

THE FIXED POINT SET OF REAL MULTI-VALUED CONTRACTION MAPPINGS

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1. Introduction. Let (X, d_1) and (Y, d_2) be metric spaces. A mapping $f: X \rightarrow Y$ is said to be a Lipschitz mapping if there exists a real number λ such that

$$d_2(f(x), f(y)) \leq \lambda d_1(x, y)$$

for each $x, y \in X$. We call λ a Lipschitz constant for f . If $\lambda \in [0, 1)$, f is called a contraction mapping. Throughout this note $CB(Y)$ denotes the set of closed and bounded subsets of Y equipped with the Hausdorff metric induced by d_2 . Letting R^1 be the set of real numbers and

$$N(\alpha, A) = \{y \in Y : d_2(y, a) < \alpha \text{ for some } a \in A\}$$

for each $\alpha \in R^1$ and each $A \in CB(Y)$, we recall that this metric, say D , is defined as follows:

$$D(A, B) = \inf\{\alpha \in R^1 : A \subset N(\alpha, B) \text{ and } B \subset N(\alpha, A)\}$$

for each $A, B \in CB(Y)$. A mapping $g: X \rightarrow CB(Y)$ is called a multi-valued mapping from X to Y , and if $X = Y$, $x \in X$ is called a fixed point of g provided $x \in g(x)$. If f and g are multi-valued mappings from X to Y , it is clear that $f \cup g: X \rightarrow CB(Y)$ defined by $f \cup g(x) = f(x) \cup g(x)$ for all $x \in X$ is also a multi-valued mapping from X to Y .

In this note, we show that if X is a connected subset of R^1 and Y is R^1 , then a multi-valued Lipschitz mapping f from X to Y , with the property that $f(x)$ has n components for each $x \in X$, can be characterized as the union of n connected-valued Lipschitz mappings. Thence we deduce that if f is a multi-valued contraction mapping from R^1 to R^1 , with the property that $f(x)$ has n components for each $x \in R^1$, then its set of fixed points has exactly n components. At the same time we answer in the affirmative the following question of Helga Schirmer [2, p. 170]:

“If the image of the contractive function $\varphi: R^1 \rightarrow R^1$ consists of exactly n points for all $x \in R^1$, does the fixed point set of φ consist of n points?” (where “contractive function” means multi-valued contraction mapping in our terminology).

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2. Results.

DEFINITION. Let (X, d_1) and (Y, d_2) be metric spaces. We say that a mapping $f: X \rightarrow Y$ is a local radial Lipschitz mapping with Lipschitz constant $\lambda (\geq 0)$ if for each $x \in X$ there exists $\varepsilon(x) > 0$ such that

$$d_1(x, y) < \varepsilon(x) \Rightarrow d_2(f(x), f(y)) \leq \lambda d_1(x, y)$$

LEMMA 1. Let X be a connected subset of R^1 and (Y, d) be a metric space. The function $f: X \rightarrow CB(Y)$ is a local radial Lipschitz mapping with Lipschitz constant λ if and only if f is a Lipschitz mapping with Lipschitz constant λ .

Proof. Suppose f is a local radial Lipschitz mapping with Lipschitz constant λ , and let D be the Hausdorff metric for $CB(Y)$ induced by d .

To show that f is a Lipschitz mapping with Lipschitz constant λ , let $x' \leq x''$ be arbitrary elements of X and $I = [x', x'']$. Since X is connected, $I \subset X$. For each $x \in I$, let $\varepsilon(x)$ be such that

$$|x - y| < \varepsilon(x) \Rightarrow d(f(x), f(y)) \leq \lambda |x - y|$$

and then set $O_x = \{y: |x - y| < \varepsilon(x)\}$. Since $\{O_x: x \in I\}$ is an open cover of the compact set I , there is a finite subset of I , say $\{x_1, x_2, \dots, x_n\}$, such that $\{O_{x_i}: i \in \{1, 2, \dots, n\}\}$ is a cover of I . We may assume:

$$x_1 < x_2 < \dots < x_n,$$

$$x' \in O_{x_1}, \quad x'' \in O_{x_n},$$

and

$$O_{x_1} \cap O_{x_{i+1}} \neq \phi \quad \text{for } i \in \{1, 2, \dots, n-1\}$$

Now we define $y_0 = x', y_{2n} = x''$ and $y_{2i-1} = x_i$ for $i \in \{1, 2, \dots, n\}$. Finally, for each $i \in \{1, 2, \dots, n-1\}$, we choose $y_{2i} \in O_{x_i} \cap O_{x_{i+1}}$ satisfying $x_i \leq y_{2i} \leq x_{i+1}$. Then it is clear that we have

$$y_0 \leq y_1 \leq \dots \leq y_{2n}$$

and

$$D(f(y_i), f(y_{i+1})) \leq \lambda |y_i - y_{i+1}| \quad \text{for each } i \in \{0, 1, \dots, 2n-1\}.$$

Hence

$$D(f(x'), f(x'')) \leq \sum_{i=0}^{2n-1} D(f(y_i), f(y_{i+1}))$$

$$\leq \sum_{i=0}^{2n-1} \lambda |y_i - y_{i+1}| = \lambda \sum_{i=0}^{2n-1} (y_{i+1} - y_i)$$

$$= \lambda (y_{2n} - y_0) = \lambda |x' - x''|.$$

The proof is thus completed since the converse follows directly from the definitions.

