

1 **Enhancing Mental Health and Well-being in adults from lower-resource**
2 **settings: a Mixed-Method evaluation of the Impact of Problem**
3 **Management Plus**

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5 Michela Marchetti^{1*}, Caterina Ceccarelli^{2,3}, Mara Stockner¹, Orso Muneghina², Carlo Lai¹ & Giuliana
6 Mazzoni¹

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8
9 ¹ Department of Dynamic and Clinical Psychology and Health Studies, Sapienza University of Rome,
10 Rome, Italy

11 ² SOS Children's Villages Italy, Global Expert Group on Mental Health and Psychosocial Support
12 (GPEG in MHPSS), Milan, Italy

13 ³ Vrije Universiteit Amsterdam, Amsterdam, The Netherlands

14
15 Corresponding author:

16 Michela Marchetti: michela.marchetti@uniroma1.it

17 Via degli Apuli 1, 00185, Rome, Italy

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22 **Abstract**

23
24 Mental health conditions, recognized as a global crisis, were further exacerbated by the COVID-19
25 pandemic. Access to mental health services remains limited, particularly in low-income regions. Task-
26 sharing interventions, exemplified by Problem Management Plus (PM+), have emerged as potential
27 solutions to bridge this treatment gap. This study presents an evaluation of PM+ scale-up in Sub-
28 Saharan Africa (Ethiopia and Benin) and Eastern Europe (Croatia and Bosnia and Herzegovina) as part
29 of a mental health and psychosocial support programming including 87 adult participants.

30 A mixed-method approach assesses the impact of the intervention. Quantitative analyses reveal
31 significant reductions in self-reported problems, depression, anxiety, and improved functioning.
32 Qualitative data highlight four main themes: general health, family relationships, psychosocial
33 problems, and daily activities. These thematic areas demonstrate consistent improvements across

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34 clients, irrespective of region. The findings underscore the impact of PM+ in addressing a broad
35 spectrum of client issues, demonstrating its potential as a valuable tool for mitigating mental health
36 challenges in diverse settings. This study contributes to the burgeoning body of evidence supporting
37 PM+ and highlights its promise in enhancing mental health outcomes on a global scale, particularly for
38 vulnerable populations.

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Impact Statement

42 This study represents a groundbreaking exploration of Problem Management Plus (PM+) in real-life
43 settings, focusing on Sub-Saharan Africa and Eastern Europe. The choice of these regions is motivated
44 by the unique challenges faced by their populations, including limited access to mental health
45 professionals and a lack of prior research on PM+. This research aims to address critical gaps in existing
46 literature, specifically its application in non-research settings and the analysis on the qualitative aspects.
47 By undertaking a mixed-method evaluation, our study unveils compelling evidence supporting the
48 impact of PM+ in reducing self-reported problems, symptoms of depression and anxiety, and improving
49 overall functioning among participants. This efficacy extends across diverse thematic areas such as
50 general health, family relationships, psychosocial problems, and daily activities, demonstrating PM+'s
51 versatility in addressing various client needs.

52 The findings underscore PM+'s potential as a scalable approach to mental health challenges in resource-
53 constrained settings. Task-sharing interventions like PM+ emerge as pivotal in bridging the mental
54 health care gap, especially where access to specialised professionals is limited. This study not only
55 addresses the identified gaps in literature but also contributes to the broader discourse on improving
56 mental health outcomes for marginalised and underserved populations globally.

57 In a global context characterised by the exacerbation of mental health conditions, straining resources
58 and disparately impacting vulnerable communities, PM+ provides valuable insights. The study's
59 implications extend beyond Sub-Saharan Africa and Eastern Europe, advocating for the integration of
60 PM+ into mental health care strategies on a global scale, bringing us one step closer to overcoming the
61 challenges posed by mental health disparities.

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1.0 Introduction

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Mental health conditions are increasingly recognized as a leading cause of disease burden, affecting millions of individuals worldwide. Recent data from the Global Burden of Disease report revealed that, in 2019 alone, over 970 million people globally were living with a mental health condition (Global Burden of Disease Collaborative Network 2022). The COVID-19 pandemic and associated social restrictions further exacerbated this already dire situation, potentially leading to an additional 76.2 million people developing anxiety disorders for example (Santomauro *et al.* 2021). In line with these findings, growing evidence indicates that social determinants, such as financial strains, food insecurity, forced migration and low social capital, influence both the prevalence and severity of mental conditions (Lund *et al.* 2018).

Despite the aforementioned evident global mental health needs, services available to support those requiring support remain insufficient. Financial and human resources for mental health care are overall scarce and unevenly distributed, both across and within countries (World Health Organization 2021; 2022). This results in a considerable ‘treatment gap’ that disproportionately affects people living in low and middle-income countries (LMICs), as well as marginalised populations living in high-income countries (HICs) (World Health Organization 2022). In response to this challenge, innovative ‘task-sharing’ interventions have been developed to increase mental health coverage for mental conditions. Task-sharing interventions involve the delegation of specific mental health tasks or responsibilities from highly specialised professionals to non-specialized individuals, such as community health workers or laypersons, to enhance the accessibility and scalability of mental health care in resource-constrained settings. In task-sharing, trained non-professionals deliver evidence-based psychological treatments under the supervision of specialised mental health workers (Patel *et al.* 2018). By transferring some mental health care responsibilities from more to less-specialised staff, this approach allows for more efficient support, reaching individuals who might otherwise remain underserved (Hoeft *et al.* 2018; Le *et al.*, 2022).

