

SEMIGROUP-GRADED RINGS WITH FINITE SUPPORT

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1. Introduction. Let S be a semigroup and let $R = \bigoplus_{s \in S} R_s$ be an S -graded ring. If $R_s = 0$ for all but finitely many elements $s \in S$, then R is said to have *finite support*. In this paper we concern ourselves with the question of whether a graded ring R with finite support inherits a given ring theoretic property from the homogeneous subrings R_e corresponding to idempotent semigroup elements e .

When S is finite, an approach based on the structure theory of semigroups has led to an affirmative answer to this question for perfect, semilocal and semiprimary rings [3], for Jacobson rings [4], and for PI-rings [11].

If S is an arbitrary semigroup and R has finite support, then structure theoretic methods at the semigroup level require dealing with principal factors which are 0-simple but not completely 0-simple. This approach leads to difficulties because the structure of such factors is not well understood. In the absence of such factors, the proofs developed for finite semigroups can be easily modified to handle the finite support case [2].

In this paper, we present a new method to deal with graded rings with finite support. For such rings, we obtain results of the form: if each subring R_e , e an idempotent in the support, is a perfect ring, then R is a perfect ring. The method relies on the class of rings under consideration (for example, perfect rings) being closed under various constructions (cf. Lemma 2); then induction on the size of the support is used to reduce to the case of a ring graded by a finite group.

This method avoids use of the structure theory of semigroups almost entirely, only requiring a simple result that a semigroup satisfying a certain property is in fact a finite group. Note also that the results obtained include the cases of rings graded by finite semigroups and group-graded rings with finite support.

For group-graded rings, there are many examples in the literature of results which hold for finite group-graded rings remaining true for group-graded rings with finite support (see, for example [1], [7], [12], [13], [14], [15]). However, each such result requires a separate method of proof; there seems to be no obvious structural reason why results can be extended in this way. The current paper, using ideas from semigroup-graded rings, provides a more general approach which perhaps clarifies why results extend from finite group-graded rings to graded rings with finite support.

We will give the proofs in detail for perfect rings, but our method works for semiprimary, semilocal, Jacobson, nilpotent, and PI-rings.

The results we obtain for semilocal and perfect rings complement nicely some recent results of Jespers and Okniński [10] which show that in many cases, a perfect (or semilocal), group- or semigroup-graded ring is, modulo its graded Jacobson radical, a graded ring with finite support.

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Of course, this work raises the question of whether an S -graded ring with finite support could not somehow be regraded by a finite semigroup S' , or at least a semigroup in which all 0-simple principal factors were completely 0-simple. If this were always possible, then the methods of [2], [3], [4], [11] suffice. We provide examples to show that this is not so.

2. Preliminaries. All rings are associative and do not necessarily have an identity element.

Write $J(R)$ for the Jacobson radical of a ring R . A ring R is *right T -nilpotent* if for every sequence x_1, x_2, x_3, \dots of elements of R , there is an n such that $x_n x_{n-1} \dots x_1 = 0$. We define *left T -nilpotent* similarly.

A ring R is *semilocal* if $R/J(R)$ is Artinian. R is *semiprimary* if it is semilocal and $J(R)$ is nilpotent. R is *right perfect* if it is semilocal and $J(R)$ is right T -nilpotent; *left perfect* is similarly defined. We shall use *perfect* to mean right perfect throughout, but all results obtained hold equally for left perfect rings.

A ring R is a *Jacobson ring* if $J(R/P) = 0$ for every prime ideal P of R .

Let S be a semigroup. An *S -graded ring* is a ring R together with a direct sum decomposition (as an additive group) $R = \bigoplus_{s \in S} R_s$ with the property that $R_s R_t \subseteq R_{st}$ for all $s, t \in S$. The summands R_s are called *homogeneous components* and elements of these summands are called *homogeneous elements*.

The *support* of R is the set $\text{supp}(R) = \{s \in S \mid R_s \neq 0\}$. We say that R has *finite support* if $\text{supp}(R)$ is a finite set.

Let I be an ideal of R . For $s \in S$, let $I_s = I \cap R_s$. If $I = \sum_{s \in S} I_s$, then I is a *homogeneous ideal*. In this case, $I = \bigoplus_{s \in S} I_s$ and $R/I = \bigoplus_{s \in S} R_s/I_s$ are S -graded rings. We may similarly define homogeneous left and right ideals.

