



# Analysis of the Brylinski–Kostant Model for Spherical Minimal Representations

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*Abstract.* We revisit with another view point the construction by R. Brylinski and B. Kostant of minimal representations of simple Lie groups. We start from a pair  $(V, Q)$ , where  $V$  is a complex vector space and  $Q$  is a homogeneous polynomial of degree 4 on  $V$ . The manifold  $\Xi$  is an orbit of a covering of  $\text{Conf}(V, Q)$ , the conformal group of the pair  $(V, Q)$ , in a finite dimensional representation space. By a generalized Kantor–Koecher–Tits construction we obtain a complex simple Lie algebra  $\mathfrak{g}$ , and furthermore a real form  $\mathfrak{g}_{\mathbb{R}}$ . The connected and simply connected Lie group  $G_{\mathbb{R}}$  with  $\text{Lie}(G_{\mathbb{R}}) = \mathfrak{g}_{\mathbb{R}}$  acts unitarily on a Hilbert space of holomorphic functions defined on the manifold  $\Xi$ .

## Introduction

The construction of a realization for the minimal unitary representation of a simple Lie group by using geometric quantization has been the topic of many papers during the last thirty years ( see [20, 23], and more recently [1, 16]). In a series of papers [6–9], R. Brylinski and B. Kostant introduced and studied a geometric quantization of minimal nilpotent orbits for simple real Lie groups that are not of Hermitian type. They have constructed the associated irreducible unitary representation on a Hilbert space of half forms on the minimal nilpotent orbit. This can be considered as a Fock model for the minimal representation. In this paper we revisit this construction with another point of view. We start from a pair  $(V, Q)$ , where  $V$  is a complex vector space and  $Q$  is a homogeneous polynomial on  $V$  of degree 4. The structure group  $\text{Str}(V, Q)$ , for which  $Q$  is a semi-invariant, is assumed to have a symmetric open orbit. The conformal group  $\text{Conf}(V, Q)$  consists of rational transformations of  $V$  whose differential belongs to  $\text{Str}(V, Q)$ . The main geometric object is the orbit  $\Xi$  of  $Q$  under  $K$ , a covering of  $\text{Conf}(V, Q)$ , on a space  $\mathcal{W}$  of polynomials on  $V$ . Then, by a generalized Kantor–Koecher–Tits construction, starting from the Lie algebra  $\mathfrak{k}$  of  $K$ , we obtain a simple Lie algebra  $\mathfrak{g}$  such that the pair  $(\mathfrak{g}, \mathfrak{k})$  is non-Hermitian. As a vector space  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ , with  $\mathfrak{p} = \mathcal{W}$ . The main point is to define a bracket

$$\mathfrak{p} \oplus \mathfrak{p} \rightarrow \mathfrak{k}, \quad (X, Y) \mapsto [X, Y]$$

such that  $\mathfrak{g}$  becomes a Lie algebra. The Lie algebra  $\mathfrak{g}$  is 5-graded:

$$\mathfrak{g} = \mathfrak{g}_{-2} \oplus \mathfrak{g}_{-1} \oplus \mathfrak{g}_0 \oplus \mathfrak{g}_1 \oplus \mathfrak{g}_2.$$

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In the fourth part one defines a representation  $\rho$  of  $\mathfrak{g}$  on the space  $\mathcal{O}(\Xi)_{\text{fin}}$  of polynomial functions on  $\Xi$ . In a first step one defines a representation of an  $\mathfrak{sl}_2$ -triple  $(E, F, H)$ . It turns out that this is only possible under a condition (T). In such a case one obtains an irreducible unitary representation of the connected and simply connected group  $\tilde{G}_{\mathbb{R}}$  whose Lie algebra is a real form of  $\mathfrak{g}$ . The representation is spherical. It is realized on a Hilbert space of holomorphic functions on  $\Xi$ . There is an explicit formula for the reproducing kernel of  $\mathcal{H}$  involving a hypergeometric function  ${}_1F_2$ . Further the space  $\mathcal{H}$  is a weighted Bergman space with a weight taking in general both positive and negative values.

The pairs satisfying (T) are the following:

Classical pairs	$(\mathfrak{sl}(n, \mathbb{R}), \mathfrak{so}(n)), (\mathfrak{so}(p, p), \mathfrak{so}(p) \oplus \mathfrak{so}(p)),$
Exceptional pairs	$(\mathfrak{e}_{6(6)}, \mathfrak{sp}(8)), (\mathfrak{e}_{7(7)}, \mathfrak{su}(8)), (\mathfrak{e}_{8(8)}, \mathfrak{so}(16)).$

If  $Q = R^2$  or  $Q = R^4$  where  $R$  is a semi-invariant, then by considering a covering of order 2 or 4 of the orbit  $\Xi$ , one can obtain 1 or 3 other unitary representations of  $\tilde{G}_{\mathbb{R}}$ . They are not spherical. If the condition T is not satisfied, by a modified construction, one still obtains an irreducible representation of  $\tilde{G}_{\mathbb{R}}$  that is not spherical. This last point is the subject of a paper in preparation by the first author.

The construction of a Schrödinger model for the minimal representation of the group  $O(p, q)$  is the subject of a recent book by T. Kobayashi and G. Mano [15]. We should not wonder that there is a link between both the Fock and the Schrödinger models, and that there is an analogue of the Bargmann transform in this setting.

### 1 The Conformal Group and the Representation $\kappa$

Let  $V$  be a finite dimensional complex vector space and  $Q$  a homogeneous polynomial on  $V$ . Define

$$L = \text{Str}(V, Q) = \{g \in GL(V) \mid \exists \gamma = \gamma(g), Q(g \cdot x) = \gamma(g)Q(x)\}.$$

Assume that there exists  $e \in V$  such that

- (i) the symmetric bilinear form  $\langle x, y \rangle = -D_x D_y \log Q(e)$  is non-degenerate;
- (ii) the orbit  $\Omega = L \cdot e$  is open;
- (iii) the orbit  $\Omega = L \cdot e$  is symmetric, i.e., the pair  $(L, L_0)$ , with  $L_0 = \{g \in L \mid g \cdot e = e\}$ , is symmetric, which means that there is an involutive automorphism  $\nu$  of  $L$  such that  $L_0$  is open in  $\{g \in L \mid \nu(g) = g\}$ .

We will equip the vector space  $V$  with a Jordan algebra structure. The Lie algebra  $\mathfrak{l} = \text{Lie}(L)$  of  $L = \text{Str}(V, Q)$  decomposes into the +1 and -1 eigenspaces of the differential of  $\nu : \mathfrak{l} = \mathfrak{l}_0 + \mathfrak{q}$ , where  $\mathfrak{l}_0 = \{X \in \mathfrak{l} \mid X \cdot e = e\} = \text{Lie}(L_0)$ . Since the orbit  $\Omega$  is open, the map  $\mathfrak{q} \rightarrow V, X \mapsto X \cdot e$ , is a linear isomorphism. If  $X \cdot e = x$  ( $X \in \mathfrak{q}, x \in V$ ), one writes  $X = T_x$ . The product on  $V$  is defined by  $xy = T_x \cdot y = T_x \circ T_y \cdot e$ .

**Theorem 1.1** This product makes  $V$  into a semi-simple complex Jordan algebra:

- (J1) for  $x, y \in V, xy = yx$ ;
- (J2) for  $x, y \in V, x^2(xy) = x(x^2y)$ ;
- (J3) the symmetric bilinear form  $\langle \cdot, \cdot \rangle$  is associative:  $\langle xy, z \rangle = \langle x, yz \rangle$ .

**Proof** The product is commutative. In fact

$$xy - yx = [T_x, T_y] \cdot e = 0,$$

since  $[\mathfrak{q}, \mathfrak{q}] \subset \mathfrak{l}_0$ .

Let  $\tau$  be the differential of  $\gamma$  at the identity element of  $L$ : for  $X \in \mathfrak{l}$ ,

$$\tau(X) = \left. \frac{d}{dt} \right|_{t=0} \gamma(\exp tX).$$

**Claim 1.2**

- (i)  $(D_x \log Q)(e) = \tau(T_x)$ ,
- (ii)  $(D_x D_y \log Q)(e) = -\tau(T_{xy})$ ,
- (iii)  $(D_x D_y D_z \log Q)(e) = \frac{1}{2} \tau(T_{(xy)z})$ .

The proof amounts to differentiating at  $e$  the relation  $\log Q(\exp T_x \cdot e) = \tau(T_x) + \log Q(e)$  up to third order. (See [21, Exercise 5, p. 38].) Hence by (ii),  $\langle x, y \rangle = \tau(T_{xy})$ , and, by (iii), the symmetric bilinear form  $\langle \cdot, \cdot \rangle$  is associative.

Define the associator of three elements  $x, y, z$  in  $V$  by

$$[x, y, z] = x(z y) - (x z) y = [L(x), L(y)] z.$$

Then identity (J2) can be written as  $[x^2, y, x] = 0$  for all  $x, y \in V$ . It can be shown by following the proof of [21, Theorem 8.5, p. 34], which is also the proof of [13, Theorem III.3.1, p. 50]. ■

The Jordan algebra  $V$  is a direct sum of simple ideals:

$$V = \bigoplus_{i=1}^s V_i, \quad \text{and} \quad Q(x) = \prod_{i=1}^s \Delta_i(x_i)^{k_i} \quad (x = (x_1, \dots, x_s)),$$

where  $\Delta_i$  is the determinant polynomial of the simple Jordan algebra  $V_i$  and the  $k_i$  are positive integers. The degree of  $Q$  is equal to  $\sum_{i=1}^s k_i r_i$ , where  $r_i$  is the rank of  $V_i$ .

The conformal group  $\text{Conf}(V, Q)$  is the group of rational transformations  $g$  of  $V$  generated by the translations  $\tau_a: z \mapsto z + a$  ( $a \in V$ ), the dilations  $z \mapsto \ell \cdot z$  ( $\ell \in L$ ), and the inversion  $j: z \mapsto -z^{-1}$ . A transformation  $g \in \text{Conf}(V, Q)$  is conformal in the sense that the differential  $Dg(z)$  belongs to  $L \in \text{Str}(V, Q)$  at any point  $z$  where  $g$  is defined.

Let  $\mathcal{W}$  be the space of polynomials on  $V$  generated by the translated  $Q(z - a)$  of  $Q$ . We will define a representation  $\kappa$  on  $\mathcal{W}$  of  $\text{Conf}(V, Q)$  or of a covering of order two of it.

Case 1: In case there exists a character  $\chi$  of  $\text{Str}(V, Q)$  such that  $\chi^2 = \gamma$ , then let  $K = \text{Conf}(V, Q)$ . Define the cocycle

$$\mu(g, z) = \chi(Dg(z)^{-1}) \quad (g \in K, z \in V),$$

and the representation  $\kappa$  of  $K$  on  $\mathcal{W}$ ,

$$(\kappa(g)p)(z) = \mu(g^{-1}, z)p(g^{-1} \cdot z).$$

The function  $\kappa(g)p$  belongs actually to  $\mathcal{W}$ . In fact the cocycle  $\mu(g, z)$  is a polynomial in  $z$  of degree  $\leq \deg Q$  and

$$\begin{aligned} (\kappa(\tau_a)p)(z) &= p(z - a) \quad (a \in V), \\ (\kappa(\ell)p)(z) &= \chi(\ell)p(\ell^{-1} \cdot z) \quad (\ell \in L), \\ (\kappa(j)p)(z) &= Q(z)p(-z^{-1}). \end{aligned}$$

Case 2: Otherwise, the group  $K$  is defined as the set of pairs  $(g, \mu)$  with  $g \in \text{Conf}(V, Q)$ , and  $\mu$  is a rational function on  $V$  such that

$$\mu(z)^2 = \gamma(Dg(z))^{-1}.$$

We consider on  $K$  the product  $(g_1, \mu_1)(g_2, \mu_2) = (g_1g_2, \mu_3)$  with  $\mu_3(z) = \mu_1(g_2 \cdot z)\mu_2(z)$ . For  $\tilde{g} = (g, \mu) \in K$ , define  $\mu(\tilde{g}, z) := \mu(z)$ . Then  $\mu(\tilde{g}, z)$  is a cocycle:

$$\mu(\tilde{g}_1\tilde{g}_2, z) = \mu(\tilde{g}_1, \tilde{g}_2 \cdot z)\mu(\tilde{g}_2, z),$$

where  $\tilde{g} \cdot z = g \cdot z$  by definition.

**Proposition 1.3**

- (i) The map  $K \rightarrow \text{Conf}(V, Q)$ ,  $\tilde{g} = (g, \mu) \mapsto g$  is a surjective group morphism.
- (ii) For  $g \in K$ ,  $\mu(g, z)$  is a polynomial in  $z$  of degree  $\leq \deg Q$ .

**Proof** It is clearly a group morphism. We will show that the image contains a set of generators of  $\text{Conf}(V, Q)$ . If  $g$  is a translation, then  $(g, 1)$  and  $(g, -1)$  are elements in  $K$ . If  $g = \ell \in L$ , then  $Dg(z) = \ell$ , and  $(\ell, \alpha), (\ell, -\alpha)$ , with  $\alpha^2 = \gamma(\ell)^{-1}$ , are elements in  $K$ . If  $g \cdot z = j(z) := -z^{-1}$ , then  $Dg(z)^{-1} = P(z)$ , where  $P(z)$  denotes the quadratic representation of the Jordan algebra  $V: P(z) = 2T_z^2 - T_{z^2}$ , and  $\gamma(P(z)) = Q(z)^2$ . Then  $(j, Q(z)), (j, Q(-z))$  are elements in  $K$ . ■

Let  $P_{\max}$  denote the preimage in  $K$  of the maximal parabolic subgroup  $L \times N \subset \text{Conf}(V, Q)$ , where  $N$  is the subgroup of translations. For  $g \in P_{\max}$ ,  $\mu(g, z)$  does not depend on  $z$ , and  $\chi(g) = \mu(g^{-1}, z)$  is a character of  $P_{\max}$ . If  $g = (\ell, \alpha)$  with  $\ell \in L$ , then  $\chi(g)^2 = \gamma(\ell)$ .

