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First published online 16 March 2020

# Two polarised K3 surfaces associated to the same cubic fourfold

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(Received 05 December 2018; revised 11 September 2019)

#### Abstract

For infinitely many d, Hassett showed that special cubic fourfolds of discriminant d are related to polarised K3 surfaces of degree d via their Hodge structures. For half of the d, each associated K3 surface (S, L) canonically yields another one,  $(S^{\tau}, L^{\tau})$ . We prove that  $S^{\tau}$  is isomorphic to the moduli space of stable coherent sheaves on S with Mukai vector (3, L, d/6). We also explain for which d the Hilbert schemes  $Hilb^n(S)$  and  $Hilb^n(S^{\tau})$  are birational.

2010 Mathematics Subject Classification: 14J28 (primary), 14J10, 14E05 (secondary)

Special cubic fourfolds were first studied by Hassett [9]. They are distinguished by the property that they carry additional algebraic cycles. They arise in countably many families, parametrised by irreducible divisors  $C_d$  in the moduli space of cubic fourfolds. For infinitely many d, the cubic fourfolds in  $C_d$  are related to polarised K3 surfaces of degree d via their Hodge structures. For half of the d, K3 surfaces associated to generic cubics in  $C_d$  come in pairs. The goal of this paper is to explain how two such K3 surfaces are related.

More precisely, denote by  $\mathcal{M}_d$  the moduli space of polarised K3 surfaces of degree d. Hassett constructed, for admissible d, a surjective rational map  $\mathcal{M}_d \dashrightarrow \mathcal{C}_d$  sending a K3 surface to a cubic fourfold it is associated to. This map is of degree two when  $d \equiv 0 \mod 6$  and generically injective otherwise. In the former case, its (regular) covering involution  $\tau : \mathcal{M}_d \to \mathcal{M}_d$  does not depend on the choices made to construct  $\mathcal{M}_d \dashrightarrow \mathcal{C}_d$ . We prove the following geometric description of  $\tau$ .

THEOREM 1 (see Theorem 3.2). Let  $(S^{\tau}, L^{\tau}) = \tau(S, L)$ . Then  $S^{\tau}$  is isomorphic to the moduli space  $M_S(v)$  of stable (with respect to a generic polarisation) coherent sheaves on S with Mukai vector v = (3, L, d/6).

In particular, S and  $S^{\tau}$  are Fourier–Mukai partners. For generic  $(S, L) \in \mathcal{M}_d$ , this also follows from the fact that the bounded derived categories of S and  $S^{\tau}$  are both exact equivalent to the Kuznetsov category of the image cubic fourfold [2]. If  $\rho(S) = 1$ , then S is not isomorphic to  $S^{\tau}$  (as unpolarised K3 surfaces). The number of Fourier–Mukai partners of S, which depends on S, can be arbitrarily high [17]. The above gives a natural way of selecting one of them for each S, S is S in S and S is S in S in

We also explain when the Hilbert schemes of n points  $Hilb^n(S)$  and  $Hilb^n(S^{\tau})$  are birational. Our main result is the following.

THEOREM 2 (see Proposition 4.5, Corollary 4.9). Let  $d \equiv 0 \mod 6$  satisfy (\*\*). Consider the following statements:

- (i)  $Hilb^2(S)$  is isomorphic to  $Hilb^2(S^{\tau})$ ;
- (ii)  $Hilb^2(S)$  is birational to  $Hilb^2(S^{\tau})$ ;
- (iii) there exists an integral solution to  $3p^2 (d/6)q^2 = -1$ ;
- (iv)  $Hilb^2(S)$  has a line bundle of self-intersection 6.

We have implications (i)  $\Rightarrow$  (ii)  $\Leftarrow$  (iii)  $\Rightarrow$  (iv). If  $\rho(S) = 1$ , then these are all equivalent.

We will see that the condition in (iii) is satisfied for infinitely many d but not for all of them. As an application, we obtain an example of derived equivalent Hilbert schemes of two points on K3 surfaces which are not birational.

### 1. Lattices

In this section we set up the notation for the lattice theory that will be needed. See [12, Section 2] for references.

For a lattice  $\Lambda$  with intersection form  $(,): \Lambda \times \Lambda \to \mathbb{Z}$ , we denote by  $\Lambda^{\vee}$  its dual lattice and by Disc  $\Lambda = \Lambda^{\vee}/\Lambda$  its discriminant group. Every orthogonal transformation  $g \in O(\Lambda)$  of  $\Lambda$  induces an automorphism on Disc  $\Lambda$ , which we denote by  $\overline{g}$ . When L is even, the product (,) induces a quadratic form

$$q_L: \operatorname{Disc} L \longrightarrow \mathbb{Q}/2\mathbb{Z}.$$

We denote by O(Disc L) the group of automorphisms preserving  $q_L$ . We further define

$$\widetilde{\mathrm{O}}(L) := \ker(\mathrm{O}(L) \longrightarrow \mathrm{O}(\mathrm{Disc}\ L)).$$

We will use a result that is slightly stronger than [11, Proposition  $14 \cdot 2 \cdot 6$ ], but is proven in the same way. We give the proof here for completeness.

Let  $\Lambda_1$  be a primitive sublattice of a unimodular lattice  $\Lambda$  and let  $\Lambda_2 \subset \Lambda$  be its orthogonal complement. We have an inclusion  $\Lambda^{\vee} \subset (\Lambda_1 \oplus \Lambda_2)^{\vee}$  which induces

$$\Lambda^{\vee}/(\Lambda_1 \oplus \Lambda_2) \longrightarrow \operatorname{Disc}(\Lambda_1 \oplus \Lambda_2) \cong \operatorname{Disc}(\Lambda_1) \oplus \operatorname{Disc}(\Lambda_2).$$

The projection maps  $\Lambda^{\vee}/(\Lambda_1 \oplus \Lambda_2) \to \operatorname{Disc}(\Lambda_i)$  are isomorphisms, by unimodularity of  $\Lambda$  and primitivity of  $\Lambda_1$  and  $\Lambda_2$ . This gives an isomorphism  $\varphi \colon \operatorname{Disc}(\Lambda_1) \to \operatorname{Disc}(\Lambda_2)$  sending  $\overline{x} \in \operatorname{Disc}(\Lambda_1)$  to the unique class  $\overline{y} \in \operatorname{Disc}(\Lambda_2)$  such that  $x + y \in \Lambda_1^{\vee} \oplus \Lambda_2^{\vee}$  is in  $\Lambda$ .

LEMMA 1·1. If  $g_1 \in O(\Lambda_1)$  and  $g_2 \in O(\Lambda_2)$ , then  $g_1 \oplus g_2 : \Lambda_1 \oplus \Lambda_2 \to \Lambda_1 \oplus \Lambda_2$  extends to an automorphism of  $\Lambda$  if and only if  $\overline{g}_1 = \overline{g}_2$  under the identification Disc  $\Lambda_1 \cong D$ isc  $\Lambda_2$ .

