



On Characteristic Polynomials of Geometric Frobenius Associated to Drinfeld Modules

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Abstract. Let K be a function field over finite field \mathbb{F}_q and let \mathbb{A} be a ring consisting of elements of K regular away from a fixed place ∞ of K . Let ϕ be a Drinfeld \mathbb{A} -module defined over an \mathbb{A} -field L . In the case where L is a finite \mathbb{A} -field, we study the characteristic polynomial $P_\phi(X)$ of the geometric Frobenius. A formula for the sign of the constant term of $P_\phi(X)$ in terms of ‘leading coefficient’ of ϕ is given. General formula to determine signs of other coefficients of $P_\phi(X)$ is also derived. In the case where L is a global \mathbb{A} -field of generic characteristic, we apply these formulae to compute the Dirichlet density of places where the Frobenius traces have the maximal possible degree permitted by the ‘Riemann hypothesis’.

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1. Introduction

Let \mathcal{C} be a smooth, projective, geometrically connected curve over a fixed finite field \mathbb{F}_q . Fix a closed point $\infty \in \mathcal{C}$ whose residue field is denoted by \mathbb{F}_{q_∞} and let \mathbb{A} be the ring of functions on \mathcal{C} regular away from ∞ . In the fundamental paper [2], Drinfeld introduces the objects now called *Drinfeld \mathbb{A} -modules*. In many ways, these objects play the role of elliptic curves. In particular, Drinfeld $\mathbb{F}_q[T]$ -modules over $\mathbb{F}_q(T)$ are the analogues of elliptic curves over \mathbb{Q} and Drinfeld $\mathbb{F}_q[T]$ -modules over $\mathbb{F}_q[T]/(\mathcal{P})$, where \mathcal{P} is a monic irreducible polynomial in $\mathbb{F}_q[T]$, are the analogues of elliptic curves over finite prime fields.

Given Drinfeld \mathbb{A} -module ϕ over a finite \mathbb{A} -field, its most important invariant is the characteristic polynomial $P_\phi(X)$ of the geometric Frobenius acting on Tate modules. This polynomial is in $\mathbb{A}[X]$, and is an isogeny class invariant. However, unlike the case of elliptic curves, the sign of $P_\phi(0)$ can vary. More precisely, by fixing a sign function, the norm of the Frobenius has a sign depending on ϕ . We first show in Section 3 that this sign depends only on the ‘leading coefficients’ of ϕ . Moreover, there is a simple formula for this sign (Theorem 3.2) in terms of a power residue

symbol. In the case of rank 2 Drinfeld $\mathbb{F}_q[T]$ -modules, with this formula at hand, the computation of $P_\phi(X)$ is almost as fast as computing the zeta function of an elliptic curve over a finite field.

There is the natural degree function $\deg: \mathbb{A} \setminus \{0\} \rightarrow \mathbb{Z}$ which is given by $\deg(a) =_{\text{def}} \dim_{\mathbb{F}_q} \mathbb{A}/(a)$ for any nonzero element a . According to the ‘Riemann hypothesis’ for Drinfeld \mathbb{A} -modules over finite \mathbb{A} -field L , the degree of the trace of Frobenius is always less than or equal to $[L : \mathbb{F}_q]/\text{rank } \phi$. This trace of Frobenius is certainly the most interesting coefficient of $P_\phi(X)$. We want to know when this coefficient has degree exactly $[L : \mathbb{F}_q]/\text{rank } \phi$. This is answered in Section 4 and the answer also depends only on the ‘leading coefficients’ of ϕ . Moreover, in the case where the trace of Frobenius has its degree equal to $[L : \mathbb{F}_q]/\text{rank } \phi$, the sign of this trace can be computed from the ‘leading coefficients’ of ϕ . For the other coefficients of $P_\phi(X)$, similar results can be derived just as well. An explanation for this phenomenon is as follows. In the case where $r = \text{rank } \phi$ divides $n = [L : \mathbb{F}_{q^\infty}]$, the ‘leading coefficients’ of ϕ give rise to yet another action of the Frobenius. This Frobenius action on \mathbb{F}_{q^∞} is identified as a scalar multiplication by $\delta^{-1} \in \mathbb{F}_{q^\infty}^*$, with δ explicitly given in terms of the ‘leading coefficients’ of ϕ . It turns out that the characteristic polynomial of δ^{-1} is essentially the sign of the characteristic polynomial $P_\phi(X)$ (Theorem 4.6).

Let ϕ be a Drinfeld \mathbb{A} -module over a global \mathbb{A} -field L of generic characteristic. For almost all finite places v of L , one has Drinfeld \mathbb{A} -module ϕ_v defined over the finite residue field $L(v)$, hence the characteristic polynomial $P_{\phi,v}(X) \in \mathbb{A}[X]$. We are interested in the set of places v for which the polynomials $P_{\phi,v}(X)$ enjoy certain property. In Section 5 we begin by deducing that the ‘leading coefficients’ of ϕ determine what are the possible signs of $P_{\phi,v}(0)$. All the possible signs of $P_{\phi,v}(0)$ are equally distributed as the finite place v varies. In Section 6 we study the set \mathcal{T}_ϕ of places v for which the trace of the Frobenius at v has degree exactly $[L(v) : \mathbb{F}_q]/\text{rank } \phi$ and more generally, we also study the set \mathcal{D}_ϕ of places v for which all the coefficients of $P_{\phi,v}(X)$ attain their maximal degrees allowed by the ‘Riemann Hypothesis’ for Drinfeld \mathbb{A} -modules. We show in particular that \mathcal{T}_ϕ always has a positive density provided that the characteristic of \mathbb{F}_q does not divide $\text{rank } \phi$. On the other hand, in the case where the characteristic of \mathbb{F}_q does indeed divide $\text{rank } \phi$, it may happen that for a given ϕ the degree of the trace of Frobenius never equals $[L(v) : \mathbb{F}_q]/\text{rank } \phi$ (Theorem 6.1). Finally, in Theorem 6.2, we show that \mathcal{D}_ϕ has positive density provided the characteristic of \mathbb{F}_q is greater than $\text{rank } \phi$.

2. Preliminaries and Notations

We first fix some notations that will be used throughout this paper. Let \mathcal{C} be a smooth, projective, geometrically connected curve over a fixed finite field \mathbb{F}_q . Let K be its function field over \mathbb{F}_q . Fix a closed point $\infty \in \mathcal{C}$ and let \mathbb{A} be the ring of elements of K regular outside ∞ . In the sequel, we’ll denote the degree of ∞ by d_∞ and the normalized valuation on K_∞^* by v_∞ so that we have

$v_\infty(x) = -\deg(x)/d_\infty$ for all $x \in K_\infty^*$. The natural degree function on \mathbb{A} is extended to any nonzero ideal \mathfrak{a} of \mathbb{A} by setting $\deg(\mathfrak{a}) = \dim_{\mathbb{F}_q} \mathbb{A}/\mathfrak{a}$. We shall use notation $|\mathfrak{a}| \stackrel{\text{def}}{=} q^{\deg(\mathfrak{a})}$ to denote the absolute norm of \mathfrak{a} . Set

$$\tau \stackrel{\text{def}}{=} (x \mapsto x^q) \in \text{End}_{\mathbb{F}_q}(\mathbb{G}_a)$$

the Frobenius endomorphism of \mathbb{G}_a over \mathbb{F}_q .

Let E be any global function field over finite fields together with a distinguished closed point ∞_E . Let E_∞ denote the completion of E at the place corresponding to ∞_E and $E(\infty)$ the residue field of E_∞ . Recall the definition of sign function [9, Section 4] for the pair (E, ∞_E)

DEFINITION 1. A sign function on E_∞ is a homomorphism $\text{sgn} : E_\infty^* \rightarrow E(\infty)^*$ which is the identity on $E(\infty)^*$. In addition, sgn is extended to E_∞ by setting $\text{sgn}(0) = 0$. Let σ be an \mathbb{F}_q -automorphism of $E(\infty)$. The composite map $\sigma \circ \text{sgn}$ is called a twisted sign function of sgn by σ .

We shall fix a sign function $\text{sgn} : K_\infty \rightarrow K(\infty)$ throughout this paper. Then sgn is defined on K via the canonical embedding $K \hookrightarrow K_\infty$. An element $a \in K_\infty$ is said to be *monic* if $\text{sgn}(a) = 1$. For any prime ideal \mathfrak{p} of \mathbb{A} and any element $a \in \mathbb{A} \setminus \mathfrak{p}$ we define the $(q - 1)$ th power residue symbol to be the unique element $\{a/\mathfrak{p}\} \in \mathbb{F}_q^*$ such that

$$\left\{ \frac{a}{\mathfrak{p}} \right\} \equiv a^{\frac{q-1}{q-1}} \pmod{\mathfrak{p}}.$$

The definition of the power residue symbol is extended in the usual way to $\{b/\mathfrak{a}\}$ for any ideal \mathfrak{a} of \mathbb{A} and any $b \in \mathbb{A}$ which is relatively prime to \mathfrak{a} . If $\mathfrak{a} = (a)$ is principal we simply write $\{b/a\}$ instead of $\{b/\mathfrak{a}\}$. We recall the following reciprocity law for $(q - 1)$ th power residues.

