

Original Article

Cite this article: Pereira VS, Joca SRL, Harvey BH, Elfving B, and Wegener G. (2019) Esketamine and rapastinel, but not imipramine, have antidepressant-like effect in a treatment-resistant animal model of depression. *Acta Neuropsychiatrica* **31**: 258–265. doi: [10.1017/neu.2019.25](https://doi.org/10.1017/neu.2019.25)

Received: 19 March 2019
Revised: 20 May 2019
Accepted: 27 May 2019
First published online: 24 June 2019






Key words:

adrenocorticotrophic hormone; depression; esketamine; GLYX-13 peptide; rat

Author for correspondence:

Gregers Wegener, Email: wegener@clin.au.dk

Esketamine and rapastinel, but not imipramine, have antidepressant-like effect in a treatment-resistant animal model of depression

Vitor Silva Pereira¹ , Sâmia R.L. Joca^{1,2,3} , Brian H. Harvey^{4,5} , Betina Elfving¹ 
and Gregers Wegener¹ 

¹Translational Neuropsychiatry Unit, Department of Clinical Medicine, Aarhus University, DK-8240 Risskov, Denmark; ²Department of Physics and Chemistry, School of Pharmaceutical Sciences of Ribeirão Preto, Campus USP-Ribeirão Preto, Ribeirão Preto, SP 14040-904, Brazil; ³Aarhus Institute of Advanced Studies (AIAS), Aarhus University, DK-8000 Aarhus C, Denmark; ⁴Centre for Pharmaceutical Excellence, School of Pharmacy, North-West University, Potchefstroom, 2520, South Africa and ⁵Department of Pharmacology, North-West University, Potchefstroom, 2520, South Africa

Abstract

Objectives: Treatment-resistance to antidepressants is a major problem in the pharmacotherapy of major depressive disorder (MDD). Unfortunately, only a few animal models are suitable for studying treatment-resistant depression, among them repeated treatment with Adrenocorticotrophic hormone (ACTH) appears to be useful to mimic treatment-resistance to monoaminergic antidepressants. Therefore, the present work aimed to investigate the effectiveness of s-ketamine and rapastinel (formerly GLYX13), modulators of the glutamatergic *N*-methyl-D-aspartate receptor in ACTH-treated animals. **Methods:** Naïve male Sprague Dawley rats were subjected to repeated subcutaneous injections with ACTH (100 µg/0.1 ml/rat/day) for 14 days and drug treatment on the test day (open field and forced swim test) with imipramine, s-ketamine or rapastinel. In addition, assessment of plasma levels of corticosterone and ACTH was carried out. **Results:** We found that rats repeatedly treated with ACTH for 14 days responded to single injections with s-ketamine (15 mg/kg) and rapastinel (10 mg/kg), but failed to respond to imipramine (15 mg/kg). In the plasma, the levels of corticosterone and ACTH were increased after 14 days of daily treatment with ACTH, independently of the treatment. **Conclusion:** The present data confirm development of a resistance to treatment following chronic ACTH administration. In addition, the study confirms the possible effectiveness of s-ketamine and rapastinel as treatment options in treatment-resistant depression. Moreover, it highlights the importance of the glutamatergic system in the neurobiology of depression. Further studies are necessary to evaluate how repeated treatment with ACTH leads to a depressed condition resistant to monoaminergic antidepressants.

Significant outcomes

- Repeated subcutaneous treatment with ACTH (100 µg/0.1 ml/rat/day) for 14 days display a treatment-resistant depressive-like phenotype
- The behavioural phenotype was reversed following administration with s-ketamine (15 mg/kg) and rapastinel (10 mg/kg), but was unaffected following treatment with imipramine (15 mg/kg)
- In the plasma, the levels of corticosterone and ACTH were increased after 14 days of daily treatment with ACTH, independently of the treatment.

Limitations

- The study was conducted only in male Wistar rats with only single doses of the drugs used, and no measurement of rapastinel following intraperitoneal administration was carried out.
- ACTH and corticosterone was not measured following s-ketamine treatment.
- The resistance to treatment was seen only to Imipramine – and no other monoaminergic antidepressants were investigated.

Introduction

Depression is a severe and debilitating disease that affects millions of people around the world and it is considered the leading cause of disability worldwide (WHO, 2018). Currently available antidepressants mainly target brain monoaminergic neurotransmission, and they require

© Scandinavian College of Neuropsychopharmacology 2019. This is an Open Access article, distributed under the terms of the Creative Commons Attribution licence (<http://creativecommons.org/licenses/by/4.0/>), which permits unrestricted re-use, distribution, and reproduction in any medium, provided the original work is properly cited.



repeated treatment for at least 2–4 weeks to induce effects that are clinically significant (Hindmarch, 2002; Browne & Lucki, 2013). Despite that many treatment options are available, 15–30% of depressed individuals do not respond to pharmacological treatment even after months of continuous drug administration, which makes resistance to treatment one of the main problems associated with major depression (Kessler *et al.*, 2003; Trevino *et al.*, 2014; Zorumski *et al.*, 2015). These aspects increase the individual's suffering before efficacy is evident, and may also contribute to reduced patient adherence to the treatment and impair achievement of optimal results regarding remission rates (Hindmarch, 2002; Browne & Lucki, 2013). Therefore, the need for new and better drugs for the treatment of depression is urgent. In this context, animal models that help to identify drugs that can potentially overcome resistance to treatment and induce a rapid antidepressant response are of special importance.

Ketamine, an *N*-methyl-*D*-aspartate (NMDA) receptor antagonist originally developed as an anaesthetic drug, has revealed potential avenues for the development of new and better antidepressant drugs (Machado-Vieira *et al.*, 2009; Browne & Lucki, 2013; Sanacora & Schatzberg, 2015). Several studies have shown that a single injection of a low dose of ketamine induces fast and long-lasting antidepressant effects (Berman *et al.*, 2000; Zarate *et al.*, 2006; Browne & Lucki, 2013; Ballard *et al.*, 2014), with remarkable effects in treatment-resistant patients (Zarate *et al.*, 2006, 2013). Recent work with intranasal administration of *s*-ketamine (Canuso *et al.*, 2018; Daly *et al.*, 2018) has paved the way for its registration by the Federal Drug Administration (FDA) for use as a therapeutic option in treatment-resistant depression (TRD) (FDA, 2019). Importantly, the clinical data are supported by data from animal studies demonstrating ketamine and other NMDA modulators to show robust antidepressant-like effects (Li *et al.*, 2010, 2011; Autry *et al.*, 2011; Zanos *et al.*, 2016). The mechanism underlying ketamine's action has been the focus of intense research and prompted the development of more selective drugs, with fewer pharmacological actions than ketamine. The most prominent of these drugs is rapastinel, formerly GLYX-13, a partial agonist of the glycine site at the NMDA receptor (Burgdorf *et al.*, 2011, 2013, 2015a, b). Through this mechanism, rapastinel is proposed to act by reducing the activation of NMDA receptors and it was shown that it induces several beneficial behavioural effects similar to ketamine, but without the psychostimulant effects (Burgdorf *et al.*, 2011, 2013, 2015a, b). Rapastinel is already in advanced clinical trials (NCT03560518, 2019; Ragguett *et al.*, 2019).