Observe that the inverse in  $K$  of  $\sigma = (j, Q(z))$  is  $\sigma^{-1} = (j, Q(-z))$ . If  $K$  is connected, then  $K$  is a covering of order 2 of  $\text{Conf}(V, Q)$ . If not, the identity component  $K_0$  of  $K$  is homeomorphic to  $\text{Conf}(V, Q)$ .

The representation  $\kappa$  of  $K$  on  $\mathcal{W}$  is then given by

$$(\kappa(g)p)(z) = \mu(g^{-1}, z)p(g^{-1} \cdot z).$$

In particular

$$\begin{aligned} (\kappa(g)p)(z) &= \chi(g)p(g^{-1} \cdot z) \quad (g \in P_{\max}), \\ (\kappa(\sigma)p)(z) &= Q(-z)p(-z^{-1}). \end{aligned}$$

Hence  $p_0 \equiv 1$  is a highest weight vector with respect to the parabolic subgroup  $P_{\max}$ , and  $Q = \kappa(\sigma)p_0$  is a lowest weight vector. The representation  $\kappa$  is irreducible, since every highest weight vector in  $\mathcal{W}$  is proportional to  $p_0$ .

**Example 1** If  $V = \mathbb{C}$ ,  $Q(z) = z^n$ , then  $\text{Str}(V, Q) = \mathbb{C}^*$ ,  $\gamma(\ell) = \ell^n$ , and  $\text{Conf}(V, Q) \simeq \text{PSL}(2, \mathbb{C})$  is the group of fractional linear transformations

$$z \mapsto g \cdot z = \frac{az + b}{cz + d}, \text{ with } g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}(2, \mathbb{C}).$$

Furthermore,

$$Dg(z) = \frac{1}{(cz + d)^2}, \quad \gamma(Dg(z)^{-1}) = (cz + d)^{2n}, \quad \mu(g, z) = (cz + d)^n.$$

Hence, if  $n$  is even, then  $K = \text{PSL}(2, \mathbb{C})$ , and, if  $n$  is odd, then  $K = \text{SL}(2, \mathbb{C})$ .

The space  $\mathcal{W}$  is the space of polynomials of degree  $\leq n$  in one variable. The representation  $\kappa$  of  $K$  on  $\mathcal{W}$  is given by

$$(\kappa(g)p)(z) = (cz + d)^n p\left(\frac{az + b}{cz + d}\right), \text{ if } g^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix}.$$

**Example 2** If  $V = M(n, \mathbb{C})$ ,  $Q(z) = \det z$ , then  $\text{Str}(V, Q) = \text{GL}(n, \mathbb{C}) \times \text{GL}(n, \mathbb{C})$  acting on  $V$  by

$$\ell \cdot z = \ell_1 z \ell_2^{-1} \quad \ell = (\ell_1, \ell_2).$$

Then  $\gamma(\ell) = \det \ell_1 \det \ell_2^{-1}$ , and  $\gamma$  is not the square of a character of  $\text{Str}(V, Q)$ . Furthermore,  $\text{Conf}(V, Q) = \text{PSL}(2n, \mathbb{C})$  is the group of the rational transformations

$$z \mapsto g \cdot z = (az + b)(cz + d)^{-1}, \text{ with } g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}(2n, \mathbb{C}),$$

decomposed in  $n \times n$ -blocks. To determine the differential of such a transformation, let us write (assuming  $c$  to be invertible)

$$g \cdot z = (az + c)(cz + d)^{-1} = ac^{-1} - (ac^{-1}d - b)(cz + d)^{-1},$$

and we get

$$Dg(z)w = (ac^{-1}d - b)(cz + d)^{-1}cw(cz + d)^{-1}.$$

Notice that  $Dg(z) \in \text{Str}(V, Q)$ :

$$Dg(z)w = \ell_1 w \ell_2^{-1}, \text{ with } \ell_1 = (ac^{-1}d - b)(cz + d)^{-1}c, \ell_2 = (cz + d).$$

Since  $\det(ac^{-1}d - b) \det c = \det g = 1$ ,

$$\gamma(Dg(z)^{-1}) = \det(cz + d)^2.$$

It follows that  $K = SL(2n, \mathbb{C})$  and  $\mu(g, z) = \det(cz + d)$ .

The space  $\mathcal{W}$  is a space of polynomials of an  $n \times n$  matrix variable, with degree  $\leq n$ . The representation  $\kappa$  of  $K$  on  $\mathcal{W}$  is given by

$$(\kappa(g)p)(z) = \det(cz + d)p((az + b)(cz + d)^{-1}), \text{ if } g^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix}.$$

## 2 The Orbit $\Xi$ and the Irreducible $K$ -invariant Hilbert Subspaces of $\mathcal{O}(\Xi)$

Let  $\Xi$  be the  $K$ -orbit of  $Q$  in  $\mathcal{W}$ :  $\Xi = \{\kappa(g)Q \mid g \in K\}$ . Then  $\Xi$  is a conical variety. In fact, if  $\xi = \kappa(g)Q$ , then, for  $\lambda \in \mathbb{C}^*$ ,  $\lambda\xi = \kappa(g \circ h_t)Q$ , where  $h_t \cdot z = e^{-t}z$  ( $t \in \mathbb{C}$ ) with  $\lambda = e^{2t}$ .

A polynomial  $\xi \in \mathcal{W}$  can be written

$$\xi(v) = wQ(v) + \text{terms of degree } < N = \text{deg } Q \quad (w \in \mathbb{C}),$$

and  $w = w(\xi)$  is a linear form on  $\mathcal{W}$  that is invariant under the parabolic subgroup  $P_{\max}$ . The set  $\Xi_0 = \{\xi \in \Xi \mid w(\xi) \neq 0\}$  is open and dense in  $\Xi$ . A polynomial  $\xi \in \Xi_0$  can be written

$$\xi(v) = wQ(v - z) \quad (w \in \mathbb{C}^*, z \in V).$$

Hence we get a coordinate system  $(w, z) \in \mathbb{C}^* \times V$  for  $\Xi_0$ .

**Proposition 2.1** *In this system, the action of  $K$  is given by*

$$\kappa(g): (w, z) \mapsto (\mu(g, z)w, g \cdot z).$$

Observe that the orbit  $\Xi$  can be seen as a line bundle over the conformal compactification of  $V$ .

**Proof** Recall that, for  $\xi \in \Xi$ ,

$$(\kappa(g)\xi)(v) = \mu(g^{-1}, v)\xi(g^{-1} \cdot v),$$

and if  $\xi(v) = wQ(v - z)$ , then

$$= \mu(g^{-1}, v)wQ(g^{-1} \cdot v - z) = \mu(g^{-1}, v)wQ(g^{-1} \cdot v - g^{-1}g \cdot z).$$

By [12, Lemma 6.6],

$$\mu(g, z)\mu(g, z')Q(g \cdot z - g' \cdot z') = Q(z - z').$$

Therefore

$$(\kappa(g)\xi)(v) = \mu(g^{-1}, g \cdot z)^{-1}wQ(v - g \cdot z) = \mu(g, z)wQ(v - g \cdot z)$$

by the cocycle property. ■

The group  $K$  acts on the space  $\mathcal{O}(\Xi)$  of holomorphic functions on  $\Xi$  by

$$(\pi(g)f)(\xi) = f(\kappa(g)^{-1}\xi).$$

If  $\xi \in \Xi_0$ , i.e.,  $\xi(v) = wQ(v - z)$ , and  $f \in \mathcal{O}(\Xi)$ , we will write  $f(\xi) = \phi(w, z)$  for the restriction of  $f$  to  $\Xi_0$ . In the coordinates  $(w, z)$ , the representation  $\pi$  is given by

$$(\pi(g)\phi)(w, z) = \phi(\mu(g^{-1}, z)w, g^{-1} \cdot z).$$

Let  $\mathcal{O}_m(\Xi)$  denote the space of holomorphic functions  $f$  on  $\Xi$ , homogeneous of degree  $m \in \mathbb{Z}$ :

$$f(\lambda\xi) = \lambda^m f(\xi) \quad (\lambda \in \mathbb{C}^*).$$

The space  $\mathcal{O}_m(\Xi)$  is invariant under the representation  $\pi$ . If  $f \in \mathcal{O}_m(\Xi)$ , then its restriction  $\phi$  to  $\Xi_0$  can be written  $\phi(w, z) = w^m\psi(z)$ , where  $\psi$  is a holomorphic function on  $V$ . We will write  $\tilde{\mathcal{O}}_m(V)$  for the space of the functions  $\psi$  corresponding to the functions  $f \in \mathcal{O}_m(\Xi)$ , and denote by  $\tilde{\pi}_m$  the representation of  $K$  on  $\tilde{\mathcal{O}}_m(V)$  corresponding to the restriction  $\pi_m$  of  $\pi$  to  $\mathcal{O}_m(\Xi)$ . The representation  $\tilde{\pi}_m$  is given by

$$(\tilde{\pi}_m(g)\psi)(z) = \mu(g^{-1}, z)^m\psi(g^{-1} \cdot z).$$

Observe that  $(\tilde{\pi}_m(\sigma)1)(z) = Q(-z)^m$ .

**Theorem 2.2**

- (i)  $\mathcal{O}_m(\Xi) = \{0\}$  for  $m < 0$ .
- (ii) The space  $\mathcal{O}_m(\Xi)$  is finite dimensional, and the representation  $\pi_m$  is irreducible.
- (iii) The functions  $\psi$  in  $\tilde{\mathcal{O}}_m(V)$  are polynomials.

**Proof** (i) Assume that  $\mathcal{O}_m(\Xi) \neq \{0\}$ . Let  $f \in \mathcal{O}_m(\Xi)$ ,  $f \neq 0$ , and  $\phi(w, z) = \psi(z)w^m$  its restriction to  $\Xi_0$ . Then  $\psi$  is holomorphic on  $V$ , and

$$(\tilde{\pi}_m(\sigma)\psi)(z) = Q(-z)^m\psi(-z^{-1})$$

is holomorphic as well. We may assume that  $\psi(e) \neq 0$ . The function  $h(\zeta) = \psi(\zeta e)(\zeta \in \mathbb{C})$  is holomorphic on  $\mathbb{C}$ ,  $h(\zeta) = \sum_{k=0}^{\infty} a_k \zeta^k$ , together with the function

$$Q(\zeta e)^m\psi\left(-\frac{1}{\zeta}e\right) = \zeta^{mN}h\left(-\frac{1}{\zeta}\right) = \zeta^{mN} \sum_{k=0}^{\infty} a_k \left(-\frac{1}{\zeta}\right)^k \quad (N = \deg Q).$$

It follows that  $m \geq 0$  and that  $a_k = 0$  for  $k > mN$ .

(ii) The subspace

$$\{f \in \overline{\mathcal{O}_m(\Xi)} \mid \forall a \in V, \pi(\tau_a)f = f\}$$

reduces to the functions  $Cw^m$ , hence is one dimensional. By the theorem of the highest weight [14], it follows that  $\mathcal{O}_m(\Xi)$  is finite dimensional and irreducible.

(iii) Furthermore it follows that the functions in  $\mathcal{O}_m(\Xi)$  are of the form  $w^m\psi(z)$ , where  $\psi$  is a polynomial on  $V$  of degree  $\leq m \cdot \text{deg } Q$ . ■

We fix a Euclidean real form  $V_{\mathbb{R}}$  of the complex Jordan algebra  $V$ , denote by  $z \mapsto \bar{z}$  the conjugation of  $V$  with respect to  $V_{\mathbb{R}}$ , and then consider the involution  $g \mapsto \bar{g}$  of  $\text{Conf}(V, Q)$  given by:  $\bar{g} \cdot z = g \cdot \bar{z}$ . For  $(g, \mu) \in K$  define

$$\overline{(g, \mu)} = (\bar{g}, \bar{\mu}), \text{ where } \bar{\mu}(z) = \overline{\mu(\bar{z})}.$$

The involution  $\alpha$  defined by  $\alpha(g) = \sigma \circ \bar{g} \circ \sigma^{-1}$  is a Cartan involution of  $K$  (see [19, Proposition 1.1.]), and

$$K_{\mathbb{R}} := \{g \in K \mid \alpha(g) = g\}$$

is a compact real form of  $K$ .

**Example 1** If  $V = \mathbb{C}$ ,  $Q(z) = z^n$ , then  $V_{\mathbb{R}} = \mathbb{R}$ , and  $z \mapsto \bar{z}$  is the usual conjugation. We saw that  $K = \text{PSL}(2, \mathbb{C})$  if  $n$  is even, and  $\text{SL}(2, \mathbb{C})$  if  $n$  is odd. For  $g \in \text{SL}(2, \mathbb{C})$ ,

$$g = \begin{pmatrix} a & b \\ c & d \end{pmatrix},$$

we get

$$\alpha(g) = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \bar{a} & \bar{b} \\ \bar{c} & \bar{d} \end{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} \bar{d} & -\bar{c} \\ -\bar{b} & \bar{a} \end{pmatrix}.$$

Hence  $K_{\mathbb{R}} = \text{PSU}(2)$  if  $n$  is even, and  $K_{\mathbb{R}} = \text{SU}(2)$  if  $n$  is odd.

**Example 2** If  $V = M(n, \mathbb{C})$ ,  $Q(z) = \det z$ , then  $V_{\mathbb{R}} = \text{Herm}(n, \mathbb{C})$  and the conjugation is  $z \mapsto z^*$ . We saw that  $K = \text{SL}(2n, \mathbb{C})$ . For  $g \in \text{SL}(2n, \mathbb{C})$ ,

$$g = \begin{pmatrix} a & b \\ c & d \end{pmatrix},$$

we get

$$\alpha(g) = \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix} \begin{pmatrix} a^* & b^* \\ c^* & d^* \end{pmatrix} \begin{pmatrix} 0 & -I \\ I & 0 \end{pmatrix} = \begin{pmatrix} d^* & -c^* \\ -b^* & a^* \end{pmatrix}.$$

Hence  $K_{\mathbb{R}} = \text{SU}(2n)$ .