One such intervention, developed and promoted by the World Health Organization (WHO) is Problem Management Plus (PM+). PM+ is a psychological, low-intensity manualized intervention designed for people aged 16 or above, who experience symptoms of depression, anxiety, or stress, making it ‘trans-diagnostic’ in nature (Dawson *et al.* 2015), addressing the complexity and comorbidity often observed in mental health conditions, offering a more comprehensive and integrated framework for understanding and treating diverse psychological disorders. The intervention consists of five individual sessions, lasting 90 minutes, during which clients learn four core strategies (stress management, problem-solving, behavioural activation, and skills to strengthen social support), that can help them deal with difficulties faced in their daily lives. PM+ follows the principle of task-sharing, hence it is delivered by trained non-specialists, called ‘helpers’, who complete an 8-day training, a period of supervised practice, with at least two clients, and receive constant supervision by specialised mental health care staff (World Health Organization 2016). PM+ was initially developed for use in LMICs, in communities affected by adversity. In support of this, several articles (e.g. Dozio, Dill and Bizouerne, 2021) report a significant reduction of post-traumatic symptoms and functional impairment of people living in LMICs. Since its inception, it has been adapted and utilised in different contexts, from communities belonging to LMICs (Sijbrandij *et al.*, 2015; 2016) to communities in HICs (McBride *et al.* 2021; World Health Organization 2022; de Graaff *et al.* 2023). Multiple randomised controlled trials (RCTs) have consistently demonstrated the effectiveness of PM+ in managing practical problems and improving symptoms related to common mental health conditions (CMHCs) (i.e., depression, anxiety,

108 PTSD) among clients at 3-months post-intervention (Bryant *et al.* 2017; de Graaff *et al.* 2023; Hamdani
109 *et al.* 2020).

110 Evidence from longer-term studies, reporting on the effectiveness of the intervention at 12-month
111 follow-ups have been scarce thus far. As evidence of this, Bryant and colleagues (2022), with their
112 robust methodology, in a fully randomised control trial, found that the short-term benefits of this
113 intervention may not be sustained over longer periods.

114 In addition, qualitative evaluations carried out alongside the effectiveness of RCTs, have demonstrated
115 the acceptability and feasibility of this intervention in a wide range of settings (Acarturk *et al.* 2022;
116 van't Hof *et al.* 2018).

117
118 In the wider field of global mental health, the need to understand how interventions work beyond
119 research-controlled settings has become increasingly recognized. Calls have been made to urge
120 researchers to explore the effectiveness of interventions when scaled-up in real-life settings, moving
121 from the research to the implementation space (Jordans and Kohrt 2020; Murphy *et al.* 2022). Despite
122 a handful of reports focusing on PM+ during its scale-up, that mostly focus on the early-stage of
123 adaptation, the field still lacks comprehensive data on the effectiveness of PM+ beyond highly
124 controlled research settings (Coleman *et al.* 2021; Gebrekristos *et al.* 2021; McBride *et al.* 2021).

125
126 In this work, we aim to contribute to filling this through a mixed-method evaluation of PM+ scale-up
127 in Sub-Saharan Africa and Eastern Europe, within the mental health and psychosocial support (MHPSS)
128 programming of the Non-Governmental Organization (NGO) SOS Children's Villages (SOS CVI). By
129 collecting evidence on the effect and wider individual-level impact of PM+ in real-life, we aim to
130 advance the understanding of whether and how task-shifting interventions can be scaled up beyond
131 research-controlled settings.

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2.0 Method

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2.1 Setting

136 PM+ was implemented within programs of the national associations of the SOS CVI federation with
137 individual sessions to all clients. PM+ sessions were delivered in the PM+ supervised practice phase,
138 which followed regional 8-day Training of Helpers (ToH). Trainers from the global SOS CVI program
139 on MHPSS conducted the ToHs in Sub-Saharan Africa (Ethiopia and Benin) and Eastern European
140 regions (Croatia and Bosnia and Herzegovina), providing supervision to the trained helpers. Trained
141 helpers delivered the intervention to at least two clients during the phase of supervised practice. Helpers
142 were SOS CVI staff without prior formal training in mental health. Three ToHs sessions took place:
143 one in Bosnia and Herzegovina and Croatia, where 7 helpers received training; one in Ethiopia, where
144 21 helpers received training, and one in Benin, where 26 helpers received training. Then, the
145 intervention was delivered in the remote mode between May and July in spring 2021 for clients living
146 in Bosnia and Herzegovina and Croatia. On the other hand, in Ethiopia and Benin, the intervention was
147 delivered in person, respectively in November 2021 and March 2022. The languages in which the ToHs
148 were delivered were English (ToH Bosnia and Croatia; Ethiopia), French and Portuguese (ToH Benin).
149 The trained helpers were provided with PM+ materials in the languages in which the training was
150 provided. The trained helpers then delivered the intervention sessions in their preferred language. Data
151 on the language of delivery of the sessions was not collected.

152 Helpers participating in the Benin training came from the wider West Africa Region of SOS CVI (i.e.,
153 Benin, Cabo Verde, Democratic Republic of the Congo, Ivory Coast, Niger, Liberia, Guinea-Bissau,

154 Cameroun, Burkina Faso, Gambia, Central African Republic, Republic of Guinea). Countries of
155 training delivery are grouped according to WHO region classification (WHO, 2021). Moreover, this
156 combination was also supported by two key indices: economic level and health status. For the economic
157 dimension, we took into account the World Bank income classification (WHO, 2023). Included
158 countries within the European region are categorised as Upper-middle income (UMC) and High income
159 (HIC), whereas those in the Sub-Saharan Africa region fall into the categories of Low income (LIC)
160 and Lower-middle income (LMC) Countries. Concerning health status, we considered the Healthy Life
161 Expectancy (HALE) at birth index (WHO, 2023). European countries display an index over 65, while
162 countries in the Sub-Saharan Africa region exhibit an index below 60, indicating important differences
163 in health conditions.