One of the main problems in the search for better antidepressant drugs, mainly for treatment-resistant cases, is the lack of appropriate animal models for such a condition (Samuels *et al.*, 2011; Willner & Belzung, 2015). Important in developing such a model is its translational validity for TRD. Willner and Belzung (Willner and Belzung 2015) emphasise models that incorporate predisposing factors leading to heightened stress responsiveness, and Brand and Harvey (2017a, b) considered the construct of high comorbidity of TRD in patients suffering from posttraumatic stress syndrome (PTSD). It is well known that dysregulation of the HPA axis in depression is considered a core feature in depression (McAllister-Williams *et al.*, 1998; Cowen, 2010), and early studies from the 1950s show higher peripheral concentrations of cortisol in emerging depression, with a return to normal in remitted patients (Quarton *et al.*, 1955). The volume of pituitary and adrenal glands have been reported to be increased in patients with depression (Kessing *et al.*, 2011) and evidence of an impaired

ACTH response to Corticotrophin-releasing hormone (CRH), and of an elevated cortisol response to ACTH in depression has been observed (Kellner *et al.*, 1983). Importantly, HPA-axis abnormalities may not only be associated with the pathogenesis of depression but also with poor outcome in patients with depression, since dysregulation of the HPA axis can be linked to with an impaired response to antidepressants (Zobel *et al.*, 2000; Young *et al.*, 2004), and relapse following successful treatment (Appelhof *et al.*, 2006; Aubry *et al.*, 2007). These findings highlight the role of ACTH as an important hormone in the hypothalamic–pituitary–adrenal (HPA) stress axis, which plays a crucial role in the neurobiology of depression (Sorrells *et al.*, 2009). In line with these studies, recent evidence suggests that chronic treatment with ACTH may abrogate the antidepressant effect of monoaminergic drugs in different animal behavioural tests, such as the forced swim test (FST) (Kitamura *et al.*, 2002, 2008; Walker *et al.*, 2013) and the novelty suppressed feeding test (Antunes *et al.*, 2015), thus being proposed as a model to study TRD. However, in order to be considered an appropriate TRD model, in addition to resistance to chronic treatment with conventional antidepressants, the model should positively identify novel antidepressants that are effective in TRD, such as ketamine and rapastinel.

Considering the aforementioned data, the present work aimed to (i) confirm that 14 days of ACTH treatment induces resistance to a conventional monoaminergic antidepressant, (ii) examine the effectiveness of *s*-ketamine and rapastinel in rats repeatedly treated with ACTH and exposed to the FST, (iii) estimate the plasma levels of corticosterone (CORT) and ACTH in order to validate the causal role of a disordered HPA axis in the model. Through such an approach, this study provides new information on the effects of *s*-ketamine and rapastinel in antidepressant treatment-resistance in animal models, and provides further predictive confirmation for chronic ACTH exposure as a model of TRD.

Material and methods

Animals

Male Sprague Dawley rats weighing 270–300 g (8 weeks, Taconic A/S, Copenhagen, Denmark) at the beginning of each experiment were housed in pairs in cages (Cage 1291H Eurostandard Type III H, 425 mm × 266 mm × 185 mm, Techniplast, Italy) at 20 ± 2 °C and 60 ± 5% relative humidity on a 12-h light/dark cycle (lights on at 06:00 a.m.) with free access to food and tap water. All animals were kept in the same room with the conditions for at least 1 week before the start of each experiment. Each cage had bedding material made of wooden chips along with access to a tunnel shelter, nesting material, and a wooden stick. The animal colony and all experimental facilities were protected from outside noise. The behavioural procedures were carried out in specially equipped rooms in the animal facility between 08:00 a.m. and 12:00 p.m. All animals were randomly assigned to the test groups and all experimental analysis were performed by an evaluator blinded to the groups. Procedures were conducted in conformity with ARRIVE guidelines (Kilkenny *et al.*, 2010) for the care and use of laboratory animals, which comply with international laws and politics. Additional information about the experimental procedures accordingly to the ARRIVE guidelines is given in Supplementary Material. All animal procedures were carried out under the approval of the Danish National Committee for Ethics in Animal Experimentation (Protocol no. 2012-15-2934-00254). All efforts were made to minimise animal suffering.

Drugs

The following drugs were used: s-ketamine (Pfizer), NMDA receptor antagonist; raspastinel (WuXi AppTec, China), partial agonist of glycine site on the NMDA receptor; imipramine (Sigma-Aldrich); Adrenocorticotrophic Hormone 1–24 (ACTH, China Peptides, China). All drugs were diluted in sterile saline immediately before use.

Animal model of treatment-resistance to antidepressant treatment

The protocol to induce an antidepressant treatment-resistance consists of a single daily subcutaneous injection of adrenocorticotrophic hormone 1–24 (ACTH) (100 µg/0.1 ml/rat) for 14 days. The ACTH injections were performed between 09:00 and 11:00 am. This protocol has been used to successfully induce resistance to monoaminergic antidepressants in rodents (Kitamura et al., 2002, 2008; Walker et al., 2013; Antunes et al., 2015).

Forced swim test

The FST was developed accordingly to previous works (Porsolt et al., 1977). First, animals were placed individually to swim in acrylic cylinders (24 cm diameter by 60 cm height containing 40 cm of water at 24 ± 1 °C) for 15 min (pre-test) (Fischer et al., 2015; Pereira et al., 2015). After 24 h, the animals were exposed to a 5-min session in the open-field test (OFT) immediately followed by a 5-min FST session. The water of the cylinders was changed after each trial to avoid the influence of alarm substances (Abel & Bilitzke, 1990). The test session was recorded digitally, and the immobility time was measured afterwards, thus allowing detailed blinded analysis of the behaviour by an independent person.

Open-field test

The OFT was developed in a squared arena (100 cm × 100 cm × 50 cm, 10 lux) as previously described (Liebenberg et al., 2015; du Jardin et al., 2016a). All animals received vehicle or drug injection and after 50 min they were placed in the centre of the arena. All animals were recorded digitally, and the travelled distance was measured during the 5 min of the test through software tracking (Noldus Ethovision XT version 14, Waacheningen, The Netherlands).

Measurement of CORT and ACTH in plasma by Luminex

Right after the test session in the FST, animals were euthanised without anaesthesia, and blood collected from the neck wound into tubes containing Ethylenediaminetetraacetic acid (EDTA). The tubes were manually mixed by slightly shaking them four times. After mixing, the samples were centrifuged at $1500 \times g$ at 4 °C for 10 min. Thus, the plasma samples were collected and frozen at –80 °C until use. CORT and ACTH were assayed with the MILLIPLEX® MAP kit Rat Stress Hormone Magnetic Bead Panel (RSHMAG-69K) on a Luminex 200 instrument (BIORAD). The determination was processed according to the manufacturer's specifications (<http://www.millipore.com>). The standard curve was run in duplicate and the samples in single. Two internal quality controls were included on the plate. They were all within the expected ranges for the given analyte.

