Proceedings of the Edinburgh Mathematical Society (2013) **56**, 263–301 DOI:10.1017/S0013091512000120

# SPLITTING FUSION SYSTEMS OVER 2-GROUPS

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*Abstract* We find conditions which imply that a saturated fusion system over a product of 2-groups splits as a product of fusion systems over the factors.

Keywords: fusion system; finite groups; automorphisms

2010 Mathematics subject classification: Primary 20D20 Secondary 20C20; 20D40; 20D45

### Introduction

A saturated fusion system  $\mathcal{F}$  over a p-group S is a category whose objects are the subgroups of S, where each morphism in  $\mathcal{F}$  is a monomorphism of groups, and which satisfies certain axioms listed in Definition 1.1. As one of the main motivating examples, let Gbe a finite group, fix  $S \in \text{Syl}_p(G)$ , and let  $\mathcal{F}_S(G)$  be the category whose objects are the subgroups of S and where  $\text{Hom}_{\mathcal{F}_S(G)}(P,Q)$  is the set of homomorphisms induced by conjugation in G. Then  $\mathcal{F}_S(G)$  is a saturated fusion system over S. A saturated fusion system  $\mathcal{F}$  which is not isomorphic to  $\mathcal{F}_S(G)$  for any finite group G is called *exotic*.

In an ongoing project with Kasper Andersen and Joana Ventura, we are attempting, with the help of computer computations, to list all saturated fusion systems over 2-groups of small order, where we try to make 'small' be as large as possible. Since it is clearly impossible to do this explicitly, we want to restrict our attention to some appropriate smaller class of fusion systems. Define a saturated fusion system to be *reduced* if it has no proper normal subsystems of *p*-power index or of index prime to *p* (Definition 1.6) and no non-trivial normal *p*-subgroups (Definition 1.2), and to be *indecomposable* if it is not isomorphic to a product of fusion systems over strictly smaller *p*-groups (Definition 4.1). In [1, Theorems A and C], we showed that exotic fusion systems can be 'detected' in an explicit way on reduced, indecomposable fusion systems over 2-groups of order at most  $2^n$  for some fixed *n*, and show they are all realized by finite groups satisfying a certain 'tameness' condition on their automorphism groups [1, Definition 2.10], then there are no exotic fusion systems over 2-groups of order  $2^n$  or less.

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In earlier work with Ventura [10], and in the ongoing work with her and with Andersen, we derive some fairly strong conditions on a 2-group S which must be satisfied if there are any reduced fusion systems over S. When we use a computer to eliminate those groups of given order which do not satisfy these conditions, we are left with the groups which are known to be Sylow 2-subgroups of simple groups, a few other indecomposable groups and a long list of groups which split into products of smaller groups also satisfying the conditions. This is what motivated us to study reduced fusion systems over products of (non-trivial) 2-groups and look for conditions that guarantee that any such fusion system factors as a product.

Our main results are the following two theorems. As usual, for a p-group Q,  $\Omega_1(Q)$  is the subgroup generated by all elements of order p.

**Theorem A.** Fix 2-groups  $S_1$  and  $S_2$ , and set  $S = S_1 \times S_2$ . Assume, for i = 1, 2, that

- (a)  $S_i$  is non-trivial and indecomposable, and  $\Omega_1(Z(S_i)) \leq [S_i, S_i]$  and
- (b)  $S_{3-i}$  contains no subgroup isomorphic to  $S_i \times S_i$ .

Then, for every saturated fusion system  $\mathcal{F}$  over S which has no proper normal subsystems of 2-power index or of index prime to 2,  $\mathcal{F} \cong \mathcal{F}_1 \times \mathcal{F}_2$  for some pair of saturated fusion systems  $\mathcal{F}_i$  over  $S_i$ .

The isomorphism  $\mathcal{F} \cong \mathcal{F}_1 \times \mathcal{F}_2$  in the above theorem is induced by an isomorphism  $S \cong S_1 \times S_2$ , but that isomorphism need *not* send  $S_i$  to itself. Condition (a) in Theorem A holds whenever  $S_i$  is non-abelian and  $Z(S_i)$  is cyclic. But it also holds for many 2-groups whose centre is not cyclic; for example, for the groups  $\mathrm{UT}_3(\mathbb{F}_{2^n})$  (strictly upper triangular matrices) when  $n \ge 2$ .

The second theorem puts stronger conditions on  $S_1$ , while weakening those on  $S_2$ . Let  $D_{2^n}$  and  $SD_{2^n}$  denote the dihedral and semi-dihedral groups, respectively, of order  $2^n$ .

**Theorem B.** Fix 2-groups  $S_1$  and  $S_2$ , and set  $S = S_1 \times S_2$ . Assume

- (a)  $S_1 \cong D_{2^n}$   $(n \ge 3)$ ,  $SD_{2^n}$   $(n \ge 4)$ , or  $C_{2^n} \wr C_2$   $(n \ge 2)$  and
- (b)  $S_2$  contains no proper subgroup isomorphic to  $S_1 \times S_1$ .

Then, for every saturated fusion system  $\mathcal{F}$  over S which has no proper normal subsystems of 2-power index or of index prime to 2,  $\mathcal{F} \cong \mathcal{F}_1 \times \mathcal{F}_2$  for some pair of saturated fusion systems  $\mathcal{F}_i$  over  $S_i$ .

Theorems A and B are shown as Corollary 5.3 and Theorem 6.2, respectively. They are both consequences of Proposition 4.4, which is a more general (and more technical) splitting result. Theorem B also holds (with only minor modifications to the proof) when  $S_1$  is a quaternion 2-group. However, in that case, it is very easy to see directly that  $Z(S_1)$  is normal in any fusion system satisfying the hypotheses of the theorem, and hence that no such fusion system can be reduced.

Examples are given in § 7 to show why certain conditions in the above theorems are needed. For example, set p = 2, let G be the subgroup of index 2 in  $\Sigma_6 \times \text{PGL}_2(9)$  which contains neither factor, and let  $\mathcal{F}$  be the fusion system of G (over the Sylow subgroup isomorphic to  $D_8 \times D_{16}$ ). Then  $\mathcal{F}$  satisfies all of the hypotheses in Theorems A and B except the condition that the  $\mathcal{F}$  have no normal subsystems of 2-power index, but does not factor as a product of smaller fusion systems.

The example of the fusion system of  $A_{14}$  over the 2-group  $D_8 \times (D_8 \wr C_2)$  helps to show why the condition  $S_2 \not\geq S_1 \times S_1$  is needed in Theorems A and B (and more examples are given in § 7). However, the following theorem (proven as Theorem 6.3) shows some cases where we can avoid this problem. Note that the factors in the following statement can be, but do not have to be, isomorphic to each other.

**Theorem C.** Assume  $S = S_1 \times S_2 \times \cdots \times S_m$ , where each factor  $S_i$  is isomorphic to one of the groups  $D_{2^n}$   $(n \ge 3)$ ,  $SD_{2^n}$   $(n \ge 4)$  or  $C_{2^n} \wr C_2$   $(n \ge 2)$ . Let  $\mathcal{F}$  be a saturated fusion system over S which has no proper normal subsystems of 2-power index or of index prime to 2. Then  $\mathcal{F}$  is isomorphic to a product of saturated fusion systems over the  $S_i$ .

Section 1 contains the background material which is needed on fusion systems. Sections 2 and 3 contain technical results about actions and representations of groups, and automorphisms of p-groups, respectively. A general proposition about splitting fusion systems is stated and proven in §4, Theorems A, B, and C are proved in §§5 and 6, and some examples are given in §7.

### 1. Background results about fusion systems

A fusion system over a finite p-group S is a category  $\mathcal{F}$ , where  $Ob(\mathcal{F})$  is the set of all subgroups of S, and where, for all  $P, Q \leq S$ ,

$$\operatorname{Hom}_{S}(P,Q) \subseteq \operatorname{Hom}_{\mathcal{F}}(P,Q) \subseteq \operatorname{Inj}(P,Q),$$

and each  $\varphi \in \operatorname{Hom}_{\mathcal{F}}(P, Q)$  is the composite of an  $\mathcal{F}$ -isomorphism followed by an inclusion. Here,  $\operatorname{Inj}(P, Q)$  denotes the set of injective homomorphisms from P to Q.

If  $\mathcal{F}$  is a fusion system over S, we say two subgroups  $P, Q \leq S$  are  $\mathcal{F}$ -conjugate if they are isomorphic as objects of the category  $\mathcal{F}$ . Two elements  $g, h \in S$  are  $\mathcal{F}$ -conjugate if there is  $\varphi \in \operatorname{Iso}_{\mathcal{F}}(\langle g \rangle, \langle h \rangle)$  such that  $\varphi(g) = h$ . For  $P \leq S$  and  $g \in S$ , we write

$$P^{\mathcal{F}} = \{Q \leqslant S \mid Q \text{ is } \mathcal{F}\text{-conjugate to } P\} \text{ and } g^{\mathcal{F}} = \{h \in S \mid h \text{ is } \mathcal{F}\text{-conjugate to } g\}.$$

**Definition 1.1 (Puig [11]; Broto** *et al.* [6, Definition 1.2]). Let  $\mathcal{F}$  be a fusion system over a finite *p*-group *S*.

- (i) A subgroup  $P \leq S$  is fully centralized in  $\mathcal{F}$  if  $|C_S(P)| \geq |C_S(Q)|$  for each  $Q \in P^{\mathcal{F}}$ .
- (ii) A subgroup  $P \leq S$  is fully normalized in  $\mathcal{F}$  if  $|N_S(P)| \geq |N_S(Q)|$  for each  $Q \in P^{\mathcal{F}}$ .

(iii)  $\mathcal{F}$  is a saturated fusion system if the following two conditions hold.

- (I) (*The Sylow axiom.*) If  $P \leq S$  is fully normalized in  $\mathcal{F}$ , then P is fully centralized in  $\mathcal{F}$ , and  $\operatorname{Aut}_{S}(P) \in \operatorname{Syl}_{p}(\operatorname{Aut}_{\mathcal{F}}(P))$ .
- (II) (*The extension axiom.*) For each  $P, Q \leq S$  such that Q is fully centralized in  $\mathcal{F}$ , and each  $\varphi \in \operatorname{Iso}_{\mathcal{F}}(P, Q)$ , if we set

$$N_{\varphi} = \{ g \in N_S(P) \mid \varphi c_g \varphi^{-1} \in \operatorname{Aut}_S(Q) \},\$$

then there exists  $\bar{\varphi} \in \operatorname{Hom}_{\mathcal{F}}(N_{\varphi}, S)$  such that  $\bar{\varphi}|_{P} = \varphi$ .

The above definition of a saturated fusion system is equivalent to Puig's original definition [11, Definition 2.9] of a 'Frobenius category' (see [3, § I.9] for a proof of the equivalence). If G is a finite group,  $S \in \text{Syl}_p(G)$ , and  $\mathcal{F}_S(G)$  is the fusion system defined in the introduction, then a subgroup  $P \leq S$  is fully normalized (fully centralized) in  $\mathcal{F}_S(G)$  exactly when  $N_S(P) \in \text{Syl}_p(N_G(P))$  ( $C_S(P) \in \text{Syl}_p(C_G(P))$ ). For a proof that  $\mathcal{F}_S(G)$  is a saturated fusion system, see, for example, [6, Proposition 1.3].

We next recall some of the other definitions associated with a fusion system.

**Definition 1.2.** Fix a prime p, a p-group S, and a fusion system  $\mathcal{F}$  over S. Let  $P \leq S$  be any subgroup.

- (i) P is  $\mathcal{F}$ -centric if  $C_S(Q) = Z(Q)$  for all  $Q \in P^{\mathcal{F}}$ .
- (ii) P is strongly closed in  $\mathcal{F}$  if for each  $g \in P$ ,  $g^{\mathcal{F}} \subseteq P$ . Equivalently, for each  $Q \leq P$ and each  $\varphi \in \operatorname{Hom}_{\mathcal{F}}(Q, S), \varphi(Q) \leq P$ .
- (iii) P is normal in  $\mathcal{F}$   $(P \leq \mathcal{F})$  if every morphism  $\varphi \in \operatorname{Hom}_{\mathcal{F}}(Q, R)$  in  $\mathcal{F}$  extends to a morphism  $\bar{\varphi} \in \operatorname{Hom}_{\mathcal{F}}(PQ, PR)$  such that  $\bar{\varphi}(P) = P$ .
- (iv)  $\mathfrak{foc}(\mathcal{F}) = \langle x^{-1}y \mid x, y \in S, y \in x^{\mathcal{F}} \rangle.$
- (v)  $\mathfrak{hyp}(\mathcal{F}) = \langle x^{-1}\alpha(x) \mid x \in P \leq S, \ \alpha \in \operatorname{Aut}_{\mathcal{F}}(P)$  has order prime to  $p \rangle$ .
- (vi) Let  $\mathcal{H}$  be a set of subgroups of S closed under  $\mathcal{F}$ -conjugacy. The fusion system  $\mathcal{F}$  is  $\mathcal{H}$ -saturated if all subgroups in  $\mathcal{H}$  satisfy axioms (I) and (II) in Definition 1.1. Also,  $\mathcal{F}$  is  $\mathcal{H}$ -generated if each morphism in  $\mathcal{F}$  is a composite of restrictions of  $\mathcal{F}$ -morphisms between subgroups in  $\mathcal{H}$ .

The following theorem will be needed several times in the later sections, when showing that certain fusion systems are saturated.

**Theorem 1.3 (see [4, Theorem A]).** Fix a fusion system  $\mathcal{F}$  over a p-group S, and a set  $\mathcal{H}$  of subgroups of S closed under  $\mathcal{F}$ -conjugacy such that  $\mathcal{F}$  is  $\mathcal{H}$ -saturated and  $\mathcal{H}$ -generated. Assume, for each  $P \leq S$  which is  $\mathcal{F}$ -centric and not in  $\mathcal{H}$ , that there is some  $Q \in P^{\mathcal{F}}$  such that  $\operatorname{Out}_{S}(Q) \cap O_{p}(\operatorname{Out}_{\mathcal{F}}(Q)) \neq 1$ . Then  $\mathcal{F}$  is a saturated fusion system.

We also need to work with fusion subsystems, and weakly normal fusion subsystems, of a saturated fusion system. When  $\mathcal{F}$  is a fusion system over S and  $S_0 \leq S$ ,  $\mathcal{F}|_{S_0}$  denotes the full subcategory whose objects are the subgroups of  $S_0$  (a fusion system over  $S_0$ ).

**Definition 1.4.** Fix a prime p, and a fusion system  $\mathcal{F}$  over a p-group S.

- (i) A (saturated) fusion subsystem of  $\mathcal{F}$  is a subcategory  $\mathcal{F}_0 \subseteq \mathcal{F}$  which is itself a (saturated) fusion system over a subgroup  $S_0 \leq S$ .
- (ii) For any  $\alpha \in \operatorname{Aut}(S)$ ,  ${}^{\alpha}\mathcal{F}$  denotes the fusion system over S defined by

$$\operatorname{Hom}_{\alpha \mathcal{F}}(P,Q) = \{ \alpha \varphi \alpha^{-1} \mid \varphi \in \operatorname{Hom}_{\mathcal{F}}(\alpha^{-1}(P), \alpha^{-1}(Q)) \}$$

for all  $P, Q \leq S$ .

- (iii) If  $\mathcal{E}$  is another fusion system over S, then  $\mathcal{E} \cong \mathcal{F}$  if  $\mathcal{E} = {}^{\alpha}\mathcal{F}$  for some  $\alpha \in \operatorname{Aut}(S)$ .
- (iv) If  $S_0 \leq S$ , and  $X_1, \ldots, X_m$  are subcategories and/or sets of morphisms in  $\mathcal{F}|_{S_0}$ , then  $\langle X_1, \ldots, X_m \rangle$  denotes the smallest fusion subsystem over  $S_0$  in  $\mathcal{F}$  (not necessarily saturated) which contains the  $X_i$ . Thus,  $\langle X_1, \ldots, X_m \rangle$  is a subcategory of  $\mathcal{F}$  whose objects are the subgroups of  $S_0$ , and which contains those morphisms which are composites of restrictions of inner automorphisms of  $S_0$ , and restrictions of morphisms in the  $X_i$  and their inverses.
- (v) A fusion subsystem  $\mathcal{F}_0 \subseteq \mathcal{F}$  over  $S_0 \trianglelefteq S$  is  $\mathcal{F}$ -invariant if  $S_0$  is strongly closed in  $\mathcal{F}, \, {}^{\alpha}\mathcal{F}_0 = \mathcal{F}_0$  for each  $\alpha \in \operatorname{Aut}_{\mathcal{F}}(S_0)$ , and  $\mathcal{F}|_{S_0} = \langle \operatorname{Aut}_{\mathcal{F}}(S_0), \mathcal{F}_0 \rangle$ .
- (vi) A fusion subsystem  $\mathcal{F}_0 \subseteq \mathcal{F}$  over  $S_0 \leq S$  is *weakly normal* in  $\mathcal{F}$  ( $\mathcal{F}_0 \leq \mathcal{F}$ ) if  $\mathcal{F}_0$  and  $\mathcal{F}$  are both saturated and  $\mathcal{F}_0$  is  $\mathcal{F}$ -invariant.

Thus, an ' $\mathcal{F}$ -invariant' fusion subsystem is one which satisfies all conditions for being weakly normal in  $\mathcal{F}$  except for being saturated. This is equivalent to what some authors define as a 'normal' fusion subsystem, but for the sake of consistency with the terminology in [3], we limit '(weakly) normal' fusion systems to those which are saturated. (See [3, §I.6] for a definition of normal fusion subsystems.) Our main reason for defining  $\mathcal{F}$ -invariant subsystems here is so that we can apply the following lemma in situations where we do not (yet) know that the fusion subsystem is saturated.

**Lemma 1.5.** Let  $\mathcal{F}$  be a saturated fusion system over the finite *p*-group *S*, and let  $\mathcal{F}_0 \subseteq \mathcal{F}$  be an  $\mathcal{F}$ -invariant fusion subsystem over *S*. Then, for any  $P \leq S$ , *P* is fully normalized in  $\mathcal{F}_0$  (fully centralized in  $\mathcal{F}_0$ ,  $\mathcal{F}_0$ -centric) if and only if *P* is fully normalized in  $\mathcal{F}$  (fully centralized in  $\mathcal{F}$ ,  $\mathcal{F}$ -centric).

**Proof.** Assume  $P, Q \leq S_0$  are  $\mathcal{F}$ -conjugate. Since  $\mathcal{F}|_{S_0} = \langle \mathcal{F}_0, \operatorname{Aut}_{\mathcal{F}}(S_0) \rangle$ , any  $\varphi \in \operatorname{Iso}_{\mathcal{F}}(P,Q)$  is a composite of morphisms in  $\mathcal{F}_0$  and restrictions of  $\mathcal{F}$ -automorphisms of  $S_0$ . Since  ${}^{\psi}\mathcal{F}_0 = \mathcal{F}_0$  for all  $\psi \in \operatorname{Aut}_{\mathcal{F}}(S_0)$ , these morphisms can be rearranged so that the morphisms in  $\mathcal{F}_0$  all come first, followed by a composite of restrictions of morphisms in  $\operatorname{Aut}_{\mathcal{F}}(S_0)$ . Thus, there exists  $\alpha \in \operatorname{Aut}_{\mathcal{F}}(S_0)$  such that  $\alpha(Q) \in P^{\mathcal{F}_0}$ .

Since  $P^{\mathcal{F}} \supseteq P^{\mathcal{F}_0}$ , P is fully normalized in  $\mathcal{F}_0$  if it is fully normalized in  $\mathcal{F}$ . Conversely, assume P is fully normalized in  $\mathcal{F}_0$ , let  $Q \in P^{\mathcal{F}}$  be such that Q is fully normalized in  $\mathcal{F}$  and choose  $\alpha \in \operatorname{Aut}_{\mathcal{F}}(S)$  such that  $\alpha(Q) \in P^{\mathcal{F}_0}$ . Then

$$|N_S(Q)| \ge |N_S(P)| \ge |N_S(\alpha(Q))| = |\alpha(N_S(Q))|,$$

so these are all equal and P is also fully normalized in  $\mathcal{F}$ .

The argument for fully centralized subgroups is similar. The centric case follows since P is  $\mathcal{F}$ -centric if and only if it is fully centralized in  $\mathcal{F}$  and contains  $C_S(P)$ .

The next definitions and results are taken from [5]. As usual, when G is a finite group,  $O^p(G)$  and  $O^{p'}(G)$  denote the smallest normal subgroups of p-power index and of index prime to p, respectively.

**Definition 1.6.** Let  $\mathcal{F}_0 \subseteq \mathcal{F}$  be saturated fusion systems over *p*-groups  $S_0 \leq S$ .

- (a)  $\mathcal{F}_0$  is of *p*-power index in  $\mathcal{F}$  if  $S_0 \ge \mathfrak{hyp}(\mathcal{F})$ , and  $\operatorname{Aut}_{\mathcal{F}_0}(P) \ge O^p(\operatorname{Aut}_{\mathcal{F}}(P))$  for all  $P \le S_0$ .
- (b)  $\mathcal{F}_0$  is of *index prime to* p in  $\mathcal{F}$  if  $S_0 = S$ , and  $\operatorname{Aut}_{\mathcal{F}_0}(P) \ge O^{p'}(\operatorname{Aut}_{\mathcal{F}}(P))$  for all subgroups  $P \le S$ .

Note that, despite the terminology, a fusion subsystem of *p*-power index (index prime to *p*) is analogous to a subgroup of a finite group *G* which contains a *normal* subgroup of *p*-power index (index prime to *p*); i.e. a subgroup which contains  $O^p(G)$  ( $O^{p'}(G)$ ). However, there are many examples of groups *G* with  $S \in \text{Syl}_p(G)$ , where  $O^{p'}(G) = G$  but  $\mathcal{F}_S(G)$  does have proper subsystems of index prime to *p* (for example, take p = 2 and  $G = A_5$ ).

**Theorem 1.7.** Let  $\mathcal{F}$  be a saturated fusion system over a finite *p*-group *S*.

- (a) There is a unique saturated fusion subsystem O<sup>p</sup>(F) over hyp(F) of p-power index in F, O<sup>p</sup>(F) is contained in all other saturated fusions subsystems of p-power index in F, and O<sup>p</sup>(F) ≤ F. Also, O<sup>p</sup>(F) = F if and only if foc(F) = S.
- (b) There is a unique minimal saturated fusion subsystem  $O^{p'}(\mathcal{F})$  over S of index prime to p in  $\mathcal{F}$ , and  $O^{p'}(\mathcal{F}) \leq \mathcal{F}$ . If  $\mathcal{F}_0 \leq \mathcal{F}$  is any weakly normal saturated fusion subsystem over S, then  $\mathcal{F}_0 \supseteq O^{p'}(\mathcal{F})$ .

**Proof.** The first statement in (a) is shown in [5, Theorem 4.3], except the weak normality of  $O^p(\mathcal{F})$ , which is shown in [1, Proposition 1.16(a)]. From this, it follows immediately that  $O^p(\mathcal{F}) = \mathcal{F}$  if and only if  $\mathfrak{hyp}(\mathcal{F}) = S$ . To see that this is equivalent to the condition  $\mathfrak{foc}(\mathcal{F}) = S$ , see, for example, [1, Theorem 1.13(a)].

The first statement in (b) is shown in [5, Theorem 5.4], the weak normality of  $O^{p'}(\mathcal{F})$  in [1, Proposition 1.16(b)], and the last statement is shown in [1, Lemma 1.17].

We now look at essential subgroups of a fusion system, beginning with the following definition.

**Definition 1.8.** Fix a prime *p*.

- (a) A subgroup H of a finite group G is strongly *p*-embedded if H < G, p||H|, and for all  $g \in G \setminus H$ ,  $p \nmid |H \cap gHg^{-1}|$ .
- (b) If  $\mathcal{F}$  is a fusion system over a *p*-group *S*, then a subgroup  $P \leq S$  is  $\mathcal{F}$ -essential if *P* is  $\mathcal{F}$ -centric and fully normalized in  $\mathcal{F}$ , and  $\operatorname{Out}_{\mathcal{F}}(P)$  contains a strongly *p*-embedded subgroup.

The following lemma lists some of the well-known properties of strongly p-embedded subgroups.

**Lemma 1.9.** Fix a finite group G and a prime p. For each p-subgroup  $P \leq G$ , set  $\Gamma_{P,1}(G) = \langle N_G(Q) | 1 \neq Q \leq P \rangle \leq H$ . Then the following hold.

- (a) Each strongly p-embedded subgroup H < G contains at least one Sylow p-subgroup of G.
- (b) For each  $S \in Syl_p(G)$ , either
  - $\Gamma_{S,1}(G) = G$  and G contains no strongly p-embedded subgroups, or
  - $\Gamma_{S,1}(G) < G$ ,  $\Gamma_{S,1}(G)$  is strongly *p*-embedded, and each strongly *p*-embedded subgroup of *G* that contains *S* also contains  $\Gamma_{S,1}(G)$ .
- (c) If G contains a strongly p-embedded subgroup, then  $O_p(G) = 1$ .

**Proof.** For any H < G with p||H|, H is strongly p-embedded in G if and only if  $\Gamma_{P,1}(G) \leq H$  for some (all)  $P \in \operatorname{Syl}_p(H)$ . This is shown, for example, in [2, (46.4)] or [9, Proposition 17.11]. In particular, if H is strongly p-embedded and  $P \in \operatorname{Syl}_p(H)$ , then  $N_G(P) \leq H$ , so  $p \nmid [N_G(P) : P]$ , which implies  $P \in \operatorname{Syl}_p(G)$ . This proves (a) and (b). Finally, if  $O_p(G) \neq 1$ , then  $\Gamma_{S,1}(G) \geq N_G(O_p(G)) = G$  for each  $S \in \operatorname{Syl}_p(G)$ , so G has no strongly p-embedded subgroup by (b).

The properties of essential subgroups which we will need are listed in the following proposition.