We will define on  $\mathcal{O}_m(\Xi)$  a  $K_{\mathbb{R}}$ -invariant inner product. Define the subgroup  $K_0$  of  $K$  as  $K_0 = L$  in Case 1, and the preimage of  $L$  in Case 2, relatively to the covering map  $K \rightarrow \text{Conf}(V, Q)$ , and also  $(K_0)_{\mathbb{R}} = K_0 \cap K_{\mathbb{R}}$ . The coset space  $M = K_{\mathbb{R}}/(K_0)_{\mathbb{R}}$  is a compact Hermitian space and is the conformal compactification of  $V$ . There is on  $M$  a  $K_{\mathbb{R}}$ -invariant probability measure for which  $M \setminus V$  has measure 0. Its restriction  $m_0$  to  $V$  is a probability measure with a density that can be computed by using the decomposition of  $V$  into simple Jordan algebras.

Let  $H(z, z')$  be the polynomial on  $V \times V$ , holomorphic in  $z$ , anti-holomorphic in  $z'$  such that

$$H(x, x) = Q(e + x^2) \quad (x \in V_{\mathbb{R}}).$$

Put  $H(z) = H(z, z)$ . If  $z$  is invertible, then  $H(z) = Q(\bar{z})Q(\bar{z}^{-1} + z)$ .

**Proposition 2.3** For  $g \in K_{\mathbb{R}}$ ,

$$H(g \cdot z_1, g \cdot z_2)\mu(g, z_1)\overline{\mu(g, z_2)} = H(z_1, z_2),$$

and

$$H(g \cdot z)|\mu(g, z)|^2 = H(z).$$

**Proof** Recall that an element  $g \in K_{\mathbb{R}}$  satisfies  $\sigma \circ \bar{g} \circ \sigma^{-1} = g$ , or  $\sigma \circ \bar{g} = g \circ \sigma$ . Recall also the cocycle property: for  $g_1, g_2 \in K$ ,  $\mu(g_1 g_2, z) = \mu(g_1, g_2 \cdot z)\mu(g_2, z)$ . Since  $\mu(\sigma, z) = Q(z)$ , it follows that, for  $g \in K_{\mathbb{R}}$ ,

$$(2.1) \quad \mu(g, \sigma \cdot z)Q(z) = Q(\bar{g} \cdot z)\mu(\bar{g}, z).$$

By [12, Lemma 6.6], for  $g \in K$ ,

$$(2.2) \quad Q(g \cdot z_1 - g \cdot z_2)\mu(g, z_1)\mu(g, z_2) = Q(z_1 - z_2).$$

For  $g \in K_{\mathbb{R}}$ ,

$$H(g \cdot z_1, g \cdot z_2) = Q(\bar{g} \cdot z_2)Q(g \cdot z_1 - \sigma \bar{g} \cdot \bar{z}_2) = Q(\bar{g} \cdot \bar{z}_2)Q(g \cdot z_1 - g\sigma \bar{z}_2),$$

and, by (2.2),

$$= Q(\bar{g} \cdot \bar{z}_2)\mu(g, z_1)^{-1}\mu(g, \sigma \cdot \bar{z}_2)^{-1}Q(z_1 - \sigma \cdot \bar{z}_2).$$

Finally, by (2.1),

$$= \mu(g, z_1)^{-1}\mu(\bar{g}, \bar{z}_2)^{-1}H(z_1, z_2). \quad \blacksquare$$

We define the norm of a function  $\psi \in \tilde{\mathcal{O}}_m(V)$  by

$$\|\psi\|_m^2 = \frac{1}{a_m} \int_V |\psi(z)|^2 H(z)^{-m} m_0(dz),$$

with

$$a_m = \int_V H(z)^{-m} m_0(dz).$$

**Proposition 2.4**

- (i) This norm is  $K_{\mathbb{R}}$ -invariant. Hence,  $\tilde{\mathcal{O}}_m(V)$  is a Hilbert subspace of  $\mathcal{O}(V)$ .
- (ii) The reproducing kernel of  $\tilde{\mathcal{O}}_m(V)$  is given by  $\tilde{\mathcal{K}}_m(z, z') = H(z, z')^m$ .

**Proof** (i) From Proposition 2.3 it follows that for  $g \in K_{\mathbb{R}}$ ,

$$\begin{aligned} \|\tilde{\pi}_m(g^{-1})\psi\|_m^2 &= \frac{1}{a_m} \int_V |\mu(g, z)|^{2m} |\psi(g^{-1} \cdot z)|^2 H(z)^{-m} m_0(dz) \\ &= \frac{1}{a_m} \int_V |\psi(g^{-1} \cdot z)|^2 H(g^{-1} \cdot z)^{-m} m_0(dz) \\ &= \frac{1}{a_m} \int_V |\psi(z)|^2 H(z)^{-m} m_0(dz) = \|\psi\|_m^2. \end{aligned}$$

- (ii) There is a unique function  $\psi_0 \in \tilde{\mathcal{O}}_m(V)$  such that, for  $\psi \in \tilde{\mathcal{O}}_m(V)$ ,

$$(\psi | \psi_0) = \psi(0).$$

The function  $\psi_0$  is  $K_0$ -invariant, therefore constant  $\psi_0(z) = C$ . Taking  $\psi = \psi_0$ , one gets  $C^2 = C$ , hence  $C = 1$ . It means that, if  $\tilde{\mathcal{K}}_m(z, z')$  denotes the reproducing kernel of  $\tilde{\mathcal{O}}_m(V)$ ,

$$\tilde{\mathcal{K}}_m(z, 0) = \tilde{\mathcal{K}}_m(0, z') = 1.$$

Since  $\tilde{\mathcal{K}}_m(z, z')$  and  $H(z, z')$  satisfy the following invariance properties: for  $g \in K_{\mathbb{R}}$ ,

$$\begin{aligned} \tilde{\mathcal{K}}_m(g \cdot z, g \cdot z') \mu(g, z)^m \overline{\mu(g, z')}^m &= \tilde{\mathcal{K}}_m(z, z'), \\ H(g \cdot z, g \cdot z') \mu(g, z) \overline{\mu(g, z')} &= (z, z'), \end{aligned}$$

it follows that  $\tilde{\mathcal{K}}_m(z, z') = H(z, z')^m$ . ■

Since  $\mathcal{O}_m(\Xi)$  is isomorphic to  $\tilde{\mathcal{O}}_m(V)$ , the space  $\mathcal{O}_m(\Xi)$  becomes an invariant Hilbert subspace of  $\mathcal{O}(\Xi)$ , with reproducing kernel

$$\mathcal{K}_m(\xi, \xi') = \Phi(\xi, \xi')^m,$$

where

$$\Phi(\xi, \xi') = H(z, z') \overline{ww'} \quad (\xi = (w, z), \xi' = (w', z')).$$

**Theorem 2.5** The group  $K_{\mathbb{R}}$  acts multiplicity free on  $\mathcal{O}(\Xi)$ . The irreducible  $K_{\mathbb{R}}$ -invariant subspaces of  $\mathcal{O}(\Xi)$  are the spaces  $\mathcal{O}_m(\Xi)$  ( $m \in \mathbb{N}$ ). If  $\mathcal{H} \subset \mathcal{O}(\Xi)$  is a  $K_{\mathbb{R}}$ -invariant Hilbert subspace, the reproducing kernel of  $\mathcal{H}$  can be written

$$\mathcal{K}(\xi, \xi') = \sum_{m=0}^{\infty} c_m \Phi(\xi, \xi')^m,$$

with  $c_m \geq 0$ , such that the series  $\sum_{m=0}^{\infty} c_m \Phi(\xi, \xi')^m$  converges uniformly on compact subsets in  $\Xi$ .

This multiplicity free property means that  $K_{\mathbb{R}}$  acts multiplicity free on every  $K_{\mathbb{R}}$ -invariant Hilbert space  $\mathcal{H} \subset \mathcal{O}(\Xi)$ .

**Proof** The representation  $\pi$  of  $K_{\mathbb{R}}$  on  $\mathcal{O}(\Xi)$  commutes with the  $\mathbb{C}^*$ -action by dilations and the spaces  $\mathcal{O}_m(\Xi)$  are irreducible and mutually inequivalent. It follows that  $K_{\mathbb{R}}$  acts multiplicity free. ■

In case of a weighted Bergman space there is an integral formula for the numbers  $c_m$ . For a positive function  $p(\xi)$  on  $\Xi$ , consider the subspace  $\mathcal{H} \subset \mathcal{O}(\Xi)$  of functions  $\phi$  such that

$$\|\phi\|^2 = \int_{\mathbb{C} \times V} |\phi(w, z)|^2 p(w, z) m(dw) m_0(dz) < \infty,$$

where  $m(dw)$  denotes the Lebesgue measure on  $\mathbb{C}$ .

**Theorem 2.6** Let  $F$  be a positive function on  $[0, \infty[$ , and define

$$p(w, z) = F(H(z)|w|^2)H(z).$$

- (i) Then  $\mathcal{H}$  is  $K_{\mathbb{R}}$ -invariant.
- (ii) If

$$\phi(w, z) = \sum_{m=0}^{\infty} w^m \psi_m(z),$$

then

$$\|\phi\|^2 = \sum_{m=0}^{\infty} \frac{1}{c_m} \|\psi_m\|_m^2,$$

with

$$\frac{1}{c_m} = \pi a_m \int_0^{\infty} F(u) u^m du.$$

- (iii) The reproducing kernel of  $\mathcal{H}$  is given by

$$\mathcal{K}(\xi, \xi') = \sum_{m=0}^{\infty} c_m \Phi(\xi, \xi')^m.$$

**Proof** (i) Observe first that the function defined on  $\Xi$  by

$$(w, z) \mapsto |w|^2 H(z),$$

is  $K_{\mathbb{R}}$ -invariant. In fact, for  $g \in K$ ,

$$\kappa(g): (w, z) \mapsto (\mu(g, z)w, g \cdot z)$$

and, by Proposition 2.3, for  $g \in K_{\mathbb{R}}$ ,

$$|\mu(g, z)|^2 H(g \cdot z) = H(z).$$

Furthermore, the measure  $h(z)m(dw)m_0(dz)$  is also invariant under  $K_{\mathbb{R}}$ . In fact under the transformation  $z = g \cdot z', w = \mu(g, z')w' (g \in K_{\mathbb{R}})$ , we get

$$\begin{aligned} H(z)m(dw)m_0(dz) &= H(g \cdot z')|\mu(g, z')|^2m(dw')m_0(dz') \\ &= H(z')m(dw')m_0(dz'). \end{aligned}$$

(ii) Assume that  $p(w, z) = F(H(z)|w|^2)H(z)$ . Then

$$\|\pi(g)\phi\|^2 = \int_{\mathbb{C} \times V} |\phi(\mu(g^{-1}, z)w, g^{-1} \cdot z)|^2 F(H(z)|w|^2) H(z)m(dw)m_0(dz).$$

We put

$$g^{-1} \cdot z = z', \quad \mu(g^{-1}, z)w = w'.$$

By the invariance of the measure  $H(z)m(dw)m_0(dz)$ , we obtain

$$\begin{aligned} \|\pi(g)\phi\|^2 &= \\ &= \int_{\mathbb{C} \times V} |\phi(w', z')|^2 F(H(g \cdot z')|\mu(g^{-1}, g \cdot z')|^{-2}|w'|^2) H(z')m(dw')m_0(dz'). \end{aligned}$$

Furthermore,

$$H(g \cdot z')|\mu(g^{-1}, g \cdot z')|^{-2} = H(g \cdot z')|\mu(g, z')|^2 = H(z'),$$

and, finally,  $\|\pi(g)\phi\| = \|\phi\|$ .

(iii) If  $\phi(w, z) = w^m\psi(z)$ , then

$$\|\phi\|^2 = \int_{\mathbb{C} \times V} |w|^{2m}|\psi(z)|^2 F(H(z)|w|^2) H(z)m(dw)m_0(dz).$$

We put  $w' = \sqrt{H(z)}w$ , then

$$\begin{aligned} \|\phi\|^2 &= \int_{\mathbb{C} \times V} H(z)^{-m}|w'|^{2m}|\psi(z)|^2 F(|w'|^2)m(dw')m_0(dz) \\ &= a_m\|\psi\|_m^2 \int_{\mathbb{C}} F(|w'|^2)|w'|^{2m}m(dw') \\ &= a_m\|\psi\|_m^2 \pi \int_0^\infty F(u)u^m du. \end{aligned}$$

■

### 3 Decomposition into Simple Jordan Algebras

Let us decompose the semi-simple Jordan algebra  $V$  into simple ideals:

$$V = \bigoplus_{i=1}^s V_i.$$

Denote by  $n_i$  and  $r_i$  the dimension and the rank of the simple Jordan algebra  $V_i$ , and by  $\Delta_i$  the determinant polynomial. Then  $Q(z) = \prod_{i=1}^s \Delta_i(z_i)^{k_i}$ . Let  $H_i(z, z')$  be the polynomial on  $V_i \times V_i$ , holomorphic in  $z$ , antiholomorphic in  $z'$ , such that

$$H_i(x, x) = \Delta_i(e_i + x^2) \quad (x \in (V_i)_{\mathbb{R}}),$$

and put  $H_i(z) = H_i(z, z)$ . The measure  $m_0$  has a density with respect to the Lebesgue measure  $m$  on  $V$

$$m_0(dz) = \frac{1}{C_0} H_0(z) m(dz),$$

with

$$H_0(z) = \prod_{i=1}^s H_i(z_i)^{-2\frac{m_i}{r_i}}, \quad C_0 = \int_V H_0(z) m(dz).$$

The Lebesgue measure  $m$  will be chosen such that  $C_0 = 1$ .

**Proposition 3.1** (i) *The polynomial  $Q$  satisfies the following Bernstein identity*

$$Q\left(\frac{\partial}{\partial z}\right) Q(z)^\alpha = B(\alpha) Q(z)^{\alpha-1} \quad (z \in \mathbb{C}),$$

where the Bernstein polynomial  $B$  is given by

$$B(\alpha) = \prod_{i=1}^s b_i(k_i \alpha) b_i(k_i \alpha - 1) \cdots b_i(k_i \alpha - k_i + 1),$$

and  $b_i$  is the Bernstein polynomial relative to the determinant polynomial  $\Delta_i$ .