Statistical analysis

All statistical analyses were performed with GraphPad Prism version 5.01 for Windows (GraphPad software, San Diego, CA, USA). The results of Experiments 1 and 3 were analysed as group means by two-way ANOVA, followed by the Bonferroni post-hoc test, with comparisons to the vehicle group, where appropriate. Experiment 2 was analysed with a one-way ANOVA, followed by Dunnett's post-hoc test. The results of Experiment 4 regarding CORT measurements were analysed as group means by two-way ANOVA. Then the data were pooled and analysed as group means by Mann–Whitney test. Bartlett's test for equal variances was applied to verify normality and homogeneity of variances. The data were analysed as nonparametric measurements when significantly different variances were found. Mann–Whitney tests were used for nonparametric analyses. In order to detect outliers, Grubb's test was carried out. Differences with $p < 0.05$ were considered significant.

Experimental design

Experiment 1: *Effects of s-ketamine and imipramine in animals exposed to chronic ACTH and tested in the open field and forced swim:*

Experimentally naïve animals received a single daily injection of ACTH (100 µg/0.1 ml/rat) or vehicle for 14 days. The last ACTH injection was given right after the pre-test session of the FST on the 14th day of treatment. On the next day, the animals received an intraperitoneal (i.p.) injection of vehicle, s-ketamine or imipramine and after 50 min they were exposed to the OFT. Right after the OFT, the animals were exposed to the test session of the FST. The group treated with imipramine received three injections at 0, 5 and 23 h after the pre-test session of FST. The dose chosen for s-ketamine (15 mg/kg) and imipramine (15 mg/kg) were based on previously published papers (Joca & Guimaraes, 2006; Li et al., 2010; Sales et al., 2011; Liebenberg et al., 2015)

Experiment 2: *Effects of different doses of rapastinel in rats exposed to the OFT and forced swim:*

In order to obtain possible effective doses of rapastinel, intraperitoneal injection of s-ketamine (15 mg/kg) and rapastinel (3, 10 and 30 mg/kg) was carried out. Fifty minutes following the injections the animals were exposed to the OFT. Right after the OFT, the animals were exposed to the test session of the FST.

Experiment 3: *Effects of rapastinel in animals exposed to chronic ACTH and tested in the open field and forced swim:*

Experimentally naïve animals received a single daily injection of ACTH (100 µg/0.1 ml/rat) or vehicle for 14 days. The last ACTH injection was given right after the pre-test session of the FST on the 14th day of treatment. On the next day, the animals received an injection of vehicle, rapastinel (10 mg/kg), or imipramine (15 mg/kg) and after 50 min they were exposed to the OFT. Right after the OFT, the animals were exposed to the test session of the FST. The group treated with imipramine received three i.p. injections at 0, 5 and 23 h after the pre-test session of FST. Rapastinel's dose was based on the literature (Burgdorf et al., 2013).

Experiment 4: *Effects of ACTH repeated treatment on the levels of CORT and ACTH*

The animals from Experiment 2 were euthanised right after the exposure to the FST and plasma was collected as described above. The plasma samples were used for analysis of the levels of CORT, and ACTH by a Luminex 200 instrument.

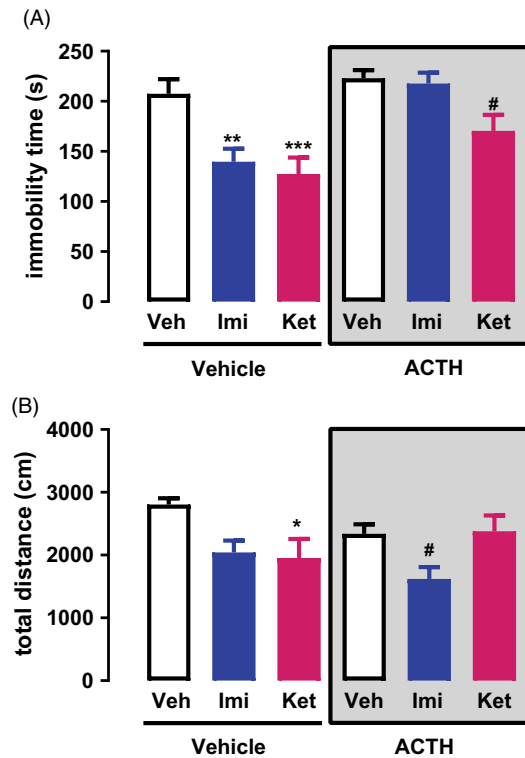


Fig. 1. (A) Effects of s-ketamine or imipramine in rats repeatedly treated with vehicle or ACTH for 14 days and exposed to the FST; $n = 12-14$. (B) Effects of s-ketamine or imipramine in rats repeatedly treated with vehicle or ACTH for 14 days and exposed to the open-field test; $n = 11-14$. * $p < 0.05$, ** $p < 0.01$ and *** $p < 0.001$ compared to the vehicle + vehicle group; # $p < 0.05$ compared to the ACTH + vehicle group; Bonferroni posttest. Data represent Mean \pm SEM.

Results

Experiment 1: Effects of s-ketamine and imipramine in animals exposed to chronic ACTH and tested on the open field and forced swim:

A two-way ANOVA analysis of the FST data revealed no significant interaction between ACTH/no-ACTH and treatment [$F(2,71) = 2.702$, $p = 0.0739$], but a significant effect of ACTH/no-ACTH [$F(1,71) = 16.93$, $p < 0.0001$] or treatment [$F(2,71) = 12.22$, $p < 0.0001$] alone (Fig. 1A). Post-hoc tests using the Bonferroni correction showed that in the non-ACTH, treatment with imipramine (0, 5 and 23 h after pre-test, $p = 0.0013$) or s-ketamine (1 h before test, $p = 0.0002$) reduced the immobility time of the animals compared to vehicle (Fig. 1A). However, in the group of animals repeatedly treated with ACTH, only the animals receiving s-ketamine showed a reduction in the immobility time ($p = 0.0143$, Fig. 1A).

Similarly, a two-way ANOVA analysis of the Open-Field data revealed no significant interaction between ACTH/no-ACTH and treatment [$F(2,66) = 2.85$, $p = 0.0650$], no significant effect of ACTH/no-ACTH [$F(1,66) = 0.7714$, $p < 0.383$] but a significant effect of treatment [$F(2,66) = 5.715$, $p < 0.0051$] (Fig. 1B). Post-hoc tests using the Bonferroni correction showed that in the non-ACTH, treatment with imipramine (0, 5 and 23 h after pre-test, $p = 0.0428$) or s-ketamine (1 h before test, $p = 0.0185$) reduced the exploration time of the animals compared to vehicle (Fig. 1B). However, in the group of animals repeatedly treated with ACTH, only the animals receiving imipramine showed a reduction in the exploration time ($p = 0.0361$, Fig. 1B).