**Proposition 1.10.** The following hold for any saturated fusion system  $\mathcal{F}$  over a p-group S.

- (a)  $\mathcal{F} = \langle \operatorname{Aut}_{\mathcal{F}}(P) \mid P = S \text{ or } P \text{ is } \mathcal{F}\text{-essential} \rangle.$
- (b) Let  $\mathcal{H}$  be any set of  $\mathcal{F}$ -essential subgroups with the property that if P, Q are  $\mathcal{F}$ -essential,  $P \in \mathcal{H}$ , and P is  $\mathcal{F}$ -conjugate to a subgroup of Q, then  $Q \in \mathcal{H}$ . Then

$$\langle \operatorname{Aut}_{\mathcal{F}}(S), \operatorname{Aut}_{\mathcal{F}}(P) \mid P \in \mathcal{H} \rangle = \langle \operatorname{Aut}_{\mathcal{F}}(S), O^{p'}(\operatorname{Aut}_{\mathcal{F}}(P)) \mid P \in \mathcal{H} \rangle.$$

- (c) Assume P < S is  $\mathcal{F}$ -centric and fully normalized in  $\mathcal{F}$ . Let  $H \leq \operatorname{Aut}_{\mathcal{F}}(P)$  be the subgroup generated by those automorphisms which extend to morphisms in  $\mathcal{F}$ between strictly larger subgroups of S. Then either  $H < \operatorname{Aut}_{\mathcal{F}}(P)$ ,  $H/\operatorname{Inn}(P)$  is strongly p-embedded in  $\operatorname{Out}_{\mathcal{F}}(P)$ , and P is  $\mathcal{F}$ -essential; or  $H = \operatorname{Aut}_{\mathcal{F}}(P)$  and P is not  $\mathcal{F}$ -essential.
- (d) If  $P \leq S$  is such that  $[N_S(P), P] \leq Fr(P)$ , then P is not  $\mathcal{F}$ -essential.

**Proof.** Point (a) is shown, for example, in [10, Corollary 2.6] and point (d) in [10, Proposition 3.2 and Lemma 3.4].

Point (c) is mostly shown in [10, Proposition 2.5]. Fix P < S which is  $\mathcal{F}$ -centric and fully normalized in  $\mathcal{F}$ , and let  $H \leq \operatorname{Aut}_{\mathcal{F}}(P)$  be defined as in (c). By (a) and the extension axiom for a saturated fusion system, H is generated by the subgroups  $N_{\operatorname{Aut}_{\mathcal{F}}(P)}(\operatorname{Aut}_Q(P))$  for all  $P < Q \leq N_S(P)$ . Thus,

$$H/\operatorname{Inn}(P) = \langle N_{\operatorname{Out}_{\mathcal{F}}(P)}(\operatorname{Out}_Q(P)) \mid P < Q \leqslant N_S(P) \rangle$$
$$= \langle N_{\operatorname{Out}_{\mathcal{F}}(P)}(R) \mid 1 \neq R \leqslant \operatorname{Out}_S(P) \rangle.$$

Since P is fully centralized,  $\operatorname{Out}_{\mathcal{S}}(P) \in \operatorname{Syl}_p(\operatorname{Out}_{\mathcal{F}}(P))$ . Thus, by Lemma 1.9(b), either  $H/\operatorname{Inn}(P) < \operatorname{Out}_{\mathcal{F}}(P)$  is strongly p-embedded and P is  $\mathcal{F}$ -essential, or  $H = \operatorname{Aut}_{\mathcal{F}}(P)$  and P is not  $\mathcal{F}$ -essential. This proves (c).

It remains to prove (b). For each  $P \in \mathcal{H}$  and  $\alpha \in \operatorname{Aut}_{\mathcal{F}}(P)$ ,  $\operatorname{Aut}_{S}(P)$  and  $\alpha \operatorname{Aut}_{S}(P)\alpha^{-1}$  are both Sylow *p*-subgroups of  $O^{p'}(\operatorname{Aut}_{\mathcal{F}}(P))$ , and hence there is  $\beta \in O^{p'}(\operatorname{Aut}_{\mathcal{F}}(P))$  such that  $\beta \alpha \in N_{\operatorname{Aut}_{\mathcal{F}}(P)}(\operatorname{Aut}_{S}(P))$ . In other words,

$$\operatorname{Aut}_{\mathcal{F}}(P) = O^{p'}(\operatorname{Aut}_{\mathcal{F}}(P)) \cdot N_{\operatorname{Aut}_{\mathcal{F}}(P)}(\operatorname{Aut}_{S}(P))$$

by a Frattini argument. By the extension axiom, each automorphism in the normalizer extends to an element of  $\operatorname{Aut}_{\mathcal{F}}(N_S(P))$ , and by (a), this is a composite of restrictions of  $\mathcal{F}$ -automorphisms of S and of strictly larger  $\mathcal{F}$ -essential subgroups, all of which are in  $\mathcal{H}$  by the hypotheses. So, by downwards induction on |P|, we see that each  $\operatorname{Aut}_{\mathcal{F}}(P)$  for  $P \in \mathcal{H}$  is in the fusion subsystem generated by  $\operatorname{Aut}_{\mathcal{F}}(S)$  and the groups  $O^{p'}(\operatorname{Aut}_{\mathcal{F}}(Q))$ for  $Q \in \mathcal{H}$ .

We will also need the following lemma about essential subgroups for a fusion system over a product of p-groups.

**Lemma 1.11.** Let  $S_1, S_2$  be a pair of *p*-groups, and set  $S = S_1 \times S_2$ . Then the following hold for each  $P \leq S$ .

- (a) If  $P < P_1P_2$ , where  $P_i \leq S_i$  is the image of P under projection, then there exists  $g \in N_S(P) \setminus P$  such that  $c_g \in O_p(\operatorname{Aut}(P))$ . In particular, P cannot be  $\mathcal{F}$ -essential for any saturated fusion system  $\mathcal{F}$  over S.
- (b) If p = 2, and P is  $\mathcal{F}$ -essential for some saturated fusion system  $\mathcal{F}$  over S, then  $P \ge S_1$  or  $P \ge S_2$ .

**Proof.** The first statement in (a) is shown in [1, Lemma 3.1]. Hence, if P is  $\mathcal{F}$ -centric and  $P < P_1P_2$ , then  $O_p(\operatorname{Out}_{\mathcal{F}}(P)) \neq 1$ . Thus,  $\operatorname{Out}_{\mathcal{F}}(P)$  does not contain a strongly p-embedded subgroup by Lemma 1.9(c). So no such P can be  $\mathcal{F}$ -essential, and this finishes the proof of (a).

Now assume p = 2, and  $P = P_1 P_2$  is  $\mathcal{F}$ -essential  $(P_i \leq S_i)$ . Then  $O_2(\operatorname{Out}_{\mathcal{F}}(P)) = 1$ , and P is  $\mathcal{F}$ -centric. Assume also that  $P_1 < S_1$  and  $P_2 < S_2$ . Choose elements  $x_i \in N_{S_i}(P_i) \setminus P_i$  such that  $c_{x_i}$  has order 2 in  $\operatorname{Out}_{S_i}(P_i) \cong N_{S_i}(P_i)/P_i$ . Then

$$\operatorname{rank}([x_1x_2, P/\operatorname{Fr}(P)]) = \operatorname{rank}([x_1, P/\operatorname{Fr}(P)]) + \operatorname{rank}([x_2, P/\operatorname{Fr}(P)]).$$

Since  $O_2(\operatorname{Out}_{\mathcal{F}}(P)) = 1$ ,  $\operatorname{Out}_{\mathcal{F}}(P)$  acts faithfully on  $P/\operatorname{Fr}(P)$  by Lemma 2.1, and hence  $\operatorname{rank}([x_i, P/\operatorname{Fr}(P)]) > 0$  for i = 1, 2. So  $[c_{x_1x_2}] \in \operatorname{Out}_S(P)$  is not  $\operatorname{Out}_{\mathcal{F}}(P)$ -conjugate to  $[c_{x_1}]$  or  $[c_{x_2}]$ . If  $\operatorname{Out}_{\mathcal{F}}(P)$  did contain a strongly 2-embedded subgroup, then all of its involutions would be conjugate (see, for example, [13, (6.4.4 (i))]), and this is not the case. Thus, P is not  $\mathcal{F}$ -essential, and this proves (b).

The following transfer homomorphism for abstract fusion systems will be needed.

**Proposition 1.12.** Fix a p-group S and a saturated fusion system  $\mathcal{F}$  over S. Then there is an injective homomorphism

$$\operatorname{trf}_{\mathcal{F}} \colon S/\mathfrak{foc}(S) \to S^{\operatorname{ab}} := S/[S,S]$$

which has the following form. There are proper subgroups  $P_1, \ldots, P_m < S$ , and morphisms  $\varphi_i \in \operatorname{Hom}_{\mathcal{F}}(P_i, S)$   $(i = 1, \ldots, m)$ , such that, for  $g \in S$ ,

$$\operatorname{trf}_{\mathcal{F}}([g]) = \prod_{[\alpha] \in \operatorname{Out}_{\mathcal{F}}(S)} [\alpha(g)] \cdot \prod_{i=1}^{m} \varphi_{i*}(\operatorname{trf}_{P_i}^S([g])).$$

Here, [g] denotes the class of g in  $S/\mathfrak{foc}(\mathcal{F})$  or in  $S^{ab} = S/[S,S]$ ,  $\operatorname{trf}_{P_i}^S$  is the transfer homomorphism in  $(\cdot)^{ab} = H_1(\cdot)$ , and the terms on the right are regarded as lying in the abelianization of S. If  $g \in \Omega_1(Z(S))$ , then

$$\operatorname{trf}_{\mathcal{F}}([g]) = \prod_{[\alpha] \in \operatorname{Out}_{\mathcal{F}}(S)} [\alpha(g)].$$

If, in addition, g is  $\operatorname{Out}_{\mathcal{F}}(S)$ -invariant, then  $\operatorname{trf}_{\mathcal{F}}([g]) = [g]^k$ , where  $k = |\operatorname{Out}_{\mathcal{F}}(S)|$  is prime to p.

**Proof.** See  $[3, \S I.8]$ .

### 2. Lemmas on groups and groups acting on groups

We collect here the results on finite groups and their actions on other finite groups that will be needed later. Throughout the section, I(G) denotes the set of involutions in a group G. Note that our commutators are always of the form  $[a, b] = aba^{-1}b^{-1}$ .

The first four results are well known and listed for future reference.

**Lemma 2.1.** Fix a prime p, a p-group P, a subgroup  $P_0 \leq Fr(P)$  and a sequence of subgroups

$$P_0 < P_1 < \cdots < P_k = P,$$

all normal in P. Set

$$\mathcal{A} = \{ \alpha \in \operatorname{Aut}(P) \mid \alpha(x)x^{-1} \in P_{i-1}, \text{ for all } x \in P_i, \text{ for all } i = 1, \dots, k \} \leqslant \operatorname{Aut}(P),$$

the group of automorphisms that leave each  $P_i$  invariant and that induce the identity on each quotient group  $P_i/P_{i-1}$ . Then  $\mathcal{A}$  is a p-group. If the  $P_i$  are all characteristic in P, then  $\mathcal{A} \leq \operatorname{Aut}(P)$ , and hence  $\mathcal{A} \leq O_p(\operatorname{Aut}(P))$ .

**Proof.** See, for example, [8, Theorems 5.1.4 and 5.3.2].

**Lemma 2.2.** If G is a finite group of order 2n, where n is odd, then G contains a normal subgroup of order n and index 2.

**Proof.** This is a special case of Burnside's normal *p*-complement theorem (see, for example, [8, Theorem 7.4.3]). The following, more elementary, proof was shown to us by Dave Benson. Consider the action of G on itself by left translation. Elements of even order act via odd permutations, and elements of odd order via even permutations. Hence, the elements of odd order form a subgroup of index 2.

**Proposition 2.3.** Fix an abelian 2-group A, and a subgroup  $G \leq \operatorname{Aut}(A)$  of order 2n for n odd. Assume, for some  $x \in I(G)$ , that  $[x, G] \neq 1$ , and that  $[x, A] \cong C_{2^m}$  for some  $m \geq 1$ . Set  $G_1 = \langle I(G) \rangle$  and  $G_2 = C_G(G_1)$ . Then  $G_1 \cong \Sigma_3$ ,  $|G_2|$  is odd and  $G = G_1 \times G_2$ . Also, there is a unique decomposition  $A = A_1 \times A_2$  such that the G-action on A splits as a product of  $G_i$ -actions on  $A_i$ , and such that  $A_1 \cong C_{2^m} \times C_{2^m}$ .

**Proof.** If there is any decomposition of A as described above, then  $A_1 = [G_1, A]$  and  $A_0 = C_A(G_1)$ . So there is at most one such decomposition.

Set  $H_1 = O^2(G_1)$ , so  $|H_1|$  is odd and  $[G_1 : H_1] = 2$  by Lemma 2.2. Also,  $H_1 \neq 1$  by the assumption that  $[x, G] \neq 1$ . Set  $A_0 = C_A(H_1)$  and  $A_1 = [H_1, A]$ , so that  $A = A_0 \times A_1$  (see, for example,  $[\mathbf{2}, (24.6)]$ ). Since  $H_1 \leq G$ , the action of G sends each  $A_i$  to itself. Hence,  $[x, A] = [x, A_0] \times [x, A_1]$ , and one of the two factors must vanish since [x, A] is cyclic. If  $[x, A_i] = 1$ , then the normal closure  $G_1$  of  $\langle x \rangle$  in G acts trivially on  $A_i$ . Since  $H_1 \neq 1$  acts non-trivially on  $A_1$ , it follows that  $G_1$  acts trivially on  $A_0$ .

Let  $\{x_1, \ldots, x_k\} \subseteq I(G)$  be a minimal subset which generates  $G_1 = \langle I(G) \rangle$ . Then

$$\operatorname{rank}([G_1, A]) \leqslant \sum_{i=1}^k \operatorname{rank}([x_i, A]) = k.$$

In particular, if k = 2, then  $G_1 \cong \operatorname{GL}_2(2) \cong \Sigma_3$ . If  $k \ge 3$ , then set  $K_1 = \langle x_1, x_2, x_3 \rangle$ , so that  $\operatorname{rank}([K_1, A]) \le 3$  and  $K_1$  is isomorphic to a subgroup of  $\operatorname{GL}_3(2)$ . Then  $|K_1||42$ (since  $|\operatorname{GL}_3(2)| = 168$  and  $4 \nmid |K|$ ). If  $|K_1| \ne 6$ , then it contains a normal subgroup of order 7 by Lemma 2.2; and this is impossible since the normalizer of a Sylow 7-subgroup

in GL<sub>3</sub>(2) has order 21. Thus,  $K_1 \cong \Sigma_3$ , contradicting the assumption that  $\{x_i\}$  was a minimal generating set. We conclude that k = 2,  $G_1 \cong \Sigma_3$  and  $\operatorname{rank}([G_1, A]) = 2$ .

Now,  $G_0 = C_G(G_1)$  has index at most 6 (since G permutes the three elements in I(G)),  $G_0 \cap G_1 = 1$  and thus  $G = G_0 \times G_1$ . We have already seen that rank $(A_1) = 2$ . Since  $H_1 \cong C_3$  acts non-trivially on  $A_1$ ,  $A_1$  must be homocyclic, and thus  $A_1 \cong C_{2^m} \times C_{2^m}$ . Also,  $\operatorname{Aut}(A_1)/O_2(\operatorname{Aut}(A_1)) \cong \Sigma_3$  (Lemma 2.1),  $|G_0|$  is odd and  $[G_0, G_1] = 1$ , so  $G_0$  acts trivially on  $A_1$ .

**Lemma 2.4.** Fix a prime p, a p-group T and a finite group H upon which T acts. Assume  $p \nmid |H|$ . Then

- (a)  $H = [T, H] \cdot C_H(T)$  and [T, H] = [T, [T, H]] and
- (b) if T is abelian and  $\mathcal{U} = \{ U \leq T \mid T/U \text{ is cyclic} \}$ , then  $H = \langle C_H(U) \mid U \in \mathcal{U} \rangle$ .

**Proof.** Let  $q_1, \ldots, q_k$  be the distinct primes which divide |H|. For each  $1 \leq i \leq k$ ,  $|\operatorname{Syl}_{q_i}(H)|$  divides |H| and hence is prime to p. Since the p-group T acts on the set  $\operatorname{Syl}_{q_i}(H)$ , it must fix at least one element. Thus, there is some  $S_i \in \operatorname{Syl}_{q_i}(H)$  such that  $T \leq N_H(S_i)$ ; i.e. T acts on each  $S_i$ .

(a) By  $[\mathbf{2}, (24.4)], S_i = [T, S_i] \cdot \mathbb{C}_{S_i}(T)$  for each i, and hence  $H = \langle S_i \rangle = \langle [T, H], \mathbb{C}_H(T) \rangle$ . Since  $[T, H] \leq H$  by the relation  ${}^{g}[t, h] = [t, g]^{-1}[t, gh]$  (where we write  $[t, h] = t(h)h^{-1}$ ), this implies that  $H = [T, H] \cdot \mathbb{C}_H(T)$ .

In particular, [T, H] is generated by elements [t, ab] for  $a \in [T, H]$ ,  $b \in C_H(T)$  and  $t \in T$ , and [t, ab] = [t, a] since t(b) = b. Thus, [T, H] = [T, [T, H]].

(b) Let  $\operatorname{Fr}(S_i) \leq S_i$  be the Frattini subgroup of  $S_i$ : the intersection of all maximal proper subgroups. Then  $S_i/\operatorname{Fr}(S_i)$  is an elementary abelian  $q_i$ -group (see, for example, [2, (23.2)]), and hence can be regarded as an  $\mathbb{F}_{q_i}[T]$ -module. Since T is a p-group and  $p \neq q_i$ ,  $S_i/\operatorname{Fr}(S_i)$  splits as a product of irreducible modules. For  $U \leq T$ , T/U has a faithful irreducible  $\mathbb{F}_{q_i}[T]$ -module only if T/U is cyclic (see, for example, [8, Theorem 3.2.2]). Thus, each irreducible factor in  $S_i/\operatorname{Fr}(S_i)$  is pointwise fixed by some  $U \in \mathcal{U}$ , and so  $S_i/\operatorname{Fr}(S_i)$  is generated by its subgroups  $\operatorname{C}_{S_i/\operatorname{Fr}(S_i)}(U)$  for  $U \in \mathcal{U}$ .

If  $g \operatorname{Fr}(S_i) \in \operatorname{C}_{S_i/\operatorname{Fr}(S_i)}(U)$  for some  $U \leq T$ , then U acts on the coset  $g \operatorname{Fr}(S_i)$ , and this action fixes at least one element since the coset has order prime to p. Hence, every element of  $\operatorname{C}_{S_i/\operatorname{Fr}(S_i)}(U)$  lifts to an element of  $\operatorname{C}_{S_i}(U)$ , and so  $S_i = \langle \operatorname{Fr}(S_i), \operatorname{C}_{S_i}(U) | U \in \mathcal{U} \rangle$ . Since  $\operatorname{Fr}(S_i)$  is contained in each maximal proper subgroup of  $S_i$ , it follows that  $S_i = \langle \operatorname{C}_{S_i}(U) | U \in \mathcal{U} \rangle$ . Since H is generated by the  $S_i$ , this proves (b).

The following lemma, which appears as [2, Exercise 8.9], was suggested to us by the referee as a means of simplifying the statement and proof of Lemma 2.6.

**Lemma 2.5.** Fix a prime p, an abelian p-group T, and a finite group H upon which T acts. Assume H is solvable and  $p \nmid |H|$ . Set  $\mathcal{U} = \{U \leq T \mid T/U \text{ is cyclic}\}$ . Then, for each  $t \in T$ ,

$$[t, H] = \langle [t, \mathcal{C}_H(U)] \mid t \notin U \in \mathcal{U} \rangle.$$

**Proof.** By Lemma 2.4(a), [t, [t, H]] = [t, H]. So upon replacing H by [t, H] (which is T-invariant since T is abelian), we can assume H = [t, H].

Set  $X = \langle [t, C_H(U)] | t \notin U \in \mathcal{U} \rangle$ . We must show X = [t, H] = H. By Lemma 2.4 (b), H = [t, H] is generated by elements [t, g], where  $g = g_1 \cdots g_k$ , and for each  $i, g \in C_H(U_i)$  for some  $U_i \in \mathcal{U}$ . From the relation  $[t, ab] = t(ab)b^{-1}a^{-1} = [t, a] \cdot {}^a[t, b]$ , we now get

$$[t,g] = [t,g_1] \cdot^{g_1} [t,g_2] \cdots ^{g_1 \cdots g_{k-1}} [t,g_k].$$

Since  $[t, g_i] \in X$  for each *i*, this proves that *H* is the normal closure of *X* in *H*.

Since H is solvable, there is a non-trivial characteristic abelian subgroup  $N \leq H$ (e.g. the penultimate term in the derived series). We can assume inductively that the lemma holds for H/N; i.e. that  $H/N = X^* := \langle [t, C_{H/N}(U)] | t \notin U \in \mathcal{U} \rangle$ . For each Uand  $gN \in C_{H/N}(U)$ , U acts on gN with  $C_{gN}(U) \neq \emptyset$  since  $p \nmid |gN|$ . The projection of Honto H/N thus sends  $C_H(U)$  onto  $C_{H/N}(U)$  for each U, and hence  $X^* = XN/N$ . Thus, H = XN.

Since  $N = \langle C_N(U) | U \in \mathcal{U} \rangle$  by Lemma 2.4 (b),

$$[t, N] = \langle [t, \mathcal{C}_N(U)] \mid U \in \mathcal{U} \rangle = \langle [t, \mathcal{C}_N(U)] \mid t \notin U \in \mathcal{U} \rangle \leqslant X$$

as N is abelian. Let  $N_0 \leq H$  be the normal closure of [t, N] in H. Then  $N_0 \leq \langle H, t \rangle$ ,

$$N_0 = \langle {}^{g}[t, N] \mid g \in H \rangle = \langle {}^{g}[t, N] \mid g \in X \rangle \leqslant X$$

since H = XN and N is abelian. If  $N_0 = N$ , then  $X = XN_0 = XN = H$ , and we are done. Otherwise, upon replacing H by  $H/N_0$ , we can assume that [t, N] = 1.

Since  $[t, [H, N]] \leq [t, N] = 1$ , the 3-subgroup lemma implies that [H, N] = [[t, H], N] = 1 (see, for example,  $[\mathbf{2}, (8.7)]$ ). Thus, H = XN where [X, N] = 1, so  $X \leq H$ . We have already seen that H is the normal closure of X in H, and it now follows that H = X.  $\Box$ 

Lemma 2.5 will now be used to prove the following.

**Lemma 2.6.** Fix an elementary abelian 2-group V and a subgroup  $G = HT \leq \operatorname{Aut}(V)$ , where  $H \leq G$ , |H| is odd and  $T = \langle t_1, t_2 \rangle \cong C_2^2$ . Assume  $[t_1, V] \cap [t_2, V] = 1$ . Set  $H_i = [t_i, H]$  and  $G_i = \langle H_i, t_i \rangle$  (i = 1, 2). Then  $[G_1, G_2] = 1$ .

**Proof.** By construction,  $G_i = \langle I(G_i) \rangle$  (it is generated by the *H*-conjugacy class of  $t_i$ ). Since  $t_i \in N_G(H_i)$ ,  $[G_i : H_i] = 2$ .

Set  $t_3 = t_1t_2$ , and fix  $h \in C_H(t_3)$ . Set  $g = [t_1, h] = [t_3t_2, h] = [t_2, h]$ . Thus, g is fixed by  $t_3$  and inverted by  $t_1$  and  $t_2$ . If  $g \neq 1$ , then  $[g, V] = [g^{-1}, V]$  is *T*-invariant; set  $W = C_{[g,V]}(t_3)$ . Then  $[g, V] \neq 1$  since g acts faithfully, and so  $W \neq 1$  since [g, V] and  $\langle t_3 \rangle$ are both 2-groups. Also, W is invariant under the action of the dihedral group  $\langle g, t_1 \rangle$ . If  $t_1$  fixes W pointwise, then so does each element in  $\langle g, t_1 \rangle$  and, in particular, [g, W] = 1. This is impossible, since  $W \leq [g, V]$  (and g has odd order). Hence, there exists  $w \in W$ such that  $t_1(w) \neq w$ ,  $1 \neq [t_1, w] = [t_2, w]$ , and this contradicts the assumption that  $[t_1, V] \cap [t_2, V] = 1$ . We conclude that  $g = [t_1, h] = 1$ . Since this holds for all  $h \in C_H(t_3)$ ,  $C_H(t_3) = C_H(T)$ .

By Lemma 2.5, and since  $C_H(t_3) \leq C_H(t_2)$ ,

$$[t_1, H] = \langle [t_1, C_H(t_2)], [t_1, C_H(t_3)] \rangle = [t_1, C_H(t_2)] \leqslant C_H(t_2).$$

So  $t_2$  commutes with  $[t_1, H]$ , and hence with every element *H*-conjugate to  $t_1$ . Thus, each involution *H*-conjugate to  $t_2$  commutes with each involution *H*-conjugate to  $t_1$ . In particular,  $[G_1, G_2] = [\langle I(G_1) \rangle, \langle I(G_2) \rangle] = 1$ .

The next proposition is our main tool for showing that two subgroups of a larger group commute.

**Proposition 2.7.** Fix an elementary abelian 2-group V, and a subgroup  $G \leq \operatorname{Aut}(V)$ . Let  $G_1, G_2 \leq G$  be such that  $[G_1, V] \cap [G_2, V] = 1$ . Fix  $S_i \in \operatorname{Syl}_2(G_i)$ . Assume the following hold for i = 1, 2.

- (a)  $G_i$  has a strongly 2-embedded subgroup  $H_i \ge S_i$ , and  $[H_i, G_{3-i}] = 1$ .
- (b)  $S_1S_2 \in \text{Syl}_2(G)$ , and  $S_i$  is strongly closed in  $S_1S_2$  with respect to G.

Then  $[G_1, G_2] = 1$ .

**Proof.** Let  $\hat{G}_i$  be the normal closure of  $S_i$  in G, and set  $N = \hat{G}_1 \cap \hat{G}_2 \ge [\hat{G}_1, \hat{G}_2]$ . By assumption,  $S_1S_2 \in \text{Syl}_2(G)$ ,  $S_1$  and  $S_2$  are strongly closed in  $S_1S_2$  with respect to G, and  $[S_1, S_2] = 1$ . Hence, N has odd order by a theorem of Goldschmidt [7, Corollary A2].

For each i = 1, 2, fix some  $x_i \in I(S_i)$ , and set  $\mathcal{G}_i = \{g \in G_i | |g| \text{ odd}, x_i g = g^{-1}\}$ . For each  $g_1 \in \mathcal{G}_1$  and  $g_2 \in \mathcal{G}_2$ ,  $\langle x_i, g_i \rangle$  is dihedral and its involutions are  $G_i$ -conjugate to  $x_i$  (i = 1, 2), so  $[\langle x_1, g_1 \rangle, \langle x_2, g_2 \rangle] \leq [\hat{G}_1, \hat{G}_2] \leq N$ . Hence,  $\langle g_1, g_2 \rangle$  has odd order, since  $N \leq G$  has odd order. So  $[g_1, g_2] = 1$  by Lemma 2.6, applied with  $H = \langle g_1, g_2 \rangle$  and  $T = \langle x_1, x_2 \rangle$ . (Since  $[x_i, g_{3-i}] \in [H_i, G_{3-i}] = 1$  by assumption, T normalizes H.) Thus,  $[\langle \mathcal{G}_1 \rangle, \langle \mathcal{G}_2 \rangle] = 1$ , and hence  $[\langle H_1, \mathcal{G}_1 \rangle, \langle H_2, \mathcal{G}_2 \rangle] = 1$  since  $[H_i, G_{3-i}] = 1$ .