*Proof.* The map  $g_1 \oplus g_2$  extends to L if and only if for all  $x_1 \in \Lambda_1^{\vee}$ ,  $x_2 \in \Lambda_2^{\vee}$  with  $x_1 + x_2 \in \Lambda$ , the element  $g_1^{\vee}(x_1) + g_2^{\vee}(x_2)$  also lies in  $\Lambda$ . We have  $x_1 + x_2 \in \Lambda$  if and only if  $\varphi(\overline{x}_1) = \overline{x}_2$ . So  $g_1^{\vee}(x_1) + g_2^{\vee}(x_2)$  is in  $\Lambda$  if and only if  $\varphi(\overline{g}_1(\overline{x}_1))$  equals  $\overline{g}_2(\overline{x}_2) = \overline{g}_2(\varphi(\overline{x}_1))$ . This holds for all  $x_1, x_2$  if and only if  $\varphi \circ \overline{g}_1 = \overline{g}_2 \circ \varphi$ .

The middle cohomology  $H^2(S, \mathbb{Z})$  of a K3 surface S (with the usual intersection pairing) is isomorphic to the K3 lattice

$$\Lambda_{K3} := E_8(-1)^{\oplus 2} \oplus U^{\oplus 3} = E_8(-1)^{\oplus 2} \oplus U_1 \oplus U_2 \oplus U_3.$$

We denote the standard basis of  $U_i$  by  $e_i$ ,  $f_i$ . On the full cohomology  $H^*(S, \mathbb{Z})$  of S we consider the Mukai pairing, given by  $((x_0, x_2, x_4), (x_0', x_2', x_4')) = x_2x_2' - x_0x_4' - x_0'x_4$  for  $x_i, x_i' \in H^i(S, \mathbb{Z})$ . With this pairing,  $H^*(S, \mathbb{Z})$  becomes isomorphic to the Mukai lattice

$$\Lambda_{\text{Muk}} := \Lambda_{K3} \oplus U(-1) = E_8(-1)^{\oplus 2} \oplus U_1 \oplus U_2 \oplus U_3 \oplus U_4(-1).$$

As  $U \cong U(-1)$ , the Mukai lattice is isomorphic to  $\Lambda_{K3} \oplus U$ . To avoid confusion, we denote the latter by  $\widetilde{\Lambda}_{K3}$ , and fix an isomorphism  $\widetilde{\Lambda}_{K3} \xrightarrow{\sim} \Lambda_{Muk}$  by sending  $f_4$  to  $-f_4$ .

Fix  $\ell_d = e_3 + (d/2) f_3 \in U_3 \subset \Lambda_{K3}$  and let  $\Lambda_d := \ell_d^{\perp} \subset \Lambda_{K3}$  be its orthogonal complement in  $\Lambda_{K3}$ . Then

$$\Lambda_d = E_8(-1)^{\oplus 2} \oplus U^{\oplus 2} \oplus \mathbb{Z}(-d)$$

is isomorphic to the primitive cohomology  $L^{\perp} \subset H^2(S, \mathbb{Z})$  of any polarised K3 surface (S, L) of degree d.

We denote by  $H^4(X, \mathbb{Z})(1)$  the middle cohomology of a cubic fourfold X, with the weight of the Hodge structure shifted by two and the intersection product changed by a sign. As a lattice, it is isomorphic to

$$\Lambda_{\text{cub}} := E_8(-1)^{\oplus 2} \oplus U^{\oplus 2} \oplus \mathbb{Z}(-1)^{\oplus 3}.$$

Let  $h = (1, 1, 1) \in \mathbb{Z}(-1)^{\oplus 3} \subset \Lambda_{\text{cub}}$ . The primitive cohomology  $H^4(X, \mathbb{Z})_{\text{prim}}(1)$  of X is isomorphic to  $\Lambda^0_{\text{cub}} := h^{\perp} \subset \Lambda_{\text{cub}}$ . An easy computation shows that

$$\Lambda_{\operatorname{cub}}^0 \cong E_8(-1)^{\oplus 2} \oplus U^{\oplus 2} \oplus A_2(-1),$$

where  $A_2$  is the lattice  $(\mathbb{Z}^{\oplus 2}, \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix})$ . There exists a primitive embedding of  $A_2$  into  $U_3 \oplus U_4 \subset \widetilde{\Lambda}_{K3}$ , unique up the action of  $O(\widetilde{\Lambda}_{K3})$ . One can show that  $\Lambda^0_{\text{cub}} \cong A_2^{\perp} \subset \widetilde{\Lambda}_{K3}$ .

## 2. Hassett's construction

We summarise Hassett's construction, explaining those proofs that we need for our results. For details, see [9].

# 2.1. Special cubic fourfolds

Inside  $H^4(X, \mathbb{Z})(1)$ , we consider the negative definite lattice

$$A(X) := H^4(X, \mathbb{Z})(1) \cap H^{2,2}(X).$$

We fix the notation  $h_X^2 \in H^4(X, \mathbb{Z})$  for the square of the hyperplane class on X. For X very general, the lattice A(X) has rank one and is generated by  $h_X^2$ . We call X special if  $\operatorname{rk} A(X) \geq 2$ . By the Hodge conjecture for cubic fourfolds [22], X is special if and only if X contains a surface that is not homologous to a complete intersection.

If X is special, then A(X) contains a primitive sublattice K of rank two. Hassett proved that fixing the discriminant disc K of such K gives divisors in the moduli space  $\mathcal{C}$  of smooth cubic fourfolds. Namely, define

$$\mathcal{C}_d := \{X \in \mathcal{C} \mid \exists K \subset A(X) \text{ primitive, } h_X^2 \in K, \text{ rk } K = 2, \text{ disc } K = d\}.$$

Then the set of special cubic fourfolds in C is the union of all  $C_d$ .

THEOREM 2·1 ([9, Theorem 1·0·1]). The set  $C_d$  is either empty or an irreducible divisor in C. It is non-empty if and only if d satisfies

$$d > 6 \text{ and } d \equiv 0, 2 \text{ mod } 6.$$
 (\*)

# 2.2. Periods of special cubic fourfolds

Recall the definition of the period domain for a lattice  $\Lambda$  of signature  $(n_+, n_-)$  with  $n_+ \ge 2$ :

$$\mathcal{D}(\Lambda) = \{ x \in \mathbb{P}(\Lambda \otimes \mathbb{C}) \mid (x)^2 = 0, \ (x, \bar{x}) > 0 \}.$$

This is a complex manifold of dimension  $\operatorname{rk} \Lambda - 2$ , which is connected when  $n_+ > 2$  and has two connected components when  $n_+ = 2$ . It has a natural action by the group  $\widetilde{O}(\Lambda)$ . This is an arithmetic group; if  $n_+ = 2$ , then by [20, Section 6 of appendix] and [4], the quotient

$$Q\mathcal{D}(\Lambda) := \mathcal{D}(\Lambda)/\widetilde{O}(\Lambda)$$

is a (connected) quasi-projective variety with at most finite quotient singularities.

