g. tardive dyskinesia), particularly with (first-generation) early LAIs, has continued (Kane 2009), there is now a greater spotlight on cardiometabolic sequelae, including diabetes, obesity and hyperlipidaemia (de Hert 2009). Accordingly, we review the rate of utilisation of ‘depot’ or LAI medication in the context of the changing clinical landscape.

Schizophrenia and adherence: are LAIs part of the answer?

Schizophrenia is the only widely researched indication for LAIs. The overall prevalence of suboptimal adherence among people with schizophrenia who are prescribed antipsychotic medication ranges from one- to two-thirds (Patel...
2009). Although there has been much early research and support for maintenance treatment with LAIs in patients with schizophrenia who exhibit poor medication adherence, use of this route in this clinical population in the USA has ranged from 19 to only 30% (West 2008). In a national sample of US psychiatrists, LAI prescribing was inversely related to the prior use of second-generation oral antipsychotics and other oral psychotropic medications (West 2008). In the UK, about 30% of patients with schizophrenia are prescribed LAIs (Barnes 2009). However, the long-term outcome in schizophrenia is heterogeneous, whether or not maintenance antipsychotic medication is prescribed (Harrold 2007). Although patients and clinicians alike often overestimate adherence (Velligan 2007), poor adherence with LAIs is more obvious than with oral medications.

**First-episode psychosis**

Irrespective of the route (oral or LAI), treatment adherence in people with schizophrenia is associated with fewer relapses and readmissions to in-patient care (Staring 2010; Offord 2013). Although patients with first-episode schizophrenia are the least likely to be prescribed LAIs, they are also at substantial risk of the consequences of suboptimal treatment or poor adherence, including the impact of relapse. Consequently, consideration of the role of LAIs has broadened from relapse prevention in people with long-standing schizophrenia and poor adherence, to include people with first-episode psychosis. It has been argued that this might facilitate earlier treatment that is more effective in the longer term, rather than waiting for a person to enter a chronic relapsing phase of illness that is only partially responsive to medication (Emsley 2008). Nevertheless, sufficient time to evaluate patients referred to early intervention services is required before either oral antipsychotics or LAIs are initiated, as a substantial proportion of referrals turn out not to have a first-episode psychotic disorder. Indeed, in one 4-year observational study, 41% of referrals to an early intervention service were ‘non-cases’: significantly, almost half had a major depressive or anxiety disorder (O’Donoghue 2012).

In addition to the ambivalence of treating clinicians about treatment recommendations, the limited use of LAIs in first-episode psychosis is likely compounded by the views of carers and families, including their attitudes and beliefs about mental illness, their level of acceptance of it, and whether any perceived risks outweigh benefits (Kane 2009).

**Schizophrenia and comorbid substance use**

Although LAIs have been prescribed to people with schizophrenia and comorbid substance use (Green 2007; Koola 2012), particularly when there are concerns about medication adherence, the supporting evidence for such use is limited and pertains to case reports and open-label studies of flupentixol, zuclopenthixol, risperidone (Koola 2012) and paliperidone (Vázquez Vázquez 2012). Two studies suggesting a favourable effect of LAI risperidone in this population (Rubio 2006; Rosenheck 2011) require replication and evaluation via randomised controlled trials (Green 2012).

**Recommendations in clinical guidelines**

Most clinical guidelines for the treatment of psychotic disorders – including those of the National Institute for Health and Care Excellence (NICE 2014), the American Psychiatric Association (Lehman 2010), the Canadian Psychiatric Association (Addington 2005), the Schizophrenia Patient Outcomes Research Team (PORT) (Kreyenbuhl 2010) and Texas Medication Algorithms (Argo 2007) – pay regard to patient preference and recommend, albeit vaguely, that LAIs be prescribed for patients with recurrent relapses linked to suboptimal adherence or non-adherence. However, these guidelines have not recommended that LAIs be used in patients with schizophrenia who favour oral medications and have been consistent in their adherence to these, nor in patients who have experienced significant poor tolerability or response to LAIs (Kane 2009).

