

THE SECOND CONJUGATES OF CERTAIN BANACH ALGEBRAS

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1. Introduction. Let A be a Banach algebra and A^{**} its second conjugate space. Arens has defined two natural extensions of the product on A to A^{**} . Under either Arens product, A^{**} becomes a Banach algebra. Let A be a semi-simple Banach algebra which is a dense two-sided ideal of a B^* -algebra B and R^{**} the radical of (A^{**}, \circ) . We show that $A^{**} = Q \oplus R^{**}$, where Q is a closed two-sided ideal of (A^{**}, \circ) . This was inspired by Alexander's recent result for simple dual A^* -algebras (see [1, p. 573, Theorem 5]). We also obtain that if A is commutative, then A is Arens regular. As an application of this result, we show that if A is commutative and $B = C_0(M_A)$, then the following statements are equivalent:

- (i) A is a modular annihilator algebra.
- (ii) For each maximal modular ideal M of (A^{**}, \circ) such that $M \not\supseteq \pi_A(A)$, M is weakly closed.
- (iii) For each F in A^{**} , F belongs locally to \hat{A} at each point of M_A .

2. Notation and preliminaries. Definitions not explicitly given are taken from Rickart's book [7].

Let A be a semi-simple commutative Banach algebra with carrier space M_A . Then $C_0(M_A)$ will denote the algebra of complex-valued functions on M_A , which vanish at infinity and \hat{A} the function algebra on M_A isomorphic to A in the Gelfand theory.

For any subset E of a Banach algebra A , let $l_A(E)$ and $r_A(E)$ denote the left and right annihilators of E in A , respectively. Then A is called a modular annihilator algebra if, for every maximal modular left ideal I and for every maximal modular right ideal J we have $r_A(I) = (0)$ if and only if $I = A$ and $l_A(J) = (0)$ if and only if $J = A$. It is well-known that a semi-simple commutative Banach algebra A is a modular annihilator algebra if and only if its carrier space M_A is discrete (see [4] and [9]).

Let A be a Banach algebra, A^* and A^{**} the conjugate and second conjugate spaces of A , respectively. The two Arens' products on A^{**} are defined in stages according to the following rules (see [2]). Let $x, y \in A$, $f \in A^*$ and $F, G \in A^{**}$.

- (a) Define $f \circ x$ by $(f \circ x)(y) = f(xy)$. Then $f \circ x \in A^*$.
- (b) Define $G \circ f$ by $(G \circ f)(x) = G(f \circ x)$. Then $G \circ f \in A^*$.
- (c) Define $F \circ G$ by $(F \circ G)(f) = F(G \circ f)$. Then $F \circ G \in A^{**}$.

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A^{**} with the Arens product \circ is denoted by (A^{**}, \circ) .

(a') Define $x \circ' f$ by $(x \circ' f)(y) = f(yx)$. Then $x \circ' f \in A^*$.

(b') Define $f \circ' F$ by $(f \circ' F)(x) = F(x \circ' f)$. Then $f \circ' F \in A^*$.

(c') Define $F \circ' G$ by $(F \circ' G)(f) = G(f \circ' F)$. Then $F \circ' G \in A^{**}$.

A^{**} with the Arens product \circ' is denoted by (A^{**}, \circ') .

Each of these products extends the original multiplication on A when A is canonically embedded in A^{**} . In general, \circ and \circ' are distinct on A^{**} . If they coincide on A^{**} , then A is called Arens regular.

Notation. Let A be a Banach algebra. The mapping π_A will denote the canonical embedding of A into A^{**} .

In this paper, all algebras and linear spaces under consideration are over the field C of complex numbers.

3. The Algebra (A^{}, \circ) .** In this section, let A be a semi-simple Banach algebra which is a dense two-sided ideal of a B^* -algebra B . We write $\|\cdot\|$ for the norm on A and $|\cdot|$ for the norm on B . By [3, p. 3, Proposition 2.2], there exists a constant K such that $K\|\cdot\| \geq |\cdot|$. Hence by [3, p. 3, Theorem 2.3], there exists a constant M such that

$$(3.1) \quad \|ab\| \leq M\|a\| |b| \quad \text{and} \quad \|ba\| \leq M\|a\| |b|,$$

for all a in A and b in B . For each $g \in B^*$, let g_A denote the restriction of g to A . Then $g_A \in A^*$. For each $F \in A^{**}$, define $\theta(F)$ on B^* by $\theta(F)(g) = F(g_A)$ ($g \in B^*$). Then $\theta(F) \in B^{**}$.

