



Project Gallery

New evidence for mountain Palaeolithic human occupation in the western Tian Shan piedmonts, eastern Uzbekistan

Konstantin Pavlenok¹ , Małgorzata Kot^{2,*} , Piotr Moska³ , Michał Leloch² ,
Gayrathon Muhtarov⁴, Sergey Kogai¹ , Mukhiddin Khudjanazarov⁵,
Azbidin Holmatov⁵ & Karol Szymczak² 

¹ Institute of Archaeology and Ethnography, Siberian Branch of the Russian Academy of Sciences, Novosibirsk, Russia

² Faculty of Archaeology, University of Warsaw, Poland

³ Institute of Physics, Silesian University of Technology, Gliwice, Poland

⁴ National Center of Archaeology, Academy of Sciences, Tashkent, Uzbekistan

⁵ Institute of Archaeological Research of the Uzbek Academy of Sciences, Samarkand, Uzbekistan

* Author for correspondence ✉ m.kot@uw.edu.pl

This article presents preliminary results from mountain survey in the Chatkal Range in the western Tian Shan piedmonts, eastern Uzbekistan. In 2021, several new Palaeolithic sites were discovered, including a single, multi-layered, open-air site—Kuksaray 2—located near a flint outcrop. The authors' initial investigations have recovered a stone tool assemblage containing tools displaying both Middle Palaeolithic and Initial Upper Palaeolithic characteristics.

Keywords: Central Asia, Middle Palaeolithic, Upper Palaeolithic, mountain archaeology, archaeological survey

Introduction

For decades, the Middle Palaeolithic of Central Asia has been treated as peripheral to more widely studied regions, such as the Near East, Africa and Europe. Central Asian stone tool assemblages have been analysed and named according to well-established European typologies and nomenclature (Ranov 1995; Davis & Ranov 1999), although researchers have long pointed out the issues surrounding the archaeological specificity of such schemes (e.g. Otte & Derevianko 1996; Vishnyatsky 2004; Otte 2017, 2021). This is highlighted by sites such as Obi-Rakhmat, in the western Tian Shan piedmonts, which displays the development of early blade technology dating back to *c.* 80–70 ka BP (Derevianko *et al.* 2001; Krivoshapkin *et al.* 2007).

This situation, however, has changed recently, primarily due to genetic analyses of human remains from Denisova Cave (Reich *et al.* 2010). Within the last 10 years, intensive, multi-disciplinary research in the Altai region and Central Asia has become a focal point for discussing human interactions during the Middle–Upper Palaeolithic transition (Derevianko 2015, 2017; Mafessoni *et al.* 2020). As a result, at least four independent human populations can be

Received: 13 September 2021; Revised: 11 May 2022; Accepted: 24 May 2022

© The Author(s), 2022. Published by Cambridge University Press on behalf of Antiquity Publications Ltd. This is an Open Access article, distributed under the terms of the Creative Commons Attribution-NonCommercial-ShareAlike licence (<https://creativecommons.org/licenses/by-nc-sa/4.0/>), which permits non-commercial re-use, distribution, and reproduction in any medium, provided the same Creative Commons licence is included and the original work is properly cited. The written permission of Cambridge University Press must be obtained for commercial re-use.

