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 Nagoya Math. J.
 Vol. 93 (1984), 149-171

FOURIER COEFFICIENTS OF SIEGEL CUSP FORMS OF DEGREE TWO

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Our purpose is to prove the following

THEOREM. *Let k be an even integer ≥ 6 . Let*

$$f(Z) = \sum a(T)e(\operatorname{tr} TZ)$$

be a Siegel cusp form of degree two, weight k . Then we have

$$a(T) = O(|T|^{k/2-1/4+\varepsilon}) \quad \text{for any } \varepsilon > 0.$$

This was announced in [3] where we put an assumption on estimates of generalized Kloosterman sums. Here, we give a complete proof with a proof of that assumption.

Every cusp form of degree two, weight $k \geq 6$ ($k \equiv 0 \pmod{2}$) is a linear combination of Poincaré series [1,4]. Using their rather formal Fourier expansion given in [1], we prove our theorem.

Notation. By \mathbf{Z} , \mathbf{Q} , \mathbf{R} and \mathbf{C} we denote the ring of rational integers, the field of rational numbers, the field of real numbers, and the field of complex numbers, respectively. H denotes the upper half-plane of genus two:

$$H = \{Z = X + iY \in M_2(\mathbf{C}) \mid Z = Z, \operatorname{Im} Z = Y > 0\}.$$

We set $\Gamma = Sp_2(\mathbf{Z}) = \{M \in M_4(\mathbf{Z}) \mid MJM^{-1} = J\}$ where $J = \begin{pmatrix} & & 1_2 \\ & & -1_2 \\ & 1_2 & \end{pmatrix}$, $A = \{S \in M_2(\mathbf{Z}) \mid S = S\}$, and $A^* = \{S = (s_{ij}) \in M_2(\mathbf{Q}) \mid s_{ii} \in \mathbf{Z}, 2s_{12} = 2s_{21} \in \mathbf{Z}\}$. $e(Z)$ means $\exp(2\pi iz)$ for a complex number z .

§ 1.

In this section we prepare two arithmetic lemmas.

Let $C \in M_2(\mathbf{Z})$, $|C| \neq 0$. For $P, T \in A^*$, we set

Received October 22, 1982.

$$K(P, T; C) = \sum_D e(\text{tr}(AC^{-1}P + C^{-1}DT)),$$

where D runs over $\{D \in M_2(\mathbb{Z}) \bmod CA \mid \begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma\}$ and $A \in M_2(\mathbb{Z})$ is any matrix such that $\begin{pmatrix} A & * \\ C & D \end{pmatrix} \in \Gamma$. If $D' \equiv D \bmod CA$, and $\begin{pmatrix} A' & * \\ C & D' \end{pmatrix} \in \Gamma$, then we have $A' \equiv A \bmod AC$. Hence a generalized Kloosterman sum $K(P, T; C)$ is well-defined. One of our aims in this section is to prove

PROPOSITION 1. *Let $C \in M_2(\mathbb{Z})$, $|C| \neq 0$ and $C = U^{-1} \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix} V^{-1}$, $U, V \in GL(2, \mathbb{Z})$, $0 < c_1 | c_2$. Then we have*

$$K(P, T; C) = O(c_1^2 c_2^{1/2+\varepsilon} (c_2, t)^{1/2}) \quad \text{for } P, T \in \Lambda^*,$$

where ε is any positive number and t is the (2, 2)-entry of $T[V]$. Moreover $K(P, T; C) = K(T, P; {}^t C)$ holds.

LEMMA 1. *Let $C = \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix}$, $c_1 | c_2$, $c_i > 0$ and $C = FH$ where $F = \begin{pmatrix} f_1 & \\ & f_2 \end{pmatrix}$, $H = \begin{pmatrix} h_1 & \\ & h_2 \end{pmatrix}$, $f_1 | f_2$, $h_1 | h_2$, $f_i, h_i > 0$, $(f_2, h_2) = 1$. For integers s, t with $sf_2 + th_2 = 1$, we set $X_1 = sf_2 F^{-1}$, $X_2 = th_2 H^{-1}$. Then $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma$ if and only if $\begin{pmatrix} HA & HB - X_1 {}^t AD \\ F & X_2 D \end{pmatrix}, \begin{pmatrix} FA & FB - X_2 {}^t AD \\ H & X_1 D \end{pmatrix} \in \Gamma$.*

Proof. We note that $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \in M_4(\mathbb{Z})$ is in Γ if and only if ${}^t AD - {}^t CB = 1_2$, and ${}^t AC$, ${}^t BD$ are symmetric. The “only if”-part is proved directly. The “if”-part follows immediately from

$$\begin{aligned} A &= X_2(HA) + X_1(FA), \quad D = H(X_2 D) + F(X_1 D) \text{ and} \\ B &= 2X_1 X_2 {}^t AD + X_1(FB - X_2 {}^t AD) + X_2(HB - X_1 {}^t AD). \end{aligned}$$

LEMMA 2. *Let C, F, H, X_1 and X_2 be those in Lemma 1. The mapping $D \bmod CA \mapsto (X_2 D \bmod FA, X_1 D \bmod HA)$ from $\{D \bmod CA \mid \begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma\}$ to $\{D \bmod FA \mid \begin{pmatrix} * & * \\ F & D \end{pmatrix} \in \Gamma\} \times \{D \bmod HA \mid \begin{pmatrix} * & * \\ H & D \end{pmatrix} \in \Gamma\}$ is bijective.*

Proof. The mapping is obviously well-defined. Suppose that $X_2 D_1 \equiv X_2 D_2 \bmod FA$, $X_1 D_1 \equiv X_1 D_2 \bmod HA$, then we have $X_2 D \in FA$, $X_1 D \in HA$ where $D = D_1 - D_2$. Hence $D = H(X_2 D) + F(X_1 D) \in CA$ follows from $FH = HF = C$. Conversely suppose $\begin{pmatrix} * & * \\ F & D_1 \end{pmatrix}, \begin{pmatrix} * & * \\ H & D_2 \end{pmatrix} \in \Gamma$. We set $D = HD_1 + FD_2$. Then $X_2 D - D_1 = F(th_2 H^{-1} D_2 - sf_2 F^{-1} D_1) \in FA$ and $X_1 D - D_2 = H(sf_2 F^{-1} D_1 - th_2 H^{-1} D_2) \in HA$ imply the surjectiveness of the mapping if $\begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma$. To show $\begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma$ we have only to prove that $C^{-1}D$ is symmetric and $(C, D) \in M_{2,4}(\mathbb{Z})$ is primitive. The first follows from $C^{-1}D = F^{-1}D_1 + H^{-1}D_2$.

If a prime p does not divide $c_2 = f_2 h_2$, then C is in $GL_2(\mathbb{Z}_p)$. If $p|h_2$, then $\text{rk}((C, D) \bmod p) = \text{rk}\left(\left(\begin{pmatrix} f_1 h_1 & * \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} h_1 & * \\ 0 & 0 \end{pmatrix} D_1 + FD_2\right) \bmod p\right) = \text{rk}\left(\left(\begin{pmatrix} h_1 & * \\ 0 & 0 \end{pmatrix}, FD_2\right) \bmod p\right) = \text{rk}((H, D_2) \bmod p) = 2$. Similarly for $p|f_2$, we have $\text{rk}((C, D) \bmod p) = 2$. Thus (C, D) is locally and hence globally primitive.

LEMMA 3. *Let C, F, H, X_1 and X_2 be those in Lemma 1. Then $K(P, T; C) = K(P[X_2], T; F)K(P[X_1], T; H)$ holds.*

Proof. Suppose $\begin{pmatrix} A & * \\ C & D \end{pmatrix} \in \Gamma$. Then we have

$$\begin{aligned} \text{tr}(AC^{-1}P + C^{-1}DT) &= \text{tr}((X_2 HA + X_1 FA)F^{-1}H^{-1}P + F^{-1}H^{-1}(HX_2 D + FX_1 D)T) \\ &= \text{tr}(X_2 HAF^{-1}H^{-1}P + F^{-1}X_2 DT) + \text{tr}(X_1 FAF^{-1}H^{-1}P + H^{-1}X_1 DT) \\ &= \text{tr}(X_2 HAF^{-1}(X_1 F + X_2 H)H^{-1}P + F^{-1}X_2 DT) \\ &\quad + \text{tr}(X_1 FAF^{-1}(X_1 F + X_2 H)H^{-1}P + H^{-1}X_1 DT) \\ &= \text{tr}(HAF^{-1}P[X_2] + F^{-1}X_2 DT) + \text{tr}(FAH^{-1}P[X_1] + H^{-1}X_1 DT) \\ &\quad + \text{tr}(X_2 HAX_1 H^{-1}P + X_1 FAF^{-1}X_2 P). \end{aligned}$$

Moreover we have $X_2 HAX_1 H^{-1} = th_2 AX_1 H^{-1} = AX_1 X_2 = sf_2 th_2 AC^{-1} \in \Lambda$ and

$$X_1 FAF^{-1}X_2 = sf_2 AF^{-1}X_2 = AX_1 X_2 \in \Lambda.$$

Thus $\text{tr}(AC^{-1}P + C^{-1}DT) \equiv \text{tr}(HAF^{-1}P[X_2] + F^{-1}X_2 DT) + \text{tr}(FAH^{-1}P[X_1] + H^{-1}X_1 DT) \bmod 1$ follows for $P, T \in \Lambda^*$, and then Lemmas 1, 2 complete the proof.

LEMMA 4. *Let p be a prime and $0 \leq e_1 \leq e_2$. Then we have*

$$K\left(P, T; \begin{pmatrix} p^{e_1} & \\ & p^{e_2} \end{pmatrix}\right) = O(p^{2e_1+e_2/2}(p^{e_2}, t)^{1/2})$$

where t is the (2,2)-entry of T .

*Proof.** Put $C = \begin{pmatrix} p^{e_1} & \\ & p^{e_2} \end{pmatrix}$, $D = \begin{pmatrix} d_1 & d_2 \\ d_3 & d_4 \end{pmatrix}$. Since $\begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma$ if and only if $C^{-1}D$ is symmetric and (C, D) is primitive, $\begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma$ if and only if $d_3 = p^{e_2-e_1}d_2$ and (i) $e_1 = e_2 = 0$, (ii) $e_1 = 0$, $e_2 > 0$, $p \nmid d_4$, (iii) $0 < e_1 < e_2$, $p \nmid d_1 d_4$ or (iv) $0 < e_1 = e_2$, $p \nmid (d_1 d_4 - d_2^2)$.

$D \bmod CA$ is equivalent to $d_1, d_2 \bmod p^{e_1}$, $d_4 \bmod p^{e_2}$. Suppose that

* This proof was suggested by Prof. Y.-N. Nakai.