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2.2 Participants

166 People aged 16 or above involved in SOS CVI programming and exhibiting some levels of emotional
167 distress were eligible for the PM+ intervention. No specific cut-offs were adopted for inclusion. The
168 term is employed descriptively to denote observable signs or indications of emotional distress,
169 acknowledging the absence of predefined quantitative thresholds for inclusion criteria. This approach
170 allows for a context-specific interpretation of emotional distress without relying on predetermined
171 cutoff values. Participants were excluded when presenting with severe impairment related to a mental,
172 neurological or substance use disorder (e.g., psychosis, alcohol or drug use dependence, severe
173 intellectual disability, dementia) or when at risk for suicide were not eligible for inclusion.

174 Participants presenting with severe impairment related to a mental, neurological or substance use
175 disorder (e.g., psychosis, alcohol or drug use dependence, severe intellectual disability, dementia) or at
176 risk for suicide were not eligible for inclusion. Participants (87 adults) were residents of the two regions,
177 more specifically 32 from Ethiopia, 12 from Bosnia and Herzegovina, 8 from Croatia and 35 from
178 Benin, The primary spoken languages were Croatian, Bosnian, French, Portuguese, Amharic, and
179 English.

180 All participants completed the 5 intervention sessions; however, only 83 participants carried out the
181 post assessment measurements (at least two out of the four measurements).

182 All participants provided informed consent to complete this research.

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2.3 Measures

185 As per PM+ manual instructions emotional distress, functioning, and self-reported problems were
186 assessed at pre- and post-assessment. Self-reported problems were also assessed during each of the five
187 PM+ sessions. Emotional distress was assessed through the Patient Health Questionnaire-9 (PHQ-9)
188 and the Generalized Anxiety Disorder Questionnaire (GAD-7) tools, functioning through the World
189 Health Organization disability assessment schedule (WHODAS 2.0), as indicated in WHO guidelines
190 for the PM+ implementation. Self-reported problems were assessed with the PSYCHLOPS
191 questionnaire. Validated translated versions of the scales were administered to participants based on the
192 country of implementation.

193 The PHQ-9 is the most frequently used version of the PHQ questionnaire, specifically crafted for
194 assessing the severity of depressive symptoms. It serves dual purposes, applicable for both clinical and
195 research contexts (Kroenke et al., 2001). The latter refers to the English version, for the Portuguese and
196 French versions see respectively Lamela and colleagues (2020) and Carballeira and colleagues (2007).

197 The tool consists of 9 items reflecting distinct symptoms, covering DSM-5 criteria. Respondents are
198 asked to report symptoms referring to a 4-point Likert scale (from "never" to "every day"). In the second
199 section, the functional impairment that depression causes in the normal course of the patient's life is
200 assessed. Scores range from 0 to 27, a higher score indicates higher depressive symptoms. The PHQ-9
201 has been adapted and used for use in numerous resource-constrained settings, where it consistently
202 demonstrated good psychometric properties (Carroll *et al.* 2020).

203 The GAD-7 is a valid and efficient tool for the screening of general anxiety disorder and the assessment
204 of its severity in clinical practice and research (Spitzer *et al.* 2006). The latter refers to the English
205 version, for the Portuguese and French versions see respectively Souza and colleagues (2015) and
206 Micoulaud-Franchi and colleagues (2016). The GAD-7 is a 7-item scale, where respondents are asked
207 to respond to each statement on a 4-point scale ranging (from "never" to "every day"). Total scores
208 range from 0 to 21, with higher scores indicating higher anxiety symptoms. Similarly, to the PHQ-9,
209 the GAD-7 has been widely adopted in settings of various income levels (Plummer *et al.* 2016).

210 The 12-item WHODAS 2.0 is a tool developed by the WHO aimed at generically assessing the health
211 and disability of clients (Ustün *et al.* 2010). The latter refers to the English version, for the Portuguese
212 and French versions see respectively Moreira and colleagues (2015) and Hoehne and colleagues (2017).
213 Scale totals can be calculated through a simple scoring method, where the final score ranges from 12 to
214 60. A higher score indicates a higher loss of function. The 12-item WHODAS 2.0 has been adopted
215 across contexts, demonstrating good reliability and internal consistency (Saltychev *et al.* 2021).

216 The PSYCHLOPS is a self-reported tool aimed at capturing the practical problems for which a client is
217 seeking help (Ashworth *et al.* 2004). The latter refers to the English version, for the Portuguese and
218 French versions see <http://www.psychlops.org.uk/versions>. It comprises 4 items that capture (a)
219 problems, (b) functioning, and (c) well-being, through Likert and free-text response options. When
220 administered at post-assessment, it includes an additional overall evaluation of well-being.
221 PSYCHLOPS scores are obtained summing up the Likert items (range 0 to 20). The scale has been
222 adapted and demonstrated good psychometric properties across populations and countries (Sales *et al.*
223 2023). The qualitative data on PSYCHLOPS, in Portuguese and French, were translated into English
224 independently by the first and third author, during data analysis.

