SHEA Document

Policy statement from the Society for Healthcare Epidemiology of America (SHEA): Only medical contraindications should be accepted as a reason for not receiving all routine immunizations as recommended by the Centers for Disease Control and Prevention

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Summary

SHEA endorses adhering to the recommendations by the CDC and ACIP for immunizations of all children and adults. All persons providing clinical care should be familiar with these recommendations and should routinely assess immunization compliance of their patients and strongly recommend all routine immunizations to patients. All healthcare personnel (HCP) should be immunized against vaccine-preventable diseases as recommended by the CDC/ACIP (unless immunity is demonstrated by another recommended method). SHEA endorses the policy that immunization should be a condition of employment or functioning (students, contract workers, volunteers, etc) at a healthcare facility. Only recognized medical contraindications should be accepted for not receiving recommended immunizations.

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Immunization against vaccine-preventable diseases as recommended by the US Centers for Disease Control and Prevention/Advisory Committee on Immunization Practices (CDC/ACIP) is essential to improving public health and reducing healthcare-associated spread of these infections. In particular, all healthcare personnel should be immune to vaccine-preventable diseases unless there is a medical contraindication to immunization.

Policy Statements

1. SHEA endorses adhering to all current child and adult CDC/ACIP recommendations for immunizations of all children and adults. SHEA strongly encourages all HCP to recommend all routine immunizations to patients per the most recent CDC-recommended immunization schedules.

Rationale: Vaccines are a proven effective and safe public health measure that prevents many infectious diseases affecting children, adolescents, and adults. Vaccines have eradicated (eg, smallpox and polio types 2 and 3) or eliminated (eg, measles and rubella) many infectious diseases that were once common in the United States. Vaccines have dramatically reduced the incidence of hospitalization and death for many infectious agents still circulating in the United States (eg, invasive *Haemophilus influenzae* type B, influenza, varicella, pertussis, hepatitis A, and hepatitis B). Other benefits of vaccines include prevention of colonization (conjugate vaccines in childhood; meningococcal A, C, W, Y; *H. influenzae*, pneumococcal); postexposure prophylaxis (eg, rabies, measles, varicella, hepatitis A, hepatitis B), outbreak control (eg, measles, mumps, invasive meningococcal infections), amelioration of illness (eg, influenza, varicella), prevention of disease
Table 1. Impact of Immunization on Selected Vaccine-Preventable Diseases, United States

<table>
<thead>
<tr>
<th>Disease</th>
<th>Maximum No. of Cases (Year)</th>
<th>No. of Cases, 2018</th>
<th>% Reduction</th>
</tr>
</thead>
<tbody>
<tr>
<td>Diphtheria</td>
<td>206,939 (1921)</td>
<td>1</td>
<td>99.99</td>
</tr>
<tr>
<td>Invasive <em>Haemophilus influenzae</em> type B (&lt;5 yo)</td>
<td>20,000 (1984)</td>
<td>38</td>
<td>99.81</td>
</tr>
<tr>
<td>Measles</td>
<td>894,135 (1941)</td>
<td>375</td>
<td>98.34</td>
</tr>
<tr>
<td>Mumps</td>
<td>152,209 (1968)</td>
<td>2,515</td>
<td>99.13</td>
</tr>
<tr>
<td>Meningococcal ACWY</td>
<td>330 (2008)</td>
<td>100</td>
<td>69.70</td>
</tr>
<tr>
<td>Pertussis</td>
<td>265,269 (1934)</td>
<td>15,609</td>
<td>94.12</td>
</tr>
<tr>
<td>Polio</td>
<td>21,269 (1952)</td>
<td>0</td>
<td>100.00</td>
</tr>
<tr>
<td>Rubella (congenital)</td>
<td>20,000 (1964-65)</td>
<td>0</td>
<td>100.00</td>
</tr>
<tr>
<td>Tetanus</td>
<td>601 (1948)</td>
<td>23</td>
<td>96.17</td>
</tr>
</tbody>
</table>

*Derived from data reported by the Centers for Disease Control and Prevention.

reactivation (eg, zoster), reduction in antibiotic resistance (eg, conjugate pneumococcal vaccine in children), indirect protection (herd immunity, community protection, eg, measles, mumps, varicella, HPV, hepatitis A, polio), and decreased use of medical resources allowing funds to be redirected to other health promotion programs.

Immunization is considered by the CDC as one of the “10 greatest public health achievements in the United States, 1900–1999.”

