

## PROPER MORPHISMS AND EXCELLENT SCHEMES

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### Introduction

Let  $f: X \rightarrow Y$  be a finite type morphism of locally noetherian schemes. It is well known ([3, IV, 7.8.6]) that the excellent property ascends from  $Y$  to  $X$ . On the other side there are counter-examples where  $X$  is excellent and  $Y$  is not. First of all it is easy to show that the condition on chains of prime ideals does not descend (see [3, IV, 7.8.4]), even by finite morphisms. Secondly in [2] it is produced an example where  $X$  is excellent while  $Y$  is not a  $G$ -scheme (i.e. it has not the good properties of formal fibers). However in [2] it is also proved that the property concerning the openness of regular loci (the so called " $J$ -2") descends by finite type surjective morphisms. Therefore we are led to the following question: When does the  $G$ -scheme property descend? I.e. what conditions do we need on  $f$ ? A reasonable condition is conjectured (in [2]) as the following:  $f$  is proper surjective. The aim of the present paper is precisely to give an answer to such a question. What we really prove is the following. If  $X$  is a  $G$ -scheme and  $J$ -2 (quasi-excellent), then the same is true for  $Y$ , provided that  $f$  is proper surjective and moreover all the residue fields of  $Y$  have characteristic 0. We remark that the result is strongly based on Hironaka's desingularization for quasi-excellent schemes defined over a field of characteristic 0.

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### §0. Recalls and definitions

All rings are assumed to be commutative noetherian rings with unit and all schemes are assumed to be locally noetherian.

1. We recall that a graded ring is a ring  $S$  with a direct decompo-

sition of the underlying additive group,  $S = \bigoplus_{n=0}^{\infty} S_n$ , such that  $S_n S_m \subseteq S_{n+m}$  for every  $n, m \geq 0$ .

An element of  $S_n$  is called a homogeneous element of degree  $n$ .

$S_0$  is a subring of  $S$  and  $S_+ = \bigoplus_{n>0} S_n$  is an ideal of  $S$ .

An ideal  $\mathfrak{S}$  of  $S$  is homogeneous if it has a basis consisting of homogeneous elements.

A homogeneous ideal  $\mathfrak{S}$  of  $S$  is irrelevant if  $\sqrt{\mathfrak{S}} \supseteq S_+$  and otherwise it is relevant.

Since  $S$  is noetherian,  $S$  is finitely generated as an  $S_0$ -algebra.

Convention: Once for all we assume that the graded  $S_0$ -algebra  $S = \bigoplus_{n=0}^{\infty} S_n$  is generated over  $S_0$  by  $x_0, \dots, x_n \in S_1$ , say  $S = S_0[x_0, \dots, x_n]$ .

2. Let  $\text{Proj}(S) = \{\mathfrak{p} \in \text{Spec}(S) / \mathfrak{p} \text{ is a homogeneous relevant ideal}\}$ . We can give  $\text{Proj}(S)$  a structure of scheme. For this construction and for the properties of  $\text{Proj}(S)$  we refer to [4]. (See also [3, II] where homogeneous prime ideals are defined in a slightly different but equivalent way).

3. The dimension of a scheme  $X$ , denoted by  $\dim(X)$ , is its dimension as a topological space. If  $X = \text{Spec}(A)$  for a ring  $A$ , then the dimension of  $X$  is the same as the Krull dimension of  $A$  and we shall write as  $\dim(A)$ . If  $X = \text{Proj}(S)$  then  $\dim(X) = d$  means that there exists a chain  $\mathfrak{p}_0 \subseteq \mathfrak{p}_1 \subseteq \dots \subseteq \mathfrak{p}_r$  of relevant homogeneous prime ideals in  $S$ , while no such chain of length  $r + 1$  exists (see [3, II, 2.3.17]).

4. Let  $X$  be an integral scheme. We denote by  $\phi(X)$  the function field of  $X$ . For a ring  $A$  we shall write  $\phi(A)$  instead of  $\phi(\text{Spec}(A))$ .