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226

2.3 Data Analyses

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2.3.1 Quantitative Data Analyses

228 All the quantitative analyses were performed using R-Studio ("RStudio Team" 2023). All proposed
229 measures were systematically compiled by the helper in the presence of the client during the sessions.
230 The analysis was carried out at the completion of the treatment, thus following the completion of the
231 various measures in the post-assessment. Participants who did not complete the fifth PM+ session were
232 excluded from the research. Since the percentage was very low, missing data were handled according
233 to the regression model on Rstudio (*lm* function from *stats* package).

234 T-tests and regression models with post-hoc analyses (Bonferroni) to assess the changes in scores in
235 PSYCHLOPS across pre-assessment, sessions 1 to 5, and post-assessment, were run. The effects of
236 time of measurement (time points) and region of origin were assessed. Four t-tests, one for each measure
237 (PHQ-9, GAD-7, WHODAS, PSYCHLOPS) were performed. The results of the descriptive analysis of
238 the average test scores for the psychological dimensions investigated will also be presented.

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2.3.2 Qualitative Data Analyses

242 Free-text responses from the PSYCHLOPS measure, describing the problems experienced by clients
243 and their impact on their functioning, were analysed thematically. Following the protocol, in the pre-
244 assessment phase, each participant produced three open-ended answers to the following questions:

- 245 1. *Choose the problem that troubles you most.*
- 246 2. *Choose another problem that troubles you.*
- 247 3. *Choose one thing that is hard to do because of your problem (or problems).*

248 English was chosen as the language for analysis; all data collected in other languages (i.e., Portuguese
249 and French) was translated. The Braun & Clarke (2006) approach was used to identify, analyse, and
250 report themes to provide a detailed and complex evaluation of the collected data. First, familiarisation
251 with the data was performed. Then, initial codes of the entire data set were generated in a systematic
252 fashion. Codes (e.g., mental health; physical health) that addressed the research aims under investigation
253 were chosen. The qualitative data analysis tool Quirkos was used to help organise codes and to merge
254 and connect them (“Quirkos” 2017). The codes were generated through a collaborative effort involving
255 first and third authors. The tool is a qualitative data analysis software designed to assist researchers in
256 organising, analysing, and interpreting qualitative data such as text, audio, and video. We imported our
257 qualitative data and we created nodes (codes or themes). This process helps in systematically
258 categorising and organising the data. While the overall process was collaborative, individual researchers
259 were assigned specific tasks to maintain independence and rigour in code development. Each researcher
260 independently reviewed the data, identified patterns, and proposed initial codes. Through this iterative
261 process, a consensus was reached on the final set of codes. This approach ensured a comprehensive and
262 nuanced understanding of the data. The determination of themes and sub-themes followed a systematic
263 approach. Initial codes were grouped based on shared characteristics, leading to the emergence of
264 overarching themes. Subsequently, these themes were refined through discussions and consensus-
265 building sessions among the research team. To enhance the credibility and reliability of the coding
266 process, an inter-coder reliability check was conducted. This involved cross-checking and discussing
267 coded data points among researchers to validate the consistency of interpretation and application of
268 codes.

269 For the textual analysis, we used an inductive approach, thus starting from the data, we created the
270 themes without relying on preconceived categories.

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2.3.3 Mixed-method Analyses

273 Regression analyses were run to test whether the impact of the intervention depended on the main
274 thematic areas identified by clients and if differences in reported themes were observed across regions.

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3.0 Results

277

3.1 Participants

278 Eighty-seven adults (18 males) with a mean age of 36 years ($SD = 11.6$) (67 from Sub-Saharan Africa
279 and 20 from Eastern Europe) received the complete PM+ intervention. Twenty-six participants (31%)

280 reported being single, twenty-six (31%) married, and fourteen (16%) divorced. Most participants were
 281 reportedly employed in a paid job (N=35, 41%) or were self-employed (N=13, 15%); whilst the
 282 remaining participants were in a precarious or absent employment situation. Finally, 14 out of 87 (16%)
 283 participants stated that they had received previous mental health treatment. See Table 1 for a complete
 284 summary of participant demographics.

285 *Table 1: Summary of included clients' demographics.*

| | | Country | | |
|----------------------------|---|--------------------|----------------|----------|
| | | Sub-Saharan Africa | Eastern Europe | Total |
| Gender | Male | 15 | 3 | 18 (19%) |
| | Female | 52 | 17 | 69 (81%) |
| Mean Age | Male | 33 years | 42 years | / |
| | Female | 37 years | 37 years | / |
| Relationship status | Single, never married | 23 | 3 | 26 (31%) |
| | Married | 16 | 10 | 26 (31%) |
| | Separated | 4 | 0 | 4 (5%) |
| | Divorced | 10 | 4 | 14 (16%) |
| | Widowed | 6 | 1 | 7 (8%) |
| | Longterm romantic relationship / Cohabiting | 4 | 2 | 6 (7%) |
| | Not known | 2 | 0 | 2 (2%) |
| Job status | Paid work | 26 | 9 | 35 (41%) |
| | Self-employed | 12 | 1 | 13 (15%) |
| | Non-paid work | 1 | 0 | 1 (1%) |
| | Keeping house/homemaker | 4 | 3 | 7 (8%) |
| | Retired | 0 | 1 | 1 (1%) |
| | Student | 8 | 2 | 10 (12%) |
| | Unemployed (health reasons) | 4 | 1 | 5 (6%) |
| | Unemployed (other reasons) | 6 | 2 | 8 (9%) |
| | Other (specify) | 4 | 1 | 5 (6%) |

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3.2 Quantitative Data

289 The test scores (mean and standard deviation) for the psychological dimensions investigated show a
 290 decrease from pre-assessment.

