# Class numbers of real cyclotomic fields of composite conductor

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## Abstract

Until recently, the 'plus part' of the class numbers of cyclotomic fields had only been determined for fields of root discriminant small enough to be treated by Odlyzko's discriminant bounds.

However, by finding lower bounds for sums over prime ideals of the Hilbert class field, we can now establish upper bounds for class numbers of fields of larger discriminant. This new analytic upper bound, together with algebraic arguments concerning the divisibility properties of class numbers, allows us to unconditionally determine the class numbers of many cyclotomic fields that had previously been untreatable by any known method.

In this paper, we study in particular the cyclotomic fields of composite conductor.

## 1. Introduction

Ever since mathematicians more than a century ago established connections between Fermat's Last Theorem and the unique factorization properties of cyclotomic integers, the class numbers of cyclotomic fields have been investigated intensively. Among the most mysterious aspects remains the 'plus part' of the class number, that is the class number of the maximal real subfield.

Exploiting Odlyzko's discriminant lower bounds, Masley [3] and van der Linden [2] were able to unconditionally establish the class numbers of all real cyclotomic fields of composite conductor m, provided that  $m \leq 200$ ,  $\phi(m) \leq 72$  and  $m \neq 148, 152$ . However, for fields of larger degree or conductor, the root discriminant becomes too large for their methods to handle. To overcome the problem of large root discriminants, we establish a lower bound on sums over prime ideals of the Hilbert class field, which in turn establishes an upper bound on the class number. We make further algebraic arguments concerning the divisibility of class numbers in order to prove our main result.

THEOREM 1.1. Let *m* be a composite integer,  $m \not\equiv 2 \pmod{4}$ , and let  $\mathbb{Q}(\zeta_m)^+$  denote the maximal real subfield of the *m*th cyclotomic field  $\mathbb{Q}(\zeta_m)$ . Then the class number  $h_m^+$ of  $\mathbb{Q}(\zeta_m)^+$  is

$$h_m^+ = \begin{cases} 1 & \text{if } \phi(m) \leq 116 \text{ and } m \neq 136, 145, 212, \\ 2 & \text{if } m = 136, \\ 2 & \text{if } m = 145, \\ 1 & \text{if } m = 256, \end{cases}$$

where  $\phi$  is the Euler phi function. Furthermore, under the generalized Riemann hypothesis (GRH),  $h_{212}^+ = 5$  and  $h_{512}^+ = 1$ .

For example, the real cyclotomic field of conductor 420 has class number 1. This is the largest conductor for which the class number of a cyclotomic field has been calculated unconditionally.

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This result on composite conductors complements our earlier results on real cyclotomic fields of prime conductor.

THEOREM 1.2 (Miller [5]). Let p be a prime integer, and let  $\mathbb{Q}(\zeta_p)^+$  denote the maximal real subfield of the pth cyclotomic field  $\mathbb{Q}(\zeta_p)$ . Then the class number of  $\mathbb{Q}(\zeta_p)^+$  is 1 for  $p \leq 151$ . Furthermore, under the assumption of the GRH, the class number  $h_p^+$  of  $\mathbb{Q}(\zeta_p)^+$  is

$$h_p^+ = \begin{cases} 1 & \text{if } p \leq 241 \text{ and } p \neq 163, 191, 229, \\ 4 & \text{if } p = 163, \\ 11 & \text{if } p = 191, \\ 3 & \text{if } p = 229. \end{cases}$$

## 2. Upper bounds for class numbers

A critical step for determining the class number of fields of relatively large degree or discriminant is to find an upper bound for the class number. To accomplish this, Masley [3] and van der Linden [2] exploited Odlyzko's lower bounds of discriminants. Although Odlyzko's tables [7, 8] are unpublished, much information about his estimates for discriminants and related problems can be found in his survey [6].

DEFINITION 1. Let K denote a number field of degree n over  $\mathbb{Q}$ . Let d(K) denote its discriminant. The root discriminant rd(K) of K is defined to be:

$$\operatorname{rd}(K) = |d(K)|^{1/n}.$$

We can use the relative discriminant formula to prove the following proposition.

**PROPOSITION 2.1.** Let L/K be an extension of number fields. Then

$$\operatorname{rd}(K) \leqslant \operatorname{rd}(L),$$

with equality if and only if L/K is unramified at all finite primes.

Consequently, the root discriminant has the following important property.

COROLLARY 2.2. Let K be a number field. Then the Hilbert class field of K has the same root discriminant as K.

Suppose K is a totally real number field of degree n. Odlyzko constructed a table [8] of pairs (A, E) such that the discriminant of K has the lower bound

$$|d(K)| > A^n e^{-E}.$$

If rd(K) < A, we can use Odlyzko's discriminant bounds and Corollary 2.2 to get an upper bound for the class number h,

$$h < \frac{E}{n(\log A - \log \operatorname{rd}(K))}$$

However, if the root discriminant of K is larger than the largest A in Odlyzko's table, the above method cannot be applied. The largest value for A in Odlyzko's table is 60.704 (or 213.626 if the GRH is assumed).

EXAMPLE 1. The real cyclotomic field  $\mathbb{Q}(\zeta_{212})^+$  has root discriminant approximately 98.2080. This root discriminant is too large for the class number to be treated by Odlyzko's unconditional discriminant bounds, but if we assume the GRH, then we can choose a pair (A, E) = (119.296, 118.11) from Odlyzko's table [7] of GRH conditional discriminant bounds to get a class number upper bound

$$h_{212}^+ \leq 11$$

which is conditional on the GRH.

In the author's previous paper [4], we obtained unconditional upper bounds for class numbers for fields of root discriminant larger than 60.704 by establishing nontrivial lower bounds for sums over the prime ideals of the Hilbert class field. We repeat here a lemma that will be crucial to our investigation.