If A is a subset of R , then $R^1 A$ denotes the left ideal generated by A , and similarly, AR^1 and $R^1 A R^1$ denote the right and two-sided ideals generated by A . Note that a one- or two-sided ideal generated by homogeneous elements is necessarily homogeneous.

3. Perfect rings. We will prove the following theorem to illustrate our method.

THEOREM 1. *Let S be a semigroup and let R be an S -graded ring with finite support. If R_e is a (right) perfect ring for each idempotent e in $\text{supp}(R)$, then R is a (right) perfect ring.*

The properties that we require of the class of perfect rings are summarised in the following lemma. Proofs of these facts can be found in [1], [2], [3].

LEMMA 2.

- (i) *A nilpotent ring is perfect.*
- (ii) *A left or right ideal of a perfect ring is perfect.*
- (iii) *Let $R = R_1 + R_2 + \dots + R_n$ where each R_i is a left (resp. right) ideal of R . If each R_i is a perfect ring, then R is perfect.*
- (iv) *A homomorphic image of a perfect ring is perfect.*
- (v) *Let I be an ideal of a ring R . If I and R/I are perfect, then R is perfect.*
- (vi) *Let R be a ring graded by a finite group G . If R_1 is perfect then R is perfect.*

As will be apparent from the proof of Theorem 1, these are the only properties required of the class of perfect rings. So for any class of rings with a similar set of closure properties, we can obtain similar results. In particular, we have the following result.

PROPOSITION 3. *Results analogous to Theorem 1 hold for the classes of semilocal, semiprimary, Jacobson, nilpotent, and PI-rings.*

Verifications of the appropriate closure properties which are not straight-forward can be found in [1], [2], [3] for semilocal and semiprimary rings, in [6] for nilpotent rings, and in [11], [17] for PI-rings. The class of Jacobson rings is a left and right hereditary radical class [9], [18] from which fact analogues of properties (ii), (iv), and (v) are immediate. The other properties of Jacobson rings are verified in [2], [4], [16]. (Note that [4] proves (iii) for Jacobson rings in the case that R is a direct sum of a finite set of its right ideals: such a ring is graded by a left zero semigroup with the ideals as homogeneous components. When the sum in (iii) is not direct, the additive direct sum $\bar{R} = R_1 \oplus R_2 \oplus \dots \oplus R_n$ can be made into a ring by defining multiplication on homogeneous components R_i and R_j to be the multiplication in R , and extending this distributively to all of \bar{R} . The original ring R is then a homomorphic image of \bar{R} .)

Proof of Theorem 1. Let S be a semigroup and let R be an S -graded ring with finite support such that R_e is perfect for every idempotent $e \in S$. Let $X = \text{supp}(R)$. We will proceed by induction on $n = |X|$.

If $n = 1$, then $X = \{x\}$ for some x , and $R = R_x$. If x is idempotent, then R is perfect by hypothesis. Otherwise, $R^2 = 0$ and R is perfect by (i).

Assume that the result is true for rings with fewer than n elements in the support.

Suppose that there is an $x \in X$ such that $|\text{supp}(R^1R_x)| < n$. Then for all $y \in X$, we have $|\text{supp}(R^1R_xR_y)| < n$ as well. Consider the ring $A = R^1R_x$. Let e be an idempotent of S . If $x = e$, then $A_e = R_e$ is perfect. Otherwise, $A_e = \sum_{zx=e} R_zR_x$, which is a left ideal of R_e and is therefore perfect by (ii). By induction, the ring $A = R^1R_x$ is perfect. A similar argument shows that each ring $R^1R_xR_y$ is perfect.

Let $I = R^1R_xR^1$. Since $I = R^1R_x + \sum_{y \in X} R^1R_xR_y$, I is perfect by (iii). Since I is a homogeneous ideal of R , the quotient $\bar{R} = R/I$ is also an S -graded ring. For each idempotent $e \in S$, $\bar{R}_e = R_e/I_e$ is perfect by (iv). Since $R_x \subseteq I$, $\text{supp}(\bar{R})$ is strictly smaller than X . So by induction, \bar{R} is perfect. We conclude that R is perfect by (v).

A similar argument holds if $|\text{supp}(R_xR^1)| < n$ for some $x \in X$.