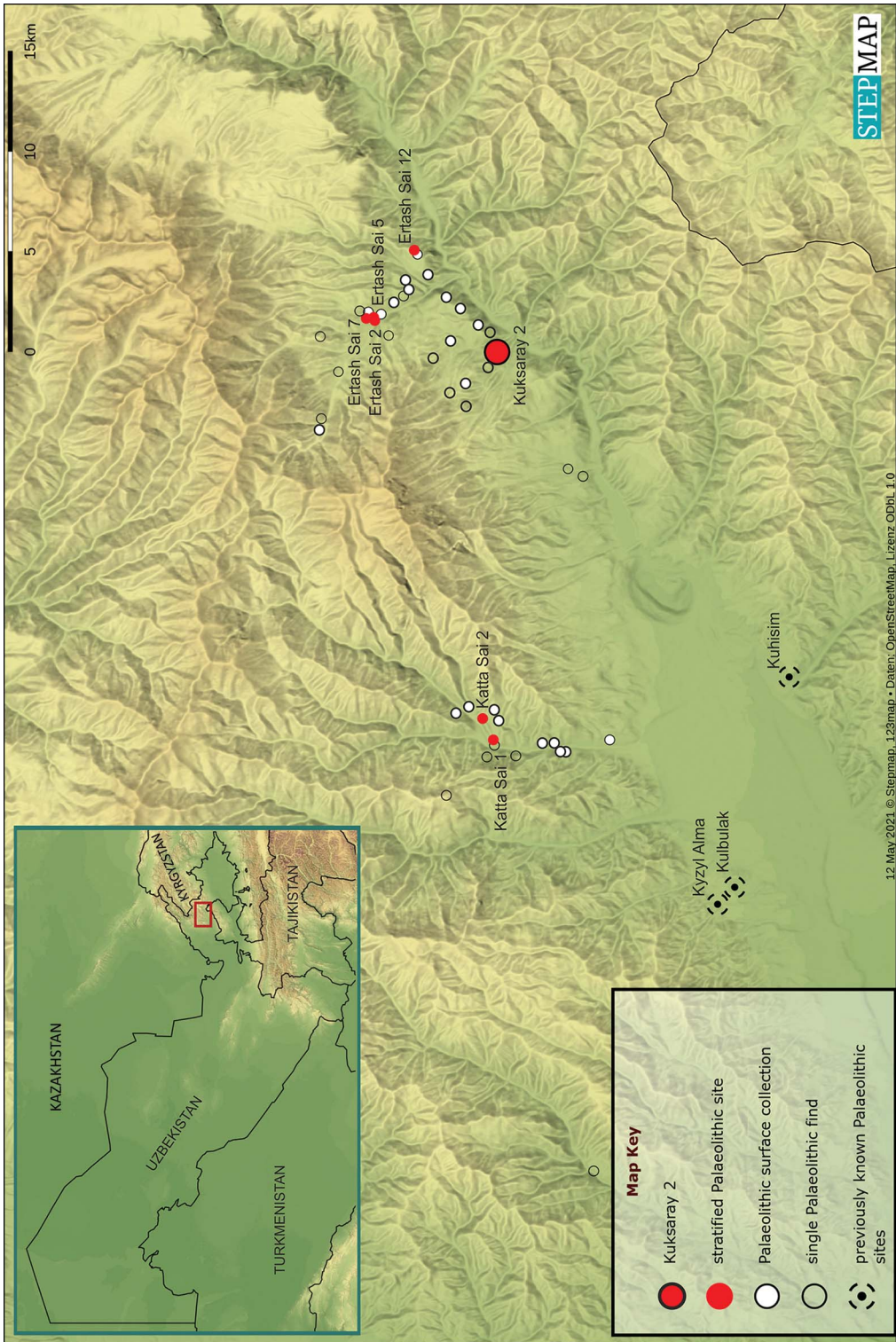


Figure 1. Location of Kuksaray 2 and other Palaeolithic sites found in the region since 2013 (prepared by M. Kot using StepMap).