The historical disease and death burden for vaccine-preventable diseases in the United States was substantial, and vaccines have dramatically reduced the prevalence of infection (Table 1). In 2011, the CDC also listed immunization as one of the “10 great public health achievements in the United States, 2001–2010.” They noted that during the first decade of the 21st century in the United States, there were substantial declines in cases, hospitalizations, deaths, and healthcare costs associated with vaccine-preventable diseases. Examples of vaccine impact included the prevention of an estimated 211,000 serious pneumococcal infections and 13,000 deaths (2000–2015, and many millions more lives were protected from illness. Examples of vaccine impact included the prevention of an estimated 211,000 serious pneumococcal infections and 13,000 deaths (2000–2015, and many millions more lives were protected from illness.

The success of vaccines in improving the health of the public is worldwide. The World Health Organization (WHO) estimates that “vaccines prevented at least 10 million deaths between 2010 and 2015, and many millions more lives were protected from illness.” Furthermore, the WHO estimates that immunization currently prevents 2–3 million deaths every year and that an additional 1.5 million deaths could be avoided if global vaccine coverage improves.

Largely due to decreasing vaccine coverage, in 2019 the United States has experienced the greatest number of measles cases (preliminary count, 1,282 persons) since 1992. Most cases occur in unvaccinated persons. Worldwide measles vaccination resulted in a 73% decrease in measles deaths between 2000 and 2018, but low coverage led to large outbreaks in multiple regions (eg, Democratic Republic of Congo, Samoa, Ukraine). Cases have swelled to a 10-year peak, causing many deaths, thus posing a risk of continuing importation of measles into the United States. Despite a dramatic decrease in mumps in the United States following the introduction of the vaccine in 1967, since 2006 there have been several increases in cases and outbreaks approximately every 5 years. These also have been triggered by importations from abroad.

As noted by the CDC and vaccine experts, the benefits of immunization greatly outweigh the risks. Although vaccines may be associated with local reactions such as pain and erythema at the injection site, serious adverse events are exceedingly rare. Worldwide vaccine misinformation stems, in part, from a notorious paper that erroneously linked autism to the mumps, measles, and rubella (MMR) vaccine that was retracted for fraud. Multiple studies have found no causal link between immunization and autism. A recent study of 657,461 Danish children confirmed that MMR immunization does not confer an increased risk of autism. Another myth arose over concern that thimerosal, a preservative containing ethyl mercury that was included in vaccines in the past, could cause autism or lead to neurodevelopmental problems in infants. However, multiple studies have demonstrated no link between thimerosal exposure and adverse outcomes such as autism or abnormal neuropsychological functioning. Nevertheless, to prevent these unfounded concerns from discouraging vaccination, thimerosal has been removed from all routine childhood vaccines except multidose vials of influenza vaccine.

Unfortunately, concerns regarding vaccine safety have led, in part, to decreasing vaccine coverage in many locations in the United States and the world. The World Health Organization, listed “vaccine hesitancy” as one of the “10 threats to global health in 2019,” stating that vaccine hesitancy (the reluctance or refusal to vaccinate despite the availability of vaccines) threatens to reverse progress made in tackling vaccine-preventable diseases. Therefore, HCP should be aware of demonstrated vaccine adverse events, vaccine contraindications and precautions, and vaccine myths, and they should effectively communicate the fallacies of such myths.

Overall, HCP remain the most trusted advisors and influencers of immunization decisions. Therefore, HCP should routinely assess their patient’s immunization status and strongly recommend that their patients receive all vaccines recommended by the CDC/ACIP. All HCP should be familiar with indications for immunization and evidence-based interventions that improve vaccine coverage (eg, standing orders, vaccine reminders/recall for vaccination, and provider reminders). Furthermore, HCP should be aware of common concerns regarding immunization (eg, vaccine will cause disease due to antigen in the vaccine, lack of effectiveness, and safety), and they should be prepared to allay these concerns. Videos such as those available from the American Academy of Pediatrics (https://www.aap.org/en-us/advocacy-and-policy/aap-health-initiatives/immunizations/Pages/Communication-Aids).
Immunization of all children and adults reduces the measles, mumps, rubella, varicella). Persons who are asymptomatic prior to the development of symptoms (eg, influenza, varicella, rubella, pertussis, influenza) or by the airborne route (eg, varicella, influenza, hepatitis B). Many vaccine-preventable diseases are transmitted by the droplet route (eg, mumps, influenza, hepatitis B). These outbreaks have led to substantial morbidity and mortality among patients and HCP. High vaccine coverage of the public as a part of a comprehensive infection prevention program will minimize the introduction of vaccine-preventable diseases into healthcare facilities. Importantly, immunization is the preferred method of control for the following reasons: (1) Persons with many vaccine-preventable diseases may be infectious prior to the development of symptoms (eg, influenza, measles, mumps, rubella, varicella). (2) Persons who are asymptomatic may transmit several vaccine-preventable diseases (eg, influenza, hepatitis B). (3) Many vaccine-preventable diseases may lead to substantial numbers of exposures in healthcare facilities because they are transmitted by the droplet route (eg, mumps, rubella, pertussis, influenza) or by the airborne route (eg, varicella, measles). (4) Patients with vaccine-preventable diseases often seek care in healthcare facilities, thereby exposing other patients who cannot be immunized and/or are at high risk for morbidity and mortality (eg, immunocompromised, pregnant).