5. A ring  $A$  is quasi-excellent (QE for short) iff:

(i)  $A$  is a  $G$ -ring, i.e. the formal fibers of  $A$  are geometrically regular.

(ii)  $A$  is  $J$ -2; i.e. the regular locus of  $\text{Spec}(A')$  is Zariski open whenever  $A'$  is any  $A$ -algebra of finite type.

A ring  $A$  is excellent if it is QE and universally catenary (UC for short).

A scheme  $X$  is excellent (resp. QE) if there exists a covering of  $X$  by open affine subsets  $U_i = \text{Spec}(A_i)$  such that  $A_i$  is excellent (resp. QE), for each  $i$ .

For excellent rings and schemes we refer to [3, IV<sub>2</sub>] and [6, chap. 13].

6. Let  $f: X \rightarrow Y$  be a scheme morphism.  $f$  is proper if it is separated, of finite type and universally closed.  $f$  is projective if it factors into a

closed immersion  $i: X \rightarrow P_Y^n$  for some  $n$ , followed by the projection  $P_Y^n \rightarrow Y$  ( $P_Y^n$  denotes the projective  $n$ -space over  $Y$ ).

**EXAMPLE.** Let  $A$  be a ring, let  $S$  be a graded ring with  $S_0 = A$ , which is finitely generated by the elements of  $S_1$  as an  $S_0$ -algebra. Then the natural map  $\text{Proj}(S) \rightarrow \text{Spec}(A)$  is a projective morphism.

7. *Remark.* Let  $A$  be a ring. A scheme  $Y$  over  $\text{Spec}(A)$  is projective if and only if it is isomorphic to  $\text{Proj}(S)$  for some graded ring  $S$ , where  $S_0 = A$ , and  $S$  is finitely generated by the elements of  $S_1$  as an  $S_0$ -algebra ([4, II, 5.18]).

8. Let  $X, Y$  be two reduced schemes. A morphism  $f: X \rightarrow Y$  is birational if for every maximal point  $y \in Y$ ,  $f^{-1}\{y\} = \{x\}$  with  $x$  maximal point of  $X$  and if the homomorphism between the residue fields  $k(y) \rightarrow k(x)$  deduced by  $f$  is a bijection. ([3, IV, 6.15.4]).

If both  $X$  and  $Y$  are integral schemes, then the generic points of  $X$  and  $Y$  correspond through  $f$  and the fraction fields of  $X$  and  $Y$  are isomorphic.

9. Let  $X$  be a reduced scheme. A scheme  $Y$  is a resolution of singularities of  $X$  if there is a proper and birational morphism  $f: Y \rightarrow X$  and  $Y$  is regular. If such  $Y$  exists, then we say that  $X$  is desingularizable.

10. We recall the following results on resolution of singularities due to Hironaka ((a)) and Grothendieck ((b), (c)):

(a) Let  $X$  be a reduced noetherian scheme with all the residue fields of characteristic 0. If  $X$  is QE then  $X$  is desingularizable.

(b) Let  $X$  be a locally noetherian scheme. If any integral finite  $X$ -scheme is desingularizable, then  $X$  is QE.

(c) Let  $X$  be a locally noetherian scheme such that all the residue fields of  $X$  have characteristic 0. If every closed integral subscheme of  $X$  is desingularizable, then  $X$  is QE.

For more details see [5], [3, IV, 7.9.5] and also [7, Proposition 3.1., Example 3 and Theorem 3.2 with Remark 1].

§1.

The present section is concerned with some preliminary results on the graded  $S_0$ -algebra  $S$  and on  $\text{Proj}(S)$ . Mainly we will see when  $\text{Proj}(S)$  and  $\text{Spec}(S_0)$  have the same dimension and when  $\phi(\text{Proj}(S))$  is a finite algebraic extension of  $\phi(S_0)$ .