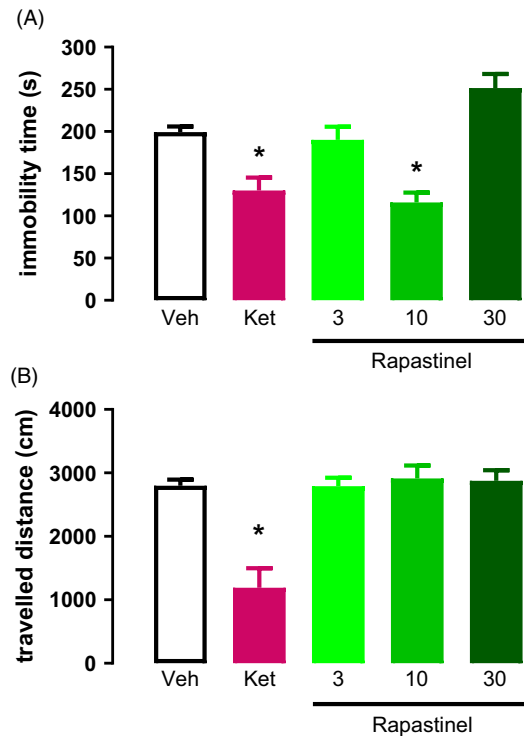


Fig. 2. (A) Effects of s-ketamine or rapastinel in rats exposed to the FST; $n = 5-7$. (B) Effects of s-ketamine or rapastinel in rats exposed to the OF; $n = 5-7$. * $p < 0.05$ compared to the vehicle group. Dunnett's post-hoc test. Data represent Mean \pm SEM.

Experiment 2: Effects of different doses of rapastinel in rats exposed to the OFT and FST:

Intraperitoneal injection of s-ketamine (15 mg/kg) and rapastinel (3, 10 and 30 mg/kg) was carried out. We found that rapastinel at 10 mg/kg and s-ketamine at 15 mg/kg reduced the immobility time of animals exposed to the test session of FST (Fig. 2A). However, rapastinel at 3 and 30 mg/kg did not induced any changes on the immobility time during the FST ($F(4,29) = 7.612$; $p < 0.05$; Dunnett).

The OFT results show that none of the treatments induced hyper locomotion effects when compared to controls ($F(4,29) = 12.67$; $p < 0.05$; Dunnett, Fig. 2B).

Experiment 3: Effects of rapastinel in animals exposed to chronic ACTH and tested on the open field and forced swim:

A two-way ANOVA analysis of the FST data revealed a significant interaction between ACTH/no-ACTH and treatment [$F(2,31) = 4.022$, $p = 0.0280$], a significant effect of treatment [$F(2,31) = 9.291$, $p < 0.0007$], but no effect of ACTH/no-ACTH [$F(1,31) = 0.7339$, $p < 0.3982$] alone (Fig. 3A). Post-hoc tests using the Bonferroni correction showed that in the non-ACTH, treatment with imipramine (0, 5 and 23 h after pre-test, $p = 0.0388$) or rapastinel (1 h before test, $p = 0.0366$) reduced the immobility time of the animals compared to vehicle (Fig. 3A). However, in the group of animals repeatedly treated with ACTH, only the animals receiving rapastinel showed a reduction in the immobility time ($p = 0.0026$, Fig. 3A).

Similar analysis of the open-field data revealed no significant interaction between ACTH/no-ACTH and treatment [$F(2,42) = 0.082$, $p = 0.9213$], no significant effect of ACTH/no-ACTH [$F(1,42) = 2.507$, $p < 0.1209$] but a significant effect of treatment [$F(2,42) = 9.665$, $p < 0.0004$] (Fig. 3B). Post-hoc tests

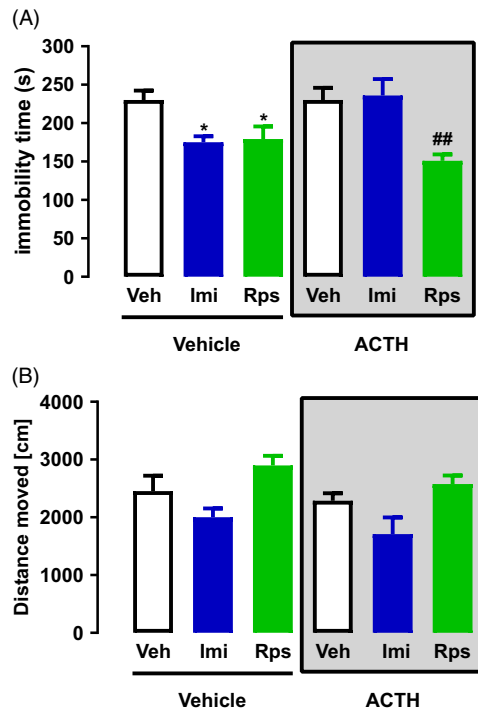


Fig. 3. (A) Effects of imipramine or rapastinel in rats repeatedly treated with vehicle or ACTH for 14 days and exposed to the FST; $n = 5-7$. (B) Effects of imipramine or rapastinel in rats repeatedly treated with vehicle or ACTH for 14 days and exposed to the open-field test; $n = 5-7$. * $p < 0.05$ compared to the vehicle + vehicle group; ** $p < 0.01$ compared to the ACTH + vehicle group; Bonferroni posttest. Data represent Mean \pm SEM.

using the Bonferroni correction did not show any difference in exploration time of the animals compared to vehicle (Fig. 3B).

Experiment 4: Effects of repeated ACTH treatment on plasma CORT and ACTH levels

A two-way ANOVA analyses of plasma levels of CORT revealed is an effect of the first treatment (vehicle or ACTH) while there is no effect of any of the drugs given as second treatment (vehicle, imipramine, rapastinel, Interaction: $F(2,42) = 0.3695$; First Treatment: $F(1,42) = 4.783^*$; Second Treatment: $F(2,42) = 1.106$; * $p < 0.05$ - Fig. 4A). Thus, all animals pre-treated with vehicle or ACTH were pooled appropriately to be analysed. The pooled analyses showed that animals pre-treated with ACTH presented higher levels of CORT when compared to vehicle ($U = 181.0^*$, * $p < 0.05$ - Mann-Whitney's test - Fig. 4B).

Regarding the analyses of the plasma levels of ACTH, several samples did not reach detectable levels, which led to undesirable variances between the numbers of samples in each group. Therefore, to run appropriate statistical analyses the pooled data based on the pre-treatment with vehicle or ACTH was analysed. The analyses show that ACTH levels were higher in the animals pre-treated with ACTH as compared to the group pre-treated with vehicle ($U = 78.50^*$, * $p < 0.05$ - Mann-Whitney's test - Fig. 4C).