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Table 2: Overall means (SD) and confidence intervals; t-tests pre- and post-assessment

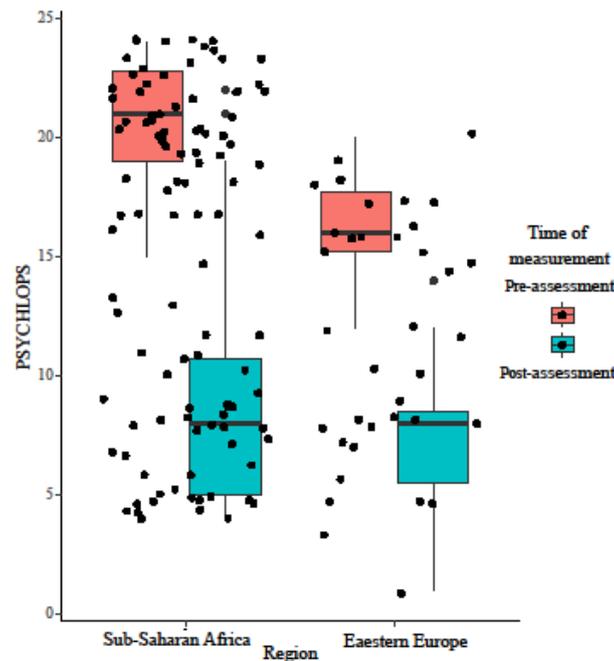
| Measure | Time of Measurement | | | | | | | | t-tests |
|---------|---------------------|---|------|---------|-----------------|---|------|---------|----------|
| | Pre-assessment | | | | Post-Assessment | | | | |
| | N | M | (SD) | [95%CI] | N | M | (SD) | [95%CI] | t(df), p |

| | | | | | | | | | |
|-----------|----|-------|-------|------------|----|-------|------|------------|-------------------------|
| PHQ-9 | 76 | 18.63 | 8.21 | 18.1, 21.5 | 71 | 8.34 | 4.11 | 8.9, 11.3 | 11.67(67), $p < 0,001$ |
| GAD-7 | 72 | 15.72 | 5.68 | 14.2, 16.9 | 65 | 6.54 | 2.50 | 7.1, 8.7 | 11.269(63), $p < 0,001$ |
| WHODAS | 73 | 34.42 | 11.61 | 29.1, 34.4 | 70 | 17.63 | 4.11 | 16.3, 19.6 | 9.6478(63), $p < 0,001$ |
| PSYCHLOPS | 73 | 19.30 | 3.34 | 18.9, 20.3 | 70 | 7.76 | 3.86 | 7.5, 9.4 | 20.303(66), $p < 0,001$ |

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295 A first regression model with the scores obtained from the PSYCHLOPS evaluation as the dependent
 296 variable and time of measurement and region as the independent variables was run. The results showed
 297 that both time of measurement ($\beta = -11.87$, $SE = 0.64$, $t = -18.58$, $p < 0.001$) and region ($\beta = -4.39$, SE
 298 $= 0.89$, $t = -4.96$, $p < 0.001$) were significant predictors of PSYCHLOPS scores, and the interaction
 299 between time of measurement and region was statistically significant ($\beta = 3.06$, $SE = 1.25$, $t = 2.46$, p
 300 < 0.05).



301

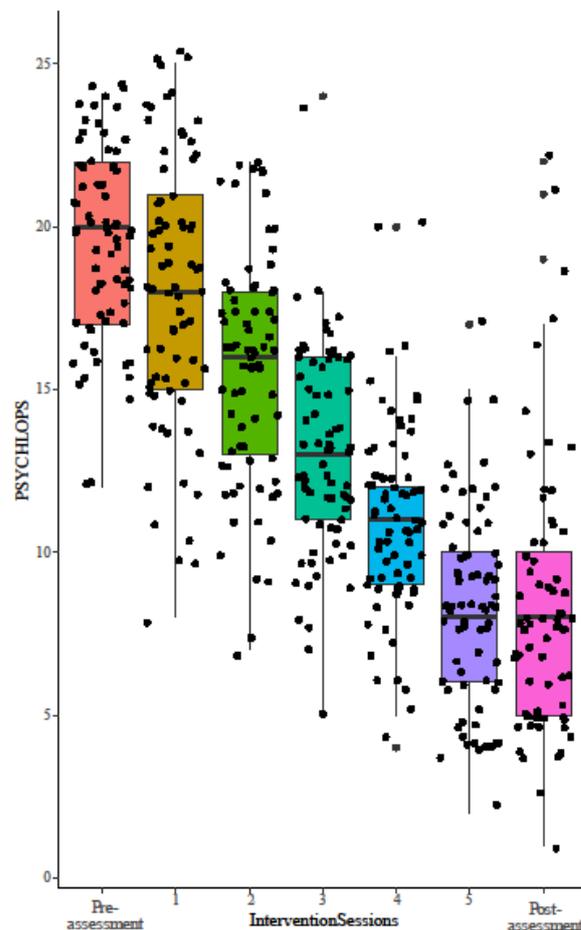
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Figure 1: PSYCHLOPS scores at pre- and post-measurement in both regions

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304 For both regions, Sub-Saharan Africa ($t(48) = 18.13$, $p < 0.001$) and Eastern Europe ($t(17) = 11.538$, p
 305 < 0.001) there was a significant decrease between pre- and post-assessment (see Figure 1). However,
 306 further t-tests showed a significant difference between regions at pre-assessment ($t(32.49) = 7.51$, $p <$
 307 0.001), indicating that problems reported by clients from European regions were less severe compared
 308 to those from Sub-Saharan regions. Nevertheless, such difference was found to not persist at the post-
 309 assessment ($t(46.99) = 1.44$, $p = 0.16$).