LEMMA 2.3 (Miller [4]). Let K be a totally real field of degree n, and let

$$F(x) = \frac{e^{-(x/c)^2}}{\cosh(x/2)}$$

for some positive constant c. Suppose S is a subset of the prime integers which totally split into principal prime ideals of K. Let

$$B = \frac{\pi}{2} + \gamma + \log 8\pi - \log \operatorname{rd}(K) - \int_0^\infty \frac{1 - F(x)}{2} \left(\frac{1}{\sinh(x/2)} + \frac{1}{\cosh(x/2)}\right) dx + 2\sum_{p \in S} \sum_{m=1}^\infty \frac{\log p}{p^{m/2}} F(m \log p).$$

If B > 0 then we have an upper bound for the class number h of K,

$$h < \frac{2c\sqrt{\pi}}{nB}.$$

Note that the above upper bound is unconditional on the GRH.

If x is in the ring of integers of K, and if its norm is a prime integer p which is unramified in K, then p totally splits into principal ideals, and we can take p to be in the set S above. Once we find sufficiently many such prime integers which totally split into principal ideals, so that B > 0, we can establish an upper bound for the class number.

#### 3. Real cyclotomic fields

We briefly recall a few facts about real cyclotomic fields and establish some notation. More information can be found in Washington's *Introduction to Cyclotomic Fields* [11].

Let  $\zeta_m$  be a primitive *m*th root of unity. Then  $\mathbb{Q}(\zeta_m)$  is the cyclotomic field of conductor *m*. Its maximal real subfield, also known as the real cyclotomic field of conductor *m*, is  $\mathbb{Q}(\zeta_m + \zeta_m^{-1})$ , which we will usually denote by  $\mathbb{Q}(\zeta_m)^+$ . The degree of  $\mathbb{Q}(\zeta_m)^+$  over  $\mathbb{Q}$  is  $\phi(m)/2$ , where  $\phi$  is the Euler phi function.

The Galois group  $\operatorname{Gal}(\mathbb{Q}(\zeta_m)/\mathbb{Q})$  is isomorphic to  $(\mathbb{Z}/m\mathbb{Z})^{\times}$ , and the Galois group  $\operatorname{Gal}(\mathbb{Q}(\zeta_m)^+/\mathbb{Q})$  of the real cyclotomic field is isomorphic to the quotient group  $(\mathbb{Z}/m\mathbb{Z})^{\times}/\{\pm 1\}$ . Galois theory determines the subfields of  $\mathbb{Q}(\zeta_m)^+$ .

The ring of integers of  $\mathbb{Q}(\zeta_m)^+$  is simply  $\mathbb{Z}[\zeta_m + \zeta_m^{-1}] = \mathbb{Z}[2\cos(2\pi/m)]$ . The prime integers which totally split in this field are precisely those which are congruent to  $\pm 1$  modulo m.

We will denote the class number of  $\mathbb{Q}(\zeta_m)$  by  $h_m$  and the class number of its maximal real subfield (the 'plus part') by  $h_m^+$ . The 'minus part'  $h_m^-$  is defined to be the relative class number

$$h_m^- = \frac{h_m}{h_m^+}.$$

Let  $n = \phi(m)/2$  and let  $a_1, a_1, \ldots, a_n$  be those positive integers (in increasing order) that are less than m/2 and coprime to m. Until otherwise noted, the integral basis of  $\mathbb{Q}(\zeta_m)^+$  that we will use is  $\{b_0, b_1, \ldots, b_{n-1}\}$ , with  $b_0 = 1$  and  $b_j = 2\cos(2\pi a_j/m)$  for  $j = 1, \ldots, n-1$ .

Given an element x of a Galois number field K, we define its norm to be

$$N(x) = \left| \prod_{\sigma \in \operatorname{Gal}(K/\mathbb{Q})} \sigma(x) \right|.$$

#### 4. Divisibility properties of the class numbers of real cyclotomic fields

In the author's previous paper on cyclotomic fields of prime conductor [5], we were able to take advantage of the extensive work of Schoof [9] on the divisibility properties of class numbers  $h_p^+$  for p prime. Real cyclotomic fields of prime power conductor have the special property that the index of the group of cyclotomic units  $\mathcal{O}_{cyc}^{\times}$  within the full group of units  $\mathcal{O}^{\times}$  is equal to the class number. This allowed Schoof to study the Galois action on the quotient group  $\mathcal{O}^{\times}/\mathcal{O}_{cyc}^{\times}$ , rather than class group itself, to extract information about the class number.

However, once we move away from prime power conductors, the size of the quotient group  $\mathcal{O}^{\times}/\mathcal{O}_{\text{cyc}}^{\times}$  is no longer equal to the class number. To some extent, Agathocleous, in her thesis [1], was able to get around this problem, but with the limitation of considering only composite conductors that are products pq of two distinct odd primes. This is actually quite a severe limitation for us: only 9 of the 50 fields we considered have such conductors.

Therefore, in the current paper, we return to the classical approach of exploiting the Galois action on the class group itself, as implemented by Masley [3] and van der Linden [2]. This approach has its limitations, especially when considering the *p*-part of the class number where p divides the degree of the field. Nevertheless, by establishing quite good upper bounds on the class numbers, we are able to successfully use these methods.

In order to carry out our strategy, we use several theorems described by van der Linden [2], Masley [3] and Washington [11].

PARITY CHECK THEOREM (Masley [3, Theorem 2.21]). If  $h_m^-$  is odd, then  $h_m^+$  is odd.

REFLECTION THEOREM (Masley [3, Theorem 2.22]). Let p be a prime integer, and let M be the least common multiple of p and the conductor m. If p does not divide  $h_M^-$ , then p does not divide  $h_m^+$ .