(ii) *Furthermore,*

$$Q\left(\frac{\partial}{\partial z}\right) H(z)^\alpha = B(\alpha) \overline{Q(z)} H(z)^{\alpha-1}.$$

**Proof** (i) The Bernstein identity for  $Q$  follows from [13, Proposition VII.1.4].

(ii) For  $z$  invertible  $H(z) = Q(\bar{z})Q(\bar{z}^{-1} + z)$ , and then, by (i),

$$\begin{aligned} Q\left(\frac{\partial}{\partial z}\right) H(z)^\alpha &= Q(\bar{z})^\alpha B(\alpha) Q(\bar{z}^{-1} + z)^{\alpha-1} \\ &= Q(\bar{z}) B(\alpha) H(z)^{\alpha-1}. \end{aligned}$$

■

**Example 1** If  $V = \mathbb{C}$ ,  $Q(z) = z^n$ , then

$$\left(\frac{d}{dz}\right)^n z^{n\alpha} = B(\alpha) z^{n(\alpha-1)},$$

with  $B(\alpha) = n\alpha(n\alpha - 1) \cdots (n\alpha - n + 1)$ .

**Example 2** If  $V = M(n, \mathbb{C})$ ,  $Q(z) = \det z$ , then

$$\det\left(\frac{\partial}{\partial z}\right) (\det z)^\alpha = B(\alpha) (\det z)^{\alpha-1},$$

with  $B(\alpha) = \alpha(\alpha + 1) \cdots (\alpha + n - 1)$ .

Recall that we have introduced the numbers

$$a_m = \int_V H(z)^{-m} m_0(dz).$$

**Proposition 3.2** *The numbers  $a_m$  are given by*

$$a_m = \prod_{i=1}^s \frac{\Gamma_{\Omega_i}(2\frac{n_i}{r_i})}{\Gamma_{\Omega_i}(\frac{n_i}{r_i})} \prod_{i=1}^s \frac{\Gamma_{\Omega_i}(mk_i + \frac{n_i}{r_i})}{\Gamma_{\Omega_i}(mk_i + 2\frac{n_i}{r_i})},$$

where  $\Gamma_{\Omega_i}$  is the Gindikin gamma function of the symmetric cone  $\Omega_i$  in the Euclidean Jordan algebra  $(V_i)_{\mathbb{R}}$ .

**Proof** If the Jordan algebra  $V$  is simple and  $Q = \Delta$ , the determinant polynomial, by [13, Proposition X.3.4],

$$\begin{aligned} a_m &= \int_V H(z)^{-m} m_0(dz) = \frac{1}{C_0} \int_V H(z)^{-m-2\frac{n}{r}} m(dz) \\ &= C \int_{\Omega} \Delta(e+x)^{-m-2\frac{n}{r}} m(dx). \end{aligned}$$

By [13, Exercice 4, Chapter VII] we obtain

$$a_m = C' \frac{\Gamma_{\Omega}(m + \frac{n}{r})}{\Gamma_{\Omega}(m + 2\frac{n}{r})}.$$

In the general case

$$a_m = \frac{1}{C_0} \prod_{i=1}^s \int_{V_i} H_i(z_i)^{-mk_i-2\frac{n_i}{r_i}} m_i(dz_i),$$

and the formula of the proposition follows. ■

### 4 Generalized Kantor–Koecher–Tits Construction

From now on,  $Q$  is assumed to be of degree 4. The group of dilations of  $V : h_t \cdot z = e^{-t}z$  ( $t \in \mathbb{C}$ ) is a one parameter subgroup of  $L$ , and  $\chi(h_t) = e^{-2t}$ . Put  $h_t = \exp(tH)$ . Then  $\text{ad}(H)$  defines a grading of the Lie algebra  $\mathfrak{k}$  of  $K$ :  $\mathfrak{k} = \mathfrak{k}_{-1} + \mathfrak{k}_0 + \mathfrak{k}_1$ , with  $\mathfrak{k}_j = \{X \in \mathfrak{k} \mid \text{ad}(H)X = jX\}$ , ( $j = -1, 0, 1$ ). Notice that

$$\mathfrak{k}_{-1} = \text{Lie}(N) \simeq V, \quad \mathfrak{k}_0 = \text{Lie}(L), \quad \text{Ad}(\sigma): \mathfrak{k}_j \rightarrow \mathfrak{k}_{-j},$$

and also that  $H$  belongs to the centre  $\mathfrak{z}(\mathfrak{k}_0)$  of  $\mathfrak{k}_0$ . The element  $H$  also defines a grading of  $\mathfrak{p} := \mathfrak{W}$ :

$$\mathfrak{p} = \mathfrak{p}_{-2} + \mathfrak{p}_{-1} + \mathfrak{p}_0 + \mathfrak{p}_1 + \mathfrak{p}_2,$$

where  $\mathfrak{p}_j = \{p \in \mathfrak{p} \mid d\kappa(H)p = jp\}$  is the set of polynomials in  $\mathfrak{p}$ , homogeneous of degree  $j+2$ . The subspaces  $\mathfrak{p}_j$  are invariant under  $K_0$ . Furthermore,  $\kappa(\sigma): \mathfrak{p}_j \rightarrow \mathfrak{p}_{-j}$ , and

$$\mathfrak{p}_{-2} = \mathbb{C}, \quad \mathfrak{p}_2 = \mathbb{C}Q, \quad \mathfrak{p}_{-1} \simeq V, \quad \mathfrak{p}_1 \simeq V.$$

Let  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ . Put  $E = Q, F = 1$ .

**Theorem 4.1** *There exists on  $\mathfrak{g}$  a unique Lie algebra structure such that:*

- (i)  $[X, X'] = [X, X']_{\mathfrak{k}} \quad (X, X' \in \mathfrak{k}),$
- (ii)  $[X, p] = d\kappa(X)p \quad (X \in \mathfrak{k}, p \in \mathfrak{p}),$
- (iii)  $[E, F] = H.$

**Proof** Observe that  $(E, F, H)$  is an  $\mathfrak{sl}_2$ -triple, and that  $H$  defines a grading of

$$\mathfrak{g} = \mathfrak{g}_{-2} + \mathfrak{g}_{-1} + \mathfrak{g}_0 + \mathfrak{g}_1 + \mathfrak{g}_2,$$

with

$$\mathfrak{g}_{-2} = \mathfrak{p}_{-2}, \quad \mathfrak{g}_{-1} = \mathfrak{k}_{-1} + \mathfrak{p}_{-1}, \quad \mathfrak{g}_0 = \mathfrak{k}_0 + \mathfrak{p}_0, \quad \mathfrak{g}_1 = \mathfrak{k}_1 + \mathfrak{p}_1, \quad \mathfrak{g}_2 = \mathfrak{p}_2.$$

It is possible to give a direct proof of Theorem 4.1 (see [2, Theorem 3.1.]). It is also possible to see this statement as a special case of constructions of Lie algebras by [5]. We will now describe this construction in our case.

(a) *Cayley–Dickson process*

Let  $x \mapsto x^*$  denote the symmetry with respect to the one dimensional subspace  $\mathbb{C}e$ :

$$x^* = \frac{1}{2} \langle x, e \rangle e - x.$$

Observe that

$$\langle x, e \rangle = \tau(T_x) = D_x \log Q(e), \quad \langle e, e \rangle = 4.$$

On the vector space  $W = V \oplus V$ , one defines an algebra structure. If

$$z_1 = (x_1, y_1), \quad z_2 = (x_2, y_2),$$

then  $z_1 z_2 = z = (x, y)$  with

$$x = x_1 x_2 - (y_1 y_2^*)^*, \quad y = x_1^* y_2 + (y_1^* x_2^*)^*,$$

and an involution

$$\bar{z} = \overline{(x, y)} = (x, -y^*).$$

This involution is an antiautomorphism:  $\overline{z_1 z_2} = \bar{z}_2 \bar{z}_1$ . For  $a, b \in W$ , one introduces the endomorphisms  $V_{a,b}$  and  $T_a$  given by

$$V_{a,b} z = \{a, b, z\} := (a\bar{b})z + (z\bar{b})a - (z\bar{a})b,$$

$$T_a z = V_{a,e} z = az + z(a - \bar{a}).$$

By [5, Theorem 6.6] the algebra  $W$  is structurable. This means that, for  $a, b, c, d \in W$ ,

$$(4.1) \quad [V_{a,b}, V_{c,d}] = V_{V_{a,b}c,d} - V_{c,V_{b,a}d}.$$

Moreover the structurable algebra  $W$  is simple. By (4.1), the vector space spanned by the endomorphisms  $V_{a,b}$  ( $a, b \in W$ ) is a Lie algebra denoted by  $\text{Instrl}(W)$ . This

algebra is the Lie algebra  $\mathfrak{g}_0$  in the grading, and its subalgebra  $\mathfrak{k}_0$  is the structure algebra of the Jordan algebra  $V$ . The space  $S$  of skew-Hermitian elements in  $W$ ,  $S = \{z \in W \mid \bar{z} = -z\}$ , has dimension one. Its elements are proportionnal to  $s_0 = (0, e)$ . The subspace  $\{(x, 0) \mid x \in V\}$  of  $W$  is identified to  $V$ , and any element  $z = (x, y) \in W$  can be written  $z = x + s_0y$ .

(b) *Generalized Kantor–Koecher–Tits construction*

One defines a bracket on the vector space

$$\mathcal{K}(W) = \tilde{S} \oplus \tilde{W} \oplus \text{Instrl}(W) \oplus W \oplus S,$$

where  $\tilde{S}$  is a second copy of  $S$ , and  $\tilde{W}$  of  $W$ . This construction is described in [3], and, by Corollary 6 in that paper,  $\mathcal{K}(W)$  is a simple Lie algebra. On the subspace  $\mathcal{K}(V) = \tilde{V} \oplus \text{str}(V) \oplus V$ , this construction agrees with the classical Kantor–Koecher–Tits construction, which produces the Lie algebra  $\mathfrak{k} = \mathfrak{k}_{-1} \oplus \mathfrak{k}_0 \oplus \mathfrak{k}_1$ . This algebra  $\mathcal{K}(W)$  satisfies property (i). The restriction of the bracket of  $\mathcal{K}(W)$  to  $\mathcal{K}(V)$  coincides to the one of  $\mathcal{K}(V)$ . It satisfies (iii) as well:  $[s_0, \tilde{s}_0] = I$ , the identity of  $\text{End}(W)$ . It remains to check property (ii). This can be seen as a consequence of the theorem of the highest weight for irreducible finite dimensional representations of reductive Lie algebras. In fact, the representation  $d\kappa$  of  $\mathfrak{k}$  on  $\mathfrak{p}$  is irreducible with highest weight vector  $Q$ , with respect to any Borel subalgebra  $\mathfrak{b} \subset \mathfrak{k}_0 + \mathfrak{k}_1$ :

- If  $X \in \mathfrak{k}_1$ , then  $d\kappa(X)Q = 0$ .
- If  $X \in \mathfrak{k}_0$ , such that  $d\gamma(X) = 0$ , then  $d\kappa(X)Q = 0$  and  $d\kappa(H)Q = 2Q$ .

On the other hand, for the bracket of  $\mathcal{K}(W)$ :

- If  $u \in V$ ,  $[u, s_0] = 0$ .
- If  $X \in \text{str}(V)$ , such that  $\text{tr}(X) = 0$ , then  $[X, s_0] = 0$  and  $[H, s_0] = 2s_0$ .

It follows that the adjoint representation of  $\mathcal{K}(V) = \tilde{V} \oplus \text{str}(V) \oplus V$  on

$$\tilde{S} \oplus \tilde{s}_0\tilde{V} \oplus T_W \oplus s_0V \oplus S,$$

where  $T_W = \{T_w = V_{w,e} \mid w \in W\}$  agrees with the representation  $d\kappa$  of  $\mathfrak{k}$  on  $\mathfrak{p}$ . In the present case,  $T_w = L(w) + \frac{1}{2}\langle v, e \rangle \text{Id}$ , if  $w = u + s_0v$  ( $u, v \in V$ ).

On the vector space  $\mathfrak{g} = \mathfrak{g}_{-2} \oplus \mathfrak{g}_{-1} \oplus \mathfrak{g}_0 \oplus \mathfrak{g}_1 \oplus \mathfrak{g}_2$ , with

$$\mathfrak{g}_1 = W, \quad \mathfrak{g}_{-1} = W, \quad \mathfrak{g}_2 = \mathbb{C}E, \quad \mathfrak{g}_{-2} = \mathbb{C}F, \quad \mathfrak{g}_0 = \text{Instrl}(W),$$

one defines a bracket satisfying the following properties:

- (1)  $\mathfrak{g}_1 + \mathfrak{g}_2$  is a Heisenberg Lie algebra:

$$\mathfrak{g}_1 \times \mathfrak{g}_1 \rightarrow \mathfrak{g}_2, \quad (w_1, w_2) \mapsto w_1\tilde{w}_2 - w_2\tilde{w}_1 = \psi(w_1, w_2)s_0.$$

The bilinear form  $\psi$  is skew symmetric, and  $[w_1, w_2] = \psi(w_1, w_2)E$ .

- (2)  $\mathfrak{g}_1 \times \mathfrak{g}_{-1} \rightarrow \mathfrak{g}_0, \quad (w, \tilde{w}) \mapsto V_{w, \tilde{w}}$ .
- (3)  $\mathfrak{g}_2 \times \mathfrak{g}_{-1} \rightarrow \mathfrak{g}_1, \quad (\lambda E, \tilde{w}) \mapsto \lambda\tilde{w}$ . ■



With a different point of view the above construction is closely related to [11].