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Figure 2: Post hoc PSYCHLOPS Sessions

314 The results of Bonferroni post-hoc analysis (Figure 2) indicate that there was no significant difference
 315 between the pre-assessment and the first session ($\beta = 1.53, p = 0.15$) and between the fifth session and
 316 the post-assessment ($\beta = -0.09, p = 1.00$). However, among all PM+ sessions, significant decreases in
 317 PSYCHLOPS scores were observed (Sessions 2-3: $\beta = 2.50, p < 0.001$; Sessions 3-4: $\beta = 2.50, p <$
 318 0.001 ; Sessions 4-5: $\beta = 2.01, p < 0.01$; Sessions 5-6: $\beta = 2.68, p < 0.001$).

319

320 T-tests to compare the difference in self-reported symptoms of depression, anxiety, and functioning at
 321 pre versus post-assessment showed that the mean scores (i.e., reported symptoms) consistently and
 322 significantly decreased between pre and post-assessment for all reported measures (PHQ-9 $t(67)=$
 323 $11.67, p < 0.001$ [95% CI=7.9, 11.3]; GAD-7 $t(63)= 11.27, p < 0.001$ [95% CI=6.0, 8.6]; WHODAS
 324 $t(63)= 9.65, p < 0.001$ [95% CI=10.7, 16.2]).

325

326

3.3 Qualitative Data

327 Analysis of the free-text responses from the PSYCHLOPS measure revealed four key main themes.

328 More specifically, client's concerns related to general health, family relationships, daily activities and
 329 psychosocial problems (see Table 2 for specific sub-themes).

330

331

Table 3: Themes and Sub-Themes

| Themes | Sub-Themes |
|-----------------------|--|
| General Health | Mental Health Physical Health Abuse |
| Family Relationships | Parenthood Partner Family Problems Death |
| Psychosocial Problems | Financial Problems Community Problems Pregnancy Problems Legal Problems |
| Daily Activities | Work School |

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3.2.1 Theme 1: General Health

335 Participants reported concerns about their health a total of 147 times. Specifically, 99 PSYCHLOPS
 336 free-text responses related to mental health, 40 to physical health and 8 to an episode of abuse. For each
 337 quote will be given a unique code in brackets, consisting of the gender (M or F), the region (A or E)
 338 and the number representing the order of appearance in the dataset (i.e. ME14).

339 Regarding mental health, the issues that clients most emphasised were stress, anxiety, and lack of
 340 motivation. For example, participants often reported phrases such as “*stressed and anxious all the time*
 341 *with the problems I have*” (FA18), “*no motivation to do anything at home and outside the house*”
 342 (MA1). On the other hand, the most common problem describing the clinical health condition concerned
 343 sleeping difficulties (sometimes alongside insomnia), and other clinical conditions such as heart
 344 problems, disabilities, or substance abuse (“*I can't sleep. I have insomnia and nightmares.*” (FA18);
 345 “*take substances, feel hopelessness, problem of keeping hygiene*” (MA86)). Finally, with regards to
 346 cases of abuse, two clients reported becoming pregnant as a result of the violence they suffered (e.g.,
 347 “*Raped and made pregnant, her boyfriend abandoned her*” (FA35)).

348

3.2.2 Theme 2: Family Relationships

349 Participants reported a total of 121 times concerns about their family relationship. Specifically, 40
 350 sentences related to the relationship with (ex)partners, 35 to parenthood, 38 to family problems, and 8
 351 to the death of a family member. Family relationships, especially with partners, appeared to be one of

352 the main problems reported by clients. Communication problems within the couple were commonly
 353 mentioned (e.g., *“my marriage is very complicated”* (FA3), *“he and his wife do not talk to each other”*
 354 (MA23)). Another re-occurring theme was that of divorce, with subsequent changes in the relational
 355 dynamics (e.g., *“bad relationship with her ex-husband”* (FA61)). Parenting is also a topic that often
 356 worries PM+ clients from our sample, who were oftentimes concerned about their children's future
 357 (*“she is afraid of her daughters' future. This is because they generally do not respect the rules set at*
 358 *home and at school.”* (FA11)), their relationship with them (*“A relationship with a daughter who is*
 359 *entering adolescence, how to keep boundaries, so as not to disturb the relationship of trust”* (FE44);
 360 *“My youngest children are far from me”* (FA13)) or the difficulty in caring for them financially and
 361 emotionally (*“I have difficulty sending my son to school. He couldn't go to school this year because of*
 362 *lack of funds.”* (FA18); *“she can not take care of them. So they live with their uncle.”* (FA77)).

363 Family problems were also commonly mentioned. With this term, we refer to problems in the
 364 relationship between members of a household living in the same house. Some of the most striking
 365 examples of such issues include *“Difference and discrimination in treatment at home between her and*
 366 *her older sister”* (FA15); *“She is not accepted as a member of the family. She says she is insulted*
 367 *several times. Too much blame on her. She says she doesn't know what to do to be accepted. The family*
 368 *does not want her to touch their things.”* (FA19).