We will use the tables in Washington [11, p. 412] to find the minus part  $h_m^-$  of the class number.

PUSHING UP THEOREM (Washington [11, Proposition 4.11]). Let L/K be an extension of number fields. If no intermediate field  $M \neq K$  of L/K is abelian over K and unramified (at all primes, including the Archimedean ones) over K, then  $h_K$  divides  $h_L$ .

COROLLARY 4.1.  $h_m^+$  divides  $h_{km}^+$  for any positive integer k.

COROLLARY 4.2. If K is a subfield of a real cyclotomic field of prime power conductor  $p^k$ , then  $h_K$  divides  $h_{p^k}^+$ .

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PUSHING DOWN THEOREM (Washington [11, Theorem 10.4]). Let L/K be a Galois extension of number fields whose degree is a power of a prime p. Suppose that there is at most one prime (finite or infinite) of K that ramifies in L. If p does not divide  $h_K$ , then p does not divide  $h_L$ .

THEOREM 4.3 (Masley [3, Theorem 2.10]). Let m = 4p, pq or  $2^a q$ , with  $a \ge 3$ , p and q odd primes, and  $q \equiv 3 \pmod{4}$ . Then the maximal real abelian 2-extension K of  $\mathbb{Q}$  with conductor m has odd class number.

RANK THEOREM (Masley [3, Corollary 2.15]). Let L/K be a cyclic extension of degree n. Let p be a prime that does not divide  $h_E$  for all intermediate fields E with  $K \subseteq E \subsetneq L$ . If p divides  $h_L$ , then  $p^f$  divides  $h_L$ , where f is the order of p modulo n.

We also give the more precise version of the Rank Theorem described by van der Linden [2]. Given a cyclic extension of number fields L/K of degree n, and a prime p not dividing n, we define  $\operatorname{Cl}_p^*(L/K)$  to be

$$\operatorname{Cl}_{n}^{*}(L/K) = \{ \alpha \in \operatorname{Cl}_{p}(L) : \alpha^{\Phi_{n}(\sigma)} = 1 \},\$$

where  $\operatorname{Cl}_p(L)$  is the Sylow *p*-subgroup of the class group of L,  $\sigma$  is the generator of  $\operatorname{Gal}(L/K)$ , and  $\Phi_n$  is the *n*th cyclotomic polynomial. For example, given the trivial extension K/K, we have  $\operatorname{Cl}_p^*(K/K) = \operatorname{Cl}_p(K)$ .

THEOREM 4.4 (van der Linden [2, Theorem 8]). Let L/K be a cyclic extension of degree n. Let p be a prime that does not divide n. Then  $|\operatorname{Cl}_p^*(L/K)|$  is a power of  $p^f$ , possibly 1, where f is the order of p modulo n.

THEOREM 4.5 (van der Linden [2, Theorem 6]). Let E/K be an abelian extension of number fields of degree n, and let p be a prime integer not dividing n. Then

$$\operatorname{Cl}_p(E) \cong \oplus \operatorname{Cl}_p^*(L/K)$$

where the direct sum is over all the intermediate fields L for which L/K is cyclic.

COROLLARY 4.6. Suppose p, K and E are as in the theorem above. If p divides  $h_E$ , then there exists a cyclic extension L/K, with  $K \subseteq L \subseteq E$  and p dividing  $h_L$ .

#### 5. The class number of the real cyclotomic field of conductor 148

We give a detailed example of applying our upper bound to find the class number of the real cyclotomic field of conductor 148.

Van der Linden [2] proved that the class number of  $\mathbb{Q}(\zeta_{148})^+$  has class number 1, conditional upon the GRH. The root discriminant of this field is approximately 66.94, which is greater than 60.704, so Odlyzko's discriminant bounds could not be used to establish an unconditional upper bound on the class number. However, using our class number upper bound, we can now unconditionally prove that the real cyclotomic field of conductor 148 has class number 1.

PROPOSITION 5.1. The class number of  $\mathbb{Q}(\zeta_{148})^+$  is 1.

*Proof.* First, using our integral basis  $\{b_0, b_1, \ldots, b_{n-1}\}$ , we search over 'sparse vectors' and find two elements of the ring of integers that have norms of 149 and 443:

$$N(b_0 + b_1 + b_8) = 149,$$
  
 $N(b_0 + b_1 + b_9) = 443.$ 

Since the prime integers 149 and 443 are congruent to  $\pm 1$  modulo 148, they totally split in  $\mathbb{Q}(\zeta_{148})^+$ , and split into principal ideals generated by the above elements and their conjugates.

We define our set S to be

$$S = \{149, 443\}.$$

Let F be the function

$$F(x) = \frac{e^{-(x/c)^2}}{\cosh(x/2)}$$

with c = 20. The contribution from prime ideals of the Hilbert class field is bounded below by

$$2\sum_{p\in S}\sum_{m=1}^{\infty}\frac{\log p}{p^{m/2}}F(m\log p) > 2\sum_{p\in S}\frac{\log p}{\sqrt{p}}F(\log p) > 0.1753.$$

The following integral can be estimated using numerical integration:

$$\int_0^\infty \frac{1 - F(x)}{2} \left( \frac{1}{\sinh(x/2)} + \frac{1}{\cosh(x/2)} \right) dx < 1.2825.$$

Thus we find a lower bound for B,

$$B = \frac{\pi}{2} + \gamma + \log 8\pi - \log \operatorname{rd}(\mathbb{Q}(\zeta_{148})^+) - \int_0^\infty \frac{1 - F(x)}{2} \left(\frac{1}{\sinh(x/2)} + \frac{1}{\cosh(x/2)}\right) dx + 2\sum_{p \in S} \sum_{m=1}^\infty \frac{\log p}{p^{m/2}} F(m \log p) > 0.0611.$$

Now we can apply Lemma 2.3 to show that the class number has an upper bound

$$h_{148}^+ \leq 32$$

It remains to use divisibility arguments to show that the class number is 1. We consider the possible prime divisors of  $h_{148}^+$ .