THEOREM 2.1 ([12, Chap. IV, Theorem 9.3 and Chap. III, Theorem 5.4]). *Suppose $a, b \in \mathbb{A}$ are nonzero relatively prime elements. Put $\alpha = v_\infty(a)$ and $\beta = v_\infty(b)$, then we have*

$$\left\{ \frac{a}{b} \right\} \left\{ \frac{b}{a} \right\}^{-1} = \text{sgn} \left[(-1)^{\alpha\beta} \frac{b^\alpha}{a^\beta} \right]^{(q_\infty-1)/(q-1)}$$

where $q_\infty = q^{d_\infty}$ denotes the cardinality of $K(\infty)$.

Let L be an \mathbb{A} -field, that is, L together with a ring homomorphism $\iota : \mathbb{A} \rightarrow L$. Then the kernel $\ker(\iota)$, called the *characteristic* of L , is either the zero ideal or a nontrivial prime ideal \mathfrak{p} of \mathbb{A} . In the former case, L is said to be of *generic characteristic* and the latter case, L is of *characteristic* \mathfrak{p} . Denote by $L\{\tau\}$ the twisted polynomial ring which is generated by L and τ as a subalgebra of all L -endomorphism of the additive group

scheme \mathbb{G}_a/L . A Drinfeld \mathbb{A} -module over L of rank $r \geq 1$ is a ring homomorphism

$$\phi : \mathbb{A} \rightarrow L\{\tau\} \subset \text{End}_L(\mathbb{G}_a),$$

$$a \mapsto \phi_a$$

such that $\phi \neq \iota$ together with the following two conditions:

- (i) $\deg_\tau \phi_a = r \cdot \deg(a)$,
- (ii) the coefficient of τ^0 in ϕ_a is $\iota(a)$.

To ease the notations, we'll simply write a instead of $\iota(a)$ to denote the image in L if there is no danger of confusion.

In the sequel we assume that there exist rank r Drinfeld \mathbb{A} -modules defined over L and fix such a rank r Drinfeld \mathbb{A} -module ϕ . Then, L contains a subfield \mathbb{F}_{q_∞} which is isomorphic to $K(\infty)$ (see for example, [8, pp. 199, Remark 7.2.13]). For $a \in \mathbb{A}$, ϕ_a has the following form

$$\phi_a = a\tau^0 + g_{a,1}\tau + \cdots + g_{a,l-1}\tau^{l-1} + \Delta_a\tau^l, \tag{1}$$

where $g_{a,i} \in L$, $\Delta_a \in L^*$ and $l = r \cdot \deg(a)$. Let \bar{L} denotes an algebraic closure of L . For any $x \in \mathbb{G}_a(\bar{L})$ we let $\phi_a(x)$ denote the image of x under the morphism ϕ_a . The a -torsion, denoted by $\phi[a]$, is the set of $x \in \mathbb{G}_a(\bar{L})$ such that $\phi_a(x) = 0$. By definition, $\phi[a]$ is the set of roots of the polynomial

$$\phi_a(X) = \Delta_a X^l + g_{a,l-1} X^{l-1} + \cdots + g_{a,1} X + aX.$$

Note that the a -torsion forms an \mathbb{F}_q -vector space of dimension $r \cdot \deg(a)$. Put $\phi[\mathfrak{a}] = \bigcap_{a \in \mathfrak{a}} \phi[a]$ for any ideal \mathfrak{a} of \mathbb{A} which is prime to the characteristic \mathfrak{p} . For any prime ideal \mathfrak{q} which is different from \mathfrak{p} , the Tate module is defined by

$$T_{\mathfrak{q}}(\phi) = \varprojlim_{\ell} \phi[\mathfrak{q}^\ell].$$

The Tate module $T_{\mathfrak{q}}(\phi)$ gives rise to a \mathfrak{q} -adic representation of the ring $\text{End}(\phi)$ consisting of endomorphisms of ϕ . In the case that L is a finite \mathbb{A} -field, we'll denote the degree of L over \mathbb{F}_{q_∞} by n and put $n_\infty = d_\infty n$. Moreover, L must be of characteristic \mathfrak{p} for some nonzero prime ideal \mathfrak{p} . Assume \mathfrak{p} is of degree d and L is a finite extension of degree m of $\mathbb{F}_{\mathfrak{p}} \stackrel{\text{def}}{=} \mathbb{A}/\mathfrak{p}$. Denote by $\text{Frob}_L := \tau^{n_\infty}$ the geometric Frobenius of \mathbb{G}_a over L which is certainly in $\text{End}(\phi)$. Let $P_\phi(X)$ be the characteristic polynomial associated to Frob_L via the \mathfrak{q} -adic representation. Then $P_\phi(X)$ is a monic polynomial of degree r with coefficients in \mathbb{A} which is independent of \mathfrak{q} . Writing the characteristic polynomial as

$$P_\phi(X) = X^r - a_1 X^{r-1} + \cdots + (-1)^r a_r, \quad a_i \in \mathbb{A}, \tag{2}$$

$P_\phi(X)$ is an isogeny class invariant and the constant term $P_\phi(0)$ has the property that

$(P_\phi(0)) = \mathfrak{p}^m$. That is, \mathfrak{p}^m is principal and $P_\phi(0)$ is a generator of \mathfrak{p}^m (see [4, Section 3, Section 5] for details). The goal in the next section is to determine the sign $\text{sgn}(a_r)$ of the constant of $P_\phi(X)$.

3. Determining the Sign $\text{sgn}(a_r)$

In this section, we consider the case that $L = \mathbb{F}_{q^\infty}$, a finite \mathbb{A} -field of characteristic \mathfrak{p} . We would like to compute the sign of the constant term of the characteristic polynomial $P_\phi(X)$. First we have the following formula connecting $\text{sgn}(a_r)$ to non-constant element $b \in \mathbb{A}$.

THEOREM 3.1. *Let L be an \mathbb{A} -field of characteristic \mathfrak{p} and of degree n over \mathbb{F}_{q^∞} . Let ϕ be a rank r Drinfeld \mathbb{A} -module over L . Suppose $b \in \mathbb{A}$ is a non-constant element which is relatively prime to \mathfrak{p} then*

$$\mathbf{N}_{\mathbb{F}_q}^{K(\infty)}(\text{sgn}(a_r))^{-v_\infty(b)} = \mathbf{N}_{\mathbb{F}_q}^L \left((-1)^{(r+1)\deg(b)} \frac{\text{sgn}(b)}{\Delta_b} \right)$$

where $\mathbf{N}_{\mathbb{F}_q}^L$ ($\mathbf{N}_{\mathbb{F}_q}^{K(\infty)}$) is the norm map from L ($K(\infty)$, respectively) to \mathbb{F}_q .

Proof. Since b is relatively prime to \mathfrak{p} and the Drinfeld \mathbb{A} -module ϕ is of rank r , it follows that the b -torsion is a free $\mathbb{A}/(b)$ -module of rank r . Moreover, ϕ is defined over L , the action of Frob_L commutes with the \mathbb{A} -action. Therefore Frob_L gives rise to a $\mathbb{A}/(b)$ -linear automorphism of $\phi[b]$. The characteristic polynomial is just $P_\phi(X) \text{ mod } (b)$. Thus the determinant of Frob_L , as an $\mathbb{A}/(b)$ -linear automorphism on $\phi[b]$, is $a_r \text{ mod } (b)$.

On the other hand, $\phi[b]$ is a \mathbb{F}_q -vector space of dimension $r \deg(b)$ and Frob_L is also a \mathbb{F}_q -linear automorphism of the \mathbb{F}_q -vector space $\phi[b]$. Note that the action of Frob_L as a \mathbb{F}_q -linear automorphism is compatible with that of $\mathbb{A}/(b)$ -linear action since the \mathbb{F}_q -linear action arises from the canonical embedding $\mathbb{F}_q \hookrightarrow \mathbb{A}/(b)$. The determinant of Frob_L , as \mathbb{F}_q -linear automorphism, is therefore $\mathbf{N}[a_r \text{ mod } (b)]$ where $\mathbf{N}(\cdot)$ is the norm from the \mathbb{F}_q -algebra $\mathbb{A}/(b)$ down to \mathbb{F}_q . We have

$$\mathbf{N}[a_r \text{ mod } (b)] = \left\{ \frac{a_r}{b} \right\}. \tag{3}$$

To see this, observe that both sides are multiplicative in b by Chinese Remainder Theorem and the definition of the power residue symbol. One simply needs to check the case that the algebra is $\mathbb{A}/\mathfrak{q}^e$ with prime ideal $\mathfrak{q} \neq \mathfrak{p}$. Put $V_i = \mathfrak{q}^i/\mathfrak{q}^e$, $0 \leq i \leq e - 1$ which are \mathbb{F}_q -vector subspaces of $V_0 = \mathbb{A}/\mathfrak{q}^e$. Observe that the multiplication by a_r on V_i gives rise to an \mathbb{F}_q -automorphism of V_i . The \mathbb{F}_q -vector space $\mathbb{A}/\mathfrak{q}^e$ has the following filtration of subspaces.

$$\mathbb{A}/\mathfrak{q}^e = V_0 \supset V_1 \supset \dots \supset V_{e-1}.$$

Note that (3) is true for the case $e = 1$ and V_i/V_{i+1} is of rank one as an \mathbb{A}/\mathfrak{q} -module for $0 \leq i \leq e - 1$. Now (3) follows by induction on e .