$\begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma$ and ${}^t AC$ is symmetric, $(A {}^t D - 1)C^{-1} \in M_2(\mathbb{Z})$ for $A \in M_2(\mathbb{Z})$. Then we have $\begin{pmatrix} A & (A {}^t D - 1)C^{-1} \\ C & D \end{pmatrix} \in \Gamma$ since $A {}^t D - \{(A {}^t D - 1)C^{-1}\} {}^t C = 1_2$, $A {}^t \{(A {}^t D - 1)C^{-1}\}$ and $C {}^t D$ are symmetric. Set $P = \begin{pmatrix} p_1 & p_2/2 \\ p_2/2 & p_4 \end{pmatrix}$, $T = \begin{pmatrix} t_1 & t_2/2 \\ t_2/2 & t_4 \end{pmatrix} \in \Lambda^*$. When $e_1 = e_2 = 0$, the lemma is obvious. Suppose $e_1 = 0 < e_2$. Then we may suppose $D = \begin{pmatrix} 0 & 0 \\ 0 & d \end{pmatrix}$ ($d \bmod p^{e_2}, p \nmid d$). Denoting by \bar{d} an integer n which satisfies $nd \equiv 1 \bmod p^{e_2}$, we can take $\begin{pmatrix} 0 & 0 \\ 0 & \bar{d} \end{pmatrix}$ as A . Thus $K(P, T; C) = \sum_{\substack{d \bmod p^{e_2} \\ p \nmid d}} e((\bar{d}p_4 + dt_4)p^{-e_2})$ is an ordinary Kloosterman sum and the lemma holds in this case. Suppose $0 < e_1 < e_2$. Let d be an integer such that $d(d_1d_4 - p^{e_2-e_1}d_2^2) \equiv 1 \bmod p^{e_2}$ and set $A = d \begin{pmatrix} d_4 & -p^{e_2-e_1}d_2 \\ -d_2 & d_1 \end{pmatrix}$. Then ${}^t AC$ is symmetric and $(A {}^t D - 1)C^{-1} \in M_2(\mathbb{Z})$. Hence we have

$$\begin{aligned} K(P, T; C) &= \sum_{\substack{d_1, d_2 \bmod p^{e_1} \\ d_4 \bmod p^{e_2} \\ p \nmid d_1d_4}} e(d(d_4p_1p^{-e_1} - d_2p_2p^{-e_1} + d_1p_4p^{-e_2}) \\ &\quad + d_1t_1p^{-e_1} + d_2t_2p^{-e_1} + d_4t_4p^{-e_2}). \end{aligned}$$

Set $\delta = d_1d_4 - p^{e_2-e_1}d_2^2$, then $d\delta \equiv 1 \bmod p^{e_2}$ and $d_4 \equiv \bar{d}_1\delta + p^{e_2-e_1}\bar{d}_1d_2^2 \bmod p^{e_2}$ where $d_1\bar{d}_1 \equiv 1 \bmod p^{e_2}$. Then $K(P, T; C)$ equals

$$\begin{aligned} &\sum_{\substack{d_1, d_2 \bmod p^{e_1} \\ p \nmid d_1}} e(d_1t_1p^{-e_1} + d_2t_2p^{-e_1} + \bar{d}_1p_1p^{-e_1} + \bar{d}_1d_2^2t_4p^{-e_1}) \\ &\sum_{\substack{\delta \bmod p^{e_2} \\ p \nmid \delta}} e(\{(d_1p_4 - p^{e_2-e_1}d_2p_2 + p^{2e_2-2e_1}\bar{d}_1d_2^2p_1)d + \bar{d}_1t_4\delta\}p^{-e_2}) \\ &= O(p^{2e_1+e_2/2}(t_4, p^{e_2})^{1/2}), \end{aligned}$$

since the last sum on δ is an ordinary Kloosterman sum.

Suppose $0 < e_1 = e_2 = e$. Set $\delta = d_1d_4 - d_2^2$ and let d be an integer such that $d\delta \equiv 1 \bmod p^e$. Then we can take $d \begin{pmatrix} d_4 & -d_2 \\ -d_2 & d_1 \end{pmatrix}$ as A . Thus $K(P, T; C)$ equals

$$\sum_{\substack{d_1, d_2, d_4 \bmod (p^e) \\ p \nmid \delta}} e(\{d(d_4p_1 - d_2p_2 + d_1p_4) + d_1t_1 + d_2t_2 + d_4t_4\}p^{-e}) = \Sigma_1 + \Sigma_2,$$

where d_2 in Σ_1 is supposed to be $p|d_2$ and d_2 in Σ_2 is supposed to be $p \nmid d_2$. We have $\Sigma_1 = O(p^{2e-1+e/2}(t_4, p^e)^{1/2})$ quite similarly to the case (iii). Now we estimate Σ_2 . We define integers $\delta_1, \delta_4, \bar{\delta}$ by $d_1 \equiv d_2\delta_1, d_4 \equiv d_2\delta_4, \bar{\delta}(\delta_1\delta_4 - 1) \equiv 1 \bmod p^e$; then $\bar{\delta} \equiv d_2^2d \bmod p^e$, and Σ_2 equals

$$\sum_{\substack{d_2 \bmod (p^e) \\ p \nmid d_2}} \sum_{\substack{\delta_1, \delta_4 \bmod (p^e) \\ p \nmid (\delta_1\delta_4 - 1)}} e(\{\bar{\delta}\bar{d}_2(\delta_4p_1 - p_2 + \delta_1p_4) + (\delta_1t_1 + t_2 + \delta_4t_4)d_2\}p^{-e}),$$

where \bar{d}_2 is an integer such that $d_2\bar{d}_2 \equiv 1 \pmod{p^e}$. Hence we have

$$\begin{aligned}\Sigma_2 &= O\left(\sum_{\substack{\delta_1, \delta_4(p^e) \\ p \nmid (\delta_1\delta_4 - 1)}} p^{e/2}(\delta_1 t_1 + t_2 + \delta_4 t_4, p^e)^{1/2}\right) \\ &= O\left(p^{e/2} \sum_{x(p^e)} (x, p^e)^{1/2} \#\left\{\delta_1, \delta_4(p^e) \mid \begin{array}{l} \delta_1\delta_4 \not\equiv 1 \pmod{p^e} \\ x \equiv \delta_1 t_1 + t_2 + \delta_4 t_4 \pmod{p^e} \end{array}\right\}\right).\end{aligned}$$

Set $p^s = (t_4, p^e)$. If $s = e$, then the lemma holds trivially. Hence we assume $s < e$. Set $t_4 = up^s$, $(u, p) = 1$. Since $x \equiv \delta_1 t_1 + t_2 + \delta_4 t_4 \pmod{p^e}$ implies $x \equiv \delta_1 t_1 + t_2 \pmod{p^s}$, we have

$$\begin{aligned}\Sigma_2 &= O\left(p^{e/2} \sum_{x(p^e)} (x, p^e)^{1/2} \#\left\{\delta_1, \delta_4(p^e) \mid \begin{array}{l} x \equiv \delta_1 t_1 + t_2 \pmod{p^s} \\ u\delta_4 \equiv (x - \delta_1 t_1 - t_2)p^{-s} \pmod{p^{e-s}} \end{array}\right\}\right) \\ &= O(p^{e/2} \sum_{0 \leq i \leq e} p^{(e-i)/2} \sum_{\substack{v(p^e) \\ p \nmid v}} p^s \#\{\delta_1 \pmod{p^e} \mid \delta_1 t_1 + t_2 \equiv vp^{e-i} \pmod{p^s}\}) \\ &= O(p^{e+s} \sum_{0 \leq i \leq e} p^{-i/2} \#\{\delta_1 \pmod{p^e}, v \pmod{p^i} \mid p \nmid v, \delta_1 t_1 + t_2 \equiv vp^{e-i} \pmod{p^s}\}).\end{aligned}$$

If $\text{ord}_p t_1, \text{ord}_p t_2 \geq s$, then we have

$$\Sigma_2 = O(p^{e+s} \sum_{\substack{0 \leq i \leq e \\ e-i \geq s}} p^{-i/2+e+i}) = O(p^{5e/2+s/2}).$$

If $\text{ord}_p t_1 \geq s, a_2 = \text{ord}_p t_2 < s$, then $\delta_1 t_1 + t_2 \equiv vp^{e-i} \pmod{p^s}$ implies $a_2 = e - i$ and $v \equiv t_2 p^{-a_2} \pmod{p^{s-a_2}}$, and hence

$$\begin{aligned}\Sigma_2 &= O(p^{e+s-(e-a_2)/2+e+(e-a_2)-(s-a_2)}) \\ &= O(p^{5e/2+a_2/2}) = O(p^{5e/2+s/2}).\end{aligned}$$

If $a_1 = \text{ord}_p t_1 < s, a_2 = \text{ord}_p t_2 < a_1$, then $\delta_1 t_1 + t_2 \equiv vp^{e-i} \pmod{p^s}$ implies $a_2 = e - i$ and $t_2 p^{-a_2} \equiv v \pmod{p^{a_1-a_2}}$, and hence

$$\begin{aligned}\Sigma_2 &= O(p^{e+s-(e-a_2)/2+e-a_2-(a_1-a_2)+e-(s-a_1)}) \\ &= O(p^{5e/2+a_2/2}) = O(p^{5e/2+s/2}).\end{aligned}$$

Suppose $a_1 < s, a_2 \geq a_1$, then $\delta_1 t_1 + t_2 \equiv vp^{e-i} \pmod{p^s}, p \nmid v$ imply $e - i \geq a_1$ and $\delta_1(t_1 p^{-a_1}) \equiv (vp^{e-i} - t_2)p^{-a_1} \pmod{p^{s-a_1}}$. Hence we have

$$\begin{aligned}\Sigma_2 &= O(p^{e+s} \sum_{0 \leq i \leq e-a_1} p^{-i/2+i+e-(s-a_1)}) \\ &= O(p^{e+s+(e-a_1)/2+e-s+a_1}) = O(p^{5e/2+a_1/2}) = O(p^{5e/2+s/2}).\end{aligned}$$

Thus we have completed a proof of Lemma 4.

The former of Proposition 1 follows easily from Lemmas 3, 4 and $K(P, T; U^{-1}CV^{-1}) = K(P[^t]U, T[V]; C)$.

The latter is proved as follows:

$$\begin{aligned} K(P, T; C) &= \sum_{D \bmod CA} e(\text{tr}(AC^{-1}P + C^{-1}DT)) \\ &= \sum_{D \bmod CA} e(\text{tr}({}^t D {}^t C^{-1}T + {}^t C^{-1} {}^t AP)), \end{aligned}$$

and $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma$ if and only if $\begin{pmatrix} {}^t D & {}^t B \\ {}^t C & {}^t A \end{pmatrix} \in \Gamma$. Suppose $\begin{pmatrix} A_i & B_i \\ C & D_i \end{pmatrix} \in \Gamma$ ($i = 1, 2$) and $D_1 \equiv D_2 \pmod{CA}$, then we set $D_1 = D_2 + CS$, $S \in A$. $\begin{pmatrix} A_2 & * \\ C & D_2 \end{pmatrix} \begin{pmatrix} 1 & S \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} A_2 & * \\ C & D_1 \end{pmatrix}$ implies $A_2 = A_1 + \bar{S}C$ for some $\bar{S} \in A$. Thus $D_1 \equiv D_2 \pmod{CA}$ implies ${}^t A_1 \equiv {}^t A_2 \pmod{{}^t CA}$. Hence we have

$$\begin{aligned} K(P, T; C) &= \sum_{{}^t A \bmod {}^t CA} e(\text{tr}({}^t D {}^t C^{-1}T + {}^t C^{-1} {}^t AP)) \\ &= K(T, P; {}^t C). \end{aligned}$$

For $G = (g_{ij}) \in A^*$ we set $e(G) = (g_{11}, g_{22}, 2g_{12})$. Set

$$S = \left\{ \begin{pmatrix} b \\ d \end{pmatrix} \mid b, d \in Z, (b, d) = 1 \right\}.$$

For a fixed natural number n we define an equivalence relation \sim in S by the following:

$$\begin{pmatrix} b \\ d \end{pmatrix} \sim \begin{pmatrix} b' \\ d' \end{pmatrix} \text{ iff } \begin{pmatrix} b \\ d \end{pmatrix} \equiv w \begin{pmatrix} b' \\ d' \end{pmatrix} \pmod{n}$$

for an integer w prime to n . Set $S(n) = S/\sim$, then another aim in this section is to prove

PROPOSITION 2. *For $P \in A^*$ we have*

$$\sum_{x \in S(n)} (P[x], n)^{1/2} = O(n^{1+\varepsilon} (e(P), n)^{1/2}) \quad \text{for any } \varepsilon > 0.$$

LEMMA 5. *Let m, n be relatively prime natural numbers and $P \in A^*$. Then we have*

$$\sum_{x \in S(mn)} (P[x], mn)^{1/2} \leq (\sum_{x \in S(m)} (P[x], m)^{1/2})(\sum_{y \in S(n)} (P[y], n)^{1/2}).$$

Proof. The mapping $x \in S(mn) \mapsto (x \in S(m), x \in S(n))$ is injective. From $(P[x], mn) = (P[x], m)(P[x], n)$ follows the lemma.