- 2-part. Since  $h_{148}^- = 4\,827\,501$  is odd, the Parity Check Theorem shows that  $h_{148}^+$  is odd.
- 3-part. The degree of  $\mathbb{Q}(\zeta_{148})^+$  is 36. Consider its quartic subfield  $K_4$ . The prime integer 37 is totally ramified in  $K_4$  and factors as

$$(37) = P^4$$

for a prime ideal P. The prime P is the only prime that ramifies in the degree 9 extension  $\mathbb{Q}(\zeta_{148})^+/K_4$ . Since  $K_4$  has class number 1 (which has small enough degree that it can be calculated unconditionally in a software package such as Sage [10]), we can use the Pushing Down Theorem to show that 3 does not divide  $h_{148}^+$ .

p-part,  $5 \leq p \leq 31 \quad \mathbb{Q}(\zeta_{148})^+/\mathbb{Q}$  is a cyclic extension. Every proper subfield of  $\mathbb{Q}(\zeta_{148})^+$  is either a subfield of  $\mathbb{Q}(\zeta_{37})^+$ , or is the degree 12 subfield  $K_{12}$ , or is the quartic subfield  $K_4$ . Using Sage, we can calculate unconditionally that  $K_4$  and  $K_{12}$  have class number 1. Also, the subfield  $\mathbb{Q}(\zeta_{37})^+$  has class number 1. Since  $\mathbb{Q}(\zeta_{37})^+/\mathbb{Q}$  is totally ramified at 37, by the Pushing Up Theorem every subfield of  $\mathbb{Q}(\zeta_{37})^+$  has class number 1. Thus, using the extension  $\mathbb{Q}(\zeta_{148})^+/\mathbb{Q}$  and the upper bound  $h_{148}^+ \leq 32$ , we can apply the Rank Theorem to show that p does not divide  $h_{148}^+$  for all p between 5 and 31.

Using the upper bound  $h_{148}^+ \leq 32$ , we conclude unconditionally that  $h_{148}^+ = 1$ .

Note that the Minkowski bound of  $\mathbb{Q}(\zeta_{148})^+$  is approximately  $2.5 \times 10^{18}$ . It is striking that, using our new approach, we needed to only check if *two* primes, 149 and 443, factored into principal ideals, in stark contrast to using the Minkowski bound, which would have required checking roughly  $6 \times 10^{16}$  primes!

As an alternative proof, in the following section we will show below that an upper bound of  $h_{148}^+$  is 1, thus showing that  $h_{148}^+ = 1$ , without need for any additional algebraic arguments.

#### 6. Upper bounds for class numbers of real cyclotomic fields of degree less than or equal to 58

Consider the real cyclotomic field of conductor m with degree n. For real cyclotomic fields of relatively small degree, it is possible to use relatively few prime ideals to find a class number upper bound. However, for fields of larger degree, the number of primes required is much greater. Our strategy will be to search over sparse vectors using both the basis  $b_0, b_1, \ldots, b_{n-1}$  described above, as well as using an alternative basis,

$$c_k = \sum_{j=0}^k b_j, \quad k = 0, 1, \dots, n-1.$$

The advantage of using the alternative basis is its tendency to find elements that are of different norm than those found using sparse vectors in the original basis.

We calculate the norm of every element of the ring of integers of the form

$$x = b_0 + b_1 + a_1 b_{j_1} + a_2 b_{j_2} + a_3 b_{j_3} + a_4 b_{j_4} + a_5 b_{j_5}$$

and

$$x = b_1 + a_1 b_{j_1} + a_2 b_{j_2} + a_3 b_{j_3} + a_4 b_{j_4} + a_5 b_{j_5},$$

where  $1 < j_1 < j_2 < j_3 < j_4 < j_5 < n$  and  $a_j \in \{-1, 0, 1\}$  for  $1 \leq j \leq 5$ . Similarly, using the alternative basis, we also calculate the norm of every element of the form

$$x = c_0 + a_1 c_{k_1} + a_2 c_{k_2} + a_3 c_{k_3} + a_4 c_{k_4} + c_5 b_{k_5},$$

where  $1 \leq k_1 < k_2 < k_3 < k_4 < k_5 < n$  and  $a_k \in \{-1, 0, 1\}$  for  $1 \leq k \leq 5$ .

Let T denote the set of all such elements x, and let S denote the set of norms that are prime, congruent to  $\pm 1$  modulo the conductor m, and less than  $10^{10}$ ,

$$S = \{N(x) : x \in T, N(x) \text{ prime}, N(x) \equiv \pm 1 \pmod{m}, N(x) < 10^{10}\}.$$

We calculate the set S for every real cyclotomic field of composite conductor m of degree up to 58, that is, with  $\phi(m) \leq 116$ , except for the fields that have already been treated unconditionally by Masley or van der Linden. We also exclude the conductor 212, for reasons to be discussed later. We then apply Lemma 2.3. The results are given in Table 1 of conductors m, parameter c, and upper bounds of class numbers  $h_m^+$ .

We are free to choose the parameter c. Recall that our class number bound is  $h < 2c\sqrt{\pi}/nB$ . If c is chosen to be too small, then the lower bound for the denominator B would be nonpositive or very small. On the other hand, if c is too large, this leads directly to a large class number bound. Thus, there is an optimal c that provides the best possible bound. However, since the class number is an integer, the class number bound is usually not sensitive to the precise choice of c, so it is easy to just compute an optimal c by testing in a reasonable interval.