Otherwise, we have that for all x , $\text{supp}(R^1R_x) = \text{supp}(R_xR^1) = X$. These conditions imply that $X \subseteq Xx \cup \{x\}$ and $X \subseteq xX \cup \{x\}$ for all $x \in X$.

It suffices to show that under these conditions, the subsemigroup of S generated by X is actually a finite group, for then we may conclude by (vi) that R is perfect. This assertion follows at once from the next lemma, which completes the proof of Theorem 1.

LEMMA 4. *Let S be a semigroup and let X be a finite generating subset of S with at least two elements. Suppose that X satisfies:*

$$X \subseteq Xx \cup \{x\} \quad \text{and} \quad X \subseteq xX \cup \{x\}, \text{ for all } x \in X. \tag{1}$$

Then S has an identity element 1 and $S = X \cup \{1\}$ is a finite group.

Proof. We first show that S has an identity element. Since X has at least two elements, we may choose distinct elements x and y of X . Then by (1), there are elements $a, b \in X$ such that $x = ay$ and $y = bx$. From these equations, we conclude that $abx = x$. But $X \subseteq xX \cup \{x\}$, so that $abz = z$ for all $z \in X$, and since X generates S , we see that ab is a left identity element of S . A similar argument shows that S has a right identity element, and therefore a unique two-sided identity element 1.

Let $x \in X$. If $x = ax$ for some $a \in S$, then, as above, a is a left identity for S and therefore $a = 1$.

Now let $x \in X$. We will show that x has a left inverse in X . Let $z \in X$ be an element different from x . By (1), there is a $y \in X$ such that $z = xy$. If $z = y$, then by the above argument we conclude that $x = 1$. Otherwise, by (1) again, there is a $w \in X$ such that $y = wz$. Combining these equations, we get $y = wxy$, whence $wx = 1$.

The argument of the last paragraph shows that $1 \in Xx$. Put $X' = X \cup \{1\}$. We have $X'x = Xx \cup \{x\}$, so from (1) and the fact $1 \in Xx$, we see that $X' \subseteq X'x$. Since X' is finite, we must in fact have the equality $X'x = X'$, and similarly, $xX' = X'$ for all $x \in X'$ (the equations being trivial for $x = 1$). In particular, this means that the set X' is closed under multiplication, and since it contains an identity and left inverses, it forms a group. Finally, X being a generating subset of S ensures that $S = X'$ is a finite group.

Note that the converse of Theorem 1 was recently proved by Jespers and Okniński [10]. In fact, they proved a stronger statement that for any perfect semigroup-graded ring (not necessarily having finite support) and for any subgroup G of S (in particular, for $G = \{e\}$, e an idempotent), the subring $R_G = \sum_{g \in G} R_g$ is perfect. They proved a similar result for semilocal and semiprimary rings, and the appropriate converse statements are trivially true in the cases of nilpotent rings and PI-rings.

We mention an interesting corollary of Proposition 3, which was proved in [2] using a combinatorial method.

COROLLARY 5. *Let R be a semigroup-graded ring whose support is finite and contains no idempotents. Then R is nilpotent.*

4. Rings which cannot be regraded. Let S be a semigroup and let R be an S -graded ring with finite support. It is conceivable that it is somehow possible to relabel the components of R with elements of another semigroup T in such a way that R becomes a T -graded ring, and furthermore so that T is a nicer semigroup: a finite semigroup, or one with no principal factors which are 0-simple but not completely 0-simple. If this were always possible, then the methods of [2], [3], [4], [11] would be sufficient to prove all the results of the previous section. In this section, we give some examples of semigroup-graded rings with finite support which cannot be so regraded.

We must first make precise what we mean by regrading a semigroup-graded ring. Let R be a ring graded by a semigroup S . Let T be another semigroup. We say that R can be *regraded faithfully* by T if there is an injective map $\psi: \text{supp}(R) \rightarrow T$ such that $R' = R$ is a T -graded ring if we put $R'_t = R_{\psi^{-1}(t)}$ for $t \in \psi(\text{supp}(R))$ and $R'_t = 0$ otherwise. Essentially, we relabel the non-zero homogeneous components of R by some elements of T in such a way that the multiplication of homogeneous elements of R is compatible with the semigroup multiplication in T .