To obtain the result, we compute $\det(\text{Frob}_L)$ in another way. First, as $\phi_b \in L\{\tau\}$ is given by (1), we may write

$$\phi_b = \Delta_b \left\{ \tau^l + \frac{g_{b,l-1}}{\Delta_b} \tau^{l-1} + \dots + \frac{g_{b,1}}{\Delta_b} \tau + \frac{b}{\Delta_b} \tau^0 \right\}, \quad l = r \cdot \deg(b).$$

By [8, Proposition 1.3.5], the polynomial $\Delta_b^{-1} \phi_b$ has a decomposition into product of linear factors in $\overline{L}\{\tau\}$. That is, there exist $u_l, u_{l-1}, \dots, u_1 \in \overline{L}$ such that

$$\phi_b = \Delta_b (\tau - u_l \tau^0) \cdots (\tau - u_1 \tau^0)$$

with $u_l u_{l-1} \cdots u_1 = (-1)^l b / \Delta_b$. The \mathbb{F}_q -vector space $\phi[b]$ is, by definition, $\ker(\Delta_b^{-1} \phi_b)$. We choose a basis $\{w_1, w_2, \dots, w_l\}$ to be solutions of the following system of equations:

$$\begin{aligned} (\tau - u_i \tau^0) w_i &= w_{i+1} \quad \text{if } 1 \leq i \leq l-1, \\ (\tau - u_l \tau^0) w_l &= 0. \end{aligned} \tag{4}$$

Set A to be the column vector $[w_1, w_2, \dots, w_l]^t$. Then the above equation can be expressed as $\tau A = M A$ where M is a $l \times l$ matrix with entries in \overline{L} . In fact,

$$M = \begin{pmatrix} u_1 & 1 & 0 & & 0 \\ 0 & u_2 & 1 & & 0 \\ \vdots & & \ddots & \ddots & \vdots \\ 0 & 0 & \dots & u_{l-1} & 1 \\ 0 & 0 & \dots & \dots & u_l \end{pmatrix}.$$

Since $\text{Frob}_L = \tau^n$, by iterating the relations, we have

$$\text{Frob}_L A = M^{(n-1)} M^{(n-2)} \cdots M^{(1)} M A$$

where $M^{(i)}$ means to raise the entries of M to the q^i th power. Thus, as a \mathbb{F}_q -linear transformation, Frob_L is given by the matrix $M^{(n-1)} M^{(n-2)} \cdots M^{(1)} M$. Now,

$$\det(M) = u_l u_{l-1} \cdots u_1 = (-1)^l \frac{b}{\Delta_b}.$$

As a result

$$\det(\text{Frob}_L) = \left((-1)^l \frac{b}{\Delta_b} \right)^{q^{(n-1)} + \dots + q + 1} = \mathbf{N}_{\mathbb{F}_q}^L \left((-1)^l \frac{b}{\Delta_b} \right).$$

Since $b \in \mathbb{F}_p$ and L is of degree m over \mathbb{F}_p , we have that $\mathbf{N}_{\mathbb{F}_q}^L(b) = \{b/p\}^m$. Also, $p^m = (a_r)$ by [4, Thm. 5.1 (ii)] and by the definition of power residue symbol, $\{b/p\}^m = \{b/a_r\}$. Consequently,

$$\det(\text{Frob}_L) = \left\{ \frac{b}{a_r} \right\} \mathbf{N}_{\mathbb{F}_q}^L ((-1)^l \Delta_b)^{-1}.$$

Combining with identity (3), we obtain the following

$$\left\{ \frac{a_r}{b} \right\} = \left\{ \frac{b}{a_r} \right\} \mathbf{N}_{\mathbb{F}_q}^L((-1)^l \Delta_b)^{-1}. \tag{5}$$

It follows by the reciprocity law of power residue—Theorem 2.1 that,

$$\begin{aligned} \mathbf{N}_{\mathbb{F}_q}^L((-1)^l \Delta_b)^{-1} &= \left\{ \frac{a_r}{b} \right\} \left\{ \frac{b}{a_r} \right\}^{-1} \\ &= \operatorname{sgn} \left[(-1)^{v_\infty(a_r)v_\infty(b)} \frac{b^{v_\infty(a_r)}}{a_r^{v_\infty(b)}} \right]^{(q_\infty - 1)/(q - 1)} \\ &= (-1)^{\deg(a_r) \deg(b)} \mathbf{N}_{\mathbb{F}_q}^{K(\infty)}(\operatorname{sgn}(a_r))^{-v_\infty(b)} \mathbf{N}_{\mathbb{F}_q}^{K(\infty)}(\operatorname{sgn}(b))^{v_\infty(a_r)}. \end{aligned}$$

Note that $l = r \cdot \deg(b)$ and $-v_\infty(a_r) = n = [L : K(\infty)]$. Simplifying the formulae above, we obtain

$$\mathbf{N}_{\mathbb{F}_q}^{K(\infty)}(\operatorname{sgn}(a_r))^{-v_\infty(b)} = \mathbf{N}_{\mathbb{F}_q}^L \left((-1)^{(r+1)\deg(b)} \frac{\operatorname{sgn}(b)}{\Delta_b} \right).$$

This completes the proof. □

Remark 1. Note that by Riemann–Roch Theorem, for sufficiently large integer N , there exist elements $b, b' \in \mathbb{A}$ which are prime to \mathfrak{p} such that $v_\infty(b) = -N$ and $v_\infty(b') = -N - 1$. We choose b, b' so that $b/b' = \pi_\infty$ is a uniformizer of K_∞ such that $\operatorname{sgn}(\pi_\infty) = 1$. Set $\Delta^* = \Delta_b^{-1} \cdot \Delta_{b'}$, then

$$\mathbf{N}_{\mathbb{F}_q}^{K(\infty)}(\operatorname{sgn}(a_r)) = \mathbf{N}_{\mathbb{F}_q}^L((-1)^{(r+1)d_\infty} \Delta^*)^{-1}. \tag{6}$$

In most applications, the closed point ∞ is rational over \mathbb{F}_q . In this case, we have $d_\infty = 1$, then Theorem 3.1 and (6) have simpler forms.

THEOREM 3.2. *Assume that ∞ is a rational closed point of \mathcal{C} .*

- (1) We have $\operatorname{sgn}(a_r)^{\deg(b)} = \mathbf{N}_{\mathbb{F}_q}^L((-1)^{(r+1)\deg(b)} \Delta_b)^{-1}$ for every nonconstant monic element $b \in \mathbb{A}$.
- (2) Let $b, b' \in \mathbb{A}$ be monic elements such that are prime to \mathfrak{p} and $(\deg(b), \deg(b')) = 1$. Let $i, i' \in \mathbb{Z}$ be integers such that $i \deg(b) + i' \deg(b') = 1$. Put $\Delta^* = \Delta_b^i \cdot \Delta_{b'}^{i'}$ then $\operatorname{sgn}(a_r) = \mathbf{N}_{\mathbb{F}_q}^L((-1)^{r+1} \Delta^*)^{-1}$.

Remark 2. In Theorem 3.2, since $(\deg(b), \deg(b')) = 1$, we have $(|b|^r - 1, |b'|^r - 1) = q^r - 1$. Let j, j' be integers such that $j(|b|^r - 1) + j'(|b'|^r - 1) = q^r - 1$. Put

$\Delta = \Delta_b^j \Delta_{b'}^{j'}$ then the following formula holds also.

$$\text{sgn}(a_r) = \mathbf{N}_{\mathbb{F}_q}^L((-1)^{r+1} \Delta)^{-1} \tag{7}$$

EXAMPLE 1. We apply Theorem 3.2 to the case that $\mathbb{A} = \mathbb{F}_q[T]$ and $L = \mathbb{F}_{\mathcal{P}} = \mathbb{F}_q[T]/(\mathcal{P})$ where \mathcal{P} is a degree d monic irreducible polynomial in $\mathbb{F}_q[T]$. Assume that the Drinfeld \mathbb{A} -module ϕ is defined over K which is given by

$$\phi_T = T\tau^0 + g_1\tau + \dots + g_{r-1}\tau^{r-1} + \Delta\tau^r$$

with $T, g_1, \dots, \Delta \in \mathbb{A}$ and suppose that $\Delta \in \mathbb{A} \setminus (\mathcal{P})$. Let $\bar{\phi}$ denote the reduction of ϕ modulo \mathcal{P} so that

$$\bar{\phi}_T = \bar{T}\tau^0 + \bar{g}_1\tau + \dots + \bar{g}_{r-1}\tau^{r-1} + \bar{\Delta}\tau^r$$

where the bar denotes the reduction modulo \mathcal{P} . Consider the characteristic polynomial of the geometric Frobenius associated to $\bar{\phi}$ as a Drinfeld \mathbb{A} -module over L . We may assume that $\mathcal{P} \neq T$. In this case, letting $a = T$ and $a' = 1$ in Theorem 3.2 we have the following very simple formula

$$\text{sgn}(a_{r,\phi}) = (-1)^{d(r+1)} \left\{ \frac{\Delta}{\mathcal{P}} \right\}^{-1}. \tag{8}$$