Hence we have only to prove Proposition 2 when n is a power of a prime p .

LEMMA 6. *Let p be a prime and e a natural number.*

Put $S' = \left\{ \begin{pmatrix} b \\ d \end{pmatrix} \mid b, d \in Z, (b, d, p) = 1 \right\}$ and

$$\binom{b}{d} \approx \binom{b'}{d'} \text{ iff } \binom{b}{d} \equiv w \binom{b'}{d'} \pmod{p^e}$$

for an integer $w (\not\equiv 0 \pmod{p})$. Then we have

$$\sum_{x \in S(p^e)} (P[x], p^e)^{1/2} = \sum_{x \in S'/z} (P[x], p^e)^{1/2}.$$

Proof. The lemma follows immediately from the following fact: if $(b, d, p) = 1$, then there exist $B, D \in \mathbb{Z}$ such that $B \equiv b \pmod{p^e}$, $D \equiv d \pmod{p^e}$ and $(B, D) = 1$.

If $V \in M_2(\mathbb{Z})$, $p \nmid |V|$, then we have $V(S'/\approx) = S'/\approx$. Hence we may assume

- (i) $P = \begin{pmatrix} up^{a_1} & \\ & uvp^{a_2} \end{pmatrix}$, $0 \leq a_1 \leq a_2$, $p \nmid uv$,
- (ii) $P = 2^a \begin{pmatrix} 1 & 1/2 \\ 1/2 & 1 \end{pmatrix}$, $a \geq 0$ ($p = 2$), or
- (iii) $P = 2^a \begin{pmatrix} 0 & 1/2 \\ 1/2 & 0 \end{pmatrix}$, $a \geq 0$ ($p = 2$).

It is easy to see that we can take as S'/\approx

$$\binom{n}{1} (n \pmod{p^e}), \quad \binom{n}{p^t} (p \nmid n, n \pmod{p^{e-t}}, t = 1, 2, \dots, e).$$

Set $\mathfrak{S}(P, p^e) = \sum_{x \in S(p^e)} (P[x], p^e)^{1/2}$ and $P = \begin{pmatrix} p_1 & p_2/2 \\ p_2/2 & p_4 \end{pmatrix}$. Now we prove Proposition 2.

(1) Suppose that P is of type (i) and $a_1 \geq e$.

In this case $\mathfrak{S}(P, p^e) \leq p^{e/2}(p^e + \varphi(p^{e-1}) + \dots + \varphi(1)) = O(p^{3e/2})$.

(2) Suppose that P is of type (i) and $a_1 < e$.

$$\begin{aligned} \mathfrak{S}(P, p^e) &= p^{a_1/2} \sum_{\substack{(x_1, x_2) \in S(p^e) \\ p \nmid x_2}} (x_1^2 + vx_2^2 p^{a_2-a_1}, p^{e-a_1})^{1/2} \\ &= p^{a_1/2} \sum_{\substack{n \pmod{p^e} \\ p \nmid n}} (n^2 + vp^{a_2-a_1}, p^{e-a_1})^{1/2} \\ &\quad + p^{a_1/2} \sum_{n \pmod{p^{e-1}}} (p^2 n^2 + vp^{a_2-a_1}, p^{e-a_1})^{1/2} \\ &\quad + p^{a_1/2} \sum_{1 \leq t \leq e} \sum_{\substack{n \pmod{p^{e-t}} \\ p \nmid n}} (n^2 + vp^{a_2-a_1+2t}, p^{e-a_1})^{1/2}. \end{aligned}$$

If $a_1 = a_2$, then

$$\begin{aligned} \mathfrak{S}(P, p^e) &= p^{a_1/2} \sum_{\substack{n \pmod{p^e} \\ p \nmid n}} (n^2 + v, p^{e-a_1})^{1/2} + p^{a_1/2+e-1} + p^{a_1/2} \sum_{1 \leq t \leq e} \varphi(p^{e-t}) \\ &= p^{a_1/2} \sum_{\substack{n \pmod{p^e} \\ p \nmid n}} (n^2 + v, p^{e-a_1})^{1/2} + 2p^{a_1/2+e-1}. \end{aligned}$$

If $a_1 < a_2$, then

$$\mathfrak{S}(P, p^e) = p^{a_1/2} \varphi(p^e) + p^{a_1/2} \sum_{n \in (p^{e-1})} (p^2 n^2 + vp^{a_2-a_1}, p^{e-a_1})^{1/2} + p^{a_1/2+e-1}.$$

Hence we have only to prove the following lemmas.

LEMMA 7. $\sum_{\substack{n \in (p^e) \\ p \nmid n}} (n^2 + v, p^{e-a_1})^{1/2} = O(ep^e) = O(p^{e(1+\varepsilon)})$ if $a_1 < e$.

LEMMA 8. $\sum_{n \in (p^{e-1})} (p^2 n^2 + vp^{a_2-a_1}, p^{e-a_1})^{1/2} = O(p^{e(1+\varepsilon)})$ if $a_1 < a_2$, $a_1 < e$.

Proof of Lemma 7. If p is odd and $(-v/p) = -1$, then Lemma 7 is trivial. Suppose that p is odd and $(-v/p) = 1$, then there exists an integer $g \in \mathbb{Z}_p$ such that $g^2 + v = 0$. If $n^2 + v \equiv 0 \pmod{p}$, then there exist $m \in \mathbb{Z}_p^\times$, $s \geq 1$ such that $n = \pm g + mp^s$. Then we have $p^s \mid (n^2 + v)$ since $n^2 + v = p^s(\pm 2gm + m^2p^s)$. Thus we have

$$\begin{aligned} \sum_{\substack{n \in (p^e) \\ p \nmid n}} (n^2 + v, p^{e-a_1})^{1/2} &= \sum_{\substack{n \in (p^e) \\ p \nmid n, n^2+v \equiv 0 \pmod{p}}} (n^2 + v, p^{e-a_1})^{1/2} + \sum_{\substack{n \in (p^e) \\ p \nmid n, n^2+v \not\equiv 0 \pmod{p}}} 1 \\ &\leq 2 \sum_{1 \leq s \leq e} \sum_{\substack{m \pmod{p^{e-s}} \\ p \nmid m}} (p^s, p^{e-a_1})^{1/2} + p^e \\ &= 2 \sum_{1 \leq s \leq e-a_1} p^{s/2} \varphi(p^{e-s}) + 2 \sum_{e-a_1 < s \leq e} p^{(e-a_1)/2} \varphi(p^{e-s}) + p^e \\ &= O(ep^e). \end{aligned}$$

Suppose $p = 2$. If $v \not\equiv 7 \pmod{8}$, $n^2 + v \not\equiv 0 \pmod{8}$ for odd n , and so Lemma 7 is obvious. Assume $v \equiv 7 \pmod{8}$ and take an integer $g \in \mathbb{Z}_2^\times$ such that $g^2 + v = 0$. Let n be an odd integer and $n = g + 2^r m$ ($r \geq 1$, $2 \nmid m$). Since $n^2 + v = 2^{r+1}(gm + 2^{r-1}m^2)$, we have

$$\begin{aligned} \sum_{\substack{n \in (2^e) \\ 2 \nmid n}} (n^2 + v, 2^{e-a_1})^{1/2} &= \sum_{\substack{m \in (2^{e-1}) \\ 2 \nmid m}} (2^2(gm + m^2), 2^{e-a_1})^{1/2} + \sum_{2 \leq r \leq e} \sum_{\substack{m \in (2^{e-r}) \\ 2 \nmid m}} (2^{r+1}, 2^{e-a_1})^{1/2} \\ &= \sum_{\substack{n \in (2^{e-1}) \\ 2 \mid n}} (2^2 n, 2^{e-a_1})^{1/2} + \sum_{2 \leq r \leq e} 2^{e-r-1} (2^{r+1}, 2^{e-a_1})^{1/2} \\ &= \sum_{1 \leq r \leq e-1} 2^{e-2-r} (2^{2+r}, 2^{e-a_1})^{1/2} + \sum_{2 \leq r \leq e} 2^{e-r-1} (2^{r+1}, 2^{e-a_1})^{1/2} \\ &= O(e2^e). \end{aligned}$$

Proof of Lemma 8. Suppose $a_2 \geq e$, then we have

$$\begin{aligned} \sum_{n \in (p^{e-1})} (p^2 n^2 + vp^{a_2-a_1}, p^{e-a_1})^{1/2} &= \sum_{n \in (p^{e-1})} (p^2 n^2, p^{e-a_1})^{1/2} \\ &= \sum_{0 \leq r \leq e-1} \varphi(p^{e-1-r})(p^{2+2r}, p^{e-a_1})^{1/2} \\ &= O(ep^e). \end{aligned}$$

Suppose $a_2 < e$, then

$$\begin{aligned}
& \sum_{n(p^{e-1})} (p^2 n^2 + vp^{a_2-a_1}, p^{e-a_1})^{1/2} \\
&= \sum_{0 \leq r < (a_2-a_1-2)/2} \varphi(p^{e-1-r}) p^{1+r} + \sum_{\substack{r=(a_2-a_1-2)/2 \\ m(p^{e-1-r}) \\ p \nmid m}} p^{(a_2-a_1)/2}. \\
& (m^2 + v, p^{e-a_2})^{1/2} + \sum_{(a_2-a_1-2)/2 < r \leq e-1} \varphi(p^{e-1-r}) p^{(a_2-a_1)/2} \\
&= O(ep^e) + \sum_{\substack{r=(a_2-a_1-2)/2 \\ m(p^{e-1-r}) \\ p \nmid m}} p^{(a_2-a_1)/2} (m^2 + v, p^{e-a_2})^{1/2} \\
&= O(ep^e) + p^{(a_2-a_1)/2} O(ep^{e-1-r}) \text{ by Lemma 7 } (e-1-r > e-a_2) \\
&= O(ep^e) = O(p^{e(1+\varepsilon)}).
\end{aligned}$$

(3) Suppose that P is of type (ii).