We will be done upon showing that  $G_i = \langle H_i, \mathcal{G}_i \rangle$ . Fix  $g_i \in G_i \setminus H_i$ , and set  $y_i = g_i x_i g_i^{-1}$ . Then  $y_i \notin H_i$ , and  $|x_i y_i|$  is odd since otherwise the involution in  $\langle x_i y_i \rangle$  would commute with both  $x_i$  and  $y_i$  (impossible since  $H_i$  is strongly 2-embedded). Thus,  $y_i = h_i x_i h_i^{-1}$  for some  $h_i \in \langle x_i y_i \rangle$ ,  $h_i \in \mathcal{G}_i$ , and  $g_i^{-1} h_i \in C_{G_i}(x_i) \leqslant H_i$  since  $H_i$  is strongly 2-embedded. Hence,  $g_i \in \langle H_i, \mathcal{G}_i \rangle$ , and thus  $G_i = \langle H_i, \mathcal{G}_i \rangle$ .

Proposition 2.7 will be used to show that certain subgroups of Out(P) commute. The following is needed to lift this to a result about commuting subgroups of Aut(P).

**Proposition 2.8.** Fix a prime p, a finite group G and a pair of subgroups  $G_1, G_2 \leq G$ . Choose  $S_i \in \text{Syl}_p(G_i)$ , and choose normal p-subgroups  $P_i \trianglelefteq G_i$ . Assume  $[G_1, S_2] = 1 = [G_2, S_1]$  and  $[G_1, G_2] \leq P_1 P_2$ . Then  $[G_1, G_2] = 1$ .

**Proof.** We must show, for each pair of elements  $g_i \in G_i$  of order prime to p, that  $[g_1, g_2] = 1$ . Upon replacing  $G_i$  by  $\langle P_i, g_i \rangle$ , we are reduced to the case where  $G_i/P_i$  has order prime to p.

Consider the conjugation action  $c_{g_1} \in \operatorname{Aut}(P_1G_2)$ . By assumption,  $c_{g_1}(P_1) = P_1$ , and  $c_{g_1}$  induces the identity on  $P_2$  and on  $P_1G_2/P_1P_2$ . The subgroups  $P_1P_2/P_2$  and  $G_2/P_2$ 

generate  $P_1G_2/P_2$ ; the first is a *p*-group and the second has order prime to *p*, and they commute since  $[P_1, G_2] = 1$  by assumption. Thus,  $P_1G_2/P_2 = (P_1P_2/P_2) \times (G_2/P_2)$ ,  $P_1P_2 \cap G_2 = P_2$  and the induced action of  $c_{g_1}$  on  $P_1G_2/P_2$  leaves  $G_2/P_2$  invariant. Hence,  $[g_1, G_2] \leq P_1P_2 \cap G_2 = P_2$ .

Thus,  $c_{g_1} \in \operatorname{Aut}(G_2)$  induces the identity on  $P_2$  and on  $G_2/P_2$ . By the Schur-Zassenhaus theorem (see, for example, [2, Theorem 18.1]),  $c_{g_1}$  is conjugation by some element of  $P_2$ , and hence is the identity since  $P_2$  is a *p*-group and  $g_1$  has order prime to p. Thus,  $[g_1, G_2] = 1$ .

It remains to combine Propositions 2.7 and 2.8.

**Corollary 2.9.** Fix a 2-group P and a subgroup  $G \leq \operatorname{Aut}(P)$ . Let  $G_1, G_2 \leq G$  be such that  $[G_1, P] \cap [G_2, P] = 1$  and  $[O_2(G_i), P] \leq \operatorname{Fr}(P)$ . Fix  $S_i \in \operatorname{Syl}_2(G_i)$ , and set  $Q_i = O_2(G_i)$ . Assume the following hold for i = 1, 2:

- (a)  $G_i/Q_i$  has a strongly 2-embedded subgroup  $H_i/Q_i \ge S_i/Q_i$ , and  $[H_i, G_{3-i}] = 1$ ;
- (b)  $S_1S_2 \in Syl_2(G)$ , and  $S_i$  is strongly closed in  $S_1S_2$  with respect to G.

Then  $[G_1, G_2] = 1$ .

**Proof.** Set  $Q = \{g \in G \mid [g, P] \leq \operatorname{Fr}(P)\}$ : the kernel of the induced action of G on  $P/\operatorname{Fr}(P)$ . Then  $Q_1Q_2 \leq Q$ , and Q is a normal 2-subgroup of G by Lemma 2.1. Hence,  $Q \leq S_1S_2$  since  $S_1S_2 \in \operatorname{Syl}_2(G)$ , and  $G_i \cap Q = Q_i$  (i = 1, 2) since it is a normal 2-subgroup of  $G_i$ . If  $g = g_1g_2 \in Q$ , where  $g_i \in S_i$ , then  $[G_i, g] = [G_i, g_i] \leq Q \cap G_i = Q_i$ , so  $\langle Q_i, g_i \rangle \leq G_i$ , and  $g_i \in Q_i$  since  $Q_i = O_2(G_i)$ . Thus,  $Q = Q_1Q_2$ .

Set  $G^* = G/Q$ , and set  $G_i^* = G_i Q/Q \cong G_i/Q_i$  for i = 1, 2. By definition of Q,  $G^*$  and the  $G_i^*$  all act faithfully on  $P^* := P/\operatorname{Fr}(P)$ . The hypotheses of Proposition 2.7 all hold (with  $V = P^*$ ), and hence  $[G_1^*, G_2^*] = 1$ . Thus,  $[G_1, G_2] \leq Q_1 Q_2 = Q$ , and so  $[G_1, G_2] = 1$  by Proposition 2.8.

We finish the section with the following easy result, which describes one consequence of two groups of automorphisms commuting.

**Lemma 2.10.** Fix a finite group K and subgroups  $G_1, G_2 \leq \operatorname{Aut}(K)$  such that  $[G_1, G_2] = 1$  and  $[G_1, K] \cap [G_2, K] = 1$ . Then  $[G_1, K] \leq \operatorname{C}_K(G_2)$  and  $[G_2, K] \leq \operatorname{C}_K(G_1)$ .

**Proof.** The action of each  $g \in G_1$  sends  $[G_2, K]$  to itself, by the relation  $g([h, x]) = g(h(x)x^{-1}) = [{}^{g}\!h, g(x)]$  and since  $[G_1, G_2] = 1$ . Hence,  $[G_1, [G_2, K]] \leq [G_1, K] \cap [G_2, K] = 1$ ;  $[G_2, [G_1, K]] = 1$  by a similar argument.

# 3. Automorphisms of products of non-abelian p-groups

Throughout this section, p is an arbitrary prime. To simplify notation, for any finite p-group P, we write

$$\overline{\operatorname{Out}}(P) = \operatorname{Aut}(P)/O_p(\operatorname{Aut}(P)) \cong \operatorname{Out}(P)/O_p(\operatorname{Out}(P)).$$

We want to compare the group of automorphisms of a product of *p*-groups with the groups of automorphisms of its factors. For example, Proposition 3.2 implies as a special case that if  $S = S_1 \times S_2$ , where  $S_1$  is indecomposable,  $S_2$  has no direct factor isomorphic to  $S_1$  and  $\Omega_1(Z(S_1)) \leq [S_1, S_1]$ , then  $\overline{\operatorname{Out}}(S) \cong \overline{\operatorname{Out}}(S_1) \times \overline{\operatorname{Out}}(S_2)$ .

We begin with the following easy consequence of the Krull–Schmidt Theorem.<sup>\*</sup> As usual, we call a finite group *indecomposable* if it is not a direct product of proper subgroups.

**Proposition 3.1.** Fix a finite group  $G = G_1 \times \cdots \times G_m \times H$ , where, for each  $i = 1, \ldots, m, G_i$  is indecomposable and is not isomorphic to any direct factor of H. Then, for each  $\alpha \in \operatorname{Aut}(G)$ , there is a permutation  $\sigma \in \Sigma_m$  such that, for each  $i, \alpha(G_iZ(G)) = G_{\sigma(i)}Z(G)$  and  $\alpha([G_i, G_i]) = [G_{\sigma(i)}, G_{\sigma(i)}]$ .

**Proof.** We use here the terminology of Suzuki in [12, Definition 1.6.17]: an endomorphism of a group G is *normal* if it commutes with all inner automorphisms of G. By [12, 1.6.18 (ii)], for any normal automorphism  $\nu$  of G, there exists  $\zeta \in \text{Hom}(G, Z(G))$  such that  $\nu(g) = \zeta(g)^{-1}g$  for each  $g \in G$ . In particular,  $\nu(g) \in gZ(G)$  for each  $g \in G$ .

For each  $\alpha \in \operatorname{Aut}(G)$ , the Krull–Schmidt Theorem, in the form stated in [12, 2.4.8] and applied to the decompositions  $G = G_1 \times \cdots \times G_m \times H = \alpha(G_1) \times \cdots \times \alpha(G_m) \times \alpha(H)$ , says that there are a normal automorphism  $\nu$  of G, and a permutation  $\sigma \in \Sigma_m$ , such that  $\nu(\alpha(G_i)) = G_{\sigma(i)}$  for each i and  $\nu(\alpha(H)) = H$ . Then  $\alpha$  permutes the subgroups  $G_i Z(G)$  since  $\nu \equiv \operatorname{Id} \pmod{Z(G)}$ , and thus also permutes the subgroups  $[G_i, G_i] = [G_i Z(G), G_i Z(G)]$ .

We next look at automorphisms of a product of p-groups that partly fix one factor.

**Proposition 3.2.** Fix a pair of p-groups  $S_1$  and  $S_2$ , set  $S = S_1 \times S_2$  and let  $pr_i \in Hom(S, S_i)$  be the projection. Set

$$\operatorname{Aut}^{0}(S) = \{ \alpha \in \operatorname{Aut}(S) \mid \alpha(\Omega_{1}(Z(S_{1}))) = \Omega_{1}(Z(S_{1})) \}.$$

Then the following hold.

- (a) For each  $\alpha \in \operatorname{Aut}^0(S)$ ,  $\operatorname{pr}_i(\alpha(S_i)) = S_i$  and  $\alpha(S_iZ(S_{3-i})) = S_iZ(S_{3-i})$  for i = 1, 2.
- (b) There is an isomorphism

$$\operatorname{Aut}^0(S)/O_p(\operatorname{Aut}^0(S)) \xrightarrow{\cong} \overline{\operatorname{Out}}(S_1) \times \overline{\operatorname{Out}}(S_2),$$

which sends the class of  $\alpha \in \operatorname{Aut}^0(S)$  to the class of  $(\operatorname{pr}_1 \circ \alpha|_{S_1}, \operatorname{pr}_2 \circ \alpha|_{S_2})$ .

(c) Assume  $S_1 \neq 1$ ,  $S_1$  is indecomposable and  $\Omega_1(Z(S_1)) \leq [S_1, S_1]$ . Let  $n \geq 1$  be the largest integer such that  $S_2$  contains a direct factor isomorphic to  $(S_1)^{n-1}$ . Then  $[\operatorname{Aut}(S) : \operatorname{Aut}^0(S)] = n$ .

<sup>\*</sup> We thank the referee for pointing out to us the strong version of this theorem stated in [12], and its relevance for the results in this section.

**Proof.** (a) Set  $Z_i = Z(S_i)$  for short. Fix  $\alpha \in \operatorname{Aut}^0(S)$ . If  $\operatorname{pr}_1 \circ \alpha|_{S_1}$  were not injective, then some  $1 \neq x \in \Omega_1(Z_1)$  would be in the kernel, which contradicts the assumption  $\alpha(\Omega_1(Z_1)) = \Omega_1(Z_1)$ . If  $\operatorname{pr}_2 \circ \alpha|_{S_2}$  were not injective, then some  $1 \neq y \in \Omega_1(Z_2)$  would be in the kernel, so  $\alpha(y) \in S_1 \cap \Omega_1(Z(S)) = \Omega_1(Z_1)$ , which is impossible since  $\alpha(\Omega_1(Z_1)) =$  $\Omega_1(Z_1)$ . Thus,  $\operatorname{pr}_1 \circ \alpha|_{S_1}$  and  $\operatorname{pr}_2 \circ \alpha|_{S_2}$  are both automorphisms. Furthermore, for i = 1, 2,

$$\alpha(S_i Z_{3-i}) = \alpha(C_S(S_{3-i})) = C_S(\alpha(S_{3-i})) \leqslant C_{S_i}(\operatorname{pr}_i(\alpha(S_{3-i}))) \cdot C_{S_{3-i}}(S_{3-i}) \leqslant S_i \cdot Z_{3-i}$$

and hence  $\alpha(S_i Z_{3-i}) = S_i Z_{3-i}$ .

(b) Define  $\operatorname{Aut}^1(S) \trianglelefteq \operatorname{Aut}^0(S)$  by setting

Aut<sup>1</sup>(S) = {
$$\alpha \in \operatorname{Aut}^0(S) \mid \alpha$$
 induces the identity on  $\Omega_1(Z_1)$ ,  
on  $\Omega_1(Z(S))/\Omega_1(Z_1)$  and on  $S/Z(S)$ }.

Since each element of  $\operatorname{Aut}^0(S)$  leaves the subgroups  $\Omega_1(Z_1)$ ,  $\Omega_1(Z(S))$  and Z(S) invariant,  $\operatorname{Aut}^1(S) \trianglelefteq \operatorname{Aut}^0(S)$ . For each  $\alpha \in \operatorname{Aut}^1(S)$ ,  $\alpha|_{\Omega_1(Z(S))}$  has *p*-power order by Lemma 2.1, so  $\alpha|_{Z(S)}$  has *p*-power order by [8, Theorem 5.2.4] and  $\alpha$  has *p*-power order by Lemma 2.1 again. Thus,  $\operatorname{Aut}^1(S)$  is a *p*-group, and hence is contained in  $O_p(\operatorname{Aut}^0(S))$ .

Consider the following maps:

$$\operatorname{Aut}^{0}(S) \xrightarrow{\chi} \operatorname{Aut}(S_{1}) \times \operatorname{Aut}(S_{2}) \xrightarrow{\psi} \operatorname{Aut}^{0}(S) \xrightarrow{\rho} \operatorname{Aut}^{0}(S) / O_{p}(\operatorname{Aut}^{0}(S)),$$

where  $\chi(\alpha) = (\operatorname{pr}_1 \circ \alpha|_{S_1}, \operatorname{pr}_2 \circ \alpha|_{S_2})$  (as a map of sets),  $\psi(\alpha_1, \alpha_2) = \alpha_1 \times \alpha_2$  and  $\rho$  is the projection. Here, for  $\alpha_i \in \operatorname{Aut}(S_i)$ ,  $\alpha_1 \times \alpha_2$  is the automorphism that sends  $(s_1, s_2)$  to  $(\alpha_1(s_1), \alpha_2(s_2))$ , and thus  $\chi \circ \psi$  is the identity on  $\operatorname{Aut}(S_1) \times \operatorname{Aut}(S_2)$ . Fix  $\alpha \in \operatorname{Aut}^0(S)$ , and set  $\hat{\alpha} = \alpha \circ ((\psi \circ \chi)(\alpha))^{-1}$ . Then  $\hat{\alpha}|_{\Omega_1(Z_1)} = \operatorname{Id}$ , since  $\alpha(\Omega_1(Z_1)) = \Omega_1(Z_1)$ . Also,  $\hat{\alpha} \equiv \operatorname{Id} \pmod{Z(S)}$ , since, for  $g \in S_i$ ,  $\alpha(g) \in S_i Z_{3-i}$  and hence  $\operatorname{pr}_i(\alpha(g)) \equiv \alpha(g) \pmod{Z_{3-i}}$ . By a similar argument,  $\hat{\alpha}|_{\Omega_1(Z(S))} \equiv \operatorname{Id} \pmod{\Omega_1(Z_1)}$ . This proves that  $\alpha \equiv \psi(\chi(\alpha)) \pmod{\operatorname{Aut}^1(S)}$  for each  $\alpha \in \operatorname{Aut}^0(S)$ , and hence (since  $\operatorname{Aut}^1(S) \leq O_p(\operatorname{Aut}^0(S))$ ) that  $\rho \circ \psi \circ \chi = \rho$ .

Thus,  $\rho \circ \psi$  is surjective, and  $\operatorname{Ker}(\rho \circ \psi)$  is a *p*-group, since  $\psi$  is injective. So  $\psi$  induces an isomorphism  $\hat{\psi}$  from  $\overline{\operatorname{Out}}(S_1) \times \overline{\operatorname{Out}}(S_2)$  onto  $\operatorname{Aut}^0(S)/O_p(\operatorname{Aut}^0(S))$ , and  $\hat{\psi}^{-1}$  sends the class of each  $\alpha \in \operatorname{Aut}^0(S)$  to the class of  $\chi(\alpha)$ .

(c) Write  $S_2 = T_2 \times \cdots \times T_n \times U$ , where  $T_i \cong S_1$  for each *i* and *U* contains no direct factors isomorphic to  $S_1$ . Set  $T_1 = S_1$ , so that  $S = T_1 \times T_2 \times \cdots \times T_n \times U$ . Fix  $\alpha \in \operatorname{Aut}(S)$ . By Proposition 3.1, there exists  $\sigma \in \Sigma_n$  such that  $\alpha([T_i, T_i]) = [T_{\sigma(i)}, T_{\sigma(i)}]$ for each *i*. Since  $\alpha(\Omega_1(Z(S))) = \Omega_1(Z(S))$ , and since  $\Omega_1(Z(S)) \cap [T_i, T_i] = \Omega_1(Z(T_i))$  by assumption,  $\alpha(\Omega_1(Z(T_i))) = \Omega_1(Z(T_{\sigma(i)}))$  for each  $i = 1, \ldots, n$ .

Since  $\operatorname{Aut}^0(S)$  is the subgroup of automorphisms that send  $\Omega_1(Z(T_1))$  to itself, each of its (left) cosets is the set of automorphisms that send  $\Omega_1(Z(T_1))$  to  $\Omega_1(Z(T_i))$  for some fixed  $1 \leq i \leq n$ . Thus,  $[\operatorname{Aut}(S) : \operatorname{Aut}^0(S)] = n$ , and this proves (a).  $\Box$ 

With a little more work, one can show that in the situation of Proposition 3.2 (c), for any fixed choice of isomorphism  $S \cong (S_1)^n \times T$ , the composite

$$\operatorname{Aut}(S_1) \wr \Sigma_n \times \operatorname{Aut}(T) \xrightarrow{\operatorname{incl}} \operatorname{Aut}(S) \twoheadrightarrow \overline{\operatorname{Out}}(S)$$
 (3.1)

of the natural inclusion followed by the projection is surjective and its kernel is a *p*-group. Thus,  $\overline{\operatorname{Out}}(S) \cong \overline{\operatorname{Out}}(S_1) \wr \Sigma_n \times \overline{\operatorname{Out}}(T)$  if  $\operatorname{Aut}(S_1)$  is not a *p*-group.

The following is a more technical consequence of Proposition 3.2. It will be needed in  $\S 6$  when identifying potential essential subgroups.

**Lemma 3.3.** Fix a finite p-group S and a subgroup  $P \leq S$ . Assume that Z(P) = Z(S) or, more generally, that  $\operatorname{Aut}_S(P)$  acts trivially on Z(P). Assume also, for some p-group T and some saturated fusion system  $\mathcal{F}$  over  $S \times T$ , that PT is  $\mathcal{F}$ -essential. Then there is a subgroup  $\Gamma \leq \operatorname{Out}(P)$  such that  $\operatorname{Out}_S(P) \in \operatorname{Syl}_p(\Gamma)$  and  $\Gamma$  has a strongly p-embedded subgroup.

**Proof.** Let  $pr_1: S \times T \to S$  and  $pr_2: S \times T \to T$  be the projections. Set

$$\Gamma^* = O^{p'}(\operatorname{Out}_{\mathcal{F}}(PT)) \text{ and } \tilde{\Gamma}^* = O^{p'}(\operatorname{Aut}_{\mathcal{F}}(PT)),$$

so that  $\tilde{\Gamma}^*/\operatorname{Inn}(PT) = \Gamma^*$ . Since PT is  $\mathcal{F}$ -essential, there is a strongly *p*-embedded subgroup  $H < \operatorname{Out}_{\mathcal{F}}(PT)$  that contains  $\operatorname{Out}_{ST}(PT)$  (Lemma 1.9 (a)). Also, PT is fully normalized in  $\mathcal{F}$ , and hence  $\operatorname{Out}_{ST}(PT) \in \operatorname{Syl}_p(\operatorname{Out}_{\mathcal{F}}(PT))$ .

Set  $H^* = H \cap \Gamma^*$ . Then  $H^* < \Gamma^*$  (*H* does not contain all Sylow *p*-subgroups of  $\operatorname{Out}_{\mathcal{F}}(PT)$ , while  $\Gamma^*$  does) and  $H^* \geq \operatorname{Out}_{ST}(PT) \neq 1$ . If  $g \in \Gamma^* \setminus H^*$ , then  $g \notin H$  implies  $H^* \cap gH^*g^{-1} \leq H \cap gHg^{-1}$  has order prime to *p*. Thus,  $H^*$  is strongly *p*-embedded in  $\Gamma^*$ .

Since  $\operatorname{Out}_{ST}(PT)$  acts trivially on Z(PT) (and PT is fully normalized in  $\mathcal{F}$  since it is  $\mathcal{F}$ -essential), each Sylow *p*-subgroup of  $\Gamma^*$  acts trivially. Thus,  $\Gamma^*$  acts trivially on Z(PT), since it is generated by its Sylow *p*-subgroups. In particular,  $\tilde{\Gamma}^*$  is contained in the group  $\operatorname{Aut}^0(PT)$  of automorphisms that send  $\Omega_1(Z(P))$  to itself. Define

$$\chi_1: \operatorname{Aut}^0(PT) \to \overline{\operatorname{Out}}(P) \text{ and } \chi_2: \operatorname{Aut}^0(PT) \to \overline{\operatorname{Out}}(T)$$

by setting  $\chi_1(\alpha) = [\operatorname{pr}_1 \circ \alpha|_P]$  and  $\chi_2(\alpha) = [\operatorname{pr}_2 \circ \alpha|_T]$ . Set

$$\chi = (\chi_1, \chi_2) \colon \operatorname{Aut}^0(PT) \to \overline{\operatorname{Out}}(P) \times \overline{\operatorname{Out}}(T).$$

By Proposition 3.2 (b),  $\chi$  is a surjective homomorphism and Ker( $\chi$ ) is a *p*-group.

The Sylow subgroup  $\operatorname{Aut}_{ST}(PT)$  is contained in  $\operatorname{Ker}(\chi_2)$ , and hence  $\tilde{\Gamma}^* \leq \operatorname{Ker}(\chi_2)$ , since  $\tilde{\Gamma}^*$  is generated by the Sylow *p*-subgroups of  $\operatorname{Aut}^0(PT)$ . Since  $\Gamma^*$  has a strongly *p*-embedded subgroup,  $O_p(\Gamma^*) = 1$  (Lemma 1.9 (c)), and hence  $\chi_1$  induces an injection of  $\Gamma^*$  into  $\overline{\operatorname{Out}}(P)$ .

By Proposition 3.2 (a), each element of  $\tilde{\Gamma}^*$  sends PZ(T) to itself. We have already seen that each element of  $\tilde{\Gamma}^*$  acts trivially on Z(PT), and in particular on Z(T). Hence, there is a homomorphism  $\psi$  from  $\Gamma^* \leq \operatorname{Out}(PT)$  to  $\operatorname{Out}(P)$  that sends the class of  $\alpha \in \tilde{\Gamma}^*$  to the class of the automorphism of PZ(T)/Z(T) induced by  $\alpha|_{PZ(T)}$ . This is equal (mod  $O_p(\operatorname{Aut}(P))$ ) to  $\chi_1$ , and hence  $\psi$  is injective. Set  $\Gamma = \psi(\Gamma^*) \leq \operatorname{Out}(P)$ . Then  $\Gamma$  contains a strongly *p*-embedded subgroup, since  $\Gamma^*$  does (and  $\Gamma \cong \Gamma^*$ ), and  $\psi(\operatorname{Out}_{ST}(PT)) = \operatorname{Out}_S(P) \in \operatorname{Syl}_p(\Gamma)$ .

# 4. A general splitting proposition

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We want to prove, under certain hypotheses, that a saturated fusion system over a product of 2-groups splits as a product of fusion systems. Before doing this, we first recall the definition of the product of two fusion systems.

**Definition 4.1.** Let  $\mathcal{F}_1$  and  $\mathcal{F}_2$  be fusion systems over *p*-groups  $S_1$  and  $S_2$ , respectively, and set  $S = S_1 \times S_2$ . Then  $\mathcal{F}_1 \times \mathcal{F}_2$  is the fusion system over *S* where, for  $P, Q \leq S$ , if  $P_i, Q_i \leq S_i$  denote the images of *P* and *Q* under projection, then

$$\operatorname{Hom}_{\mathcal{F}_1 \times \mathcal{F}_2}(P, Q) = \{ (\varphi_1, \varphi_2) |_P \mid \varphi_i \in \operatorname{Hom}_{\mathcal{F}_i}(P_i, Q_i), \ (\varphi_1, \varphi_2)(P) \leqslant Q \}.$$

It is not hard to see in the above situation that  $\mathcal{F}_1 \times \mathcal{F}_2$  is the smallest fusion system over  $S_1 \times S_2$  that contains each morphism set  $\operatorname{Hom}_{\mathcal{F}_1}(P_1, Q_1) \times \operatorname{Hom}_{\mathcal{F}_2}(P_2, Q_2)$  (for  $P_i, Q_i \leq S_i$ ) when regarded as a set of homomorphisms from  $P_1 \times P_2$  to  $Q_1 \times Q_2$ . If  $\mathcal{F}_1$  and  $\mathcal{F}_2$  are both saturated, then  $\mathcal{F}_1 \times \mathcal{F}_2$  is also saturated (see, for example, [6, Lemma 1.5]).

The main result of this section is Proposition 4.4, which gives some very general conditions for splitting fusion systems. Theorems A, B and C will be shown in later sections as special cases of this result.