Remark 3. Suppose ϕ is a rank 2 Drinfeld $\mathbb{F}_q[T]$ -module defined over the prime \mathbb{A} -field $\mathbb{F}_{\mathcal{P}}$ as in the above example (see [4, Section 5]). Then the characteristic polynomial of ϕ can be shown easily to be

$$P_{\phi}(X) = X^2 - (-1)^{\deg \mathcal{P}} \left\{ \frac{\Delta}{\mathcal{P}} \right\}^{-1} H(\phi) X + (-1)^{\deg \mathcal{P}} \left\{ \frac{\Delta}{\mathcal{P}} \right\}^{-1} \mathcal{P},$$

where $H(\phi)$ is the Hasse invariant of the Drinfeld module ϕ , identified as a polynomial in $\mathbb{F}_q[[T]]$ with degree less than $\deg \mathcal{P}$. Recall that $H(\phi)$ is actually the coefficient of $\tau^{\deg \mathcal{P}}$ in $\phi_{\mathcal{P}}$. It follows that the invariants $P_{\phi}(X)$ (hence also the Euler–Poincaré characteristic of the finite $\mathbb{F}_q[T]$ -module $\phi(\mathbb{F}_{\mathcal{P}})$) can be efficiently computed.

4. Sign of the Trace

We retain assumptions and notations from Section 3. Let $L(\tau)$ be the division ring of fractions of $L\{\tau\}$. The Drinfeld \mathbb{A} -module $\phi : \mathbb{A} \rightarrow L\{\tau\}$ is regarded as an embedding so that ϕ extends to an embedding of K into $L(\tau)$. We identify K with its image as a subfield contained in $L(\tau)$. In the following, the notation $\deg_{\tau}(a)$ denotes the degree in τ for $a \in \mathbb{A}$. The identity $\deg_{\tau}(a) = r \cdot \deg(a)$ holds. Let $K(F)$ be the extension of K generated by $F := \text{Frob}_L$. Note that Frob_L commutes with \mathbb{A} -action. It follows that $K(F)/K$ is a field extension. Recall the following basic facts about $K(F)$ and $\text{End}_L(\phi)$ from [3, 4, 13]:

THEOREM 4.1. (1) $\text{End}_L(\phi) \otimes_{\mathbb{A}} K$ is a central division algebra over $K(F)$ and $\dim_{K(F)} \text{End}_L(\phi) \otimes_{\mathbb{A}} K = (r')^2$ where $r' = r/[K(F) : K]$ is an integer.

(2) There is only one place ∞' of $K(F)$ that is above ∞ corresponding to the pole of F . Let $K(F)_{\infty}$ denote the completion of $K(F)$ at the place ∞' . Then $K(F)_{\infty} = K(F) \otimes K_{\infty}$ and $[K(F) : K] = [K(F)_{\infty} : K_{\infty}] = ef$ where e, f are the ramification index and residue degree of $K(F)_{\infty}/K_{\infty}$ respectively.

(3) Let $M_{\phi}(X)$ be the minimal polynomial of F over K then the characteristic polynomial P_{ϕ} of F acting on Tate modules is related to $M_{\phi}(X)$ by the identity $P_{\phi}(X) = M_{\phi}(X)^{r'}$.

(4) The valuation v_{∞} at the infinite place has an extension which we still use the same notation $v_{\infty} : K(F)^* \rightarrow \mathbb{Q}$ so that $v_{\infty}(F) = -n/r$. Moreover, all roots of $P_{\phi}(X)$ have the same valuation $-n/r$.

Write

$$P_{\phi}(X) = X^r - a_1 X^{r-1} + \dots + (-1)^r a_r, \quad a_i \in \mathbb{A}.$$

It follows from Theorem 4.1 (4) that the coefficients a_i of $P_{\phi}(X)$ have valuation $v_{\infty}(a_i) \geq -in/r$. Define the function

$$\omega(a_i) = \begin{cases} \text{sgn}(a_i) & \text{if } v_{\infty}(a_i) = -in/r, \\ 0 & \text{otherwise.} \end{cases} \tag{9}$$

Note $\omega(a_i)$ is necessarily 0 if $i \cdot n$ is not divisible by r .

Let E be a maximal commutative field in $\text{End}_L(\phi) \otimes_{\mathbb{A}} K$ containing $K(F)$. Then, E is of degree $r'[K(F) : K] = r$ over K . It follows from the proof of [13, Theorem 1] that assertions (2), (3) and (4) of Theorem 4.1 remain valid with $K(F)$ replaced by E . We'll denote by ∞_E the unique place of E that lies above ∞ and E_{∞} the completion of E at the place ∞_E . We fix an extension of v_{∞} to E^* and denote this extension by v_{∞} again so that $v_{\infty}(F) = -n/r$. Note that in this case, we have $ef = r$. In the remainder of this section, e, f are reserved to denote the ramification index and the residue degree of E_{∞} over K_{∞} . Therefore, the residue field $E(\infty) \simeq \mathbb{F}_{q_{\infty}^f}$. We use the notation $|B| = q_{\infty}^{-v_{\infty}(B)}$ to denote the absolute value of $B \in E_{\infty}$. Put $\mathbb{A}_E = E \cap \text{End}_L(\phi)$. Since $E \subset \text{End}_L(\phi) \otimes_{\mathbb{A}} K$, for any $B \in E$ there exists an $a \in \mathbb{A}$ such that $aB \in \text{End}_L(\phi)$. It follows that E is the quotient field of \mathbb{A}_E .

Let E_{∞}^* act on \bar{L}^* in the following way

$$x \cdot \xi = \xi^{|x|^r}, \quad \text{for } \xi \in \bar{L}^* \text{ and } x \in E_{\infty}^*.$$

If $|x|^r < 1$, then $\xi^{|x|^r}$ means the unique element $\lambda \in \bar{L}^*$ such that $\lambda^{1/|x|^r} = \xi$. For any nonzero element $x \in \mathbb{A}_E \subset L\{\tau\}$, let $\Delta_x \in L^*$ be the leading coefficient of x in τ . The leading coefficient map $\mu_{\phi} : \mathbb{A}_E \setminus \{0\} \rightarrow L^*$ defined by $\mu_{\phi}(x) = \Delta_x$, satisfies the following relation

$$\mu_{\phi}(xy) = \mu_{\phi}(x)\mu_{\phi}(y)^{|x|^r} = (x \cdot \mu_{\phi}(y))\mu_{\phi}(x).$$

It is clear that μ_ϕ is a cocycle on the monoid $\mathbb{A}_E \setminus \{0\}$. Let $z = y/x \in E^*$ be any non-zero element with $x, y \in \mathbb{A}_E$. We extend the leading coefficient map μ_ϕ to E^* by setting

$$\mu_\phi(z) \stackrel{\text{def}}{=} \mu_\phi(zx)\mu_\phi(x)^{-|z|^r} = \mu_\phi(y)\mu_\phi(x)^{-|z|^r}.$$

Note that for any nonzero $x' \in \mathbb{A}_E$,

$$\begin{aligned} \mu_\phi(zxx')\mu_\phi(xx')^{-|z|^r} &= \mu_\phi(zx)\mu_\phi(x')^{|zx|^r} \mu_\phi(x)^{-|z|^r} \mu_\phi(x')^{-|zx|^r} \\ &= \mu_\phi(zx)\mu_\phi(x)^{-|z|^r}. \end{aligned}$$

Let $z = y'/x'$ be another representative of z . We have

$$\mu_\phi(zx)\mu_\phi(x)^{-|z|^r} = \mu_\phi(zxx')\mu_\phi(xx')^{-|z|^r} = \mu_\phi(zx')\mu_\phi(x')^{-|z|^r}$$

since x, x' commute. Thus the definition is independent of representatives of z . Note that μ_ϕ also extends to a cocycle on E^* . Denote by U_1 the principal unit group in E_∞^* .

LEMMA 4.2. *For any $u \in E^* \cap U_1$, we have $\mu_\phi(u) = 1$.*

Proof. Let $u = y/x \in E^*$ be any 1-unit. By definition, we have

$$\mu_\phi(u) = \mu_\phi(y)\mu_\phi(x)^{-1}.$$

As u is a 1-unit, $v_\infty((y-x)/x) = v_\infty(u-1) > 0$. Consequently, $\mu_\phi(x) = \mu_\phi(y)$ and hence $\mu_\phi(u) = 1$. □

As shown by Lemma 4.2, μ_ϕ is continuous with respect to ∞_E -adic topology on E^* . It has a unique extension to E_∞^* which we still denote by μ_ϕ . Put $\mu_\phi(0) = 0$.

LEMMA 4.3. *The restriction of μ_ϕ on $E(\infty)$ gives an \mathbb{F}_q -embedding of fields $E(\infty) \rightarrow \bar{L}$.*

Proof. (Following [9, Prop. 4.5].)