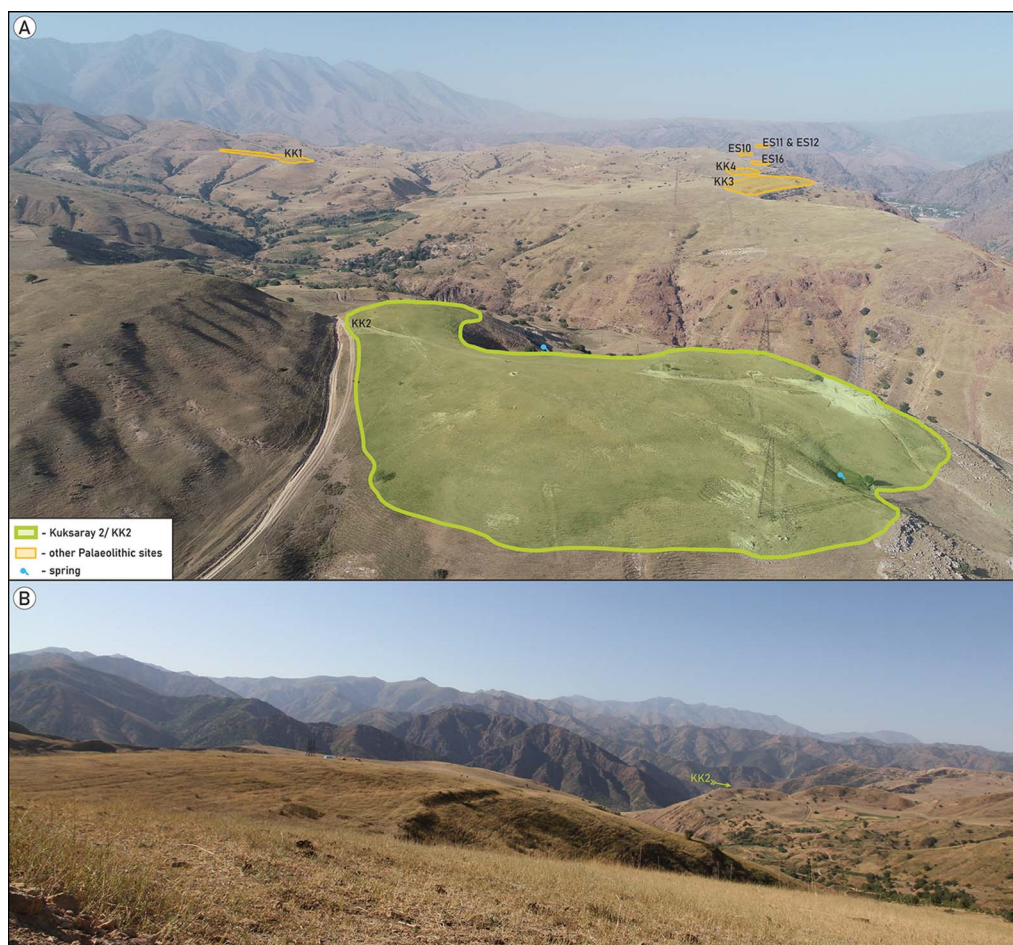


Figure 2. A) View of Kuksaray 2 and other Palaeolithic sites along the Ahangaran Valley; B) view of the site from Kuksaray Valley. ES = Ertash Sai; KK = Kuksaray (photograph by M. Kot).

identified in Central Asia during this period based solely on ancient DNA (Kolobova *et al.* 2020). New fieldwork aimed at understanding the archaeological context for this genetic variability is crucial to current debate (Krivoshapkin *et al.* 2007; for further discussion, see Zwyns 2021). Here, we present the most recent study of Palaeolithic settlement in the western Tian Shan mountains.

The Kuksaray 2 Palaeolithic site

In the last nine years, over 20 open-air Palaeolithic sites have been identified in the Chatkal Range, Ahangaran Valley (Figure 1) (Krajcarz *et al.* 2016). In 2021, fieldwork focused on locating stratified deposits via test-trenching at surface sites that had been previously discovered using predictive modelling (Leloch *et al.* 2022). Consequently, several new open-air sites