Throughout this section, whenever  $\mathcal{F}$  is a fusion system over a *p*-group *S*, we write

$$\mathcal{F}^{c} = \{ P \leq S \mid P \text{ is } \mathcal{F}\text{-centric} \}.$$

By [1, Proposition 3.3], if  $\mathcal{F}$  is a saturated fusion system over a *p*-group  $S = S_1 \times S_2$ , where  $S_1$  and  $S_2$  are strongly closed in  $\mathcal{F}$  and  $O^{p'}(\mathcal{F}) = \mathcal{F}$ , then  $\mathcal{F} = \mathcal{F}_1 \times \mathcal{F}_2$  for some pair of saturated fusion systems  $\mathcal{F}_i$  over  $S_i$ . This played an important role when studying automorphisms of products of fusion systems in [1, §3], but, unfortunately, it does not seem to be very helpful for our purposes here.

Proposition 4.4 can be regarded as a stronger version of [1, Proposition 3.3], in that we only assume  $S_1$  and  $S_2$  are strongly closed in certain fusion subsystems of  $\mathcal{F}$  but not in  $\mathcal{F}$  itself. However, this is shown at the expense of adding the additional hypotheses that p = 2 and  $O^2(\mathcal{F}) = \mathcal{F}$ .

The following definition, which will be used only within this section, will be needed when handling 'fusion subsystems' that satisfy all of the conditions in the definition except the one which says that they contain the fusion system of the underlying p-group. The term 'restrictive (sub)category' is taken from [5], although it is used slightly differently here.

**Definition 4.2.** Let  $\mathcal{F}$  be a fusion system over a *p*-group *S*. A restrictive subcategory of  $\mathcal{F}$  is a subcategory  $\mathcal{E} \subseteq \mathcal{F}$  with the same objects, and with the property that, for each  $P_0 \leq P \leq S$  and  $Q_0 \leq Q \leq S$ , and each  $\varphi \in \operatorname{Hom}_{\mathcal{E}}(P,Q)$  such that  $\varphi(P_0) \leq Q_0$ ,  $\varphi|_{P_0} \in \operatorname{Hom}_{\mathcal{E}}(P_0, Q_0)$ , and  $\varphi^{-1}|_{Q_0} \in \operatorname{Hom}_{\mathcal{E}}(Q_0, P_0)$  if  $\varphi(P_0) = Q_0$ .

Thus, when  $\mathcal{F}$  is a fusion system over S, a restrictive subcategory  $\mathcal{E} \subseteq \mathcal{F}$  is a fusion subsystem if and only if  $\operatorname{Aut}_{\mathcal{E}}(S) \ge \operatorname{Inn}(S)$ . Just as for fusion systems, when  $\mathcal{E}$  is a restrictive subcategory over S and  $T \le S$ ,  $\mathcal{E}|_T$  denotes the full subcategory whose objects

are the subgroups of T. Also, when  $\mathcal{H}$  is a set of subgroups of S, we say that  $\mathcal{E}$  is  $\mathcal{H}$ generated if each morphism in  $\mathcal{E}$  is a composite of restrictions of morphisms between
subgroups in  $\mathcal{H}$ .

**Lemma 4.3.** Fix a pair of p-groups  $S_1$  and  $S_2$ , and set  $S = S_1 \times S_2$ . Let  $\mathcal{F}$  be a saturated fusion system over S. Set

$$\mathcal{F}_1^{\bullet} = \langle \operatorname{Aut}_{\mathcal{F}}(P) \mid S_2 \leqslant P \leqslant S, \ P \text{ is } \mathcal{F}\text{-essential or } P = S \rangle,$$

and assume  $S_1$  is strongly closed in  $\mathcal{F}_1^{\bullet}$ . Set

$$\mathcal{T} = \{ P \leqslant S \mid P \geqslant S_2 \} \quad \text{and} \quad \mathcal{T}^c = \mathcal{T} \cap \mathcal{F}^c.$$

Whenever  $P \in \mathcal{T}$ , we set  $P_1 = P \cap S_1$  (so  $P = P_1 S_2$ ). Then the following hold.

- (a) If  $P, Q \leq S$  are such that  $P \in \mathcal{T}$ , then  $\operatorname{Hom}_{\mathcal{F}_1^{\bullet}}(P, Q) = \operatorname{Hom}_{\mathcal{F}}(P, Q)$ . If  $P \in \mathcal{T}^c$  and  $Q \in P^{\mathcal{F}}$ , then  $Q \in \mathcal{T}^c$ .
- (b) There is a  $\mathcal{T}^{c}$ -generated, restrictive subcategory  $\mathcal{E}_{1}^{\bullet}$  of  $\mathcal{F}_{1}^{\bullet}$  such that, for  $P, Q \in \mathcal{T}^{c}$ ,

 $\operatorname{Hom}_{\mathcal{E}_{\bullet}^{\bullet}}(P,Q) = \{ \varphi \in \operatorname{Hom}_{\mathcal{F}}(P,Q) \mid \varphi(g) \in gS_1 \text{ for all } g \in P \}.$ 

- (c) Set  $\mathcal{F}_1^{\bullet} = \mathcal{F}_1|_{S_1}$  and  $\mathcal{E}_1 = \mathcal{E}_1^{\bullet}|_{S_1}$ . Then  $\mathcal{F}_1$  and  $\mathcal{E}_1$  are both saturated fusion systems over  $S_1$ , and  $\mathcal{E}_1$  is a weakly normal fusion subsystem of index prime to p in  $\mathcal{F}_1$ . Also,  $\mathcal{F}_1^{\bullet} = \langle \mathcal{E}_1^{\bullet}, \operatorname{Aut}_{\mathcal{F}}(S) \rangle$ .
- (d) For all  $P \in \mathcal{T}^{c}$ ,
  - (i)  $\alpha \in \operatorname{Aut}_{\mathcal{E}_{\bullet}}(P)$  and  $\alpha|_{P_1} = \operatorname{Id}_{P_1}$  imply  $\alpha = \operatorname{Id}_P$
  - (ii)  $[Aut_{\mathcal{E}_1^{\bullet}}(P), P] = [Aut_{\mathcal{E}_1}(P_1), P_1], and$
  - (iii) restriction to  $P_1$  induces a bijection  $\operatorname{Hom}_{\mathcal{E}_1^{\bullet}}(P,S) \xrightarrow{R} \operatorname{Hom}_{\mathcal{E}_1}(P_1,S_1)$ .
- (e) For all  $P \in \mathcal{T}$ ,
  - $\begin{array}{l} P_1 \mbox{ fully norm. in } \mathcal{F}_1 \iff P_1 \mbox{ fully norm. in } \mathcal{F} \implies P \mbox{ fully norm. in } \mathcal{F}, \\ P_1 \mbox{ fully centr. in } \mathcal{F}_1 \iff P_1 \mbox{ fully centr. in } \mathcal{F} \implies P \mbox{ fully centr. in } \mathcal{F}, \\ P_1 \mbox{ is } \mathcal{F}_1\mbox{-centric } \iff P \mbox{ is } \mathcal{F}\mbox{-centric.} \end{array}$

**Proof.** (a) Let  $\operatorname{pr}_2 \in \operatorname{Hom}(S, S_2)$  be the projection. By Proposition 1.10 (a), for all  $P \in \mathcal{T}$  and all  $\varphi \in \operatorname{Hom}_{\mathcal{F}}(P, S)$ , we can write  $\varphi = \psi_m \circ \cdots \circ \psi_1$ , where each  $\psi_i$  is the restriction of an automorphism of some  $Q_i \leq S$  that is  $\mathcal{F}$ -essential or equal to S. Let  $j \leq m$  be such that  $Q_i \geq S_2$  for all  $i = 1, \ldots, j$  (hence  $Q_i \in \mathcal{T}^c$ ), and either j = m or  $Q_{j+1} \not\geq S_2$ . Set  $\varphi^* = \psi_j \circ \cdots \circ \psi_1$ . Then  $\varphi^* \in \operatorname{Mor}(\mathcal{F}_1^{\bullet})$ , and  $\varphi^*(P \cap S_1) = \varphi^*(P) \cap S_1$  since  $S_1$  is strongly closed. It follows that  $\varphi^*(S_2) \cap S_1 = \varphi^*(S_2) \cap \varphi^*(P \cap S_1) = 1$ , so  $\operatorname{pr}_2 \circ \varphi^*|_{S_2}$  is injective, and hence  $\operatorname{pr}_2(\varphi^*(S_2)) = S_2$ . If j < m, then  $\operatorname{pr}_2(Q_{j+1}) \geq \operatorname{pr}_2(\varphi^*(S_2)) = S_2$ ,  $Q_{j+1} = R_1R_2$  for some  $R_i \leq S_i$  by Lemma 1.11 (a), and hence  $R_2 = S_2$  and  $Q_{j+1} \geq S_2$ .

This contradicts our assumption on j, and we conclude that j = m and  $\varphi^* = \varphi$ . In particular,  $\varphi \in \operatorname{Hom}_{\mathcal{F}_1^{\bullet}}(P, S)$ .

Now assume  $P \in \mathcal{T}^c$  and  $\varphi \in \operatorname{Hom}_{\mathcal{F}}(P, S)$ . We must show  $\varphi(P) \ge S_2$ , i.e.  $\varphi(P) \in \mathcal{T}^c$ . Since  $\varphi$  is in  $\mathcal{F}_1^{\bullet}$ , it suffices to show this when  $\varphi = \alpha|_P$  for some  $\alpha \in \operatorname{Aut}_{\mathcal{F}}(Q)$ , where Q is  $\mathcal{F}$ -essential or Q = S. Set  $Q_1 = Q \cap S_1$ , so  $Q = Q_1S_2$ . Then  $\alpha(Q_1) = Q_1$ , since  $S_1$  is strongly closed in  $\mathcal{F}_1^{\bullet}$ , and  $\alpha(Z(Q_1)S_2) = Z(Q_1)S_2$ , since  $Z(Q_1)S_2 = C_Q(Q_1)$ . Since  $P \le Q$  is  $\mathcal{F}$ -centric and contains  $S_2$ ,  $P \ge Z(Q_1)S_2$ , and so  $\alpha(P) \ge Z(Q_1)S_2 \ge S_2$ .

(b) The formula for  $\operatorname{Hom}_{\mathcal{E}_1^{\bullet}}(P,Q)$  clearly defines a category with objects in  $\mathcal{T}^c$ , which is contained in  $\mathcal{F}_1^{\bullet}$  by (a). Since  $Q \ge P \in \mathcal{T}^c$  implies  $Q \in \mathcal{T}^c$ , restriction to arbitrary subgroups of S now defines a restrictive subcategory of  $\mathcal{F}_1^{\bullet}$ , with morphisms between subgroups in  $\mathcal{T}^c$  as given.

(d) Fix  $P = P_1 S_2 \in \mathcal{T}^c$ . By definition,

$$\operatorname{Aut}_{\mathcal{E}_{1}^{\bullet}}(P) = \operatorname{Ker}[f \colon \operatorname{Aut}_{\mathcal{F}}(P) \to \operatorname{Aut}(P/P_{1})] \trianglelefteq \operatorname{Aut}_{\mathcal{F}}(P).$$
 (4.1)

(Recall that  $\alpha(P_1) = P_1$  for all  $\alpha \in \operatorname{Aut}_{\mathcal{F}}(P)$  by (a).)

Choose  $\varphi \in \operatorname{Iso}_{\mathcal{F}}(P, R)$ , where R is fully normalized in  $\mathcal{F}$ . Then  $\varphi \in \operatorname{Mor}(\mathcal{F}_1^{\bullet})$ ,  $R \ge S_2$ and  $\varphi(P_1) = R_1 := R \cap S_1$  by (a). Let

$$c_{\varphi} \colon \operatorname{Aut}_{\mathcal{F}}(P) \xrightarrow{\cong} \operatorname{Aut}_{\mathcal{F}}(R)$$

be conjugation by  $\varphi(c_{\varphi}(\alpha) = \varphi \alpha \varphi^{-1})$ . Then  $c_{\varphi}(\operatorname{Aut}_{\mathcal{E}_{1}^{\bullet}}(P)) = \operatorname{Aut}_{\mathcal{E}_{1}^{\bullet}}(R)$  by (4.1), and so (i) and (ii) hold for P if they hold for R. It thus suffices to prove them when P is fully normalized in  $\mathcal{F}$ .

Set

 $\Gamma = \{ \alpha \in \operatorname{Aut}_{\mathcal{F}}(P) \mid \alpha \text{ induces the identity on } P_1 \text{ and on } P/P_1 \}.$ 

We must show  $\Gamma = 1$ . By (a),  $\alpha(P_1) = P_1$  for all  $\alpha \in \operatorname{Aut}_{\mathcal{F}}(P)$ , and hence  $\Gamma \leq \operatorname{Aut}_{\mathcal{F}}(P)$ . Also,  $\Gamma$  is a *p*-subgroup by Lemma 2.1, and thus  $\Gamma \leq \operatorname{Aut}_S(P) \in \operatorname{Syl}_2(\operatorname{Aut}_{\mathcal{F}}(P))$ . But each element of  $\operatorname{Aut}_S(P)$  sends  $S_2$  to itself, so  $\Gamma$  contains only the identity. This proves (i).

We next prove (ii). Since  $\operatorname{Aut}_{S}(P) \in \operatorname{Syl}_{p}(\operatorname{Aut}_{\mathcal{F}}(P))$ , and  $\operatorname{Aut}_{\mathcal{E}_{1}^{\bullet}}(P) \trianglelefteq \operatorname{Aut}_{\mathcal{F}}(P)$ by (4.1),  $\operatorname{Aut}_{S_{1}}(P) = \operatorname{Aut}_{S}(P) \cap \operatorname{Aut}_{\mathcal{E}_{1}^{\bullet}}(P)$  is a Sylow *p*-subgroup of  $\operatorname{Aut}_{\mathcal{E}_{1}^{\bullet}}(P)$ . Also,  $[\operatorname{Aut}_{S_{1}}(P), P] = [\operatorname{Aut}_{S_{1}}(P_{1}), P_{1}] \leqslant [\operatorname{Aut}_{\mathcal{E}_{1}}(P_{1}), P_{1}]$ , so it remains to check that  $[H, P] \leqslant [\operatorname{Aut}_{\mathcal{E}_{1}}(P_{1}), P_{1}]$  for each  $H \leqslant \operatorname{Aut}_{\mathcal{E}_{1}^{\bullet}}(P)$  of order prime to *p*. For each such H,  $[H, P] \leqslant P_{1}$ by definition of  $\mathcal{E}_{1}^{\bullet}$ , [H, P] = [H, [H, P]] by [8, Theorem 5.3.6] or [2, 24.5], and so  $[H, P] \leqslant [H, P_{1}] \leqslant [\operatorname{Aut}_{\mathcal{E}_{1}}(P_{1}), P_{1}]$ .

The restriction map R in (iii) is well defined, since  $S_1$  is strongly closed in  $\mathcal{F}_1^{\bullet} \supseteq \mathcal{E}_1^{\bullet}$ . If  $\chi_1, \chi_2 \in \operatorname{Hom}_{\mathcal{E}_1^{\bullet}}(P, S)$  are such that  $R(\chi_1) = R(\chi_2) = \varphi$ , then  $\operatorname{Im}(\chi_1) = \varphi(P_1)S_2 = \operatorname{Im}(\chi_2)$ , and  $\chi_2^{-1} \circ \chi_1 \in \operatorname{Aut}_{\mathcal{E}_1^{\bullet}}(P)$  is the identity on  $P_1$ , and hence the identity on P by (i). Thus,  $\chi_1 = \chi_2$ , and so R is injective.

To see that R is surjective, fix  $\psi \in \operatorname{Hom}_{\mathcal{E}_1}(P_1, S_1)$ . By definition of  $\mathcal{E}_1^{\bullet}$  (or by (b)), we can write  $\psi = \psi_k \circ \cdots \circ \psi_1$ , where each  $\psi_i$  is the restriction of a  $\mathcal{E}_1^{\bullet}$ -morphism between subgroups in  $\mathcal{T}^c$ . If  $\psi_1 = \hat{\psi}_1|_{P_1}$ , where  $\hat{\psi}_1 \in \operatorname{Hom}_{\mathcal{E}_1^{\bullet}}(R, T)$  for  $R, T \in \mathcal{T}^c$ , then  $R \ge P_1 S_2 = P, \ Q := \hat{\psi}_1(P) \in \mathcal{T}^c$  by (a), and  $\psi_1(P_1) = Q_1$  since  $S_1$  is strongly closed in  $\mathcal{F}_1^{\bullet}$ . Thus,  $\psi_1$  extends to a morphism on P with image in  $\mathcal{T}^c$ , and by induction on k the same holds for  $\psi$ .

(e) Fix  $P_1 \leq S_1$ . Assume  $Q \in P_1^{\mathcal{F}}$  is fully centralized in  $\mathcal{F}$ , and fix  $\varphi \in \operatorname{Iso}_{\mathcal{F}}(P_1, Q)$ . Since Q is fully centralized,  $\varphi$  extends to some  $\bar{\varphi} \in \operatorname{Hom}_{\mathcal{F}}(P_1S_2, S)$  by the extension axiom. By (a),  $\bar{\varphi} \in \operatorname{Hom}_{\mathcal{F}_1^{\bullet}}(P_1S_2, S)$ . Thus,  $Q = \bar{\varphi}(P_1) \leq S_1$  since  $S_1$  is strongly closed in  $\mathcal{F}_1^{\bullet}$ , and Q is  $\mathcal{F}_1$ -conjugate to  $P_1$ . Since  $C_{S_1}(P_1) = C_{S_1}(Q)$  if and only if  $C_S(P_1) = C_S(Q)$ (and similarly for normalizers),  $P_1$  is fully centralized (fully normalized) in  $\mathcal{F}_1$  if and only if it is fully centralized (fully normalized) in  $\mathcal{F}$ .

Now fix  $P \in \mathcal{T}$ , and set  $P_1 = P \cap S_1$  as usual. Assume  $P_1$  is fully normalized in  $\mathcal{F}$ . Fix  $Q \in P^{\mathcal{F}}$  which is fully normalized in  $\mathcal{F}$ , and choose  $\varphi \in \operatorname{Iso}_{\mathcal{F}}(P,Q)$ . Then  $Q_1 := Q \cap S_1 = \varphi(P_1)$  since  $\varphi \in \operatorname{Mor}(\mathcal{F}_1^{\bullet})$  by (a) (and since  $S_1$  is strongly closed in  $\mathcal{F}_1^{\bullet}$ ). So  $|N_S(P)| = |N_S(P_1)| \ge |N_S(Q_1)| \ge |N_S(Q)|$ , and thus P is also fully normalized in  $\mathcal{F}$ .

To prove the corresponding result for fully centralized subgroups, first note that any  $R \leq S$  is fully centralized in  $\mathcal{F}$  if and only if  $|C_S(R) \cdot R|$  is maximal in the  $\mathcal{F}$ -conjugacy class of R. So assume  $P_1 \leq S_1$  is fully centralized in  $\mathcal{F}$ , and choose  $Q \leq S$  and  $\varphi \in$ Iso<sub> $\mathcal{F}$ </sub>(P,Q) as in the last paragraph. Thus,  $Q_1 = \varphi(P_1)$  by (a). Also,  $Q \leq C_S(Q_1) \cdot Q_1$  since  $P \leq C_S(P_1) \cdot P_1$ , so  $C_S(Q) \cdot Q \leq C_S(Q_1) \cdot Q_1$ . Hence,

$$|\mathcal{C}_S(P) \cdot P| = |\mathcal{C}_S(P_1) \cdot P_1| \ge |\mathcal{C}_S(Q_1) \cdot Q_1| \ge |\mathcal{C}_S(Q) \cdot Q|$$

(the equality since  $P \ge S_2$  and the first inequality since  $P_1$  is fully centralized), and so P is fully centralized in  $\mathcal{F}$  since Q is.

A subgroup  $R \leq S$  is  $\mathcal{F}$ -centric if and only if R is fully centralized in  $\mathcal{F}$  and  $R \geq C_S(R)$ , and similarly for  $\mathcal{F}_1$ -centric subgroups. Thus,  $P_1 \in \mathcal{F}_1^c$  implies  $P \in \mathcal{F}^c$  by the corresponding result for fully centralized subgroups. It remains to prove the converse.

Assume  $P \in \mathcal{F}^{c}$ ; equivalently,  $P \in \mathcal{T}^{c}$ . Choose a  $Q_{1} \leq S_{1}$  which is  $\mathcal{F}_{1}$ -conjugate to  $P_{1}$  and fully centralized in  $\mathcal{F}_{1}$  (hence also in  $\mathcal{F}$ ). Then any  $\varphi \in \operatorname{Iso}_{\mathcal{F}_{1}}(P_{1}, Q_{1})$  extends to some  $\bar{\varphi} \in \operatorname{Hom}_{\mathcal{F}}(P, S)$  by the extension axiom. Since  $P \in \mathcal{T}^{c}$ ,  $\bar{\varphi}(P) \geq S_{2}$  by (a), so  $\bar{\varphi}(P) = Q_{1}S_{2}$ , and  $C_{S}(Q_{1}S_{2}) = C_{S_{1}}(Q_{1})Z(S_{2}) \leq Q_{1}S_{2}$  since P is  $\mathcal{F}$ -centric. Hence,  $C_{S_{1}}(Q_{1}) \leq Q_{1}$ , and so  $Q_{1}, P_{1} \in \mathcal{F}_{1}^{c}$  since  $Q_{1}$  is fully centralized.

(c) If  $P \leq S_1$  is fully normalized in  $\mathcal{F}_1$ , then it is fully normalized in  $\mathcal{F}$  by (e), hence is fully centralized in  $\mathcal{F}$  and fully centralized in  $\mathcal{F}_1$  by (e). Also,  $\operatorname{Aut}_{S_1}(P) = \operatorname{Aut}_S(P)$  is a Sylow *p*-subgroup of  $\operatorname{Aut}_{\mathcal{F}_1}(P) = \operatorname{Aut}_{\mathcal{F}}(P)$ , and this proves the Sylow axiom for  $\mathcal{F}_1$ .

Assume  $\varphi \in \operatorname{Iso}_{\mathcal{F}_1}(P,Q)$ , where Q is fully centralized in  $\mathcal{F}_1$  and hence in  $\mathcal{F}$ . Let  $N_{\varphi} \leq N_{S_1}(P)$  be the subgroup of those g such that  $\varphi c_g \varphi^{-1} \in \operatorname{Aut}_{S_1}(Q)$ . Then  $\varphi$  extends to some  $\hat{\varphi} \in \operatorname{Hom}_{\mathcal{F}}(N_{\varphi}S_2, S)$  by the extension axiom for  $\mathcal{F}, \hat{\varphi} \in \operatorname{Hom}_{\mathcal{F}_1}(N_{\varphi}S_2, S)$  by (a), and so  $\hat{\varphi}(N_{\varphi}) \leq S_1$ , since  $S_1$  is strongly closed in  $\mathcal{F}_1^{\bullet}$ . Then  $\bar{\varphi} = \hat{\varphi}|_{N_{\varphi}} \in \operatorname{Hom}_{\mathcal{F}_1}(N_{\varphi}, S_1)$ , and this proves the extension axiom for  $\mathcal{F}_1$ . Thus,  $\mathcal{F}_1$  is saturated.

Recall that  $\mathcal{E}_1 = \mathcal{E}_1^{\bullet}|_{S_1}$ , where  $\mathcal{E}_1^{\bullet}$  is as described in (b). Hence,  $\mathcal{E}_1^{\bullet}$  is invariant under conjugation by elements in  $\operatorname{Aut}_{\mathcal{F}}(S)$ , and  $\mathcal{E}_1$  is invariant under conjugation by elements in  $\operatorname{Aut}_{\mathcal{F}_1}(S_1)$ .

We next show that

$$\mathcal{F}_{1}^{\bullet} = \langle \mathcal{E}_{1}^{\bullet}, \operatorname{Aut}_{\mathcal{F}}(S) \rangle.$$

$$(4.2)$$

Assume that  $P \ge S_2$  and that P is  $\mathcal{F}$ -essential. By (4.1),  $\operatorname{Aut}_{\mathcal{E}_1^{\bullet}}(P) \trianglelefteq \operatorname{Aut}_{\mathcal{F}}(P)$ . So  $\operatorname{Aut}_{\mathcal{E}_1^{\bullet}}(P) \cdot \operatorname{Inn}(P)$  is normal in  $\operatorname{Aut}_{\mathcal{F}}(P)$  and contains the Sylow 2-subgroup  $\operatorname{Aut}_S(P)$ 

(since  $\operatorname{Aut}_{P\cap S_1}(P) \leq \operatorname{Aut}_{\mathcal{E}_1^{\bullet}}(P)$ ). It follows that  $\operatorname{Aut}_{\mathcal{E}_1^{\bullet}}(P) \cdot \operatorname{Inn}(P) \geq O^{2'}(\operatorname{Aut}_{\mathcal{F}}(P))$ . Since

$$\mathcal{F}_1^{\bullet} = \langle \operatorname{Aut}_{\mathcal{F}}(S), O^{2'}(\operatorname{Aut}_{\mathcal{F}}(P)) \mid P \geqslant S_2 \text{ is } \mathcal{F}\text{-essential} \rangle$$

by Proposition 1.10 (b), this finishes the proof of (4.2).

Upon restricting to  $S_1$ , (4.2) implies that  $\mathcal{F}_1 = \langle \mathcal{E}_1, \operatorname{Aut}_{\mathcal{F}_1}(S_1) \rangle$ . We have already seen that  $\mathcal{E}_1$  is invariant under conjugation by elements of  $\operatorname{Aut}_{\mathcal{F}_1}(S_1)$ , so  $\mathcal{E}_1$  is  $\mathcal{F}_1$ -invariant.

Since  $P_1 \leq S_1$  is  $\mathcal{E}_1$ -centric if and only if  $P = P_1 S_2 \in \mathcal{T}^c$  by (e) (and Lemma 1.5), and since  $\mathcal{E}_1^{\bullet}$  is  $\mathcal{T}^c$ -generated by construction,  $\mathcal{E}_1$  is  $\mathcal{E}_1^c$ -generated. Hence, by Theorem 1.3, to show  $\mathcal{E}_1$  is saturated, it suffices to check the axioms on  $\mathcal{E}_1$ -centric subgroups (equivalently,  $\mathcal{F}_1$ -centric by Lemma 1.5). Also by Lemma 1.5, a subgroup of  $S_1$  is fully normalized (fully centralized) in  $\mathcal{E}_1$  if and only if it is in  $\mathcal{F}_1$ . In particular, if P is fully normalized in  $\mathcal{E}_1$ , then it is fully centralized, and  $\operatorname{Aut}_{S_1}(P) \in \operatorname{Syl}_p(\operatorname{Aut}_{\mathcal{E}_1}(P))$  since  $\operatorname{Aut}_{S_1}(P) \in \operatorname{Syl}_p(\operatorname{Aut}_{\mathcal{F}_1}(P))$ and  $\operatorname{Aut}_{\mathcal{E}_1}(P) \leq \operatorname{Aut}_{\mathcal{F}_1}(P)$ . The Sylow axiom thus holds.

