# CATEGORY OF SEQUENCES OF ZEROS AND ONES IN SOME FK SPACES

## by ROBERT DEVOS

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Let s denote the space of all complex valued sequences and let  $E^{\infty}$  be all eventually zero sequences. An FK space is a locally convex vector subspace of s which is also a Fréchet space (complete linear metric) with continuous coordinates. A BK space is a normed FK space. Some discussion of FK spaces is given in [11]. Well-known examples of BK spaces are the spaces m, c, c<sub>0</sub> of bounded, convergent, null sequences respectively, all with  $||x||_{\infty} = \sup_{k} |x_k|$ , and

$$\ell^p = \left\{ x \in s : \|x\|_p = \left( \sum_{k=1}^{\infty} |x_k|^p \right)^{1/p} < \infty \right\} \qquad (1 \le p < \infty).$$

Let  $N_0$  be all sequences of 0's and 1's. For each  $t \in (0, 1]$ , write t in its nonterminating binary decimal expansion.  $N_0 \setminus E^\infty$  is equivalent to (0, 1] and  $N_0$  contains only a countable number of eventually zero sequences; hence we can talk about subsets of  $N_0$ having category. This is the classical definition of the category of subsets of  $N_0$  given in [5]. The topology of  $N_0 \setminus E^\infty$  induced on it by its equivalence with (0, 1] is the same as the topology inherited as a subset of s.

All FK spaces considered will contain  $E^{\infty}$ . Let A be an infinite matrix, E an FK space,  $E_A = \{x \in s : Ax \in E\}$  is well known to be an FK space.

In 1945, J. D. Hill [5] proved that if A is a regular matrix, then  $c_A \cap N_0$  is a first category subset of  $N_0$ . This result was extended by T. A. Keagy in [6], where he shows that if  $c_A \supseteq E^{\infty}$  then either  $c_A \supseteq m$  or  $c_A \cap N_0$  is a first category subset of  $N_0$ .

G. Bennett and N. Kalton in [1] have shown that if an FK space E contains  $N_0$  then  $E \supseteq m$ . We conjecture that if an FK space E contains a second category subset of  $N_0$  then it must contain m. We are able to prove the conjecture for some FK spaces and certain summability domains.

THEOREM 1. Let E be a separable FK space, with  $E \subseteq m$ . Then  $E \cap N_0$  is a countable subset of  $N_0$ .

This follows since the topology of E is stronger than that of m. The  $\beta$  dual of a sequence x is defined by

$$\mathbf{x}^{\boldsymbol{\beta}} = \left\{ \mathbf{y} \in s : \sum_{i=1}^{\infty} x_i y_i \text{ converges} \right\}.$$

LEMMA 1. If  $x \notin \ell^1$ , then  $x^{\beta} \cap N_0$  is of first category in  $N_0$ .

**Proof.** Let 
$$O_r = \left\{ y \in N_0 : \exists m, \ell \ge r \text{ such that } \left| \sum_{i=m}^{\ell} x_i y_i \right| > 1 \right\}.$$

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By its definition  $O_r$  is a non-empty open subset of  $N_0$ . It is dense in  $N_0$ , for if we prescribe the first p slots, there is a sequence in  $O_r$  with those entries in the first p slots.  $N_0 \cap x^{\beta} \subseteq N_0 \setminus \bigcap_{r=1}^{\infty} O_r$  and thus is of first category.

Since for any FK space E, and any matrix A,  $E_A \subseteq s_A$  and  $s_A$  is the intersection of the  $\beta$  duals of the rows of A, we have the following result.

COROLLARY 1. Let E be an FK space, A a matrix with some row not in  $\ell^1$ . Then  $E_A \cap N_0$  is a first category subset of  $N_0$ .

Let e = (1, 1, 1, ...),  $e^{i} = (0, ..., 0, 1, 0, ...)$  (with 1 in rank *j*). We denote the *n*th section of an element  $x \in E$  by  $P_n x = \sum_{i=1}^n x_i e^i$  and say that x has AK provided that  $P_n x \to x$  in E.  $S_E = \{x \in E : x \text{ has } AK\}$ . E has AK provided  $S_E = E$ .

THEOREM 2. Let E be an FK space such that  $E \cap m \subseteq S_E$ . Then  $E \supseteq m$  or  $E \cap N_0$  is a first category subset of  $N_0$ .

**Proof.** Let q be the paranorm of E and suppose there exists an  $x \in N_0 \setminus E$ . Hence  $P_r(x)$  is not a Cauchy sequence in E. So there exists an  $\varepsilon > 0$  and increasing sequences of integers (m(n)) and  $(\ell(n))$  such that  $0 < m(1) < \ell(1) < m(2) \ldots$  and  $q([P_{\ell(n)} - P_{m(n)}]x) > \varepsilon$ . Let

 $O_r = \{z \in N_0 : (P_{\ell(n)} - P_{m(n)})(x - z) \text{ is the zero sequence for some } n \ge r\}.$ 

By definition each  $O_r$  is open and dense.  $E \cap N_0 \subseteq N_0 \setminus \bigcap_{r=1}^{\infty} O_r$  and hence is of first category in  $N_0$ .

THEOREM 3. Let E be an FK space with AK,  $E \supseteq \ell^1$  and A a matrix. Then  $E_A \supseteq m$  or  $E_A \cap N_0$  is a first category subset of  $N_0$ .

**Proof.** By Corollary 1, we may assume the rows of A are in  $\ell^1$ . Let q be the paranorm of E. Since  $E \supseteq \ell^1$  we may assume for  $x \in \ell^1$  that  $q(x) \le ||x||_1$ .