SHEA strongly endorses robustly enforced immunization requirements for all attendees and staff of childcare and educational facilities, such as primary and secondary schools, and colleges/universities. Only recognized medical contraindications documented by a clinician should be accepted as a reason for not receiving recommended immunizations.

Rationale: Immunization of all children and adults reduces the vaccinated person’s risk of morbidity and mortality from vaccine preventable diseases. In group settings such as day care and schools, it also reduces the risk of disease transmission to persons who are not immune because of a contraindication to immunization (eg, live virus vaccine for immunocompromised persons) or vaccine failure, and it is especially important for persons who are at higher risk for morbidity or mortality from a vaccine-preventable disease. Schools, especially high schools and colleges, are often the setting for outbreaks of vaccine-preventable diseases such as mumps, measles, influenza, and invasive meningococcal infections.

Because SHEA is also concerned with protecting HCP and patients from vaccine-preventable diseases, a benefit of improved immunization of the public would be decreased risk to HCP and patients. Both patients and visitors have been demonstrated to introduce vaccine-preventable diseases into hospitals and healthcare facilities leading to outbreaks among patients and HCP. These outbreaks have led to substantial morbidity and occasional mortality among patients and HCP. High vaccine coverage of the public as a part of a comprehensive infection prevention program will minimize the introduction of vaccine-preventable diseases into healthcare facilities. Importantly, immunization is the preferred method of control for the following reasons: (1) Persons with many vaccine-preventable diseases may be infectious prior to the development of symptoms (eg, influenza, measles, mumps, rubella, varicella). (2) Persons who are asymptomatic may transmit several vaccine-preventable diseases (eg, influenza, hepatitis B). (3) Many vaccine-preventable diseases may lead to substantial numbers of exposures in healthcare facilities because they are transmitted by the droplet route (eg, mumps, rubella, pertussis, influenza) or by the airborne route (eg, varicella, measles). (4) Patients with vaccine-preventable diseases often seek care in healthcare facilities, thereby exposing other patients who cannot be immunized and/or are at high risk for morbidity and mortality (eg, immunocompromised, pregnant).

SHEA endorses the policy statements of the following professional organizations: American Academy of Family Physicians (AAFP), American Academy of Pediatrics (AAP), American Medical Association (AMA), American College of Obstetricians and Gynecologists (ACOG), American College of Physicians (ACP), American Nurses Association (ANA), Infectious Diseases Society of America (IDSA), Pediatric Infections Diseases Society (PIDX) and the National Association of Pediatric Nurse Practitioners (NAPNAP).

3. All HCP should be immune to vaccine preventable diseases as recommended by the CDC/ACIP. SHEA endorses that immunity should be a condition of employment or functioning in their role as HCP (eg, students, contract workers, volunteers, etc) in all healthcare settings. Only recognized medical contraindications should be accepted for not receiving recommended immunizations.

Rationale: HCP have introduced or propagated infections leading to outbreaks in healthcare facilities. This has resulted in substantial morbidity and even mortality among patients and HCP. Thus, all HCP should have appropriately documented immunizations (unless immunity has been demonstrated by another CDC/ACIP recommended method) to measles, mumps, rubella, influenza, and varicella unless there is a medical contraindication to immunization (Table 2). HCP who may have exposure to blood or other potentially infectious body fluids should be immunized against hepatitis B unless there is a medical contraindication to immunization as HCP are at risk for the acquisition of hepatitis B via percutaneous or mucous membrane exposures to blood or other potentially infectious body fluids. Importantly, >40 reports of HCP-to-patient transmission of hepatitis B have been reported. HCP who opt out of hepatitis B immunization must have a signed declination on file per the US Occupational and Health Administration (https://www.osha.gov/SLTC/etools/hospital/hazards/bbp/declination.html). Microbiologists working a healthcare facility who are routinely exposed to isolates of Neisseria meningitidis should be immune to Neisseria meningitidis types A, C, W, Y, and B. Exclusion of ill HCP, while fundamentally important, is not an adequate practice alone because exclusion will not protect patients from transmission of vaccine-preventable diseases while HCP are in the incubating phase of disease and during asymptomatic infection.