It suffices to show $\mu_\phi(1-\alpha) = 1 - \mu_\phi(\alpha)$ for all $\alpha \in E(\infty)$. Clearly, we only need to check the identity for $\alpha \neq 0, 1$. By continuity, we may choose unit $z = y/x \in E$ with $x, y \in \mathbb{A}_E$ so that $\mu_\phi(z) = \mu_\phi(\alpha)$ and $\mu_\phi(1-z) = \mu_\phi(1-\alpha)$. Note that $z \notin U_1$, therefore $v_\infty(x-y) = v_\infty(x) = v_\infty(y)$ which implies $\mu_\phi(x-y) = \mu_\phi(x) - \mu_\phi(y)$. Thus, $\mu_\phi(1-z) = 1 - \mu_\phi(z)$. □

We summarize the two properties proved in Lemma 4.2 and 4.3 as follows:

- (i) μ_ϕ is a continuous cocycle on E_∞^* , i.e. $\mu_\phi(xy) = \mu_\phi(x)\mu_\phi(y)^{|x|^r}$ for all $x, y \in E_\infty^*$ ([8, Prop. 7.2.12.2]),
- (ii) the restriction of μ_ϕ to $E(\infty)^*$ is a restriction of an \mathbb{F}_q -embedding of fields $E(\infty) \rightarrow \bar{L}$, [8, Remark 7.2.13].

By definition, a coboundary $\delta(\xi)$ is determined by element $\xi \in \bar{L}^*$ such that

$$\delta(\xi)_x = (x \cdot \xi)\xi^{-1} = \xi^{|x|^r - 1}$$

for all $x \in E_\infty^*$. It follows directly from the definition that cocycles which are cohomologous to μ_ϕ enjoy the same properties as μ_ϕ does.

Let π_∞ be a uniformizer of K_∞ such that $\text{sgn}(\pi_\infty) = 1$. Put $\Delta = \mu_\phi(\pi_\infty^{-1})$ and let us fix any $(q_\infty^r - 1)$ -root of Δ , denoted by ξ_Δ . Set $c_\Delta = \mu_\phi / \delta(\xi_\Delta)$. Then c_Δ is a cocycle on E_∞^* which is cohomologous to μ_ϕ ; furthermore, c_Δ satisfies properties (i) and (ii) above.

PROPOSITION 4.4. *c_Δ takes values in $\mathbb{F}_{q_\infty}^*$.*

Proof. Fix a uniformizer π_E of E_∞ . For any $x \in E_\infty^*$, there exist a $\epsilon_x \in E(\infty)$ and a $w_x \in U_1$ such that $x = \epsilon_x w_x \pi_E^{e_{v_\infty}(x)}$. By the cocycle relation,

$$\begin{aligned} c_\Delta(x) &= c_\Delta(\epsilon_x) c_\Delta(\pi_E^{e_{v_\infty}(x)}) \\ &= c_\Delta(\epsilon_x) c_\Delta(\pi_E)^{(|x|^r - 1)/(|\pi_E|^r - 1)} \end{aligned}$$

Since c_Δ is an embedding of $E(\infty)$ it follows that $c_\Delta(\epsilon_x) \in E(\infty) \simeq \mathbb{F}_{q_\infty^f}$. Also, note that $|\pi_E|^r - 1$ divides $|x|^r - 1$. It suffices to prove the proposition for $x = \pi_E^{-1}$. We have $\pi_E^{-e} = \epsilon w \pi_\infty^{-1}$ for some $\epsilon \in E(\infty)$ and $w \in U_1$. Then,

$$\begin{aligned} (c_\Delta(\pi_E^{-1}))^{(|\pi_E^{-1}|^{er} - 1)/(|\pi_E^{-1}|^r - 1)} &= c_\Delta(\pi_E^{-e}) \\ &= c_\Delta(\epsilon) c_\Delta(\pi_\infty^{-1}) \\ &= c_\Delta(\epsilon). \end{aligned}$$

Note that $ef = r$ and $|\pi_E^{-1}|^e = q_\infty$, we have the identity

$$(c_\Delta(\pi_E^{-1}))^{(q_\infty^r - 1)/(q_\infty^f - 1)} = c_\Delta(\epsilon).$$

Since $c_\Delta(\epsilon) \in E(\infty) \simeq \mathbb{F}_{q_\infty^f}$,

$$(c_\Delta(\pi_E^{-1}))^{q_\infty^r - 1} = 1.$$

The proposition now follows. □

COROLLARY 4.5. *The restriction $c_\Delta|_{K_\infty^*}$ of the cocycle c_Δ to K_∞^* is equal to a twisted sign function of sgn .*

Proof. It follows from the fact that $c_\Delta(x)$ is an integer for all $x \in K_\infty^*$ and the cocycle relation, we have $c_\Delta(xy) = c_\Delta(x) c_\Delta(y)$ for all $x, y \in K_\infty^*$. Moreover, c_Δ gives rise to an \mathbb{F}_q -automorphism of $K(\infty)$. As a consequence, c_Δ and $\gamma \circ \text{sgn}$ are identical on the unit group of K_∞^* for some $\gamma \in \text{Gal}(K(\infty)/\mathbb{F}_q)$. Therefore, $c_\Delta/\gamma \circ \text{sgn}$ factors through $v_\infty : K_\infty^* \rightarrow \mathbb{Z}$. There exists a $\lambda \in \mathbb{F}_{q_\infty}^*$ such that $c_\Delta(x) = \gamma \circ \text{sgn}(x) \lambda^{v_\infty(x)}$

for all $x \in K_\infty^*$. Let $x = \pi_\infty^{-1}$, then $\lambda^{-1} = c_\Delta(\pi_\infty^{-1}) = 1$. Hence, c_Δ and $\gamma \circ \text{sgn}$ agree on K_∞^* and this proves the Corollary. \square

We shall fix any such cocycle and we denote it by $\widetilde{\text{sgn}}$. By Theorem 4.5, $\widetilde{\text{sgn}}|_{K_\infty} = \gamma \circ \text{sgn}$ for some $\gamma \in \text{Gal}(K(\infty)/\mathbb{F}_q)$. We now prove the main result of this section.

THEOREM 4.6. *Assume $r \mid n$ and put $\delta = \Delta^{(q_\infty^n - 1)/(q_\infty^r - 1)} \in \mathbb{F}_{q_\infty}^*$. Let $\tilde{\omega} = \gamma \circ \omega$. Then, the characteristic polynomial of the scalar multiplication by $\widetilde{\text{sgn}}(F) = \delta^{-1}$ on $\mathbb{F}_{q_\infty}^*$ over \mathbb{F}_{q_∞} is*

$$\tilde{\omega}(P_\phi(X)) := X^r - \tilde{\omega}(a_1) X^{r-1} + \dots + (-1)^{r-1} \tilde{\omega}(a_{r-1}) X + (-1)^r \tilde{\omega}(a_r).$$

In particular, we have

$$\tilde{\omega}(a_1) = \text{Tr}(\delta^{-1}), \quad \tilde{\omega}(a_r) = \gamma \circ \text{sgn}(a_r) = \mathbf{N}(\delta^{-1})$$

where $\text{Tr} : \mathbb{F}_{q_\infty} \rightarrow \mathbb{F}_{q_\infty}$ and $\mathbf{N} : \mathbb{F}_{q_\infty}^* \rightarrow \mathbb{F}_{q_\infty}^*$ are the trace and norm respectively.

Proof. Let K'_∞ denote the maximal unramified subfield of E_∞ over K_∞ . It follows that E_∞ over K'_∞ is a totally ramified extension of degree e . As the extension K'_∞/K_∞ is unramified, it is Galois and is the constant field extension. The Galois group $\text{Gal}(K'_\infty/K_\infty)$ is thus generated by σ which restricts to the Frobenius automorphism of \mathbb{F}_{q_∞} over \mathbb{F}_q . Choose an automorphism of \overline{K}_∞ lifting σ and denoted this lifting by σ again. Let $\tau_j : E_\infty \rightarrow \overline{K}_\infty$ be K'_∞ -embeddings of E_∞ with $1 \leq j \leq e$. Here, each embedding τ_j is occurred with multiplicity equal to the inseparable degree of E_∞ over K'_∞ . Over \overline{K}_∞ , we then have

$$P_\phi(X) = \prod_{i=0}^{f-1} \prod_{j=1}^e (X - \sigma^i \tau_j F).$$

Since $r \mid n$, we may express the Frobenius element F as follows

$$F = \rho w \pi_\infty^{-n/r}$$

where $\rho \in \mathbb{F}_{q_\infty}$ and $w \in U_1$ is a 1-unit. Applying $\widetilde{\text{sgn}}$ on both sides, it follows $\widetilde{\text{sgn}}(\rho) = \widetilde{\text{sgn}}(F) = 1/\delta$. Note that $\widetilde{\text{sgn}}$ is an \mathbb{F}_q -embedding of $E(\infty)$ into $\overline{\mathbb{F}}_q$ and its restriction on \mathbb{F}_{q_∞} is equal to γ , $\widetilde{\text{sgn}}|_{E(\infty)}$ is actually an extension of γ .