For all $f \in A^*$ and $y \in B$, define

$$(f \circ y)(x) = f(yx) \quad (x \in A).$$

Then by (3.1), $f \circ y \in A^*$ and $\|f \circ y\| \leq M\|f\| |y|$.

For each $F \in A^{**}$ and $f \in A^*$, define

$$(F * f)(y) = F(f \circ y) \quad (y \in B).$$

Then $F * f \in B^*$. For any $H \in B^{**}$, define

$$H * F(f) = H(F * f) \quad (f \in A^*, F \in A^{**}).$$

Then $H * F \in A^{**}$.

Let R_1^{**} (respectively R_2^{**}) be the radical of (A^{**}, \circ) (respectively (A^{**}, \circ')). B^{**} with the Arens product will be denoted by (B^{**}, \cdot) . It is well-known that (B^{**}, \cdot) is a B^* -algebra.

LEMMA 3.1. *Let A be a semi-simple Banach algebra which is a dense two-sided ideal of a B^* -algebra B . Then*

- (i) R_1^{**} is the left and right annihilator of (A^{**}, \circ) ,
- (ii) R_1^{**} coincides with R_2^{**} .

Proof. (i) Put $\theta(R_1^{**}) = \{\theta(R) : R \in R_1^{**}\}$. For any $H \in B^{**}$ and $R \in R_1^{**}$,

we show that $H * R \in R_1^{**}$. Suppose this is not so. Then by the proof of [7, p. 55, Theorem (2.3.2) (iii)], we can choose some $F \in A^{**}$ such that $F \circ (H * R)$ is not quasi-regular in (A^{**}, \circ) . Easy calculations show that $F \circ (H * R) = F_H \circ R$, where F_H is defined as in the proof of [10, p. 446, Theorem 5.7 (ii)]. Since $F_H \circ R \in R_1^{**}$ is quasi-regular in (A^{**}, \circ) , so is $F \circ (H * R)$; a contradiction. Therefore $H * R \in R_1^{**}$ and consequently $\theta(H * R) \in \theta(R_1^{**})$. Hence $H \cdot \theta(R) = \theta(H * R) \in \theta(R_1^{**})$. It is easy to see that $\theta(R_1^{**})$ is a quasi-regular left ideal of (B^{**}, \cdot) . Since (B^{**}, \cdot) is a B^* -algebra, it follows that $\theta(R_1^{**}) = (0)$. Since $\theta(R_1^{**}) = (0)$, we have

$$(R \circ F)(f) = \theta(R)(F * f) = 0 \quad (f \in A^*, F \in A^{**}, R \in R_1^{**}).$$

Consequently $R_1^{**} \circ A^{**} = (0)$. For each $x \in A$ and $f \in A^*$, define $f * x$ on B by $(f * x)(y) = f(xy)$ ($y \in B$). Then it follows from (3.1) that $f * x \in B^*$. Since $\theta(R_1^{**}) = (0)$, we have

$$(3.2) \quad (\pi_A(x) \circ R)(f) = R(f \circ x) = \theta(R)(f * x) = 0 \quad (R \in R_1^{**}).$$

Since $\pi_A(A)$ is weakly dense in A^{**} , it follows from (3.2) that $A^{**} \circ R_1^{**} = (0)$. Hence it is now easy to see that R_1^{**} is equal to the left and right annihilator of (A^{**}, \circ) and this proves (i).

(ii) By a similar argument as in (i), we can show that R_2^{**} is the left and right annihilator of (A^{**}, \circ') . Since by (i), $R_1^{**} \circ \pi_A(A) = R_1^{**} \circ' \pi_A(A) = (0)$, it follows that $R_1^{**} \circ' A^{**} = (0)$. Hence $R_1^{**} \subset R_2^{**}$. Similarly $R_2^{**} \subset R_1^{**}$ and so they are equal. This completes the proof.