Discussion

The main finding in the present paper is that repeated ACTH treatment resulted in animals mimicking a treatment-resistant state of depression, without response to imipramine, but with a clear effect of both *s*-ketamine and rapastinel. Both drugs have been shown to

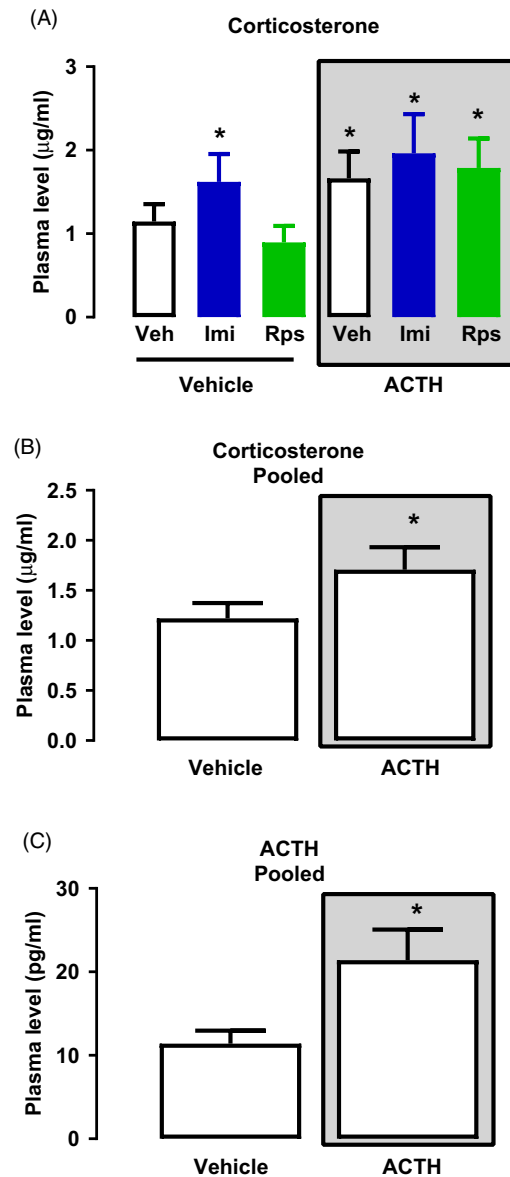


Fig. 4. (A) Effects of vehicle, imipramine or rapastinel on the plasma levels of CORT of rats repeatedly treated with vehicle or ACTH for 14 days and exposed to the FST. Two-way ANOVA, $p > 0.05$. (B) Effects of repeated treatment with vehicle or ACTH for 14 days on the plasma levels of CORT of rats exposed to the FST - Mann-Whitney, * $p < 0.05$. (C) Effects of repeated treatment with vehicle or ACTH for 14 days on the plasma levels of ACTH of rats exposed to the FST - Mann-Whitney, * $p < 0.05$. Data represent Mean \pm SEM. $n = 5-7$.

be clinically effective in human TRD, with intranasal *s*-ketamine recently approved for clinical use in MDD by FDA (FDA, 2019) and rapastinel in fast track FDA clinical phase-III trial (NCT03560518, 2019; Ragguett et al., 2019). Our results are in accordance with previous studies showing that repeated treatment with ACTH for 14 days induced a behavioural phenotype in the animals which is insensitive to treatment with conventional antidepressants and mood-stabilisers, such as desipramine, amitriptyline and lithium, when assessed in the FST or novelty suppressed feeding (Kitamura et al., 2002, 2008; Walker et al., 2013; Antunes et al., 2015).

Importantly, we demonstrate that the rapid-acting antidepressant drugs, *s*-ketamine and phase-III drug candidate rapastinel,

induced antidepressant-like effects in animals following repeated administration with ACTH. This finding is in accordance with the literature where it was demonstrated that ketamine induces antidepressant effects in individuals with TRD (Zarate *et al.*, 2006, 2013). The antidepressant-like effects of ketamine has been demonstrated in a variety of animal models, including stress based and genetic models of depression, for example the chronic unpredictable stress model (Li *et al.*, 2011; Jiang *et al.*, 2017; Zhu *et al.*, 2017), flinders sensitive line (FSL) rats (Ardalan *et al.*, 2016; Eskelund *et al.*, 2016; du Jardin *et al.*, 2016a, b, 2017; Ardalan *et al.*, 2017a, b; Silva Pereira *et al.*, 2017), and more recently in a novel gene \times environment (stress) model of TRD (Brand & Harvey, 2017b). In a previous study, it was attempted to examine the effect of 10 mg/kg racemic ketamine in a similar treatment-resistance condition (Walker *et al.*, 2015). Although that study reported positive effects of ketamine, it was only following a median split into responders and non-responders. Apart from the afore noted study by Brand and Harvey using a gene \times stress model of TRD (Brand & Harvey, 2017b), the present study represents the first demonstration of an antidepressant-like effect of s-ketamine in a condition mimicking treatment-resistance, induced by repeated exposure to ACTH. It is nonetheless of interest that Willner and Belzung (2015) have emphasised the importance of a heightened stress response when developing a TRD model. In fact, Brand and Harvey (2017a, b) combined a PTSD paradigm with a stress-sensitive genetic model of depression, the FSL rat, to successfully model TRD, while the ACTH model presented here is based on a construct of a disordered HPA axis. The latter is a deeply entrenched pathological feature of depression and TRD (Baumeister *et al.*, 2016).

There has been great interest in the development of antidepressants targeting the glutamatergic system in the last years. Rapastinel has also shown promising results in animal models (Burgdorf *et al.*, 2013; Moskal *et al.*, 2016). The present results add to the previous studies, demonstrating rapastinel is capable of inducing an antidepressant-like effect in a treatment-resistance condition in animals. This is particularly noteworthy as the route of administration used here (*viz.* i.p. injection) was different from the majority of previous studies that have used intravenous (*i.v.*) administration, although other studies have also successfully used intraperitoneal administration (Yang *et al.*, 2016). As we did not assess the bioavailability and brain content of rapastinel, the behavioural findings must be interpreted with care. Future studies should perform such bioavailability studies in both *i.p.* and *i.v.* treated cohorts for comparative reasons. In addition, we also note that, although not statistically confirmed, rapastinel in some, but not all, of our experiments may have a stimulatory effect on locomotion, which may positively bias an antidepressant-like effect in the FST. Given these limitations, it remains objectives of future work to confirm these findings by using more comprehensive bio-behavioural testing, as noted.






Importantly, the analyses of plasma samples confirm that ACTH treatment for 14 days increased the activity of the HPA axis of the animals, as reflected in the increased CORT and ACTH level. Neither imipramine nor rapastinel were able to reduce the plasma CORT and ACTH levels, unfortunately such levels were not performed in s-ketamine-treated animals. Whether this may be relevant for the observed phenotype remains to be clarified, but it is noteworthy that previous studies on alterations in CORT levels after repeated treatment of ACTH are contradictory. For instance, one study showed that CORT levels were unaltered after 14 days of daily ACTH treatment (Walker *et al.*, 2013), whereas another study

supports our findings, showing that repeated treatment with ACTH increase CORT levels (Kitamura *et al.*, 2002). As HPA-axis hyperactivation and maladaptation to stress is a common feature of major depression (Nestler *et al.*, 2002; McEwen, 2004; Bale, 2006), our findings are valid with regard to the use of repeated treatment with ACTH as a model for TRD. It is speculated that this association successfully translates abnormal features of stress adaptation and clinical depression. Finally, while this study specifically examined treatment response in a TRD model, future work should also consider time to onset of action, as well as bolstering the antidepressant response of a traditional antidepressant (Brand & Harvey, 2017b). Indeed, ketamine first came to prominence as an adjunctive treatment to hasten antidepressant response (Li *et al.*, 2010, 2011; Autry *et al.*, 2011; Zanos *et al.*, 2016).