In particular, this holds for  $\Lambda = \Lambda_d$ , yielding a 19-dimensional variety  $\mathcal{QD}(\Lambda_d)$ . The period map induces an open embedding  $\mathcal{M}_d \hookrightarrow \mathcal{QD}(\Lambda_d)$  (see e.g. [11, Corollary 6·4·3]).

The lattice  $\Lambda_{\text{cub}}^0$  also satisfies  $n_+ = 2$ ; the variety  $\mathcal{QD}(\Lambda_{\text{cub}}^0)$  has dimension 20. The period map gives an open embedding  $\mathcal{C} \hookrightarrow \mathcal{QD}(\Lambda_{\text{cub}}^0)$  [9, Section 2·2].

Inside  $\mathcal{D}(\Lambda_{\operatorname{cub}}^0)$ , we can identify those periods coming from special cubic fourfolds. Note that a cubic fourfold X is special if and only if there exists a negative definite sublattice  $K \subset \operatorname{H}^4(X,\mathbb{Z})(1)$  of rank two with  $h_X^2 \in K$ , such that  $K \otimes \mathbb{C}$  is contained in  $\operatorname{H}^{3,1}(X)^{\perp} \subset \operatorname{H}^4(X,\mathbb{C})(1)$ . On the level of the period domain, this means the following: After choosing a marking  $\operatorname{H}^4(X,\mathbb{Z})_{\operatorname{prim}}(1) \stackrel{\sim}{\to} \Lambda_{\operatorname{cub}}^0$ , the period of X lands in

$$\{x \in \mathcal{D}(\Lambda_{\operatorname{cub}}^0) \mid (K \cap \Lambda_{\operatorname{cub}}^0)_{\mathbb{C}} \subset x^{\perp}\}$$

for some primitive, negative definite sublattice  $K \subset \Lambda_{\text{cub}}$  of rank two containing h. Let  $K^{\perp}$  be its orthogonal complement; note that  $K^{\perp} \subset \Lambda_{\text{cub}}^0$ . The set above is the divisor  $\mathcal{D}(K^{\perp}) \subset \mathcal{D}(\Lambda_{\text{cub}}^0)$ .

We fix a primitive sublattice  $K_d \subset \Lambda_{\text{cub}}$  as above, of discriminant d. Let  $\overline{\mathcal{C}}_d \subset \mathcal{QD}(\Lambda_{\text{cub}}^0)$  be the image of  $\mathcal{D}(K_d^\perp) \subset \mathcal{D}(\Lambda_{\text{cub}}^0)$  under the quotient map  $\mathcal{D}(\Lambda_{\text{cub}}^0) \to \mathcal{QD}(\Lambda_{\text{cub}}^0)$ . The following shows that  $\overline{\mathcal{C}}_d$  does not depend on the choice of  $K_d$ .

PROPOSITION 2-2 ([9, Proposition 3-2-4]). Let  $K, K' \subset \Lambda_{\text{cub}}$  be primitive sublattices of rank two containing h. Then K = f(K') for some  $f \in \widetilde{O}(\Lambda_{\text{cub}}^0)$  if and only if disc K = disc K'.

Note that the immersion  $\mathcal{C} \hookrightarrow \mathcal{Q}\mathcal{D}(\Lambda_{\text{cub}}^0)$  maps  $\mathcal{C}_d$  into  $\overline{\mathcal{C}}_d$ . In fact, we have  $\mathcal{C}_d = \mathcal{C} \cap \overline{\mathcal{C}}_d$ .

### 2.3. Associated K3 surfaces

Consider the following condition on  $d \in \mathbb{N}$ :

d is even and not divisible by 4, 9, or any odd prime 
$$p \equiv 2 \mod 3$$
. (\*\*)

This implies that  $d \equiv 0, 2 \mod 6$ . Hassett proved the following statement:

PROPOSITION 2-3 ([9, Proposition 5-1-4]). The number d satisfies (\*\*) if and only if there is an isomorphism  $\Lambda_d \cong K_d^{\perp}$ .

So when d satisfies (\*\*), there is an isomorphism of period domains  $\mathcal{D}(\Lambda_d) \cong \mathcal{D}(K_d^{\perp})$ . Proposition 2·6 below tells us that under the identification  $\Lambda_d \cong K_d^{\perp}$ , the group  $\widetilde{O}(\Lambda_d)$  forms a subgroup of  $\widetilde{O}(\Lambda_{\text{cub}}^0)$ . Hence, we also get a map from  $\mathcal{Q}\mathcal{D}(\Lambda_d) = O(\Lambda_d) \setminus \mathcal{D}(\Lambda_d)$  to  $\overline{\mathcal{C}}_d$ . This gives us the following commutative diagram:

$$\mathcal{D}(\Lambda_{d}) \xrightarrow{\sim} \mathcal{D}(K_{d}^{\perp}) \xrightarrow{\subset} \mathcal{D}(\Lambda_{\text{cub}}^{0})$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad$$

It can be shown that the rational map  $\varphi \colon \mathcal{M}_d \dashrightarrow \mathcal{C}_d$  is regular on an open subset which maps surjectively to  $\mathcal{C}_d$ , see [9, Section 5·1]. Note that  $\varphi$  depends on the choice of an isomorphism  $\Lambda_d \cong K_d^\perp$ , thus it is only unique up to  $O(\Lambda_d)/\widetilde{O}(\Lambda_d)$ .

If  $\varphi$  sends  $(S, L) \in \mathcal{M}_d$  to X, then there exists an isometry of Hodge structures

$$H^4(X, \mathbb{Z})(1) \supset K^{\perp} \cong L^{\perp} \subset H^2(S, \mathbb{Z})$$

for some primitive sublattice  $K \subset A(X)$  of rank two and discriminant d containing  $h_X^2$ . Conversely, if such a Hodge isometry exists, it induces a lattice isomorphism

$$\Lambda_d \cong L^{\perp} \cong K^{\perp} \cong K_d^{\perp}$$

such that the induced map  $\varphi \colon \mathcal{M}_d \dashrightarrow \mathcal{C}_d$  sends (S, L) to X. This motivates the following definition.

Definition 2.4. Let  $X \in \mathcal{C}_d$ . A polarised K3 surface  $(S, L) \in \mathcal{M}_d$  is associated to X if there exists a Hodge isometry

$$H^4(X, \mathbb{Z})(1) \supset K^{\perp} \cong L^{\perp} \subset H^2(S, \mathbb{Z})$$

for some primitive sublattice  $K \subset A(X)$  of rank two and discriminant d containing  $h_X^2$ .

For the rest of this section, we fix one choice of the rational map  $\varphi$ .

Remark 2.5. The complement of the image of the inclusion  $\mathcal{C} \hookrightarrow \mathcal{QD}(\Lambda_{\text{cub}}^0)$  is  $\overline{\mathcal{C}}_2 \cup \overline{\mathcal{C}}_6$  [13, 14]. Therefore,  $\varphi(S, L)$  is defined if and only if the image of (S, L) under  $\mathcal{QD}(\Lambda_d) \to \overline{\mathcal{C}}_d$  lies in  $\overline{\mathcal{C}}_d \setminus \left(\overline{\mathcal{C}}_2 \cup \overline{\mathcal{C}}_6\right)$ . In particular, this holds when  $\rho(S) = 1$ .