Notation. Let $R^{**} = R_1^{**} = R_2^{**}$.

THEOREM 3.2. *Let A be a semi-simple Banach algebra which is a dense two-sided ideal of a B^* -algebra B . Then*

- (i) $A^{**} = Q \oplus R^{**}$, where Q is a closed two-sided ideal of (A^{**}, \circ) .
- (ii) There exists a continuous algebraic homomorphism θ of (A^{**}, \circ) into (B^{**}, \cdot) such that the restriction of θ to Q is an isomorphism.

Proof. (i) Let Λ be the collection of all finite subsets of A ordered by inclusion. Since A is a dense two-sided ideal of B , by the proof of [7, p. 245, Theorem (4.8.14)], we can show that there exists an approximate identity $\{e_\lambda : \lambda \in \Lambda\}$ for B such that $\{e_\lambda : \lambda \in \Lambda\} \subset A$. Let $F \in A^{**}$. Since $\|\pi_A(e_\lambda) \circ F\| \leq M\|F\|$, there exists a subnet $\{e_\alpha\}$ of $\{e_\lambda\}$ and $F_1 \in A^{**}$ such that $\pi_A(e_\alpha) \circ F \rightarrow F_1$ weakly. Then for all f in A^{**} , we have

$$(3.3) \quad \begin{aligned} F_1(f) &= \lim_{\alpha} \pi_A(e_\alpha) \circ F(f) = \lim_{\alpha} \pi_A(e_\alpha)(F \circ f) \\ &= \lim_{\alpha} \pi_B(e_\alpha)(F * f) = I_B(F * f), \end{aligned}$$

where I_B denotes the identity of (B^{**}, \cdot) (see [5, p. 855, Lemma 3.8]). Now it follows easily from (3.3) that F_1 is the unique limit point of $\{\pi_A(e_\lambda) \circ F\}$ in

A^{**} . For all a in A and f in A^* , by (3.1) we have

$$\begin{aligned} |\pi_A(ae_\lambda) \circ F(f) - \pi_A(a) \circ F(f)| &= |F(f \circ (ae_\lambda - a))| \\ &\leq M \|F\| \|f\| |ae_\lambda - a|. \end{aligned}$$

Hence $\pi_A(ae_\lambda) \circ F \rightarrow \pi_A(a) \circ F$ weakly in A^{**} and so $\pi_A(a) \circ F_1 = \pi_A(a) \circ F$. Consequently $A^{**} \circ (F_1 - F) = (0)$ and therefore by Lemma 3.1, $F - F_1 \in R^{**}$. Let

$$Q = \{F_1 : F \in A^{**}\}.$$

If there exists some F in A^{**} such that $F_1 \in R^{**}$, then by Lemma 3.1, $\pi_A(e_\lambda) \circ F = \pi_A(e_\lambda) \circ F_1 = 0$ and consequently $F_1 = 0$. Therefore $Q \oplus R^{**} = A^{**}$. We show that Q is a closed two-sided ideal of (A^{**}, \circ) . Let $F, G \in A$. Then

$$(3.4) \quad F \circ G = (F_1 + (F - F_1)) \circ (G_1 + (F - G_1)) = F_1 \circ G_1.$$

Also

$$(3.5) \quad (F \circ G)_1 = \lim_{\lambda} \pi_A(e_\lambda) \circ (F \circ G) = F_1 \circ G = F_1 \circ G_1.$$

It follows from (3.4) and (3.5) that Q is a two-sided ideal of (A^{**}, \circ) . It is easy to see that Q is closed and this proves (i).

(ii) We show that the mapping $\theta : F \rightarrow \theta(F)$ ($F \in A^{**}$) is such a mapping. In fact, for all $F, G \in A^{**}$ and $g \in B^*$, we have

$$(3.6) \quad (\theta(F) \cdot \theta(G))(g) = F(G \circ g_A) = \theta(F \circ G)(g).$$

Hence by (3.6), $\theta(F) \cdot \theta(G) = \theta(F \circ G)$. Therefore we see easily that θ is an algebraic homomorphism from (A^{**}, \circ) into (B^{**}, \cdot) . Since $\|g_A\| \leq K|g|$, we have $|\theta(F)| \leq K\|F\|$ and consequently θ is continuous. It remains to show that the restriction of θ to Q is an isomorphism. Suppose $F \in Q$ and $\theta(F) = 0$. Then for all f in A^* , we have

$$F(f) = \lim_{\lambda} (\pi_A(e_\lambda) \circ F)(f) = \lim_{\lambda} \theta(F)(f * e_\lambda) = 0.$$

Therefore $F = 0$ and so θ is an isomorphism. This completes the proof of the theorem.