We should also remark that if we had calculated the table of class number upper bounds using summations over a larger number of principal prime ideals, then we could have improved upper bounds, obviating the need for some of the algebraic arguments in § 7. There is a trade-off between the amount of computation and the amount of algebraic argumentation.

## 7. Class numbers of real cyclotomic fields of degree up to 58

The above upper bounds are sufficiently strong to immediately show that the real cyclotomic fields of conductors

115, 147, 148, 152, 165, 195, 200, 204, 216, 220, 228, 240, 252, 264, 300, 420

have class number 1.

In the following, all invocations of the Parity Check Theorem and the Reflection Theorem use minus parts of the class numbers obtained from the table in Washington [11, p. 412]. In particular, an application of the Parity Check Theorem shows that the real cyclotomic fields of conductors

also have class number 1.

For conductor 145, we will need a better upper bound, so the proof of that class number will be postponed until the next section. The remaining fields are treated below.

PROPOSITION 7.1. The class number of  $\mathbb{Q}(\zeta_{121})^+$  is 1.

*Proof.* We have the upper bound  $h_{121}^+ \leq 4$ . By the Parity Check Theorem,  $h_{121}^+$  is odd. The least common multiple of 3 and 121 is 363. Since 3 does not divide  $h_{363}^-$ , the Reflection Theorem shows that 3 does not divide  $h_{121}^+$ .

PROPOSITION 7.2. The class numbers of  $\mathbb{Q}(\zeta_{123})^+$ ,  $\mathbb{Q}(\zeta_{153})^+$ ,  $\mathbb{Q}(\zeta_{164})^+$  and  $\mathbb{Q}(\zeta_{224})^+$  are 1.

Proof. Let L denote one of these fields, and let K denote the maximal 2-subextension of  $L/\mathbb{Q}$ . We have the upper bound for the class number  $h(L) \leq 2$ . By Theorem 4.3, the class number of K is odd. We apply the Rank Theorem to the extension L/K to show that h(L) is odd.

m	c	$h_m^+ \leqslant$	m	c	$h_m^+ \leqslant$	m	c	$h_m^+ \leqslant$
115	18	1	171	26	4	232	30	8
119	20	2	172	20	2	236	48	29
121	26	4	176	20	2	240	15	1
123	19	2	177	29	5	252	15	1
125	22	2	184	22	3	260	21	2
129	19	2	188	23	3	264	18	1
133	25	3	189	24	3	276	20	2
141	20	2	195	21	1	280	20	2
145	50	36	196	20	2	288	24	3
147	18	1	200	18	1	300	18	1
148	17	1	204	15	1	312	20	2
152	17	1	208	25	3	324	28	5
153	21	2	216	18	1	336	21	2
159	25	3	220	18	1	348	26	4
164	20	2	224	22	2	360	21	2
165	15	1	228	15	1	420	18	1
-								

TABLE 1. Upper bounds for class numbers  $h_m^+$  of real cyclotomic fields of conductor m, using parameter c in Lemma 2.3.

PROPOSITION 7.3. The class number of  $\mathbb{Q}(\zeta_{133})^+$  is 1.

Proof. We know  $h_{133}^+ \leq 3$ . We apply Theorem 4.5 to the degree 27 extension  $\mathbb{Q}(\zeta_{133})^+/\mathbb{Q}(\sqrt{133})$ . The cyclic subextensions are of degree 1, 3 or 9. Since the class number of  $\mathbb{Q}(\sqrt{133})$  is 1, by Theorems 4.4 and 4.5, the 2-part of  $h_{133}^+$  must be a power of 4. Since  $h_{133}^+ \leq 3$ , we have that  $h_{133}^+$  is odd.

For the 3-part, consider the sextic subfield K of  $\mathbb{Q}(\zeta_{133})^+$  that has discriminant  $7^5 \cdot 19^3$ . The class number of K is 1. The prime integer 19 factors as  $(19) = P^2$  in K for a prime ideal P. The prime P is the only prime of K that ramifies in  $\mathbb{Q}(\zeta_{133})^+$ , so we can apply the Pushing Down Theorem to show that 3 does not divide  $h_{133}^+$ .

PROPOSITION 7.4. The class number of  $\mathbb{Q}(\zeta_{159})^+$  is 1.

Proof. We know  $h_{159}^+ \leq 3$ . By the Parity Check Theorem,  $h_{159}^+$  is odd. Every proper subfield of  $\mathbb{Q}(\zeta_{159})^+$  is either the quartic subfield  $K_4$ , or is a subfield of  $\mathbb{Q}(\zeta_{53})^+$ . Using Sage [10], we can calculate unconditionally that the class number of  $K_4$  is 1. Also,  $\mathbb{Q}(\zeta_{53})^+$  has class number 1. Since  $\mathbb{Q}(\zeta_{53})^+/\mathbb{Q}$  is totally ramified at 53, by the Pushing Up Theorem every subfield of  $\mathbb{Q}(\zeta_{53})^+$  has class number 1. Therefore, we can apply the Rank Theorem to the extension  $\mathbb{Q}(\zeta_{159})^+/\mathbb{Q}$  to show that 3 does not divide  $h_{159}^+$ .

PROPOSITION 7.5. The class number of  $\mathbb{Q}(\zeta_{171})^+$  is 1.