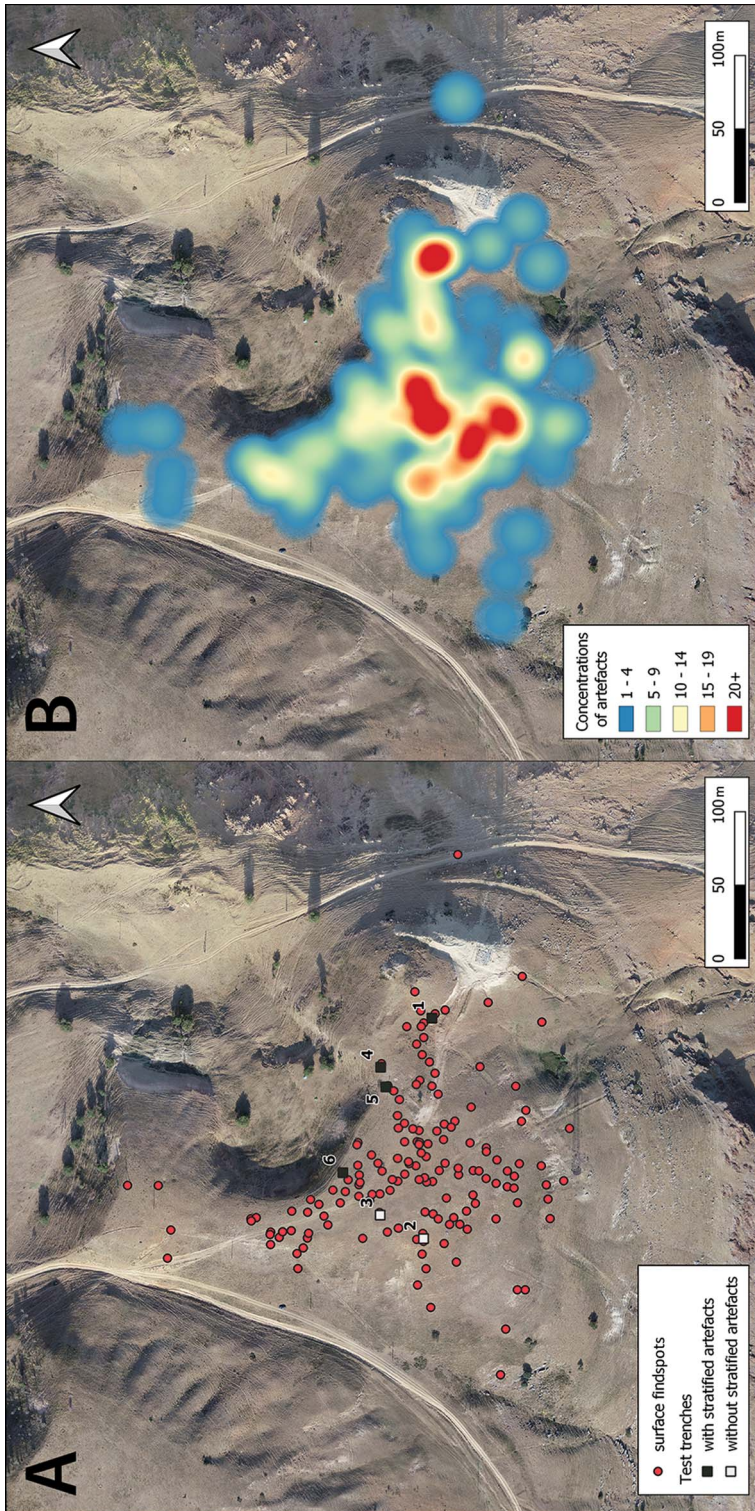


Figure 3. A) Surface findspots and test-trench locations; B) heatmap of artefact concentrations across the surface (drawn by M. Lelecb).