By using the proofs of Theorem 3.2 and [10, p. 446, Theorem 5.7 (ii)], we have the following result:

COROLLARY 3.3. *A^{**}/R^{**} is a semi-simple Banach algebra which is a dense two-sided ideal of some B^* -algebra.*

THEOREM 3.4. *Let A be a semi-simple Banach algebra which is a dense two-sided ideal of a B^* -algebra B . Then A is Arens regular if any of the following conditions holds:*

- (i) A is a modular annihilator algebra.
- (ii) A is a commutative algebra.

Proof. We show that

$$(3.7) \quad F \circ \pi_A(a) \circ G = F \circ' \pi_A(a) \circ' G \quad (F, G \in A^{**}, a \in A).$$

In fact, this is true if condition (i) holds, because $\pi_A(A)$ is a two-sided ideal of (A^{**}, \circ) by Theorem 3.4 in [11]. Now suppose A is commutative. Then for all f in A^* , we have

$$\begin{aligned} &(F \circ \pi_A(a) \circ G - F \circ' \pi_A(a) \circ' G)(f) \\ &= (\pi_A(a) \circ (F \circ G - F \circ' G))(f) \\ &= (F \circ G - F \circ' G)(f \circ a) = (\theta(F) \cdot \theta(G) - \theta(F) \cdot \theta(G))(f * a) \\ &= 0. \end{aligned}$$

Therefore (3.7) holds. Now by using the proofs of Theorem 3.2 and [10, p. 446, Theorem 5.7], we can show that $F \circ G = F \circ' G$. Therefore A is Arens regular.

We remark that condition (i) in Theorem 3.3 does not imply that A is an annihilator algebra. For example, the algebra \mathfrak{S}_{Π} given in [6, p. 141, Theorem 14.1] is a modular annihilator A^* -algebra which is a dense two-sided ideal of the dual B^* -algebra \mathfrak{S}_{∞} . However \mathfrak{S}_{Π} is not an annihilator algebra. Therefore Theorem 3.3 (i) is a generalization of [10, p. 446, Theorem 5.7 (i)].

We believe that Theorem 3.4 is true without conditions (i) or (ii).

4. A characterization of modular annihilator algebras. In this section, we shall give an application of Theorem 3.4. Unless otherwise stated A will be a commutative Banach algebra which is a dense ideal of $C_0(M_A)$ where M_A is the carrier space of A . Then by [7, p. 57, Corollary (2.3.7)], A is semi-simple. Hence by Theorem 3.4, A is Arens regular and so (A^{**}, \circ) is a commutative Banach algebra.

LEMMA 4.1. *Let $f \in M_A$ and $\{f_\alpha\}$ a net in M_A such that $f_\alpha \rightarrow f$ and $f_\alpha \neq f$ for all α . Then there exists an element F in A^{**} such that $F(f) \neq 0$ and $F(f_\alpha) = 0$ for all α .*

Proof. This follows easily from the proof of [9, p. 829, Lemma 5.1].

For each ϕ in M_A , let ϕ' be the multiplicative linear functional on A^{**} such that $\phi'(F) = F(\phi)$ for all F in A^{**} (see [5, p. 854, Lemma 3.6]).

For each maximal modular ideal M in A^{**} , let f_M be the multiplicative linear functional on A^{**} such that $M = \{F \in A^{**} : f_M(F) = 0\}$.

LEMMA 4.2. *Let M be a maximal modular ideal of (A^{**}, \circ) such that $M \not\supseteq \pi_A(A)$. Then the following statements are equivalent:*

- (i) M is weakly closed in A^{**} .
- (ii) $M = \{F \in A^{**} : F(\phi) = 0\}$ for some ϕ in M_A .
- (iii) $f_M = \phi'$ for some ϕ in M_A .