The mechanism whereby ACTH induces a treatment-resistant state and how this may be reversed by modulating the glutamatergic system is particularly interesting, and several explanations could be proposed. Within the context of this paper and the drugs used, it seems to hypothesise the involvement of a dysfunctional prefrontal cortical circuitry, combined with GABAergic deficits following chronic stress and the state of TRD (Ghosal *et al.*, 2017). However, further studies are warranted to test this hypothesis.

In conclusion, the present work reinforces the role of the glutamatergic system in the neurobiology of depression as it corroborates that s-ketamine and rapastinel are antidepressant drugs that deserve further investigation. The study also re-affirms and establishes s-ketamine and rapastinel as effective pharmacotherapy for a treatment-resistant state. The experimental protocol used here is suggested to be a useful future tool in the study of TRD. Nonetheless, further studies are necessary to elucidate the mechanism through which the repeated exposition to ACTH leads to resistance to a classical antidepressant, and how this is amenable to treatment with an NMDA receptor modulator.

Supplementary material. To view supplementary material for this article, please visit <https://doi.org/10.1017/neu.2019.25>.

Author ORCIDs. Vitor Silva Pereira,  0000-0002-9051-3154; Samia Joca,  0000-0003-0255-5889; Brian Harvey,  0000-0002-9864-7894; Betina Elfving,  0000-0001-6939-5088; Gregers Wegener,  0000-0002-0081-0068

Acknowledgements. We would like to thank CNPq for the grant provided to support Dr. Vitor Silva Pereira (PDE –203647/2014-9) and research fellowship to SJ (306648/2014-8), Aarhus University Research Foundation (AU-IDEAS initiative (eMOOD) (GW), The Lundbeckfoundation (Grant R93A8923) and The Independent Innovation fund Denmark (Grant DFF060202293B).

Author contributions. VSP and GW designed the experimental protocol. VSP carried out the experiments. VSP and GW analysed the data and wrote the first draft of this paper. All authors contributed to and approved the final version.

Conflicts of Interest. Gregers Wegener reported having received research support/lecture/consultancy fees from H. Lundbeck A/S, Servier SA, AstraZeneca AB, Eli Lilly A/S, Sun Pharma Pty Ltd., Pfizer, Inc., Shire A/S, HB Pharma A/S, Arla Foods Amba., Janssen Pharma A/S, and Mundipharma International, Ltd. All other authors declare no conflict of interest. Gregers Wegener is Editor-in-Chief of Acta Neuropsychiatrica but was not involved and actively withdrew during the review and decision process of this manuscript.

References

Abel EL and Bilitzke PJ (1990) A possible alarm substance in the forced swimming test. *Physiology & Behavior* **48**, 233–239.