LEMMA 1.1. *Let  $S_0$  be a domain and  $S = S_0[x_0, \dots, x_n]$  a graded  $S_0$ -algebra generated by  $x_0, \dots, x_n \in S_1$ . Let  $f: \text{Proj}(S) \rightarrow \text{Spec}(S_0)$  be a scheme morphism. Consider the following conditions:*

(a) *For each  $i$  ( $0 \leq i \leq n$ ) and for each  $\mathfrak{P} \in \text{Proj}(S)$  with  $x_i S \subseteq \mathfrak{P}$ , it holds  $\mathfrak{P} \cap S_0 \neq (0)$ .*

(b) *For each  $i$ ,  $S_+$  is a minimal prime ideal of  $x_i S$ .*

(c) *There exists  $i$  such that  $S_+$  is a minimal prime ideal of  $x_i S$ .*

*Then we have: (a)  $\rightarrow$  (b)  $\rightarrow$  (c).*

*Proof.* (a)  $\rightarrow$  (b). By (a) it follows that there is an irrelevant ideal  $\mathfrak{Q} \in \text{Ass}(x_i S)$  such that  $\mathfrak{Q} \cap S_0 = (0)$ . In fact assume the contrary and consider  $\sqrt{x_i S} = \bigcap_{j=1}^k \mathfrak{Q}_j$  where  $\mathfrak{Q}_j \in \text{Ass}(x_i S)$  for  $1 \leq j \leq k$ . Then  $\mathfrak{Q}_j \cap S_0 \neq (0)$  for each  $j$  and  $\sqrt{x_i S} \cap S_0 \neq (0)$ . But this means that there are  $t \in S_0$ ,  $t \neq 0$  and  $r \in N$  such that  $t^r \in x_i S$ , and this is absurd because the degree of  $t^r$  is zero if  $t \in S_0$  while the elements of  $x_i S$  have positive degree.

So there exists an irrelevant minimal prime ideal  $\mathfrak{Q}$  of  $x_i S$  with  $\mathfrak{Q} \cap S_0 = (0)$ . But  $\mathfrak{Q} \supseteq S_+$  because it is irrelevant and  $\mathfrak{Q} \subseteq S_+$  because  $\mathfrak{Q} \cap S_0 = (0)$ . Therefore  $\mathfrak{Q} = S_+$ .

(b)  $\rightarrow$  (c). Obvious.

LEMMA 1.2. *Let  $S$  be a graded ring, with  $S_0$  domain, and assume that  $f: \text{Proj}(S) \rightarrow \text{Spec}(S_0)$  is a surjective morphism. Then there exists a homogeneous relevant prime ideal  $\mathfrak{P}_0$  of  $S_0$  such that the induced morphism  $f': \text{Proj}(S/\mathfrak{P}_0) \rightarrow \text{Spec}(S_0)$  is again surjective.*

*Proof.* Since  $(0) \in \text{Spec}(S_0)$  and  $f$  is surjective, there exists  $\mathfrak{P}_0 \in \text{Proj}(S)$  such that  $\mathfrak{P}_0 \cap S_0 = (0)$ . Now consider the following diagram

$$\begin{array}{ccc} \text{Proj}(S) & \xrightarrow{f} & \text{Spec}(S_0) \\ & \swarrow g & \nearrow f' \\ & \text{Proj}(S/\mathfrak{P}_0) & \end{array}$$

where  $g$  is the closed immersion determined by the surjective homomorphism of graded rings  $S \rightarrow S/\mathfrak{P}_0$  and  $f' = f \circ g$ . Then  $f'$  is surjective because it is proper, hence closed and  $(0) \in \text{Im}(f')$ .

PROPOSITION 1.3. *Let  $S_0$  be a domain and let  $S = S_0[x_0, \dots, x_n]$  be a graded domain generated by  $x_0, \dots, x_n \in S_1$  over  $S_0$ . Let  $f: \text{Proj}(S) \rightarrow \text{Spec}(S_0)$  be a surjective morphism. Consider the following conditions:*

(d)  $\dim(S_0) = \dim(\text{Proj}(S))$ .