To describe the map  $\mathcal{QD}(\Lambda_d) \to \overline{\mathcal{C}}_d$ , we define two subgroups of  $\widetilde{O}(\Lambda_{\text{cub}}^0)$ . Let  $v_d$  be a generator of  $K_d \cap \Lambda_{\text{cub}}^0$ , which is unique up to a sign. Define  $\widetilde{O}(\Lambda_{\text{cub}}^0, v_d) \subset \widetilde{O}(\Lambda_{\text{cub}}^0)$  by

$$\widetilde{O}(\Lambda_{\text{cub}}^{0}, v_{d}) := \{ f \in O(\Lambda_{\text{cub}}) \mid f \mid_{K_{d}} = \text{id}_{K_{d}} \} 
= \{ f \in \widetilde{O}(\Lambda_{\text{cub}}^{0}) \mid f(v_{d}) = v_{d} \}.$$

The next statement is part of [9, Theorem 5.2.2]. It follows directly from Lemma 1.1.

PROPOSITION 2-6. Suppose that d satisfies (\*\*). Under the isomorphism  $\Lambda_d \cong K_d^{\perp}$ , the group  $\widetilde{O}(\Lambda_d)$  is identified with  $\widetilde{O}(\Lambda_{\text{cub}}^0, v_d)$ .

In particular, there is an isomorphism  $\mathcal{QD}(\Lambda_d) \cong \widetilde{O}(\Lambda_{\text{cub}}^0, v_d) \setminus \mathcal{D}(K_d^{\perp})$ . The second group we consider is

$$\widetilde{\mathcal{O}}(\Lambda_{\text{cub}}^{0}, \langle v_d \rangle) = \{ f \in \mathcal{O}(\Lambda_{\text{cub}}) \mid f(h) = h \text{ and } f(K_d) = K_d \}$$
$$= \{ f \in \widetilde{\mathcal{O}}(\Lambda_{\text{cub}}^{0}) \mid f(v_d) = \pm v_d \}.$$

By the Baily–Borel theorem [4], the quotient  $\widetilde{O}(\Lambda_{\operatorname{cub}}^0, \langle v_d \rangle) \backslash \mathcal{D}(K_d^\perp)$  is a normal quasi-projective variety. In fact,  $\widetilde{O}(\Lambda_{\operatorname{cub}}^0, \langle v_d \rangle) \backslash \mathcal{D}(K_d^\perp) \twoheadrightarrow \overline{\mathcal{C}}_d$  is the normalisation of  $\overline{\mathcal{C}}_d$ . Namely, a very general special cubic fourfold X satisfies  $\operatorname{rk} A(X) = 2$  [21, Section 5·1], so there is only one sublattice  $K_d \subset A(X)$ . It follows that the map  $\widetilde{O}(\Lambda_{\operatorname{cub}}^0, \langle v_d \rangle) \backslash \mathcal{D}(K_d^\perp) \twoheadrightarrow \overline{\mathcal{C}}_d$  is generically injective. To see that it is proper, note that the action of  $\widetilde{O}(\Lambda_{\operatorname{cub}}^0)$  on  $\mathcal{D}(\Lambda_{\operatorname{cub}}^0)$  is properly discontinuous [11, Remark 6·1·10]. Hence the map  $\mathcal{D}(\Lambda_{\operatorname{cub}}^0) \twoheadrightarrow \mathcal{Q}\mathcal{D}(\Lambda_{\operatorname{cub}}^0)$  is closed, as is its restriction  $\mathcal{D}(K_d^\perp) \twoheadrightarrow \overline{\mathcal{C}}_d$  to the closed subset  $\mathcal{D}(K_d^\perp) \subset \mathcal{D}(\Lambda_{\operatorname{cub}}^0)$ . Since this factors as

$$\mathcal{D}(K_d^{\perp}) \twoheadrightarrow \widetilde{\mathcal{O}}(\Lambda_{\mathrm{cub}}^0, \langle v_d \rangle) \backslash \mathcal{D}(K_d^{\perp}) \twoheadrightarrow \overline{\mathcal{C}}_d,$$

the map  $\widetilde{O}(\Lambda_{\text{cub}}^0, \langle v_d \rangle) \setminus \mathcal{D}(K_d^{\perp}) \twoheadrightarrow \overline{\mathcal{C}}_d$  is closed as well. Moreover, it has finite fibres, so it is proper.

Summarising, we have the following commutative diagram:

The spaces  $\widetilde{O}(\Lambda_{\text{cub}}^0, v_d) \setminus \mathcal{D}(K_d^{\perp})$  and  $\widetilde{O}(\Lambda_{\text{cub}}^0, \langle v_d \rangle) \setminus \mathcal{D}(K_d^{\perp})$  can be seen as period domains of *marked* and *labelled* cubic fourfolds, respectively [9, Section 3·1, 5·2].

The following describes the generic fibre of the quotient map

$$\overline{\gamma} : \widetilde{\mathcal{O}}(\Lambda_{\operatorname{cub}}^0, v_d) \setminus \mathcal{D}\left(K_d^{\perp}\right) \longrightarrow \widetilde{\mathcal{O}}(\Lambda_{\operatorname{cub}}^0, \langle v_d \rangle) \setminus \mathcal{D}\left(K_d^{\perp}\right).$$

PROPOSITION 2.7 ([9, proposition 5.2.1]). There is an isomorphism

$$\widetilde{\mathcal{O}}(\Lambda_{\text{cub}}^0, \langle v_d \rangle) / \widetilde{\mathcal{O}}(\Lambda_{\text{cub}}^0, v_d) \cong \begin{cases} \{0\} & \text{if } d \equiv 2 \mod 6 \\ \mathbb{Z}/2\mathbb{Z} & \text{if } d \equiv 0 \mod 6. \end{cases}$$

As a consequence,  $\overline{\gamma}$  is an isomorphism when  $d \equiv 2 \mod 6$  and has degree two when  $d \equiv 0 \mod 6$ . In the latter case, the covering involution of  $\overline{\gamma}$  is induced by an automorphism  $g \in \widetilde{O}(\Lambda_{\text{cub}}^0, \langle v_d \rangle)$  whose class modulo  $\widetilde{O}(\Lambda_{\text{cub}}^0, v_d)$  generates  $\mathbb{Z}/2\mathbb{Z}$ . We will explain the construction of an explicit such g.

LEMMA 2.8. Let  $x \in \Lambda^0_{\text{cub}}$  be primitive with  $(x, x) \neq 0$  and  $3 \not\mid (x, x)$ . There exists an  $f \in \widetilde{O}(\Lambda^0_{\text{cub}})$  such that  $f(x) = e_2 + f_2 \cdot (x, x)/2$ .