If $a \geq e$, then $\mathfrak{S}(P, 2^e) = O(2^{3e/2})$ follows as in case of (1).

Suppose $a < e$. Then we have

$$\begin{aligned}
\mathfrak{S}(P, 2^e) &= \sum_{x \in S(2^e)} \left(2^a \begin{pmatrix} 1 & 1/2 \\ 1/2 & 1 \end{pmatrix} [x], 2^e \right)^{1/2} \\
&= 2^{a/2} \sum_{\substack{(x_1) \in S(2^e) \\ (x_2)}} (x_1^2 + x_1 x_2 + x_2^2, 2^{e-a})^{1/2} \\
&= 2^{a/2} \# S(2^e) = O(2^{a/2+e}).
\end{aligned}$$

(4) Suppose that P is of type (iii).

Similarly to the above we may suppose $a < e$, then we have

$$\begin{aligned}
\mathfrak{S}(P, 2^e) &= \sum_{\substack{(x_1) \in S(2^e) \\ (x_2)}} (2^a x_1 x_2, 2^e)^{1/2} \\
&= \sum_{n(2^e)} (2^a n, 2^e)^{1/2} + \sum_{1 \leq t \leq e} \sum_{\substack{n(2^{e-t}) \\ 2 \nmid n}} (2^{a+t} n, 2^e)^{1/2} \\
&\quad - \sum_{0 \leq t \leq e} \varphi(2^{e-t}) (2^{a+t}, 2^e)^{1/2} + \sum_{1 \leq t \leq e-1} 2^{e-t-1} (2^{a+t}, 2^e)^{1/2} + (2^{a+e}, 2^e)^{1/2} \\
&= O(e 2^{a/2+e}).
\end{aligned}$$

Thus we have completed a proof of Proposition 2.

§ 2.

In this section we give a formal Fourier expansion of Poincaré series [1]. Let k be an even integer ≥ 6 , and $Q \in \Lambda^*$, $Q > 0$. We set

$$j(M, Z) = |CZ + D| \text{ for } M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma = Sp_2(\mathbb{Z}), Z \in H,$$

and

$$\Gamma_1(\infty) = \left\{ \begin{pmatrix} 1_2 & S \\ 0 & 1_2 \end{pmatrix} \mid S \in \Lambda \right\} \subset \Gamma.$$

We define Poincaré series $g(Z, Q)$ by

$$\sum_{M \in \Gamma_1(\infty) \setminus \Gamma} e(\operatorname{tr} Q M \langle Z \rangle) j((M, Z)^{-k}), \quad Z \in H.$$

It is known ([1], [4]) that any cusp form is a linear combination of Poincaré series. Hence we have only to prove our theorem for Poincaré series. Let \mathfrak{h} be a complete system of representatives of $\Gamma_1(\infty) \backslash \Gamma / \Gamma_1(\infty)$, $\theta(M) = \left\{ S \in A \mid M \begin{pmatrix} 1_2 & S \\ & 1_2 \end{pmatrix} M^{-1} \in \Gamma_1(\infty) \right\}$ for $M \in \Gamma$.

LEMMA 1. $\Gamma_1(\infty) M \Gamma_1(\infty) = \bigcup_{S \in A/\theta(M)} \Gamma_1(\infty) M \begin{pmatrix} 1_2 & S \\ & 1_2 \end{pmatrix}$ (*disjoint*).

Proof. It is obvious.

Thus we have

$$g(Z, Q) = \sum_{M \in \mathfrak{h}} \sum_{S \in A/\theta(M)} e(\operatorname{tr} Q \cdot M \langle Z + S \rangle) j(M, Z + S)^{-k}.$$

$$\begin{aligned} \text{Setting } H(M, Z) &= \sum_{S \in A/\theta(M)} e(\operatorname{tr} Q \cdot M \langle Z + S \rangle) j(M, Z + S)^{-k} \\ &= \sum_{A^* \ni T \geq 0} h(M, T) e(\operatorname{tr} TZ), \end{aligned}$$

we have

$$h(M, T) = \int_{X \bmod 1} H(M, Z) e(-\operatorname{tr} TZ) dX,$$

where $X = \begin{pmatrix} x_1 & x_2 \\ x_2 & x_4 \end{pmatrix}$ is the real part of Z and $dX = dx_1 dx_2 dx_4$. If we set $g(Z, Q) = \sum_{A^* \ni T > 0} a(T) e(\operatorname{tr} TZ)$, then we have

$$a(T) = \sum_{M \in \mathfrak{h}} h(M, T) \quad \text{for } 0 < T \in A^*.$$

Now we determine $\mathfrak{h}, \theta(M)$ explicitly.

LEMMA 2. $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathfrak{h}$ is parametrized by C and $D \bmod CA$.

Proof. For $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$ and $S_1, S_2 \in A$, we have

$$\begin{pmatrix} 1_2 & S_1 \\ & 1_2 \end{pmatrix} M \begin{pmatrix} 1_2 & S_2 \\ & 1_2 \end{pmatrix} = \begin{pmatrix} * & * \\ C & CS_2 + D \end{pmatrix}.$$

This implies immediately Lemma 2.

LEMMA 3. As $\left\{ M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathfrak{h} \mid C = 0 \right\}$ we can choose $\left\{ \begin{pmatrix} {}^t U & \\ & U^{-1} \end{pmatrix} \mid U \in GL(2, \mathbb{Z}) \right\}$ and $\theta(M) = A$.

Proof. It is trivial.

LEMMA 4. As $\{M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathfrak{h} | \text{rk } C = 1\}$ we can choose

$$\left\{ M = \begin{pmatrix} * & * \\ U^{-1} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V & U^{-1} \begin{pmatrix} d_1 & d_2 \\ 0 & d_4 \end{pmatrix} V^{-1} \end{pmatrix} \in \Gamma \right. \\ \left| \begin{array}{l} U \in \left\{ \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \in GL(2, \mathbb{Z}) \right\} \setminus GL(2, \mathbb{Z}), \quad V \in GL(2, \mathbb{Z}) / \left\{ \begin{pmatrix} 1 & * \\ 0 & * \end{pmatrix} \in GL(2, \mathbb{Z}) \right\} \\ c_1 \geq 1, \quad d_4 = \pm 1, \quad (c_1, d_1) = 1, \quad d_1, d_2 \text{ mod } c_1 \end{array} \right. \right\}$$

and $\theta(M) = \{S \in \Lambda | S[V] = \begin{pmatrix} 0 & 0 \\ 0 & * \end{pmatrix}\}$ for the above specialized M .

Proof. Let $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$ with $\text{rk } C = 1$. Set $C = U^{-1} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V$, $U, V \in GL(2, \mathbb{Z})$, $c_1 \geq 1$. We can take $U \in \left\{ \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \in GL(2, \mathbb{Z}) \right\} \setminus GL(2, \mathbb{Z})$ and $V \in GL(2, \mathbb{Z}) / \left\{ \begin{pmatrix} 1 & * \\ 0 & * \end{pmatrix} \in GL(2, \mathbb{Z}) \right\}$ since $\begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ * & * \end{pmatrix} = \pm \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix}$. Set $D = U^{-1} \begin{pmatrix} d_1 & d_2 \\ d_3 & d_4 \end{pmatrix} V^{-1}$. Since $C {}^t D$ is symmetric, we have $d_3 = 0$. The primitive-ness of (C, D) implies that $\begin{pmatrix} c_1 & 0 & d_1 & d_2 \\ 0 & 0 & 0 & d_4 \end{pmatrix}$ is primitive. Hence $d_4 = \pm 1$, and $(c_1, d_1) = 1$ hold. $D \text{ mod } CA$ is equivalent to $d_1, d_2 \text{ mod } c_1$ since

$$CA = U^{-1} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V A = U^{-1} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} A V^{-1} = U^{-1} \left\{ \begin{pmatrix} c_i s_1 & c_i s_2 \\ 0 & 0 \end{pmatrix} \mid s_i \in \mathbb{Z} \right\} V^{-1}.$$

From $M^{-1} = \begin{pmatrix} {}^t D & -{}^t B \\ -{}^t C & {}^t A \end{pmatrix}$ follows $M \begin{pmatrix} 1_2 & S \\ 0 & 1_2 \end{pmatrix} M^{-1} = \begin{pmatrix} * & * \\ -CS {}^t C & 1 + CS {}^t A \end{pmatrix}$. Thus $\theta(M) \ni S$ is equivalent to $CS(-{}^t C, {}^t A) = 0$ and so $CS = 0$. Since $CS = 0$ means $\begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} S[V] = 0$, we have completed a proof of Lemma 4 except the uniqueness of U, V, c_1, d_i .

Suppose $C = U_1^{-1} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V_1 = U_2^{-1} \begin{pmatrix} c'_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V_2$, $D = U_1^{-1} \begin{pmatrix} d_1 & d_2 \\ d_3 & d_4 \end{pmatrix} V_1^{-1} = U_2^{-1} \begin{pmatrix} d'_1 & d''_2 \\ d'_3 & d'_4 \end{pmatrix} V_2^{-1}$ where U_i, V_i, \dots are supposed to be representatives. Comparing elementary divisors of C , we have $c_1 = c'_1$. Set $U = U_2 U_1^{-1}$, ${}^t V = {}^t V_2 {}^t V_1^{-1}$, then $U \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V$ holds and this implies $U = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$ and hence $U_1 = U_2$, $U = 1_2$. $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V$ implies $V = \begin{pmatrix} 1 & * \\ 0 & * \end{pmatrix}$ and then $V_1 = V_2$. Thus $d_i = d'_i$ holds.

LEMMA 5. As $\{M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathfrak{h} | |C| \neq 0\}$ we can choose

$$\left\{ \begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma \mid |C| \neq 0, D \bmod CA \right\}$$

and $\theta(M) = \{0\}$.

Proof. The former follows from Lemma 2. The latter follows from

$$M \begin{pmatrix} 1_2 & S \\ & 1_2 \end{pmatrix} M^{-1} = \begin{pmatrix} * & * \\ -CS & {}^t C & * \end{pmatrix} \text{ for } M = \begin{pmatrix} * & * \\ C & * \end{pmatrix}.$$

§ 3.

Hereafter we fix $0 < Q, T \in \Lambda^*$, and we assume that T is Minkowski-reduced without loss of generality since $a(T) = a(T[U])$ for $U \in GL(2, \mathbb{Z})$ ($a(T)$ is a Fourier coefficient of $g(\mathbb{Z}, Q)$). In this section we estimate

$$\sum_{\substack{M = \begin{pmatrix} * & * \\ C & * \end{pmatrix} \in \mathfrak{h} \\ \operatorname{rk} C \leq 1}} h(M, T).$$

First, suppose $M = \begin{pmatrix} {}^t U & \\ & U^{-1} \end{pmatrix}, U \in GL(2, \mathbb{Z})$, then we have

$$H(M, Z) = e(\operatorname{tr} Q \cdot M \langle Z \rangle) = e(\operatorname{tr} Q[{}^t U]Z)$$

by Lemma 3 in Section 2. This yields $\sum_{\substack{M = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \in \mathfrak{h}}} h(M, T) = O(1)$. Next we consider the case of $\operatorname{rk} C = 1$.