(e)  $\phi(\text{Proj}(S))$  is a finite algebraic extension of  $\phi(S_0)$ . Then condition (c) of 1.1 implies (d) and (d) implies (e).

*Proof.* (c)  $\rightarrow$  (d). The morphism  $f: \text{Proj}(S) \rightarrow \text{Spec}(S_0)$  is closed and surjective so  $\dim(\text{Proj}(S)) \geq \dim(S_0)$  ([3, IV, 5.4.1 (ii)]). Now we distinguish two cases:

(i)  $\dim(S_0) = +\infty$ . Then, by the foregoing inequality,  $\dim(\text{Proj}(S)) = +\infty$ , that is (d) holds.

(ii)  $\dim(S_0) \leq +\infty$ . Then it is enough to verify the inequality  $\dim(\text{Proj}(S)) \leq \dim(S_0)$ . It is clear that (ii) implies  $\dim(S) \leq +\infty$ .

Let  $\mathfrak{Q} = (x_0, \dots, x_n)$ , then  $\text{ht}(\mathfrak{Q}) \leq 1$  by (c). But  $\mathfrak{Q} \neq (0)$  implies  $\text{ht}(\mathfrak{Q}) = 1$  by the hypothesis that  $S$  is a domain.

Now we prove that  $\dim(S) = \dim(S_0) + 1$ . We have  $\dim(S) - \dim(S/\mathfrak{Q}) \geq \text{ht}(\mathfrak{Q})$  ([6, Sec. 12. A]), that is,  $\dim(S) - \dim(S_0) \geq \text{ht}(\mathfrak{Q}) = 1$ . On the other hand, we compute the dimension of the fiber of the natural morphism  $\varphi: \text{Spec}(S) \rightarrow \text{Spec}(S_0)$  over the generic point  $(0) \in \text{Spec}(S_0)$ , i.e.  $\dim(\phi(S_0)[x_0, \dots, x_n]) = \dim(\phi(S_0)[x_0, \dots, x_n]/(x_0, \dots, x_n)) + \text{ht}(x_0, \dots, x_n) = 1$  ([6, Sec. 14. H]). Since we have  $\dim(S) - \dim(S_0) \leq \dim(\phi(S_0)[x_0, \dots, x_n])$  ([4, II, Example 3.22]) we get  $\dim(S) \leq \dim(S_0) + 1$ , hence  $\dim(S) = \dim(S_0) + 1$ .

On the other hand, since  $\text{Proj}(S)$  is a topological subspace of  $\text{Spec}(S)$ , it is true that  $\dim(\text{Proj}(S)) \leq \dim(S)$ . If we show that  $\dim(S) \geq \dim(\text{Proj}(S))$ , then by the foregoing inequality, we may deduce  $\dim(\text{Proj}(S)) = \dim(S_0)$ .

Now, let  $\mathfrak{q}_0 \subseteq \dots \subseteq \mathfrak{q}_r$  be a maximal chain of homogeneous primes of  $\text{Proj}(S)$  such that  $\dim(\text{Proj}(S)) = r$ . Consider the ideal  $\mathfrak{q}'$  of  $S$  generated by  $\mathfrak{q}_r$  and  $x_0, \dots, x_n$ . Then  $\mathfrak{q}'$  is proper and different from  $\mathfrak{q}_r$ , because otherwise  $x_0 = \dots = x_n = 0$ , but in this case  $\text{Proj}(S) = \emptyset$  and so  $\dim(\text{Proj}(S)) \leq \dim(S_0)$ . Let  $\mathfrak{P}$  be a minimal prime ideal of  $\mathfrak{q}'$ . Then  $\mathfrak{q}_0 \subseteq \dots \subseteq \mathfrak{q}_r \subseteq \mathfrak{P}$  is a chain of  $\text{Spec}(S)$ , that is  $\dim(S) \geq r + 1 > \dim(\text{Proj}(S))$ .