*Proof.* As Disc  $\Lambda_{\text{cub}}^0 = \mathbb{Z}/3\mathbb{Z}$ , the divisibility div(x) of x in  $\Lambda_{\text{cub}}^0$  is either 1 or 3. Since  $3 \not (x, x)$ , it must be 1. Therefore the class of x/div(x) in Disc  $\Lambda_{\text{cub}}^0$  is trivial. By Eichler's criterion [8, Proposition 3·3], there is an  $f \in \widetilde{O}(\Lambda_{\text{cub}}^0)$  sending x to  $e_2 + f_2 \cdot (x, x)/2$ .

Suppose  $d \equiv 0 \mod 6$ . By Lemma 2.8, we can assume

$$v_d = e_2 - \frac{d}{6} f_2 \in \Lambda_{\text{cub}} = E_8(-1)^{\oplus 2} \oplus U_1 \oplus U_2 \oplus \mathbb{Z}(-1)^{\oplus 3}.$$

Let  $g \in O(\Lambda_{cub})$  be given by

$$g|_{E_8(-1)^{\oplus 2}\oplus \mathbb{Z}(-1)^{\oplus 3}} = \mathrm{id}; \ g|_{U_1\oplus U_2} = -\mathrm{id}.$$

Then we have  $g(v_d) = -v_d$ , so  $g|_{\Lambda^0_{\text{cub}}}$  generates  $\widetilde{\mathcal{O}}(\Lambda^0_{\text{cub}}, \langle v_d \rangle)/\widetilde{\mathcal{O}}(\Lambda^0_{\text{cub}}, v_d)$ .

## 3. The involution on $\mathcal{M}_d$

From now on, we will assume that d satisfies (\*\*). In the previous section, we explained that the map

$$\overline{\gamma} : \mathcal{QD}(\Lambda_d) \xrightarrow{} \widetilde{O}(\Lambda_{\text{cub}}^0, \langle v_d \rangle) \backslash \mathcal{D}(K_d^{\perp})$$

is an isomorphism if  $d \equiv 2 \mod 6$  and has degree two if  $d \equiv 0 \mod 6$ . In the second case, define  $\tau \colon \mathcal{QD}(\Lambda_d) \to \mathcal{QD}(\Lambda_d)$  to be the covering involution of  $\overline{\gamma}$ . It is induced by the map  $g|_{K_d^{\perp}}$ , seen as an element of  $\widetilde{O}(\Lambda_d)$ . Note that  $\tau$  maps  $\mathcal{M}_d$  to itself: As explained in e.g. [11, remark 6·3·7], the complement of  $\mathcal{M}_d$  in  $\mathcal{QD}(\Lambda_d)$  is

$$\bigcup_{\delta \in \Lambda_d, \, \delta^2 = -2} \delta^{\perp}$$

and this set is clearly preserved under g.

PROPOSITION 3.1. The morphism  $\tau$  does not depend on the choice of isomorphism  $\Lambda_d \cong K/d$ .

*Proof.* Precomposing the isomorphism  $\Lambda_d \cong K_d^{\perp}$  with  $f \in O(\Lambda_d)$  changes g on  $\Lambda_d$  to  $f^{-1} \circ g \circ f$ . Note that this has the same action on the abelian group  $\operatorname{Disc}(\Lambda_d)$  as g, thus it induces the same action on  $\mathcal{QD}(\Lambda_d)$ .

For a K3 surface S, we denote by  $\widetilde{H}(S, \mathbb{Z})$  the full cohomology of S with the Mukai pairing and the Hodge structure of weight two defined by  $\widetilde{H}^{2,0}(S) := H^{2,0}(S)$ .

Let H be a generic polarisation on S. For a primitive vector  $v = (r, \ell, s) \in \widetilde{H}(S, \mathbb{Z})$ , denote by  $M_S(v)$  the moduli space of H-stable coherent sheaves on S with Mukai vector v. Recall [11, Chapter 10] that if there exists a w in  $\widetilde{H}^{1,1}(S, \mathbb{Z}) = H^0(S, \mathbb{Z}) \oplus H^{1,1}(S, \mathbb{Z}) \oplus H^4(S, \mathbb{Z})$  with (v, w) = 1, then this is a fine moduli space. If r > 0 (or  $(\ell)^2 \ge 2$  and  $(\ell, H) > 0$ ) and  $(v)^2 = 0$ , then  $M_S(v)$  is a K3 surface.

THEOREM 3.2. Let  $(S^{\tau}, L^{\tau}) = \tau(S, L)$ . Then  $S^{\tau}$  is isomorphic to the moduli space  $M_S(v)$  of stable coherent sheaves on S with Mukai vector v = (3, L, d/6). Under the natural identification  $H^2(S^{\tau}, \mathbb{Z}) \cong v^{\perp}/\mathbb{Z}v$ , we have  $L^{\tau} = (d, (d/3 - 1)L, d/3(d/6 - 1))$ .

Let us describe the strategy of the proof. Since the map g does not induce the identity on Disc  $\Lambda_d$ , it does not extend to an orthogonal transformation of  $\Lambda_{K3}$ . However, we will show that g extends to  $\widetilde{g} \in O(\widetilde{\Lambda}_{K3})$ . Using  $\widetilde{g}$ , we find  $S^{\tau}$  as follows. Let x be a representative of the period of (S, L) in  $\Lambda_{d,\mathbb{C}} \subset \widetilde{\Lambda}_{K3,\mathbb{C}}$ . The K3 surface  $S^{\tau}$  is the one whose period can be represented by  $g(x) \in \Lambda_{d,\mathbb{C}}$ . The map  $\widetilde{g}$  induces a Hodge isometry  $\widetilde{H}(S, \mathbb{Z}) \cong \widetilde{H}(S^{\tau}, \mathbb{Z})$ , so by the derived Torelli theorem ([18], see also [11, Proposition  $16 \cdot 3 \cdot 5$ ]), S and  $S^{\tau}$  are Fourier–Mukai partners.

More precisely, denote by  $\widetilde{g}_{\mathrm{Muk}}$  the morphism  $\widetilde{g}$ , seen as an orthogonal transformation of  $\Lambda_{\mathrm{Muk}}$ . Let  $v = (r, \ell, s) := \widetilde{g}_{\mathrm{Muk}}^{-1}(0, 0, 1)$ . There exists a universal sheaf  $\mathcal{E}$  on  $S \times M_S(v)$ . Let  $\Phi_{\mathcal{E}}^H : \widetilde{\mathrm{H}}(M_S(v), \mathbb{Z}) \to \widetilde{\mathrm{H}}(S, \mathbb{Z})$  be the induced cohomological Fourier–Mukai transform, which satisfies  $\Phi_{\mathcal{E}}^H(0, 0, 1) = v$ . Then  $\widetilde{g}_{\mathrm{Muk}} \circ \Phi_{\mathcal{E}}^H$  sends  $\mathrm{H}^2(M_S(v), \mathbb{Z})$  to  $\mathrm{H}^2(S^\tau, \mathbb{Z})$ , which shows that  $S^\tau$  is isomorphic to  $M_S(v)$ .