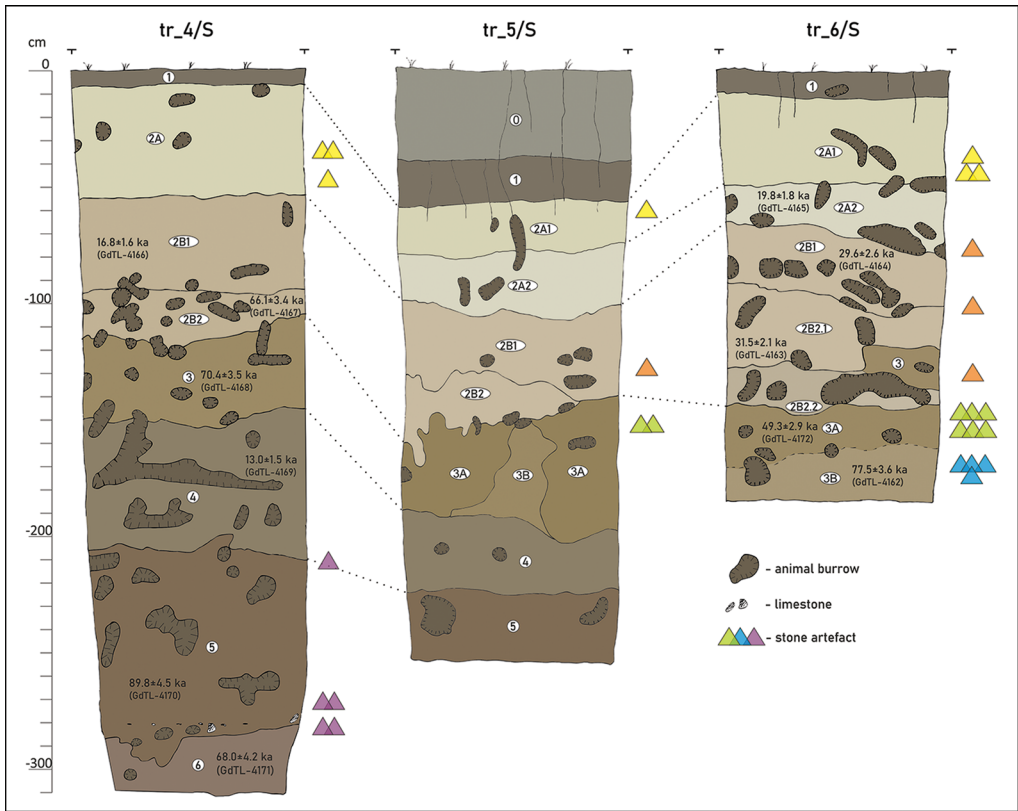


Figure 4. OSL dating of the strata, and stratigraphic correlation between test-trenches (drawn by M. Kot).

were identified (Figure 2A). The largest is Kuksaray 2, which covers an area of over 20ha. It is located at the confluence of the Kuksaray and Dziblon gorges, on the terrace of the Ahangan Valley (Figure 2B). A total of 455 lithic artefacts were found (412 surface finds and 43 artefacts from stratified contexts) (Figure 3), although recent construction of high-voltage electricity lines has partially destroyed the site.

Test-trenches were first opened on the south-west slope of the hill where the highest concentration of surface artefacts was located (Figure 3); however, these uncovered up to 0.5m of thin, diluvial sediments without artefacts, overlying the limestone bedrock. The original loess cover, measuring at least 3.5m in thickness—test trenches did not reach the bedrock—was identified in the northern part of the site (Figure 4). Three test-trenches opened along the northern ridge of the hill revealed a complex loess stratigraphy, with 43 stone artefacts recovered from at least four sedimentological units (Figure 4). OSL dating indicates an unexpectedly early age of *c.* 89.8 ka BP and *c.* 70.4–77.5 ka BP for the two lowest archaeological horizons, respectively (Figures 4 & 5; for OSL dating methods, see Moska *et al.* 2021). Whether the artefacts found within the uppermost horizons are of late MIS 3 and MIS 2 age, or result from post-depositional movement of the earlier horizons, has yet to be determined. At least some of them bear Middle Palaeolithic/Initial Upper Palaeolithic traits

