# $L^{p}$ BOUNDS FOR MARCINKIEWICZ INTEGRALS 

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Abstract In this paper the authors establish the $L^{p}$ boundedness for several classes of Marcinkiewicz integral operators with kernels satisfying a condition introduced by Grafakos and Stefanov in Indiana Univ. Math. J. 47 (1998), 455-469.

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## 1. Introduction and results

Let $n \geqslant 2$ and $S^{n-1}$ be the unit sphere in $\mathbb{R}^{n}$ equipped with the normalized Lebesgue measure $\mathrm{d} \sigma$. Let $\Omega$ be a homogeneous function of degree zero on $\mathbb{R}^{n}$ (which is then naturally identified with a function on $\left.S^{n-1}\right)$ satisfying $\Omega \in L^{1}\left(S^{n-1}\right)$ and

$$
\begin{equation*}
\int_{S^{n-1}} \Omega(y) \mathrm{d} \sigma(y)=0 \tag{1.1}
\end{equation*}
$$

For a suitable mapping $\Phi: \mathbb{R}^{n} \rightarrow \mathbb{R}^{d}$ we define the Marcinkiewicz integral operator $\mu_{\Phi, \Omega}$ along a mapping $\Phi$ on $\mathbb{R}^{d}$ by

$$
\mu_{\Phi, \Omega}(f)(x)=\left(\int_{0}^{\infty}\left|F_{\Phi, t}(x)\right|^{2} \frac{\mathrm{~d} t}{t^{3}}\right)^{1 / 2}
$$

where

$$
F_{\Phi, t}(x)=\int_{|y| \leqslant t} \frac{\Omega(y)}{|y|^{n-1}} f(x-\Phi(y)) \mathrm{d} y
$$

If $d=n$ and $\Phi(y)=\left(y_{1}, y_{2}, \ldots, y_{n}\right)$, we shall simply denote the operator $\mu_{\Phi, \Omega}$ by $\mu_{\Omega}$.
The study of the Marcinkiewicz integral operator $\mu_{\Omega}$ began in Stein [13], where $\Omega$ was assumed to be in a certain Lipschitz class (see also [2]). In two recent papers $[\mathbf{5}, \mathbf{6}]$, the $L^{p}$ boundedness of the operators $\mu_{\Phi, \Omega}$ was established for $\Omega$ in the Hardy space $H^{1}\left(S^{n-1}\right)$ and $\Phi$ in several classes of mappings.

The purpose of this paper is to investigate the $L^{p}$ boundedness of the operators $\mu_{\Phi, \Omega}$ when $\Omega \in F_{\alpha}\left(S^{n-1}\right)$, where for an $\alpha>0, F_{\alpha}\left(S^{n-1}\right)$ denotes the set of all $\Omega$ which are integrable over $S^{n-1}$ and satisfy

$$
\begin{equation*}
\sup _{\xi \in S^{n-1}} \int_{S^{n-1}}|\Omega(y)|\left(\log \frac{1}{|\langle\xi, y\rangle|}\right)^{1+\alpha} \mathrm{d} \sigma(y)<\infty \tag{1.2}
\end{equation*}
$$

Condition (1.2) was introduced by Grafakos and Stefanov in [9]. The examples in [9] show that there is the following relationship between $F_{\alpha}\left(S^{n-1}\right)$ and $H^{1}\left(S^{n-1}\right)$ :

$$
\bigcap_{\alpha>0} F_{\alpha}\left(S^{n-1}\right) \not \subset H^{1}\left(S^{n-1}\right) \not \subset \bigcup_{\alpha>0} F_{\alpha}\left(S^{n-1}\right)
$$