We now introduce a real form  $\mathfrak{g}_{\mathbb{R}}$  of  $\mathfrak{g}$  that will be considered in the sequel. In Section 2 we considered the involution  $\alpha$  of  $K$  given by

$$\alpha(g) = \sigma \circ \bar{g} \circ \sigma^{-1} \quad (g \in K),$$

and the compact real form  $K_{\mathbb{R}}$  of  $K$ :

$$K_{\mathbb{R}} = \{g \in K \mid \alpha(g) = g\}.$$

Recall that  $\mathfrak{p}$  has been defined as a space of polynomial functions on  $V$ . For  $p \in \mathfrak{p}$ , define  $\bar{p} = \overline{p(\bar{z})}$ , and consider the antilinear involution  $\beta$  of  $\mathfrak{p}$  given by  $\beta(p) = \kappa(\sigma)\bar{p}$ . Observe that  $\beta(E) = F$ . The involution  $\beta$  is related to the involution  $\alpha$  of  $K$  by the relation

$$\kappa(\alpha(g)) \circ \beta = \beta \circ \kappa(g) \quad (g \in K).$$

Hence, for  $g \in K_{\mathbb{R}}$ ,  $\kappa(g) \circ \beta = \beta \circ \kappa(g)$ . Define

$$\mathfrak{p}_{\mathbb{R}} = \{p \in \mathfrak{p} \mid \beta(p) = p\}.$$

The real subspace  $\mathfrak{p}_{\mathbb{R}}$  is invariant under  $K_{\mathbb{R}}$ , and irreducible for that action. The space  $\mathfrak{p}$ , as a real vector space, decomposes under  $K_{\mathbb{R}}$  into two irreducible subspaces  $\mathfrak{p} = \mathfrak{p}_{\mathbb{R}} \oplus i\mathfrak{p}_{\mathbb{R}}$ . One checks that  $E + F \in \mathfrak{p}_{\mathbb{R}}$  (and hence  $i(E - F)$  as well).

Let  $\mathfrak{u}$  be a compact real form of  $\mathfrak{g}$  such that  $\mathfrak{k} \cap \mathfrak{u} = \mathfrak{k}_{\mathbb{R}}$ , the Lie algebra of  $K_{\mathbb{R}}$ . Then  $\mathfrak{p}$  decomposes as

$$\mathfrak{p} = \mathfrak{p} \cap (i\mathfrak{u}) \oplus \mathfrak{p} \cap \mathfrak{u}$$

into two irreducible  $K_{\mathbb{R}}$ -invariant real subspaces. Looking at the subalgebra  $\mathfrak{g}^0$  isomorphic to  $\mathfrak{sl}(2, \mathbb{C})$  generated by the triple  $(E, F, H)$ , one sees that  $E + F \in \mathfrak{p} \cap (i\mathfrak{u})$ . Therefore  $\mathfrak{p}_{\mathbb{R}} = \mathfrak{p} \cap (i\mathfrak{u})$ , and  $\mathfrak{g}_{\mathbb{R}} = \mathfrak{k}_{\mathbb{R}} \oplus \mathfrak{p}_{\mathbb{R}}$  is a Lie algebra, real form of  $\mathfrak{g}$ , and the above decomposition is a Cartan decomposition of  $\mathfrak{g}_{\mathbb{R}}$ . This real form  $\mathfrak{g}_{\mathbb{R}}$  is not Hermitian, since the adjoint action of  $K$  on  $\mathfrak{p}$  is irreducible.

For Table 1 we have used the notation

$$\varphi_n(z) = z_1^2 + \cdots + z_n^2, \quad (z \in \mathbb{C}^n).$$

In case of an exceptional Lie algebra  $\mathfrak{g}$ , the real form  $\mathfrak{g}_{\mathbb{R}}$  has been identified by computing the Cartan signature.

## 5 Representation of the Generalized Kantor–Koecher–Tits Lie Algebra

Following the method of Brylinski and Kostant, we will construct a representation  $\rho$  of  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$  on the space of finite sums

$$\mathcal{O}(\Xi)_{\text{fin}} = \sum_{m=0}^{\infty} \mathcal{O}_m(\Xi)$$

$V$	$Q$	$\mathfrak{f}$	$\mathfrak{g}$	$\mathfrak{gr}$
$\mathbb{C}^n$	$\varphi_n(z)^2$	$\mathfrak{so}(n+2, \mathbb{C})$	$\mathfrak{sl}(n+2, \mathbb{C})$	$\mathfrak{sl}(n+2, \mathbb{R})$
$\mathbb{C}^p \oplus \mathbb{C}^q$	$\varphi_p(z)\varphi_q(z')$	$\mathfrak{so}(p+2, \mathbb{C}) \oplus \mathfrak{so}(q+2, \mathbb{C})$	$\mathfrak{so}(p+q+4, \mathbb{C})$	$\mathfrak{so}(p+2, q+2)$
$\text{Sym}(4, \mathbb{C})$	$\det z$	$\mathfrak{sp}(8, \mathbb{C})$	$\mathfrak{e}_6$	$\mathfrak{e}_{6(6)}$
$M(4, \mathbb{C})$	$\det z$	$\mathfrak{sl}(8, \mathbb{C})$	$\mathfrak{e}_7$	$\mathfrak{e}_{7(7)}$
$\text{Skew}(8, \mathbb{C})$	$\text{Pfaff}(z)$	$\mathfrak{so}(16, \mathbb{C})$	$\mathfrak{e}_8$	$\mathfrak{e}_{8(8)}$
$\text{Sym}(3, \mathbb{C}) \oplus \mathbb{C}$	$\det z \cdot z'$	$\mathfrak{sp}(6, \mathbb{C}) \oplus \mathfrak{sl}(2, \mathbb{C})$	$\mathfrak{f}_4$	$\mathfrak{f}_{4(4)}$
$M(3, \mathbb{C}) \oplus \mathbb{C}$	$\det z \cdot z'$	$\mathfrak{sl}(6, \mathbb{C}) \oplus \mathfrak{sl}(2, \mathbb{C})$	$\mathfrak{e}_6$	$\mathfrak{e}_{6(2)}$
$\text{Skew}(6, \mathbb{C}) \oplus \mathbb{C}$	$\text{Pfaff}(z) \cdot z'$	$\mathfrak{so}(12, \mathbb{C}) \oplus \mathfrak{sl}(2, \mathbb{C})$	$\mathfrak{e}_7$	$\mathfrak{e}_{7(-5)}$
$\text{Herm}(3, \mathbb{O})_{\mathbb{C}} \oplus \mathbb{C}$	$\det z \cdot z'$	$\mathfrak{e}_7 \oplus \mathfrak{sl}(2, \mathbb{C})$	$\mathfrak{e}_8$	$\mathfrak{e}_{8(-24)}$
$\mathbb{C} \oplus \mathbb{C}$	$z^3 \cdot z'$	$\mathfrak{sl}(2, \mathbb{C}) \oplus \mathfrak{sl}(2, \mathbb{C})$	$\mathfrak{g}_2$	$\mathfrak{g}_{2(2)}$

Table 1

such that for all  $X \in \mathfrak{k}$ ,  $\rho(X) = d\pi(X)$ . We define first a representation  $\rho$  of the subalgebra generated by  $E, F, H$ , isomorphic to  $\mathfrak{sl}(2, \mathbb{C})$ . In particular,

$$\rho(H) = d\pi(H) = \left. \frac{d}{dt} \right|_{t=0} \pi(\exp tH).$$

Hence, for  $\phi \in \mathcal{O}_m(\Xi)$ ,  $\rho(H)\phi = (\mathcal{E} - 2m)\phi$ , where  $\mathcal{E}$  is the Euler operator

$$\mathcal{E}\phi(w, z) = \left. \frac{d}{dt} \right|_{t=0} \phi(w, e^t z).$$

We introduce two operators,  $\mathcal{M}$  and  $\mathcal{D}$ . The operator  $\mathcal{M}$  is a multiplication operator  $(\mathcal{M}\phi)(w, z) = w\phi(w, z)$ , which maps  $\mathcal{O}_m(\Xi)$  into  $\mathcal{O}_{m+1}(\Xi)$ , and  $\mathcal{D}$  is a differential operator:

$$(\mathcal{D}\phi)(w, z) = \frac{1}{w} \left( Q \left( \frac{\partial}{\partial z} \right) \phi \right) (w, z),$$

which maps  $\mathcal{O}_m(\Xi)$  into  $\mathcal{O}_{m-1}(\Xi)$ . (Recall that  $\mathcal{O}_{-1}(\Xi) = \{0\}$ .) We denote by  $\mathcal{M}^\sigma$  and  $\mathcal{D}^\sigma$  the conjugate operators:

$$\mathcal{M}^\sigma = \pi(\sigma)\mathcal{M}\pi(\sigma)^{-1}, \quad \mathcal{D}^\sigma = \pi(\sigma)\mathcal{D}\pi(\sigma)^{-1}.$$

Given a sequence  $(\delta_m)_{m \in \mathbb{N}}$  one defines the diagonal operator  $\delta$  on  $\mathcal{O}(\Xi)_{fin}$  by

$$\delta \left( \sum_m \phi_m \right) = \sum_m \delta_m \phi_m,$$

and put

$$\rho(F) = \mathcal{M} - \delta \circ \mathcal{D}, \quad \rho(E) = \pi(\sigma)\rho(F)\pi(\sigma)^{-1} = \mathcal{M}^\sigma - \delta \circ \mathcal{D}^\sigma.$$

(Observe that, since  $\deg Q = 4$ , then  $Q$  is even and  $\sigma = \sigma^{-1}$ .)

**Lemma 5.1** We have that  $[\rho(H), \rho(E)] = 2\rho(E)$ ,  $[\rho(H), \rho(F)] = -2\rho(F)$ .

**Proof** Since

$$\begin{aligned} \rho(H)\mathcal{M}: \psi(z)w^m &\mapsto (\mathcal{E} - 2(m + 1))\psi(z)w^{m+1}, \\ \mathcal{M}\rho(H): \psi(z)w^m &\mapsto (\mathcal{E} - 2m)\psi(z)w^{m+1}, \end{aligned}$$

one obtains  $[\rho(H), \mathcal{M}] = -2\mathcal{M}$ . Since

$$\begin{aligned} \rho(H)\delta\mathcal{D}: \psi(z)w^m &\mapsto \delta_{m-1}(\mathcal{E} - 2(m - 1))Q\left(\frac{\partial}{\partial z}\right)\psi(z)w^{m-1}, \\ \delta\mathcal{D}\rho(H): \psi(z)w^m &\mapsto \delta_{m-1}Q\left(\frac{\partial}{\partial z}\right)(\mathcal{E} - 2m)\psi(z)w^{m-1}, \end{aligned}$$

and, by using the identity

$$\left[ Q\left(\frac{\partial}{\partial z}\right), \mathcal{E} \right] = 4Q\left(\frac{\partial}{\partial z}\right),$$

one gets

$$[\rho(H), \delta \mathcal{D}]: \psi(z)w^m \mapsto 2\delta_{m-1}Q\left(\frac{\partial}{\partial z}\right)\psi(z)w^{m-1}.$$

Finally  $[\rho(H), \rho(F)] = -2\rho(F)$ . Since the operator  $\delta$  commutes with  $\pi(\sigma)$ , and  $\pi(\sigma)\rho(H)\pi(\sigma)^{-1} = -\rho(H)$ , we get also  $[\rho(H), \rho(E)] = 2\rho(E)$ . ■

Let  $\mathbb{D}(V)^L$  denote the algebra of  $L$ -invariant differential operators on  $V$ . This algebra is commutative. In fact it is isomorphic to the algebra of invariant differential operators on the symmetric cone in the Euclidean real form  $V_{\mathbb{R}}$ . If  $V$  is simple and  $Q = \Delta$ , the determinant polynomial, then  $\mathbb{D}(V)^L$  is isomorphic to the algebra  $\mathcal{P}(\mathbb{C}^r)^{\mathfrak{S}_r}$  of symmetric polynomials in  $r$  variables. The map

$$D \mapsto \gamma(D), \quad \mathbb{D}(V)^L \rightarrow \mathcal{P}(\mathbb{C}^r)^{\mathfrak{S}_r}$$

is the Harish-Chandra isomorphism (see [13, Theorem XIV.1.7]). In general  $V$  decomposes into simple ideals  $V = \bigoplus_{i=1}^s V_i$ , and  $\mathbb{D}(V)^L$  is isomorphic to the algebra  $\prod_{i=1}^s \mathcal{P}(\mathbb{C}^{r_i})^{\mathfrak{S}_{r_i}}$ . The isomorphism is given by

$$D \mapsto \gamma(D) = (\gamma_1(D), \dots, \gamma_s(D)),$$

where  $\gamma_i$  is the isomorphism relative to the algebra  $V_i$ . For  $D \in \mathbb{D}(V)^L$ , we define the adjoint  $D^*$  by  $D^* = J \circ D \circ J$ , where  $Jf(z) = f \circ j(z) = f(-z^{-1})$ . Then  $\gamma(D^*)(\lambda) = \gamma(D)(-\lambda)$ . (See [13, Proposition XIV.1.8].)

In our setting we define the Maass operator  $\mathbf{D}_\alpha$  as

$$\mathbf{D}_\alpha = Q(z)^{1+\alpha}Q\left(\frac{\partial}{\partial z}\right)Q(z)^{-\alpha}.$$

It is  $L$ -invariant. We write  $\gamma_\alpha(\lambda) = \gamma(\mathbf{D}_\alpha)(\lambda)$ . If  $V$  is simple and  $Q = \Delta$ , then

$$\gamma_\alpha(\lambda) = \prod_{i=1}^r \left( \lambda_j - \alpha + \frac{1}{2} \left( \frac{n}{r} - 1 \right) \right)$$

([13, p. 296]). If  $V$  is simple and  $Q = \Delta^k$ , then

$$\mathbf{D}_\alpha = \Delta^{k+k\alpha} \Delta \left( \frac{\partial}{\partial z} \right)^k \Delta(z)^{-k\alpha} = \prod_{j=1}^k \Delta^{k\alpha+k-j+1} \Delta \left( \frac{\partial}{\partial z} \right) \Delta^{-(k\alpha+k-j)},$$

and

$$\gamma_\alpha(\lambda) = \prod_{j=1}^r \left[ \lambda_j - k\alpha + \frac{1}{2} \left( \frac{n}{r} - 1 \right) \right]_k.$$

(We have used the Pochhammer symbol  $[a]_k = a(a-1) \cdots (a-k+1)$ .)