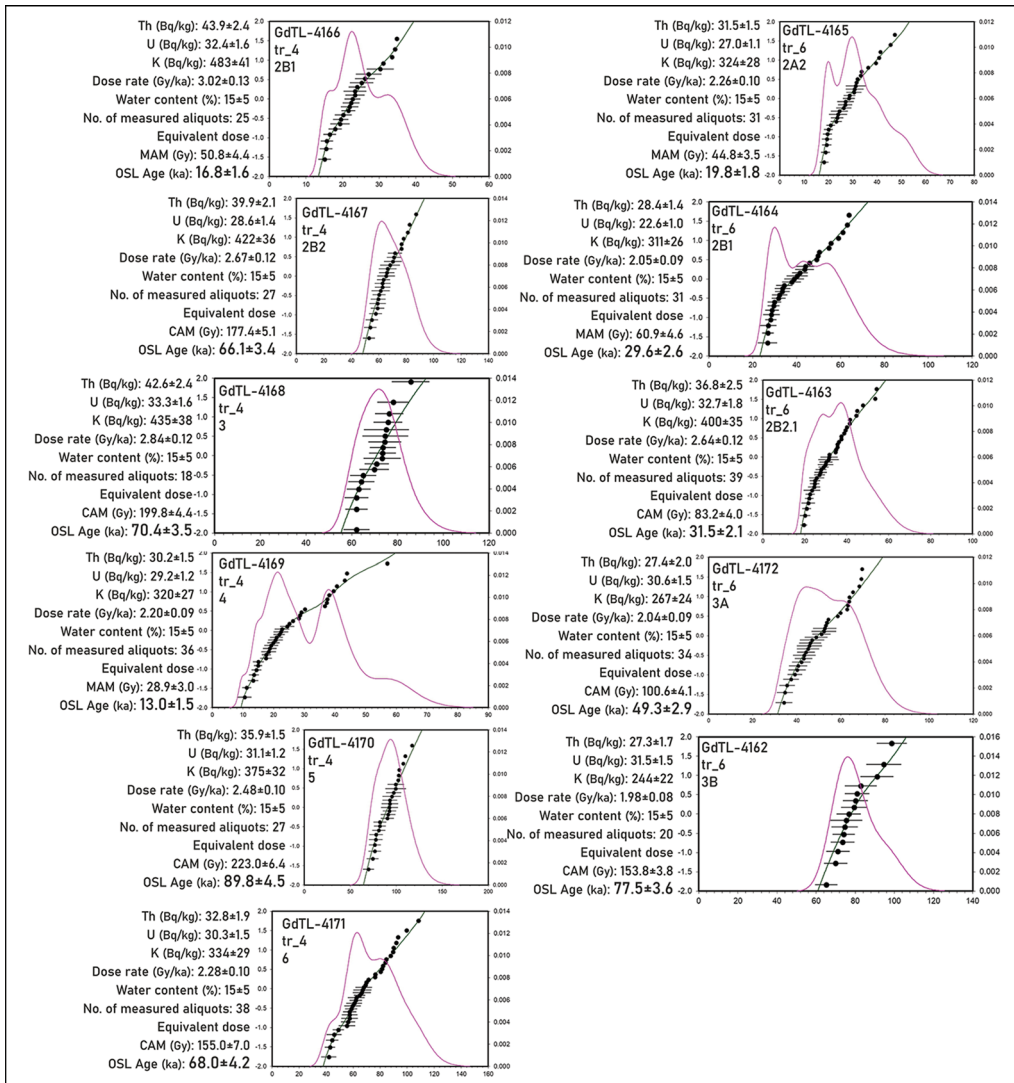


Figure 5. Graphs of the age distribution for all investigated OSL samples, together with specific activities of natural radionuclides, dose rate, estimated water content, number of measured aliquots, final equivalent dose (CAM or MAM model) and calculated age (drawn by P. Moska and M. Kot).

(Figure 6, no. 4) and there are notable analogies to other recently studied assemblages, such as Katta Sai 2 (Pavlenok *et al.* 2021).

The surface-collected and stratified artefacts are made of flint and effusive rocks. The high number of cores ($n = 54$; 11.8%) might indicate a workshop, but further study is required to test this hypothesis. Cores present included Levallois (Figure 6, no. 1), blade, and burin cores (Figure 6, no. 2). Middle Palaeolithic tool types, such as truncated-faceted tools, side scrapers and high double-side scrapers (Figure 6, no. 3), and Upper Palaeolithic tool types, including end scrapers and burins, were also present.