Let us examine this process more closely. Suppose then that we are in the situation outlined above, and $s, t \in S$ are elements such that $R_s R_t \neq 0$. Then $R_s R_t \subseteq R_{st}$. Hence in the ring R' we require that $R'_{\psi(s)} R'_{\psi(t)} \subseteq R'_{\psi(st)}$ and because $R'_{\psi(s)} R'_{\psi(t)} \neq 0$, we must have $R'_{\psi(st)} = R'_{\psi(s)\psi(t)}$ so that $\psi(s)\psi(t) = \psi(st)$.

We will produce a ring graded by an infinite semigroup, with finite support, which cannot be regraded by a finite semigroup. In fact, the grading semigroup is a group, so this example solves the corresponding question for group graded rings at the same time.

The ring is constructed from an example, due to Dăscălescu, Năstăsescu, del Río, and Van Oystaeyen [7], of a finite subset of an infinite group which cannot be embedded in a finite group in such a way that all products which do not leave the subset are preserved. We first present this example and show that such an embedding into a finite semigroup is also impossible.

EXAMPLE 1. Let G be an infinite simple group which is finitely presented. Such groups exist, see for example [8]. Specifically, let X be a finite set of symbols, let F be the free group on X , let W be a finite set of words in F , and let N be the normal subgroup of F generated by W such that $F/N \cong G$. For an element $w \in F$ we will write \bar{w} for the image of w in G .

Define a subset A of F by

$$A = \{w \mid w \text{ is a subword of an element of } W\} \cup X \cup X^{-1} \cup \{1\},$$

where we denote the identity element of a group by 1, and $X^{-1} = \{x^{-1} \mid x \in X\}$. Note that we include the elements of W itself in A . Because W and X are finite, A is finite. Write \bar{A} for the image of A in G . We may assume that elements of X are distinct in G and identify X with its image in G . Note also that $|X| > 1$.

We now show that the subset \bar{A} of G has the property described above.

LEMMA 6. *There is no injective map $\psi: \bar{A} \rightarrow S$ into a finite semigroup S such that $\psi(\bar{u})\psi(\bar{v}) = \psi(\bar{uv})$ whenever $u, v, uv \in A$.*

Proof. Suppose there is such a map. We first claim that we can replace S by a group H . For if $g \in \bar{A}$, then $g = g1 = 1g$ so that $\psi(g) = \psi(g)\psi(1) = \psi(1)\psi(g)$, and also $\psi(1)\psi(1) = \psi(1)$. Letting $e = \psi(1)$, we see that $\psi(\bar{A})$ is contained in the monoid eSe . Let w be an element of A . If w is a subword of an element of W , then there are elements $u, v \in A$ such that uvw is an element of W and so $\bar{u}\bar{w}\bar{v} = 1$. If $w \in X \cup X^{-1} \cup \{1\}$, then take $u = 1$ and $v = w^{-1}$ (which are elements of A) and we also have $\bar{u}\bar{w}\bar{v} = 1$. Furthermore, we have $uw \in A$ in each case. Hence,

$$e = \psi(1) = \psi(\bar{u}\bar{w}\bar{v}) = \psi(\bar{u}\bar{w})\psi(\bar{v}) = \psi(\bar{u})\psi(\bar{w})\psi(\bar{v}).$$

But eSe is a finite monoid, so left units are also right units and it follows that $\psi(\bar{w})$ is a unit. So $\psi(\bar{A})$ is contained in the group of units H of the monoid eSe .

Now, the restriction $\psi|_X$ induces a group homomorphism $\phi: F \rightarrow H$, since F is a free group generated by X . It is straightforward to check that $\phi(w) = \psi(\bar{w})$ for any $w \in A$. In particular, for $w \in W$, we have $\phi(w) = \psi(\bar{w}) = \psi(1) = e$. Hence, $\phi(N) = e$ and ϕ induces a homomorphism $\bar{\phi}: G \rightarrow H$. But \bar{A} certainly has more than two elements (since $X \subseteq \bar{A}$)

and ψ is injective, so the image of ψ and hence the image of $\bar{\phi}$ are non-trivial. This is not possible, since G is an infinite simple group and H is finite.

This final contradiction shows that there is no such map ψ .

We now construct, using the group G of Example 1, a G -graded ring with finite support which cannot be faithfully regraded by a finite semigroup.

EXAMPLE 2. Let n be the maximum length of a word in W and let P be a ring with an ideal Q such that $Q^{2n+1} = 0$ but $Q^{2n} \neq 0$.