(d)  $\rightarrow$  (e). Since  $f: \text{Proj}(S) \rightarrow \text{Spec}(S_0)$  is a surjective morphism of integral schemes of the same dimension by (d), the fiber over the generic point of  $\text{Spec}(S_0)$  has dimension 0 and hence it is finite. By [4, II, Example 3.7], it follows that  $\phi(\text{Proj}(S))$  is a finite field extension of  $\phi(S_0)$ .

**PROPOSITION 1.4.** *Let  $S_0$  be a domain and let  $S = S_0[x_0, \dots, x_n]$  be a graded domain generated by  $x_0, \dots, x_n \in S_1$  over  $S_0$ . Let  $f: \text{Proj}(S) \rightarrow$*

$\text{Spec}(S_0)$  be a surjective morphism. If the condition (e) of 1.3 holds then there exist a finite extension  $S'_0$  of  $S_0$  and a proper birational morphism  $g: \text{Proj}(S) \rightarrow \text{Spec}(S'_0)$  such that the following diagram

$$\begin{array}{ccc} \text{Proj}(S) & \xrightarrow{f} & \text{Spec}(S_0) \\ & \searrow g & \nearrow h \\ & & \text{Spec}(S'_0) \end{array}$$

is commutative.

*Proof.* Observe that, if we define a finite extension  $S'_0$  of  $S_0$  such that there exists a morphism  $g: \text{Proj}(S) \rightarrow \text{Spec}(S'_0)$  which makes the diagram commutative, then we deduce that  $g$  is proper. In fact, since  $f (=h \circ g)$  and  $h$  are proper (in addition  $h$  is finite),  $g$  is also such ([4, II, 4.8. (e)]).

Now we have to define  $S'_0$  such that  $g$  is birational too. Consider the integral closure  $X''$  of  $\text{Spec}(S_0)$  in  $\text{Proj}(S)$  ([3, II, 6.3]). Then  $X''$  is an affine scheme  $\text{Spec}(S''_0)$ , because the morphism  $h': X'' \rightarrow \text{Spec}(S_0)$  is integral. Moreover there is a natural morphism  $g': \text{Proj}(S) \rightarrow X''$ .  $S'_0$  is a suitable subring of  $S''_0$ . In fact, let  $L = \phi(\text{Proj}(S))$  and  $K = \phi(S_0)$ , then by (e) it follows that  $L = K[t_1, \dots, t_m]$ , where  $t_i$  is algebraic over  $K$  for  $i = 1, \dots, m$ . Let  $f_i(X)$  be the minimal polynomial of  $t_i$  over  $K$  ( $1 \leq i \leq m$ ), then it holds  $f_i(t_i) = t_i^{s_i} + (a_{i1}/b_{i1})t_i^{s_i-1} + \dots + (a_{is_i}/b_{is_i}) = 0$  where  $a_{ij}, b_{ij} \in S_0$  for  $1 \leq j \leq s_i$ . Multiplying this equation by  $(b_{i1} \dots b_{is_i})^{s_i} = b_i^{s_i}$ , it becomes an equation of integral dependence for  $b_i t_i$  over  $S_0$ . Put  $S'_0 = S_0[b_1 t_1, \dots, b_m t_m]$ . Then  $S'_0$  is finite as an  $S_0$ -module and clearly  $\phi(S'_0) = \phi(\text{Proj}(S))$ . Moreover there is a morphism  $g'': \text{Spec}(S''_0) \rightarrow \text{Spec}(S'_0)$ . If we put  $g = g'' \circ g'$ , then  $g$  is a proper and birational morphism.

§ 2.

Here we prove our main theorem on descent of excellent property by proper surjective morphisms.

**THEOREM 2.1.** *Let  $Y$  be a locally noetherian scheme defined over a field of characteristic 0. Suppose  $f: X \rightarrow Y$  is a proper surjective scheme morphism, then  $X$  is QE if and only if  $Y$  is QE.*

*Proof.* The “if” part is clear by definition (see [6 chap. 13]). Conversely, in our hypothesis we may apply 2.3 of [2] and we deduce that  $Y$  is  $J$ -2. So it is enough to show that  $Y$  is a  $G$ -scheme.