369 Communication and the relationship with the father of the family is also often cited *“now out of home*
 370 *because he quarrelled with his father.”* (MA74), *“communication with the father due to a conflicted*
 371 *relationship”* (FE37). The death of a relative is an event that was not very frequently reported by clients
 372 in our sample. Among those who reported such an event in the PSYCHLOPS evaluations, family
 373 members who lost their lives were mainly husbands (*“husband died because of the current situation /*
 374 *war of Ethiopia.”* (FA78)), parents (*“my mother's sudden and unexpected death”* (FA8)) as well as
 375 children (*“little boy who unfortunately died just after i gave birth.”* (FA3)).

376 **3.2.3 Theme 3: Psychosocial Problems**

377 Within this theme, we encompass all problems related purely to the dynamics within the community
 378 and economic problems. Participants reported a total of 78 times concerns related to psychosocial
 379 aspects. Specifically, 41 sentences related to community problems, 29 to financial problems, 7 to
 380 pregnancy problems, and 1 to legal problems.

381 Problems within the community concern difficult relationships with peers (*“Isolation and alienation of*
 382 *many friends from school and the Village, Participation in activities at school, in the village and in the*
 383 *community, Discrimination against school and community peers”* (FA15)), experiences of social stigma
 384 (*“stigmatization because of his social status”* (MA24)), and participation in social and community
 385 activities (*“I find it difficult to go to my friends and participate in community activities as compared to*
 386 *before”* (FA26)).

387 Financial problems are another commonly-reported topic, either due to lack of employment (*“has no*
 388 *job has financial problem”* (FA69)) or generally unstable financial situations (*“extremely precarious*
 389 *situation with two children in his care and divorced two years ago the children of school age are not*
 390 *enrolled due to lack of funds the family can go a whole day without having anything to eat”* (MA24)),
 391 resulting in difficulties in managing basic household expenses (*“all the family's expenses - rent, food,*
 392 *health, education of the children, etc. - fall on her.”* (FA11); *“paying a house rent is a big challenge to*
 393 *her.”* (FA77)).

394 **3.2.4 Theme 4: Daily Activities**

395 Participants reported a total of 40 times concerns about their daily activities. Specifically, 32
396 PSYCHLOPS responses related to work issues and 8 to school difficulties. In regard to work, the most
397 discussed topics regarded relationships with colleagues (*“work relationships, which are very complex*
398 *and complicated.”* (FE47)) and work status (*“he still is a trainee and with a subject to finish”* (MA1);
399 *“job situation not being stable enough”* (MA7)). Regarding the sphere of school instead, most of the
400 difficulties experienced by client’s concern performance (*“low school performance”* (FA17); *“difficult*
401 *for him to concentrate on his studies.”* (MA30)).

402

403

3.3 Mixed-Method Data

404 Results of the regression models indicated that there was no significant difference across identified
405 thematic areas (general health, family relationships, psychosocial problems, daily activities) and scores
406 across outcome measures: Thematic area on PSYCHLOPS ($\beta = -1.80, p = 0.63$), PHQ-9 ($\beta = -1.11, p =$
407 0.84), thematic area on GAD-7 ($\beta = -0.27, p = 0.76$), thematic area on WHODAS ($\beta = -0.17, p = 0.86$).
408 This indicates that the intervention was equally effective in alleviating problems described by the clients
409 and concomitant symptoms of distress as well as functioning.

410

411 The last analysis we ran was aimed at testing whether the thematic areas varied according to the client’s
412 region of origin. It was found that the region did not significantly predict the thematic area described
413 by the clients ($\beta = -0.27, p = 0.09$).

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4.0 Discussion

417

418 The paper reports a mixed-method evaluation of the impact of scale-up of the PM+ intervention beyond
419 research-controlled settings. The evaluation included 87 participants from Sub-Saharan Africa and
420 Eastern Europe who received the PM+ intervention as part of the MHPSS programming of the NGO
421 SOS CVI.

422

423 The quantitative data analysis revealed that the PM+ intervention had an impact on self-reported
424 problems and on functioning, as well as symptoms of depression and anxiety. Specifically, regarding
425 the measures that investigate the psychological states of anxiety, depression, and general disability, the
426 average scores reflect a significant decline, shifting from the "Moderate" to "Mild" in both PHQ-9 and
427 GAD-7. Additionally, the WHODAS score decreased from the 88th percentile to the 78th percentile.
428 Finally, for the PSYCHLOPS we can highlight a decrease of more than 10 points.

429 Moreover, the clients showed significant improvements in their mental health and well-being between
430 baseline and right after the intervention. The improvements in self-reported problems were found to be
431 significant across all sessions, but for between pre-assessment and session 1 and session 5 and post-
432 assessment, indicating that the changes observed between sessions are the effect of the intervention
433 used, and not of time of measurement, nor of the repetition of the administration of PSYCHLOPS. The
434 qualitative data analysis of the self-reported client problems identified four main themes of clients'
435 concerns: general health, family relationships, psychosocial problems, and daily activities. These
436 themes encompassed various issues such as stress, anxiety, relationship problems, financial difficulties,
437 and work-related challenges. Further analysis showed that the impact of the intervention was consistent

438 across all identified thematic areas, suggesting that the PM+ intervention was equally effective in
439 addressing different types of problems described by the clients.