It was proved in $[\mathbf{9}]$ that, under condition (1.2), the usual singular integral operator with the kernel $\Omega(y)|y|^{-n}$ is bounded on $L^{p}\left(\mathbb{R}^{n}\right)$ for

$$
p \in\left(\frac{2+\alpha}{1+\alpha}, 2+\alpha\right)
$$

The range of $p$ was later enlarged to

$$
\left(\frac{2+2 \alpha}{1+2 \alpha}, 2+2 \alpha\right)
$$

in $[8]$.
We shall state our main results as follows.
Theorem 1.1. Let $d \in \mathbb{N}$ and $\mathcal{P}(y)=\left(P_{1}(y), \ldots, P_{d}(y)\right)$, where $P_{j}$ is a real-valued polynomial on $\mathbb{R}^{2}$ for $1 \leqslant j \leqslant d$. If $\Omega \in F_{\alpha}\left(S^{1}\right)$ for some $\alpha>0$, then $\mu_{\mathcal{P}, \Omega}$ is bounded on $L^{p}\left(\mathbb{R}^{d}\right)$ for

$$
p \in\left(\frac{2+2 \alpha}{1+2 \alpha}, 2+2 \alpha\right)
$$

Moreover, the bound on the operator norm is independent of the coefficients of the polynomials $\left\{P_{j}\right\}_{1 \leqslant j \leqslant d}$.

There is a similar result for $n \geqslant 3$ when the condition $\Omega \in F_{\alpha}$ is properly modified (see Theorem 4.1).

Singular integrals along surfaces of revolution have been studied quite extensively (see, for example, $[\mathbf{4}, \mathbf{1 0}-\mathbf{1 2}]$ ). Theorems 1.2 and 1.3 deal with $L^{p}$ bounds for corresponding Marcinkiewicz integrals.

Theorem 1.2. Let $d=n+1$ and $\Phi(y)=(y, \phi(|y|))$ be the surface of revolution generated by a function $\phi:[0, \infty) \rightarrow \mathbb{R}$. Suppose that $\phi \in C^{1}([0, \infty))$, $\phi^{\prime}$ is convex and increasing, and $\Omega \in F_{\alpha}\left(S^{n-1}\right)$ for some $\alpha>0$.
(i) If $n=2$, then $\mu_{\Phi, \Omega}$ is bounded on $L^{p}\left(\mathbb{R}^{3}\right)$ for

$$
p \in\left(\frac{2+2 \alpha}{1+2 \alpha}, 2+2 \alpha\right)
$$

(ii) If $n \geqslant 3$ and $\phi^{\prime}(0)=0$, then $\mu_{\Phi, \Omega}$ is bounded on $L^{p}\left(\mathbb{R}^{n+1}\right)$ for

$$
p \in\left(\frac{2+2 \alpha}{1+2 \alpha}, 2+2 \alpha\right)
$$

Theorem 1.3. Let $d=n+1$ and $\Phi(y)=(y, \phi(|y|))$, where $\phi$ is a polynomial. In addition, let $\Omega \in F_{\alpha}\left(S^{n-1}\right)$ for some $\alpha>0$.
(i) If $n=2$, then $\mu_{\Phi, \Omega}$ is bounded on $L^{p}\left(\mathbb{R}^{3}\right)$ for

$$
p \in\left(\frac{2+2 \alpha}{1+2 \alpha}, 2+2 \alpha\right)
$$

(ii) If $n \geqslant 3$ and $\phi^{\prime}(0)=0$, then $\mu_{\Phi, \Omega}$ is bounded on $L^{p}\left(\mathbb{R}^{n+1}\right)$ for

$$
p \in\left(\frac{2+2 \alpha}{1+2 \alpha}, 2+2 \alpha\right)
$$

Moreover, in both (i) and (ii), the bounds on the operator norm are independent of the coefficients of $\phi$.

Our method is based on a lemma presented in $\S 2$. The proofs of our results can be found in $\S \S 3$ and 4.

## 2. Main lemma

We shall begin by establishing some notation. For a family of measures $\tau=\left\{\tau_{k, t}: k \in\right.$ $\mathbb{N}, t \in \mathbb{R}\}$ on $\mathbb{R}^{d}$, we define the operators $\Delta_{\tau}$ and $\tau_{k}^{*}$ by

$$
\Delta_{\tau}(f)(x)=\sum_{k=1}^{\infty}\left(\int_{\mathbb{R}}\left|\left(\tau_{k, t} * f\right)(x)\right|^{2} \mathrm{~d} t\right)^{1 / 2} \quad \text { and } \quad \tau_{k}^{*}(f)(x)=\sup _{t \in \mathbb{R}}\left(\left|\tau_{k, t}\right| *|f|\right)(x)
$$