We verify that we may assume:

- 1)  $Y$  is affine, say  $Y = \text{Spec}(S_0)$ .
- 2)  $S_0$  in 1) is a domain.
- 3)  $S_0$  is local.

1) In fact, if  $\{V_i\}$  is an open affine covering of  $Y$  and  $U_i = f^{-1}(V_i)$ , then  $f|_{U_i}: U_i \rightarrow V_i$  is proper ([4, II, 4.8]) and surjective (since  $f$  is surjective it follows  $f(f^{-1}(U_i)) = V_i$ ).  $V_i$  satisfies the hypotheses and  $U_i$  is QE for any  $i$ . Hence it suffices to prove that  $V_i$  is QE, but this means that we may assume  $Y = \text{Spec}(S_0)$ .

2) It is known that  $Y = \text{Spec}(S_0)$  is a  $G$ -scheme if and only if  $\text{Spec}(S_0/\mathfrak{P})$  is a  $G$ -scheme for every  $\mathfrak{P} \in \text{Spec}(S_0)$  with  $\text{ht}(\mathfrak{P}) = 0$ .

Let  $\mathfrak{P} \in \text{Spec}(S_0)$  with  $\text{ht}(\mathfrak{P}) = 0$ . For proper and surjective morphism  $f: X \rightarrow \text{Spec}(S_0)$ , let  $f'$  be the morphism obtained from  $f$  by the base extension  $h: \text{Spec}(S_0/\mathfrak{P}) \rightarrow \text{Spec}(S_0)$ , where  $h$  is finite. Now consider the following diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & \text{Spec}(S_0) \\ h' \uparrow & & \uparrow h \\ X' & \xrightarrow{f'} & \text{Spec}(S_0/\mathfrak{P}) \end{array}$$

where  $X' = X \otimes_{\text{Spec}(S_0)} \text{Spec}(S_0/\mathfrak{P})$ . Then  $f'$  is proper and surjective (such properties are stable under base extension by [3, II, 5.4.2 and I, 3.5.2]) and  $X'$  is QE because  $h'$ , obtained from  $h$  by the base extension  $f$ , is finite and  $X$  is QE by hypothesis. Hence it follows that we may assume  $S_0$  is a domain.

3) Proceeding similarly to point 2)—that is using the fact that our properties are stable under base extension—we show that  $S_0$  may be taken local.

It is known that  $S_0$  is a  $G$ -ring if and only if  $(S_0)_m$  is a  $G$ -ring for every  $m \in \text{Max}(S_0)$ . For  $f: X \rightarrow Y = \text{Spec}(S_0)$ , let  $f'$  be the morphism obtained from  $f$  by the base extension  $h: \text{Spec}((S_0)_m) \rightarrow \text{Spec}(S_0)$ , where  $h$  is a morphism essentially of finite type. We have

$$\begin{array}{ccc} X & \xrightarrow{f} & \text{Spec}(S_0) \\ h' \uparrow & & \uparrow h \\ X' & \xrightarrow{f'} & \text{Spec}((S_0)_m) \end{array}$$

where  $X' = X \otimes_{\text{Spec}(S_0)} \text{Spec}((S_0)_m)$ . Then  $f'$  is proper and surjective and  $X'$  is QE because  $h'$  is essentially of finite type. So we may assume that  $S_0$  is local.

Summarizing, we have a proper and surjective morphism  $f: X \rightarrow Y$  where  $X$  is QE and  $Y = \text{Spec}(S_0)$  with  $S_0$  a local domain. In this case we may apply 5.6.2 of [3, II] and we find a projective scheme  $X'$  over  $\text{Spec}(S_0)$  and a morphism  $g: X' \rightarrow X$  projective and surjective. The scheme  $X'$  is isomorphic to  $\text{Proj}(S)$  for some graded ring  $S$  (Remark 7). Then  $\text{Proj}(S)$  is QE because this property ascends by  $g$ , and  $h = f \circ g: X' \rightarrow Y$  is surjective because it is the composition of two surjective morphisms.