LEMMA 1. Let $M = \begin{pmatrix} * & * \\ U^{-1} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} V & U^{-1} \begin{pmatrix} d_1 & d_2 \\ 0 & d_4 \end{pmatrix} V^{-1} \end{pmatrix} \in \Gamma$, where $U, V \in GL(2, \mathbb{Z}), d_4 = \pm 1, c_1 > 0$.

Set $P = \begin{pmatrix} p_1 & p_2/2 \\ p_2/2 & p_4 \end{pmatrix} = Q[{}^t U], S = \begin{pmatrix} s_1 & s_2/2 \\ s_2/2 & s_4 \end{pmatrix} = T[{}^t V^{-1}]$ and a_1 denotes an integer such that $a_1 d_1 \equiv 1 \pmod{c_1}$. Then we have

$$\begin{aligned} h(M, T) &= (-1)^{k/2} \sqrt{2} \pi |Q|^{3/4 - k/2} \delta_{p_4, s_4} |T|^{k/2 - 3/4} s_4^{-1/2} c_1^{-3/2} \\ &\quad \times e(\{a_1 s_4 d_2^2 - (a_1 d_4 p_2 - s_2) d_2\}/c_1 + (a_1 p_1 + d_1 s_1)/c_1 - d_4 p_2 s_2/(2c_1 s_4)) \\ &\quad \times J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 s_4), \end{aligned}$$

where δ is the Kronecker's delta function and J is the ordinary Bessel function.

Proof. At first, we suppose $M = \begin{pmatrix} {}^t U & \\ & U^{-1} \end{pmatrix} M_0 \begin{pmatrix} {}^t V & \\ & V^{-1} \end{pmatrix}$, where $M_0 = \begin{pmatrix} a & 0 & b & 0 \\ 0 & 1 & 0 & 0 \\ c & 0 & d & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$, $ad - bc = 1, c > 0$. Then $h(M, T)$ equals

$$\begin{aligned}
& \int_{X \bmod 1} H(M, Z) e(-\operatorname{tr} TZ) dX \\
&= \int_{X \bmod 1} \sum_{S \in A/\theta(M)} e(\operatorname{tr} Q \cdot M \langle Z + S \rangle) j(M, Z + S)^{-k} e(-\operatorname{tr} TZ) dX \\
&= \int_{X \bmod 1} \sum_{S \in A/\theta(M)} e(\operatorname{tr} Q[\ell U] \cdot M_0 \langle Z[V] + S[V] \rangle) j(M_0, Z[V] + S[V])^{-k} \\
&\quad \times e(-\operatorname{tr} TZ) dX.
\end{aligned}$$

Setting $W = X + i \operatorname{Im} Z[V]$, $X = \begin{pmatrix} x_1 & x_2 \\ x_2 & x_4 \end{pmatrix}$, we have by virtue of Lemma 4 in Section 2,

$$h(M, T) = \int_{\substack{x_1, x_2 \in R \\ x_4 \bmod 1}} e(\operatorname{tr} Q[\ell U] \cdot M_0 \langle W \rangle) j(M_0, W)^{-k} e(-\operatorname{tr} T[\ell V^{-1}] W) dX.$$

Since $M_0 \langle W \rangle = \begin{pmatrix} (aw_1 + b)(cw_1 + d)^{-1} & * \\ w_2(cw_1 + d)^{-1} & -(cw_1 + d)^{-1} cw_2^2 + w_4 \end{pmatrix}$, $j(M_0, W) = cw_1 + d$ where $W = \begin{pmatrix} w_1 & w_2 \\ w_2 & w_4 \end{pmatrix}$, we have

$$\begin{aligned}
h(M, T) &= \int_{\substack{x_1, x_2 \in R \\ x_4 \bmod 1}} e(p_1[a/c - c^{-2}(w_1 + c^{-1}d)^{-1}] + p_2w_2c^{-1}(w_1 + c^{-1}d)^{-1} + p_4w_4 \\
&\quad - p_4(w_1 + c^{-1}d)^{-1}w_2^2 - s_1w_1 - s_2w_2 - s_4w_4)(cw_1 + d)^{-k} dx_1 dx_2 dx_4 \\
&= \delta_{p_4, s_4} e(p_1a/c) \int_{x_1 \in R} e(-p_1c^{-2}(w_1 + c^{-1}d)^{-1} - s_1w_1)(cw_1 + d)^{-k} dx_1 \\
&\quad \times \int_{x_2 \in R} e(-p_4(w_1 + c^{-1}d)^{-1}w_2^2 + \{p_2c^{-1}(w_1 + c^{-1}d)^{-1} - s_2\}w_2) dx_2.
\end{aligned}$$

Since we know

$$\int_{x_2 \in R} e(\alpha w_2^2 + \beta w_2) dx_2 = e(-\beta^2/4\alpha) \sqrt{2}^{-1} \sqrt{i/\alpha} \text{ for } \alpha, \beta \in \mathbf{C} \ (\operatorname{Im} \alpha > 0),$$

setting $\alpha = -p_4(w_1 + c^{-1}d)^{-1}$, $\beta = p_2c^{-1}(w_1 + c^{-1}d)^{-1} - s_2$, we have

$$\begin{aligned}
h(M, T) &= \sqrt{2}^{-1} \delta_{p_4, s_4} s_4^{-1/2} c^{-k} e(-s_2 p_2 / (2cs_4)) e((p_1a + s_1d)/c) (-1)^{k/2} \\
&\quad \times \int_{x_1 \in R} e(-s_4^{-1}|T|w_1 - s_4^{-1}c^{-2}|Q|w_1^{-1})(w_1/i)^{-k+1/2} dx_1.
\end{aligned}$$

It is easy to see

$$\int_{x_1 \in R} e(-aw_1 - bw_1^{-1})(w_1/i)^{-k+1/2} dx_1 = 2\pi(b/a)^{3/4-k/2} J_{k-3/2}(4\pi\sqrt{ab})$$

for $a, b > 0$.

Thus we have

$$\begin{aligned} h(M, T) &= \sqrt{2} \pi |Q|^{3/4-k/2} \delta_{p_4, s_4} |T|^{k/2-3/4} s_4^{-1/2} c^{-3/2} e(-p_2 s_2 / (2c s_4)) (-1)^{k/2} \\ &\quad \times e((p_1 a + s_1 d)/c) J_{k-3/2}(4\pi\sqrt{|T||Q|}/cs_4). \end{aligned}$$

Now we come back to the general case.

Let $C = U^{-1} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V$, $D = U^{-1} \begin{pmatrix} d_1 & d_2 \\ d_2 & d_4 \end{pmatrix} V^{-1}$. Then $C = \left(\begin{pmatrix} 1 & -d_2 d_4 \\ d_2 & d_4 \end{pmatrix} U \right)^{-1} \times \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V$, $D = \left(\begin{pmatrix} 1 & -d_2 d_4 \\ d_2 & d_4 \end{pmatrix} U \right)^{-1} \begin{pmatrix} d_1 & 0 \\ 0 & 1 \end{pmatrix} V^{-1}$ hold. If we set $P = \begin{pmatrix} p_1 & p_2/2 \\ p_2/2 & p_4 \end{pmatrix} = Q[{}^t U]$, $S = \begin{pmatrix} s_1 & s_2/2 \\ s_2/2 & s_4 \end{pmatrix} = T[{}^t V^{-1}]$ as in the statement of Lemma 1, then we have $Q \left[{}^t \left(\begin{pmatrix} 1 & -d_2 d_4 \\ d_2 & d_4 \end{pmatrix} U \right) \right] = \begin{pmatrix} p_1 - p_2 d_2 d_4 + p_4 d_2^2 & * \\ p_2 d_4/2 - p_4 d_2 & p_4 \end{pmatrix}$.

Applying the former, we have

$$\begin{aligned} h(M, T) &= \sqrt{2} \pi |Q|^{3/4-k/2} \delta_{p_4, s_4} |T|^{k/2-3/4} s_4^{-1/2} c_1^{-3/2} (-1)^{k/2} \\ &\quad \times e(-(p_2 d_4 - 2p_4 d_2)s_2/2c_1 s_4) e((p_1 - p_2 d_2 d_4 + p_4 d_2^2)a_1 + s_1 d_1)/c_1) \\ &\quad \times J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 s_4) \\ &= (-1)^{k/2} \sqrt{2} \pi |Q|^{3/4-k/2} \delta_{p_4, s_4} |T|^{k/2-3/4} s_4^{-1/2} c_1^{-3/2} \\ &\quad \times \{e((a_1 s_4 d_2^2 - (a_1 d_4 p_2 - s_2)d_2)/c_1 + (a_1 p_1 + d_1 s_1)/c_1 - d_4 p_2 s_2/2c_1 s_4) \\ &\quad \times J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 s_4)\}. \end{aligned}$$

Hereafter $M \in \mathfrak{h}$ is supposed to be parametrized by U , V , c_1 , d_1 , d_2 , d_4 as in Lemma 4 of Section 2. From Lemma 1 follows

$$|\sum_{d_2 \bmod c_1} h(M, T)| \ll \delta_{p_4, s_4} |T|^{k/2-3/4} s_4^{-1/2} (s_4, c_1)^{1/2} c_1^{-1} |J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 s_4)|,$$

$$\text{since } \sum_{n \bmod c} e((an^2 + bn)/c) = O((a, c)^{1/2} c^{1/2}).$$

Since U is parametrized by the second row up to sign, we have

$$\begin{aligned} \sum_U \sum_{\substack{d_1 \bmod c_1 \\ (d_1, c_1)=1 \\ d_4 = \pm 1}} |\sum_{d_2 \bmod c_1} h(M, T)| &\ll \sum_{u=\binom{u_3}{u_4}} \delta_{Q[u], s_4} |T|^{k/2-3/4} s_4^{-1/2} (s_4, c_1)^{1/2} \\ &\quad \times |J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 s_4)|, \end{aligned}$$

where we set $U = \begin{pmatrix} * & * \\ u_3 & u_4 \end{pmatrix}$,

$$\ll |T|^{k/2-3/4} s_4^{-1/2+\varepsilon} (s_4, c_1)^{1/2} |J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 s_4)|,$$

since the number of solutions u to $Q[u] = s_4$ is $O(s_4)$. V is parametrized by the first column and $s_4 = T \begin{bmatrix} -v_3 \\ v_1 \end{bmatrix}$ for $V = \begin{pmatrix} v_1 & v_2 \\ v_3 & v_4 \end{pmatrix}$. Thus we have

$$\begin{aligned} & \sum_{U,V} \sum_{\substack{d_1 \bmod c_1 \\ (d_1, c_1) = 1 \\ d_4 = \pm 1}} \left| \sum_{d_2 \bmod c_1} h(M, T) \right| \\ & \ll |T|^{k/2 - 3/4} \sum_{m=1}^{\infty} A(m, T) m^{-1/2 + \varepsilon} (m, c_1)^{1/2} |J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 m)|, \end{aligned}$$

where $A(m, T) = \# \left\{ \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} \middle| (v_1, v_2) = 1, T \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} = m \right\}$.