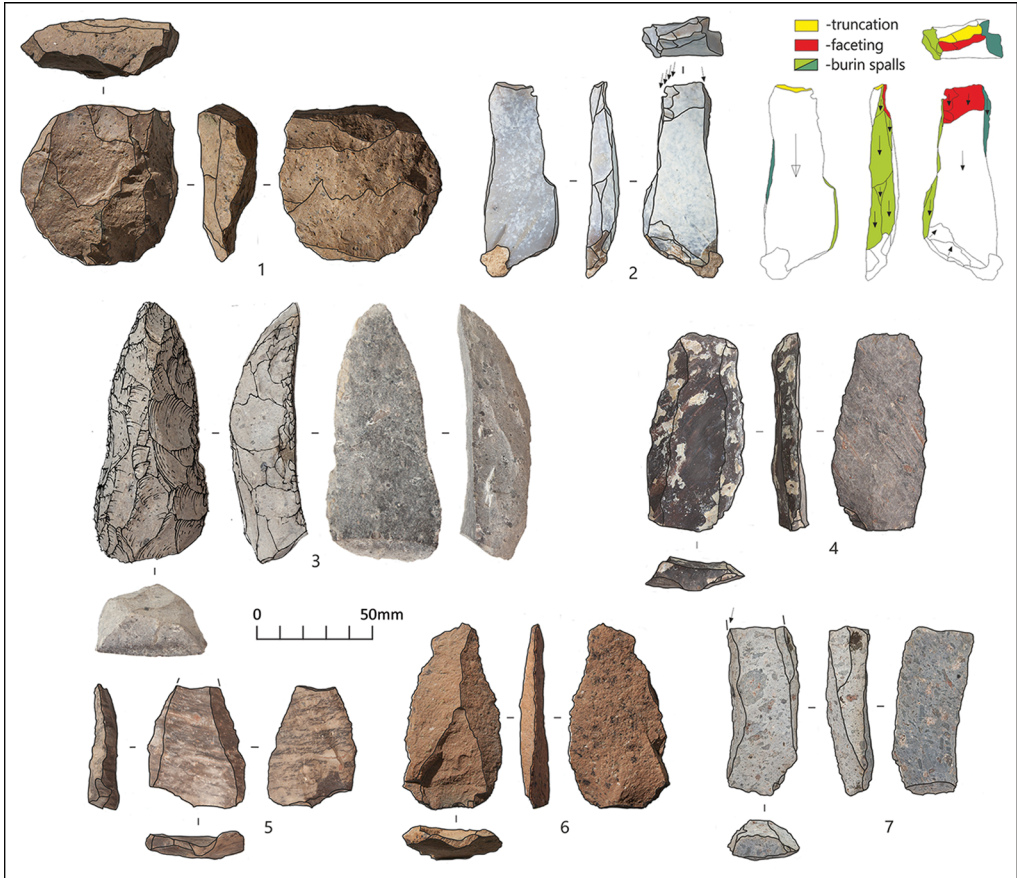


Figure 6. Lithic artefacts from Kuksaray 2: 1–3 & 5–7) are from surface collection; 4) artefact found in layer 2B1. 1) Levallois core; 2) truncated faceted tool with burin spalls on both sides; 3) convergent double-sided scraper; 4) blade; 5–6) Levallois points; 7) burin (photographs by S. Kogai, illustrations by N. Vivlina and M. Kot).

Discussion and future research

Future research will determine whether the assemblages found in the two lowest horizons (layers 3B and 5) display Middle Palaeolithic or Initial Upper Palaeolithic features. Even at this preliminary research stage, we can observe a surprising similarity to the Obi-Rakhmat assemblage both in terms of chronology and toolkit, including the presence of burin cores, truncated-faceted tools, burins and convergent side scrapers. On the other hand, Levallois cores, blades and points (Figure 6, nos 5–6) were not as abundant at Obi-Rakhmat but do prevail at other Middle and Initial Upper Palaeolithic sites in the region—namely Katta Sai 1 and 2 (Pavlenok *et al.* 2021; Kot *et al.* 2022).

The results show that Palaeolithic occupation in the mountain environment of the Tian Shan piedmonts was not ephemeral and can be dated not only to MIS 3 but also to MIS 4, or even late MIS 5. Kuksaray 2, therefore, may be a key site for understanding the technological and cultural shifts between MIS 5 and MIS 3 in this region. Of importance is the early

appearance of Initial Upper Palaeolithic traits in the region (c. 70 ka BP at Obi-Rakhmat; Krivoshapkin *et al.* 2007) and their relationship to Middle Palaeolithic assemblages with Levallois technology, which are, in contrast, dated to relatively late (40 ka BP at Katta Sai 1; Pavlenok *et al.* 2021).

Funding statement

This study was supported equally by the National Science Centre, Poland (grant nos 2017/25/B/HS3/00520) and the Russian Foundation for Basic Research (project 20-09-00440a).