The following lemma, stated previously by S. B. Nadler, Jr. [1, Theorem 3], is an immediate consequence of the definitions:

LEMMA 2. *Let X and Y be metric spaces. If $f: X \rightarrow CB(Y)$ is a Lipschitz mapping with Lipschitz constant α and $g: X \rightarrow CB(Y)$ is a Lipschitz mapping with Lipschitz constant β , then $f \cup g$ is a multi-valued Lipschitz mapping with Lipschitz constant $\max\{\alpha, \beta\}$.*

THEOREM. *Let A be a connected subset of R^1 . Then a necessary and sufficient condition for a multi-valued function $f: A \rightarrow CB(R^1)$ to be a Lipschitz mapping with Lipschitz constant $\lambda \geq 0$ and to be such that $f(x)$ has n components for each $x \in A$ is that there exist n connected-valued Lipschitz mappings*

$$f_i: A \rightarrow CB(R^1), \quad i \in \{1, 2, \dots, n\},$$

with nonintersecting graphs such that λ is a Lipschitz constant for each f_i and $f = \bigcup_{i=1}^n f_i$.

Proof. Necessity follows directly from Lemma 2 and the hypothesis that the graphs of the f_i are nonintersecting. To prove sufficiency, let $x \in A$. By hypothesis,

$$f(x) = [a_1(x), b_1(x)] \cup [a_2(x), b_2(x)] \cup \dots \cup [a_n(x), b_n(x)]$$

where

$$a_1(x) \leq b_1(x) < a_2(x) \leq b_2(x) < \dots < a_n(x) \leq b_n(x).$$

We set $f_i(x) = [a_i(x), b_i(x)]$ for each $x \in A$ and each $i \in \{1, 2, \dots, n\}$. The proof is finished by showing that $\{f_i: i \in \{1, 2, \dots, n\}\}$ forms the desired decomposition of f .

Clearly $f = \bigcup_{i=1}^n f_i$ and the f_i are connected-valued and have nonintersecting graphs. Now if $\lambda = 0$, then f is a constant function and thence, so is each f_i , $i \in \{1, 2, \dots, n\}$, showing that each f_i is a Lipschitz mapping with Lipschitz constant $\lambda = 0$. Otherwise, let $\varepsilon(x) = \frac{1}{2} \min\{|a_{i+1}(x) - b_i(x)|: i \in \{1, 2, \dots, n-1\}\}$ for each $x \in A$. By Lemma 1, we are done if we show that for each $i \in \{1, 2, \dots, n\}$, f_i is a local radial Lipschitz mapping with Lipschitz constant λ . Therefore, we complete the proof by showing

$$|x - x_0| < \frac{\varepsilon(x_0)}{\lambda} \Rightarrow D(f_i(x), f_i(x_0)) \leq \lambda |x - x_0|$$

for each $x_0 \in A$ and each $i \in \{1, 2, \dots, n\}$.

To this end, let $x_0 \in A$ and let $x \in A$ be such that $|x - x_0| < \varepsilon(x_0)/\lambda$. Since $D(f(x_0), f(x)) \leq \lambda |x - x_0|$, it follows that $f(x) \subset N(\lambda |x - x_0|, f(x_0))$ by the definition of the Hausdorff metric. Also, since $\lambda |x - x_0| < \varepsilon(x_0)$, $N(\lambda |x - x_0|, f(x_0))$ has the n components $N(\lambda |x - x_0|, f_i(x_0))$, $i \in \{1, 2, \dots, n\}$. Hence, for each $i \in \{1, 2, \dots, n\}$ there exists a unique $k_i \in \{1, 2, \dots, n\}$ such that

$$f_i(x) \subset N(\lambda |x - x_0|, f_{k_i}(x_0)).$$

Setting $I = \{1, 2, \dots, n\}$, we may thus define a mapping $k: I \rightarrow I$ by letting $k(i) = k_i$ for each $i \in I$.

Now if we can show that k is the identity mapping on I , then the following argument will complete the proof: for each $i \in I$,

$$f_i(x) \subset N(\lambda |x - x_0|, f_{k_i}(x_0)) = N(\lambda |x - x_0|, f_i(x_0)).$$

On the other hand, since $\lambda |x - x_0| < \varepsilon(x_0)$ and $f_i(x) \subset C(\lambda |x - x_0|, f_i(x_0))$, it follows that

$$\begin{aligned} N(\lambda |x - x_0|, f_i(x)) &\subset N(\lambda |x - x_0|, N(\lambda |x - x_0|, f_i(x_0))) \\ &\subset N(2\varepsilon(x_0), f_i(x_0)) \end{aligned}$$

and hence

$$N(\lambda |x - x_0|, f_i(x)) \cap f_j(x_0) = \phi \quad \text{for } i \neq j.$$

However, since $D(f(x), f(x_0)) \leq \lambda |x - x_0|$ implies $f(x_0) \subset N(\lambda |x - x_0|, f(x))$, it now follows that $f_i(x_0) \subset N(\lambda |x - x_0|, f_i(x))$ for each $i \in I$. Thus, by the definition of the Hausdorff metric, we have $D(f_i(x), f_i(x_0)) \leq \lambda |x - x_0|$ for each $i \in I$.