We prepare the following

LEMMA 2. Let t, m be natural numbers. Then

$$\begin{aligned} \sum_{1 \leq c \leq t/m} (m, c)^{1/2} c^{1/2} &= O(t^{3/2} m^{-3/2 + \varepsilon}) \\ \sum_{c > t/m} (m, c)^{1/2} c^{3/2 - k} &= O(t^{5/2 - k} m^{k - 5/2 + \varepsilon}) \end{aligned}$$

and $J_{k-3/2}(x) = O(\min(x^{k-3/2}, 1/\sqrt{x}))$ for $x > 0$.

Proof.

$$\begin{aligned} & \sum_{1 \leq c \leq t/m} (m, c)^{1/2} c^{1/2} \ll \sum_{r|m} \sum_{s \leq t/m r} r^{1/2} (sr)^{1/2} \\ & = \sum_{r|m} r \sum_{s \leq t/m r} s^{1/2} \ll \sum_{r|m} r(t/mr)^{3/2} \ll (t/m)^{3/2} \sum_{r|m} r^{-1/2} = O((t/m)^{3/2} m^\varepsilon). \\ & \sum_{c > t/m} (m, c)^{1/2} c^{3/2 - k} \ll \sum_{r|m} \sum_{s > t/m r} r^{1/2} (rs)^{3/2 - k} \\ & = \sum_{r|m} r^{2-k} \sum_{s > t/m r} s^{3/2 - k} \ll \sum_{r|m} r^{2-k} (t/mr)^{5/2 - k} \ll (t/m)^{5/2 - k} \sum_{r|m} r^{-1/2} \\ & = O((t/m)^{5/2 - k} m^\varepsilon). \end{aligned}$$

The estimates for the Bessel function is well known.

From Lemma 2 follows

$$\begin{aligned} & \sum_{c_1 \geq 1} (m, c_1)^{1/2} |J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 m)| \\ & \ll \sum_{c_1 < \sqrt{|T|}/m} (m, c_1)^{1/2} (c_1 m / \sqrt{|T|})^{1/2} + \sum_{c_1 > \sqrt{|T|}/m} (m, c_1)^{1/2} (\sqrt{|T|}/c_1 m)^{k-3/2} \\ & \ll (m/\sqrt{|T|})^{1/2} |T|^{3/4} m^{-3/2 + \varepsilon} + (\sqrt{|T|}/m)^{k-3/2} |T|^{5/4 - k/2} m^{k-5/2 + \varepsilon} \\ & \ll |T|^{1/2} m^{-1+\varepsilon}. \end{aligned}$$

Thus we have $\sum_{\substack{M \in \mathfrak{H} \\ \text{rk } C=1}} h(M, T) \ll |T|^{k/2 - 1/4} \sum_{m=1}^{\infty} A(m, T) m^{-3/2 + 2\varepsilon}$. We assumed

that T is Minkowski-reduced, then $T \gg m(T)1_2$ holds where $m(T) = \min_{0 \neq u \in Z^2} T[u]$. Hence we have

$$\begin{aligned} \sum_{m=1}^{\infty} A(m, T) m^{-3/2 + 2\varepsilon} &\leq \sum_{0 \neq u \in Z^2} T[u]^{-3/2 + 2\varepsilon} \ll m(T)^{-3/2 + 2\varepsilon} \sum_{\substack{(u_1, u_2) \in Z \\ (u_1, u_2) \neq (0, 0)}} (u_1^2 + u_2^2)^{-3/2 + 2\varepsilon} \\ &\ll m(T)^{-3/2 + 2\varepsilon} = O(1). \end{aligned}$$

Hence we have

$$|\sum_{\substack{M \in \mathfrak{h} \\ \text{rk } C=1}} h(M, T)| = O(|T|^{k/2-1/4}).$$

§ 4.

In this section we estimate $\sum_{\substack{M \in \mathfrak{h} \\ |C| \neq 0}} h(M, T)$.

LEMMA 1. Set $P(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL(2, \mathbb{Z}) \mid b \equiv 0 \pmod{n} \right\}$. Then

$$\{C \in M_2(\mathbb{Z}) \mid |C| \neq 0\} = \left\{ U^{-1} \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix} V^{-1} \mid \begin{array}{l} U \in GL(2, \mathbb{Z}), V \in GL(2, \mathbb{Z})/P(c_2/c_1), \\ 0 < c_1|c_2 \end{array} \right\}$$

and $GL(2, \mathbb{Z})/P(c_2/c_1)$ corresponds bijectively to $S(c_2/c_1)$ in Section 1, by the mapping $V \mapsto$ the second column of V .

Proof. Set $V = \begin{pmatrix} v_1 & v_2 \\ v_3 & v_4 \end{pmatrix} \in GL(2, \mathbb{Z})$. Then

$$\begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix} V^{-1} = |V| \begin{pmatrix} v_4 & -v_2c_1/c_2 \\ -v_3c_2/c_1 & v_1 \end{pmatrix} \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix}$$

holds, and so $\begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix} V^{-1} \in GL(2, \mathbb{Z}) \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix}$ if and only if $V \in P(c_2/c_1)$.

Suppose that $C = U_1^{-1} \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix} V_1^{-1} = U_2^{-1} \begin{pmatrix} c'_1 & \\ & c'_2 \end{pmatrix} V_2^{-1}$, $U_i, V_i \in GL(2, \mathbb{Z})$, $0 < c_1|c_2$, $0 < c'_1|c'_2$ and that V_1, V_2 are representatives in $GL(2, \mathbb{Z})/P(c_2/c_1)$. Comparing elementary divisors, we have

$$c_i = c'_i \quad (i = 1, 2) \quad \text{and} \quad U_2 U_1^{-1} \begin{pmatrix} 1 & \\ & c_2/c_1 \end{pmatrix} = \begin{pmatrix} 1 & \\ & c_2/c_1 \end{pmatrix} V_2^{-1} V_1.$$

This implies $V_2^{-1} V_1 \in P(C_2/C_1)$. Hence $V_1 = V_2$ and so $U_1 = U_2$ hold. The second assertion is obvious.

Let $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$, $|C| \neq 0$. By Lemma 5 in Section 2 we have

$$\begin{aligned} h(M, T) &= \int_{X \bmod 1} H(M, Z) e(-\text{tr } TZ) dX \\ &= \int_{X \bmod 1} \sum_{S \in \mathcal{A}} e(\text{tr } Q \cdot M \langle Z + S \rangle) j(M, Z + S)^{-k} e(-\text{tr } TZ) dX \\ &= |C|^{-k} e(\text{tr}(QAC^{-1} + TC^{-1}D)) \\ &\quad \times \int_{X \bmod 1} \sum_{S \in \mathcal{A}} e(-\text{tr}\{Q'C^{-1}(Z + S + C^{-1}D)^{-1}C^{-1} \\ &\quad + T(Z + C^{-1}D)\}) |Z + S + C^{-1}D|^{-k} dX \end{aligned}$$

$$\begin{aligned}
(\text{since } M\langle Z \rangle &= AC^{-1} - {}^tC^{-1}(Z + C^{-1}D)^{-1}C^{-1}) \\
&= |C|^{-k}e(\text{tr}(QAC^{-1} + TC^{-1}D)) \\
&\quad \times \int_X e(-\text{tr}(Q[{}^tC^{-1}]Z^{-1} + TZ))|Z|^{-k}dX.
\end{aligned}$$

For positive definite matrices $P, S \in GL(2, R)$ we set

$$J(P, S) = \int_X e(-\text{tr}(PZ^{-1} + SZ))|Z|^{-k}dX.$$

Then it is known ([1]) that

$$\begin{aligned}
J(P, S) &\text{ does not depend on } \text{Im } Z, \text{ and} \\
J(P, S) &= \|R\|^{3-2k}J(P[R^{-1}], S[{}^tR]) \text{ for } R \in GL(2, R).
\end{aligned}$$

For a positive definite matrix P , we denote by \sqrt{P} a matrix A such that $A^2 = P$, $A > 0$. Then we have, for $P, S > 0$,

$$\begin{aligned}
J(P, S) &= |P|^{3/2-k}J(1_2, S[\sqrt{P}]) \\
&= |P|^{3/2-k}|S[\sqrt{P}]|^{k/2-3/4}J(\sqrt{S[\sqrt{P}]}, \sqrt{S[\sqrt{P}]}) \\
&= |P|^{3/4-k/2}|S|^{k/2-3/4}\tilde{J}(\sqrt{S[\sqrt{P}]}),
\end{aligned}$$

where we set $\tilde{J}(P) = J(P, P)$ for $0 < P \in GL(2, R)$.

Since $\tilde{J}(P[F]) = \tilde{J}(P)$ for every orthogonal matrix $F \in GL(2, R)$, $\tilde{J}(P)$ is determined by eigen-values of P .

It is easy to see that for $0 < S \in GL(2, R)$

$$\tilde{J}((4\pi)^{-1}S) = 2(2\pi)^{-3}|2^{-1}S|^{k-3/2}A_{k-3/2}(4^{-1}S^2),$$

where $A_s(M)$ is a generalized Bessel function defined in [2], and it is known

$$A_{k-3/2}(4^{-1}S^2)|2^{-1}S|^{k-3/2} = \frac{2}{\pi} \int_0^1 J_{k-3/2}(s_1 t) J_{k-3/2}(s_2 t) t(1-t^2)^{-1/2} dt$$

for $S = \begin{pmatrix} s_1 \\ s_2 \end{pmatrix} > 0$.

Thus we have, for $s_1, s_2 > 0$,

$$\tilde{J}\left(\begin{pmatrix} s_1 \\ s_2 \end{pmatrix}\right) = 2^{-1}\pi^{-4} \int_0^1 \prod_{i=1,2} J_{k-3/2}(4\pi s_i t) t(1-t^2)^{-1/2} dt.$$