To describe  $L^{\tau}$ , note that  $\Phi_{\mathcal{E}}^{H}$  induces an isomorphism  $H^{2}(M_{S}(v), \mathbb{Z}) \cong v^{\perp}/\mathbb{Z}v$ , where  $v^{\perp} \subset \widetilde{H}(S, \mathbb{Z})$  (this is a result by Mukai, see [11, Remark  $10 \cdot 3 \cdot 7$ ]). Thus,  $\widetilde{g}_{\text{Muk}}^{-1}$  restricts to an isomorphism  $H^{2}(S^{\tau}, \mathbb{Z}) \cong v^{\perp}/\mathbb{Z}v$ . Under this identification, the polarisation  $L^{\tau}$  is mapped to  $\widetilde{g}_{\text{Muk}}^{-1}(\ell_{d})$ .

Remark 3.3. The extension  $\widetilde{g}$  of g is not unique. But if  $\widetilde{g}'$  is another extension, then  $\widetilde{g}_{\text{Muk}}^{-1} \circ \widetilde{g}'_{\text{Muk}}$  is an orthogonal transformation of  $\Lambda_{\text{Muk}}$  sending  $v' = (\widetilde{g}'_{\text{Muk}})^{-1}(0, 0, 1)$  to v. This induces a Hodge isometry  $H^2(M_S(v'), \mathbb{Z}) \cong H^2(M_S(v), \mathbb{Z})$ , so  $M_S(v')$  and  $M_S(v)$  are isomorphic.

Remark 3.4. The space  $QD(\Lambda_d)$  can be interpreted as the moduli space of *quasi-polarised* K3 surfaces, i.e. pairs (S, L) with L the class of a big and nef line bundle [10, Section 5]. For such pairs the theorem is still valid.

Remark 3.5. For  $d \equiv 0 \mod 6$ , the ramification locus of  $\overline{\gamma}$  over  $\mathcal{M}_d$  consists of those (S, L) which are polarised isomorphic to  $(S^{\tau}, L^{\tau})$ . It follows from [10, Section 8] that  $\overline{\gamma}$  is unramified over  $\{(S, L) \in \mathcal{M}_d \mid \rho(S) = 1\}$ .

### 3.1. Proof of Theorem 3.2

We first compute the action of g on Disc  $K_d^{\perp} \cong$  Disc  $K_d$ . Suppose  $T \in K_d$  is a primitive element such that h and T generate  $K_d$ . Then (h, T) is divisible by 3. We can write  $v_d = (h, T)h/3$ , which has square -d/3. It follows that  $K_d = \mathbb{Z}h \oplus \mathbb{Z}v_d$ , so

Disc 
$$K_d \cong \mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/\left(\frac{d}{3}\right)\mathbb{Z}$$
,

with the action of g given by id  $\oplus$  – id.

LEMMA 3.6. The induced action of g on Disc  $\Lambda_d \cong \mathbb{Z}/d\mathbb{Z}$  is given by  $x \mapsto (d/3 - 1)x$ .

*Proof.* If we reduce modulo d/3, multiplication by d/3 - 1 equals -id. If we reduce modulo 3, it is the identity, as  $d/3 - 1 \equiv 1 \mod 3$ .

To extend g on  $\Lambda_d$  to  $\widetilde{\Lambda}_{K3}$  it thus suffices, by Lemma 1·1, to find an orthogonal transformation of  $\Lambda_d^{\perp} = \mathbb{Z}\ell_d \oplus U_4$  acting on the discriminant group by  $x \mapsto (d/3-1)x$ . Consider  $u \in O(\mathbb{Z}\ell_d \oplus U_4)$  defined by (see Remark 3·7)

$$e_4 \longmapsto -\frac{d}{6}e_4 - \frac{1}{3}\left(\frac{d}{6} - 1\right)\ell_d + \frac{1}{3}\left(\frac{d}{6} - 1\right)^2 f_4$$

$$f_4 \longmapsto 3e_4 + \ell_d - \frac{d}{6}f_4$$

$$\ell_d \longmapsto de_4 + \left(\frac{d}{3} - 1\right)\ell_d - \frac{d}{3}\left(\frac{d}{6} - 1\right)f_4$$

One computes that this is an involution. The discriminant group  $\operatorname{Disc}(\mathbb{Z}\ell_d \oplus U_4) \cong \mathbb{Z}/d\mathbb{Z}$  is generated by the class  $\overline{\ell_d/d}$ , which  $\overline{u}$  multiplies by d/3 - 1.

It follows that  $g \oplus u \in O(\Lambda_d \oplus \mathbb{Z}\ell_d \oplus U_4)$  extends to  $\widetilde{g} \in O(\widetilde{\Lambda}_{K3})$ . Since  $\widetilde{g}$  is an involution, we have  $\widetilde{g}^{-1}(f_4) = \widetilde{g}(f_4) = 3e_4 + \ell_d - (d/6)f_4$ . As an element of the Mukai lattice, this is

$$v = (3, \ell_d, d/6) \in \Lambda_{\text{Muk}} = \mathbb{Z}e_4 \oplus \Lambda_{\text{K3}} \oplus \mathbb{Z}(-f_4).$$

The polarisation  $L^{\tau} = \widetilde{g}^{-1}(\ell_d)$ , seen as an element of  $v^{\perp}/\mathbb{Z}v \subset \widetilde{H}(S,\mathbb{Z})$ , is

$$L^{\tau} = \left(d, \left(\frac{d}{3} - 1\right)L, \frac{d}{3}\left(\frac{d}{6} - 1\right)\right).$$

This completes the proof of Theorem 3.2.

Remark 3.7. The map u can be found as follows. One extends  $g|_{K_d^{\perp}}$  to  $\widetilde{\Lambda}_{K3}$  by taking id  $\oplus$  – id on the orthogonal complement  $A_2(-1) \oplus \mathbb{Z}(d/3)$  of  $K_d^{\perp}$  in  $\widetilde{\Lambda}_{K3}$ . In order to find the Mukai vector v, one writes down an explicit isomorphism  $A_2(-1) \oplus \mathbb{Z}(d/3) \cong \mathbb{Z}\ell_d' \oplus U_4$  and computes the corresponding orthogonal transformation u of  $\mathbb{Z}\ell_d' \oplus U_4$ . We chose not to spell out these tedious computations.

Remark 3.8. As shown in the proof of Proposition 3.1, we can replace g by any element of  $O(\Lambda_d)$  with the same action on Disc  $\Lambda_d$ . For instance, we can take the automorphism given by the identity on  $E_8(-1)^{\oplus 2} \oplus U_1$  and u on  $U_2 \oplus \mathbb{Z}(e_3 - (d/2)f_3) \cong (\mathbb{Z}\ell_d \oplus U_4)(-1)$ . This allows us to define  $\tau$  on  $\mathcal{M}_d$  for all  $d \equiv 0 \mod 6$  with  $d/6 \equiv 1 \mod 3$ .