By 1.2 we may replace  $S$  by  $S/\mathfrak{P}_0$  for a suitable  $\mathfrak{P}_0 \in \text{Proj}(S)$  and assume that  $S$  is a domain. Now it is enough to show the theorem with  $X = \text{Proj}(S)$  and  $Y = \text{Spec}(S_0)$ , where  $S_0$  is a local domain and  $S = S_0[x_0, \dots, x_n]$  is a domain.

We proceed by double induction with respect to  $(n, d)$  where  $n$  is the number of minimal generators of  $S$  over  $S_0$  and  $d = \dim(S_0)$ . Note that assuming  $S_0$  local it holds  $\dim(S_0) \leq +\infty$  and so the proof by induction covers all the cases.

For  $(0, d)$  it holds  $S = S_0[x_0]$ . If we prove that  $x_0$  is transcendental over  $S_0$ , then we see that  $S$  is isomorphic to  $S_0[X_0]$  with  $X_0$  indeterminate and  $\text{Spec}(S_0)$  is isomorphic to  $\text{Proj}(S)$ .

We show by absurdity that  $x_0$  is transcendental over  $S_0$ . So suppose that we have an equation  $a_0 + a_1x_0 + a_2x_0^2 + \dots + a_mx_0^m = 0$  of algebraic dependence for  $x_0$  of minimal degree  $m$  with  $a_i \in S_0$ . Then  $a_0 \in S_0 \cap (x_0) = (0)$ , i.e.  $a_0 = 0$ . But this means that  $x_0$  is a zero-divisor, and this is impossible because  $S$  is a domain.

For  $(n, 0)$ , it follows immediately that  $S_0$  is QE. In fact it is a field.

Assuming that the theorem is true for  $(n - 1, d)$  and  $(n, d - 1)$ , we prove it for  $(n, d)$ . We distinguish two cases:

*Case 1.* There exist  $i$  ( $0 \leq i \leq n$ ) and  $\mathfrak{P} \in \text{Proj}(S)$  with  $x_i S \subseteq \mathfrak{P}$  such that  $\mathfrak{P} \cap S_0 = (0)$ . Take such a  $\mathfrak{P} \in \text{Proj}(S)$  and consider the quotient  $S/\mathfrak{P}$ . The surjective homomorphism of graded rings  $S \rightarrow S/\mathfrak{P}$  gives rise to a closed immersion  $g: \text{Proj}(S/\mathfrak{P}) \rightarrow \text{Proj}(S)$  which, in particular, is of finite type, hence the QE property ascends to  $\text{Proj}(S/\mathfrak{P})$  from  $\text{Proj}(S)$ . Consider the following commutative diagram

$$\begin{array}{ccc}
 \text{Proj}(S) & \xrightarrow{f} & \text{Spec}(S_0) \\
 \swarrow g & & \nearrow h \\
 & \text{Proj}(S/\mathfrak{P}) &
 \end{array}$$

Obviously  $h$  is projective ([4, II, Example 4.8.1]). Moreover, in our case, the prime ideal  $(0)$  of  $S_0$  belongs to  $\text{Im}(h)$  and, since  $h$  is closed, we have  $(0) \subseteq \text{Im}(h)$ , that is  $h$  is surjective.

Applying now the inductive hypothesis we get that  $S_0$  is QE. (In fact  $x_i \in \mathfrak{A}$  hence the number of generators of  $S/\mathfrak{A}$  over  $S_0$  is strictly less than  $n$ .)