**The evidence that LAIs enhance adherence and outcomes**

**First-generation LAIs v. oral antipsychotics**

An observational study of patients who had been prescribed oral or LAI haloperidol or fluphenazine showed that those prescribed LAIs were twice as likely to remain in treatment and had a longer period until all-cause medication discontinuation (Zhu 2008). In patients with first-episode schizophrenia or schizoaffective disorder, there were lower rates of readmission to hospital and discontinuation of LAI perphenazine compared with oral haloperidol (Tiihonen 2006).

A synthesis of Cochrane systematic reviews of first-generation LAIs found a slight benefit on global functioning in comparison with oral antipsychotics, but there were no differences between individual LAIs (Adams 2001). These findings were probably limited by an underrepresentation of individuals with poor adherence to oral antipsychotics in randomised studies and the inclusion of relatively few individuals.
controlled studies (Adams 2001; Tiilhonen 2011). A Cochrane review comparing zuclopenthixol decanoate with other first-generation LAIs in schizophrenia revealed superior efficacy in delaying or preventing relapse, but at the expense of more adverse side-effects (da Silva Freire Coutinho 2009).

A 3-year international observational study of first-generation LAIs compared with oral olanzapine favoured the latter (Haro 2007). However, a nationwide cohort study in Finland found that, compared with oral antipsychotics, LAIs (first generation and risperidone) were associated with reduced risk of readmission (Tiilhonen 2011).

First- v. second-generation LAIs and oral antipsychotics

A meta-analysis of first- and second-generation LAIs found benefits over oral agents in non-randomised observational, but not in randomised, studies (Kirson 2013). Other systematic reviews of first- and second-generation LAIs compared with oral antipsychotics (Leucht 2011; Zhorntski 2012) found them to be associated with a reduced rate of relapse. Also, a 2-year multicentre randomised study comparing risperidone LAI with equivalently dosed oral second-generation antipsychotics demonstrated reduced readmission rates, but no difference in terms of discontinuation of treatment (Rosenbeck 2011).

A multicentre randomised controlled study comparing haloperidol with paliperidone LAI in patients with schizophrenia or schizoaffective disorder found ‘efficacy failure’ (defined as any of: increased out-patient visits, crisis stabilisation, in-patient admission or inability to discontinue oral antipsychotics within 8 weeks of LAI commencement) in a third of participants (McEvoy 2014). Although the study did not find haloperidol or paliperidone to differ in the rate of ‘efficacy failure’ over 2 years, haloperidol was associated with a greater rate of akathisia, and paliperidone with greater weight gain and elevated serum prolactin.

Cost-effectiveness

In general, second-generation LAIs are considerably more expensive than first-generation LAIs. Such considerations, which are particularly relevant to healthcare services, need to be balanced against the effectiveness, differential side-effect profile and tolerability of medications in individual patients, thus affording more personalised medicine. A systematic review of 28 economic evaluations of LAIs for schizophrenia found that second-generation LAIs, particularly risperidone, were likely to be a more cost-effective initial management strategy than haloperidol LAI and other LAI or oral formulations. However, the review was limited by utilisation of qualitative (not quantitative) assessment and the unavailability or exclusion of possibly relevant papers and conference abstracts (Achilla 2013).

Acceptability of LAIs

Validated recovery approaches in psychiatry emphasise:

- the centrality of the patient’s goals
- psychoeducation, cognitive–behavioural strategies to support treatment adherence, relapse prevention plans, and social and coping skills training
- the therapeutic relationship to identify strengths, resources and goals (Chopra 2009).

Consequently, patients’ expectations and acceptance of LAIs need to be considered in the context of the quality of the patient–clinician relationship (McCabe 2012). Using a collaborative recovery approach when discussing the benefits and risks of LAIs with patients emphasises their sense of self, social inclusion and relationships, instilling hope rather than focusing mainly on symptoms and impairments. The compulsory administration of LAIs under mental health legislation because the patient is at risk of harming themselves or others does not mitigate the onus on the clinician to take a recovery-based approach: patients who perceive coercion in their contact with mental health services are less likely to be engaged in care (Stanhope 2009).