Let's rewrite $P_\phi(X)$ as follows

$$\begin{aligned} P_\phi(X) &= \prod_{i=0}^{f-1} \prod_{j=1}^e (X - (\sigma^i \rho) w_i^{(j)} \pi_\infty^{-n/r}) \quad \text{where } w_i^{(j)} = \sigma^i \tau_j w, \\ &= \pi_\infty^{-n} \prod_{j=1}^e \prod_{i=0}^{f-1} (\pi_\infty^{n/r} X - (\sigma^i \rho) w_i^{(j)}) \end{aligned}$$

Consider the polynomial

$$h(X) = \pi_\infty^n P_\phi(\pi_\infty^{-n/r} X) = \prod_{j=1}^e \prod_{i=0}^{f-1} (X - (\sigma^i \rho) w_i^{(j)}) \tag{10}$$

$$= X^r - a'_1 X^{r-1} + \dots + (-1)^r a'_r \tag{11}$$

Note that $a'_i = \pi_\infty^{in/r} a_i$ and $v_\infty(a'_i) \geq 0$. By reducing $h(X)$ modulo π_∞ , we put

$$\bar{h}(X) := X^r - \bar{a}'_1 X^{r-1} + \dots + (-1)^r \bar{a}'_r$$

where \bar{a}'_i denote the unique element in $K(\infty)$ which is congruent to the reduction $a'_i \pmod{\pi_\infty}$. Observe that, by definition, $\bar{a}'_i = \omega(a_i)$. On the other hand, note that $w_i^{(j)}$ are all 1-unit. We also have

$$\bar{h}(X) = \prod_{j=1}^e \prod_{i=0}^{f-1} (X - \sigma^i \rho) = \left\{ \prod_{i=0}^{f-1} (X - \sigma^i \rho) \right\}^e \tag{12}$$

by (10). As $\rho = \widetilde{\text{sgn}}^{-1}(1/\delta)$ over \mathbb{F}_q and $\text{Gal}(E(\infty)/\mathbb{F}_q)$ is abelian, (12) implies that $\widetilde{\text{sgn}}(\bar{h}(X))$ is the characteristic polynomial of $1/\delta$ over \mathbb{F}_{q^∞} as the scalar multiplication on \mathbb{F}_{q^∞} . The theorem now follows by observing that $\widetilde{\text{sgn}}(\bar{h}(X)) = \tilde{\omega}(P_\phi(X))$. \square

Remark 4. (1) In fact, using the same arguments as in Corollary 4.5, the restriction of the cocycle sgn to K'_∞ can be shown to be a twisted sign function on K'_∞ .

(2) Taking norm down to \mathbb{F}_q , we have that

$$\mathbf{N}_{\mathbb{F}_q}^{K(\infty)}(\text{sgn}(a_r)) = \mathbf{N}_{\mathbb{F}_q}^{K(\infty)}(\widetilde{\text{sgn}}(a_r)) = \mathbf{N}_{\mathbb{F}_q}^L\left(\frac{1}{\Delta}\right).$$

(3) With a little more effort, we can show that $\widetilde{\text{sgn}}(a_r) = (-1)^{n(e+1)} \mathbf{N}_{\mathbb{F}_{q^\infty}}^L(\Delta)^{-1}$ without assuming $r \mid n$. By the congruence $ne \equiv nr \pmod{2}$, this leads to another proof of Theorem 3.2 (see also Remark 1).

5. Distribution of the Signs

Although our arguments can be extended to general cases, for the sake of simplicity and practical purpose, we'll assume the closed point ∞ of \mathcal{C} is rational over \mathbb{F}_q in the remaining of this paper. We restate Theorem 4.6 in this case as follows.

THEOREM 5.1. *Assume $r \mid n$ and put $\delta = \Delta^{(q^n-1)/(q^r-1)} \in \mathbb{F}_q^*$. Then, the characteristic polynomial of the scalar multiplication by $\text{sgn}(F) = \delta^{-1}$ on \mathbb{F}_{q^r} is*

$$\omega(P_\phi(X)) := X^r - \omega(a_1) X^{r-1} + \dots + (-1)^{r-1} \omega(a_{r-1}) X + (-1)^r \omega(a_r).$$

In particular, we have

$$\omega(a_1) = \text{Tr}_{\mathbb{F}_q}^{\mathbb{F}_{q^r}}(\delta^{-1}),$$

$$\omega(a_r) = \text{sgn}(a_r) = \text{N}_{\mathbb{F}_q}^{\mathbb{F}_{q^r}}(\delta^{-1})$$

where $\text{Tr}_{\mathbb{F}_q}^{\mathbb{F}_{q^r}} : \mathbb{F}_{q^r} \rightarrow \mathbb{F}_q$ and $\text{N}_{\mathbb{F}_q}^{\mathbb{F}_{q^r}} : \mathbb{F}_{q^r}^* \rightarrow \mathbb{F}_q^*$ are the trace and norm respectively.

Let L be a global function field over finite field and we assume that L is an \mathbb{A} -field of generic characteristic. Fix ϕ to be a fixed rank r Drinfeld \mathbb{A} -module over L . Then there is a finite set of places, denoted by S , of L so that ϕ has good reduction outside S [2]. Let \mathcal{O}_L be the integral closure of \mathbb{A} in L . Extending S , if necessary, we may assume that S contains places of L which are above ∞ and that ϕ is defined over S -integers of L .

NOTATIONS.

- p : the characteristic of \mathbb{A} = the characteristic of \mathbb{F}_q ,
- M_L : the set of places of L ,
- $L(v)$: the residue field at the place $v \in M_L$,
- n_v : the degree of $L(v)$ over \mathbb{F}_q ,
- $\text{Frob}_v := \tau^{n_v}$ the geometric Frobenius endomorphism of \mathbb{G}_a over $L(v)$.

Consider any place $v \notin S$ and by choice, ϕ has good reduction at v . We thus have a well defined characteristic polynomial $P_{\phi,v}(X)$ associated with Frob_v at v . The polynomial $P_{\phi,v}(X) \in \mathbb{A}[X]$ has the form as given in (2). To indicate the dependence on v , we change the notation as follows:

$$P_{\phi,v}(X) = X^r - a_{1,v}X^{r-1} + \dots + (-1)^r a_{r,v}. \tag{13}$$

Set \mathcal{P}_v to be the monic element of \mathbb{A} such that $a_{r,v} = \epsilon_v \mathcal{P}_v$. In this section, we will be interested in the distribution of the signs ϵ_v as v varies.

Given

$$\phi_a = a\tau^0 + g_1\tau + \dots + \Delta_a\tau^{r \deg(a)}$$

with $g_i \in L$, $\Delta_a \in L^*$, for $a \in \mathbb{A} \setminus \mathbb{F}_q$. If a does not vanish at place v , then we have

$$\epsilon_v^{\deg(a)} = \text{N}_{\mathbb{F}_q}^{L(v)}((-1)^{(r+1)\deg(a)}\Delta_a)^{-1}$$

by Theorem 3.1. For any $b \in \mathcal{O}_L$ nonvanishing at v , the $(q - 1)$ th power residue symbol for b at v is by definition, the unique element $\left\{ \frac{b}{v} \right\}$ in \mathbb{F}_q^* such that $\left\{ \frac{b}{v} \right\} \equiv b^{(|v|-1)/(q-1)} \pmod{v}$. Here $|v|$ denotes the cardinality of the finite field $L(v)$.

We may rewrite Theorem 3.1 in terms of $(q - 1)$ -th power residue symbol

$$\epsilon_v^{\deg a} = \left\{ \frac{(-1)^{(r+1)\deg a} \Delta_a}{v} \right\}^{-1}. \tag{14}$$

Let $a, a' \in \mathbb{A}$ be two monic elements such that $(\deg(a), \deg(a')) = 1$. Enlarge S if necessary, we assume that S contains places where a, a' vanish. Let j, j' be two integers such that $j(|a|^r - 1) + j'(|a'|^r - 1) = q^r - 1$. Put $\Delta = \Delta_a^j \Delta_{a'}^{j'}$ then, as in Remark 2, we have

$$\epsilon_v = \left\{ \frac{(-1)^{r+1} \Delta}{v} \right\}^{-1}. \tag{15}$$

Define the subset N_η of M_L^0 as follows :

$$N_\eta = \{v \in M_L \setminus S : \epsilon_v = \eta\}, \quad \text{for } \eta \in \mathbb{F}_q.$$

We are interested in determining the Dirichlet density of N_η .

THEOREM 5.2. *Let ℓ be the smallest non-negative integer such that $((-1)^{r+1} \Delta)^\ell$ is in $(L^*)^{q-1}$. Then*

- (1) $\epsilon_v \in (\mathbb{F}_q^*)^{(q-1)/\ell}$ for all $v \notin S$,
- (2) given any $\eta \in (\mathbb{F}_q^*)^{(q-1)/\ell}$ the Dirichlet density for N_η is equal to $1/\ell$.