*Case 2.* For each  $i$  ( $0 \leq i \leq n$ ) and for each  $\mathfrak{A} \in \text{Proj}(S)$  with  $x_i S \subseteq \mathfrak{A}$ , it holds that  $\mathfrak{A} \cap S_0 \neq (0)$ . In that case condition (a) of 1.1 holds. Then applying 1.1, 1.3, and 1.4, we have a ring  $S'_0$  finite over  $S_0$  and a proper birational morphism  $g: \text{Proj}(S) \rightarrow \text{Spec}(S'_0)$ . Moreover the morphism  $h: \text{Spec}(S'_0) \rightarrow \text{Spec}(S_0)$  defined in 1.4 is finite and surjective. Hence by [2, 1.3], it suffices to verify that  $S'_0$  is a  $G$ -ring. We recall that  $S_0$  and  $S'_0$  have the residue fields of characteristic 0. So, in order to see that  $S'_0$  is a  $G$ -ring, it is sufficient to verify that every closed integral subscheme of  $\text{Spec}(S'_0)$  is desingularizable. (See 0.10 (c)).

First prove that  $S'_0$  is desingularizable. In fact  $\text{Proj}(S)$  satisfies the hypothesis of Hironaka's theorem (0.10 (a)) and it is desingularizable. Let  $Z$  be a resolution of  $\text{Proj}(S)$ , then, through the morphism  $g: \text{Proj}(S) \rightarrow \text{Spec}(S'_0)$ ,  $Z$  resolves also  $\text{Spec}(S'_0)$ .

Now we see that every integral quotient  $S'_0/\mathfrak{A}$  is desingularizable. For  $\mathfrak{A} \in \text{Spec}(S'_0)$ , put  $\mathfrak{p} = \mathfrak{A} \cap S_0$ . Then  $\mathfrak{A} \neq (0)$  implies  $\mathfrak{p} = \mathfrak{A} \cap S_0 \neq (0)$  because  $S'_0$  is integral over  $S_0$ . For the morphism  $f: \text{Proj}(S) \rightarrow \text{Spec}(S_0)$  take the base extension  $\varphi: \text{Spec}(S_0/\mathfrak{p}) \rightarrow \text{Spec}(S_0)$  and consider the following diagram

$$\begin{array}{ccccc}
 & & \xrightarrow{f} & & \\
 \text{Proj}(S) & \xrightarrow{g} & \text{Spec}(S'_0) & \xrightarrow{h} & \text{Spec}(S_0) \\
 \uparrow & & \uparrow & & \uparrow \\
 \text{Proj}(S \otimes_{S_0} S_0/\mathfrak{p}) & \xrightarrow{g'} & \text{Spec}(S'_0/\mathfrak{p}S'_0) & \xrightarrow{h'} & \text{Spec}(S_0/\mathfrak{p}) \\
 & & \xrightarrow{f'} & & 
 \end{array}$$

where the morphism  $f'$  and  $h'$  are obtained by  $\varphi$  respectively from  $f$  and  $h$ . Then  $f'$  is a surjective and projective morphism by [3, II, 5.6.5. and I, 3.5.2.] and  $\text{Proj}(S \otimes_{S_0} S_0/\mathfrak{p})$  is clearly QE. Since  $\mathfrak{p} \neq (0)$ , we have  $\dim(S_0/\mathfrak{p}) \leq \dim(S_0)$  and applying the inductive hypothesis, we deduce that  $S_0/\mathfrak{p}$  is QE. Moreover since  $h'$  is finite ([3, II, 6.1.5]) the QE property passes from  $S_0/\mathfrak{p}$  to  $S'_0/\mathfrak{p}S'_0$  and from  $S'_0/\mathfrak{p}S'_0$  to the quotient  $S'_0/\mathfrak{A}$  ( $\mathfrak{A} \supseteq \mathfrak{p}S'_0$ ). Therefore, by Hironaka's theorem,  $S'_0/\mathfrak{A}$  is desingularizable. This concludes our

proof: We have seen that  $S'_0$  is a  $G$ -ring, so also  $S_0$  is a  $G$ -ring, hence QE.

*Remark 2.2.* We need in our proof of the fact that  $\text{Proj}(S)$  is desingularizable. Therefore we use both the  $G$ -scheme and the  $J$ -2 properties. We are not able to make the  $G$ -scheme property descend separately.

*Remark 2.3.* The UC property does not descend by proper surjective morphisms. See [3, IV, 7.8.4].

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