Proof. We know  $h_{171}^+ \leq 4$ . We apply Theorem 4.5 to the degree 27 extension  $\mathbb{Q}(\zeta_{171})^+/\mathbb{Q}(\sqrt{57})$ . The cyclic subextensions are of degree 1, 3 or 9. The class number of  $\mathbb{Q}(\sqrt{57})$  is 1, and the four cubic extensions of  $\mathbb{Q}(\sqrt{57})$  contained in  $\mathbb{Q}(\zeta_{171})^+$  all have odd class number (either 1 or 3). So we need only concern ourselves with the degree 9 cyclic subextensions of  $\mathbb{Q}(\zeta_{171})^+/\mathbb{Q}(\sqrt{57})$ . Therefore, by Theorems 4.4 and 4.5, the 2-part of  $h_{171}^+$  must be a power of 64. Since  $h_{171}^+ \leq 4$ , we have that  $h_{171}^+$  is odd. The prime 3 factors as  $(3) = P^2$  in  $\mathbb{Q}(\zeta_{57})^+$  for a prime ideal P. The prime P is the only

The prime 3 factors as  $(3) = P^2$  in  $\mathbb{Q}(\zeta_{57})^+$  for a prime ideal P. The prime P is the only prime of  $\mathbb{Q}(\zeta_{57})^+$  that ramifies in  $\mathbb{Q}(\zeta_{171})^+$ , so we can apply the Pushing Down Theorem to show that 3 does not divide  $h_{171}^+$ .

PROPOSITION 7.6. The class number of  $\mathbb{Q}(\zeta_{172})^+$  is 1.

Proof. We know  $h_{172}^+ \leq 2$ .  $\mathbb{Q}(\zeta_{172})^+$  is of degree 42. Let K denote its sextic subfield. We can use Sage [10] to show unconditionally that the class number of K is 1. We apply the Rank Theorem to the extension  $\mathbb{Q}(\zeta_{172})^+/K$  to show that  $h_{172}^+$  is odd.

PROPOSITION 7.7. The class number of  $\mathbb{Q}(\zeta_{177})^+$  is 1.

Proof. We know  $h_{177}^+ \leq 5$ . By the Parity Check Theorem,  $h_{177}^+$  is odd. Every proper subfield of  $\mathbb{Q}(\zeta_{177})^+$  is either  $\mathbb{Q}(\sqrt{177})$  or is a subfield of  $\mathbb{Q}(\zeta_{59})^+$ . The quadratic subfield  $\mathbb{Q}(\sqrt{177})$ has class number 1. Also,  $\mathbb{Q}(\zeta_{59})^+$  has class number 1, as do its subfields by the Pushing Down Theorem. Therefore we can apply the Rank Theorem to the degree 58 cyclic extension  $\mathbb{Q}(\zeta_{177})^+/\mathbb{Q}$  to show that neither 3 nor 5 divides  $h_{177}^+$ .

PROPOSITION 7.8. The class numbers of  $\mathbb{Q}(\zeta_{184})^+$  and  $\mathbb{Q}(\zeta_{276})^+$  are 1.

Proof. These fields have degree 44. Let L denote either of these fields, and K denote the quartic subfield. We know  $h(L) \leq 3$ . We can use Sage [10] to show unconditionally that the class number of K is 1. We apply the Rank Theorem to the extension L/K to show that neither 2 nor 3 divide  $h_L$ .

PROPOSITION 7.9. The class number of  $\mathbb{Q}(\zeta_{188})^+$  is 1.

*Proof.* We know  $h_{188}^+ \leq 3$ . By the Parity Check Theorem,  $h_{188}^+$  is odd. The least common multiple of 3 and 188 is 576. Since 3 does not divide  $h_{576}^-$ , the Reflection Theorem shows that 3 does not divide  $h_{188}^+$ .

PROPOSITION 7.10. The class number of  $\mathbb{Q}(\zeta_{189})^+$  is 1.

*Proof.* We know  $h_{189}^+ \leq 3$ . By the Parity Check Theorem,  $h_{189}^+$  is odd. The least common multiple of 3 and 189 is 189. Since 3 does not divide  $h_{189}^-$ , the Reflection Theorem shows that 3 does not divide  $h_{189}^+$ .

PROPOSITION 7.11. The class numbers of  $\mathbb{Q}(\zeta_{208})^+$  and  $\mathbb{Q}(\zeta_{288})^+$  are 1.

Proof. Let m = 208 or 288. We know  $h_m^+ \leq 3$ . By the Parity Check Theorem,  $h_m^+$  is odd. Consider the degree 4 cyclic extension  $\mathbb{Q}(\zeta_m)^+/\mathbb{Q}(\zeta_{m/4})^+$ . Since we already know that  $h_{m/4}^+ = h_{m/2}^+ = 1$ , we can apply the Rank Theorem to the extension  $\mathbb{Q}(\zeta_m)^+/\mathbb{Q}(\zeta_{m/4})^+$  to show that 3 does not divide  $h_m^+$ .

PROPOSITION 7.12. The class number of  $\mathbb{Q}(\zeta_{232})^+$  is 1.

Proof. We know  $h_{232}^+ \leq 8$ .

The prime integer 2 is inert in  $\mathbb{Q}(\zeta_{29})^+$ . The prime ideal (2) is the only prime that ramifies in the degree 4 extension  $\mathbb{Q}(\zeta_{232})^+/\mathbb{Q}(\zeta_{29})^+$ . Since  $h_{29}^+ = 1$ , the Pushing Down Theorem shows that  $h_{232}^+$  is odd.

Now let K be the octic subfield of  $\mathbb{Q}(\zeta_{232})^+$ . Since the class number of K is 1, we can apply the Rank Theorem to the degree 7 extension  $\mathbb{Q}(\zeta_{232})^+/K$  to find that  $h_{232}^+$  is not divisible by 3 or 5.

The prime 29 factors as  $(29) = P^2$  in K for a prime ideal P. P is the only prime of K that ramifies in  $\mathbb{Q}(\zeta_{232})^+$ , so we can apply the Pushing Down Theorem to show that 7 does not divide  $h_{232}^+$ .

PROPOSITION 7.13. The class number of  $\mathbb{Q}(\zeta_{236})^+$  is 1.