Proof. (i) \Rightarrow (ii). Suppose M is weakly closed in A^{**} . Let ϕ be the restriction of f_M to $\pi_A(A)$. Then $\phi \neq 0$ and $\phi \in M_A$. Clearly $\{\pi_A(x) \in \pi_A(A) : \phi(x) = 0\} \subset M$. Let N be the weak closure of $\{\pi_A(x) \in \pi_A(A) : \phi(x) = 0\}$ in A^{**} . Then $N \subset M$. Also by the proof of [5, p. 865, Theorem 5.3], N is a maximal modular ideal of A^{**} and $N = \{F \in A^{**} : F(\phi) = 0\}$. Hence it follows from the maximality of N that $M = N$ and this proves (ii).

(ii) \Rightarrow (iii). Suppose $M = \{F \in A^{**} : F(\phi) = 0\}$ for some ϕ in M_A . Then f_M and ϕ' have the same null space and so $f_M = \phi'$.

(iii) \Rightarrow (i). Suppose $f_M = \phi'$ for some ϕ in M_A . Then $M = \{F \in A^{**} : F(\phi) = 0\}$ and consequently M is weakly closed in A^{**} . This completes the proof.

Let A be a commutative Banach algebra with carrier space M_A . A function f on M_A is said to belong locally to \hat{A} at p in M_A if there exists a neighborhood V of p and a function \hat{x} in \hat{A} such that $f|_V = \hat{x}|_V$.

We now have the main result of this section.

THEOREM 4.3. *Let A be a commutative Banach algebra which is a dense ideal of $C_0(M_A)$. Then the following statements are equivalent:*

- (i) A is a modular annihilator algebra.
- (ii) For each maximal modular ideal M of A^{**} such that $M \not\supseteq \pi_A(A)$, M is weakly closed in A^{**} .
- (iii) For each F in A^{**} , F belongs locally to \hat{A} at each point of M_A .

Proof. (i) \Rightarrow (ii). Suppose (i) holds. Since $M \not\supseteq \pi_A(A)$ is a maximal modular ideal of $\pi_A(A)$, it follows from [12, p. 38, Lemma 3.3] that there exists some minimal idempotent e in A such that $\pi_A(e) \notin M$. By Theorem 3.4 in [11], $\pi_A(A)$ is an ideal of (A^{**}, \circ) . Since $\pi_A(e) \circ A^{**} = \pi_A(eA) = C\pi_A(e)$, where C is the field of complex numbers, $\pi_A(e) \circ M \subset \pi_A(e) \circ A^{**} \cap M = (0)$. Hence $M \subset (1 - \pi_A(e)) \circ A^{**}$ and so by the maximality of M , $M = (1 - \pi_A(e)) \circ A^{**}$. It follows that M is weakly closed and this gives (ii).

(ii) \Rightarrow (i). Suppose (ii) holds. Let $\phi \in M_A$ and let $\{\phi_\alpha\} \subset M_A$ be a net converging to ϕ in M_A . Since $\{\phi_\alpha'\}$ are multiplicative linear functionals on A^{**} , by Alaoglu's Theorem, we can assume that there exists some f' in A^{***} such that $\phi_\alpha'(F) \rightarrow f'(F)$ for all F in A^{**} . It is easy to see that f' is a multiplicative linear functional on A^{**} and $f'|_{\pi_A(A)} = \phi$. Therefore by Lemma 4.2, $f' = \phi'$. Hence $F(\phi_\alpha) \rightarrow F(\phi)$ for all F in A^{**} . It now follows from Lemma 4.1 that M_A is discrete and so A is a modular annihilator algebra.

(i) \Rightarrow (iii). This is clear because M_A is discrete.

(iii) \Rightarrow (i). Suppose (iii) holds. Let $\phi \in M_A$ and let $\{\phi_\alpha\} \subset M_A$ be a net converging to ϕ in M_A . Then by (iii), we can assume that $F(\phi_\alpha) \rightarrow F(\phi)$ for all F in A . Therefore it follows from Lemma 4.1 that M_A is discrete and this completes the proof of the theorem.

Theorem 4.3 (iii) is a generalization of [8, p. 532, Theorem 4.2 (4)].

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