Fix  $\varphi \in \operatorname{Iso}_{\mathcal{E}_1}(P_1, Q_1)$ , where  $P_1$  and  $Q_1$  are  $\mathcal{F}_1$ -centric, and set  $P = P_1S_2$  and  $Q = Q_1S_2$ . Thus,  $P, Q \in \mathcal{T}^c$  by (e). By (iii) and (b), there exists  $\psi \in \operatorname{Iso}_{\mathcal{F}}(P,Q)$  such that  $\psi|_{P_1} = \varphi$  and  $\psi(g) \in gS_1$  for all  $g \in P$ . Let  $N_{\varphi}$  be the group of all  $g \in N_{S_1}(P_1)$  such that  $\varphi c_g \varphi^{-1} \in \operatorname{Aut}_{S_1}(Q_1)$ . Fix  $g \in N_{\varphi}$  and choose  $h \in N_{S_1}(Q_1)$  such that  $\varphi \circ c_g = c_h \circ \varphi \in \operatorname{Iso}(P_1, Q_1)$ . Then  $\psi \circ c_g$  and  $c_h \circ \psi$  are two morphisms in  $\operatorname{Hom}_{\mathcal{F}}(P,Q)$  that are equal after restriction to  $P_1$ , and that both induce the identity from  $P/P_1$  to  $Q/Q_1$ . So, by (i), applied to  $(c_h \circ \psi)^{-1} \circ (\psi \circ c_g) \in \operatorname{Aut}_{\mathcal{F}}(P), \psi \circ c_g = c_h \circ \psi$ , and thus  $g \in N_{\psi}$ . By the extension axiom applied to  $\mathcal{F}, \psi$  extends to a morphism  $\bar{\psi} \in \operatorname{Hom}_{\mathcal{F}}(N_{\varphi}S_2, S)$ ,  $\bar{\psi} \in \operatorname{Mor}(\mathcal{E}_1^{\bullet})$ , since  $N_{\varphi}S_2 \in \mathcal{T}^c$ , and hence  $\bar{\psi}|_{N_{\varphi}} \in \operatorname{Hom}_{\mathcal{E}_1}(N_{\varphi}, S_1)$  extends  $\varphi$ .

We have now shown that  $\mathcal{E}_1$  is  $\mathcal{E}_1^c$ -saturated. Since  $\mathcal{E}_1^{\bullet}$  is  $\mathcal{T}^c$ -generated by definition,  $\mathcal{E}_1$  is  $\mathcal{E}_1^c$ -generated by (e). So  $\mathcal{E}_1$  is saturated by Theorem 1.3. We have already shown that it is  $\mathcal{F}_1$ -invariant, and hence it is weakly normal. By Theorem 1.7 (b),  $\mathcal{E}_1$  has index prime to p in  $\mathcal{F}_1$ .

The following is our main general proposition for decomposing a fusion system. Theorems 5.2 and 6.2 will follow as consequences of this.

**Proposition 4.4.** Fix a pair of 2-groups  $S_1$  and  $S_2$  and set  $S = S_1 \times S_2$ . Let  $\mathcal{F}$  be a saturated fusion system over S. For i = 1, 2, define

$$\mathcal{F}_i^{\bullet} = \langle \operatorname{Aut}_{\mathcal{F}}(P) \mid S_{3-i} \leqslant P \leqslant S, \ P \text{ is } \mathcal{F}\text{-essential or } P = S \rangle$$

as a fusion subsystem of  $\mathcal{F}$  over S. Assume that

- (a)  $O^2(\mathcal{F}) = O^{2'}(\mathcal{F}) = \mathcal{F}$ , and
- (b)  $S_i$  is strongly closed in  $\mathcal{F}_i^{\bullet}$  for i = 1, 2.

Then  $\mathcal{F} = \mathcal{F}_1 \times \mathcal{F}_2$  for some pair of saturated fusion systems  $\mathcal{F}_i$  over  $S_i$ .

**Proof.** For i = 1, 2, let  $pr_i \in Hom(S, S_i)$  be the projection, and set

$$\begin{aligned} \mathcal{T}_i &= \{ P \leqslant S \mid P \geqslant S_{3-i} \}, \\ \mathcal{T}_i^{\mathrm{c}} &= \mathcal{T}_i \cap \mathcal{F}^{\mathrm{c}}, \\ \mathcal{U} &= \{ P = P_1 P_2 \mid P_i \leqslant S_i, \ P_1 S_2, S_1 P_2 \in \mathcal{F}^{\mathrm{c}} \} = \{ P \cap Q \mid P \in \mathcal{T}_1^{\mathrm{c}}, \ Q \in \mathcal{T}_2^{\mathrm{c}} \}. \end{aligned}$$

In general, for any  $P \in \mathcal{U}$  or  $P \in \mathcal{T}_i$ , we set  $P_i = \operatorname{pr}_i(P) \leq S_i$  (so  $P = P_1P_2$ ). Since  $\operatorname{Aut}_{\mathcal{F}_i}(S) = \operatorname{Aut}_{\mathcal{F}_i}(S) = \operatorname{Aut}_{\mathcal{F}}(S)$  by definition of  $\mathcal{F}_i^{\bullet}$ , (b) implies that

$$\alpha \in \operatorname{Aut}_{\mathcal{F}}(S) \implies \alpha(S_1) = S_1 \quad \text{and} \quad \alpha(S_2) = S_2.$$
 (4.3)

Define restrictive subcategories  $\mathcal{E}_i^{\bullet}$  as in Lemma 4.3:  $\mathcal{E}_i^{\bullet}$  is the  $\mathcal{T}_i^{c}$ -generated restrictive subcategory of  $\mathcal{F}_i^{\bullet}$  where, for each  $P, Q \in \mathcal{T}_i^{c}$ ,

$$\operatorname{Hom}_{\mathcal{E}_{i}}(P,Q) = \{\varphi \in \operatorname{Hom}_{\mathcal{F}}(P,Q) \mid \varphi(g) \in gS_{i} \text{ for all } g \in P\}.$$

Set  $\mathcal{F}_i = \mathcal{F}_i^{\bullet}|_{S_i}$  and  $\mathcal{E}_i = \mathcal{E}_i^{\bullet}|_{S_i}$ . By Lemma 4.3 (c),  $\mathcal{E}_i$  and  $\mathcal{F}_i$  are both saturated fusion systems over  $S_i$ .

**Step 1.** We first show, for each i = 1 or i = 2, that

$$P \in \mathcal{U}, \quad \psi \in \operatorname{Hom}_{\mathcal{E}_{i}^{\bullet}}(P, S) \implies \psi(P) = \psi(P_{i})P_{3-i}, \quad \psi(g) \in gS_{i} \quad \forall g \in P.$$
(4.4)

To simplify the notation, we prove this for i = 1. Fix  $\psi \in \operatorname{Hom}_{\mathcal{E}_1^{\bullet}}(P, S)$ . Since  $\mathcal{E}_1^{\bullet}$  is  $\mathcal{T}_1^{c-}$ generated,  $\psi = \psi_m \circ \cdots \circ \psi_1$ , where each  $\psi_j$  is the restriction of some  $\chi_j \in \operatorname{Hom}_{\mathcal{E}_1^{\bullet}}(Q_j, R_j)$ for  $Q_j, R_j \in \mathcal{T}_1^{c}$ . Then  $P = P_1 P_2 \leqslant Q_1 \in \mathcal{T}_1^{c}$ , so  $P_1 S_2 \leqslant Q_1$ ,  $P_1 S_2 \in \mathcal{T}_1^{c}$ , and  $\chi_1(P_1 S_2) \in \mathcal{T}_1^{c}$  by Lemma 4.3(a). Thus,  $\chi_1(P_1 S_2) \geqslant \chi_1(P_1)S_2$ , with equality since they have the same order. Also,  $\chi_1(g) \in gS_1$  for each  $g \in P_1 S_2$  by definition of  $\mathcal{E}_1^{\bullet}$ , so  $\psi_1(P) = \chi_1(P) =$  $\chi_1(P_1)P_2 = \psi_1(P_1)P_2 \in \mathcal{U}$ . Upon continuing this argument with the other  $\psi_j$ , we see that  $\psi(P) = \psi(P_1)P_2$ , that  $\psi(g) \in gS_1$  for all  $g \in P$ , and also that  $\psi$  extends to  $\hat{\psi} \in \operatorname{Iso}_{\mathcal{E}_1^{\bullet}}(P_1S_2, \psi(P_1)S_2)$ . Since  $S_1P_2$  and  $\psi(P_1)S_2$  are both  $\mathcal{F}$ -centric,  $\psi(P) \in \mathcal{U}$ . This proves (4.4).

We next show, for i = 1 or i = 2, that for all  $P, Q \in \mathcal{U}$  with  $P_{3-i} = Q_{3-i}$ , restriction induces bijections

$$\operatorname{Hom}_{\mathcal{E}_{i}^{\bullet}}(P_{i}S_{3-i}, Q_{i}S_{3-i}) \xrightarrow{R_{1}} \operatorname{Hom}_{\mathcal{E}_{i}^{\bullet}}(P, Q) \xrightarrow{R_{2}} \operatorname{Hom}_{\mathcal{E}_{i}}(P_{i}, Q_{i}).$$
(4.5)

The map  $R_1$  is defined by (4.4), and  $R_2$  is defined since  $S_i$  is strongly closed in  $\mathcal{F}_i^{\bullet}$  (hence in  $\mathcal{E}_i^{\bullet}$ ). We just showed that  $R_1$  is surjective,  $R_2R_1$  is bijective by Lemma 4.3 (iii), and this proves (4.5).

Fix  $i = 1, 2, P \in \mathcal{T}_i^c$  and  $\varphi \in \operatorname{Hom}_{\mathcal{E}_i}(P, S)$ . Then  $\varphi|_{P_i} \in \operatorname{Mor}(\mathcal{E}_i)$ , so by Proposition 1.10 (a) (applied to the saturated fusion system  $\mathcal{E}_i$ ),  $\varphi|_{P_i} = \psi_k \circ \cdots \circ \psi_1$ , where each  $\psi_i$  is the restriction of an  $\mathcal{E}_i$ -automorphism of a subgroup of  $S_i$  that contains its source and target. Hence, by (4.5),  $\varphi = \hat{\psi}_k \circ \cdots \circ \hat{\psi}_1$ , where each  $\hat{\psi}_i$  is the restriction of an  $\mathcal{E}_i^{\bullet}$ -automorphism of a subgroup in  $\mathcal{T}_i^c$ . Thus, for i = 1, 2,

$$\mathcal{E}_{i}^{\bullet} = \langle \operatorname{Aut}_{\mathcal{E}_{i}^{\bullet}}(P) \mid P \in \mathcal{T}_{i}^{c} \rangle.$$

$$(4.6)$$

In particular,

$$\mathfrak{foc}(\mathcal{E}_i^{\bullet}) = \langle [\operatorname{Aut}_{\mathcal{E}_i^{\bullet}}(P), P] \mid P \in \mathcal{T}_i^{c} \rangle = \langle [\operatorname{Aut}_{\mathcal{E}_i}(P_i), P_i] \mid P \in \mathcal{T}_i^{c} \rangle = \mathfrak{foc}(\mathcal{E}_i), \quad (4.7)$$

where the second equality holds by Lemma 4.3(ii), and the third since by definition,

$$\langle [\operatorname{Aut}_{\mathcal{E}_i}(P_i), P_i] \mid P \in \mathcal{T}_i^c \rangle \leq \mathfrak{foc}(\mathcal{E}_i) \leq \mathfrak{foc}(\mathcal{E}_i^{\bullet}).$$

Notation.

For  $P \in \mathcal{U}$ ,  $i = 1, 2, Q_i \leq S_i$  and  $\varphi \in \operatorname{Hom}_{\mathcal{E}_i}(P_i, Q_i)$ , let

$$\varphi \uparrow^P \in \operatorname{Hom}_{\mathcal{E}_i}(P, Q_i P_{3-i})$$

be the morphism whose restriction to  $P_i$  is equal to  $\varphi$ . This exists and is unique by (4.5).

**Step 2.** By Lemma 4.3 (c),  $\mathcal{F}_i^{\bullet} = \langle \mathcal{E}_i^{\bullet}, \operatorname{Aut}_{\mathcal{F}}(S) \rangle$ . Hence,

$$\mathcal{F} = \langle \operatorname{Aut}_{\mathcal{F}}(P) \mid P = S \text{ or } P \text{ is } \mathcal{F}\text{-essential} \rangle = \langle \mathcal{F}_1^{\bullet}, \mathcal{F}_2^{\bullet} \rangle = \langle \mathcal{E}_1^{\bullet}, \mathcal{E}_2^{\bullet}, \operatorname{Aut}_{\mathcal{F}}(S) \rangle, \quad (4.8)$$

where the first equality follows from Proposition 1.10 (a) and the second from Lemma 1.11 (b) and the definition of  $\mathcal{F}_i^{\bullet}$ . We claim that

$$P \in \mathcal{U}, \quad \varphi \in \operatorname{Hom}_{\mathcal{F}}(P,S), \quad Q = \varphi(P)$$
  
$$\implies Q \in \mathcal{U}, \quad \varphi(P_1Z(P_2)) = Q_1Z(Q_2), \quad \varphi(P_2Z(P_1)) = Q_2Z(Q_1). \quad (4.9)$$

By (4.8), it suffices to prove this when  $\varphi = \psi|_P$  for some  $\psi \in \operatorname{Aut}_{\mathcal{F}}(S)$ , or when  $\varphi \in \operatorname{Hom}_{\mathcal{E}_i^{\bullet}}(P,S)$  for i = 1, 2. In the first case,  $\psi(S_i) = S_i$  for i = 1, 2 by (4.3). Hence,  $\varphi(P) = Q = Q_1 Q_2$ , where  $Q_i = \varphi(P_i) \leq S_i$ , and all of the claims in (4.9) follow immediately.

Now assume  $\varphi \in \operatorname{Hom}_{\mathcal{E}_{1}^{\bullet}}(P, S)$  (the argument for  $\mathcal{E}_{2}^{\bullet}$  is similar). By (4.4),  $Q = Q_{1}Q_{2} \in \mathcal{U}$   $(Q_{i} \leq S_{i})$ , where  $Q_{2} = P_{2}$  and  $Q_{1} = \varphi(P_{1})$ , and  $\varphi(g) \in gQ_{1}$  for each  $g \in P$ . In particular,  $\varphi(P_{1}Z(P_{2})) = Q_{1}Z(Q_{2})$ . Also,  $\varphi$  sends  $C_{P}(P_{1}) = Z(P_{1})P_{2}$  onto  $C_{Q}(Q_{1}) = Z(Q_{1})Q_{2}$ , and this finishes the proof of (4.9).

Again fix  $P = P_1P_2 \in \mathcal{U}$ , and consider  $\alpha \in \operatorname{Aut}_{\mathcal{F}}(P)$ . If  $g \in N_{S_1}(P)$  is such that  $\alpha c_g \alpha^{-1} \in \operatorname{Aut}_S(P)$ , then, by the extension axiom,  $\alpha$  extends to  $\beta \in \operatorname{Hom}_{\mathcal{F}}(\langle P, g \rangle, S)$ , where  $\beta(g) = h = h_1h_2$  for  $h_i \in N_{S_i}(P)$ . Set  $Q = \operatorname{Im}(\beta) = \langle P, h \rangle$ . By (4.9),  $\beta(\langle P_1, g \rangle) \leq \langle P_1, h_1 \rangle Z(P_2)$ , since  $Z(Q_2) \leq Z(P_2)$ , so  $h_2 \in Z(P_2)$  and  $\alpha c_g \alpha^{-1} = c_h = c_{h_1} \in \operatorname{Aut}_{S_1}(P)$ . After applying a similar argument to  $\operatorname{Aut}_{S_2}(P)$ , we have shown

 $P \in \mathcal{U} \implies \operatorname{Aut}_{S_1}(P), \operatorname{Aut}_{S_2}(P)$  strongly closed in  $\operatorname{Aut}_S(P)$  with respect to  $\operatorname{Aut}_{\mathcal{F}}(P)$ . (4.10)

Step 3. We next claim that

$$P \in \mathcal{U}, \quad Q_1 \leqslant S_1, \quad Q_2 \leqslant S_2, \quad \alpha \in \operatorname{Iso}_{\mathcal{E}_1}(P_1, Q_1), \quad \beta \in \operatorname{Iso}_{\mathcal{E}_2}(P_2, Q_2)$$
$$\implies (\beta \uparrow^{Q_1 P_2}) \circ (\alpha \uparrow^P) = (\alpha \uparrow^{P_1 Q_2}) \circ (\beta \uparrow^P). \quad (4.11)$$

https://doi.org/10.1017/S0013091512000120 Published online by Cambridge University Press

Assume first that  $P_2 = S_2$ , so that  $Q_2 = S_2$  and  $P \in \mathcal{T}_1^c$ . Set  $\hat{\beta} = \beta \uparrow^S \in \operatorname{Aut}_{\mathcal{E}_2^\bullet}(S)$ . Then  $\hat{\beta}(S_1) = S_1$  by (4.3), and hence  $\hat{\beta}|_{S_1} = \operatorname{Id}_{S_1}$  by (4.4). Thus, the composite

$$\psi := ((\hat{\beta}|_{Q_1P_2}) \circ (\alpha \uparrow^P))^{-1} \circ ((\alpha \uparrow^{P_1Q_2}) \circ (\hat{\beta}|_P)) \in \operatorname{Aut}_{\mathcal{F}}(P)$$

induces the identity on  $P_1$  and on  $P/P_1$ . Hence,  $\psi \in \operatorname{Aut}_{\mathcal{E}_1^{\bullet}}(P)$  by definition of  $\mathcal{E}_1^{\bullet}$ , and so  $\psi = \operatorname{Id}_P$  by the injectivity in (4.5). This proves (4.11) when  $P_2 = S_2$ , and a similar argument proves it when  $P_1 = S_1$ .

Now assume  $P_i < S_i$  for i = 1, 2. We can assume inductively that (4.11) holds for subgroups of order strictly larger than |P|. Thus, in the situation of (4.11), if  $\alpha$  is a composite of restrictions of isomorphisms  $\hat{\alpha}_i$  between strictly larger subgroups of  $S_1$ , then (4.11) holds for the  $\hat{\alpha}_i$ , and hence holds for  $\alpha$ . Similarly, if  $\beta$  is a composite of restrictions of isomorphisms between strictly larger subgroups of  $S_2$ , then (4.11) again holds. So, by Proposition 1.10 (a), we are now reduced to proving this when  $P_1 = Q_1$  is  $\mathcal{E}_1$ -essential and  $P_2 = Q_2$  is  $\mathcal{E}_2$ -essential.

Set  $G_i = \operatorname{Aut}_{\mathcal{E}_i^{\bullet}}(P)$  and  $G = \operatorname{Aut}_{\mathcal{F}}(P)$ . Then  $[G_i, P] = [\operatorname{Aut}_{\mathcal{E}_i}(P_i), P_i] \leq S_i$  by Lemma 4.3 (ii), so  $[G_1, P] \cap [G_2, P] = 1$ . Let  $H_i \leq G_i$  be the subgroup generated by automorphisms which extend (in  $\mathcal{E}_i^{\bullet}$ ) to larger subgroups. We showed in the last paragraph that  $[H_i, G_{3-i}] = 1$  for i = 1, 2. Also,

$$\operatorname{Out}_{\mathcal{E}_i}(P_i) = \operatorname{Aut}_{\mathcal{E}_i}(P_i) / \operatorname{Inn}(P_i) \cong \operatorname{Aut}_{\mathcal{E}_i^{\bullet}}(P) / \operatorname{Aut}_{P_i}(P) = G_i / \operatorname{Aut}_{P_i}(P),$$

where the isomorphism follows by (4.5). So, by Proposition 1.10 (c) applied to  $\operatorname{Out}_{\mathcal{E}_i}(P_i)$ ,  $H_i/\operatorname{Aut}_{P_i}(P)$  is strongly 2-embedded in  $G_i/\operatorname{Aut}_{P_i}(P)$ . By (4.10), both  $\operatorname{Aut}_{S_1}(P)$  and  $\operatorname{Aut}_{S_2}(P)$  are strongly closed in  $\operatorname{Aut}_S(P)$  with respect to  $\operatorname{Aut}_{\mathcal{F}}(P)$ . So  $[G_1, G_2] = 1$  by Corollary 2.9, applied to the actions of  $G_1, G_2 \leq G$  on P, and this finishes the proof of (4.11).

**Step 4.** Set  $\mathcal{E} = \langle \mathcal{E}_1^{\bullet}, \mathcal{E}_2^{\bullet} \rangle \subseteq \mathcal{F}$  as a fusion system over S. Thus,  $\mathcal{F} = \langle \mathcal{E}, \operatorname{Aut}_{\mathcal{F}}(S) \rangle$  by (4.8). For each  $\alpha \in \operatorname{Aut}_{\mathcal{F}}(S)$  and each i = 1, 2,  ${}^{\alpha} \mathcal{E}_i^{\bullet} = \mathcal{E}_i^{\bullet}$ , since  $\alpha(S_i) = S_i$  by (4.3), and hence  ${}^{\alpha} \mathcal{E} = \mathcal{E}$ . This proves that  $\mathcal{E}$  is  $\mathcal{F}$ -invariant. Throughout the remainder of this step, we prove that  $\mathcal{E}$  is saturated and hence a weakly normal subsystem of  $\mathcal{F}$ .

By (4.4), for  $i = 1, 2, P \in Q^{\mathcal{E}_i^{\bullet}}$  if and only if  $P_i \in Q_i^{\mathcal{E}_i}$  and  $P_{3-i} = Q_{3-i}$ . Also,  $\mathcal{E}$ -conjugacy is the equivalence relation generated by  $\mathcal{E}_1^{\bullet}$ - and  $\mathcal{E}_2^{\bullet}$ -conjugacy. Hence, for all  $P, Q \in \mathcal{U}$ ,

$$P \in Q^{\mathcal{E}} \iff P_i \in Q_i^{\mathcal{E}_i} \quad \text{for } i = 1, 2.$$

$$(4.12)$$

This in turn implies that

 $P \in \mathcal{U}$  and fully normalized in  $\mathcal{E} \implies P_i$  is fully normalized in  $\mathcal{E}_i$  for i = 1, 2. (4.13)

All elements of  $\mathcal{U}$  contain their centralizer by definition. Hence,  $\mathcal{U} \subseteq \mathcal{F}^c$  and  $\mathcal{U} \subseteq \mathcal{E}^c$ since  $\mathcal{U}$  is a union of  $\mathcal{F}$ -conjugacy classes by (4.9). Together with (4.12), this shows

$$P \in \mathcal{U} \implies P \in \mathcal{E}^{c}, \quad P \in \mathcal{F}^{c} \text{ and } P_{i} \text{ is } \mathcal{E}_{i} \text{-centric for } i = 1, 2.$$
 (4.14)

We next claim that

$$P, Q \in \mathcal{U}, \ \varphi \in \operatorname{Iso}_{\mathcal{E}}(P, Q) \implies \exists ! \ \varphi_1 \in \operatorname{Iso}_{\mathcal{E}_1^{\bullet}}(P, Q_1 P_2), \ \varphi_2 \in \operatorname{Iso}_{\mathcal{E}_2^{\bullet}}(Q_1 P_2, Q)$$
  
such that  $\varphi = \varphi_2 \circ \varphi_1.$  (4.15)

To see this, write  $\varphi = \psi_m \circ \cdots \circ \psi_1$ , where each  $\psi_i$  is an isomorphism in  $\mathcal{E}_1^{\bullet}$  or in  $\mathcal{E}_2^{\bullet}$ (recall  $\mathcal{E} = \langle \mathcal{E}_1^{\bullet}, \mathcal{E}_2^{\bullet} \rangle$ ). By (4.11), if, for some  $i, \psi_{i+1} \in \operatorname{Mor}(\mathcal{E}_1^{\bullet})$  and  $\psi_i \in \operatorname{Mor}(\mathcal{E}_2^{\bullet})$ , then  $\psi_{i+1} \circ \psi_i = \psi'_{i+1} \circ \psi'_i$  for some pair of isomorphisms  $\psi'_{i+1}$  in  $\mathcal{E}_2^{\bullet}$  and  $\psi'_i$  in  $\mathcal{E}_1^{\bullet}$ . We can thus arrange that the morphisms in  $\mathcal{E}_1^{\bullet}$  all come before morphisms in  $\mathcal{E}_2^{\bullet}$ . Hence, there exist isomorphisms  $\varphi_i$  in  $\mathcal{E}_i^{\bullet}$  such that  $\varphi = \varphi_2 \circ \varphi_1$ . If  $\varphi'_1$  and  $\varphi'_2$  are another such pair of isomorphisms, then  $\psi := (\varphi'_2)^{-1} \circ \varphi_2 = \varphi'_1 \circ \varphi_1^{-1}$  is an automorphism of  $Q_1 P_2$  in both  $\mathcal{E}_1^{\bullet}$  and  $\mathcal{E}_2^{\bullet}$ . Hence, by (4.4),  $\psi$  sends  $Q_1$  and  $P_2$  to themselves and induces the identity on  $Q_1 P_2/Q_1$  and on  $Q_1 P_2/P_2$ ; so  $\psi = \operatorname{Id}$ . Thus,  $\varphi'_i = \varphi_i$  for i = 1, 2, and the decomposition in (4.15) is unique.

We are now ready to prove that  $\mathcal{E}$  is saturated. For each  $i = 1, 2, \mathcal{E}_i^{\bullet}$  is  $\mathcal{T}_i^c$ -generated by definition, and hence is  $\mathcal{U}$ -generated, since  $\mathcal{U} \supseteq \mathcal{T}_i^c$ . So  $\mathcal{E} = \langle \mathcal{E}_1^{\bullet}, \mathcal{E}_2^{\bullet} \rangle$  is  $\mathcal{U}$ -generated.

Each  $P \in \mathcal{U}$  is  $\mathcal{E}$ -centric by (4.14), and hence is fully centralized in  $\mathcal{E}$ . If  $P = P_1 P_2 \in \mathcal{U}$ is fully normalized in  $\mathcal{E}$ , then each  $P_i$  is fully normalized in  $\mathcal{E}_i$  by (4.13),  $\operatorname{Aut}_S(P_i) \in$  $\operatorname{Syl}_2(\operatorname{Aut}_{\mathcal{E}_i}(P_i))$  for i = 1, 2 and so  $\operatorname{Aut}_S(P) \in \operatorname{Syl}_2(\operatorname{Aut}_{\mathcal{E}}(P))$ , since by (4.15) and (4.5)  $|\operatorname{Aut}_{\mathcal{E}}(P)| = |\operatorname{Aut}_{\mathcal{E}_1}(P_1)| \cdot |\operatorname{Aut}_{\mathcal{E}_2}(P_2)|$ . The Sylow axiom thus holds for subgroups in  $\mathcal{U}$ .