Proof. The assertion (1) follows from the definition of ℓ and the multiplicativity of power residue symbol. We proceed to prove (2). Let $L' = L(\sqrt[q-1]{(-1)^{r+1} \Delta})$ be the extension by adjoining any $(q - 1)$ th root of $(-1)^{r+1} \Delta$. As L contains $(q - 1)$ th roots of unity and $((-1)^{r+1} \Delta)^\ell \in (L^*)^{q-1}$ the extension L'/L is a Kummer extension and is cyclic of degree ℓ . It follows [12, III. 5.1],

$$(v, L'/L)_{\sqrt[q-1]{(-1)^{r+1} \Delta}} = \left\{ \frac{(-1)^{r+1} \Delta}{v} \right\}^{\sqrt[q-1]{(-1)^{r+1} \Delta}} = \epsilon_v^{-1} \sqrt[q-1]{(-1)^{r+1} \Delta},$$

where $(v, L'/L)$ is the Artin symbol. Now assertion (2) follows from Čebotarev density theorem. □

Remark 5. The integer ℓ appeared in Theorem 5.2 is an invariant which is independent of the choice of a, a' with $(\deg(a), \deg(a')) = 1$.

EXAMPLE 2. We consider the special case that $\mathbb{A} = \mathbb{F}_q[T]$ and $L = K = \mathbb{F}_q(T)$ which is the most interesting case in practice. Let ϕ be a $\mathbb{F}_q[T]$ -modules over L

of rank r . Then ϕ is given by

$$\phi_T = T\tau^0 + g_1\tau + \cdots + g_{r-1}\tau^{r-1} + \Delta\tau^r,$$

where $g_1, \dots, g_{r-1} \in L$ and $\Delta \in L^*$. Let S be the set of prime ideals of \mathbb{A} such that g_1, \dots, Δ are S -integers. Let $\mathfrak{p} \notin S$ be a prime ideal and \mathcal{P} be its monic generator. By taking $\alpha = (T)$ in Theorem 3.1 and applying Theorem 5.2, we conclude that:

- (1) $\epsilon_{\mathfrak{p}} = \left\{ \frac{(-1)^{(r+1)\Delta}}{\mathcal{P}} \right\}^{-1}$ and
- (2) the Dirichlet density for N_{η} is equal to $1/\ell$ for any given $\eta \in (\mathbb{F}_q^*)^{(q-1)/\ell}$ where ℓ is as defined in Theorem 5.2.

EXAMPLE 3. Consider the rank 2 Drinfeld $\mathbb{F}_5[T]$ -module $\phi_T = T\tau^0 + \tau + T\tau^2$ defined over $\mathbb{F}_5(T)$. Then $\ell = 4$ for this particular module. Computation gives

deg v	# of $\epsilon_v = 1$	# of $\epsilon_v = 2$	# of $\epsilon_v = 3$	# of $\epsilon_v = 4$
1	1	1	1	1
2	2	3	3	2
3	10	10	10	10
4	36	39	39	36

6. Distribution of the Degrees of Traces

Let assumptions be the same as those in Section 5. The coefficient $a_{1,v}$ of the characteristic polynomial $P_{\phi,v}(X)$ has degree at most n_v/r . It is natural to ask how often the Frobenius trace has degree equal to n_v/r as v varies. We are interested in the following set of places

$$\mathcal{T}_{\phi} = \left\{ v \in M_L \setminus S : \text{deg}(a_{1,v}) = \frac{n_v}{r} \right\}.$$

Let \mathbb{F}_L denote the constant field of L . Moreover let s be the smallest positive integer such that $\Delta^s \in (L^*)^{q^r-1}$. Our main theorem in this section is the following.

THEOREM 6.1. (1) Let $H_s = (\mathbb{F}_q^*)^{(q^r-1)/s}$, and let u be the cardinality of the set $H_s \cap \ker(\mathbf{Tr}_{\mathbb{F}_q^r}^{\mathbb{F}_q})$. Then the Dirichlet density of \mathcal{T}_{ϕ} is equal to $(s-u)/(s[\mathbb{F}_q : \mathbb{F}_L])$.
 (2) Assume that r is relatively prime to p . Let $\{p_1, \dots, p_t\}$ be the set of prime factors of s . Set $t=0$ if $s=1$. Then the Dirichlet density of the set \mathcal{T}_{ϕ} is greater than $(2t+1)/(s[\mathbb{F}_q : \mathbb{F}_L])$ if s is odd and greater than $2t/(s[\mathbb{F}_q : \mathbb{F}_L])$ if s is even. In particular, the Dirichlet density for \mathcal{T}_{ϕ} is always positive.
 (3) Assume that r is divisible by p then the following statements are equivalent.

- (i) \mathcal{T}_{ϕ} is empty,
- (ii) the Dirichlet density for \mathcal{T}_{ϕ} is zero,

(iii) $[\mathbb{F}_{q^r} : \mathbb{F}_q(H_s)]$ is divisible by p .

Proof. Let L_r be the extension of L by adjoining $(q^r - 1)$ -th roots of unity and let $L_\Delta = L_r(\sqrt[q^r]{\Delta})$. We have $\text{Gal}(L_r/L) \simeq \text{Gal}(\mathbb{F}_{q^r}/\mathbb{F}_L)$ and $\text{Gal}(L_\Delta/L_r) \simeq H_s$. Let G denote the Galois group of L_Δ/L . Then the Galois group G is an extension of the group $\text{Gal}(\mathbb{F}_{q^r}/\mathbb{F}_L)$ by H_s and the cardinality of G is equal to $s[\mathbb{F}_{q^r} : \mathbb{F}_L]$. Note that by fixing a $(q^r - 1)$ -th root $\sqrt[q^r]{\Delta}$ of Δ , the isomorphism $\text{Gal}(L_\Delta/L_r) \simeq H_s$ is given by $\gamma \mapsto \zeta_\gamma$ such that $\gamma(\sqrt[q^r]{\Delta}) = \zeta_\gamma \sqrt[q^r]{\Delta}$ for some $\zeta_\gamma \in H_s$. Moreover $\text{Gal}(\mathbb{F}_{q^r}/\mathbb{F}_L)$ acts on $\text{Gal}(L_\Delta/L_r)$ and the action is given by

$$(\sigma * \gamma)(\sqrt[q^r]{\Delta}) = \sigma(\zeta_\gamma) \sqrt[q^r]{\Delta} \tag{16}$$

for $\gamma \in \text{Gal}(L_\Delta/L_r)$ and $\sigma \in \text{Gal}(\mathbb{F}_{q^r}/\mathbb{F}_L)$. This action corresponds to conjugation in G . Namely, for any lifting $\tilde{\sigma} \in G$ of σ we have $\tilde{\sigma}\gamma\tilde{\sigma}^{-1} = \sigma * \gamma$. For places $v \in M_L^0 \setminus S$, we note that $\omega(a_{1,v}) = 0$ unless n_v is divisible by r . Thus we only need to consider places v such that the degrees n_v are multiples of r . Let w denote any place of L_Δ which lies above v and let $[w, L_\Delta/L]$ denote the Frobenius automorphism attached to w . Then the conjugacy class of $[w, L_\Delta/L]$ is the Artin symbol $(v, L_\Delta/L)$. Since the degree of v is a multiple of r , the place v splits completely in L_r and the restriction of $[w, L_\Delta/L]$ to L_r is the identity automorphism of L_r . Hence $[w, L_\Delta/L]$ lies in $\text{Gal}(L_\Delta/L_r)$, denoted by γ_w . Let $\zeta_{\gamma_w} \in H_s$ denote the image of γ_w under the isomorphism $\text{Gal}(L_\Delta/L_r) \simeq H_s$. By (16), the conjugacy class $(v, L_\Delta/L)$ is the set of Galois conjugates of ζ_{γ_w} regarded as elements over \mathbb{F}_q . We have

$$\zeta_{\gamma_w} \equiv \Delta^{(q^{n_v}-1)/(q^r-1)} \pmod{\mathfrak{p}_v} \tag{17}$$

for some $\gamma_w \in (v, L_\Delta/L)$. Therefore, by Theorem 5.1, we see that $\omega(a_{1,v})$ is equal to the trace of $\zeta_{\gamma_w}^{-1}$ from \mathbb{F}_{q^r} to \mathbb{F}_q . By assumption, there are u elements of H_s which are of zero trace and note that H_s has cardinality s . As the set \mathcal{T}_ϕ corresponds to $\omega(a_{1,v}) \neq 0$, (1) follows from Čebotarev density theorem [11, Chap. 5].

For (2), we observe that H_s is the group of s -th roots of unity. It contains p_i -th roots of unity for any prime p_i that divides s . Let ζ_{p_i} denote any primitive p_i -th root of unity. We have either $\zeta_{p_i} \in \mathbb{F}_q^*$ or all $\zeta_{p_i}^j$, $1 \leq j \leq p_i - 1$, are not in \mathbb{F}_q .