Not surprisingly, the acceptability of LAIs to people with schizophrenia is also influenced by their previous experience of them (Heres 2007), and side-effects adversely affect adherence (Dibonaventura 2012). A survey of patients’ views about LAIs found that two-thirds were not given sufficient information by their clinicians. Concerns about losing autonomy and about pain secondary to injections fuelled negative views about this treatment (Jaeger 2010). However, the majority of patients who had actually received LAIs had positive attitudes towards them (Walburn 2001; Heres 2007; Waddell 2009), whereas only a minority of patients who had not tried them found the suggestion of LAIs acceptable (Heres 2007).

It seems that clinicians often view LAIs as a last-resort treatment to mitigate the risk of relapse (Waddell 2009). Prescribing practices vary not only within but also between countries. Clinicians in the USA in particular tend to hold
negative attitudes towards LAIs (Patel 2009). A survey of US psychiatrists found that less than one-fifth of patients were prescribed LAIs for poor adherence to oral medications (West 2008). Among psychiatrists surveyed in the UK, 38% thought that LAIs were less effective in patients with first-episode psychosis and a third thought that patients preferred oral medications (Patel 2010). Despite their view that LAIs were associated with superior adherence compared with oral medications, half of the surveyed psychiatrists had reduced their prescribing of LAIs in the preceding 5 years. A survey of psychiatrists, patients and relatives in Switzerland found that fewer than one in ten psychiatrists prescribed an LAI following a first episode of psychosis (Jaeger 2010). This study also found that patients were more negative about LAIs than their relatives or psychiatrists, mostly because of the above-mentioned concerns about their autonomy being restricted and experiencing pain as a result of the injections.

Antipsychotic polypharmacy

Antipsychotic polypharmacy is more likely in patients treated with LAIs (Barnes 2009) and in those with suboptimal response to a single LAI (Patel 2009). A study of patients in the USA found that they were less likely to be prescribed LAIs together with adjunctive oral antipsychotics than their counterparts in Europe (Olfson 2007). Polypharmacy may also contribute to clinicians’ views about less favourable tolerability of LAIs compared with oral medications (Patel 2009).

Prescribing guidelines

Key points on antipsychotic prescribing in the updated NICE (2014) clinical guideline on psychosis and schizophrenia are summarised in Box 1.

Choosing specific LAIs

Early LAIs were esterified preparations in an oil solution, administered every 1 to 6 weeks.
### TABLE 1 LAI preparations

<table>
<thead>
<tr>
<th>Preparation</th>
<th>Dose</th>
<th>Comments</th>
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<tbody>
<tr>
<td>Haloperidol decanoate</td>
<td>50–300 mg every 4 weeks</td>
<td></td>
</tr>
<tr>
<td>Fluphenazine decanoate</td>
<td>12.5–100 mg every 2–5 weeks</td>
<td></td>
</tr>
<tr>
<td>Flupentixol decanoate</td>
<td>50 mg every 2 weeks to 300 mg every 4 weeks</td>
<td></td>
</tr>
<tr>
<td>Zuclopenthixol decanoate</td>
<td>200–500 mg every 1–4 weeks</td>
<td></td>
</tr>
<tr>
<td>Pipotiazine palmitate</td>
<td>50–100 mg every 4 weeks</td>
<td></td>
</tr>
<tr>
<td>Risperidone</td>
<td>25–50 mg every 2 weeks</td>
<td>Requires initial adjunctive oral risperidone for 3–4 weeks</td>
</tr>
<tr>
<td>Olanzapine pamoate</td>
<td>150–300 mg every 2 weeks or 300–405 mg every 4 weeks</td>
<td>Monitor for post-injection syndrome after each injection</td>
</tr>
<tr>
<td>Paliperidone palmitate</td>
<td>25–150 mg monthly</td>
<td>A loading dose at baseline and day 8 can achieve peak levels earlier</td>
</tr>
<tr>
<td>Aripiprazole</td>
<td>400 mg monthly</td>
<td>Requires initial adjunctive oral aripiprazole for 2–3 weeks</td>
</tr>
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</table>

The preparation types have broadened since the advent of risperidone LAI, which is delivered via microspheres. In recent years, newer LAI preparations have been delivered via nanoparticles in aqueous suspension for paliperidone palmitate and olanzapine pamoate, and via lyophilised powder reconstituted in sterile water for aripiprazole monohydrate (Gopalakrishna 2013). A list of available LAIs is presented in Table 1. Notwithstanding risk–benefit analyses for individual patients, LAIs have a range of advantages, but also pitfalls compared with their oral counterparts. Some of these considerations are summarised in Box 2.