## 4. Birationality of Hilbert schemes

In this section we study the Hilbert schemes of n points  $Hilb^n(S)$  and  $Hilb^n(S^{\tau})$  of our K3 surfaces S and  $S^{\tau}$ . Corollary 4.9 and the results in Section 4.2 hold for all d such that  $d/6 \equiv 1 \mod 3$ , using Remark 3.8.

## 4.1. Hilbert schemes of two points

For a cubic fourfold X we denote by F(X) the Fano variety of lines on X, a four-dimensional hyperkähler variety of  $K3^{[2]}$  type. Hassett proved the following:

THEOREM 4.1 ([9, Theorem 6.1.4]). Assume that d satisfies

$$d = 2(n^2 + n + 1)$$

for some integer  $n \ge 2$ . Let X be a generic cubic fourfold in  $C_d$ . Then F(X) is isomorphic to  $\text{Hilb}^2(S)$ , where  $(S, L) \in \mathcal{M}_d$  is associated to X.

If also  $d \equiv 0 \mod 6$ , then F(X) is isomorphic to both  $\operatorname{Hilb}^2(S)$  and  $\operatorname{Hilb}^2(S^\tau)$  (Hassett calls F(X) ambiguous). Since birationality specialises in families of hyperkähler manifolds, it follows that  $\operatorname{Hilb}^2(S)$  is birational to  $\operatorname{Hilb}^2(S^\tau)$  for all K3 surfaces S of degree d. We can generalise this using the following result by Addington. See also Remark 4-6.

THEOREM 4·2 ([1, Theorem 2]). A cubic fourfold X lies in  $C_d$  for some d satisfying

$$a^2d = 2(n^2 + n + 1) \tag{***}$$

if and only if F(X) is birational to  $Hilb^2(S)$  for some K3 surface S.

Note that (\*\*\*) implies (\*\*).

LEMMA 4·3. Suppose that d satisfies (\*\*\*). Then there exists a choice of the rational map  $\varphi \colon \mathcal{M}_d \dashrightarrow \mathcal{C}_d$  such that if  $(S, L) \in \mathcal{M}_d$  is associated to  $X \in \mathcal{C}_d$  via  $\varphi$ , then  $Hilb^2(S)$  and F(X) are birational.

Proof. Consider the sublattices

$$K_d^{\perp} \oplus T \subset \widetilde{\Lambda}_{K3} \supset \Lambda_d \oplus \mathbb{Z}\ell_d \oplus U_4,$$

where  $T \supset A_2 = \langle \lambda_1, \lambda_2 \rangle$  is the orthogonal complement of K/d in  $\widetilde{\Lambda}_{K3}$ . Then d satisfies (\*\*) if and only if  $T \cong \mathbb{Z}\ell_d \oplus U_4$ . Addington showed that (\*\*\*) holds if and only if  $\psi : T \to \mathbb{Z}\ell_d \oplus U_4$  can be chosen such that  $\psi(\lambda_1) = e_4 + f_4$ . Extend  $\psi$  to an element of  $O(\widetilde{\Lambda}_{K3})$  (use Lemma 1·1 and [11, Theorem 14·2·4]) and let  $\varphi$  be the induced map  $\mathcal{M}_d \dashrightarrow \mathcal{C}_d$ .

Assume that  $(S, L) \in \mathcal{M}_d$  is associated to  $X \in \mathcal{C}_d$  via  $\varphi$ . Choose an isomorphism  $H^2(S, \mathbb{Z}) \cong U_4^{\perp} \subset \widetilde{\Lambda}_{K3}$  sending L to  $\ell_d$ , and consider the induced Hodge structure on  $\widetilde{\Lambda}_{K3}$ . There are isometries of sub-Hodge structures

$$H^{2}(F(X), \mathbb{Z}) \cong \lambda_{1}^{\perp} \cong \psi(\lambda_{1})^{\perp} = (e_{4} + f_{4})^{\perp} \cong H^{2}(M_{S}(1, 0, -1), \mathbb{Z}),$$

where the sign in  $M_S(1, 0, -1)$  appears because we view the Mukai vector as an element of  $\Lambda_{\text{Muk}}$ . By Markman's birational Torelli theorem for manifolds of K3<sup>[n]</sup> type [15, Corollary 9.9], F(X) is birational to  $M_S(1, 0, -1) \cong \text{Hilb}^2(S)$ .

COROLLARY 4.4. When  $d \equiv 0 \mod 6$  satisfies (\*\*\*), then  $Hilb^2(S) \sim_{bir} Hilb^2(S^{\tau})$  for any K3 surface  $(S, L) \in \mathcal{M}_d$ .

The following proposition shows that we have more than just birationality: if d is such that  $\operatorname{Hilb}^2(S) \sim_{\operatorname{bir}} \operatorname{Hilb}^2(S^{\tau})$ , then for S generic,  $\operatorname{Hilb}^2(S)$  and  $\operatorname{Hilb}^2(S^{\tau})$  are isomorphic.

PROPOSITION 4.5. Let (S, L) be a polarised K3 surface of degree d with  $Pic(S) = \mathbb{Z}L$  and 3|d. Then  $Hilb^2(S)$  has only one birational model.

*Proof.* By [6, Theorem 5·1] the walls of the ample cone of  $\mathrm{Hilb}^2(S)$  in the interior of the movable cone are given by the hypersurfaces  $x^\perp \subset \mathrm{NS}(\mathrm{Hilb}^2(S)) \otimes \mathbb{R}$  for all  $x \in \mathrm{NS}(\mathrm{Hilb}^2(S))$  of square -10 and divisibility two. We will show that there are no such x. There is an isomorphism

$$NS(Hilb^2(S)) \cong NS(S) \oplus \mathbb{Z}\delta = \mathbb{Z}L \oplus \mathbb{Z}\delta$$
,

where  $\delta$  is a (-2)-class orthogonal to L [5]. So any class in NS(Hilb<sup>2</sup>(S)) is given by  $aL + b\delta$  for some  $a, b \in \mathbb{Z}$ , and its square is  $a^2d - 2b^2$ . Setting this equal to -10 gives the Pell equation  $b^2 - a^2d/2 = 5$  which, after reducing modulo 3, gives  $b^2 \equiv 2 \mod 3$ . This is not possible.

It follows that under any birational map  $Hilb^2(S) \longrightarrow Y$  the pullback of an ample class is ample, thus the map is an isomorphism [7].

Remark 4.6. This also implies that when d satisfies (\*\*\*) and 3|d, then for a generic cubic fourfold X of discriminant d, F(X) is actually isomorphic to  $Hilb^2(S)$  for a K3 surface S associated to X. When  $3 \not d$ , Proposition 4.5 does not hold. For example, consider d = 38, which satisfies (\*\*\*) but not the condition in Theorem 4.1. The element  $2L + 9\delta$  has square -10 and divisibility gcd(2, 18) = 2. Hence,  $Hilb^2(S)$  has more than one birational model.

It is natural to ask for the exact conditions on d for  $Hilb^2(S)$  to be birational to  $Hilb^2(S^{\tau})$ , for all S of degree d. It turns out that (\*\*\*) is too strong. We use the following two results by [16].