Lemma 2.1. Let $m \in \mathbb{N}$ and $L: \mathbb{R}^{d} \rightarrow \mathbb{R}^{m}$ be a linear transformation. Suppose that there are constants $C_{0}, C_{p}, \alpha, \gamma>0$ such that the following hold for $k \in \mathbb{N}, t \in \mathbb{R}$ and $\xi \in \mathbb{R}^{d}$ :

$$
\begin{align*}
\left\|\tau_{k, t}\right\| & \leqslant C_{0} 2^{-k}  \tag{2.1}\\
\left|\hat{\tau}_{k, t}(\xi)\right| & \leqslant C_{0} 2^{-k}\left|2^{\gamma(t-k)} L \xi\right| ;  \tag{2.2}\\
\left|\hat{\tau}_{k, t}(\xi)\right| & \leqslant C_{0} 2^{-k}\left(\log \left|2^{\gamma(t-k)} L \xi\right|\right)^{-(1+\alpha)}, \quad \text { if }\left|2^{\gamma(t-k)} L \xi\right|>2 ;  \tag{2.3}\\
\left\|\tau_{k}^{*}(f)\right\|_{L^{p}\left(\mathbb{R}^{d}\right)} & \leqslant C_{p} 2^{-k}\|f\|_{L^{p}\left(\mathbb{R}^{d}\right)}, \quad \text { for } 1<p<\infty \tag{2.4}
\end{align*}
$$

Then, for

$$
p \in\left(\frac{2+2 \alpha}{1+2 \alpha}, 2+2 \alpha\right)
$$

there exists a constant $A_{p}>0$ such that

$$
\begin{equation*}
\left\|\Delta_{\tau}(f)\right\|_{L^{p}\left(\mathbb{R}^{d}\right)} \leqslant A_{p}\|f\|_{L^{p}\left(\mathbb{R}^{d}\right)} \tag{2.5}
\end{equation*}
$$

for all $f \in L^{p}\left(\mathbb{R}^{d}\right)$. The constant $A_{p}$ may depend on $C_{0}, C_{p}, \alpha, \gamma, d$ and $m$, but it is independent of the linear transformation $L$.

Proof. By an argument in [7] we may assume that $m \leqslant d$ and $L \xi=\left(\xi_{1}, \ldots, \xi_{m}\right)=\xi^{\prime}$ for $\xi=\left(\xi_{1}, \ldots, \xi_{d}\right) \in \mathbb{R}^{d}$. Choose a $C^{\infty}$ function $\psi: \mathbb{R} \rightarrow[0,1]$ such that $\operatorname{supp}(\psi) \subset\left[\frac{1}{4}, 4\right]$ and

$$
\begin{equation*}
\int_{0}^{\infty} \frac{\psi(r)}{r} \mathrm{~d} r=2 \tag{2.6}
\end{equation*}
$$

Define the Schwartz functions $\Psi, \Psi_{t}: \mathbb{R}^{m} \rightarrow \mathbb{C}$ by

$$
\hat{\Psi}\left(\xi_{1}, \ldots, \xi_{m}\right)=\psi\left(\xi_{1}^{2}+\cdots+\xi_{m}^{2}\right)
$$

and $\Psi_{t}(u)=t^{-m} \Psi(u / t)$ for $t>0$ and $u \in \mathbb{R}^{m}$. If we let $\delta_{d-m}$ represent the Dirac delta on $\mathbb{R}^{d-m}$, then by (2.6), for any Schwartz function $f$,

$$
\begin{equation*}
f(x)=\int_{0}^{\infty}\left(\Psi_{t} \otimes \delta_{d-m}\right) * f(x) \frac{\mathrm{d} t}{t}=(\gamma \log 2) \int_{\mathbb{R}}\left(\Psi_{2^{\gamma s}} \otimes \delta_{d-m}\right) * f(x) \mathrm{d} s \tag{2.7}
\end{equation*}
$$

Define the $g$-function $g(f)$ by

$$
g(f)(x)=\left(\int_{\mathbb{R}}\left|\left(\Psi_{2^{\gamma s}} \otimes \delta_{d-m}\right) * f(x)\right|^{2} \mathrm{~d} s\right)^{1 / 2}
$$