Next fix  $P, Q \in \mathcal{U}$  and  $\varphi \in \operatorname{Iso}_{\mathcal{E}}(P,Q)$ . Let  $\varphi = \varphi_2 \circ \varphi_1$  be the decomposition of (4.15)  $(\varphi_i \in \operatorname{Mor}(\mathcal{E}_i^{\bullet}))$ , and set  $\chi_i = \varphi_i|_{P_i} \in \operatorname{Hom}_{\mathcal{E}_i}(P_i, Q_i)$ . As usual, let  $N_{\varphi} \leq N_S(P)$  be the subgroup of all  $g \in N_S(P)$  such that  $\varphi c_g \varphi^{-1} \leq \operatorname{Aut}_S(Q)$ , and similarly for  $N_{\chi_i} \leq N_{S_i}(P_i)$ . Set  $N_i = N_{\chi_i}$  for short, and  $N = N_1 N_2$ .

Fix  $g \in N_{\varphi}$ , and choose  $h \in N_S(Q)$  such that  $\varphi c_g \varphi^{-1} = c_h$ . Write  $g = g_1 g_2$  and  $h = h_1 h_2$ , where  $g_i, h_i \in S_i$ . Thus,  $c_h \circ \varphi = \varphi \circ c_g$ , and hence

$$(c_{h_2} \circ \varphi_2) \circ (c_{h_1} \circ \varphi_1) = c_{h_2} \circ c_{h_1} \circ \varphi_2 \circ \varphi_1 = \varphi_2 \circ \varphi_1 \circ c_{g_2} \circ c_{g_1} = (\varphi_2 \circ c_{g_2}) \circ (\varphi_1 \circ c_{g_1}),$$

where the first and third equalities follow from (4.11). By the uniqueness in (4.15),  $c_{h_i} \circ \varphi_i = \varphi_i \circ c_{g_i}$  for i = 1, 2, so  $g_i \in N_i$  and  $g \in N$ . Thus,  $N_{\varphi} \leq N$ . Since  $\mathcal{E}_i$  is saturated and  $Q_i$  is  $\mathcal{E}_i$ -centric by (4.14) (hence fully centralized),  $\chi_i$  extends to a morphism  $\bar{\chi}_i \in \operatorname{Hom}_{\mathcal{E}_i}(N_i, S_i)$ . Thus, by (4.5),  $\varphi$  extends to

$$\bar{\chi}_2 \uparrow^{S_1N_2} \circ \bar{\chi}_1 \uparrow^N \in \operatorname{Hom}_{\mathcal{E}}(N, S).$$

Since  $N_{\varphi} \leq N$ , this proves the extension axiom for  $\mathcal{E}$  on subgroups in  $\mathcal{U}$ .

We have now shown that  $\mathcal{E}$  is  $\mathcal{U}$ -saturated and  $\mathcal{U}$ -generated. Assume  $P \leq S$  is  $\mathcal{E}$ -centric but not in  $\mathcal{U}$ , and set  $P_i = \operatorname{pr}_i(P)$ . Then P is  $\mathcal{F}$ -centric by Lemma 1.5 and since  $\mathcal{E}$  is  $\mathcal{F}$ -invariant, so  $P_1S_2$  and  $S_1P_2$  are  $\mathcal{F}$ -centric, and thus  $P_1P_2 \in \mathcal{U}$ . Since  $P \notin \mathcal{U}$ , this implies  $P < P_1P_2$ , and hence  $\operatorname{Out}_S(P) \cap O_2(\operatorname{Out}(P)) \neq 1$  by Lemma 1.11. Thus,  $\mathcal{E}$  is saturated by Theorem 1.3.

**Step 5.** Now,  $\mathcal{E}$  is weakly normal in  $\mathcal{F}$ , since it is saturated and  $\mathcal{F}$ -invariant by step 4. Also,  $\mathcal{E}$  and  $\mathcal{F}$  are both fusion systems over S, so  $\mathcal{E}$  has odd index in  $\mathcal{F}$  by Theorem 1.7 (b). Thus,  $\mathcal{E} = \mathcal{F}$ , since  $O^{2'}(\mathcal{F}) = \mathcal{F}$ .

Since  $\mathcal{E} = \langle \mathcal{E}_1^{\bullet}, \mathcal{E}_2^{\bullet} \rangle$ ,  $\mathfrak{foc}(\mathcal{E}) = \langle \mathfrak{foc}(\mathcal{E}_1^{\bullet}), \mathfrak{foc}(\mathcal{E}_2^{\bullet}) \rangle$ . By (4.7),  $\mathfrak{foc}(\mathcal{E}_i^{\bullet}) = \mathfrak{foc}(\mathcal{E}_i) \leq S_i$ . Since  $O^2(\mathcal{F}) = \mathcal{F}$ ,  $\mathfrak{foc}(\mathcal{E}) = S$  by Theorem 1.7 (a), and thus  $\mathfrak{foc}(\mathcal{E}_i^{\bullet}) = S_i$  for i = 1, 2.

Fix  $P \in \mathcal{T}_1^c$  and  $Q \in \mathcal{T}_2^c$ , and set  $R = P \cap Q = P_1 Q_2 \in \mathcal{U}$ . Then  $[\operatorname{Aut}_{\mathcal{E}_1^{\bullet}}(R), \operatorname{Aut}_{\mathcal{E}_2^{\bullet}}(R)] = 1$  by (4.11) and (4.5), and  $[\operatorname{Aut}_{\mathcal{E}_i^{\bullet}}(R), R] \leq R \cap \mathfrak{foc}(\mathcal{E}_i^{\bullet}) = R_i \ (i = 1, 2)$ . So, by Lemma 2.10 (applied with K = R and  $G_i = \operatorname{Aut}_{\mathcal{E}_i^{\bullet}}(R)$ ),  $\operatorname{Aut}_{\mathcal{E}_1^{\bullet}}(R)$  acts trivially on  $[\operatorname{Aut}_{\mathcal{E}_2^{\bullet}}(R), R]$ , and in particular on  $[\operatorname{Aut}_{\mathcal{E}_2}(Q_2), Q_2]$ . For fixed P, the groups  $[\operatorname{Aut}_{\mathcal{E}_2}(Q_2), Q_2]$  (for all  $Q \in \mathcal{T}_2^c$ ) generate  $\mathfrak{foc}(\mathcal{E}_2^{\bullet}) = \mathfrak{foc}(\mathcal{E}_2) = S_2$  by (4.7), and hence  $[\operatorname{Aut}_{\mathcal{E}_1^{\bullet}}(P), S_2] = 1$ . Since by (4.6)  $\mathcal{E}_1^{\bullet}$  is generated by such automorphisms, this proves that  $S_2$  is strongly closed in  $\mathcal{E}_1^{\bullet}$ .

Since each  $S_i$  is strongly closed in  $\mathcal{E}_i^{\bullet} \subseteq \mathcal{F}_i^{\bullet}$  by assumption, this proves that  $S_1$  and  $S_2$  are strongly closed in  $\mathcal{F} = \mathcal{E} = \langle \mathcal{E}_1^{\bullet}, \mathcal{E}_2^{\bullet} \rangle$ . Each morphism in  $\mathcal{E}_i^{\bullet}$  extends to a morphism that is the identity on  $S_{3-i}$ . So, by definition of the product of fusion systems (and since  $\mathcal{E}_i^{\bullet} = \mathcal{E}_i|_{S_i}$ ),  $\mathcal{F} = \langle \mathcal{E}_1^{\bullet}, \mathcal{E}_2^{\bullet} \rangle = \mathcal{E}_1 \times \mathcal{E}_2$ .

## 5. A first application of Proposition 4.4

Recall that, when G is a group and  $H \leq G$  is a subgroup, K is a normal complement to H in G if  $K \leq G$ ,  $K \cap H = 1$  and KH = G. Equivalently, K is a normal complement exactly when the inclusions of H and K into G induce an isomorphism  $K \rtimes H \xrightarrow{\cong} G$ .

**Lemma 5.1.** Fix a pair of p-groups  $S_1$  and  $S_2$ , and set  $S = S_1 \times S_2$ . Let  $\mathcal{F}$  be a saturated fusion system over S. Set

$$\mathcal{F}_1^{\bullet} = \langle \operatorname{Aut}_{\mathcal{F}}(P) \mid S_2 \leqslant P \leqslant S, \ P \text{ is } \mathcal{F}\text{-essential or } P = S \rangle,$$

and assume  $\Omega_1(Z(S_2))$  is strongly closed in  $\mathcal{F}_1^{\bullet}$ . Then there is a normal complement  $S_1^0$  to  $Z(S_2)$  in  $S_1Z(S_2)$  that is strongly closed in  $\mathcal{F}_1^{\bullet}$ .

**Proof.** For i = 1, 2, set  $Z_i = Z(S_i)$  and  $\hat{S}_i = S_i Z_{3-i}$ . If P = S or  $P \ge S_2$  is  $\mathcal{F}$ -essential, then  $\operatorname{Aut}_{\mathcal{F}}(P)$  sends  $\Omega_1(Z_2)$  to itself, and hence  $P \cap \hat{S}_1$  is  $\operatorname{Aut}_{\mathcal{F}}(P)$ -invariant by Proposition 3.2 (a). Since  $\mathcal{F}_1^{\bullet}$  is generated by such automorphisms,  $\hat{S}_1$  is strongly closed in  $\mathcal{F}_1^{\bullet}$ .

Let  $pr_2 \in Hom(S, S_2)$  be the projection. We claim that

$$\varphi \in \operatorname{Hom}_{\mathcal{F}}(S_2, S) \implies \varphi(\Omega_1(Z_2)) = \Omega_1(Z_2) \quad \text{and} \quad \operatorname{pr}_2(\varphi(S_2)) = S_2.$$
 (5.1)

By Proposition 1.10 (a), each such  $\varphi$  decomposes as a composite  $\varphi = \psi_m \circ \cdots \circ \psi_1$ , where each  $\psi_i$  is the restriction of an  $\mathcal{F}$ -automorphism of  $Q_i$ , and  $Q_i = S$  or  $Q_i$  is  $\mathcal{F}$ -essential. Let  $j \leq m$  be such that  $Q_i \geq S_2$  for all  $i = 1, \ldots, j$ , and either j = m or  $Q_{j+1} \not\geq S_2$ . Set  $\varphi^* = \psi_j \circ \cdots \circ \psi_1$ . For each  $i \leq j$ ,  $\psi_i(\Omega_1(Z_2)) = \Omega_1(Z_2)$  by assumption. Hence,  $\varphi^*(\Omega_1(Z_2)) = \Omega_1(Z_2)$ , and  $\operatorname{pr}_2 \circ \varphi^*$  is injective, since the kernel must contain a central element of order p. Thus,  $\operatorname{pr}_2(\varphi^*(S_2)) = S_2$ . If j < m, then  $\operatorname{pr}_2(Q_{j+1}) \geq \operatorname{pr}_2(\varphi^*(S_2)) =$  $S_2$ , and since  $Q_{j+1} = R_1R_2$  for some  $R_i \leq S_i$  by Lemma 1.11 (a) this implies  $Q_{j+1} \geq S_2$ . That contradicts the original choice of j, and thus j = m and  $\varphi^* = \varphi$ . This proves (5.1).

Set  $\hat{\mathcal{F}}_1 = \mathcal{F}|_{\hat{S}_1}$ . In other words,  $\operatorname{Hom}_{\hat{\mathcal{F}}_1}(P,Q) = \operatorname{Hom}_{\mathcal{F}}(P,Q)$  for  $P,Q \leq \hat{S}_1$ . We will show in step 1 that  $\hat{\mathcal{F}}_1$  is saturated. Then, in step 2, we construct  $S_1^0$  as the kernel of a certain homomorphism defined using the transfer for  $C_{\hat{\mathcal{F}}_1}(\Omega_1(Z_2))$ .

Step 1. We first claim that

 $P \leq S$  is fully centralized in  $\mathcal{F}$  and  $\mathcal{F}$ -conjugate to  $Q \leq \hat{S}_1 \implies P \leq \hat{S}_1.$  (5.2)

To see this, fix such P and Q, and choose  $\varphi \in \operatorname{Iso}_{\mathcal{F}}(Q, P)$ . Since  $S_2 \leq C_S(Q)$ ,  $\varphi$  extends to some  $\bar{\varphi} \in \operatorname{Hom}_{\mathcal{F}}(QS_2, S)$ , and  $P = \varphi(Q) \leq C_S(\bar{\varphi}(S_2))$ . By (5.1),  $\operatorname{pr}_2(\bar{\varphi}(S_2)) = S_2$ , and hence  $C_S(\bar{\varphi}(S_2)) \leq \hat{S}_1$ . Thus,  $P \leq \hat{S}_1$ .

Fix  $P \leq \hat{S}_1$ , and choose  $Q \in P^{\mathcal{F}}$  which is fully normalized in  $\mathcal{F}$ . Then  $Q \leq \hat{S}_1$  (hence  $Q \in P^{\hat{\mathcal{F}}_1}$ ) by (5.2). For each  $R \leq \hat{S}_1$ ,  $|N_S(R)| = |N_{\hat{S}_1}(R)| \cdot [S_2 : Z_2]$  and  $|C_S(R)| = |C_{\hat{S}_1}(R)| \cdot [S_2 : Z_2]$ . Thus, Q is fully normalized and fully centralized in  $\hat{\mathcal{F}}_1$  since it is fully normalized and fully centralized in  $\mathcal{F}$ . Hence,

$$P \leqslant \hat{S}_1 \text{ is fully normalized in } \hat{\mathcal{F}}_1 \iff |N_{\hat{S}_1}(P)| = |N_{\hat{S}_1}(Q)|$$
$$\iff |N_S(P)| = |N_S(Q)|$$
$$\iff P \text{ is fully normalized in } \mathcal{F}.$$

By a similar argument, P is fully centralized in  $\hat{\mathcal{F}}_1$  if and only if it is fully centralized in  $\mathcal{F}$ . So if P is fully normalized in  $\hat{\mathcal{F}}_1$ , then it is fully centralized in  $\hat{\mathcal{F}}_1$  by the Sylow axiom for  $\mathcal{F}$ . Also,  $\operatorname{Aut}_{\hat{\mathcal{S}}_1}(P) = \operatorname{Aut}_{\mathcal{S}}(P)$  is a Sylow p-subgroup of  $\operatorname{Aut}_{\hat{\mathcal{F}}_1}(P) = \operatorname{Aut}_{\mathcal{F}}(P)$ , and this proves the Sylow axiom for  $\hat{\mathcal{F}}_1$ .

Assume  $\varphi \in \operatorname{Iso}_{\hat{\mathcal{F}}_1}(P,Q)$ , where Q is fully centralized in  $\hat{\mathcal{F}}_1$  and hence in  $\mathcal{F}$ . Let  $N_{\varphi} \leq N_{\hat{S}_1}(P)$  be the subgroup of those g such that  $\varphi c_g \varphi^{-1} \in \operatorname{Aut}_{\hat{S}_1}(Q)$ . Then  $\varphi$  extends to some  $\hat{\varphi} \in \operatorname{Hom}_{\mathcal{F}}(N_{\varphi}S_2,S)$  by the extension axiom for  $\mathcal{F}$ ,  $\operatorname{pr}_2(\hat{\varphi}(S_2)) = S_2$  by (5.1), and so  $\hat{\varphi}(N_{\varphi}) \leq C_S(\hat{\varphi}(S_2)) \leq \hat{S}_1$ . Hence,  $\hat{\varphi}|_{N_{\varphi}} \in \operatorname{Hom}_{\hat{\mathcal{F}}_1}(N_{\varphi}, \hat{S}_1)$  since  $\hat{\mathcal{F}}_1 = \mathcal{F}|_{\hat{S}_1}$ , and this proves the extension axiom for  $\hat{\mathcal{F}}_1$ . Thus,  $\hat{\mathcal{F}}_1$  is saturated.

Step 2. Fix  $P, Q \leq \hat{S}_1$  and  $\varphi \in \operatorname{Iso}_{\hat{\mathcal{F}}_1}(P,Q)$ . Choose an  $R \in Q^{\mathcal{F}}$ , which is fully centralized in  $\mathcal{F}$ , and fix  $\psi \in \operatorname{Iso}_{\mathcal{F}}(Q,R)$ . By the extension axiom for  $\mathcal{F}$ , there are morphisms  $\bar{\psi} \in \operatorname{Hom}_{\mathcal{F}}(QS_2,S)$  and  $\bar{\varphi} \in \operatorname{Hom}_{\mathcal{F}}(PS_2,S)$  such that  $\bar{\psi}|_Q = \psi$  and  $\bar{\varphi}|_P = \psi \circ \varphi$ . Then  $\bar{\psi}(\Omega_1(Z_2)) = \Omega_1(Z_2)$  and  $\bar{\varphi}(\Omega_1(Z_2)) = \Omega_1(Z_2)$  by (5.1), and so  $\bar{\psi}^{-1} \circ \bar{\varphi}|_{P\Omega_1(Z_2)} \in \operatorname{Hom}_{\hat{\mathcal{F}}_1}(P\Omega_1(Z_2), Q\Omega_1(Z_2))$  is an extension of  $\varphi$  that sends  $\Omega_1(Z_2)$  to itself. This proves that  $\Omega_1(Z_2) \leq \hat{\mathcal{F}}_1$ .

Consider the centralizer fusion subsystem  $\hat{\mathcal{E}}_1 = C_{\hat{\mathcal{F}}_1}(\Omega_1(Z_2))$ . This is the fusion system over  $\hat{S}_1 = C_{\hat{S}_1}(\Omega_1(Z_2))$  where, for each  $P, Q \leq \hat{S}_1$ ,

$$\begin{split} \operatorname{Hom}_{\hat{\mathcal{E}}_1}(P,Q) &= \{\varphi \in \operatorname{Hom}_{\hat{\mathcal{F}}_1}(P,Q) \mid \varphi = \bar{\varphi}|_P \text{ for some} \\ &\bar{\varphi} \in \operatorname{Hom}_{\hat{\mathcal{F}}_1}(P\Omega_1(Z_2), Q\Omega_1(Z_2)) \text{ with } \bar{\varphi}|_{\Omega_1(Z_2)} = \operatorname{Id} \}. \end{split}$$

By [6, Proposition A.6], this is a saturated fusion system, and by [1, Proposition 1.16(c)] (and since  $\Omega_1(Z_2) \leq \hat{\mathcal{F}}_1$ ) it is weakly normal in  $\hat{\mathcal{F}}_1$ . It has index prime to p in  $\hat{\mathcal{F}}_1$ , since it is a weakly normal fusion subsystem over the same p-group (Theorem 1.7 (b)).

Consider the composite homomorphism

$$f: \hat{S}_1 \xrightarrow{\text{proj}} \hat{S}_1 / \mathfrak{foc}(\hat{\mathcal{E}}_1) \xrightarrow{\text{trf}} \hat{S}_1 / [\hat{S}_1, \hat{S}_1] \xrightarrow{\text{pr}_2} Z_2,$$

where trf is the transfer homomorphism of Proposition 1.12, and the first map is the canonical projection. By that proposition, f(z) = z for  $z \in \Omega_1(Z_2)$ . The actions of  $\operatorname{Aut}_{\hat{\mathcal{F}}_1}(\hat{S}_1)$  on  $\hat{S}_1/[\hat{S}_1, \hat{S}_1]$ ,  $\hat{S}_1/\mathfrak{foc}(\hat{\mathcal{E}}_1)$  and  $Z_2$  all factor through the group  $\Gamma := \operatorname{Out}_{\hat{\mathcal{F}}_1}(\hat{S}_1)$  of order prime to p. Define  $\hat{f} \in \operatorname{Hom}(\hat{S}_1, Z_2)$  by taking the product over the elements of this group:

$$\hat{f}(g) = \prod_{[\alpha] \in \Gamma} \alpha(f(\alpha^{-1}(g))).$$

This is well defined since f(g) depends only on  $[g] \in \hat{S}_1/[\hat{S}_1, \hat{S}_1]$ . Then  $\hat{f}$  is  $\operatorname{Aut}_{\hat{\mathcal{F}}_1}(\hat{S}_1)$ -linear, and  $\hat{f}(z) = z^{|\Gamma|}$  for  $z \in \Omega_1(\mathbb{Z}_2)$ . Hence,  $\hat{f}|_{\mathbb{Z}_2}$  is an isomorphism (recall  $p \nmid |\Gamma|$ ).

Set  $S_1^0 = \operatorname{Ker}(\hat{f})$ . Then  $S_1^0$  is a normal complement to  $Z_2$  in  $\hat{S}_1$  since  $\hat{f}|_{Z_2}$  is an isomorphism,  $S_1^0$  is  $\operatorname{Aut}_{\hat{\mathcal{F}}_1}(\hat{S}_1)$ -invariant since  $\hat{f}$  is  $\operatorname{Aut}_{\hat{\mathcal{F}}_1}(\hat{S}_1)$ -linear and it is strongly closed in  $\hat{\mathcal{E}}_1$  since it contains its focal subgroup. Also,  $S_1^0$  is strongly closed in  $\hat{\mathcal{F}}_1$  since  $\hat{\mathcal{F}}_1 = \langle \hat{\mathcal{E}}_1, \operatorname{Aut}_{\hat{\mathcal{F}}_1}(\hat{S}_1) \rangle$  (recall  $\hat{\mathcal{E}}_1$  is weakly normal in  $\hat{\mathcal{F}}_1$ .) We have already seen that  $\hat{S}_1$  is strongly closed in  $\mathcal{F}_1^{\bullet}$ , and thus  $S_1^0$  is strongly closed in  $\mathcal{F}_1^{\bullet}$ .

The following theorem gives a more explicit set of conditions that imply a splitting of a fusion system.

**Theorem 5.2.** Fix a pair of 2-groups  $S_1$  and  $S_2$  such that  $\Omega_1(Z(S_1)) \leq [S_1, S_1]$ , and set  $S = S_1 \times S_2$ . Let  $\mathcal{F}$  be a saturated fusion system over S such that  $O^2(\mathcal{F}) = \mathcal{F} = O^{2'}(\mathcal{F})$ . Assume, for i = 1, 2, that, whenever P = S or P is an  $\mathcal{F}$ -essential subgroup which contains  $S_i$ ,  $\Omega_1(Z(S_i))$  is  $\operatorname{Aut}_{\mathcal{F}}(P)$ -invariant. Then there are saturated fusion systems  $\mathcal{F}_i$  over  $S_i$  and  $\alpha \in \operatorname{Aut}(S)$  such that  ${}^{\alpha}\mathcal{F} = \mathcal{F}_1 \times \mathcal{F}_2$ .

**Proof.** Set  $Z_i = Z(S_i)$  for short. As in Lemmas 4.3 and 5.1, set

$$\mathcal{F}_i^{\bullet} = \langle \operatorname{Aut}_{\mathcal{F}}(P) \mid S_{3-i} \leqslant P \leqslant S, P \text{ is } \mathcal{F}\text{-essential or } P = S \rangle.$$

By assumption,  $\Omega_1(Z_i)$  is strongly closed in  $\mathcal{F}^{\bullet}_{3-i}$ .

Set  $\hat{S}_i = S_i Z_{3-i}$ . By Lemma 5.1, for each i = 1, 2 there is a normal complement  $S_i^0$  to  $Z_{3-i}$  in  $\hat{S}_i$  that is strongly closed in  $\mathcal{F}_i^{\bullet}$ . Also,  $[S_1^0, S_1^0] = [\hat{S}_1, \hat{S}_1] = [S_1, S_1]$ , so  $\Omega_1(Z_1) \leq [S_1, S_1] \leq S_1^0$  by assumption.

Now,  $S_1^0 \cap S_2^0 \leq \hat{S}_1 \cap \hat{S}_2 = Z(S)$ . Hence, if  $S_1^0 \cap S_2^0 \neq 1$ , there is some  $1 \neq z_1 z_2 \in S_1^0 \cap S_2^0$ where  $z_i \in \Omega_1(Z_i)$ . Since  $z_1 \in \Omega_1(Z_1) \leq S_1^0$ , this implies  $z_2 \in S_1^0$ , which is impossible since  $S_1^0$  is a normal complement to  $Z_2$  in  $\hat{S}_1$ . Thus,  $S_1^0 \cap S_2^0 = 1$ . Hence, there is an automorphism  $\alpha \in \operatorname{Aut}(S)$  that is the identity modulo Z(S) such that  $\alpha(S_i^0) = S_i$ .

Since  $\alpha$  is the identity modulo Z(S), it sends each  $\mathcal{F}$ -essential subgroup to itself. Hence,  ${}^{\alpha}\mathcal{F}_{1}^{\bullet}$  and  ${}^{\alpha}\mathcal{F}_{2}^{\bullet}$  are defined in terms of  ${}^{\alpha}\mathcal{F}$  in the same way as the  $\mathcal{F}_{i}^{\bullet}$  are defined in terms of  $\mathcal{F}$ . Also,  $S_{i}$  is strongly closed in  ${}^{\alpha}\mathcal{F}_{i}^{\bullet}$  for i = 1, 2. So, by Proposition 4.4,  ${}^{\alpha}\mathcal{F}$  splits as a product of saturated fusion systems over  $S_{1}$  and  $S_{2}$ .

The following corollary gives explicit conditions in terms of the 2-groups  $S_1$  and  $S_2$  which imply the existence of a splitting.

**Corollary 5.3.** Fix a pair of non-trivial 2-groups  $S_1$  and  $S_2$ , and set  $S = S_1 \times S_2$ . Assume the following conditions hold for each i = 1, 2:

- (i)  $S_i$  is indecomposable and  $\Omega_1(Z(S_i)) \leq [S_i, S_i]$ ; and
- (ii)  $S_{3-i}$  contains no subgroup isomorphic to  $S_i \times S_i$ .

Then, for every saturated fusion system  $\mathcal{F}$  over S such that  $O^2(\mathcal{F}) = \mathcal{F} = O^{2'}(\mathcal{F})$ , there are saturated fusion systems  $\mathcal{F}_i$  over  $S_i$  and  $\alpha \in \operatorname{Aut}(S)$  such that  ${}^{\alpha}\mathcal{F} = \mathcal{F}_1 \times \mathcal{F}_2$ .

