

Multiplicities of Binary Recurrences

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Abstract. In this note the multiplicities of binary recurrences over algebraic number fields are investigated under some natural assumptions.

Let \mathbb{K} be an algebraic number field of degree d and u_0, u_1 algebraic integers in \mathbb{K} , and $\omega \in \mathbb{K}^*$. Furthermore, let $\{u_n\}_{n=0}^\infty$ be a non-degenerate binary recurrence sequence with companion polynomial $f(X) \in \mathbb{Z}[X]$. Denote by λ and μ the zeros of $f(X)$. The ω -multiplicity of the sequence $\{u_n\}_{n=0}^\infty$ is defined as the number of indices m such that $u_m = \omega$ (cf. [ST] and the references given there). For an element $\alpha \in \mathbb{K}$ the (usual) height is denoted by $H(\alpha)$.

Theorem *If $\min(|\lambda|, |\mu|) > 1$ and $\max(H(u_0), H(u_1)) > c(d, f, \omega)$, where $c(d, f, \omega)$ is an effectively computable constant depending only on d, f and ω , then the ω -multiplicity of $\{u_n\}_{n=0}^\infty$ is at most one.*

Therefore if \mathbb{K}, ω and the companion polynomial (with $|\lambda| > 1, |\mu| > 1$) are given, then apart from some effectively determinable exceptional pairs (u_0, u_1) the ω -multiplicity of the sequence is at most one.

Auxiliary Results

Let $\alpha_1, \alpha_2, \dots, \alpha_n$ be nonzero algebraic numbers. Write \mathbb{L} for their splitting field and put $g = [\mathbb{L} : \mathbb{Q}]$. Denote by A_1, \dots, A_n upper bounds for the respective heights of $\alpha_1, \dots, \alpha_n$, where we suppose that $A_j \geq 2$ for $1 \leq j \leq n$. Write

$$\Omega' = \prod_{j=1}^{n-1} \log A_j, \quad \Omega = \Omega' \log A_n.$$

Let b_1, \dots, b_n be rational integers, not all zero, and set $B = \max\{|b_1|, \dots, |b_n|, 2\}$.

Lemma 1 *If $\Lambda = |\alpha_1^{b_1} \cdots \alpha_n^{b_n} - 1| \neq 0$, then*

$$\Lambda > \exp\{-c(n, g)\Omega \log \Omega' \log B\},$$

where $c(n, g)$ is an effectively computable constant depending only on g and n .

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Proof See [SPTS, p. 66].

It is well known that $(\mu - \lambda)u_n = \alpha\lambda^n + \beta\mu^n$ with $\alpha = u_0\mu - u_1, \beta = u_1 - u_0\lambda$. The ω -multiplicity therefore is the number of $n \in \mathbb{N}$ with

$$(1) \quad \alpha\lambda^n + \beta\mu^n = \gamma,$$

where $\gamma = (\mu - \lambda)\omega$. For an upper bound to the number of solutions of (1) in a very general case we refer to the paper of Beukers and Schlickewei [BS]. The crucial point is to handle the algebraic number field case.

Lemma 2 *Suppose $\lambda, \mu, \alpha, \beta$ lie in \mathbb{K} , with $|\lambda| > 1, |\mu| > 1$, and λ/μ not a root of 1, $\alpha\beta \neq 0$. Then there is an effectively computable $c_0 = c_0(d, \lambda, \mu)$ such that there is at most one $n \in \mathbb{N}$ with*

$$(2) \quad 0 < |\alpha\lambda^n + \beta\mu^n| < \max(|\alpha|, |\beta|)(2 + \log H(\alpha/\beta))^{-c_0}.$$

Proof c_1, c_2, \dots will be effectively computable constants depending on d, λ, μ . We may suppose that $|\alpha| \leq |\beta|$ and set $h = 2 + \log H(\alpha/\beta)$. Then (2) may be rewritten as

$$(3) \quad 0 < |(-\alpha/\beta)^1(\lambda/\mu)^n - 1| < |\mu|^{-n}h^{-c_0} < |\mu|^{-n}.$$

By Lemma 1,

$$|(-\alpha/\beta)^1(\lambda/\mu)^n - 1| > \exp(-c_1 h \log n).$$

Comparison with (3) and taking logarithms yields $-c_1 h \log n < -n \log |\mu|$, hence $n/\log n < c_2 h$, hence

$$(4) \quad n < c_3 h \log h.$$

Suppose $0 < n_1 < n_2$ were two solutions of (2), hence of (3). When $c_0 \geq 2$, we have $h^{-c_0} \leq 1/4$, and we obtain

$$(5) \quad |(\lambda/\mu)^{n_2-n_1} - 1| < 4h^{-c_0}.$$

Since λ/μ is not a root of 1, the left hand side is $\neq 0$, so that by Lemma 1 it is

$$> \exp(-c_4 \log(n_2 - n_1)) > \exp(-c_5 \log h) = h^{-c_5}$$

by (4). Comparison with (5) gives $h^{c_0-c_5} < 4$, whence $2^{c_0-c_5} < 4$, which is impossible if $c_0 \geq c_5 + 2$.

In what follows, σ will denote embeddings $\mathbb{K} \hookrightarrow \mathbb{C}$, and for $\xi \in \mathbb{K}$ we set $|\xi| = \max_{\sigma} |\sigma(\xi)|$.

Lemma 3 *Let $\gamma \in \mathbb{K}^*$, and $\alpha, \beta \in O_{\mathbb{K}}$. Suppose*

$$(6) \quad \min_{\sigma} \min(|\sigma(\lambda)|, |\sigma(\mu)|) > 1$$

and

$$(7) \quad \max(|\alpha|, |\beta|) > c_6(d, \lambda, \mu, \gamma).$$

Then the equation (1) possesses at most one solution $n \in \mathbb{N}$.

Proof Set $m = \max(\lceil \alpha \rceil, \lceil \beta \rceil)$, and suppose m is so large that

$$\frac{m}{(2 + d \log m)^{c_0}} > \lceil \gamma \rceil.$$

We may suppose that $\lceil \alpha \rceil \leq \lceil \beta \rceil$, and after an appropriate embedding we may further suppose that $\lceil \beta \rceil = |\beta|$, so that $m = \max(|\alpha|, |\beta|)$. Then (1) yields

$$|\alpha \lambda^n + \beta \mu^n| = |\gamma| \leq \lceil \gamma \rceil < \frac{m}{(2 + d \log m)^{c_0}} \leq \frac{\max(|\alpha|, |\beta|)}{(2 + \log H(\alpha/\beta))^{c_0}},$$

because $\log H(\alpha/\beta) \leq d \log m$, since α, β are in $O_{\mathbb{K}}$. According to Lemma 2, there is at most one such n .

Proof of the Theorem

Now λ, μ are rational or are conjugate quadratics, so that $\min(|\lambda|, |\mu|) > 1$ yields (6). As noted above, we are dealing with (1) where $\alpha = u_0 \mu - u_1, \beta = u_1 - u_0 \lambda, \gamma = (\mu - \lambda)\omega$, so that

$$u_0 = \frac{\alpha + \beta}{\lambda - \mu}, \quad u_1 = \frac{\lambda \alpha + \mu \beta}{\lambda - \mu}.$$

Since $\alpha, \beta \in O_{\mathbb{K}}$,

$$\max(H(u_0), H(u_1)) \leq c_7(\lambda, \mu) (\max(\lceil \alpha \rceil, \lceil \beta \rceil))^d$$

and therefore $\max(H(u_0), H(u_1)) > c(\lambda, \mu, d, \omega)$ implies (7).

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