By $\int_{\mathbb{R}^{m}} \Psi_{t}(z) \mathrm{d} z=\psi(0)=0$ and Littlewood-Paley theory, we have

$$
\begin{equation*}
\|g(f)\|_{L^{p}\left(\mathbb{R}^{d}\right)} \leqslant C\|f\|_{L^{p}\left(\mathbb{R}^{d}\right)}, \quad \text { for } 1<p<\infty \tag{2.8}
\end{equation*}
$$

For $s \in \mathbb{R}, k \in \mathbb{N}$ and Schwartz function $f$, let

$$
\begin{equation*}
H_{s, k}(f)(x)=\left(\int_{\mathbb{R}}\left|\left(\Psi_{2 \gamma(s+t)} \otimes \delta_{d-m}\right) * \tau_{k, t} * f(x)\right|^{2} \mathrm{~d} t\right)^{1 / 2} \tag{2.9}
\end{equation*}
$$

and

$$
H_{s}(f)=\sum_{k=1}^{\infty} H_{s, k}(f)
$$

It follows from (2.7) and Minkowski's inequality that

$$
\begin{equation*}
\Delta_{\tau}(f)(x) \leqslant(\gamma \log 2) \int_{\mathbb{R}} H_{s}(f)(x) \mathrm{d} s \tag{2.10}
\end{equation*}
$$

Hence, if we can prove that, for

$$
p \in\left(\frac{2+2 \alpha}{1+2 \alpha}, 2+2 \alpha\right)
$$

there exist $\theta_{p}>0$ and $\theta_{p}^{\prime}>1$ such that

$$
\left\|H_{s}\right\|_{p, p} \leqslant \begin{cases}C_{p} 2^{-s \theta_{p}}, & \text { for } s>0  \tag{2.11}\\ C_{p}|s|^{-\theta_{p}^{\prime}}, & \text { for } s<-N \\ C_{p}, & \text { for }-N \leqslant s \leqslant 0\end{cases}
$$

where $N>0$ depended only $\alpha$ and $\gamma$, then (2.5) follows from (2.10) and (2.11).
We shall first establish (2.11) for $p=2$. When $s>0$, by (2.2) we have

$$
\begin{align*}
\int_{\mathbb{R}}\left|\psi\left(\left|2^{\gamma(s+t)} \xi^{\prime}\right|^{2}\right) \hat{\tau}_{k, t}(\xi)\right|^{2} \mathrm{~d} t & \leqslant C 2^{-2 k} \int_{\left(2^{\gamma s+1}\left|\xi^{\prime}\right|\right)^{-1} \leqslant 2^{\gamma t} \leqslant 2\left(2^{\gamma s}\left|\xi^{\prime}\right|\right)^{-1}}\left(2^{\gamma(t-k)}\left|\xi^{\prime}\right|\right)^{2} \mathrm{~d} t \\
& \leqslant C\left(2^{k(\gamma+1)+\gamma s}\right)^{-2} \tag{2.12}
\end{align*}
$$

It then follows from Plancherel's Theorem and (2.12) that

$$
\begin{equation*}
\left\|H_{s}\right\|_{2,2} \leqslant C 2^{-\gamma s} \tag{2.13}
\end{equation*}
$$

Now let us consider the case of $s<0$. For given $\alpha>0$ and $\gamma>0$, take

$$
-s>\max \left\{1+\frac{8}{\gamma}, \frac{\gamma(1+\alpha)}{\log 2}\right\}
$$

Then for $1 \leqslant k<-s-(4 / \gamma)$, by (2.3) we have

$$
\begin{align*}
& \int_{\mathbb{R}}\left|\psi\left(\left|2^{\gamma(s+t)} \xi^{\prime}\right|^{2}\right) \hat{\tau}_{k, t}(\xi)\right|^{2} \mathrm{~d} t \\
& \leqslant C 2^{-2 k} \int_{\left(2^{\gamma s+1}\left|\xi^{\prime}\right|\right)^{-1} \leqslant 2^{\gamma t} \leqslant 2\left(2^{\gamma s}\left|\xi^{\prime}\right|\right)^{-1}}\left(\log \left|2^{\gamma(t-k)} \xi^{\prime}\right|\right)^{-2(1+\alpha)} \mathrm{d} t \\
& \leqslant C 2^{-2 k}(1+\gamma|s+k|)^{-2(1+\alpha)} \tag{2.14}
\end{align*}
$$