**Proposition 5.2** *In general*

$$\gamma_\alpha(\lambda) = \prod_{i=1}^s \prod_{j=1}^{r_i} \left[ \lambda_j^{(i)} - k_i \alpha + \frac{1}{2} \left( \frac{n_i}{r_i} - 1 \right) \right]_{k_i}$$

for  $\lambda = (\lambda^{(1)}, \dots, \lambda^{(s)})$ ,  $\lambda^{(i)} \in \mathbb{C}^{r_i}$ .

We say that the pair  $(V, Q)$  has property (T) if there is a constant  $\eta$  such that, for  $X \in \mathfrak{l} = \text{Lie}(L)$ ,

$$\text{Tr}(X) = \eta \tau(X).$$

In such a case, for  $g \in L$ ,  $\text{Det}(g) = \gamma(g)^\eta$ , and, for  $x \in V$ ,  $\text{Det}(P(x)) = Q(x)^{2\eta}$ . Furthermore  $Q(x)^{-\eta} m(dx)$  is an  $L$ -invariant measure on the symmetric cone  $\Omega \subset V_{\mathbb{R}}$ , and  $H_0(z) = H(z)^{-2\eta}$ .

Let  $V = \oplus_{i=1}^s V_i$  be the decomposition of  $V$  into simple ideals. Property (T) is equivalent to the following: there is a constant  $\eta$  such that

$$\frac{n_i}{r_i} = \eta k_i \quad (i = 1, \dots, s).$$

In fact, for  $x \in V$ ,

$$\text{Tr}(T_x) = \sum_{i=1}^s \frac{n_i}{r_i} \text{tr}_i(x_i), \quad \tau(T_x) = \sum_{i=1}^s k_i \text{tr}_i(x_i),$$

with  $x = (x_1, \dots, x_s)$ ,  $x_i \in V_i$ .

Property (T) is satisfied either if  $V$  is simple or if  $V = \mathbb{C}^p \oplus \mathbb{C}^p$  and

$$Q(z) = (z_1^2 + \dots + z_p^2)(z_{p+1}^2 + \dots + z_{2p}^2).$$

Hence we get the following cases with property (T):

(1)  $V = \mathbb{C}^n$ ,  $Q(z) = (z_1^2 + \dots + z_n^2)^2$ , and then

$$\mathfrak{g} = \mathfrak{sl}(n+2, \mathbb{C}), \quad \mathfrak{k} = \mathfrak{so}(n+2, \mathbb{C}).$$

(2)  $V = \mathbb{C}^p \oplus \mathbb{C}^p$ , and then

$$\mathfrak{g} = \mathfrak{so}(2p+4, \mathbb{C}), \quad \mathfrak{k} = \mathfrak{so}(p+2, \mathbb{C}) \oplus \mathfrak{so}(p+2, \mathbb{C}).$$

(3)  $V$  is simple of rank 4, and  $Q = \Delta$ , the determinant polynomial. Then

$$(\mathfrak{g}, \mathfrak{k}) = (\mathfrak{e}_6, \mathfrak{sp}(8, \mathbb{C})), \quad (\mathfrak{e}_7, \mathfrak{sl}(8, \mathbb{C})), \quad (\mathfrak{e}_8, \mathfrak{so}(16, \mathbb{C})).$$

Observe that the case  $V = \mathbb{C}^2$ ,  $Q(z_1, z_2) = (z_1 z_2)^2 = z_1^2 z_2^2$  belongs both to (1) and (2). This corresponds to the isomorphisms:

$$\mathfrak{sl}(4, \mathbb{C}) \simeq \mathfrak{so}(6, \mathbb{C}), \quad \mathfrak{so}(4, \mathbb{C}) \simeq \mathfrak{so}(3, \mathbb{C}) \oplus \mathfrak{so}(3, \mathbb{C}).$$

**Proposition 5.3** *The subspaces  $\mathcal{O}_m(\Xi)$  are invariant under  $[\rho(E), \rho(F)]$ , and the restriction of  $[\rho(E), \rho(F)]$  to  $\mathcal{O}_m(\Xi)$  commutes with the  $L$ -action:*

$$[\rho(E), \rho(F)]: \mathcal{O}_m(\Xi) \rightarrow \mathcal{O}_m(\Xi), \quad \psi(z)w^m \mapsto (P_m\psi)(z)w^m,$$

where  $P_m$  is an  $L$ -invariant differential operator on  $V$  of degree  $\leq 4$ . It is given by

$$P_m = \delta_m(\mathbf{D}_{-1} - \mathbf{D}_{-m-1}^*) + \delta_{m-1}(\mathbf{D}_{-m}^* - \mathbf{D}_0).$$

**Proof** Restricted to  $\mathcal{O}_m(\Xi)$ ,

$$\mathcal{M}^\sigma \mathcal{D} = \mathbf{D}_0, \quad \mathcal{D} \mathcal{M}^\sigma = \mathbf{D}_{-1}, \quad \mathcal{M} \mathcal{D}^\sigma = \mathbf{D}_{-m}^*, \quad \mathcal{D}^\sigma \mathcal{M} = \mathbf{D}_{-m-1}^*.$$

It follows that the restriction of the operator  $[\rho(E), \rho(F)]$  to  $\mathcal{O}_m(\Xi)$  is given by

$$\begin{aligned} [\rho(E), \rho(F)] &= [\mathcal{M}^\sigma - \delta \circ \mathcal{D}^\sigma, \mathcal{M} - \delta \circ \mathcal{D}] \\ &= [\mathcal{M}, \delta \circ \mathcal{D}^\sigma] + [\delta \circ \mathcal{D}, \mathcal{M}^\sigma] \\ &= \mathcal{M} \delta \mathcal{D}^\sigma - \delta \mathcal{D}^\sigma \mathcal{M} + \delta \mathcal{D} \mathcal{M}^\sigma - \mathcal{M}^\sigma \delta \circ \mathcal{D} \\ &= \delta_m(\mathcal{D} \mathcal{M}^\sigma - \mathcal{D}^\sigma \mathcal{M}) + \delta_{m-1}(\mathcal{M} \mathcal{D}^\sigma - \mathcal{M}^\sigma \mathcal{D}) \\ &= \delta_m(\mathbf{D}_{-1} - \mathbf{D}_{-m-1}^*) + \delta_{m-1}(\mathbf{D}_{-m}^* - \mathbf{D}_0). \quad \blacksquare \end{aligned}$$

By the Harish-Chandra isomorphism, the operator  $P_m$  corresponds to the polynomial  $p_m = \gamma(P_m)$ ,

$$p_m(\lambda) = \delta_m(\gamma_{-1}(\lambda) - \gamma_{-m-1}(-\lambda)) + \delta_{m-1}(\gamma_{-m}(-\lambda) - \gamma_0(\lambda)).$$

The question is now whether it is possible to choose the sequence  $(\delta_m)$  in such a way that  $[\rho(E), \rho(F)] = \rho(H)$ . Recall that restricted to  $\mathcal{O}_m(\Xi)$ ,  $\rho(H) = \mathcal{E} - 2m$ , where  $\mathcal{E}$  is the Euler operator

$$\mathcal{E}\phi(w, z) = \frac{d}{dt} \Big|_{t=0} \phi(w, e^t z).$$

Then, by Proposition 5.3, it amounts to checking that for every  $m$ ,

$$p_m(\lambda) = \gamma(\mathcal{E})(\lambda) - 2m.$$

**Theorem 5.4** *It is possible to choose the sequence  $(\delta_m)$  such that*

$$[\rho(H), \rho(E)] = 2\rho(E), \quad [\rho(H), \rho(F)] = -2\rho(F), \quad [\rho(E), \rho(F)] = \rho(H),$$

if and only if  $(V, Q)$  has property (T), and then

$$\delta_m = \frac{A}{(m + \eta)(m + \eta + 1)},$$

where  $A$  is a constant depending on  $(V, Q)$ .

(This corresponds to [7, Theorem 6.3].)

**Proof** (a) Let us assume first that the Jordan algebra  $V$  is simple of rank 4. In such a case

$$\gamma_\alpha(\lambda) = \prod_{j=1}^4 \left( \lambda_j - \alpha + \frac{1}{2}(\eta - 1) \right) \quad \left( \eta = \frac{n}{r} \right)$$

(Proposition 5.2). With  $X_j = \lambda_j + \frac{1}{2}(\eta - 1)$ , the polynomial  $p_m$  can be written

$$p_m(\lambda) = \delta_m \left( \prod_{j=1}^4 (X_j + 1) - \prod_{j=1}^4 (X_j - m - \eta) \right) + \delta_{m-1} \left( \prod_{j=1}^4 (X_j - m + 1 - \eta) - \prod_{j=1}^4 X_j \right).$$

Furthermore

$$\gamma(\mathcal{E})(\lambda) - 2m = \sum_{j=1}^4 \lambda_j - 2m = \sum_{j=1}^4 X_j - 2(m + \eta - 1).$$

**Lemma 5.5** *The identity in the four variables  $X_j$ ,*

$$\alpha \left( \prod_{j=1}^4 (X_j + 1) - \prod_{j=1}^4 (X_j - b_j - 1) \right) + \beta \left( \prod_{j=1}^4 (X_j - b_j) - \prod_{j=1}^4 X_j \right) = \sum_{j=1}^4 X_j + c$$

holds if and only if there is a constant  $b$  such that

$$b_1 = b_2 = b_3 = b_4 = b, \quad c = -2b, \\ \alpha = \frac{1}{(b + 1)(b + 2)}, \quad \beta = \frac{1}{b(b + 1)}.$$

Hence we apply the lemma and get  $b = m + \eta - 1$ .

(b) In the general case,

$$\begin{aligned} \gamma_\alpha(\lambda) &= \prod_{i=1}^s \prod_{j=1}^{r_i} \left[ \lambda_j^{(i)} - k_i \alpha + \frac{1}{2} \left( \frac{n_i}{r_i} - 1 \right) \right]_{k_i} \\ &= \prod_{i=1}^s \prod_{j=1}^{r_i} \prod_{k=1}^{k_i} \left( \lambda_j^{(i)} - k_i \alpha + \frac{1}{2} \left( \frac{n_i}{r_i} - 1 \right) - (k - 1) \right) \\ &= A \prod_{i=1}^s \prod_{j=1}^{r_i} \prod_{k=1}^{k_i} \left( \frac{\lambda_j^{(i)}}{k_i} - \alpha + \frac{1}{2k_i} \left( \frac{n_i}{r_i} - 1 \right) - \frac{k - 1}{k_i} \right), \end{aligned}$$

with  $A = \prod_{i=1}^s k_i^{k_i r_i}$ . We introduce the notation

$$X_{jk}^{(i)} = \frac{\lambda_j^{(i)}}{k_i} + \frac{1}{2k_i} \left( \frac{n_i}{r_i} - 1 \right) - \frac{k - 1}{k_i}, \quad b_m^{(i)} = m + \frac{n_i}{k_i r_i} - 1.$$

Then we obtain

$$p_m(\lambda) = A\delta_m \left( \prod_{i=1}^s \prod_{j=1}^{r_i} \prod_{k=1}^{k_i} (X_{jk}^{(i)} + 1) - \prod_{i=1}^s \prod_{j=1}^{r_i} \prod_{k=1}^{k_i} (X_{jk}^{(i)} - b_m^{(i)} - 1) \right) + A\delta_{m-1} \left( \prod_{i=1}^s \prod_{j=1}^{r_i} \prod_{k=1}^{k_i} (X_{jk}^{(i)} - b_m^{(i)}) - \prod_{i=1}^s \prod_{j=1}^{r_i} \prod_{k=1}^{k_i} (X_{jk}^{(i)}) \right)$$

and

$$\gamma(\mathcal{E})(\lambda) = \sum_{i=1}^s \sum_{j=1}^{r_i} \sum_{k=1}^{k_i} X_{jk}^{(i)} - \frac{1}{2} \sum_{i=1}^s \sum_{j=1}^{r_i} \sum_{k=1}^{k_i} b_m^{(i)}.$$

If the rank of  $V$  is equal to 4, then the  $k_i$  are equal to 1, and the four variables  $X_{j1}^{(i)}$  are independent. By Lemma 5.5, Theorem 5.4 is proven in that case.

If the rank  $r$  of  $V$  is  $< 4$ , then

$$X_{jk}^{(i)} = X_{j1}^{(i)} - \frac{k-1}{k_i},$$

and there are only  $r$  independent variables:  $X_{j1}^{(i)}$ . In that case Theorem 5.4 is proven by using an alternative form of Lemma 5.5. ■

**Lemma 5.6** *With a partition  $k = (k_1, \dots, k_\ell)$  of 4 and length  $\ell$ ,  $k_1 + \dots + k_\ell = 4$ , and the numbers  $\gamma_{ij}$  ( $1 \leq i \leq \ell$ ,  $1 \leq j \leq k_i - 1$ ), one associates the polynomial  $F$  in the  $\ell$  variables  $T_1, \dots, T_\ell$ :*

$$F(T_1, \dots, T_\ell) = \prod_{i=1}^{\ell} T_i \prod_{j=1}^{k_i-1} (T_i + \gamma_{ij}).$$

Given  $\alpha, \beta, c \in \mathbb{R}$ , and  $b_1, \dots, b_\ell \in \mathbb{R}$ , then

$$\alpha(F(T_1 + 1, \dots, T_\ell + 1) - F(T_1 - b_1 - 1, \dots, T_\ell - b_\ell - 1)) + \beta(F(T_1 - b_1, \dots, T_\ell - b_\ell) - F(T_1, \dots, T_\ell)) = \sum_{i=1}^{\ell} T_i + c$$

is an identity in the variables  $T_1, \dots, T_\ell$  if and only if there exists  $b$  such that

$$b_1 = \dots = b_\ell = b, \alpha = \frac{1}{(b+1)(b+2)}, \beta = \frac{1}{b(b+1)},$$

and

$$c = \sum_{i=1}^{\ell} \sum_{j=1}^{k_i-1} \gamma_{ij} - 2b.$$



For  $p \in \mathfrak{p}$ , define the multiplication operator  $\mathcal{M}(p)$  given by

$$(\mathcal{M}(p)\phi)(w, z) = wp(z)\phi(w, z).$$

Observe that  $\mathcal{M}(1) = \mathcal{M}$ . Then, for  $g \in K$ ,

$$\mathcal{M}(\kappa(g)p) = \pi(g)\mathcal{M}(p)\pi(g^{-1}).$$

In fact

$$(\mathcal{M}(p)\pi(g^{-1})\phi)(w, z) = wp(z)\phi(\mu(g, z)w, g \cdot z),$$

and

$$\begin{aligned} &(\pi(g)\mathcal{M}(p)\pi(g^{-1})\phi)(w, z) \\ &= \mu(g^{-1}, z)wp(g^{-1} \cdot z)\phi(\mu(g^{-1}, z)\mu(g, g^{-1} \cdot z)w, g^{-1}g \cdot z) \\ &= w(\kappa(z)p)(z)\phi(w, z) = \mathcal{M}(\kappa(g)p)\phi(w, z). \end{aligned}$$

**Proposition 5.7** *There is a unique map*

$$\mathfrak{p} \rightarrow \text{End}(\mathcal{O}_{\text{fin}}(\Xi)), \quad p \mapsto \mathcal{D}(p)$$

such that  $\mathcal{D}(1) = \mathcal{D}$ , and, for  $g \in K$ ,

$$\mathcal{D}(\kappa(g)p) = \pi(g)\mathcal{D}(p)\pi(g^{-1}).$$

(This corresponds to part of [7, Theorem 6.1].)