Now in order to show that k is the identity mapping on I , we first show that k is a bijection. For this it is sufficient to show that k is a surjection because I is finite. If k is not surjective, then there exists $\bar{i} \in I$ such that

$$f_{\bar{i}}(x) \not\subset N(\lambda |x - x_0|, f_{\bar{i}}(x_0))$$

for each $i \in I$. Thus, setting $I' = I \setminus \{\bar{i}\}$, we have

$$f(x) \subset \bigcup_{i \in I'} N(\lambda |x - x_0|, f_i(x_0)),$$

and hence

$$\begin{aligned} N(\lambda |x - x_0|, f(x)) &\subset N\left(\lambda |x - x_0|, \bigcup_{i \in I'} N(\lambda |x - x_0|, f_i(x_0))\right) \\ &\subset \bigcup_{i \in I'} N(2\varepsilon(x_0), f_i(x_0)) \end{aligned}$$

since $\lambda |x - x_0| < \varepsilon(x_0)$. However, by the definition of $\varepsilon(x_0)$,

$$f_{\bar{i}}(x_0) \cap \left(\bigcup_{i \in I'} N(2\varepsilon(x_0), f_i(x_0))\right) = \phi$$

and thence $f_{\bar{i}}(x_0) \not\subset N(\lambda |x - x_0|, f(x))$. Thus $f(x_0) \not\subset N(\lambda |x - x_0|, f(x))$ and then by the definition of the Hausdorff metric, $D(f(x), f(x_0)) > \lambda |x - x_0|$. This contradicts the hypotheses and hence we deduce that k is a bijection.

Now, suppose k is not the identity, and let i be the first integer in I such that $k(i) \neq i$. Let $k(i) = i'$. Since k is a bijection, $i' > i$ and there exists $j \in I$, $j > i$, such that $k(j) = i$. Then we have

$$f_i(x) \subset N(\lambda |x - x_0|, f_{i'}(x_0)) \subset N(\varepsilon(x_0), f_{i'}(x_0))$$

and similarly

$$f_j(x) \subset N(\varepsilon(x_0), f_i(x_0)).$$

Thus, $a_i(x) \in N(\varepsilon(x_0), f_{i'}(x_0))$ and $a_j(x) \in N(\varepsilon(x_0), f_i(x_0))$. However, since $i' > i$ and since

$$N(\varepsilon(x_0), f_i(x_0)) \cap N(\varepsilon(x_0), f_{i'}(x_0)) = \phi,$$

it follows from the definition of the f_p , $p \in I$, that for each $x \in N(\varepsilon(x_0), f_i(x_0))$ and each $y \in N(\varepsilon(x_0), f_{i'}(x_0))$, $x < y$. Hence we have $a_j < a_i$ which implies $j < i$ by the definition of the a_p , $p \in I$. This contradiction shows that k is the identity mapping on I and thus completes the proof of the theorem.

Helga Schirmer has shown [2, Theorem 2] that a connected-valued contraction mapping from R^1 to R^1 has a compact connected set of fixed points. From this we deduce the following corollary:

COROLLARY 1. *Let $f: R^1 \rightarrow CB(R^1)$ be a contraction mapping such that $f(x)$ has n components for each $x \in R^1$. Then the set of fixed points of f is a compact set with n components.*

Proof. By the theorem, we have $f = \bigcup_{i=1}^n f_i$ where f_i is a connected-valued contraction mapping for each $i \in \{1, 2, \dots, n\}$, and the graphs of the f_i are non-intersecting. We note that the set of fixed points of f is the union of those of the f_i . Hence, since the graphs of the f_i are nonintersecting, we are done by the preceding remark.

Finally, we answer in the affirmative the question of Helga Schirmer stated in the introduction.

COROLLARY 2. *Let $f: R^1 \rightarrow CB(R^1)$ be a contraction mapping such that $f(x)$ consists of exactly n points for each $x \in R^1$. Then the set of fixed points of f consists of exactly n points.*

Proof. By the theorem, $f = \bigcup_{i=1}^n f_i$ where f_i is a connected-valued contraction mapping for each $i \in \{1, 2, \dots, n\}$, and the graphs of the f_i are nonintersecting. Clearly each f_i must be single-valued, and thus, by Banach's contraction principle, f_i has a unique fixed point, $i \in \{1, 2, \dots, n\}$. The corollary thus follows since the graphs of the f_i are nonintersecting.

REFERENCES

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