On the other hand, for $s$ chosen above and $k \geqslant-s-(4 / \gamma)$, by (2.2) we have

$$
\begin{equation*}
\int_{\mathbb{R}}\left|\psi\left(\left|2^{\gamma(s+t)} \xi^{\prime}\right|^{2}\right) \hat{\tau}_{k, t}(\xi)\right|^{2} \mathrm{~d} t \leqslant C 2^{-2 k} 2^{-2 \gamma(s+k)} \tag{2.15}
\end{equation*}
$$

Apply Plancherel's Theorem again, by (2.14) and (2.15), for $s$ chosen above we have

$$
\left\|H_{s, k}(f)\right\|_{L^{2}\left(\mathbb{R}^{d}\right)} \leqslant \begin{cases}C 2^{-k}(1+\gamma|s+k|)^{-(1+\alpha)}\|f\|_{L^{2}\left(\mathbb{R}^{d}\right)}, & \text { for } 1 \leqslant k<-s-(4 / \gamma),  \tag{2.16}\\ C 2^{-k} 2^{-\gamma(s+k)}\|f\|_{L^{2}\left(\mathbb{R}^{d}\right)}, & \text { for } k \geqslant-s-(4 / \gamma) .\end{cases}
$$

Thus, by (2.16) we get

$$
\begin{equation*}
\left\|H_{s}\right\|_{2,2} \leqslant C\left\{\sum_{1 \leqslant k<-s-(4 / \gamma)} 2^{-k}(1+\gamma|s+k|)^{-(1+\alpha)}+\sum_{k \geqslant-s-(4 / \gamma)} 2^{-k} 2^{-\gamma(s+k)}\right\} . \tag{2.17}
\end{equation*}
$$

We have

$$
\begin{align*}
& \sum_{1 \leqslant k<-s-(4 / \gamma)} 2^{-k}(1+\gamma|s+k|)^{-(1+\alpha)} \\
& =2^{s} \sum_{(4 / \gamma)<j \leqslant-(s+1)} 2^{j}(1+\gamma j)^{-(1+\alpha)} \\
& \leqslant 2^{s}\left(\sum_{(4 / \gamma)<j \leqslant-(s+1) / 2} 2^{j}(1+\gamma j)^{-(1+\alpha)}+\sum_{-(s+1) / 2<j \leqslant-(s+1)} 2^{j}(1+\gamma j)^{-(1+\alpha)}\right) \\
& \leqslant 2^{s}\left[2^{-(s+1) / 2} \sum_{4<j<\infty}(1+j)^{-(1+\alpha)}+\left(1-\frac{\gamma(s+1)}{2}\right)^{-(1+\alpha)} \sum_{-(s+1) / 2<j \leqslant-(s+1)} 2^{j}\right] \\
& \leqslant C\left(2^{s / 2}+|s|^{-(1+\alpha)}\right) \tag{2.18}
\end{align*}
$$

and

$$
\begin{equation*}
\sum_{k \geqslant-s-(4 / \gamma)} 2^{-k} 2^{-\gamma(s+k)} \leqslant 2^{s} \sum_{j \geqslant-[4 / \gamma]-1} 2^{-j(1+\gamma)} \leqslant C 2^{s} \tag{2.19}
\end{equation*}
$$

It is easy to see that, for given $\alpha>0$ and $\gamma>0$, there exists an

$$
N>\max \left\{1+\frac{8}{\gamma}, \frac{\gamma(1+\alpha)}{\log 2}\right\}
$$

such that, for all $s<-N, 2^{s}<2^{s / 2}<|s|^{-(1+\alpha)}$. Hence, by (2.17) and (2.18), (2.19), we see that

$$
\begin{equation*}
\left\|H_{s}\right\|_{2,2} \leqslant C|s|^{-(1+\alpha)}, \quad \text { for } s<-N \tag{2.20}
\end{equation*}
$$