Hence we have, for $M = \begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma$, $|C| \neq 0$,

$$\begin{aligned} h(M, T) &= 2^{-1}\pi^{-4}|Q|^{3/4-k/2}|T|^{k/2-3/4}\|C\|^{-3/2}e(\text{tr}(AC^{-1}Q + C^{-1}DT)) \\ &\quad \times \int_0^1 \prod_{i=1,2} J_{k-3/2}(4\pi s_i t) t(1-t^2)^{-1/2} dt, \end{aligned}$$

where s_1, s_2 are eigen-values of $\sqrt{T[\sqrt{Q[t]C^{-1}]]}$, and so

$$\begin{aligned} \sum_{D \bmod CA} h(M, T) &= \kappa |T|^{k/2-3/4}\|C\|^{-3/2}K(Q, T; C) \\ &\quad \times \int_0^1 \prod_{i=1,2} J_{k-3/2}(4\pi s_i t) t(1-t^2)^{-1/2} dt, \end{aligned}$$

where $\kappa = 2^{-1}\pi^{-4}|Q|^{3/4-k/2}$ and $K(Q, T; C)$ is a generalized Kloosterman sum defined in Section 1 and s_1, s_2 are positive numbers such that s_1^2, s_2^2 are eigen-values of $T \cdot Q[t]C^{-1}$. Since $T = \begin{pmatrix} t_1 & * \\ * & t_2 \end{pmatrix}$ is supposed to be Minkowski-reduced, we have $T \asymp \begin{pmatrix} t_1 & * \\ * & t_2 \end{pmatrix}$, that is, there are constants, κ_1, κ_2 such that $T > \kappa_1 \begin{pmatrix} t_1 & * \\ * & t_2 \end{pmatrix}, T < \kappa_2 \begin{pmatrix} t_1 & * \\ * & t_2 \end{pmatrix}$. If $A > 0, B \geq B_1 > 0$, then $\text{tr } AB = \text{tr } \sqrt{AB}\sqrt{A} \geq \text{tr } \sqrt{AB}\sqrt{A} = \text{tr } AB_1$ holds. Hence we have $\text{tr } T \cdot Q[t]C^{-1} = \text{tr } T[C^{-1}] \cdot Q \asymp \text{tr } T[C^{-1}] \asymp \text{tr} \begin{pmatrix} t_1 & * \\ * & t_2 \end{pmatrix} [C^{-1}]$ and $|T \cdot Q[t]C^{-1}| \asymp \left| \begin{pmatrix} t_1 & * \\ * & t_2 \end{pmatrix} [C^{-1}] \right|$. From these follow $s_1^2 \asymp s'_1, s_2^2 \asymp s'_2$ where s'_1, s'_2 are eigen-values of $\begin{pmatrix} t_1 & * \\ * & t_2 \end{pmatrix} [C^{-1}]$. Set $P = T \cdot Q[t]C^{-1}$, then $\text{tr } P < 1$ implies $s_1^2 + s_2^2 < 1$ and $s_1^2 \ll 1, s_2^2 \ll 1$. $\text{tr } P < 2|P|$ implies $(s_1^2 + s_2^2)/s_1^2 s_2^2 < 2$ and $s_1^2 \gg 1, s_2^2 \gg 1$. If $\text{tr } P \geq 1$ and $\text{tr } P \geq 2|P|$, then we have either $s_1^2 \geq 2/3, s_2^2 \leq 2$ or $s_1^2 < 2/3, s_2^2 > 1/3$. Since $J_{k-3/2}(x) = O(\min(x^{k-3/2}, 1/\sqrt{x}))$, we have

$$\left| \int_0^1 \prod_{i=1,2} J_{k-3/2}(4\pi s_i t) t(1-t^2)^{-1/2} dt \right| \ll \begin{cases} |P|^{k/2-3/4} & \text{if } \text{tr } P < 1, \\ |P|^{-1/4} & \text{if } \text{tr } P < 2|P|, \\ |P|^{k/2-3/4}(\text{tr } P)^{(1-k)/2} & \text{otherwise.} \end{cases}$$

Thus we have

$$\begin{aligned} |\sum_{D \bmod CA} h(M, T)| &\ll |T|^{k/2-3/4} c_1^{1/2} c_2^{-1+\epsilon} (c_2, T[v])^{1/2} \\ &\quad \times \begin{cases} |P|^{k/2-3/4} & \text{if } \text{tr } P < 1, \\ |P|^{-1/4} & \text{if } \text{tr } P < 2|P|, \\ |P|^{k/2-3/4}(\text{tr } P)^{(1-k)/2} & \text{otherwise.} \end{cases} \end{aligned}$$

where $C = U^{-1} \begin{pmatrix} c_1 & * \\ * & c_2 \end{pmatrix} V^{-1}$, $U, V \in GL(2, \mathbb{Z})$, $0 < c_1|c_2$ and $P = T \cdot Q[t]C^{-1}$, and v is the second column of V .

Fix $0 < c_1|c_2$ and $V \in GL(2, \mathbb{Z})$ and let v be the second column of V .

We suppose that $A = T \left[V \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix}^{-1} U \right]$ is Minkowski-reduced for $U \in GL(2, \mathbb{Z})$. Set $C = U^{-1} U_1^{-1} \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix} V^{-1}$, then $|T \cdot Q[^t C^{-1}]| = |Q||A| \asymp |A|$ and $\text{tr}(T \cdot Q[^t C^{-1}]) \asymp \text{tr}(T \cdot 1_{2 \times 2}[^t C^{-1}]) = \text{tr } A[U]$. Thus we have

$$\sum_{U \in GL(2, \mathbb{Z})} |\sum_{D \bmod CA} h(M, T)| \ll |T|^{k/2-3/4} c_1^{1/2} c_2^{-1+\varepsilon} (c_2, T[v])^{1/2} f(A),$$

where

$$\begin{aligned} f(A) &= \sum_{\substack{U \in GL(2, \mathbb{Z}) \\ \text{tr } A[U] \ll 1}} |A|^{k/2-3/4} + \sum_{\substack{U \in GL(2, \mathbb{Z}) \\ \text{tr } A[U] \ll |A|}} |A|^{-1/4} \\ &\quad + \sum_{\substack{U \in GL(2, \mathbb{Z}) \\ \text{tr } A[U] \gg 1 \\ \text{tr } A[U] \gg |A|}} |A|^{k/2-3/4} (\text{tr } A[U])^{(1-k)/2}. \end{aligned}$$

LEMMA 2. Let $A^{(2)} > 0$ be Minkowski-reduced. Then we have

$$f(A) \ll m(A)^\varepsilon \max(1, |A|^{(3-k)/2+\varepsilon}) |A|^{k/2-5/4-\varepsilon},$$

where $m(A) = \min_{0 \neq x \in \mathbb{Z}^2} A[x]$.

Proof. Set $A = \begin{pmatrix} a & b \\ b & c \end{pmatrix}$. Since A in Minkowski-reduced, $A \asymp \begin{pmatrix} a & \\ & c \end{pmatrix}$, $|A| \asymp ac$, $m(A) \asymp a$, $a \leq c$, and we have only to prove Lemma 2 for $H = \begin{pmatrix} a & \\ & c \end{pmatrix}$ instead of A . First we estimate $\#\{U \in GL(2, \mathbb{Z}) | \text{tr } H[U] \ll 1\}$. For $U = \begin{pmatrix} u_1 & u_2 \\ u_3 & u_4 \end{pmatrix} \in GL(2, \mathbb{Z})$ and $n \in \mathbb{Z}$, it is easy to see

$$\begin{aligned} \text{tr } H \left[\begin{pmatrix} 1 & n \\ & 1 \end{pmatrix} U \right] &= a(u_3^2 + u_4^2)(n + (u_1u_3 + u_2u_4)(u_3^2 + u_4^2)^{-1})^2 \\ &\quad + c(u_3^2 + u_4^2) + a(u_3^2 + u_4^2)^{-1}. \end{aligned}$$

Hence $\text{tr } H(U) \ll 1$ implies $c \leq c(u_3^2 + u_4^2) \ll 1$ and $a(u_3^2 + u_4^2)(n + *)^2 \ll 1$. For relatively prime numbers u_3, u_4 , we fix $U = \begin{pmatrix} * & * \\ u_3 & u_4 \end{pmatrix}$, $U' = \begin{pmatrix} * & * \\ u_3 & u_4 \end{pmatrix} \in GL(2, \mathbb{Z})$ with $|U| = 1$, $|U'| = -1$. Then any element in $GL(2, \mathbb{Z})$ is uniquely decomposed as $\begin{pmatrix} 1 & n \\ & 1 \end{pmatrix} U$ or $\begin{pmatrix} 1 & n \\ & 1 \end{pmatrix} U'$ for $n \in \mathbb{Z}$. Thus we have

$$\begin{aligned} \#\{U \in GL(2, \mathbb{Z}) | \text{tr } H[U] \ll 1\} &\ll \sum_{\substack{(u_3, u_4)=1 \\ u_3^2 + u_4^2 \ll c^{-1}}} \#\{n \in \mathbb{Z} | (n + *)^2 \ll a^{-1}(u_3^2 + u_4^2)^{-1}\} \\ &\ll \sum_{\substack{(u_3, u_4)=1 \\ u_3^2 + u_4^2 \ll c^{-1}}} a^{-1/2} (u_3^2 + u_4^2)^{-1/2} \\ &\ll \sum_{m \ll c^{-1}} a^{-1/2} m^{-1/2+\varepsilon} \ll a^{-1/2} c^{-1/2-\varepsilon}. \end{aligned}$$

Thus the first sum in $f(A)$ is $O(a^{k/2-5/4}c^{k/2-5/4-\varepsilon})$ if $c \ll 1$, or 0 otherwise. From $(a \leq) c \ll 1$ follows

$$\begin{aligned} & a^{k/2-5/4}c^{k/2-5/4-\varepsilon}m(A)^\varepsilon \max(1, |A|^{(3-k)/2+\varepsilon}|A|^{k/2-5/4-\varepsilon})^{-1} \\ & \asymp \max(1, |A|^{(k-3)/2-\varepsilon}) \ll 1. \end{aligned}$$

Next we estimate $\#\{U \in GL(2, \mathbb{Z}) \mid \text{tr } H[U] \ll |H|\}$. $\text{tr } H[U] \ll |H|$ implies $u_3^2 + u_4^2 \ll a$, $(n+*)^2 \ll c(u_3^2 + u_4^2)^{-1}$. Similarly to the first sum, we have

$$\#\{U \in GL(2, \mathbb{Z}) \mid \text{tr } H[U] \ll |H|\} \ll \sum_{m \ll a} m^\varepsilon (c/m)^{1/2} \ll c^{1/2} a^{1/2+\varepsilon}.$$

$u_3^2 + u_4^2 \ll a$ implies $1 \ll a \leq c$. Thus the second sum in $f(A)$ is $O(a^{1/4+\varepsilon}c^{1/4})$ if $1 \ll a$ or 0 otherwise. From $1 \ll a \leq c$ follows

$$\begin{aligned} & a^{1/4+\varepsilon}c^{1/4}(m(A)^\varepsilon \max(1, |A|^{(3-k)/2+\varepsilon}|A|^{k/2-5/4-\varepsilon})^{-1}) \\ & \asymp (ac)^{3/2-k/2+\varepsilon} \max(1, |A|^{(k-3)/2-\varepsilon}) \ll 1. \end{aligned}$$

Lastly we estimate the third sum in $f(A)$. Set

$$X = \sum_{\substack{U \in GL(2, \mathbb{Z}) \\ \text{tr } A[U] \geq 1 \\ \text{tr } A[U] \gg |A|}} |A|^{k/2-3/4} (\text{tr } A[U])^{(1-k)/2}.$$