440

441 The study's results align with previous findings of evaluations of PM+ in varied research-controlled
442 settings. For instance, an RCT conducted in urban Kenya among women who had experienced gender-
443 based violence found that PM+ was associated with moderate reductions in psychological distress and
444 self-identified problems (Bryant *et al.* 2017). Specifically, the results from Bryant and colleagues
445 indicate a moderate reduction in psychological distress at post-treatment and 3-month follow-up (Bryant
446 *et al.* 2017). Furthermore, our results are in line with their findings which indicate a reduction in self-
447 identified problems (PSYCHLOPS) at post-treatment and 3-months follow-up in favour of PM+.
448 Similarly, an RCT performed among Syrian refugees in the Netherlands demonstrated that PM+
449 effectively reduced self-identified problems and symptoms of common mental health conditions,
450 including depression and anxiety (de Graaff *et al.* 2023). At post-assessment, PM+ had greater
451 reductions on depression/anxiety relative to usual care, similarly to our findings. Moreover, PM+ was
452 also found to significantly reduce self-identified problems (de Graaff *et al.* 2023). These trends are
453 overall in line with our findings, in terms of the decrease in self-reported problems as well as a reduction
454 in self-reported symptoms of mental conditions.

455

456 Similarly, also the qualitative findings reported in this study, align with the wider literature on the topic.
457 Data collected within two RCTs testing the effectiveness PM+ in Pakistan (N=346) (Sijbrandij *et al.*,
458 2015) and Kenya (N=521) (Sijbrandij *et al.*, 2016) was analysed to identify the most-common self-
459 reported problems faced by clients. In Pakistan, they were found to relate mostly to poor health
460 (headaches, sleep problems, other aches, and pain) and emotional problems (sadness/disappointment,
461 anger/irritation, worries, fears). In Kenya instead, financial constraints (general lack of money, lack of
462 school fees, inability to pay for basic needs, inability to develop businesses), poor health (non-specific
463 poor health, multiple health problems, ulcers, and reproductive health problems), and unemployment
464 were most often reported by PM+ clients (Harper Shehadeh *et al.* 2019). This is in line with our results
465 from the Sub-Saharan and Eastern European Regions. These themes relate to those identified in our
466 sample, reflected in thematic areas of general health, psychosocial problems, and daily activities,
467 showing consistency across the issues experienced by PM+ clients across context and location of
468 implementation.

469 The nature of the presenting problems aligns with the domains assessed by the GAD, PHQ, and
470 WHODAS instruments. For instance, stress, anxiety, relationship problems, financial difficulties, and
471 work-related challenges, which were identified as key concerns, are likely to be reflected in the
472 measures of anxiety (GAD), depression (PHQ), and general disability (WHODAS). The assessments
473 capture a comprehensive range of mental health and functional issues, providing a holistic view of
474 clients' well-being.

475 It is crucial to consider contextual factors that might influence the prevalence of specific challenges.
476 Economic factors, sociopolitical conditions, and cultural norms can contribute to the manifestation of
477 certain problems. Exploring the qualitative data further or conducting additional analyses based on
478 demographic or contextual variables may provide insights into these contextual influences.

479 The consistency of themes across regions (Sub-Saharan Africa and Eastern Europe) suggests that PM+
480 is effective in addressing common challenges regardless of cultural or regional variations. This
481 consistency may indicate the universal applicability of the intervention in addressing fundamental
482 human experiences and concerns.

483 This study has several strengths. Firstly, it evaluates the impact of PM+ in the implementation space,
484 through an analysis of data collected in the scale-up of the intervention as part of the MHPSS
485 programming of a large NGO. Furthermore, it offers a comprehensive assessment of its impact, through
486 a mixed-method analysis of outcomes, collected at pre- and post-assessment as well as across in-
487 sessions evaluations. Nevertheless, the study also holds some limitations. Firstly, we have to highlight
488 the lack of cultural adaptation. Furthermore, some relevant demographic information regarding, for
489 example, the country of origin or migration history of participants was not collected. Our evaluation
490 lacks follow-up assessments of our outcome measures, containing our ability to draw conclusions of
491 the long-term impact of PM+ on clients. In addition, we lack data on the language in which individual
492 helpers delivered the intervention, as well as implementation-domain factors like acceptability and
493 feasibility, which prevent us from understanding, for example, the client-level perceptions of the
494 intervention.

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497

5.0 Conclusion

498

499 Taken together, these findings suggest that PM+ clients face similar daily struggles across contexts. The
500 PM+ intervention is a promising and effective approach to address these self-reported problems and
501 concomitant mental health symptoms and functional impairment in diverse populations and challenging
502 settings, even beyond RCTs. The study contributes to the growing body of evidence supporting the
503 efficacy of the PM+ methodology and underscores its potential to improve mental health outcomes for
504 individuals facing various psychosocial difficulties. As mental health continues to be a global concern,
505 interventions like PM+ offer valuable insights and strategies for promoting well-being and resilience in
506 vulnerable populations.

507

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510

Author Contribution statement

511 Conceptualization: MM, CC

512 Data curation: MM, CC

513 Formal Analysis: MM, MS

514 Investigation: CC, OM

515 Methodology: MM, CC, OM, GM

516 Project administration: MM, CC, GM, OM, CL

517 Writing – original draft: MM, CC

518 Writing – review & editing: MM, CC, GM, OM

519

520

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522

523

Conflict of Interest statement

524 The authors have no conflict of interest to declare that are relevant to the content of this article.

525

526

Ethics

527 This study was performed in line with the principles of the Declaration of Helsinki. This project was
528 approved by the Technical-Scientific Committee of SOS CV Italy.

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Data Availability statement

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532 The datasets generated and analysed during the current study are available from the corresponding
533 author on reasonable request.

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