Proof. We know  $h_{236}^+ \leq 29$ . Since the class number of  $\mathbb{Q}(\sqrt{59})$  is 1, we can apply the Rank Theorem to the degree 29 cyclic extension  $\mathbb{Q}(\zeta_{236})^+/\mathbb{Q}(\sqrt{59})$  to show that no prime less than 29 divides  $h_{236}^+$ .

It remains to show that 29 does not divide  $h_{236}^+$ . The only prime ideal of  $\mathbb{Q}(\sqrt{59})$  that ramifies in  $\mathbb{Q}(\zeta_{236})^+$  is  $(\sqrt{59})$ , so we can apply the Pushing Down Theorem to show that 29 does not divide  $h_{236}^+$ .

PROPOSITION 7.14. The class number of  $\mathbb{Q}(\zeta_{260})^+$  is 1.

Proof. We know  $h_{260}^+ \leq 2$ , and  $\mathbb{Q}(\zeta_{260})^+$  is of degree 48. Let L denote its degree 16 subfield. L has three octic subfields. Let K denote the octic subfield with discriminant  $2^8 \cdot 5^4 \cdot 13^6$ . Sage [10] can show unconditionally that K has class number 1. The prime integer 5 factors as  $(5) = P^2$  in K for a prime ideal P. The only prime ideal of K that ramifies in L is P, so by the Pushing Down Theorem, the class number of L is odd. Now we can apply the Rank Theorem to the extension  $\mathbb{Q}(\zeta_{260})^+/L$  to show that  $h_{260}^+$  is odd.  $\Box$ 

PROPOSITION 7.15. The class numbers of  $\mathbb{Q}(\zeta_{280})^+$ ,  $\mathbb{Q}(\zeta_{312})^+$  and  $\mathbb{Q}(\zeta_{360})^+$  are 1.

Proof. Let m = 280, 312 or 360. We know  $h_m^+ \leq 2$ . The prime integer 2 is inert in  $\mathbb{Q}(\zeta_{m/8})^+$ . The prime ideal (2) is the only prime that ramifies in the degree 4 extension  $\mathbb{Q}(\zeta_m)^+/\mathbb{Q}(\zeta_{m/8})^+$ . Since  $h_{m/8}^+ = 1$ , the Pushing Down Theorem shows that  $h_m^+$  is odd.

PROPOSITION 7.16. The class number of  $\mathbb{Q}(\zeta_{324})^+$  is 1.

*Proof.* We know  $h_{324}^+ \leq 5$ . By the Parity Check Theorem,  $h_{324}^+$  is odd. The least common multiple of 3 and 324 is 324. Since 3 does not divide  $h_{324}^-$ , the Reflection Theorem shows that 3 does not divide  $h_{324}^+$ .

Finally, since  $\mathbb{Q}(\zeta_{324})^+$  is cyclic of degree 54, every proper subfield of  $\mathbb{Q}(\zeta_{324})^+$  is a subfield of  $\mathbb{Q}(\zeta_{31})^+$ , which has class number 1. Since  $\mathbb{Q}(\zeta_{81})^+/\mathbb{Q}$  is totally ramified at 3, by the Pushing Up Theorem every subfield of  $\mathbb{Q}(\zeta_{81})^+$  has class number 1. Therefore, we can apply the Rank Theorem to the extension  $\mathbb{Q}(\zeta_{324})^+/\mathbb{Q}$  to show that 5 does not divide  $h_{324}^+$ .

PROPOSITION 7.17. The class number of  $\mathbb{Q}(\zeta_{336})^+$  is 1.

Proof. We know  $h_{336}^+ \leq 2$ . The prime integer 2 is inert in  $\mathbb{Q}(\zeta_{21})^+$ . The prime ideal (2) is the only prime that ramifies in the degree 8 extension  $\mathbb{Q}(\zeta_{336})^+/\mathbb{Q}(\zeta_{21})^+$ . Since  $h_{21}^+ = 1$ , the Pushing Down Theorem shows that  $h_{336}^+$  is odd.

PROPOSITION 7.18. The class number of  $\mathbb{Q}(\zeta_{348})^+$  is 1.

Proof. We know  $h_{348}^+ \leq 4$ . The prime integer 2 is inert in  $\mathbb{Q}(\zeta_{87})^+$ . The prime ideal (2) is the only prime that ramifies in the quadratic extension  $\mathbb{Q}(\zeta_{348})^+/\mathbb{Q}(\zeta_{87})^+$ . Since  $h_{87}^+ = 1$ , the Pushing Down Theorem shows that  $h_{348}^+$  is odd.

Now let K be the octic subfield of  $\mathbb{Q}(\zeta_{348})^+$ . Since the class number of K is 1, we can apply the Rank Theorem to the degree 7 extension  $\mathbb{Q}(\zeta_{348})^+/K$  to find that  $h_{348}^+$  is not divisible by 3.

## 8. The class number of the real cyclotomic field of conductor 145

Under the assumption of the GRH, van der Linden [2] proved that the class number of  $\mathbb{Q}(\zeta_{145})^+$  is 2, and he proved unconditionally that 2 divides  $h_{145}^+$ . So far, we have obtained the unconditional upper bound  $h_{145}^+ \leq 36$ . However, it is difficult to pin down the exact class number; the 2-parts and 7-parts of the class number pose difficulties. We will endeavor to find an improved upper bound.