Next we shall prove that, for every $p \in(1, \infty)$, there exists a $C_{p}>0$ such that for any $s \in \mathbb{R}$

$$
\begin{equation*}
\left\|H_{s}\right\|_{p, p} \leqslant C_{p} \tag{2.21}
\end{equation*}
$$

Let $G_{u}(x)=\left(\Psi_{2^{\gamma u}} \otimes \delta_{d-m}\right) * f(x)$. Then by (2.1),

$$
\begin{equation*}
\left\|\left\|\int_{\mathbb{R}} \tau_{k, t} * G_{s+t}(\cdot) \mathrm{d} t\left|\left\|_{L^{1}\left(\mathbb{R}^{d}\right)} \leqslant C 2^{-k}\right\| \int_{\mathbb{R}}\right| G_{t}(\cdot) \mid \mathrm{d} t\right\|_{L^{1}\left(\mathbb{R}^{d}\right)}\right. \tag{2.22}
\end{equation*}
$$

On the other hand, by (2.4), for $1<q<\infty$ we get

$$
\begin{equation*}
\left\|\sup _{t \in \mathbb{R}}\left|\tau_{k, t} * G_{s+t}\right|\right\|_{L^{q}\left(\mathbb{R}^{d}\right)} \leqslant\left\|\tau_{k}^{*}\left(\sup _{t \in \mathbb{R}}\left|G_{t}\right|\right)\right\|_{L^{q}\left(\mathbb{R}^{d}\right)} \leqslant C 2^{-k}\left\|\sup _{t \in \mathbb{R}}\left|G_{t}\right|\right\|_{L^{q}\left(\mathbb{R}^{d}\right)} \tag{2.23}
\end{equation*}
$$

Hence, (2.22) and (2.23) show that the linear mapping $T: G_{t} \rightarrow \tau_{k, t} * G_{s+t}$ is bounded from $L^{1}\left(L^{1}(\mathbb{R}), \mathbb{R}^{d}\right)$ to itself and from $L^{q}\left(L^{\infty}(\mathbb{R}), \mathbb{R}^{d}\right)$ to itself, respectively. If $q>1$ satisfies $1 / q=2 / p-1$, then by using the operator interpolation theorem between (2.22) and (2.23), it can be concluded that for $1<p<2$ the mapping $T$ is bounded from $L^{p}\left(L^{2}(\mathbb{R}), \mathbb{R}^{d}\right)$ to itself. By using an appropriate duality argument, we know that $T$ is also bounded from $L^{p}\left(L^{2}(\mathbb{R}), \mathbb{R}^{d}\right)$ to itself for $2<p<\infty$. Thus, for $1<p<\infty$,

$$
\left\|\left(\int_{\mathbb{R}}\left|\tau_{k, t} * G_{s+t}(\cdot)\right|^{2} \mathrm{~d} t\right)^{1 / 2}\right\|_{L^{p}\left(\mathbb{R}^{d}\right)} \leqslant C_{p} 2^{-k}\left\|\left(\int_{\mathbb{R}}\left|G_{t}(\cdot)\right|^{2} \mathrm{~d} t\right)^{1 / 2}\right\|_{L^{p}\left(\mathbb{R}^{d}\right)}
$$

From this and (2.8), we get that

$$
\begin{equation*}
\left\|H_{s, k}(f)\right\|_{L^{p}\left(\mathbb{R}^{d}\right)} \leqslant C_{p} 2^{-k}\|f\|_{L^{p}\left(\mathbb{R}^{d}\right)} \quad \text { for } 1<p<\infty \tag{2.24}
\end{equation*}
$$

holds for $s \in \mathbb{R}$ and $k \in \mathbb{N}$, which implies that (2.21) holds for $s \in \mathbb{R}$ and $1<p<\infty$.
Finally, by interpolating between (2.13) and (2.21), (2.20) and (2.21), respectively, we obtain (2.11) for every $p$ in

$$
\left(\frac{2+2 \alpha}{1+2 \alpha}, 2+2 \alpha\right)
$$

with $\theta_{p}>0$ and $\theta_{p}^{\prime}>1$. Lemma 2.1 is proved.