- Antunes MS, Ruff JR, de Oliveira Espinosa D, Piegas MB, de Brito ML, Rocha KA, de Gomes MG, Goes AT, Souza LC, Donato F, Boeira SP and Jesse CR (2015) Neuropeptide Y administration reverses tricyclic antidepressant treatment-resistant depression induced by ACTH in mice. *Hormones and Behavior* **73**, 56–63.
- Appelhof BC, Huyser J, Verweij M, Brouwer JP, Van Dyck R, Fliers E, Hoogendijk WJG, Tijssen JGP, Wiersinga WM and Schene AH (2006) Glucocorticoids and relapse of major depression (dexamethasone/corticotropin-releasing hormone test in relation to relapse of major depression). *Biological Psychiatry* **59**, 696–701.
- Ardalan M, Wegener G, Polsinelli B, Madsen TM and Nyengaard JR (2016) Neurovascular plasticity of the hippocampus one week after a single dose of ketamine in genetic rat model of depression. *Hippocampus* **26**, 1414–1423.
- Ardalan M, Rafati AH, Nyengaard JR and Wegener G (2017a) Rapid antidepressant effect of ketamine correlates with astroglial plasticity in the hippocampus. *British Journal of Pharmacology* **174**, 483–492.
- Ardalan M, Wegener G, Rafati AH and Nyengaard JR (2017b) S-Ketamine rapidly reverses synaptic and vascular deficits of hippocampus in genetic animal model of depression. *The International Journal of Neuropsychopharmacology* **20**, 247–256.
- Aubry JM, Gervasoni N, Osiek C, Perret G, Rossier MF, Bertschy G and Bondolfi G (2007) The DEX/CRH neuroendocrine test and the prediction of depressive relapse in remitted depressed outpatients. *Journal of Psychiatric Research* **41**, 290–294.
- Autry AE, Adachi M, Nosyreva E, Na ES, Los MF, Cheng PF, Kavalali ET and Monteggia LM (2011) NMDA receptor blockade at rest triggers rapid behavioural antidepressant responses. *Nature* **475**, 91–96.
- Bale TL (2006) Stress sensitivity and the development of affective disorders. *Hormones and Behavior* **50**, 529–33.
- Ballard ED, Ionescu DF, Vande Voort JL, Niciu MJ, Richards EM, Luckenbaugh DA, Brutsche NE, Ameli R, Furey ML and Zarate CA Jr (2014) Improvement in suicidal ideation after ketamine infusion: relationship to reductions in depression and anxiety. *Journal of Psychiatric Research* **58**, 161–166.
- Baumeister D, Lightman SL and Pariante CM (2016) The HPA axis in the pathogenesis and treatment of depressive disorders: integrating clinical and molecular findings. *Psychopathology Review* **a3**, 64–76.
- Berman RM, Cappiello A, Anand A, Oren DA, Heninger GR, Charney DS and Krystal JH (2000) Antidepressant effects of ketamine in depressed patients. *Biological Psychiatry* **47**, 351–354.
- Brand SJ and Harvey BH (2017a) Exploring a post-traumatic stress disorder paradigm in Flinders sensitive line rats to model treatment-resistant depression I: bio-behavioural validation and response to imipramine. *Acta Neuropsychiatrica* **29**, 193–206.
- Brand SJ and Harvey BH (2017b) Exploring a post-traumatic stress disorder paradigm in Flinders sensitive line rats to model treatment-resistant depression II: response to antidepressant augmentation strategies. *Acta Neuropsychiatrica* **29**, 207–221.
- Browne CA and Lucki I (2013) Antidepressant effects of ketamine: mechanisms underlying fast-acting novel antidepressants. *Frontiers in Pharmacology* **4**, 161.
- Burgdorf J, Kroes RA, Zhang XL, Gross AL, Schmidt M, Weiss C, Disterhoft JF, Burch RM, Stanton PK and Moskal JR (2015a) Rapastinel (GLYX-13) has therapeutic potential for the treatment of post-traumatic stress disorder: characterization of a NMDA receptor-mediated metaplasticity process in the medial prefrontal cortex of rats. *Behavioural Brain Research* **294**, 177–185.
- Burgdorf J, Zhang XL, Weiss C, Matthews E, Disterhoft JF, Stanton PK and Moskal JR (2011) The N-methyl-D-aspartate receptor modulator GLYX-13 enhances learning and memory, in young adult and learning impaired aging rats. *Neurobiology of Aging* **32**, 698–706.
- Burgdorf J, Zhang XL, Nicholson KL, Balster RL, Leander JD, Stanton PK, Gross AL, Kroes RA and Moskal JR (2013) GLYX-13, a NMDA receptor glycine-site functional partial agonist, induces antidepressant-like effects without ketamine-like side effects. *Neuropsychopharmacology* **38**, 729–742.
- Burgdorf J, Zhang XL, Weiss C, Gross A, Boikess SR, Kroes RA, Khan MA, Burch RM, Rex CS, Disterhoft JF, Stanton PK and Moskal JR (2015b) The long-lasting antidepressant effects of rapastinel (GLYX-13) are associated with a metaplasticity process in the medial prefrontal cortex and hippocampus. *Neuroscience* **308**, 202–211.
- Canuso CM, Singh JB, Fedgchin M, Alphas L, Lane R, Lim P, Pinter C, Hough D, Sanacora G, Manji H and Drevets WC (2018) Efficacy and safety of intranasal esketamine for the rapid reduction of symptoms of depression and suicidality in patients at imminent risk for suicide: results of a double-blind, randomized, placebo-controlled study. *The American Journal of Psychiatry* **175**, 620–630.
- Cowen PJ (2010) Not fade away: the HPA axis and depression. *Psychological Medicine* **40**, 1–4.
- Daly EJ, Singh JB, Fedgchin M, Cooper K, Lim P, Shelton RC, Thase ME, Winokur A, Van Nueten L, Manji H and Drevets WC (2018) Efficacy and safety of intranasal esketamine adjunctive to oral antidepressant therapy in treatment-resistant depression: a randomized clinical trial. *JAMA Psychiatry* **75**, 139–148.
- du Jardin KG, Liebenberg N, Cajina M, Muller HK, Elfving B, Sanchez C and Wegener G (2017) S-Ketamine mediates its acute and sustained antidepressant-like activity through a 5-HT1B receptor dependent mechanism in a genetic rat model of depression. *Frontiers in Pharmacology* **8**, 978.
- du Jardin KG, Liebenberg N, Muller HK, Elfving B, Sanchez C and Wegener G (2016a) Differential interaction with the serotonin system by S-ketamine, vortioxetine, and fluoxetine in a genetic rat model of depression. *Psychopharmacology (Berl)* **233**, 2813–2825.
- du Jardin KG, Muller HK, Sanchez C, Wegener G and Elfving B (2016b) A single dose of vortioxetine, but not ketamine or fluoxetine, increases plasticity-related gene expression in the rat frontal cortex. *European Journal of Pharmacology* **786**, 29–35.
- Eskelund A, Budac DP, Sanchez C, Elfving B and Wegener G (2016) Female flinders sensitive line rats show estrous cycle-independent depression-like behavior and altered tryptophan metabolism. *Neuroscience* **329**, 337–348.
- FDA (2019) FDA approves new nasal spray medication for treatment-resistant depression; available only at a certified doctor's office or clinic.
- Fischer CW, Eskelund A, Budac DP, Tillmann S, Liebenberg N, Elfving B and Wegener G (2015) Interferon-alpha treatment induces depression-like behaviour accompanied by elevated hippocampal quinolinic acid levels in rats. *Behavioural Brain Research* **293**, 166–172.
- Ghosal S, Hare BD and Duman RS (2017) Prefrontal cortex GABAergic deficits and circuit dysfunction in the pathophysiology and treatment of chronic stress and depression. *Current Opinion in Behavioral Sciences* **14**, 1–8.
- Hindmarch I (2002) Beyond the monoamine hypothesis: mechanisms, molecules and methods. *European Psychiatry* **17**(Suppl 3), 294–299.
- Jiang Y, Wang Y, Sun X, Lian B, Sun H, Wang G, Du Z, Li Q and Sun L (2017) Short- and long-term antidepressant effects of ketamine in a rat chronic unpredictable stress model. *Brain and Behavior* **7**, e00749.
- Joca SR and Guimaraes FS (2006) Inhibition of neuronal nitric oxide synthase in the rat hippocampus induces antidepressant-like effects. *Psychopharmacology (Berl)* **185**, 298–305.
- Kellner CH, Rubinow DR, Gold PW and Post RM (1983) Relationship of cortisol hypersecretion to brain CT scan alterations in depressed patients. *Psychiatry Research* **8**, 191–197.
- Kessing LV, Willer IS and Knorr U (2011) Volume of the adrenal and pituitary glands in depression. *Psychoneuroendocrinology* **36**, 19–27.
- Kessler RC, Berglund P, Demler O, Jin R, Koretz D, Merikangas KR, Rush AJ, Walters EE, Wang PS and National Comorbidity Survey, R (2003) The epidemiology of major depressive disorder: results from the National Comorbidity Survey Replication (NCS-R). *The Journal of the American Medical Association* **289**, 3095–3105.
- Kilkenny C, Browne WJ, Cuthill IC, Emerson M and Altman DG (2010) Improving bioscience research reporting: the ARRIVE guidelines for reporting animal research. *Journal of Pharmacology & Pharmacotherapeutics* **1**, 94–99.
- Kitamura Y, Araki H and Gomita Y (2002) Influence of ACTH on the effects of imipramine, desipramine and lithium on duration of immobility of rats in the forced swim test. *Pharmacology Biochemistry and Behavior* **71**, 63–69.
- Kitamura Y, Fujitani Y, Kitagawa K, Miyazaki T, Sagara H, Kawasaki H, Shibata K, Sendo T and Gomita Y (2008) Effects of imipramine and bupropion on the duration of immobility of ACTH-treated rats in the forced swim