Let R be the subring of the group ring $P[G]$ generated by the set

$$P1 \cup \left(\bigcup_{x \in X} Qx \right) \cup \left(\bigcup_{x \in X^{-1}} Qx \right).$$

Since R is generated by homogeneous elements, it is a homogeneous subring of $P[G]$. For $g \in G$, the g -component of R is $R_g = R \cap Pg$.

Let $g \in G$. If k is the length of the shortest product of elements x_1, x_2, \dots, x_k with each $x_i \in X \cup X^{-1}$ such that $g = x_1x_2 \dots x_k$, then $R_g = Q^k g$. This means that $\text{supp}(R)$ is finite, since $Q^{2n+1} = 0$ and only finitely many elements of G can be written as such products of length less than $2n + 1$.

Let $w \in A$. If $w \in X \cup X^{-1}$, then $R_w = Q\bar{w}$. If $w = 1$, then $R_w = P1$. Otherwise, w is a subword of length k of some element of W , and by choice of n , $0 \neq Q^k \bar{w} \subseteq R_w$. Hence $\bar{A} \subseteq \text{supp}(R)$. Furthermore, if $u, v, uv \in A$, then $Q^k \bar{u} \subseteq R_u$ and $Q^l \bar{v} \subseteq R_v$ for some $k, l \leq n$, so that $0 \neq Q^{k+l} \bar{u}\bar{v} \subseteq R_u R_v \subseteq R_{uv}$.

If R can be faithfully regraded by a finite semigroup S , then there is an injective map $\phi: \text{supp}(R) \rightarrow S$ such that $\phi(g)\phi(h) = \phi(gh)$ whenever $R_g R_h \neq 0$. But by the above, the restriction of ϕ to \bar{A} satisfies the properties of the map ψ of Lemma 6. Since such a map ψ does not exist, we conclude that R cannot be faithfully regraded by a finite semigroup.

An S -graded ring R is *strongly graded* if $R_x R_y = R_{xy}$ for all $x, y \in S$. The ring constructed above is not strongly graded; for example, if $x \in X$, then $R_x R_{x^{-1}} = Q^2 1 \neq R_1$. More generally we have the following result.

PROPOSITION 7. *Let R be a ring which is strongly graded by a semigroup S and which has finite support. Then R can be faithfully regraded by a finite semigroup.*

Proof. Let $I = \{x \in S \mid R_x = 0\}$. Because R is strongly graded, I is an ideal of S . The canonical map $\psi: S \rightarrow S/I$ induces a faithful regrading of R by the finite semigroup S/I .

Our second example is of a ring graded by a 0-simple semigroup which cannot be regraded by a completely 0-simple semigroup, or a semigroup with only completely 0-simple or null principal factors.

EXAMPLE 3. Let $S = \mathcal{C}(p, q)$ be a bicyclic semigroup, that is, the monoid generated by elements p and q , subject to the relation $pq = 1$ (cf. [5, §12]). Let I be an ideal of a ring A with $I^3 = 0$ but $I^2 \neq 0$. Let R be the subring of $A[S]$ generated by the homogeneous elements $A1 \cup Ip \cup Iq$, and let R inherit the S -gradation from $A[S]$.

Suppose that $\psi: \text{supp}(R) \rightarrow T$ is a map that faithfully regrades R . Then it is easy to

see, by considering products of homogeneous components of R , that the following identities hold in T :

$$\begin{aligned}\psi(1)^2 &= \psi(1), \\ \psi(1)\psi(p) &= \psi(p)\psi(1) = \psi(p), \\ \psi(1)\psi(q) &= \psi(q)\psi(1) = \psi(q), \\ \psi(p)\psi(q) &= \psi(1) \quad \text{and} \quad \psi(q)\psi(p) = \psi(qp).\end{aligned}$$

Write $1 = \psi(1)$, $p' = \psi(p)$, and $q' = \psi(q)$ and let $T' = \langle p', q' \rangle$, the subsemigroup of T generated by p' and q' . Because we require ψ to be injective, we have $q'p' \neq 1$. But this means that T' is actually a bicyclic semigroup [5, Lemma 1.31]. Therefore, T cannot be completely 0-simple (since T' contains non-primitive idempotents), and furthermore, the principal factor of T which contains p' must contain all of T' (since the latter semigroup is simple), and therefore cannot be completely 0-simple.

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