We consider the sparse vectors

$$x = b_0 + b_1 + a_1 b_{j_1} + a_2 b_{j_2} + a_3 b_{j_3} + a_4 b_{j_4} + a_5 b_{j_5} + a_6 b_{j_6}$$

and

$$x = b_1 + a_1 b_{j_1} + a_2 b_{j_2} + a_3 b_{j_3} + a_4 b_{j_4} + a_5 b_{j_5} + a_6 b_{j_6}$$

where  $1 < j_1 < j_2 < j_3 < j_4 < j_5 < j_6 < n$  and  $a_j \in \{-1, 0, 1\}$  for  $1 \le j \le 6$ , and

$$x = c_0 + a_1 c_{k_1} + a_2 c_{k_2} + a_3 c_{k_3} + a_4 c_{k_4} + c_5 b_{k_5} + c_6 b_{k_6},$$

where  $1 \leq k_1 < k_2 < k_3 < k_4 < k_5 < k_6 < n$  and  $a_k \in \{-1, 0, 1\}$  for  $1 \leq k \leq 6$ .

Let T denote the set of all such elements x, and let U be the set of their norms, up to  $10^{19}$ , that are congruent to  $\pm 1$  modulo the conductor m:

$$U = \{N(x) : x \in T, N(x) < 10^{19}, N(x) \equiv \pm 1 \pmod{m}\}$$

Let  $S_1$  be the set of prime norms

$$S_1 = \{p : p \in U, p \text{ prime, } p \equiv \pm 1 \pmod{m} \}$$

For a field of such large discriminant and nontrivial class group, it is more difficult to find sufficiently many totally split primes of prime norm. An effective approach is to search for sparse vectors that have large composite norms, and then take quotients of appropriately chosen algebraic integers.

Following the above strategy, we define  $S_2$  to be the set of primes defined by

$$S_2 = \{ p : pq \in U, p \text{ prime}, p \notin S_1, q \in S_1 \},\$$

noting that if N(x) = pq and N(y) = q, for x, y in the ring of integers  $\mathcal{O}$ , then  $x/\sigma(y) \in \mathcal{O}$  with norm p for some Galois automorphism  $\sigma$ , and p is congruent to  $\pm 1$  modulo m.

Now put  $S = S_1 \cup S_2$  and c = 42. We have the following lower bound for the contribution of prime ideals:

$$2\sum_{p\in S}\sum_{m=1}^{\infty}\frac{\log p}{p^{m/2}}F(m\log p) > 2\sum_{p\in S}\sum_{m=1}^{2}\frac{\log p}{p^{m/2}}F(m\log p) > 0.5410.$$

Applying Lemma 2.3, we have B > 0.1906, so the class number is bounded above by 13.95. Therefore,

 $h_{145}^+ \leq 13.$ 

Now we can prove the following unconditionally.

PROPOSITION 8.1. The class number of  $\mathbb{Q}(\zeta_{145})^+$  is 2.

*Proof.* Let K be the octic subfield of  $\mathbb{Q}(\zeta_{145})^+$ . Using Sage [10], we can show unconditionally that K has class number 2. We apply Theorems 4.4 and 4.5 to the degree 7 extension  $\mathbb{Q}(\zeta_{145})^+/K$  to find that the 2-part of  $h_{145}^+$  is equal to

 $2 \cdot 8^t$ 

for some nonnegative integer t. Since  $h_{145}^+ \leq 13$ , we have shown that the 2-part of  $h_{145}^+$  is precisely equal to 2.

We can also apply the Rank Theorem to  $\mathbb{Q}(\zeta_{145})^+/K$  to show that neither 3 nor 5 divides  $h_{145}^+$ . Finally, since  $h_{145}^+$  is even and less than or equal to 13, we know that primes greater than or equal to 7 do not divide the class number.

#### 9. The class number of the real cyclotomic field of conductors 212, 256 and 512

As we will see, the class group of the real cyclotomic field of conductor 212 is nontrivial, so it is of course more difficult to find principal prime ideals of small norm. The missing contribution from these primes of small norm must be replaced by a quite large number of primes of greater norm. In fact, so many principal prime ideals are required that it is difficult to establish an unconditional upper bound on the class number. However, if we assume the GRH, it is quite easy to find an upper bound, as we have already seen in Example 1.

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**PROPOSITION 9.1.** Under the assumption of the GRH, the class number of  $\mathbb{Q}(\zeta_{212})^+$  is 5.

*Proof.* Using Odlyzko's discriminant lower bounds, we have already shown in Example 1 that

$$h_{212}^+ \leq 11$$

conditional upon GRH.

Let K be the quartic subfield of  $\mathbb{Q}(\zeta_{212})^+$ . Using Sage [10], we can calculate the class number of K is 5. By the Pushing Up Theorem, 5 divides  $h_{212}^+$ . By the Parity Check Theorem,  $h_{212}^+$  is odd, so we can conclude (conditional on GRH) that  $h_{212}^+ = 5$ .

Finally, the calculation of the class numbers of the real cyclotomic fields of conductors 256 and 512 can be found in the author's earlier paper [4], concluding the proof of Theorem 1.1.

### 10. Concluding remarks

As part of our main result, we unconditionally calculated the class numbers of nine fields of conductor pq, with p and q distinct odd primes. The odd parts of their class numbers were all trivial, which confirms previous results of the thesis of Agathocleous [1].

Also, to reach our main result, we calculated the class numbers of 25 fields of composite conductor larger than 200, most of which had not previously had their class numbers calculated even conditionally on the GRH. Of those fields, only the real cyclotomic field of conductor 212 had a nontrivial class group. So the results match closely with our expectation that these fields should have small class number and be predominantly class number 1.

It is possible use the methods in this paper to unconditionally calculate the class numbers of even higher conductors, but the amount of required calculation of principal prime ideals would grow roughly exponentially with the conductor. This problem can be alleviated by assuming the GRH, requiring us to find far fewer principal prime ideals. However, even under GRH, the 'principal ideal problem' for fields of very large degree or discriminant becomes quite challenging.

It is possible to extend these techniques beyond cyclotomic fields. For example, the author is currently investigating the application of the methods of this paper to certain nonabelian number fields.

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