**Proof** Recall that for  $g \in P_{\text{max}}$ ,

$$(\kappa(g)p)(z) = \chi(g)p(g^{-1} \cdot z),$$

and

$$(\pi(g)\phi)(w, z) = \phi(\chi(g)w, g^{-1} \cdot z).$$

Let us show that for  $g \in P_{\text{max}}$ ,

$$\pi(g)\mathcal{D}\pi(g^{-1}) = \chi(g)\mathcal{D}.$$

Observe first that, for  $\ell \in L$  and a smooth function  $\psi$  on  $V$ ,

$$Q\left(\frac{\partial}{\partial z}\right)(\psi(\ell \cdot z)) = \gamma(\ell)\left(Q\left(\frac{\partial}{\partial z}\right)\psi\right)(\ell \cdot z).$$

Therefore, for  $g \in P_{\text{max}}$ ,

$$\begin{aligned} \mathcal{D}\pi(g^{-1})\phi(w, z) &= \frac{1}{w}Q\left(\frac{\partial}{\partial z}\right)\left(\phi(\chi(g^{-1})w, g \cdot z)\right) \\ &= \frac{1}{w}\chi(g)^2\left(Q\left(\frac{\partial}{\partial z}\right)\phi\right)(\chi(g^{-1})w, g \cdot z), \end{aligned}$$

and

$$(\pi(g)\mathcal{D}\pi(g^{-1})\phi)(w, z) = \frac{1}{\chi(g)w}\chi(g)^2\left(Q\left(\frac{\partial}{\partial z}\right)\phi\right)(w, z) = \chi(g)\mathcal{D}\phi(w, z).$$

It follows that the vector subspace in  $\text{End}(\mathcal{O}_{\text{fin}}(\Xi))$  generated by the endomorphisms  $\pi(g)\mathcal{D}\pi(g^{-1})$  ( $g \in K$ ) is a representation space for  $K$  equivalent to  $\mathfrak{p}$ . (See [8, Theorem 3.10].) Hence there exists a unique  $K$ -equivariant map  $p \mapsto \mathcal{D}(p)$  such that  $\mathcal{D}(1) = \mathcal{D}$ . ■

For  $p \in \mathfrak{p}$ , define  $\rho(p) = \mathcal{M}(p) - \delta\mathcal{D}(p)$ . Observe that this definition is consistent with the definition of  $\rho(E)$  and  $\rho(F)$ . Recall that for  $X \in \mathfrak{k}$ ,  $\rho(X) = d\pi(X)$ . Hence we get a map

$$\rho: \mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p} \rightarrow \text{End}(\mathcal{O}(\Xi)_{\text{fin}}).$$

**Theorem 5.8** Assume that Property (T) holds. Fix  $(\delta_m)$  as in Theorem 5.4.

- (i)  $\rho$  is a representation of the Lie algebra  $\mathfrak{g}$  on  $\mathcal{O}(\Xi)_{\text{fin}}$ .
- (ii) The representation  $\rho$  is irreducible.

**Proof** (i) Since  $\pi$  is a representation of  $K$ , for  $X, X' \in \mathfrak{k}$ ,

$$[\rho(X), \rho(X')] = \rho([X, X']).$$

It follows from Proposition 5.7 that for  $X \in \mathfrak{k}$ ,  $p \in \mathfrak{p}$ ,

$$[\rho(X), \rho(p)] = \rho([X, p]).$$

It remains to show that for  $p, p' \in \mathfrak{p}$ ,

$$[\rho(p), \rho(p')] = \rho([p, p']).$$

By Theorem 5.4,  $[\rho(E), \rho(F)] = \rho(H)$ . Then this follows from [9, Lemma 3.6]. Consider the map

$$\tau: \bigwedge^2 \mathfrak{p} \rightarrow \text{End}(\mathcal{O}(\Xi)_{\text{fin}})$$

defined by

$$\tau(p \wedge p') = [\rho(p), \rho(p')] - \rho([p, p']).$$

We know that  $\tau(E \wedge F) = 0$ . It follows that, for  $g \in K$ ,

$$\tau(\kappa(g)E \wedge \kappa(g)F) = 0.$$

Since the representation  $\kappa$  is irreducible, and  $E$  and  $F$  are highest and lowest vectors with respect to  $P$ , the vector  $E \wedge F$  is cyclic in  $\bigwedge^2 \mathfrak{p}$  for the action of  $K$ . Therefore  $\tau \equiv 0$ .

(ii) Let  $\mathcal{V} \neq \{0\}$  be a  $\rho(\mathfrak{g})$ -invariant subspace of  $\mathcal{O}(\Xi)_{\text{fin}}$ . Then  $\mathcal{V}$  is  $\rho(\mathfrak{k})$ -invariant. As  $\mathcal{O}(\Xi)_{\text{fin}} = \sum_{m=0}^{\infty} \mathcal{O}_m(\Xi)$  and as the subspaces  $\mathcal{O}_m(\Xi)$  are  $\rho(\mathfrak{k})$ -irreducible, then there exists  $J \subset \mathbb{N}$  ( $J \neq \emptyset$ ) such that  $\mathcal{V} = \sum_{m \in J} \mathcal{O}_m(\Xi)$ . Observe that if  $\mathcal{V}$  contains

$\mathcal{O}_m(\Xi)$ , then it contains  $\mathcal{O}_{m+1}(\Xi)$ . In fact denote by  $\phi_m$  the function in  $\mathcal{O}_m(\Xi)$  defined by  $\phi_m(w, z) = w^m$ . As  $\mathcal{D}\phi_m = 0$ , it follows that

$$\rho(F)\phi_m = \mathcal{M}\phi_m = \phi_{m+1},$$

and  $\rho(F)\phi_m$  belongs to  $\mathcal{O}_{m+1}(\Xi)$ ; therefore  $\mathcal{O}_{m+1}(\Xi) \subset \mathcal{V}$ . Denote by  $m_0$  the minimum of the  $m$  such that  $\mathcal{O}_m(\Xi) \subset \mathcal{V}$ , then

$$\mathcal{V} = \bigoplus_{m=m_0}^{\infty} \mathcal{O}_m(\Xi).$$

The function  $\phi(w, z) = Q(z)^m w^m$  belongs to  $\mathcal{O}_m(\Xi)$ , and

$$\rho(F)\phi(w, z) = Q(z)^m w^{m+1} - \delta_{m-1} Q\left(\frac{\partial}{\partial z}\right) Q(z)^m w^{m-1}.$$

By the Bernstein identity (Proposition 3.1)

$$Q\left(\frac{\partial}{\partial z}\right) Q(z)^m = B(m)Q(z)^{m-1},$$

and since  $B(m) > 0$  for  $m > 0$ , it follows that, if  $\mathcal{O}_m(\Xi) \subset \mathcal{V}$  with  $m > 0$ , then  $\mathcal{O}_{m-1}(\Xi) \subset \mathcal{V}$ . Therefore  $m_0 = 0$  and  $\mathcal{V} = \mathcal{O}(\Xi)_{\text{fin}}$ . ■

## 6 The Unitary Representation of the Kantor–Koecher–Tits Group

We consider, for a sequence  $(c_m)$  of positive numbers, an inner product on  $\mathcal{O}(\Xi)_{\text{fin}}$  such that

$$\|\phi\|^2 = \sum_{m=0}^{\infty} \frac{1}{c_m} \|\psi_m\|_m^2$$

for

$$\phi(w, z) = \sum_{m=0}^{\infty} \psi_m(z) w^m.$$

This inner product is invariant under  $K_{\mathbb{R}}$ . We assume that Property (T) holds, and we will determine the sequence  $(c_m)$  such that this inner product is invariant under the representation  $\rho$  restricted to  $\mathfrak{g}_{\mathbb{R}}$ . We denote by  $\mathcal{H}$  the Hilbert space completion of  $\mathcal{O}(\Xi)_{\text{fin}}$  with respect to this inner product. We will assume  $c_0 = 1$ .

The Bernstein polynomial  $B$  is of degree 4 and vanishes at 0 and  $\alpha_1 = 1 - \eta$ . Let  $\alpha_2$  and  $\alpha_3$  be the two remaining roots:

$$B(\alpha) = A\alpha(\alpha - \alpha_1)(\alpha - \alpha_2)(\alpha - \alpha_3).$$

(1)  $V = \mathbb{C}^n$ ,  $Q(z) = (z_1^2 + \dots + z_n^2)^2$ . Then

$$B(\alpha) = A\alpha\left(\alpha - \frac{1}{2}\right)\left(\alpha + \frac{n-4}{4}\right)\left(\alpha + \frac{n-2}{4}\right).$$

$A = 2^4$  if  $n \geq 2$ ,  $A = 4^4$  if  $n = 1$ .

(2)  $V = \mathbb{C}^{2p}$ ,  $Q(z) = (z_1^2 + \dots + z_p^2)(z_{p+1}^2 + \dots + z_{2p}^2)$ . Then

$$B(\alpha) = \alpha^2 \left( \alpha + \frac{p-2}{2} \right)^2.$$

(3)  $V$  is simple of rank 4, complexification of  $V_{\mathbb{R}} = \text{Herm}(4, \mathbb{F})$ ,  $Q(z) = \Delta(z)$ , the determinant polynomial. Then

$$B(\alpha) = \alpha \left( \alpha + \frac{d}{2} \right) \left( \alpha + 2\frac{d}{2} \right) \left( \alpha + 3\frac{d}{2} \right),$$

where  $d = \dim_{\mathbb{R}} \mathbb{F}$ .

Here are the non zero roots of the Bernstein polynomial:

	$\eta$	$\alpha_1$	$\alpha_2$	$\alpha_3$
(1)	$\frac{n}{4}$	$-\frac{n-4}{4}$	$\frac{1}{2}$	$-\frac{n-2}{4}$
(2)	$\frac{p}{2}$	$-\frac{p-2}{2}$	$0$	$-\frac{p-2}{2}$
(3)	$1 + 3\frac{d}{2}$	$-3\frac{d}{2}$	$-\frac{d}{2}$	$-2\frac{d}{2}$

**Theorem 6.1**

(i) The inner product of  $\mathcal{H}$  is  $\mathfrak{g}_{\mathbb{R}}$ -invariant if

$$c_m = \frac{(\eta + 1)_m}{(\eta + \alpha_2)_m (\eta + \alpha_3)_m} \frac{1}{m!}.$$

(ii) The reproducing kernel of  $\mathcal{H}$  is given by

$$\mathcal{K}(\xi, \xi') = {}_1F_2(\eta + 1; \eta + \alpha_2, \eta + \alpha_3; H(z, z') w \overline{w'}),$$

for  $\xi = (w, z)$ ,  $\xi' = (w', z')$ .

(This corresponds to [7, Theorems 6.6 and 8.1].)

**Proof** (i) Recall that  $\mathfrak{p}_{\mathbb{R}} = \{p \in \mathfrak{p} \mid \beta(p) = p\}$ , where  $\beta$  is the conjugation of  $\mathfrak{p}$  we introduced at the end of Section 4. Recall also that

$$\beta(\kappa(g)p) = \kappa(\alpha(g))\beta(p).$$

The inner product of  $\mathcal{H}$  is  $\mathfrak{g}_{\mathbb{R}}$ -invariant if and only if, for every  $p \in \mathfrak{p}$ ,

$$\rho(p)^* = -\rho(\beta(p)).$$

But this is equivalent to the single condition  $\rho(E)^* = -\rho(F)$ . In fact, assume that this condition is satisfied. Then, for  $p = \kappa(g)E$ , ( $g \in K$ ),

$$\rho(p) = \pi(g)\rho(E)\pi(g^{-1}), \quad \rho(p)^* = -\pi(g^{-1})^*\rho(F)\pi(g)^*.$$

Since  $\pi(g)^* = \pi(\alpha(g))^{-1}$ , we get

$$\begin{aligned} \rho(p)^* &= -\pi(\alpha(g))\rho(F)\pi(\alpha(g^{-1})) = -\rho(\kappa(\alpha(g))F) \\ &= -\rho(\kappa(\alpha(g))\beta(E)) = -\rho(\beta(\kappa(g)E)) = -\rho(\beta(p)). \end{aligned}$$

Finally, observe that the vector  $E$  is cyclic in  $\mathfrak{p}$  for the  $K$ -action.