**Proof.** Fix a saturated fusion system  $\mathcal{F}$  over S. For i = 1 or 2, fix  $P \leq S$  containing  $S_i$  such that P = S or P is  $\mathcal{F}$ -essential. Thus,  $P = S_i P_0$  for some  $P_0 \leq S_{3-i}$ , and we must show that  $\Omega_1(Z(S_i))$  is  $\operatorname{Aut}_{\mathcal{F}}(P)$ -invariant. Let  $\operatorname{Aut}^0_{\mathcal{F}}(P) \leq \operatorname{Aut}_{\mathcal{F}}(P)$  be the subgroup of elements that send  $\Omega_1(Z(S_i))$  to itself. Since  $S_{3-i}$  contains no subgroup isomorphic to  $S_i \times S_i$  by (ii),  $\operatorname{Aut}^0_{\mathcal{F}}(P)$  has index at most two in  $\operatorname{Aut}_{\mathcal{F}}(P)$  by Proposition 3.2 (c). Since  $\operatorname{Aut}^0_{\mathcal{F}}(P)$  contains the Sylow 2-subgroup  $\operatorname{Aut}_S(P)$  (P is fully normalized since it is  $\mathcal{F}$ -essential), it is equal to  $\operatorname{Aut}_{\mathcal{F}}(P)$ . The hypotheses of Theorem 5.2 thus hold, and the result follows.

### 6. Dihedral, semi-dihedral and wreathed 2-groups

We now look at a different set of conditions that imply a splitting of a fusion system. Theorem 5.2 puts some fairly strict conditions on both factors  $S_1$  and  $S_2$ . When one of the factors is dihedral, semi-dihedral or a wreath product  $C_{2^n} \wr C_2$ , the conditions on the other factor can be greatly relaxed.

**Lemma 6.1.** Assume  $S \cong D_{2^n}$   $(n \ge 3)$ ,  $SD_{2^n}$   $(n \ge 4)$  or  $C_{2^n} \wr C_2$   $(n \ge 2)$ . Then

(a)  $\operatorname{Aut}(S)$  is a 2-group, and [S, S] is cyclic.

Define a set  $\mathcal{H}$  of subgroups of S as follows:

- if  $S = \langle a, b \rangle \cong D_{2^n}$   $(n \ge 3)$ , where  $|a| = 2^{n-1}$ , then  $\mathcal{H} = \{T_i \mid i \in \mathbb{Z}\}$ , where  $T_i = \langle a^{2^{n-2}}, a^i b \rangle \cong C_2^2$ ;
- if  $S = \langle a, b \rangle \cong SD_{2^n}$   $(n \ge 4)$ , where  $|a| = 2^{n-1}$  and |b| = 2, then  $\mathcal{H} = \{T_{2i}, Q_{2i+1} \mid i \in \mathbb{Z}\}$ , where  $T_{2i} = \langle a^{2^{n-2}}, a^{2i}b \rangle \cong C_2^2$  and  $Q_{2i+1} = \langle a^{2^{n-3}}, a^{2i+1}b \rangle \cong Q_8$ ;
- if  $S = \langle a, b, t \rangle \cong \mathbb{C}_{2^n} \wr \mathbb{C}_2$   $(n \ge 2)$ , where  $\langle a, b \rangle \cong \mathbb{C}_{2^n} \times \mathbb{C}_{2^n}$ , |t| = 2 and  $tat^{-1} = b$ , then  $\mathcal{H} = \{A, U_{2i} \mid i \in \mathbb{Z}\}$ , where  $A = \langle a, b \rangle$  and  $U_{2i} = \langle ab, a^{2^{n-1}}, a^{2i}t \rangle \cong \mathbb{C}_{2^n} \times \mathbb{C}_2 Q_8$ .

Then the following hold.

- (b) The set  $\mathcal{H}$  is the union of exactly two S-conjugacy classes.
- (c) For  $P \in \mathcal{H}$ ,
  - (c1)  $|N_S(P)/P| = 2$  and  $[N_S(P), P] = P \cap [S, S]$ ,
  - (c2)  $N_S(P)$  is not contained in any subgroup in  $\mathcal{H}$ , and
  - (c3) either P is abelian or  $[P, P] \cong C_2$  and Z(P) = Z(S).

- (d) Assume  $\mathcal{F}$  is a saturated fusion system over  $S \times T$  for some 2-group T. If P < S is such that PT is  $\mathcal{F}$ -essential, then  $P \in \mathcal{H}$ .
- (e) Fix a 2-group T and a saturated fusion system  $\mathcal{F}$  over  $S \times T$ . If  $P \leq S$  is such that PT is  $\mathcal{F}$ -essential, then there exists  $\theta_P \in \operatorname{Aut}_{\mathcal{F}}(PT)$  of order 3 such that
  - (e1)  $\operatorname{Out}_{\mathcal{F}}(PT) = \Gamma_P \times H_P$ , where  $\Gamma_P = \langle [\theta_P], \operatorname{Out}_S(PT) \rangle \cong \Sigma_3$  and  $|H_P|$  is odd, and
  - (e2)  $[N_S(P), P] \leq [\theta_P, PT] \leq PZ(T), \ [\theta_P, T] \leq Z(PT) \text{ and } [\theta_P, PT] \cap Z(T) = 1.$

**Proof.** Points (b) and (c) are easy.

(a) The second statement ([S, S] is cyclic) is easily checked. In all cases (S is dihedral, semi-dihedral or wreathed),  $S/\operatorname{Fr}(S) \cong \operatorname{C}_2^2$ . If S is dihedral or semi-dihedral, let  $A \trianglelefteq S$  be the cyclic subgroup of index 2; otherwise, let A be the unique abelian subgroup of index 2. Then A is characteristic in S. Each automorphism of S induces the identity on  $S/A \cong \operatorname{C}_2$  and on  $A/\operatorname{Fr}(S) \cong \operatorname{C}_2$ , so  $\operatorname{Aut}(S)$  is a 2-group by Lemma 2.1.

(d) We prove this case by case. Fix a 2-group T, a saturated fusion system  $\mathcal{F}$  over  $S \times T$  and a subgroup P < S such that PT is  $\mathcal{F}$ -essential.

Assume  $S = \langle a, b \rangle$  is dihedral or semi-dihedral, where  $|a| = 2^{n-1}$  and |b| = 2. If  $P \leq S$  is dihedral of order at least 8 or quaternion of order at least 16, then Z(P) = Z(S), and  $\operatorname{Out}(P)$  is a 2-group (Lemma 2.1 or (a)). So PT is not  $\mathcal{F}$ -essential by Lemma 3.3. If  $P \leq S$  is cyclic, then  $[N_S(P), P] \leq \operatorname{Fr}(P)$ , since it is a proper subgroup, and PT is not  $\mathcal{F}$ -essential by Proposition 1.10 (d). This leaves only the cases where  $P \cong C_2^2$  or  $P \cong Q_8$ , and hence  $P \in \mathcal{H}$ .

Now assume  $S = \langle a, b, t \rangle \cong \mathbb{C}_{2^n} \setminus \mathbb{C}_2$   $(n \ge 2)$ , where  $A = \langle a, b \rangle \cong \mathbb{C}_{2^n} \times \mathbb{C}_{2^n}$ ,  $t^2 = 1$  and  $tat^{-1} = b$ . Set  $U_i = \langle ab, a^{2^{n-1}}, a^i t \rangle$  for all  $i \in \mathbb{Z}$ . Thus,  $U_i \cong \mathbb{C}_{2^n} \times_{\mathbb{C}_2} Q_8$  when i is even,  $U_i$  contains the cyclic subgroup  $\langle a^i t \rangle$  of index 2 when i is odd and  $aU_ia^{-1} = U_{i+2}$ . If  $P \not\leq A$  and  $P \cap A > Z(S) = \langle ab \rangle$ , then Z(P) = Z(S), so Aut(P) is not a 2-group by Lemma 3.3, and Aut(P/Z(S)) is not a 2-group by Lemma 2.1. If  $|P/Z(S)| \ge 8$ , then P/Z(S) is dihedral, and we saw above that Aut(P/Z(S)) is a 2-group. Thus, |P/Z(S)| = 4, and  $P = U_i$  for some i. When i is odd,  $A \cap U_i$  ( $\cong \mathbb{C}_{2^n} \times \mathbb{C}_2$ ) is characteristic in  $U_i$  (the other two subgroups of index 2 containing  $Z(U_i)$  are cyclic), so Aut $(U_i)$  is a 2-group by Lemma 2.1 again. This leaves the case  $P = U_i$  for even i, and thus  $P \in \mathcal{H}$ .

If  $P \not\leq A$  and  $P \cap A = \langle ab \rangle$ , then  $N_S(P) = \langle P, a^{2^{n-1}} \rangle$ ,  $[N_S(P), P] = \langle (ab)^{2^{n-1}} \rangle \leq \operatorname{Fr}(P)$ and PT is not  $\mathcal{F}$ -essential by Proposition 1.10 (d). Finally, if  $P \leq A$ , then  $P = A \in \mathcal{H}$ , since P is centric in S.

(e) Fix a 2-group T, a saturated fusion system  $\mathcal{F}$  over  $S \times T$  and a subgroup  $P \leq S$  such that PT is  $\mathcal{F}$ -essential. By (d),  $P \in \mathcal{H}$ .

Since  $\operatorname{Out}_{\mathcal{F}}(PT)$  contains a strongly embedded subgroup,  $O_2(\operatorname{Out}_{\mathcal{F}}(PT)) = 1$  by Lemma 1.9 (c). Since the kernel of the action of  $\operatorname{Out}_{\mathcal{F}}(PT)$  on  $PT/\operatorname{Fr}(PT)$  is a 2group by Lemma 2.1, this action must be faithful. Also,  $\operatorname{Out}_{ST}(PT) \in \operatorname{Syl}_2(\operatorname{Out}_{\mathcal{F}}(PT))$ , since PT is  $\mathcal{F}$ -essential and hence fully normalized. By (c1) and (a),  $|\operatorname{Out}_{ST}(PT)| = |N_S(P)/P| = 2$  and  $[N_S(P), P]$  is cyclic, and hence  $[\operatorname{Out}_{ST}(PT), PT/\operatorname{Fr}(PT)]$  is cyclic.

So, by Proposition 2.3 applied to the action of  $\operatorname{Out}_{\mathcal{F}}(PT)$  on  $PT/\operatorname{Fr}(PT)$ , there is  $\theta_P \in \operatorname{Aut}_{\mathcal{F}}(PT)$  such that  $\operatorname{Out}_{\mathcal{F}}(PT) = \Gamma_P \times H_P$ , where  $|H_P|$  is odd,  $[\theta_P] \in \Gamma_P$  has order 3 and  $\Gamma_P = \langle [\theta_P], \operatorname{Out}_S(PT) \rangle \cong \Sigma_3$ . Since  $\operatorname{Inn}(PT)$  is a 2-group, we can choose  $\theta_P \in \operatorname{Aut}_{\mathcal{F}}(PT)$  to also have order 3. This proves (e1).

Let  $\Gamma \trianglelefteq \operatorname{Aut}_{\mathcal{F}}(PT)$  be the normal closure of  $\operatorname{Aut}_{S}(PT)$ . The image of  $\Gamma$  in  $\operatorname{Out}_{\mathcal{F}}(PT)$ is  $\Gamma_{P}$  (the normal closure of  $\operatorname{Out}_{S}(PT)$ ), so  $\Gamma$  has 2-power index in  $\langle \theta_{P}, \operatorname{Aut}_{ST}(PT) \rangle = O^{2'}(\operatorname{Aut}_{\mathcal{F}}(PT))$ . Hence,  $\Gamma \ge O^{2}(O^{2'}(\operatorname{Aut}_{\mathcal{F}}(PT)))$ , and so  $\theta_{P} \in \Gamma$ .

Set  $P_0 = [N_S(P), P]$  and  $P_\theta = [\theta_P, PT]$  for short. We first show that P' := [P, P] is  $\Gamma$ -invariant. If P is abelian, there is nothing to prove. Otherwise, |P'| = 2 and Z(P) = Z(S) by (c3), and hence  $P' \leq Z(P)$ . The group  $\operatorname{Aut}_{ST}(PT)$  acts trivially on Z(PT) = Z(ST), so its normal closure  $\Gamma$  also acts trivially. In particular, P' is  $\Gamma$ -invariant.

Thus,  $\Gamma$  acts on PT/P', and leaves invariant its centre PZ(T)/P'. Hence, PZ(T) is  $\Gamma$ -invariant, and so  $\Gamma$  acts on  $PT/PZ(T) \cong T/Z(T)$ . Since  $\operatorname{Aut}_S(PT)$  acts trivially on this quotient, so does its normal closure  $\Gamma$ , and hence  $[\theta_P, PT] \leq [\Gamma, PT] \leq PZ(T)$ . Also,  $Z(P)T = C_{PT}(PZ(T))$  is  $\Gamma$ -invariant, so  $[\theta_P, T] \leq Z(P)T \cap [\theta_P, PT] \leq Z(PT)$ .

By Proposition 2.3, now applied to the action of the group  $\operatorname{Out}_{\mathcal{F}}(PT)$  on PZ(T)/P',  $[\Gamma_P, PZ(T)/P'] = [\theta_P, PZ(T)/P']$ , and hence  $[\theta_P, PZ(T)/P'] \ge P_0/P'$ . Thus,  $P_0 \le P_\theta \cdot P'$ . Recall  $P_0 = [\operatorname{Aut}_S(PT), PT]$  is cyclic by (a); fix a generator g. Since  $P_0 \nleq \operatorname{Fr}(P)$  by Proposition 1.10 (d) (and since  $P' \le P_0 = [N_S(P), P])$ ,  $P' \le \langle g^2 \rangle$ . Hence,  $g = hg^{2k}$  for some k and some  $h \in P_\theta$ ;  $h = g^{1-2k}$ , and thus  $P_0 = \langle h \rangle \le P_\theta$ .

Since  $P_{\theta} = [\theta_P, PT] \leq PZ(T)$ ,  $P_{\theta} = [\theta_P, PZ(T)]$  by Lemma 2.4(a). Hence, by Proposition 2.3 again, applied to the action of  $\operatorname{Out}_{\mathcal{F}}(PT)$  on PZ(T)/P',  $P_{\theta}/P' = [\theta_P, PZ(T)/P']$  is abelian of rank 2. So, if  $P_{\theta} \cap Z(T) \neq 1$ , then  $\Omega_1(P_{\theta}/P')$  is generated by  $\Omega_1(P_0/P')$  and an element of Z(T), both of which are fixed by  $\operatorname{Out}_S(PT)$ . This is impossible, since  $\Gamma_P \cong \Sigma_3$  acts faithfully on  $\Omega_1(P_0/P')$ , and thus  $P_{\theta} \cap Z(T) = 1$ .

We are now ready to prove Theorem B.

**Theorem 6.2.** Fix a pair of 2-groups  $S_1$  and  $S_2$ , and set  $S = S_1 \times S_2$ . Let  $\mathcal{F}$  be a saturated fusion system over S such that  $O^2(\mathcal{F}) = \mathcal{F} = O^{2'}(\mathcal{F})$ . Assume the following conditions hold.

- (i)  $S_1 \cong D_{2^n}$   $(n \ge 3)$ ,  $SD_{2^n}$   $(n \ge 4)$  or  $C_{2^n} \wr C_2$   $(n \ge 2)$ .
- (ii) Either  $S_2$  contains no proper subgroup isomorphic to  $S_1 \times S_1$  or (more generally)
- (ii') if P = S or P is an  $\mathcal{F}$ -essential subgroup containing  $S_1$ , then each element of  $\operatorname{Aut}_{\mathcal{F}}(P)$  sends  $\Omega_1(Z(S_1))$  to itself.

Then there are saturated fusion systems  $\mathcal{F}_i$  over  $S_i$  and  $\alpha \in \operatorname{Aut}(S)$  such that  ${}^{\alpha}\mathcal{F} = \mathcal{F}_1 \times \mathcal{F}_2$ .

**Proof.** For i = 1, 2, set

$$Z_i = Z(S_i), \qquad \hat{S}_i = S_i Z_{3-i}, \qquad \mathcal{U}_i = \{ P \leqslant S_i \mid PS_{3-i} \text{ is } \mathcal{F}\text{-essential} \},$$

and  $\mathcal{U}_i^+ = \mathcal{U}_i \cup \{S_i\}$ . For each  $P \in \mathcal{U}_i^+$ , set

$$P^{\bullet} = PS_{3-i}$$
 and  $P = PZ_{3-i}$ 

By Lemma 1.11, the only  $\mathcal{F}$ -essential subgroups of S are the subgroups  $P^{\bullet}$  for  $P \in \mathcal{U}_1 \cup \mathcal{U}_2$ . As in Proposition 4.4, define fusion subsystems  $\mathcal{F}_1^{\bullet}$  and  $\mathcal{F}_2^{\bullet}$  over S:

$$\mathcal{F}_i^{\bullet} = \langle \operatorname{Aut}_{\mathcal{F}}(P^{\bullet}) \mid P \in \mathcal{U}_i^+ \rangle.$$

We check that (ii) implies (ii'). Assume  $S_2$  contains no subgroup isomorphic to  $S_1 \times S_1$ . Fix a fully normalized subgroup  $P \leq S$  containing  $S_1$ ; thus,  $P = S_1 \times P_2$  for some  $P_2 \leq S_2$ . Let  $\operatorname{Aut}^0_{\mathcal{F}}(P)$  be the subgroup of those automorphisms in  $\operatorname{Aut}_{\mathcal{F}}(P)$  that send  $\Omega_1(Z_1)$  to itself. Then  $\operatorname{Aut}_S(P) \leq \operatorname{Aut}^0_{\mathcal{F}}(P)$ , so  $[\operatorname{Aut}_{\mathcal{F}}(P) : \operatorname{Aut}^0_{\mathcal{F}}(P)]$  is odd by the Sylow axiom, and is at most 2 by Proposition 3.2 (c). Thus,  $\operatorname{Aut}_{\mathcal{F}}(P) = \operatorname{Aut}^0_{\mathcal{F}}(P)$ , and (ii') holds. So from now on, we assume (ii').

**Step 1.** By (ii'),  $\Omega_1(Z_1)$  is strongly closed in  $\mathcal{F}_2^{\bullet}$ . The hypotheses of Lemma 5.1 thus hold, but with the roles of  $S_1$  and  $S_2$  switched. So by that lemma, there is a normal complement  $S_2^0$  to  $Z_1$  in  $\hat{S}_2$  which is strongly closed in  $\mathcal{F}_2^{\bullet}$ . Choose  $\alpha \in \operatorname{Aut}(S)$  such that  $\alpha|_{S_1} = \operatorname{Id}, \alpha \equiv \operatorname{Id}_S \pmod{Z_1}$  and  $\alpha(S_2^0) = S_2$ . Since  $\alpha$  is the identity modulo Z(S), it sends each subgroup in  $\mathcal{U}_1 \cup \mathcal{U}_2$  to itself, and hence  $(\alpha \mathcal{F})_i^{\bullet} = \alpha(\mathcal{F}_i^{\bullet})$  for i = 1, 2. So upon replacing  $\mathcal{F}$  by  $\alpha \mathcal{F}$ , we can assume  $S_2^0 = S_2$ ; i.e.  $S_2$  is strongly closed in  $\mathcal{F}_2^{\bullet}$ .

Thus, for  $P \in \mathcal{U}_2^+$ ,  $\operatorname{Aut}_{\mathcal{F}}(P^{\bullet})$  leaves P invariant, and acts on  $P^{\bullet}/P \cong S_1$  via inner automorphisms, since  $\operatorname{Aut}(S_1)$  is a 2-group by Lemma 6.1 (a). Hence,  $[\operatorname{Aut}_{\mathcal{F}}(P^{\bullet}), P^{\bullet}] \leq [S_1, S_1] \cdot P$  for each  $P \in \mathcal{U}_2^+$ , and

$$\mathfrak{foc}(\mathcal{F}_2^{\bullet}) = \langle [\operatorname{Aut}_{\mathcal{F}}(P^{\bullet}), P^{\bullet}] | P \in \mathcal{U}_2^+ \rangle \leqslant [S_1, S_1] \cdot S_2.$$

$$(6.1)$$

**Step 2.** By the Sylow axiom,  $\operatorname{Aut}_{\mathcal{F}}(S)$  is an extension of the 2-group  $\operatorname{Inn}(S)$  by the odd order group  $\operatorname{Out}_{\mathcal{F}}(S)$ . So, by the Schur–Zassenhaus Theorem (see, for example, [8, Theorem 6.2.1]), there exists  $H \leq \operatorname{Aut}_{\mathcal{F}}(S)$  of odd order, unique up to conjugacy by an element of  $\operatorname{Inn}(S)$ , such that  $\operatorname{Aut}_{\mathcal{F}}(S) = H \cdot \operatorname{Inn}(S)$ .

By step 1,  $S_2$  is  $\operatorname{Aut}_{\mathcal{F}}(S)$ -invariant. Since  $\operatorname{Aut}(S/S_2)$  is a 2-group by Lemma 6.1 (a),  $[H, S] \leq S_2$ . Also,  $\hat{S}_1 = \operatorname{C}_S(S_2)$  is  $\operatorname{Aut}_{\mathcal{F}}(S)$ -invariant, and hence  $[H, S_1] \leq \hat{S}_1 \cap S_2 = Z_2$ . Since  $[\hat{S}_1, \hat{S}_1] = [S_1, S_1]$  is *H*-invariant, *H* acts trivially on this subgroup. We have now shown that

$$[H, S] \leq S_2, \quad [H, S_1] \leq Z_2 \quad \text{and} \quad [H, [S_1, S_1]] = 1.$$
 (6.2)

**Step 3.** Throughout this step, we fix a subgroup  $P \in \mathcal{U}_1$ . By Lemma 6.1 (d),  $P \in \mathcal{H}$ , where  $\mathcal{H}$  is an explicitly defined set of subgroups of  $S_1$ . By Lemma 6.1 (e1),

$$\operatorname{Out}_{\mathcal{F}}(P^{\bullet}) = \Gamma_P \times H_P \quad \text{where } |H_P| \text{ is odd and } \Gamma_P = \langle [\theta_P], \operatorname{Out}_{S_1}(P^{\bullet}) \rangle \cong \Sigma_3 \quad (6.3)$$

for some  $\theta_P \in \operatorname{Aut}_{\mathcal{F}}(P^{\bullet})$  of order 3, and, by Lemma 6.1 (e2),

$$[N_{S_1}(P), P] \leqslant [\theta_P, P^\bullet] \leqslant PZ_2 = \hat{P} \quad \text{and} \quad [\theta_P, P^\bullet] \cap Z_2 = 1.$$
(6.4)

For each  $\beta \in \operatorname{Aut}_{\mathcal{F}}(P^{\bullet})$  of odd order whose class  $[\beta] \in \operatorname{Out}_{\mathcal{F}}(P^{\bullet})$  is in  $H_P$ ,  $[\beta]$ commutes with  $\operatorname{Out}_S(P^{\bullet}) \leq \Gamma_P$ , so  $\beta$  normalizes  $\operatorname{Aut}_S(P^{\bullet})$  and extends to a morphism  $\hat{\beta} \in \operatorname{Aut}_{\mathcal{F}}(N_S(P^{\bullet}))$  by the extension axiom. Since neither  $N_S(P^{\bullet})$  nor any of its conjugates is contained in an  $\mathcal{F}$ -essential subgroup (Lemma 6.1 (c2)),  $\hat{\beta}$  extends to  $\bar{\beta} \in \operatorname{Aut}_{\mathcal{F}}(S)$  by Proposition 1.10 (a). Upon replacing  $\bar{\beta}$  by an appropriate power, we can assume it also has odd order. Then  $\bar{\beta}$  is  $\operatorname{Inn}(S)$ -conjugate to an element of H and, since  $[\operatorname{Aut}_{S_1}(S), H] = 1$  (since  $[H, S_1] \leq Z_2$  by (6.2)),  $\bar{\beta}$  is  $\operatorname{Aut}_{S_2}(S)$ -conjugate to an element of H. Thus,  $\beta$  is  $\operatorname{Inn}(P^{\bullet})$ -conjugate to the restriction of an element of H. Conversely, every element of H restricts to an automorphism of  $P^{\bullet}$  since  $[H, S] \leq S_2 \leq P^{\bullet}$  by (6.2). So

$$H_P = \{ [\eta|_{P^{\bullet}}] \mid \eta \in H \}.$$
(6.5)

By Proposition 2.3, applied to the action of  $\operatorname{Out}_{\mathcal{F}}(P^{\bullet})$  on  $\hat{P}/[\hat{P},\hat{P}]$ ,  $H_P$  acts trivially on  $[\theta_P, \hat{P}/[\hat{P}, \hat{P}]]$ . Hence, H acts trivially on this group by (6.5). Also, H acts trivially on  $[\hat{P}, \hat{P}] \leq [S_1, S_1]$  by (6.2), so it acts trivially on  $[\theta_P, \hat{P}]$  by Lemma 2.1. Since  $[\theta_P, P^{\bullet}] =$  $[\theta_P, [\theta_P, P^{\bullet}]] = [\theta_P, \hat{P}]$  by Lemma 2.4 (a) (and (6.4)), this proves that

$$[H, [\theta_P, P^{\bullet}]] = [H, [\theta_P, \hat{P}]] = 1.$$
(6.6)

**Step 4.** For  $P \in \mathcal{U}_1$ , set  $P_0 = [N_{S_1}(P), P]$  and  $P_\theta = [\theta_P, P^\bullet]$ . We next claim that

for each  $P \in \mathcal{U}_1$ , there exist  $x_P \in P$  and  $z_P \in Z_2$  such that  $P_\theta = \langle P_0, x_P z_P \rangle$ , and such that either P is abelian and  $\langle P_0, x_P \rangle = P$  or  $\langle P_0, x_P \rangle \cong Q_8$ . (6.7)

When  $S_1$  is dihedral or semi-dihedral,  $P \cong C_2^2$  or  $P \cong Q_8$  and  $P_0$  has index 2 in P. By (6.4),  $P_{\theta}$  is contained in  $PZ_2$  and has trivial intersection with  $Z_2$  (and strictly contains the cyclic group  $P_0$ ), so it must have the form in (6.7). Similarly, when  $S_1$  is wreathed and  $P \cong C_{2^n} \times C_{2^n}$ ,  $P_0 \cong C_{2^n}$ ,  $P_{\theta}$  is isomorphic to a subgroup of P by (6.4) and hence to P, since it has an automorphism of order 3, and again has the form described in (6.7).

By Lemma 6.1 (d), it remains to consider the case where  $S_1$  is wreathed, and where (in the notation of the lemma)  $P = U_{2i} = \langle ab, a^{2^{n-1}}, a^{2i}t \rangle \cong C_{2^n} \times_{C_2} Q_8$  for some *i*. Then *P* contains a unique subgroup  $P_1 \cong Q_8$ , generated by all elements of order 4 in  $P \setminus Z(P)$ , and  $P_0$  has index 2 in  $P_1$ . Set  $g = (ab^{-1})^{2^{n-2}}$ : a generator of  $P_0$ . Since  $g \in P_{\theta}$ ,  $g \cdot \theta_P(g) \cdot \theta_P^2(g) \in [\hat{P}, \hat{P}] = \langle g^2 \rangle$ , so  $P_{\theta} = \langle g, \theta_P(g) \rangle$ . Set  $\theta_P(g) = x_P z_P$ , where  $x_P \in P$  and  $z_P \in Z_2$ . Since *g* has order 4 and lies in  $\hat{P} \setminus Z(\hat{P})$ , so does  $\theta_P(g)$ , and hence  $x_P \in P \setminus Z(P)$ has order 4, since otherwise  $\theta_P(g^2) \in Z_2$  (contradicting (6.4)). Thus,  $P_{\theta} = \langle P_0, x_P z_P \rangle$ and  $\langle P_0, x_P \rangle = P_1 \cong Q_8$ .