Then

$$\begin{aligned} X & \ll (ac)^{k/2-3/4} \sum_{\substack{U \in GL(2, \mathbb{Z}) \\ \text{tr } H[U] \gg \max(1, |H|)}} (\text{tr } H[U])^{(1-k)/2} \\ & \ll a^{-1/4}c^{k/2-3/4} \sum_{\substack{U \in GL(2, \mathbb{Z}) \\ \text{tr } B[U] \gg \max(a^{-1}, c)}} (\text{tr } B[U])^{(1-k)/2}, \end{aligned}$$

where we set $B = \begin{pmatrix} 1 & \\ & d \end{pmatrix}$, $d = c/a$ (≥ 1). Hence we have

$$X \ll a^{-1/4}c^{k/2-3/4} \sum_{(u_3, u_4)=1} (u_3^2 + u_4^2)^{(1-k)/2} \sum_{u_1, u_2} \sum_n g(n, u_1, u_2, u_3, u_4)^{(1-k)/2},$$

where $g(n, u_1, u_2, u_3, u_4) = \{n + (u_1u_3 + u_2u_4)(u_3^2 + u_4^2)^{-1}\}^2 + (u_3^2 + u_4^2)^{-2} + d, u_3, u_4$ run over relatively prime integers and for given u_3, u_4 we take integers u_1, u_2 such that $u_1u_4 - u_2u_3 = \pm 1$ and $|(u_1u_3 + u_2u_4)(u_3^2 + u_4^2)^{-1}| \leq 1/2$, and n runs over integers such that $g(n, u_1, u_2, u_3, u_4) \gg \max(a^{-1}, c)(u_3^2 + u_4^2)^{-1}$. ($GL(2, \mathbb{Z})$ is parametrized by u_1, u_2, u_3, u_4 and n .) It is easy to see

$$g(n, u_1, u_2, u_3, u_4) \asymp n^2 + d,$$

since $|(u_1u_3 + u_2u_4)(u_3^2 + u_4^2)^{-1}| \leq 1/2$ and $d \geq 1$. Hence we have

$$\begin{aligned} X & \ll a^{-1/4}c^{k/2-3/4} \sum_{(u_3, u_4)=1} (u_3^2 + u_4^2)^{(1-k)/2} \sum_{u_1, u_2} \sum_n g(n, u_1, u_2, u_3, u_4)^{(1-k)/2} \\ & \ll a^{-1/4}c^{k/2-3/4} \sum_{(u_3, u_4)=1} (u_3^2 + u_4^2)^{(1-k)/2} \sum (n^2 + d)^{(1-k)/2}, \end{aligned}$$

where $n \in \mathbb{Z}$ must satisfy $n^2 + d \gg \max(a^{-1}, c)(u_3^2 + u_4^2)^{-1}$. Hence we have

$$X \ll a^{-1/4} c^{k/2-3/4} \sum_{m \geq 1} m^{(1-k)/2+\varepsilon} \sum_{n^2+d \geq \max(a^{-1}, c)/m} (n^2 + d)^{(1-k)/2}.$$

We prove

$$Y = \sum_{\substack{n \in \mathbb{Z} \\ n^2+d \geq \alpha (>0)}} (n^2 + d)^{(1-k)/2} = O(\alpha^{1-k/2}).$$

If $d \geq \alpha$, then

$$\begin{aligned} Y &= \sum_n (n+d)^{(1-k)/2} \ll d^{(1-k)/2} + \sum_{n \geq 1} (n^2 + d)^{(1-k)/2} \\ &\ll d^{(1-k)/2} + \int_0^\infty (x^2 + d)^{(1-k)/2} dx \ll d^{(1-k)/2} + d^{1-k/2} \\ &\ll d^{1-k/2} \leq \alpha^{1-k/2}. \end{aligned}$$

If $d < \alpha$, then, denoting by m the least positive integer n that satisfies $n^2 + d \geq \alpha$, then we have

$$\begin{aligned} Y &= 2 \sum_{n \geq m} (n^2 + d)^{(1-k)/2} = 2 \sum_{n \geq 0} \{(n+m)^2 + d\}^{(1-k)/2} \\ &\ll \sum_{n \geq 0} (n^2 + \alpha)^{(1-k)/2} \ll \alpha^{1-k/2}. \end{aligned}$$

We note $\max(a^{-1}, c)/\max(a, c^{-1}) = c/a = d$. Hence we have

$$\begin{aligned} X &\ll a^{-1/4} c^{k/2-3/4} \left\{ \sum_{m \geq \max(a, c^{-1})} m^{(1-k)/2+\varepsilon} d^{1-k/2} \right. \\ &\quad \left. + \sum_{m < \max(a, c^{-1})} m^{(1-k)/2+\varepsilon} (\max(a^{-1}, c)/m)^{1-k/2} \right\} \\ &\ll a^{-1/4} c^{k/2-3/4} \{\max(a, c^{-1})^{(3-k)/2+\varepsilon} d^{1-k/2} + \max(a^{-1}, c)^{1-k/2} \max(a, c^{-1})^{1/2+\varepsilon}\} \\ &\asymp a^\varepsilon \max(1, ac)^{(3-k)/2+\varepsilon} (ac)^{k/2-5/4-\varepsilon} \\ &\asymp m(A)^\varepsilon \max(1, |A|)^{(3-k)/2+\varepsilon} |A|^{k/2-5/4-\varepsilon}. \end{aligned}$$

Thus we have completed a proof of Lemma 2.

Lemma 2 implies immediately

LEMMA 3.

$$\begin{aligned} \sum_{U \in GL(2, \mathbb{Z})} \mid \sum_{D \bmod CA} h(M, T) \mid &\ll |T|^{k-2-\varepsilon} m \left(T \left[V \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}^{-1} \right] \right)^\varepsilon \\ &\times \max(1, |T|(c_1 c_2)^{-2})^{(3-k)/2+\varepsilon} c_1^{3-k+2\varepsilon} c_2^{3/2-k+3\varepsilon} (c_2, T[v])^{1/2}, \end{aligned}$$

where $C = U^{-1} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} V^{-1}$, $0 < c_1 | c_2$ and v is the second column of V .

Now we can prove our theorem.

$$\begin{aligned} & \sum_{c_1|c_2} \sum_{V \in GL(2, \mathbb{Z})/P(c_2/c_1)} \sum_{U \in GL(2, \mathbb{Z})} \mid \sum_{D \bmod GL} h(M, T) \mid \ll \sum_{c_1|c_2} \sum_{V \in GL(2, \mathbb{Z})/P(c_2/c_1)} |T|^{k-2-\varepsilon} \\ & \times m\left(T \left[V \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix}^{-1}\right]\right)^{\varepsilon} \max(1, |T|(c_1c_2)^{-2})^{(3-k)/2+\varepsilon} c_1^{3-k+2\varepsilon} c_2^{3/2-k+3\varepsilon} (c_2, T[v])^{1/2} \\ & \ll |T|^{k-2-\varepsilon/2} \sum_{c_1|c_2} \sum_{V \in GL(2, \mathbb{Z})/P(c_2/c_1)} \max(1, |T|(c_1c_2)^{-2})^{(3-k)/2+\varepsilon} \\ & \times c_1^{3-k+\varepsilon} c_2^{3/2-k+2\varepsilon} (c_2, T[v])^{1/2}, \end{aligned}$$

$$\begin{aligned} \text{where we used } m\left(T \left[V \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix}^{-1}\right]\right) & \ll \left|T \left[V \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix}^{-1}\right]\right|^{1/2}, \\ & = \Sigma_1 + \Sigma_2, \end{aligned}$$

where Σ_1 (resp. Σ_2) is a partial sum such that $(c_1c_2)^2 \geq |T|$ (resp. $(c_1c_2)^2 < |T|\right).$

$$\begin{aligned} \Sigma_1 & \ll |T|^{k-2-\varepsilon/2} \sum_{\substack{c_1|c_2 \\ (c_1c_2)^2 \geq |T|}} c_1^{3-k+\varepsilon} c_2^{3/2-k+2\varepsilon} \sum_{V \in GL(2, \mathbb{Z})/P(c_2/c_1)} c_1^{1/2} (c_2/c_1, T[v])^{1/2} \\ & \ll |T|^{k-2-\varepsilon/2} \sum_{\substack{c_1|c_2 \\ (c_1c_2)^2 \geq |T|}} c_1^{7/2-k+\varepsilon} c_2^{3/2-k+2\varepsilon} (c_2/c_1)^{1+\varepsilon} (c_2/c_1, e(T))^{1/2} \\ & \ll |T|^{k-2-\varepsilon/2} \sum_{\substack{c_1, n \\ c_1^2 n \geq \sqrt{|T|}}} c_1^{5-2k+3\varepsilon} n^{5/2-k+3\varepsilon} (e(T), n)^{1/2}. \end{aligned}$$

Since

$$\begin{aligned} \sum_{n \geq \alpha} n^{5/2-k+3\varepsilon} (e(T), n)^{1/2} & < \sum_{r|e(T)} \sum_{s \geq \alpha/r} (sr)^{5/2-k+3\varepsilon} r^{1/2} \\ & = \sum_{r|e(T)} r^{3-k+3\varepsilon} \sum_{s \geq \alpha/r} s^{5/2-k+3\varepsilon} \ll \sum_{r|e(T)} r^{3-k+3\varepsilon} (\alpha/r)^{7/2-k+3\varepsilon} \\ & = \alpha^{7/2-k+3\varepsilon} \sum_{r|e(T)} r^{-1/2} = O(e(T)^\varepsilon \alpha^{7/2-k+3\varepsilon}), \end{aligned}$$

we have

$$\begin{aligned} \Sigma_1 & \ll |T|^{k-2-\varepsilon/2} \sum_{c_1} c_1^{5-2k+3\varepsilon} e(T)^\varepsilon (\sqrt{|T|}/c_1)^{7/2-k+3\varepsilon} \\ & = |T|^{k/2-1/4+\varepsilon} e(T)^\varepsilon \sum_{c_1} c_1^{-2-3\varepsilon} = O(|T|^{k/2-1/4+2\varepsilon}). \end{aligned}$$

$$\begin{aligned} \Sigma_2 & \ll |T|^{k-2-\varepsilon/2} \sum_{\substack{c_1|c_2 \\ (c_1c_2)^2 < |T|}} |T|^{(3-k)/2+\varepsilon} c_1^{-\varepsilon} c_2^{-3/2} \sum_{V \in GL(2, \mathbb{Z})/P(c_2/c_1)} (c_2, T[v])^{1/2} \\ & \ll |T|^{k/2-1/2+\varepsilon/2} \sum_{\substack{c_1|c_2 \\ (c_1c_2)^2 < |T|}} c_1^{-\varepsilon} c_2^{-3/2} c_1^{1/2} (c_2/c_1)^{1+\varepsilon} (c_2/c_1, e(T))^{1/2} \\ & = |T|^{k/2-1/2+\varepsilon/2} \sum_{\substack{c_1, n \\ c_1^2 n < \sqrt{|T|}}} c_1^{-1-\varepsilon} n^{-1/2+\varepsilon} (n, e(T))^{1/2}. \end{aligned}$$

Since

$$\begin{aligned} \sum_{n < \beta} n^{-1/2+\varepsilon} (n, e(T))^{1/2} & < \sum_{r|e(T)} \sum_{s < \beta/r} (sr)^{-1/2+\varepsilon} r^{1/2} \\ & = \sum_{r|e(T)} r^\varepsilon \sum_{s < \beta/r} s^{-1/2+\varepsilon} \ll \sum_{r|e(T)} r^\varepsilon (\beta/r)^{1/2+\varepsilon} \\ & = \beta^{1/2+\varepsilon} \sum_{r|e(T)} r^{-1/2} = O(e(T)^\varepsilon \beta^{1/2+\varepsilon}), \end{aligned}$$

we have

$$\Sigma_2 \ll |T|^{k/2-1/2+\varepsilon/2} \sum_{c_1} c_1^{-1-\varepsilon} e(T)^\varepsilon (\sqrt{|T|}/c_1)^{1/2+\varepsilon}$$

$$= |T|^{k/2 - 1/4 + \varepsilon} e(T)^\varepsilon \sum_{c_1} c_1^{-2-3\varepsilon} = O(|T|^{k/2 - 1/4 + 2\varepsilon}).$$

It is easy to see that $\sum h(M, T)$ is absolutely convergent with minor changes. Thus we have completed a proof of our theorem.

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