## 3. Theorems 1.2 and 1.3

Proof of Theorem 1.3. Let $\Omega \in F_{\alpha}\left(S^{n-1}\right)$ for some $\alpha>0$ and let $\Omega$ satisfy (1.1). Let $\Phi(y)=(y, \phi(|y|))$, where $\phi$ is a real-valued polynomial. In addition, we assume that $\phi^{\prime}(0)=0$ when $n \geqslant 3$.

Let $D_{s}=\left\{y \in \mathbb{R}^{n}: 2^{s}<|y| \leqslant 2^{s+1}\right\}$ and define the family of measures $\tau=\left\{\tau_{k, t}: t \in\right.$ $\mathbb{R}, k \in \mathbb{N}\}$ on $\mathbb{R}^{n+1}$ by

$$
\begin{equation*}
\int_{\mathbb{R}^{n+1}} f\left(y, y_{n+1}\right) \mathrm{d} \tau_{k, t}=2^{-t} \int_{D_{t-k}} f(y, \phi(|y|)) \frac{\Omega(y)}{|y|^{n-1}} \mathrm{~d} y \tag{3.1}
\end{equation*}
$$

Then

$$
\begin{equation*}
\mu_{\Phi, \Omega}(f) \leqslant \Delta_{\tau}(f) \tag{3.2}
\end{equation*}
$$

It is easy to see that (2.1) follows from the integrability of $\Omega$ on $S^{n-1}$. In light of (3.2) and Lemma 2.1, it suffices to show that (2.2) and (2.3) also hold when we choose $\gamma=1$ and $L\left(\xi, \xi_{n+1}\right)=\xi$.

For $\lambda \in \mathbb{R}$, let

$$
\begin{equation*}
I_{\lambda}\left(\xi, \xi_{n+1}, y\right)=\int_{1}^{2} \mathrm{e}^{\mathrm{i}\left[\lambda(\xi \cdot y) u+\xi_{n+1} \phi(\lambda u)\right]} \mathrm{d} u \tag{3.3}
\end{equation*}
$$

By using a van der Corput type estimate in [3, Corollary 7.3] and (1.2) we obtain

$$
\begin{equation*}
\int_{S^{n-1}}\left|I_{\lambda}\left(\xi, \xi_{n+1}, y\right) \Omega(y)\right| \mathrm{d} \sigma(y) \leqslant C\left(\log ^{+}|\lambda \xi|\right)^{-(1+\alpha)} \tag{3.4}
\end{equation*}
$$

for $\lambda \in \mathbb{R}$ and $\left(\xi, \xi_{n+1}\right) \in \mathbb{R}^{n+1}$. The distinction between the cases $n=2$ and $n \geqslant 3$ was made clear in [4] (see also the example given at the end of $\S 3$ in [4]). Thus

$$
\begin{align*}
\left|\hat{\tau}_{k, t}\left(\xi, \xi_{n+1}\right)\right| & \leqslant 2^{-k} \int_{S^{n-1}}\left|I_{2^{t-k}}\left(\xi, \xi_{n+1}, y\right) \Omega(y)\right| \mathrm{d} \sigma(y) \\
& \leqslant C 2^{-k}\left(\log ^{+}\left|2^{t-k} \xi\right|\right)^{-(1+\alpha)} \tag{3.5}
\end{align*}
$$

On the other hand, by (1.1),

$$
\begin{align*}
\left|\hat{\tau}_{k, t}\left(\xi, \xi_{n+1}\right)\right| & \leqslant 2^{-t} \int_{D_{t-k}}\left|\mathrm{e}^{\mathrm{i}\left[\xi \cdot y+\xi_{n+1} \phi(|y|)\right]}-\mathrm{e}^{\mathrm{i} \xi_{n+1} \phi(|y|)}\right| \frac{|\Omega(y)|}{|y|^{n-1}} \mathrm{~d} y \\
& \leqslant C 2^{-k}\left|2^{t-k} \xi\right| \tag{3.6}
\end{align*}
$$

Clearly, (3.5) and (3.6) imply (2.2).

Finally, one may apply a theorem of Stein and Wainger on maximal operators along curves in $[\mathbf{1 4}]$ to obtain (2.4). This completes the proof of Theorem 1.3.

The proof of Theorem 1.2 is similar. Details are omitted.