References

- DAVIS, R.S. & V.A. RANOV. 1999. Recent work on the Paleolithic of Central Asia. *Evolutionary Anthropology* 8: 186–93. [https://doi.org/10.1002/\(SICI\)1520-6505\(1999\)8:5<186::AID-EVAN6>3.0.CO;2-R](https://doi.org/10.1002/(SICI)1520-6505(1999)8:5<186::AID-EVAN6>3.0.CO;2-R)
- DEREVIANKO, A.P. 2015. Human origins: new discoveries, interpretations, and hypotheses. *Herald of the Russian Academy of Sciences* 85: 381–91. <https://doi.org/10.1134/S1019331615050068>
- 2017. *Three global human migrations in Eurasia. Volume II: the original peopling of northern, central and western Central Asia*. Novosibirsk: Institute of Archeology and Ethnography of the Siberian Branch of the Russian Academy of Sciences.
- DEREVIANKO, A.P. *et al.* 2001. The Initial Upper Paleolithic of Uzbekistan: the lithic industry of Obi-Rakhmat Grotto (on the basis of materials recovered from strata 2–14). *Archaeology, Ethnology & Anthropology of Eurasia* 4: 42–63.
- KOLOBOVA, K.A. *et al.* 2020. Archaeological evidence for two separate dispersals of Neanderthals into southern Siberia. *Proceedings of the National Academy of Sciences of the USA* 117: 2879–85. <https://doi.org/10.1073/pnas.1918047117>
- KOT, M. *et al.* 2022. Is there Initial Upper Palaeolithic in western Tian Shan? Example of an open-air site Katta Sai 2 (Uzbekistan). *Journal of Anthropological Archaeology* 65: 101391. <https://doi.org/10.1016/j.jaa.2021.101391>
- KRAJCARZ, M.T. *et al.* 2016. Middle Paleolithic sites of Katta Sai in western Tian Shan piedmont, Central Asiatic loess zone: geoarchaeological investigation of the site formation and the integrity of the lithic assemblages. *Quaternary International* 399: 136–50. <https://doi.org/10.1016/j.quaint.2015.07.051>
- KRIVOSHAPKIN, A.I., A.A. ANOIKIN & P.J. BRANTINGHAM. 2007. The lithic industry of Obi-Rakhmat Grotto, Uzbekistan. *Bulletin of the Indo-Pacific Prehistory Association* 26: 5–19.
- LELOCH, M. *et al.* 2022. Tracing the Palaeolithic settlement patterns in the western Tian Shan piedmont: an example of predictive GIS modelling use. *Journal of Quaternary Science* 37: 527–42. <https://doi.org/10.1002/jqs.3393>
- MAFESSONI, F. *et al.* 2020. A high-coverage Neandertal genome from Chagyrskaya Cave. *Proceedings of the National Academy of Sciences of the USA* 117: 15132–36. <https://doi.org/10.1073/pnas.2004944117>
- MOSKA, P. *et al.* 2021. Luminescence dating procedures at the Gliwice Luminescence Dating Laboratory. *Geochronometria* 48: 1–15. <https://doi.org/10.2478/geochr-2021-0001>
- OTTE, M. 2017. Obi-Rahmat (Ouzbékistan), origine du Gravettien en Europe, et du métissage néandertalien, *L'Anthropologie* 121: 271–87. <https://doi.org/10.1016/j.anthro.2017.10.001>
- 2021. L'axe du Paléolithique supérieur: le site de Velikanov, Kazakhstan. *L'Anthropologie* 125: 102857. <https://doi.org/10.1016/j.anthro.2021.102857>
- OTTE, M. & A.P. DEREVIANKO. 1996. Transformations techniques au Paléolithique de l'Altai (Sibérie). *Anthropologie et Préhistoire* 107: 131–43.
- PAVLENOK, K. *et al.* 2021. Middle Paleolithic technological diversity during MIS 3 in the western Tian Shan piedmonts: example of the Katta Sai 1 open-air loess site. *Archaeological Research in Asia* 25: 100262. <https://doi.org/10.1016/j.ara.2021.100262>
- RANOV, V.A. 1995. The 'loessic palaeolithic' in South Tadjikistan, Central Asia: its industries, chronology and correlation. *Quaternary Science Reviews* 14: 731–45. [https://doi.org/10.1016/0277-3791\(95\)00055-0](https://doi.org/10.1016/0277-3791(95)00055-0)
- REICH, D. *et al.* 2010. Genetic history of an archaic hominin group from Denisova Cave in Siberia. *Nature* 468: 1053–60. <https://doi.org/10.1038/nature09710>

VISHNYATSKY, L.B. 2004. The middle-upper Paleolithic interface in former Soviet Central Asia, in P.J. Brantingham, S.L. Kuhn & K.W. Kerry (ed.) *The early Upper Paleolithic beyond Western Europe*: 151–61. Berkeley: University of California Press.
<https://doi.org/10.1525/9780520930094-013>

ZWYNS, N. 2021. The Initial Upper Paleolithic in Central and East Asia: blade technology, cultural transmission, and implications for human dispersals. *Journal of Paleolithic Archaeology* 4: 19.
<https://doi.org/10.1007/s41982-021-00085-6>