- test: involvement of the expression of 5-HT_{2A} receptor mRNA. *Biological and Pharmaceutical Bulletin* **31**, 246–249.
- Li N, Lee B, Liu RJ, Banasr M, Dwyer JM, Iwata M, Li XY, Aghajanian G and Duman RS (2010) mTOR-dependent synapse formation underlies the rapid antidepressant effects of NMDA antagonists. *Science* **329**, 959–964.
- Li N, Liu RJ, Dwyer JM, Banasr M, Lee B, Son H, Li XY, Aghajanian G and Duman RS (2011) Glutamate N-methyl-D-aspartate receptor antagonists rapidly reverse behavioral and synaptic deficits caused by chronic stress exposure. *Biological Psychiatry* **69**, 754–761.
- Liebenberg N, Joca S and Wegener G (2015) Nitric oxide involvement in the antidepressant-like effect of ketamine in the Flinders sensitive line rat model of depression. *Acta Neuropsychiatrica* **27**, 90–96.
- Machado-Vieira R, Salvador G, Diazgranados N and Zarate CA Jr (2009) Ketamine and the next generation of antidepressants with a rapid onset of action. *Pharmacology & Therapeutics* **123**, 143–150.
- McAllister-Williams RH, Ferrier IN and Young AH (1998) Mood and neuropsychological function in depression: the role of corticosteroids and serotonin. *Psychological Medicine* **28**, 573–584.
- McEwen BS (2004) Protection and damage from acute and chronic stress: allostasis and allostatic overload and relevance to the pathophysiology of psychiatric disorders. *Annals of the New York Academy of Sciences* **1032**, 1–7.
- Moskal JR, Burgdorf JS, Stanton PK, Kroes RA, Disterhoft JF, Burch RM and Amin Khan M (2016) The development of rapastinel (formerly GLYX-13); a rapid acting and long lasting antidepressant. *Current Neuropharmacology* **15**(1), 47–56.
- NCT03560518 (2019) Study of rapastinel as monotherapy in patients with MDD: NCT03560518. [ClinicalTrials.gov](https://clinicaltrials.gov).
- Nestler EJ, Barrot M, DiLeone RJ, Eisch AJ, Gold SJ and Monteggia LM (2002) Neurobiology of depression. *Nature* **34**, 13–25.
- Pereira VS, Romano A, Wegener G and Joca SR (2015) Antidepressant-like effects induced by NMDA receptor blockade and NO synthesis inhibition in the ventral medial prefrontal cortex of rats exposed to the forced swim test. *Psychopharmacology (Berl)* **232**, 2263–2273.
- Porsolt RD, Le Pichon M and Jalfre M (1977) Depression: a new animal model sensitive to antidepressant treatments. *Nature* **266**, 730–732.
- Quarton GC, Clark LD, Cobb S and Bauer W (1955) Mental disturbances associated with acth and cortisone: a review of explanatory hypotheses. *Medicine (United States)* **34**, 13–50.
- Ragguett R-M, Rong C, Kratiuk K and McIntyre RS (2019) Rapastinel – an investigational NMDA-R modulator for major depressive disorder: evidence to date. *Expert Opinion on Investigational Drugs* **28**, 113–119.
- Sales AJ, Biojone C, Terceti MS, Guimaraes FS, Gomes MV and Joca SR (2011) Antidepressant-like effect induced by systemic and intra-hippocampal administration of DNA methylation inhibitors. *British Journal of Pharmacology* **164**, 1711–1721.
- Samuels BA, Leonardo ED, Gadiant R, Williams A, Zhou J, David DJ, Gardier AM, Wong EH and Hen R (2011) Modeling treatment-resistant depression. *Neuropharmacology* **61**, 408–413.
- Sanacora G and Schatzberg AF (2015) Ketamine: promising path or false prophecy in the development of novel therapeutics for mood disorders? *Neuropsychopharmacology* **40**, 259–267.
- Silva Pereira V, Elfving B, Joca SRL and Wegener G (2017) Ketamine and aminoguanidine differentially affect BDNF and mTOR gene expression in the prefrontal cortex of adult male rats. *European Journal of Pharmacology* **815**, 304–311.
- Sorrells SF, Caso JR, Munhoz CD and Sapolsky RM (2009) The stressed CNS: when glucocorticoids aggravate inflammation. *Neuron* **64**, 33–39.
- Trevino K, McClintock SM, McDonald Fischer N, Vora A and Husain MM (2014) Defining treatment-resistant depression: a comprehensive review of the literature. *Annals of Clinical Psychiatry* **26**, 222–232.
- Walker AJ, Burnett SA, Hasebe K, McGillivray JA, Gray LJ, McGee SL, Walder K, Berk M and Tye SJ (2013) Chronic adrenocorticotrophic hormone treatment alters tricyclic antidepressant efficacy and prefrontal monoamine tissue levels. *Behavioural Brain Research* **242**, 76–83.
- Walker AJ, Foley BM, Sutor SL, McGillivray JA, Frye MA and Tye SJ (2015) Peripheral proinflammatory markers associated with ketamine response in a preclinical model of antidepressant-resistance. *Behavioural Brain Research* **293**, 198–202.
- Willner P and Belzung C (2015) Treatment-resistant depression: are animal models of depression fit for purpose? *Psychopharmacology (Berl)* **232**, 3473–3495.
- World Health Organization (WHO) (2018) Depression – Fact Sheet. Geneva: World Health Organization.
- Yang B, Zhang JC, Han M, Yao W, Yang C, Ren Q, Ma M, Chen QX and Hashimoto K (2016) Comparison of R-ketamine and rapastinel antidepressant effects in the social defeat stress model of depression. *Psychopharmacology (Berl)* **233**, 3647–3657.
- Young EA, Altemus M, Lopez JF, Kocsis JH, Schatzberg AF, DeBattista C and Zubietta JK (2004) HPA axis activation in major depression and response to fluoxetine: a pilot study. *Psychoneuroendocrinology* **29**, 1198–1204.
- Zanos P, Moaddel R, Morris PJ, Georgiou P, Fischell J, Elmer GL, Alkondon M, Yuan P, Pribut HJ, Singh NS, Dossou KS, Fang Y, Huang XP, Mayo CL, Wainer IW, Albuquerque EX, Thompson SM, Thomas CJ, Zarate CA Jr and Gould TD (2016) NMDAR inhibition-independent antidepressant actions of ketamine metabolites. *Nature* **533**, 481–486.
- Zarate C, Duman RS, Liu G, Sartori S, Quiroz J and Murck H (2013) New paradigms for treatment-resistant depression. *Annals of the New York Academy of Sciences* **1292**, 21–31.
- Zarate CA Jr, Singh JB, Carlson PJ, Brutsche NE, Ameli R, Luckenbaugh DA, Charney DS and Manji HK (2006) A randomized trial of an N-methyl-D-aspartate antagonist in treatment-resistant major depression. *Archives of General Psychiatry* **63**, 856–864.
- Zhu X, Ye G, Wang Z, Luo J and Hao X (2017) Sub-anesthetic doses of ketamine exert antidepressant-like effects and upregulate the expression of glutamate transporters in the hippocampus of rats. *Neuroscience Letters* **639**, 132–137.
- Zobel AW, Nickel T, Künzel HE, Ackl N, Sonntag A, Ising M and Holsboer F (2000) Effects of the high-affinity corticotropin-releasing hormone receptor 1 antagonist R121919 in major depression: the first 20 patients treated. *Journal of Psychiatric Research* **34**, 171–181.
- Zorumski CF, Nagele P, Mennerick S and Conway CR (2015) Treatment-resistant major depression: rationale for NMDA receptors as targets and nitrous oxide as therapy. *Frontiers in Psychiatry* **6**, 172.