If  $E_A \not\geq N_0$ , then there exists an  $x \in N_0$  such that  $Ax \notin E$ . Hence  $P_r(Ax)$  is not a Cauchy sequence in E. So there exists an  $\varepsilon > 0$  and increasing sequences of integers (m(n)) and  $(\ell(m))$  such that  $0 < m(1) < \ell(1) < m(2) \ldots$  and  $q([P_{\ell(n)} - P_{m(n)}]Ax) > \varepsilon$ . Let

$$O_r = \{z \in N_0 : q([P_{\ell(n)} - P_{m(n)}]Az) > \varepsilon/2 \text{ for some } n \ge r\}.$$

O, is open. Let  $w \in O_r$ . Then there exists an  $n \ge r$  and a positive real number b such that  $q([P_{\ell(n)} - P_{m(n)}]Aw) - b > \varepsilon/2$ . Since the rows of A are in  $\ell^1$ , there exists a positive integer c such that

$$\sum_{j=m(n)+1}^{\ell(n)}\sum_{i=c+1}^{\infty}|a_{ji}| < \frac{b}{2}.$$

Hence, for each  $v \in N_0$ ,  $q([P_{\ell(n)} - P_{m(n)}]A(v - P_c v)) < \frac{b}{2}$ . Let  $u \in N_0$  with  $P_c u = P_c w$ . We

have

$$q([P_{\ell(n)} - P_{m(n)}]Au) \ge q([P_{\ell(n)} - P_{m(n)}]AP_{c}u) -q([P_{\ell(n)} - P_{m(n)}]A(u - P_{c}u)) \ge q([P_{\ell(n)} - P_{m(n)}]Aw) - q([P_{\ell(n)} - P_{m(n)}]A(w - P_{c}w)) - \frac{b}{2} > \frac{\varepsilon}{2}.$$

Hence O, is open.

Let  $u \in N_0$  and  $c \in \mathbb{Z}^+$ . To show denseness, it suffices to show that there exists a  $z \in O_r$ with  $P_c z = P_c u$ . Let  $\alpha^n$  be the *n*th column of A. There exists a  $t \ge r$  such that, for m,  $\ell \ge t$ ,  $\sum_{i=1}^{c} q([P_{\ell} - P_{m}]\alpha^{i}) < \frac{\varepsilon}{4}$ . Let  $z = P_{c}u + x - P_{c}x$ . Then  $q([P_{\ell(t)} - P_{m(t)}]Az) \ge -q([P_{\ell(t)} - P_{m(t)}]AP_{c}u) - q([P_{\ell(t)} - P_{m(t)}]AP_{c}x)$  $+q([P_{\ell(t)}-P_{m(t)}]Ax)$  $> \frac{-\varepsilon}{4} - \frac{\varepsilon}{4} + \varepsilon = \frac{\varepsilon}{2}.$ 

Hence O, is dense.

 $\bigcap_{r=1}^{\infty} O_r$  is a second category set in  $N_0$  whose complement is of first category and  $E_A \cap N_0 \subseteq N_0 \setminus \bigcap_{i=1}^{\infty} O_i$ . Hence  $E_A \cap N_0$  is a first category subset of  $N_0$ .

Keagy in [5] proved the same result for  $c_A$ . A modification of our proof of Theorem 3 will give us his result and also the same result for  $bv_A$  where bv is the set of all sequences of bounded variation.

All FK spaces considered so far have been separable. The assumption is not necessary, since we have the following result.

THEOREM 4. If  $m_A \supseteq E^{\infty}$ , then  $m_A \supseteq m$  or  $m_A \cap N_0$  is a first category subset of  $N_0$ .

**Proof.** Assuming the rows of A are in  $\ell^1$  and  $m_A \not\supseteq m$ , we have  $\sup_{n} \sum_{i=1}^{\infty} |a_{ni}| = \infty$ . Hence

there exists a sequence  $u_n \to 0$  such that  $\sup_n u_n \left( \sum_{i=1}^{\infty} |a_{ni}| \right) = \infty$ . Let  $D = \operatorname{diag}(u_1, u_{21}, \ldots)$  and B = DA.  $m_B \not\supseteq m$  and  $m_B \supseteq (c_0)_B \supseteq m_A$ . Theorem 3' implies that  $(c_0)_B \cap N_0$  is a first category subset of  $N_0$ ; hence  $m_A \cap N_0$  is also a first category subset of  $N_0$ .

Let  $\mathbb{Z}^+$  denote the set of positive integers. Using characteristic functions, the set of subsets of  $\mathbb{Z}^+$  is equivalent to N<sub>0</sub>. Hence we can talk about the category of a set of subsets of  $\mathbb{Z}^+$ . The following theorem improves results of Bennett and Kalton [2], Lorentz [7], Mehdi [9], Peyeremhoff [8], and Zeller [11].

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THEOREM 5. Let  $1 \le p \le \infty$ . The following conditions are equivalent for any matrix A: (i) A maps m into  $\ell^p$ :

(ii)  $\sup_{J \in \mathscr{A}} \sum_{i=1}^{\infty} \left| \sum_{j \in J} a_{ij} \right|^p < \infty$  for  $\mathscr{A}$  any second category subset of the set of subsets of  $\mathbb{Z}^+$ which contains all finite sets;

(iii)  $\sum_{i=1}^{\infty} \left| \sum_{i \in J} a_{ij} \right|^{p} < \infty$  for  $J \in \mathcal{A}$ , where  $\mathcal{A}$  is as in (ii).

This follows easily from Theorem 3 and the fact that any matrix map between FKspaces is continuous.

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