### First-generation LAIs

For first-generation LAIs such as haloperidol, fluphenazine, flupentixol, zuclopenthixol and pipotiazine, it may take 2–3 months to achieve steady-state plasma concentrations (Taylor 2009).

The efficacy and tolerability of first-generation LAIs have been reviewed by Adams et al (2001), including their side-effect profiles in comparison with oral antipsychotics. It should be noted that Patel & David (2005) raised the caveat that most of the randomised controlled studies included in that review were probably too short to optimise relapse prevention. This said, fluphenazine and haloperidol LAIs have higher rates of extrapyramidal side-effects such as tardive dyskinesia, dystonias and Parkinsonism compared with the second-generation LAIs risperidone, paliperidone, olanzapine and aripiprazole (Gopalakrishna 2013).

### Second-generation LAIs

**Risperidone** Patients prescribed risperidone LAI require adjunctive oral risperidone for 3–4 weeks, as the biodegradable microspheres require several weeks for peak release. The medication also requires a special preparation technique and refrigeration before injection. The main tolerability problems with risperidone LAI are weight gain and hyperprolactinaemia (Gopalakrishna 2013). **Paliperidone** Paliperidone LAI, based on the active metabolite of risperidone (9-hydroxyrisperidone), is available in prefilled syringes, does not require refrigeration before injection and can be administered monthly (as opposed to fortnightly for risperidone LAI); it is released as early as the first day and plasma levels peak at day 13 (an initial loading dose, followed by another at day 8, facilitates peak levels earlier), obviating the need for oral supplementation (Gopalakrishna 2013). Paliperidone LAI is readily metabolised and thus has less potential for medication interactions than risperidone, and may be safer for patients with hepatic impairment (Alamo 2013). There are no randomised head-to-head studies comparing paliperidone LAI with risperidone LAI. **Olanzapine** Olanzapine LAI reaches peak plasma levels in under a week and without the need for adjunctive oral medication. Although there is the option of monthly injections, fortnightly administration is recommended. Apart from side-effects akin to those of oral olanzapine (namely, weight gain, sedation and hyperlipidaemia), a
post-injection syndrome, which can occur irrespective of treatment duration, has been the main and concerning limitation with this medication. The post-injection syndrome is characterised by sedation, delirium or altered conscious state, and speech and gait disturbance (Gopalakrishna 2013). Presentations of this syndrome require monitoring and supportive care for several days, generally in intensive care, and there have been reported deaths (Kuehn 2013), possibly secondary to cardiac arrhythmia or cardiopulmonary arrest. Accordingly, patients must be monitored for 2–3 hours after each injection.

**Aripiprazole**

Aripiprazole LAI is a lyophilised powder that requires reconstitution in sterile water. Monthly administration of aripiprazole LAI has been found to be effective at doses of 300 and 400 mg. Although peak plasma concentration occurs 5–7 days after injection, a fortnight of adjunctive oral aripiprazole has been recommended to optimise levels. Reported side-effects include tremor, sedation, headache and increased QTc interval (Gopalakrishna 2013).

**LAI'S in clinical practice**

The available evidence indicates that LAIs are underutilised in clinical practice for people with schizophrenia (including the first episode) and possibly those with comorbid substance use disorders. Their utility and use in this context are affected by patient, clinician and service barriers that can work against best practice and evidence-based care.

Although adherence, albeit more discernible with LAIs, is a prime factor in considering these agents, the answer does not lie in a dichotomous ‘oral v. LAI’ approach to prescribing. If we are to learn from history, the therapeutic success or otherwise of LAIs essentially depends on the quality of follow-up care (Johnson 2009). Patients prescribed LAIs may relapse, even when adherent to these medications, and may also experience significant side-effects. Consequently, continued LAI use may not be appropriate for all patients, even if oral medication adherence is a significant concern. Also, differential costs of newer LAIs compared with older and cheaper agents should be balanced with individually tailored care, including considerations of tolerability.