## 4. Proof of Theorem 1.1 and additional results

For $n, m \in \mathbb{N}$ we let $A(n, m)$ denote the set of polynomials on $\mathbb{R}^{n}$ which have real coefficients and degrees not exceeding $m$. Let

$$
U(n, m)=\left\{\sum_{|\beta|=m} a_{\beta} y^{\beta} \in A(n, m) \backslash A(n, m-1): \sum_{|\beta|=m}\left|a_{\beta}\right|^{2}=1\right\}
$$

Based on the work in [1] regarding singular integrals, we have the following theorem.
Theorem 4.1. Let $\alpha>0, n \geqslant 2, m, d \in \mathbb{N}$ and $\mathcal{P}(y)=\left(P_{1}(y), \ldots, P_{d}(y)\right) \in$ $(A(n, m))^{d}$. If $\Omega \in L^{1}\left(S^{n-1}\right)$ and $\Omega$ satisfies

$$
\begin{equation*}
\sup _{P \in \bigcup_{l=1}^{m} U(n, l)} \int_{S^{n-1}}|\Omega(y)|\left(\log \frac{1}{|P(y)|}\right)^{1+\alpha} \mathrm{d} \sigma(y)<\infty \tag{4.1}
\end{equation*}
$$

then $\mu_{\mathcal{P}, \Omega}$ is bounded on $L^{p}\left(\mathbb{R}^{d}\right)$ for

$$
p \in\left(\frac{2+2 \alpha}{1+2 \alpha}, 2+2 \alpha\right)
$$

Moreover, the bound on the operator norm is independent of the coefficients of the polynomials $\left\{P_{j}\right\}_{1 \leqslant j \leqslant d}$.

Proof. Define the family of measures $\sigma=\left\{\sigma_{k, t} \mid k \in \mathbb{N}, t \in \mathbb{R}\right\}$ on $\mathbb{R}^{d}$ by

$$
\int_{\mathbb{R}^{d}} f(x) \mathrm{d} \sigma_{k, t}(x)=2^{-t} \int_{D_{t-k}} f(x-\mathcal{P}(y)) \frac{\Omega(y)}{|y|^{n-1}} \mathrm{~d} y
$$

Then

$$
\begin{equation*}
\mu_{\mathcal{P}, \Omega}(f) \leqslant \Delta_{\sigma}(f) \tag{4.2}
\end{equation*}
$$

By the arguments in [7] and [1], there are families of measures

$$
\tau^{(1)}=\left\{\tau_{k, t}^{(1)}: k \in \mathbb{N}, t \in \mathbb{R}\right\}, \ldots, \tau^{(m)}=\left\{\tau_{k, t}^{(m)}: k \in \mathbb{N}, t \in \mathbb{R}\right\}
$$

each of which satisfies (2.1)-(2.4) with appropriate choices of $\gamma_{1}, \ldots, \gamma_{m}$ and linear transformations $L^{(1)}, \ldots, L^{(m)}$, such that

$$
\begin{equation*}
\sigma_{k, t}=\sum_{l=1}^{m} \tau_{k, t}^{(l)} \tag{4.3}
\end{equation*}
$$

for $k \in \mathbb{N}, t \in \mathbb{R}$. It then follows from Lemma 2.1 and Minkowski's inequality that

$$
\left\|\mu_{\mathcal{P}, \Omega}(f)\right\|_{L^{p}\left(\mathbb{R}^{d}\right)} \leqslant \sum_{l=1}^{m}\left\|\Delta_{\tau^{(l)}}(f)\right\|_{L^{p}\left(\mathbb{R}^{d}\right)} \leqslant C_{p}\|f\|_{L^{p}\left(\mathbb{R}^{d}\right)}
$$

for $f \in L^{p}\left(\mathbb{R}^{d}\right)$ and

$$
p \in\left(\frac{2+2 \alpha}{1+2 \alpha}, 2+2 \alpha\right)
$$

Theorem 4.1 is proved.
It was shown in [1] that, when $n=2$ and $\Omega \in F_{\alpha}\left(S^{1}\right),(4.1)$ holds for all $m \in \mathbb{N}$. Therefore, one obtains Theorem 1.1 as a corollary of Theorem 4.1.

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