If $\zeta_{p_i} \in \mathbb{F}_q^*$ then $\text{Tr}_{\mathbb{F}_q}^{\mathbb{F}_{q^r}}(\zeta_{p_i}) = r \zeta_{p_i}$ which is not zero since r is prime to p . The cardinality of such ζ_{p_i} is at least 2 unless $p_i = 2$ in which case the cardinality is 1. On the other hand, if $\zeta_{p_i} \notin \mathbb{F}_q$ then the set $\{\zeta_{p_i}, \zeta_{p_i}^2, \dots, \zeta_{p_i}^{p_i-1}\}$ is decomposed into disjoint union of Galois orbits over \mathbb{F}_q . We note that $\sum_{j=1}^{p_i-1} \zeta_{p_i}^j = -1$. As the total sum is nonzero there must exist one Galois orbit over \mathbb{F}_q whose sum is not zero. Since $\zeta_{p_i} \notin \mathbb{F}_q$ this orbit contains at least two elements. Let ξ_i be a representative of this orbit. Then $\text{Tr}_{\mathbb{F}_q}^{\mathbb{F}_{q^r}}(\xi_i) = w_i \text{Tr}_{\mathbb{F}_q}^{\mathbb{F}_q(\xi_i)}(\xi_i) \neq 0$ for some $w_i \mid r$. Take the unity 1 of H_s into account. Thus, the number of elements in H_s whose trace over \mathbb{F}_q is nonzero is at least $(2t + 1)$ for s odd and at least $2t$ for s even. Therefore we have (2).

We begin to prove (3). As (i) implying (ii) is clear we show that (iii) follows from (ii). Assume that $d_s = [\mathbb{F}_{q^r} : \mathbb{F}_q(H_s)]$ is prime to p . Since r is a multiple of p , the extension $\mathbb{F}_q(H_s)/\mathbb{F}_q$ must be nontrivial. On the other hand, H_s is generated by any primitive s -th roots of unity. It follows that $\mathbb{F}_q(\zeta_s) = \mathbb{F}_q(H_s)$ where $\zeta_s \in H_s$ is any primitive s -th roots of unity. Then the extension $\mathbb{F}_q(\zeta_s)/\mathbb{F}_q$ has $\{1, \zeta_s, \dots, \zeta_s^{(r/d_s)-1}\}$ as a basis. As $\mathbb{F}_q(\zeta_s)/\mathbb{F}_q$ is separable, $\{\zeta_s^i : 0 \leq i \leq (r/d_s) - 1\}$ cannot be all of zero trace from $\mathbb{F}_q(\zeta_s)$ to \mathbb{F}_q . Let ζ be an element of nonzero trace from $\mathbb{F}_q(\zeta_s)$ to \mathbb{F}_q among $\{\zeta_s^i : 0 \leq i \leq (r/d_s) - 1\}$. Since $\text{Tr}_{\mathbb{F}_{q^r}}^{\mathbb{F}_{q^r}}(\zeta) = d_s \text{Tr}_{\mathbb{F}_q}^{\mathbb{F}_q(\zeta_s)}(\zeta)$ and d_s is prime to p , it follows $\text{Tr}_{\mathbb{F}_q}^{\mathbb{F}_{q^r}}(\zeta)$ is nonzero. We have exhibited an element in H_s which has nonzero trace from \mathbb{F}_{q^r} to \mathbb{F}_q . By (1), the Dirichlet density of \mathcal{T}_ϕ is positive and therefore (ii) implies (iii).

Assume that d_s is divisible by p . Because $\text{Tr}_{\mathbb{F}_{q^r}}^{\mathbb{F}_{q^r}}(\xi) = d_s \text{Tr}_{\mathbb{F}_q}^{\mathbb{F}_q(\xi)}(\xi)$ for any $\xi \in \mathbb{F}_q(H_s)$ and d_s is a multiple of p we see that $\text{Tr}_{\mathbb{F}_{q^r}}^{\mathbb{F}_{q^r}}(\xi) = 0$ for all $\xi \in \mathbb{F}_q(H_s)$. In particular, all elements of H_s are of zero trace from \mathbb{F}_{q^r} to \mathbb{F}_q . Now, by Theorem 5.1, for places $v \in M_L^0 \setminus S$ such that $r \mid n_v$ $\omega(a_{1,v}) = \text{Tr}_{\mathbb{F}_{q^r}}^{\mathbb{F}_{q^r}}(\zeta_{\gamma_w}^{-1})$ where $\gamma_w \in (\mathfrak{p}_v, L_\Delta/L)$ and $\zeta_{\gamma_w} \in H_s$ is given by the $(q^r - 1)$ -th power residue symbol of Δ at the prime \mathfrak{p}_v . Thus \mathcal{T}_ϕ does not contain any place $v \in M_L^0 \setminus S$ with $r \mid n_v$. As for places such that $r \nmid n_v$, $\deg(a_{1,v})$ is less than n_v/r already. Therefore, \mathcal{T}_ϕ is empty and the proof of (3) is completed. \square

EXAMPLE 4. We consider the case that $\mathbb{A} = \mathbb{F}_q[T]$ and that $L = \mathbb{F}_q(T)$. Let ϕ be a rank r Drinfeld \mathbb{A} -module over L given by

$$\phi_T = T\tau^0 + g_1\tau + \dots + g_{r-1}\tau^{r-1} + \Delta\tau^r.$$

Assume that $s = q^r - 1$ for Δ , that is, Δ is of order $q^r - 1$ in $L^*/(L^*)^{q^r-1}$. In this case, H_s is the full group $\mathbb{F}_{q^r}^*$. There are exactly $q^{r-1} - 1$ elements of trace zero over \mathbb{F}_q in $\mathbb{F}_{q^r}^*$. Hence the number of elements with nonzero trace over \mathbb{F}_q is $q^r - q^{r-1}$. Also, $\mathbb{F}_L = \mathbb{F}_q$, by Theorem 6.1 (1), the Dirichlet density for \mathcal{T}_ϕ is $q^{r-1}(q - 1)/(r(q^r - 1))$. In particular if $\phi_T = T\tau^0 + \tau + T\tau^2$ and $q = 3$, the density of \mathcal{T}_ϕ for this particular Drinfeld module is $3/8$. The proportion of places v of fixed even degree d in \mathcal{T}_ϕ should go to $3/4$ as d goes to infinity. One checks that among those places of degree 2, the proportion in \mathcal{T}_ϕ is $2/3$, those of degree 4, the proportion is $7/9$, and those of degree 6, the proportion is $3/4$.

EXAMPLE 5. Let \mathbb{A}, ϕ, L be as given in Example 4. Assume that $s = 1$, that is Δ is of $(q^r - 1)$ -th power in L^* . By passing to isomorphism class over L we may assume that $\Delta = 1$. If r is prime to p then 1 is of nonzero trace; if r is a multiple of p then the trace from \mathbb{F}_{q^r} to \mathbb{F}_q of 1 is zero. It follows that the Dirichlet density of \mathcal{T}_ϕ is $1/[\mathbb{F}_{q^r} : \mathbb{F}_q]$ if r is prime to p and the Dirichlet density is zero if r is divisible by p .

As a last application of Theorem 5.1, we study the more general question that how often all the coefficients of the characteristic polynomial attain their maximal

degrees (i.e. $\deg a_i = (in_v)/r$). We define the following set

$$\mathcal{D}_\phi = \{v \in M_L \setminus S : \deg(a_{i,v}) = \frac{in_v}{r}, 1 \leq i \leq r\}.$$

It's clear that if $r \nmid n_v$ then $v \notin \mathcal{D}_\phi$. Following the method used in the proof of Theorem 6.1, we have the following

THEOREM 6.2. *Assume that p is greater than r then the Dirichlet Density for \mathcal{D}_ϕ is always positive.*

Proof. Following the notations of the proof of Theorem 6.1, we let L_r be the extension of L by adjoining $(q^r - 1)$ -th roots of unity and $L_\Delta = L_r(\sqrt[q^r]{\Delta})$. Let G and H_s be defined as in the proof of Theorem 6.1. As remarked above, we only need to consider places v such that $v \notin S$ and $r \mid n_v$. In this case, let w be any place lying above v . It follows the Frobenius automorphism $[w, L_\Delta/L]$ attached to w lies in $\text{Gal}(L_\Delta/L_r)$, denoted by γ_w . Let ζ_{γ_w} be the image of the isomorphism $\text{Gal}(L_\Delta/L_r) \simeq H_s$ (see the first part of the proof of Theorem 6.1). We have the congruence relation (17),

$$\zeta_{\gamma_w} \equiv \Delta^{(q^{n_v}-1)/(q^r-1)} \pmod{\mathfrak{p}_v}$$

for an appropriate $(q^r - 1)$ -th root of $\Delta^{(q^{n_v}-1)}$. By Theorem 5.1, the characteristic polynomial of $\zeta_{\gamma_w}^{-1}$ viewed as scalar multiplication on \mathbb{F}_{q^r} is

$$\omega(P_{\phi,v}(X)) := X^r - \omega(a_{1,v})X^{r-1} + \dots + (-1)^r \omega(a_{r,v})$$

and $\deg a_i = (in_v)/r$ if and only if $\omega(a_i) \neq 0$. We consider the places v such that $\zeta_{\gamma_v} = 1 \in H_s$. The characteristic polynomial of 1 as scalar multiplication on \mathbb{F}_{q^r} is just

$$\omega(P_{\phi,v}(X)) = (X - 1)^r.$$

Since $p > r$ all the coefficients of $\omega(P_{\phi,v}(X))$ are non-zero and since H_s always contains 1, it follows \mathcal{D}_ϕ has Dirichlet density greater than or equal to $1/(s[\mathbb{F}_{q^r} : \mathbb{F}_L])$. Now the conclusion follows. □

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