Step 5. Now,  $\mathcal{F} = \langle \mathcal{F}_1^{\bullet}, \mathcal{F}_2^{\bullet} \rangle$ , since by Lemma 1.11 (b) each  $\mathcal{F}$ -essential subgroup has the form  $P^{\bullet}$  for  $P \in \mathcal{U}_1 \cup \mathcal{U}_2$ . So  $\mathcal{F} = \langle \mathcal{F}_2^{\bullet}, \theta_P \mid P^{\bullet} \in \mathcal{U}_1 \rangle$  by (6.3) and (6.5). Hence, by (6.1) and (6.7),

$$\mathfrak{foc}(\mathcal{F}) = \langle \mathfrak{foc}(\mathcal{F}_2), [\theta_P, P] \mid P \in \mathcal{U}_1 \rangle \leqslant \langle [S_1, S_1], S_2, x_P z_P \mid P \in \mathcal{U}_1 \rangle.$$

Since  $O^2(\mathcal{F}) = \mathcal{F}$  by assumption,  $\mathfrak{foc}(\mathcal{F}) = S$  by Theorem 1.7 (a), and so  $S_1/[S_1, S_1]$ is generated by the classes  $[x_P]$  for  $P \in \mathcal{U}_1$ . If  $P, Q \in \mathcal{U}_1$  are  $S_1$ -conjugate, then there

exists  $g \in P$  such that  $Q = gPg^{-1}$  and  $\theta_Q^{\pm 1} = c_g\theta_Pc_g^{-1}$ , and hence  $Q_\theta = gP_\theta g^{-1}$ . Thus  $\langle [S_1, S_1], x_P \rangle = \langle [S_1, S_1], x_Q \rangle$ . Since  $S_1/[S_1, S_1]$  is not cyclic, we conclude that  $\mathcal{U}_1$  contains at least two  $S_1$ -conjugacy classes. So, by parts (b) and (d) of Lemma 6.1,  $\mathcal{U}_1 = \mathcal{H}$  and contains exactly two conjugacy classes.

Fix representatives  $P_1, P_2$  for the  $S_1$ -conjugacy classes in  $\mathcal{U}_1 = \mathcal{H}$ , and set  $x_i = x_{P_i}$ and  $z_i = z_{P_i}$  for short. Set

$$S_1^0 = \langle [S_1, S_1], P_\theta | P \in \mathcal{U}_1 \rangle = \langle [S_1, S_1], x_1 z_1, x_2 z_2 \rangle.$$

Thus,  $S_1^0 Z_2 = \langle [S_1, S_1], x_1, x_2 \rangle Z_2 = S_1 Z_2 = \hat{S}_1$ . Since least one of the  $x_i$  has order 2 in  $S_1/[S_1, S_1]$  by the proof of (6.7),  $S_1/[S_1, S_1] = \langle [x_1] \rangle \times \langle [x_2] \rangle$ . Also,  $|z_i|$  is at most the order of  $x_i$  in  $P_{i\theta}/P_{i0}$  (otherwise  $P_{i\theta} \cap Z_2 \neq 1$ ). Hence,  $S_1^0 \cap Z_2 = 1$ , and so  $S_1^0$  is a normal complement to  $Z_2$  in  $\hat{S}_1$ .

Now,  $[H, S_1^0] = 1$ :  $[S_1, S_1] \leq C_S(H)$  by (6.2), and  $[H, P_{\theta}] = 1$  by (6.6). Thus,  $S_1^0$  is  $\operatorname{Aut}_{\mathcal{F}}(S)$ -invariant. For  $P \in \mathcal{U}_1$ ,  $S_1^0 \cap P^{\bullet}$  is  $\theta_P$ -invariant since it contains  $P_{\theta}$ , it is  $\operatorname{Aut}_S(P^{\bullet})$ -invariant since  $[N_{S_1}(P^{\bullet}), P^{\bullet}] \leq [S_1, S_1] \leq S_1^0$  and  $[S_2, S_1^0] = 1$ , and hence it is  $\operatorname{Aut}_{\mathcal{F}}(P^{\bullet})$ -invariant by (6.3) and (6.5). This proves that  $S_1^0$  is strongly closed in  $\mathcal{F}_1^{\bullet}$ .

Let  $\beta \in \operatorname{Aut}(S)$  be the (unique) automorphism such that  $\beta|_{S_2} = \operatorname{Id}, \beta(S_1^0) = S_1$ , and  $\beta(g) \equiv g \pmod{Z_2}$  for all  $g \in S_1$ . By the same reasoning as that used in step 1, upon replacing  $\mathcal{F}$  by  ${}^{\beta}\mathcal{F}$ , we can assume  $S_1^0 = S_1$ . So  $\mathcal{F}$  satisfies the hypotheses of Proposition 4.4 and splits as a product of saturated fusion systems over the  $S_i$ .  $\Box$ 

There is one more important case which we want to include, that of a product of three or more 2-groups which are dihedral, semi-dihedral or wreathed, and pairwise isomorphic. In fact, this result holds more generally, without assuming the factors are isomorphic.

**Theorem 6.3.** Assume  $S = S_1 \times \cdots \times S_m$ , where each  $S_i$   $(1 \le i \le m)$  is isomorphic to  $D_{2^n}$   $(n \ge 3)$ ,  $SD_{2^n}$   $(n \ge 4)$  or  $C_{2^n} \wr C_2$   $(n \ge 2)$ . Let  $\mathcal{F}$  be a saturated fusion system over S such that  $O^2(\mathcal{F}) = \mathcal{F} = O^{2'}(\mathcal{F})$ . Then there are saturated fusion systems  $\mathcal{F}_i$  over  $S_i$  such that  ${}^{\alpha}\mathcal{F} = \mathcal{F}_1 \times \cdots \times \mathcal{F}_m$  for some  $\alpha \in Aut(S)$ .

**Proof.** For each i, let  $z_i \in Z(S_i)$  be the element of order 2, and set

$$\mathcal{U}_i^{\bullet} = \{ P \leq S \mid P \text{ is } \mathcal{F}\text{-essential}, \ P \geq S_j \text{ for all } j \neq i \}.$$

By Lemma 1.11 (b),  $\bigcup_{i=1}^{m} \mathcal{U}_{i}^{\bullet}$  contains all  $\mathcal{F}$ -essential subgroups of S.

Fix *i* and  $P \in \mathcal{U}_i^{\bullet}$ . By parts (c1) and (a) of Lemma 6.1,  $\operatorname{Out}_S(P) \cong C_2$ , and  $[N_{S_i}(P), P] \leq [S_i, S_i]$  is cyclic. By Lemma 6.1 (e), there is an automorphism  $\theta_P \in \operatorname{Aut}_{\mathcal{F}}(P)$  of order 3, and a factorization  $\operatorname{Out}_{\mathcal{F}}(P) = \Gamma_P \times H_P$ , such that  $\Gamma_P = \langle [\theta_P], \operatorname{Out}_S(P) \rangle \cong \Sigma_3$  and  $H_P$  has odd order, and such that

$$z_i \in [N_{S_i}(P), P] \leqslant [\theta_P, P] \leqslant P \cap S_i Z(S) \quad \text{and} \quad [\theta_P, S_j] \leqslant Z(P) \text{ for } j \neq i.$$
(6.8)

Also,  $[\theta_P, P] \cap \langle z_k \mid k \neq i \rangle = 1$  by Lemma 6.1 (e) again and, since  $z_i \in [\theta_P, P]$ ,

$$[\theta_P, P] \cap \Omega_1(Z(S)) = [\theta_P, P] \cap \langle z_k \mid 1 \leqslant k \leqslant m \rangle = \langle z_i \rangle.$$
(6.9)

For each  $\eta \in \operatorname{Aut}_{\mathcal{F}}(P)$  such that  $[\eta] \in H_P$ ,  $\eta$  normalizes  $\operatorname{Aut}_S(P)$ , hence extends to an  $\mathcal{F}$ -automorphism of  $N_S(P)$  by the extension axiom, and hence extends to some  $\bar{\eta} \in \operatorname{Aut}_{\mathcal{F}}(S)$  by Proposition 1.10 (a) since no  $\mathcal{F}$ -essential subgroup contains  $N_S(P)$  (see parts (c2) and (d) of Lemma 6.1). Thus,

$$P \in \mathcal{U}_i^{\bullet} \implies \operatorname{Aut}_{\mathcal{F}}(P) = \langle \theta_P, \eta |_P \mid \eta \in \operatorname{Aut}_{\mathcal{F}}(S), \ \eta(P) = P \rangle.$$
(6.10)

Let I(S) be the set of involutions in S. For each i = 1, ..., m, let  $X_i \subseteq I(S)$  be the smallest subset that contains  $z_i$ , is invariant under Inn(S) and is such that, for each  $P \in \mathcal{U}_i^{\bullet}$ ,  $\theta_P$  sends  $X_i \cap P$  to itself. These conditions imply that each element of  $O^{2'}(\text{Aut}_{\mathcal{F}}(P)) = \langle \text{Aut}_S(P), \theta_P \rangle$  (for each  $P \in \mathcal{U}_i^{\bullet}$ ) sends  $P \cap X_i$  to itself, so this is independent of the choice of the  $\theta_P$ . We claim the following hold for all  $i \neq j$  in  $\{1, ..., m\}$ :

$$\theta_P|_{X_i} = \mathrm{Id}_{X_i} \quad \text{for all } P \in \mathcal{U}_i^{\bullet}$$

$$(6.11)$$

and

$$X_i \cap X_j = \emptyset. \tag{6.12}$$

Assume (6.11) and (6.12) hold; we now finish the proof of the theorem. By (6.10) (and Proposition 1.10 (a)),  $\mathcal{F}$  is generated by  $\operatorname{Aut}_{\mathcal{F}}(S)$  and the  $\theta_P$  for  $P \in \bigcup_{i=1}^m \mathcal{U}_i^{\bullet}$ . Each  $\alpha \in \operatorname{Aut}_{\mathcal{F}}(S)$  permutes the subgroups  $S_i Z(S)$  by Proposition 3.1, and hence permutes the sets  $\mathcal{U}_i^{\bullet}$ , since  $\mathcal{U}_i^{\bullet}$  contains exactly those  $\mathcal{F}$ -essential subgroups that do not contain  $S_i Z(S)$ . Hence, each  $\alpha \in \operatorname{Aut}_{\mathcal{F}}(S)$  permutes the subsets  $X_i$ . For  $1 \leq i \leq m$  and  $P \in \mathcal{U}_i^{\bullet}$ ,  $\theta_P(X_j) = X_j$  for all  $j \neq i$  by (6.11), and  $\theta_P(P \cap X_i) = P \cap X_i$  by definition of  $X_i$ . Hence, for each  $P, Q \leq S$  and each  $\varphi \in \operatorname{Hom}_{\mathcal{F}}(P, Q)$ , there is  $\sigma \in \Sigma_m$  such that  $\varphi(P \cap X_i) \subseteq$  $Q \cap X_{\sigma(i)}$  for all  $i = 1, \ldots, m$ .

Let  $\mathcal{F}^c$  be the set of  $\mathcal{F}$ -centric subgroups of S (as in § 4). If  $P \in \mathcal{F}^c$ , then  $Z(S) \leq P$ . So, for each  $i, z_i \in P \cap X_i$  implies  $P \cap X_i \neq \emptyset$ . Since the  $X_i$  are pairwise disjoint by (6.12), the permutation  $\sigma$  determined by any given  $\varphi \in \operatorname{Hom}_{\mathcal{F}}(P,Q)$  is unique. Thus there is a uniquely defined map

$$\Psi \colon \operatorname{Mor}(\mathcal{F}^{c}) \to \Sigma_{m},$$

which preserves composition of morphisms and of permutations and sends inclusions to the identity.

Let  $\mathcal{F}_0 \subseteq \mathcal{F}$  be the 'kernel' subsystem: the fusion system over S generated by those morphisms  $\varphi \in \operatorname{Mor}(\mathcal{F}^c)$  such that  $\Psi(\varphi) = 1$ . Since  $\mathcal{F}$  is generated by  $\operatorname{Aut}_{\mathcal{F}}(S)$  and the  $\theta_P$ , and  $\Psi(\theta_P) = 1$  for each  $P \in \mathcal{U}_i^{\bullet}$  (for each i),  $\operatorname{Im}(\Psi) = \Psi(\operatorname{Aut}_{\mathcal{F}}(S))$ . Thus,  $\mathcal{F}_0$  is  $\mathcal{F}$ -invariant, and a subgroup of S is  $\mathcal{F}_0$ -centric if and only if it is  $\mathcal{F}$ -centric by Lemma 1.5 (b). Since  $\Psi(\operatorname{Inn}(S)) = 1$ ,  $\operatorname{Im}(\Psi)$  is a subgroup of odd order. The Sylow and extension axioms on centric subgroups hold for  $\mathcal{F}_0$ , since they hold for  $\mathcal{F}$ . Since, by definition,  $\mathcal{F}_0$  is generated by morphisms between centric subgroups,  $\mathcal{F}_0$  is saturated by Theorem 1.3. Thus,  $\mathcal{F}_0$  is a weakly normal fusion subsystem of odd index in  $\mathcal{F}$ , and  $\mathcal{F}_0 = \mathcal{F}$  since  $O^{2'}(\mathcal{F}) = \mathcal{F}$  by assumption.

By Proposition 3.1, each element of  $\operatorname{Aut}(S)$  permutes the cyclic subgroups  $[S_i, S_i]$ , and hence permutes the involutions  $z_i$  (i = 1, ..., m). Since each element of  $\operatorname{Aut}_{\mathcal{F}}(S)$ 

sends  $X_1$  to itself,  $\operatorname{Aut}_{\mathcal{F}}(S)$  fixes  $z_1$ . For all  $P \in \mathcal{U}_i^{\bullet}$  for  $i \neq 1$ ,  $\theta_P(z_1) = z_1$  by (6.11). Assumption (ii') in Theorem 6.2 thus holds, so there are saturated fusion systems  $\mathcal{F}_1$ over  $S_1$  and  $\hat{\mathcal{F}}$  over  $S_2 \ldots S_m$  such that  ${}^{\beta}\mathcal{F} = \mathcal{F}_1 \times \hat{\mathcal{F}}$  for some  $\beta \in \operatorname{Aut}(S)$ . The theorem now follows by induction on m.

It remains to prove (6.11) and (6.12).

**Proof of Equation (6.11).** We first claim, for each i = 1, ..., m, that

$$X_i \subseteq T_i := \langle [S_i, S_i], [\theta_P, P] \mid P \in \mathcal{U}_i^{\bullet} \rangle \leqslant S_i Z(S).$$

$$(6.13)$$

By (6.8),  $T_i \leq S_i Z(S)$ . Hence,  $T_i$  is  $\operatorname{Aut}_{S_j}(S)$ -invariant for each  $j \neq i$ , and is  $\operatorname{Aut}_{S_i}(S)$ -invariant, since it contains  $[S_i, S_i]$ . Also, for each  $P \in \mathcal{U}_i^{\bullet}$ ,  $T_i \cap P$  is  $\theta_P$ -invariant, since  $[\theta_P, P] \leq T_i$ , and thus  $T_i \supseteq X_i$ , since  $z_i \in T_i$ . This proves (6.13).

Fix subgroups  $P_i \in \mathcal{U}_i^{\bullet}$  and  $P_j \in \mathcal{U}_i^{\bullet}$  for some  $i \neq j$ , and set

$$R = P_i \cap P_j \cap S_i S_j Z(S) = \{ (x_1, \dots, x_m) \mid x_i \in P_i, \ x_j \in P_j, \ x_k \in Z(S_k) \text{ for all } k \neq i, j \}.$$

Set  $G = \operatorname{Aut}_{\mathcal{F}}(R)$ , and consider the subgroups

$$\begin{split} G_i &= \langle \theta_{P_i}|_R, \operatorname{Aut}_{S_i}(R) \rangle, \qquad H_i = \langle \operatorname{Aut}_{S_i}(R) \rangle, \qquad Q_i = \langle \operatorname{Aut}_{S_i \cap R}(R) \rangle, \\ G_j &= \langle \theta_{P_j}|_R, \operatorname{Aut}_{S_j}(R) \rangle, \qquad H_j = \langle \operatorname{Aut}_{S_j}(R) \rangle, \qquad Q_j = \langle \operatorname{Aut}_{S_j \cap R}(R) \rangle. \end{split}$$

Note that, for  $k \in \{i, j\}$ ,  $\theta_{P_k}|_R \in \operatorname{Aut}(R)$  since  $[\theta_{P_k}, P_k] \leq P_k \cap (S_k Z(S)) \leq R$  by (6.8). Also,  $G_k/Q_k = \langle [\theta_{P_k}|_R], \operatorname{Out}_{S_k}(R) \rangle \cong \Sigma_3$  by what was shown above, and thus  $Q_k = O_2(G_k)$  and  $H_k \in \operatorname{Syl}_2(G_k)$ .

By (6.8), for k = i and k = j,

$$[G_k, R] = [\theta_{P_k}, R] \cdot [N_{S_k}(P_k), R] \leqslant [\theta_{P_k}, P_k] \leqslant S_k Z(S).$$

Hence,  $[G_i, R] \cap [G_j, R] \leq S_i Z(S) \cap S_j Z(S) = Z(S)$ . If  $[G_i, R] \cap [G_j, R] \neq 1$ , then

$$\begin{split} 1 &\neq [G_i, R] \cap [G_j, R] \cap \Omega_1(Z(S)) \\ &\leqslant ([\theta_{P_i}, P_i] \cap \Omega_1(Z(S))) \cap ([\theta_{P_j}, P_j] \cap \Omega_1(Z(S))) \\ &= \langle z_i \rangle \cap \langle z_j \rangle \\ &= 1 \end{split}$$

by (6.9). Thus,  $[G_i, R] \cap [G_j, R] = 1$ .

For  $x_i \in N_{S_i}(R) \setminus Z(R)$  and  $x_j \in N_{S_j}(R) \setminus Z(R)$ ,  $[x_i, R]$  and  $[x_j, R]$  are cyclic and nontrivial since  $[N_{S_i}(P_i), P_i]$  and  $[N_{S_j}(P_j), P_j]$  are cyclic by parts (c) and (a) of Lemma 6.1; and hence  $[x_i x_j, R] = [x_i, R] \cdot [x_j, R]$  is noncyclic. So no element in  $H_i \cup H_j$  can be G-conjugate to any element of  $H_i H_j \setminus (H_i \cup H_j)$ . In particular, for  $1 \neq h_i \in H_i$  and  $1 \neq h_j \in H_j$ , there is no  $g \in G$  such that  $gh_i g^{-1}, gh_j g^{-1} \in H_k$  for k = i or for k = j. Thus, if  $h \in H_i$  and  $g \in G$  are such that  $ghg^{-1} \in H_j$ , then since  $Q_i Q_j = \text{Inn}(R) \trianglelefteq G$ ,  $gQ_i g^{-1} \leqslant Q_j$  and  $gQ_j g^{-1} \leqslant Q_i$ . Since  $H_i H_j = \text{Aut}_S(R) \in \text{Syl}_2(G)$ , this is possible only if  $Q_i = Q_j = 1$ ; in which case  $H_i H_j \cong C_2^2$ ,  $c_g$  exchanges  $H_i$  and  $H_j$ , and this is again

impossible by the Sylow axiom. We conclude that  $H_i$  is strongly closed in  $H_iH_j$  with respect to G, and similarly for  $H_j$ .

Now,  $[\theta_{P_i}|_R$ ,  $\operatorname{Aut}_{S_j}(R)] = 1$  since  $[\theta_{P_i}, S_j] \leq Z(P_i)$  by (6.8), and  $[\theta_{P_j}|_R$ ,  $\operatorname{Aut}_{S_i}(R)] = 1$ since  $[\theta_{P_j}, S_i] \leq Z(P_j)$ . So  $[G_i, H_j] = 1 = [G_j, H_i]$ . The hypotheses of Corollary 2.9 are thus satisfied, and hence  $[G_i, G_j] = 1$ . So  $[G_i, [G_j, R]] = 1$  by Lemma 2.10.

Now,  $[\theta_{P_j}, P_j] \leq P_j \cap S_j Z(S) \leq R$  by (6.8), so  $[\theta_{P_j}, R] \geq [\theta_{P_j}, [\theta_{P_j}, P_j]] = [\theta_{P_j}, P_j]$ by Lemma 2.4 (a). Thus,  $[\theta_{P_i}, [\theta_{P_j}, P_j]] = 1$ . Also,  $[\theta_{P_i}, [S_j, S_j]] = 1$  since, by (6.8),  $\theta_{P_i}(x) \in xZ(P_i)$  for each  $x \in S_j$ . So  $\theta_{P_i}|_{X_j} = \mathrm{Id}_{X_j}$  by (6.13).

**Proof of (6.12).** By definition, for each i,  $X_i$  is the equivalence class of  $z_i$  under the equivalence relation  $\approx_i$  generated by setting  $g \approx_i h$  if g, h are S-conjugate, or  $g, h \in P$  and  $g = \theta_P(h)$  for some  $P \in \mathcal{U}_i^{\bullet}$ .

Fix  $i \neq j$ , and assume  $x \in X_i \cap X_j$ . By (6.11),  $\theta_P(x) = x$  for all  $P \in \mathcal{U}_i^{\bullet}$  since  $x \in X_j$ , and  $\theta_P(x) = x$  for all  $P \in \mathcal{U}_j^{\bullet}$  since  $x \in X_i$ . Since  $x \in Z(S)$ , the  $\approx_i$ - and  $\approx_j$ -equivalence classes of x each contain only x. Since  $z_i \approx_i x \approx_j z_j$ , this implies  $z_i = x = z_j$ , which is impossible. We conclude that  $X_i \cap X_j = \emptyset$ .

# 7. Examples

It is easy to see why the condition  $O^{2'}(\mathcal{F}) = \mathcal{F}$  is needed in Proposition 4.4 (and it was also needed in the splitting result [1, Proposition 3.3]). Let  $\mathcal{F}$  be any saturated fusion system over a 2-group S, such that  $O^{2'}(\mathcal{F}) \subsetneq \mathcal{F}$ . Then there are fusion subsystems of  $\mathcal{F} \times \mathcal{F}$  (over  $S \times S$ ) which contain  $O^{2'}(\mathcal{F}) \times O^{2'}(\mathcal{F})$ , and which do not split as products of fusion systems over S.

For a more explicit example which satisfies all of the hypotheses of Proposition 4.4 and Theorems 5.2 and 6.2, except the condition  $O^{2'}(\mathcal{F}) = \mathcal{F}$ , let  $\mathcal{F}$  be the 2-fusion system of a subgroup  $G \leq \mathrm{PGL}_3(4) \times \mathrm{PGL}_3(4)$  of index 3 which does not contain either factor.

It is a little less obvious why the condition  $O^2(\mathcal{F}) = \mathcal{F}$  is needed. As a first example, let  $\mathcal{F}$  be the 2-fusion system of the symmetric group  $\Sigma_6$ . This is a fusion system over  $S \cong D_8 \times C_2$ , and satisfies all of the hypotheses of Proposition 4.4 and of Theorem 6.2 except the condition  $O^2(\mathcal{F}) = \mathcal{F}$ . (Note that all  $\mathcal{F}$ -essential subgroups contain the second factor  $C_2$ .)

For an example which satisfies all of the other hypotheses of Theorem 5.2, let  $G \leq \Sigma_6 \times PGL_2(9)$  be the subgroup of index 2 that contains neither factor. The Sylow 2-subgroups of G are isomorphic to  $D_8 \times D_{16}$ , but the fusion system of G does not split as a product of fusion systems over  $D_8$  and  $D_{16}$ .

The fusion system of the alternating group  $A_{14}$  (a fusion system over the 2-group  $D_8 \times (D_8 \wr C_2)$ ) illustrates why we need to assume that  $S_2$  does not contain a subgroup isomorphic to  $S_1 \times S_1$  in Theorems 5.2 and 6.2. Fusion systems of larger alternating groups give other examples of this.

To see a larger family of examples, fix any  $k \ge 2$  and any odd prime power q, and consider the simple group  $G = \Omega_{4k}^-(q)$ . Let  $\varepsilon \in \{\pm 1\}$  be such that  $4|(q - \varepsilon))$ , and let  $2^n$ 

be the largest power of 2 dividing  $q^2 - 1$ . Then G contains subgroups of odd index

$$G = \Omega_{4k}^{-}(q) \ge GO_{4k-2}^{\varepsilon}(q) \ge GO_{2}^{\varepsilon}(q) \wr \Sigma_{2k-1} \cong \mathcal{D}_{2(q-\varepsilon)} \wr \Sigma_{2k-1} \ge \mathcal{D}_{2^{n}} \wr \Sigma_{2k-1}$$

(where  $GO_n^{\pm}(q)$  is the full orthogonal group). Thus, each Sylow 2-subgroup  $S \leq G$  contains a direct factor  $D_{2^n}$ . For  $\mathcal{F} = \mathcal{F}_S(G)$ ,  $O^2(\mathcal{F}) = \mathcal{F}$  by the focal subgroup theorem for G (and since  $O^2(G) = G$ ), and  $O^{2'}(\mathcal{F}) = \mathcal{F}$  since  $\operatorname{Aut}(S)$  is a 2-group.

Similar examples involving semi-dihedral 2-groups or wreath products  $C_{2^n} \wr C_2$  are obtained by considering the groups

$$G = \mathrm{SL}_{4k+3}(q) \ge \mathrm{GL}_{4k+2}(q) \ge \mathrm{GL}_2(q) \wr \Sigma_{2k+1}$$

for odd prime powers q. In particular, for any D as in Theorem 6.3, there is a saturated fusion system  $\mathcal{F}$  over  $D \times (D \wr C_2)$ , which is indecomposable and satisfies  $O^2(\mathcal{F}) = \mathcal{F} = O^{2'}(\mathcal{F})$ .

Acknowledgements. The author is partly supported by UMR 7539 of the CNRS, by Project ANR BLAN08-2\_338236, HGRT, and partly by the DNRF through a visiting professorship at the University of Copenhagen. The author thanks the referee for the many helpful suggestions which led to major improvements in the paper.

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