### Table 2. Proof of Immunity to Vaccine-Preventable Diseases Recommended for Healthcare Personnel by the Centers for Disease Control and Epidemiology

<table>
<thead>
<tr>
<th>Vaccine</th>
<th>Birth Before 1957</th>
<th>Physician Diagnosis</th>
<th>Positive Serology</th>
<th>Self-Report</th>
<th>Documented Vaccination</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mumps</td>
<td>Yes&lt;sup&gt;a&lt;/sup&gt;</td>
<td>Yes&lt;sup&gt;b&lt;/sup&gt;</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Measles</td>
<td>Yes&lt;sup&gt;a&lt;/sup&gt;</td>
<td>Yes&lt;sup&gt;b&lt;/sup&gt;</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Rubella</td>
<td>Yes&lt;sup&gt;a&lt;/sup&gt;</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Varicella</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Hepatitis B&lt;sup&gt;c&lt;/sup&gt;</td>
<td>No</td>
<td>—</td>
<td>≥10 MIU/mL&lt;sup&gt;d&lt;/sup&gt;</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Influenza</td>
<td>No</td>
<td>No</td>
<td>No</td>
<td>No</td>
<td>Yes</td>
</tr>
</tbody>
</table>

<sup>a</sup>Consider 2-dose series at least 4 weeks apart for measles or mumps or 1 dose for rubella.
<sup>b</sup>Requires laboratory confirmation of infection.
<sup>c</sup>Must be offered to healthcare personnel with potential exposure to blood or potentially contaminated body fluids.
<sup>d</sup>Titer should be obtained only after an appropriate series of hepatitis B immunizations.
SHEA endorses the broad definition of HCP used by CDC which states “HCP refers to all paid and unpaid persons serving in healthcare settings who have the potential for direct or indirect exposure to patients or infectious materials, including body substances (eg, blood, tissue, and specific body fluids); contaminated medical supplies, devices, and equipment; contaminated environmental surfaces; or contaminated air.” SHEA also endorses the definition of healthcare facilities used by CDC which “refers to places where healthcare is delivered and includes, but is not limited to, acute-care facilities, long-term acute-care facilities, inpatient rehabilitation facilities, nursing homes and assisted living facilities, home healthcare, vehicles where healthcare is delivered (eg, mobile clinics), and all types of outpatient facilities, such as dialysis centers, physician offices, and others.”

The principle of “first do no harm” can be found in the writings of Hippocrates and the Medical School of Cos. HCP have a duty to protect their patients from acquisition of preventable infections that can be transmitted by HCP. Therefore, receipt of recommended vaccines by HCP should be a condition of employment or education in a healthcare facility unless the provider has a medical contraindication. Only medical contraindications recognized by the CDC/ACIP or by the US Food and Drug Administration (as listed in the package insert for the particular vaccine) should be accepted by occupational health services.

The SHEA has previously stated, “For the safety of both patients and HCP, SHEA endorses a policy in which annual influenza vaccination is a condition of both initial and continued HCP employment and/or professional privileges.” In 2013, SHEA also partnered with IDSA and PIDS to extend that endorsement to all vaccines recommended by the CDC/ACIP for HCP. In 2018, the SHEA endorsed the “Call To Action: Improving Healthcare Personnel Immunization Rates” by the National Foundation for Infectious Diseases, a position that the SHEA confirms with the current policy statement. Requiring appropriate immunizations as a condition of employment has been demonstrated to be the most effective strategy for maximizing immunization compliance among HCP.

Acknowledgments. The following SHEA members drafted this statement: David J. Weber (Co-Chair), Thomas R. Talbot (Co-Chair), Cristopher Baliga, Marcie Drees, Robert Duncan, Kelly Echevarria, Alan Gross, Emily Heil, Trini Mathew, William Schaffner, Eddie Stenehjem, Patricia Stinchfield, Jamie Wagner, Allison Weinman.

Endorsements. The following organizations endorse this statement: APIC – Association for Professionals in Infection Control and Epidemiology; HIV Medicine Association; Infectious Diseases Society of America; National Foundation for Infectious Diseases; and the Society of Infectious Diseases Pharmacists.

References


24. Infectious Disease Society of America (IDSA). Infectious Diseases Society of America’s policy on state immunization mandates. American Medical