For  $m \geq 0$ ,  $\phi \in \mathcal{O}_{m+1}(\Xi)$ ,  $\phi' \in \mathcal{O}_m(\Xi)$ , the condition  $\rho(E)^* = -\rho(F)$  is equivalent to

$$\frac{1}{c_{m+1}}(\phi | \mathcal{M}^\sigma \phi')_{m+1} = \frac{1}{c_m} \delta_m(\mathcal{D}\phi | \phi')_m.$$

Recall that  $m_0(dz) = H_0(z)m(dz)$  with  $H_0(z) = H(z)^{-2\eta}$ , and the norm of  $\tilde{\mathcal{O}}_m(V)$  can be written

$$\|\psi\|_m^2 = \frac{1}{a_m} \int_V |\psi(z)|^2 H(z)^{-m-2\eta} m(dz).$$

Then the required condition of invariance becomes

$$\begin{aligned} \frac{1}{c_{m+1}a_{m+1}} \int_V \psi(z)\overline{Q(z)\psi'(z)}H(z)^{-(m+1)-2\eta}m(dz) = \\ \frac{\delta_m}{c_m a_m} \int_V \left(Q\left(\frac{\partial}{\partial z}\right)\psi\right)(z)\overline{\psi'(z)}H(z)^{-m-2\eta}m(dz). \end{aligned}$$

By integrating by parts

$$\begin{aligned} \int_V \left(Q\left(\frac{\partial}{\partial z}\right)\psi\right)(z)\overline{\psi'(z)}H(z)^{-m-2\eta}m(dz) = \\ \int_V \psi(z)\overline{\psi'(z)}\left(Q\left(\frac{\partial}{\partial z}\right)H(z)^{-m-2\eta}\right)m(dz), \end{aligned}$$

and, by the relation

$$Q\left(\frac{\partial}{\partial z}\right)H(z)^{-m-2\eta} = B(-m-2\eta)\overline{Q(z)}H(z)^{-(m+1)-2\eta},$$

the condition can be written

$$\frac{1}{c_{m+1}} = \frac{a_{m+1}}{a_m} \delta_m B(-m-2\eta) \frac{1}{c_m}.$$

From Proposition 3.2 it follows that

$$\frac{a_{m+1}}{a_m} = \frac{B(-m-\eta)}{B(-m-2\eta)}.$$

We obtain finally

$$\frac{c_{m+1}}{c_m} = \frac{m+\eta+1}{(m+\eta+\alpha_2)(m+\eta+\alpha_3)(m+1)},$$

and since  $c_0 = 1$ ,

$$c_m = \frac{(\eta + 1)_m}{(\eta + \alpha_2)_m(\eta + \alpha_3)_m} \frac{1}{m!}.$$

(ii) By Theorem 2.5 the reproducing kernel of  $\mathcal{H}$  is given by

$$\begin{aligned} \mathcal{K}(\xi, \xi') &= \sum_{m=0}^{\infty} c_m H(z, z')^m w^m \overline{w'}^m \\ &= {}_1F_2(\eta + 1; \eta + \alpha_2, \eta + \alpha_3; H(z, z') w \overline{w'}), \end{aligned}$$

with  $\xi = (w, z)$ ,  $\xi' = (w', z')$ . ■

We will see that the Hilbert space  $\mathcal{H}$  is a pseudo-weighted Bergman space. By this we mean that the norm is given by an integral of  $|\phi|^2$  with respect to a weight taking both positive and negative values. The weight involves a Meijer  $G$ -function

$$G(u) = \frac{1}{2i\pi} \int_{c-i\infty}^{c+i\infty} \frac{\Gamma(\beta_1 + s)\Gamma(\beta_2 + s)\Gamma(\beta_3 + s)}{\Gamma(\alpha + s)} u^{-s} ds,$$

where  $\alpha, \beta_1, \beta_2, \beta_3$  are real numbers, and  $c > \sigma = -\inf\{\beta_1, \beta_2, \beta_3\}$ . This function is denoted by

$$G(u) = G_{1,3}^{3,0} \left( x \mid \begin{matrix} \alpha \\ \beta_1 & \beta_2 & \beta_3 \end{matrix} \right)$$

(see for instance [17]). By the inversion formula for the Mellin transform

$$\int_0^{\infty} G(u) u^{s-1} du = \frac{\Gamma(\beta_1 + s)\Gamma(\beta_2 + s)\Gamma(\beta_3 + s)}{\Gamma(\alpha + s)},$$

for  $\text{Re } s > \sigma$ , and the integral is absolutely convergent. If the numbers  $\beta_1, \beta_2, \beta_3$  are distinct, then

$$G(u) = \varphi_1(u)u^{\beta_1} + \varphi_2(u)u^{\beta_2} + \varphi_3(u)u^{\beta_3},$$

where  $\varphi_1, \varphi_2, \varphi_3$  are holomorphic near 0. (Note that  $\varphi_1, \varphi_2, \varphi_3$  are  ${}_1F_2$  hypergeometric functions.)

The function  $G$  may not be positive on  $]0, \infty[$ , but is positive for  $u$  large enough. In fact

$$G(u) \sim \sqrt{\pi} u^\theta e^{-2\sqrt{u}} \quad (u \rightarrow \infty),$$

where  $\theta = \beta_1 + \beta_2 + \beta_3 - \alpha - \frac{1}{2}$ . ([18, Theorem 3, p. 32].)

Now take

$$\alpha = \eta - 1, \beta_1 = 2\eta - 1, \beta_2 = 2\eta + a - 1, \beta_3 = 2\eta + b - 1 :$$

	$\alpha$	$\beta_1$	$\beta_2$	$\beta_3$
(1)	$\frac{n}{4} - 1$	$\frac{n-2}{2}$	$\frac{n-1}{2}$	$\frac{n-2}{4}$
(2)	$\frac{p}{2} - 1$	$p - 1$	$p - 1$	$\frac{p}{2}$
(3)	$3\frac{d}{2}$	$3d + 1$	$5\frac{d}{2} + 1$	$2d + 1$

The Mellin transform of  $G$  vanishes at  $-\alpha$ , with changing sign. One can check that  $-\alpha > \sigma$  in all cases. Therefore there are real values  $s > \sigma$  for which the integral

$$\int_0^\infty G(u)u^{s-1}du < 0.$$

This implies that the function  $G$  takes negative values on  $]0, \infty[$ .

**Theorem 6.2** For  $\phi \in \mathcal{H}$ ,

$$\|\phi\|^2 = \int_{\mathbb{C} \times V} |\phi(w, z)|^2 p(z, w) m(dw) m_0(dz),$$

with

$$p(w, z) = CG(|w|^2 H(z)) H(z).$$

The integral is absolutely convergent.

**Proof** We will follow the proof of [6, Theorem 5.7].

(a) From the proof of Theorem 6.1 it follows that

$$\begin{aligned} \frac{1}{a_m c_m} &= \frac{(2\eta)_m (2\eta + \alpha_2)_m (2\eta + \alpha_3)_m}{(\eta)_m} \\ &= C \frac{\Gamma(2\eta + m) \Gamma(2\eta + \alpha_2 + m) \Gamma(2\eta + \alpha_3 + m)}{\Gamma(\eta + m)} \\ &= C \int_0^\infty G(u) u^m du. \end{aligned}$$

(One checks that  $\sigma < 1$ , i.e.,  $G$  is integrable.) By the computation we did for the proof of Theorem 2.6, we obtain, for  $\phi(w, z) = w^m \psi(z) \in \mathcal{O}_m$ ,

$$\int_{\mathbb{C} \times V} |\phi(w, z)|^2 p(z, w) m(dw) m_0(dz) = \|\phi\|^2.$$

Furthermore, if  $\phi \in \mathcal{O}_m, \phi' \in \mathcal{O}_{m'}$ , with  $m \neq m'$ ,

$$\int_{\mathbb{C} \times V} \phi(w, z) \overline{\phi'(w, z)} m(dw) m_0(dz) = 0.$$

It follows that, for  $\phi \in \mathcal{O}_{\text{fin}}$ ,

$$\int_{\mathbb{C} \times V} |\phi(w, z)|^2 p(z, w) m(dw) m_0(dz) = \|\phi\|^2.$$

The computation is justified by the fact that, for  $s > \sigma$ ,

$$\int_0^\infty |G(u)| u^{s-1} du < \infty.$$

(b) Let us consider the weighted Bergman space  $\mathcal{H}^1$  whose norm is given by

$$\|\phi\|_1^2 = \int_{\mathbb{C} \times V} |\phi(w, z)|^2 |p(w, z)| m(dw) m_0(dz).$$

By Theorem 2.6,

$$\|\phi\|_1^2 = \sum_{m=0}^{\infty} \frac{1}{c_m^1} \|\psi_m\|_m^2,$$

with

$$\frac{1}{a_m c_m^1} = C \int_0^{\infty} |G(u)| u^m du.$$

Obviously  $c_m^1 \leq c_m$ , therefore  $\mathcal{H}^1 \subset \mathcal{H}$ . We will show that  $\mathcal{H} \subset \mathcal{H}^1$ . For that we will prove that there is a constant  $A$  such that  $c_m \leq A c_m^1$ . As observed above there is  $u_0 \geq 0$  such that  $G(u) \geq 0$ , for  $u \geq u_0$ , and then

$$\int_0^{\infty} |G(u)| u^m \leq \int_0^{\infty} G(u) u^m du + 2 \int_0^{u_0} |G(u)| u^m du.$$

Hence

$$\frac{1}{c_m^1} \leq \frac{1}{c_m} + 2 a_m u_0^m \int_0^{u_0} |G(u)| du.$$

By the formula we gave at the beginning of (a), the sequence  $a_m c_m u_0^m$  is bounded. Therefore there is a constant  $A$  such that  $\frac{1}{c_m^1} \leq A \frac{1}{c_m}$ , and this implies that  $\mathcal{H} \subset \mathcal{H}^1$ . ■

Let  $\widetilde{G}_{\mathbb{R}}$  be the connected and simply connected Lie group with Lie algebra  $\mathfrak{g}_{\mathbb{R}}$  and denote by  $\widetilde{K}_{\mathbb{R}}$  the subgroup of  $\widetilde{G}_{\mathbb{R}}$  with Lie algebra  $\mathfrak{k}_{\mathbb{R}}$ . It is a covering of  $K_{\mathbb{R}}$ . We denote by  $s: \widetilde{K}_{\mathbb{R}} \rightarrow K_{\mathbb{R}}, g \mapsto s(g)$  the canonical surjection.

**Theorem 6.3**

- (i) *There is a unique unitary irreducible representation  $\widetilde{\pi}$  of  $\widetilde{G}_{\mathbb{R}}$  on  $\mathcal{H}$  such that  $d\widetilde{\pi} = \rho$ . And, for all  $k \in \widetilde{K}_{\mathbb{R}}, \widetilde{\pi}(k) = \pi(s(k))$ .*
- (ii) *The representation  $\widetilde{\pi}$  is spherical.*

**Proof** (i) Notice that if the operators  $\rho(E + F)$  and  $\rho(i(E - F))$  are skew-symmetric, then for each  $p \in \mathfrak{p}_{\mathbb{R}}$ , the operator  $\rho(p)$  is skew-symmetric. In fact, since the  $\mathfrak{sl}_2$ -triple  $(E, F, H)$  is strictly normal (see [22]), which means that  $H \in i\mathfrak{k}_{\mathbb{R}}, E + F \in \mathfrak{p}_{\mathbb{R}}, i(E - F) \in \mathfrak{p}_{\mathbb{R}}$ , and since  $\mathfrak{p} = \mathcal{U}(\mathfrak{k})E$ , hence  $\mathfrak{p}_{\mathbb{R}} = \mathcal{U}(\mathfrak{k}_{\mathbb{R}})(E + F) + \mathcal{U}(\mathfrak{k}_{\mathbb{R}})(i(E - F))$ , and the assertion follows.

Now, by Nelson’s criterion, it is enough to prove that the operator  $\rho(\mathcal{L})$  is essentially self-adjoint where  $\mathcal{L}$  is the Laplacian of  $\mathfrak{g}_{\mathbb{R}}$ . Let us consider a basis  $\{X_1, \dots, X_k\}$  of  $\mathfrak{k}_{\mathbb{R}}$  and a basis  $\{p_1, \dots, p_l\}$  of  $\mathfrak{p}_{\mathbb{R}}$ , orthogonal with respect to the Killing form. As  $\mathfrak{g}_{\mathbb{R}} = \mathfrak{k}_{\mathbb{R}} + \mathfrak{p}_{\mathbb{R}}$  is the Cartan decomposition of  $\mathfrak{g}_{\mathbb{R}}$ , then the Laplacian and the Casimir operators of  $\mathfrak{g}_{\mathbb{R}}$  are given by

$$\begin{aligned} \mathcal{L} &= X_1^2 + \dots + X_k^2 + p_1^2 + \dots + p_l^2, \\ \mathcal{C} &= X_1^2 + \dots + X_k^2 - p_1^2 - \dots - p_l^2. \end{aligned}$$



It follows that  $\mathcal{L} = 2(X_1^2 + \cdots + X_k^2) - \mathcal{C}$  and  $\rho(\mathcal{L}) = 2\rho(X_1^2 + \cdots + X_k^2) - \rho(\mathcal{C})$ . Since  $\rho(X_1^2 + \cdots + X_k^2) = d\pi(X_1^2 + \cdots + X_k^2)$  and as  $\pi$  is a unitary representation of  $K_{\mathbb{R}}$ , hence the image  $\rho(X_1^2 + \cdots + X_k^2)$  of the Laplacian of  $\mathfrak{k}_{\mathbb{R}}$  is essentially self-adjoint. Moreover, since the dimension of  $\mathcal{O}(\Xi)_{\text{fin}}$  is countable, then the commutant of  $\rho$ , which is a division algebra over  $\mathbb{C}$ , also has a countable dimension, and is equal to  $\mathbb{C}$  (see [10, p. 118]). It follows that  $\rho(\mathcal{C})$  is scalar. We deduce that  $\rho(\mathcal{L})$  is essentially self-adjoint and that the irreducible representation  $\rho$  of  $\mathfrak{g}_{\mathbb{R}}$  integrates to an irreducible unitary representation of  $\widetilde{G}_{\mathbb{R}}$ , on the Hilbert space  $\mathcal{H}$ .

(ii) The space  $\mathcal{O}_0(\Xi)$  reduces to the constant functions that are the  $K$ -fixed vectors. ■

We do not know whether the representation  $\widetilde{\pi}$  goes down to a representation of a real Lie group  $G_{\mathbb{R}}$  with  $K_{\mathbb{R}}$  as a maximal compact subgroup.

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