**Clinician and nurse education, training and support**

In translating the evidence and clinical guidelines (such as NICE 2014) about LAI use into readily accessible, individually tailored and recovery-focused care, concomitant support for clinician education and supervision and quality improvement processes across primary and specialist care are vital. This need is evidenced by the influence of clinicians’ attitudes, which may be discordant with current guidelines, as well as the extent of awareness and vigilance about medication adherence and its marked impact on patient outcomes and relapse prevention.

Building on the essential framework of a recovery-informed approach, nurses administering LAIs have a key role in communication (in primary care and specialist settings) with other clinicians involved in patient care. This role requires engaging patients in broader discussions about their health (e.g. diet, exercise and smoking), as well as monitoring outcome measures, including tolerability (e.g. using The Liverpool University Neuroleptic Side Effect Rating Scale (LUNSERs); Day 1995). Nurses in this role, irrespective of the setting in which they are working, require initial mentoring and supervision, as well as relevant knowledge of psychopharmacology, anatomy and physiology germane to the medication. Advance choice (advance decision) conversations with the patient about the benefits and risks of treatment options such as LAIs can facilitate therapeutic engagement and care within a recovery framework (Gray 2009). The Royal College of Psychiatrists (2014) has produced a patient leaflet on LAIs, and Box 3 lists questions that may be helpful in assessing a patient’s attitudes towards LAIs and oral antipsychotics.

**Conclusions**

The beliefs and attitudes that patients and clinicians hold about antipsychotic medication, as well as the quality of their recovery-focused relationship, are key factors in adherence. LAIs have a place in addressing non-adherence, and arguably should be part of the choices discussed.

**BOX 3**

**Ascertaining the patient’s attitudes towards LAIs v. oral antipsychotics**

The following questions are helpful:

- Would you rather have medication by LAI or by tablet?
- Do you think there is a difference between taking tablets daily or having an injection every few weeks?
- How do you compare your current medication (LAI) with your previous medication?
- How do you feel about the medication you have in the form of injections compared with earlier treatment with tablets or other injections?

(Adapted from Wallburn 2001)
with any patient requiring long-term treatment, even early in the illness course. However, LAIs are currently underused in schizophrenia. There is a need for better education of clinicians, including improved knowledge of guidelines such as those from NICE, and for strategies to address the stigma associated with ‘depot’ antipsychotics and to change beliefs that are contrary to evidence-based care.

References


National Institute for Health and Care Excellence (2014) Psychosis and Schizophrenia in Adults: Treatment and Management (NICE Clinical Guideline 178). NICE.


### MCQs
Select the single best option for each question stem.

**1 Long-acting injectable antipsychotics (LAIs):**
- a generally better tolerated than oral antipsychotics
- b prevent relapse in more than 95% of patients with schizophrenia
- c are less subject to covert non-adherence than oral antipsychotics
- d are administered at least every 4 weeks
- e are more efficacious than similar oral antipsychotics.

**2 Treatment adherence for LAIs:**
- a is to a small degree dependent on the quality of the patient’s relationship with the clinicians
- b is worse than for oral antipsychotic medications
- c is occasionally related to the patient’s personal opinion regarding their susceptibility to relapse
- d is generally predicted by a patient’s verbal report of their adherence behaviour
- e is significantly influenced by the side-effect profile.

**3 Reasons for LAI underutilisation do not include:**
- a prescriber knowledge regarding these medications
- b family or carer views
- c patients’ concerns about pain and side-effects
- d sufficient information provided to the majority of patients
- e the cost of medications.

**4 Advantages of LAIs compared with oral antipsychotics include:**
- a easier early detection of relapse
- b better cardiometabolic tolerability in a proportion of patients
- c less need to focus on a recovery-based approach to care
- d markedly reduced hospital readmission rates
- e reduced risk of self-harm with antipsychotic medication.

**5 Regarding the NICE clinical guidelines for psychosis and schizophrenia in adults:**
- a physical health evaluation is sometimes required before initiation of LAIs
- b LAIs should be considered when avoiding covert non-adherence is a clinical priority
- c LAIs should be avoided in people after a first episode
- d clinicians’ views are more important than patients’ views about LAIs
- e LAIs delay the need for clozapine.

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Why are LAIs still underused?