PROPOSITION 4-7 ([16, Proposition 2-1]). Let (S, L) be a polarised K3 surface satisfying  $Pic(S) = \mathbb{Z}L$ . Let v = (x, cL, y) be a primitive isotropic Mukai vector such that  $M_S(v)$  is a fine moduli space. Then  $v = (p^2r, pqL, q^2s)$  for some integers p, r, q, s with gcd(pr, qs) = 1 and  $(L)^2 = 2rs$ , and there is an isomorphism  $M_S(v) \cong M_S(r, L, s)$ . Moreover,  $M_S(r, L, s)$  is isomorphic to  $M_S(r', L, s')$  if and only if  $\{r, s\} = \{r', s'\}$ .

PROPOSITION 4-8 ([16, Theorem 2-2]). Let  $S_1$  and  $S_2$  be derived equivalent K3 surfaces of Picard number one. Then  $\operatorname{Hilb}^n(S_1)$  and  $\operatorname{Hilb}^n(S_2)$  are birational if and only if  $S_2$  is isomorphic to  $M_{S_1}(p^2r, pqL, q^2s)$  for some p, q with  $p^2r(n-1) - q^2s = \pm 1$ . Moreover,  $\{r, s\}$  is uniquely determined by  $S_2$ .

Note that  $p^2r(n-1) - q^2s = \pm 1$  is equivalent to  $((1, 0, 1-n), (p^2r, pqL, q^2s)) = \pm 1$ . So when  $(p^2r, pqL, q^2s)$  is primitive then  $M_{S_1}(p^2r, pqL, q^2s)$  is a fine moduli space, isomorphic (also when  $\rho(S_1) > 1$ ) to  $M_{S_1}(r, L, s)$  by Proposition 4·7.

Our description of  $\tau$  gave us  $S^{\tau} = M_S(3, L, d/6)$ , so r = 3 and s = d/6. Thus, Proposition 4-8 tells us that when  $\rho(S) = 1$ , then we have  $\operatorname{Hilb}^n(S) \sim_{\operatorname{bir}} \operatorname{Hilb}^n(S^{\tau})$  if and only if there exist non-zero integers p, q such that  $3p^2(n-1) - (d/6)q^2 = \pm 1$ . Note that  $3p^2(n-1) - (d/6)q^2 = 1$  does not happen in our case: since  $d/6 \equiv 1 \mod 3$ , reducing modulo 3 gives  $q^2 \equiv 2 \mod 3$  which is not possible.

COROLLARY 4.9. Suppose that  $\rho(S) = 1$ . Then  $\mathrm{Hilb}^2(S)$  and  $\mathrm{Hilb}^2(S^{\tau})$  are birational if and only if there exists an integral solution to the equation

$$3p^2 - (d/6)q^2 = -1. (4.1)$$

Equivalently,  $Hilb^2(S)$  admits a line bundle of degree 6.

*Proof.* A class  $aL + b\delta$  in NS(Hilb<sup>2</sup>(S)) =  $\mathbb{Z}L \oplus \mathbb{Z}\delta$  has square  $a^2d - 2b^2 = 6$ , in particular  $b = 3b_0$  for some  $b_0$ , if and only if  $3b_0^2 - (d/6)a^2 = -1$ .

Note that when  $\rho(S) > 1$ , the existence of a solution to  $(4 \cdot 1)$  still implies that  $Hilb^2(S)$  and  $Hilb^2(S^{\tau})$  are birational and that  $Hilb^2(S)$  admits a line bundle of degree 6.

<i>d</i> /6	d	(**)	(***)	(4.1)	d/6	d	(**)	(***)	(4.1)
1	6	Х	Х	X	52	312			
4	24			X	55	330			
7	42	X	X	X	58	348			
10	60				61	366	X	X	X
13	78	X		X	64	384			
16	96				67	402	X	X	X
19	114	X	X	X	70	420			
22	132				73	438	X		
25	150				76	456			X
28	168			X	79	474	X	X	X
31	186	X	X	X	82	492			
34	204				85	510			
37	222	X	X	X	88	528			
40	240				91	546	X	X	X
43	258	X	X	X	94	564			
46	276				97	582	X		
49	294	X		X	100	600			

Table I. Conditions (\*\*), (\*\*\*) and (4·1) for small values of d

Condition (\*\*\*) implies that (4·1) is solvable. Namely, assume we have  $a^2d/2 = n^2 + n + 1$ . Multiplying with 4 gives  $(2a)^2d/2 = (2n+1)^2 + 3$ . As d is divisible by 3, so is 2n+1, and we find that  $3((2n+1)/3)^2 - (2a)^2d/6 = -1$ .

In fact, (\*\*\*) is equivalent to the existence of a solution to  $(4\cdot1)$  with p odd and q even. One can show that such a solution always exists when d/6 is a prime  $m \equiv 3 \mod 4$ . The following example shows that there exist d for which  $(4\cdot1)$  is solvable but (\*\*\*) does not hold.

Example 4·10. Let d = 78, which satisfies (\*\*) but not (\*\*\*) (see [1]). Equation (4·1) holds with p = 2 and q = 1. It follows that  $\operatorname{Hilb}^2(S) \sim_{\operatorname{bir}} \operatorname{Hilb}^2(S^{\tau})$  for any S of degree 78. Moreover, when  $\rho(S) = 1$ , Proposition 4·5 tells us that  $\operatorname{Hilb}^2(S)$  and  $\operatorname{Hilb}^2(S^{\tau})$  are isomorphic (even though S and  $S^{\tau}$  are not).

Next, we give an example where  $(4\cdot 1)$  is not solvable. As S and  $S^{\tau}$  are derived equivalent, so are  $\operatorname{Hilb}^n(S)$  and  $\operatorname{Hilb}^n(S^{\tau})$  for all  $n \ge 1$  [19, Proposition. 8]. Therefore, we obtain two derived equivalent Hilbert schemes of two points on K3 surfaces which are not birational. The first example of this phenomenon was given in [16, Example 2·5]. Note the similarity between  $S^{\tau}$  and the K3 surface Y in [16, Proposition 1·2].

Example 4·11. Consider  $d = 6 \cdot 73$ . This again satisfies (\*\*) but not (\*\*\*). Note that (4·1) holds if and only if  $(3p)^2 - (d/2)q^2 = -3$ , which is equivalent to  $x^2 - (d/2)y^2 = -3$  when 3 divides d. This is a usual Pell type equation and one can easily check (using e.g. [3, theorem 4·2·7]) that it has no solution for  $d = 6 \cdot 73$ . So for S generic of degree  $6 \cdot 73$ , Hilb<sup>2</sup>(S) is not birational to Hilb<sup>2</sup>( $S^{\tau}$ ).

In Table I we give an overview of which  $d \le 600$  with  $d/6 \equiv 1 \mod 3$  satisfy the numerical conditions (\*\*), (\*\*\*) and (4·1) (see also [1]).

## 4.2. Higher-dimensional Hilbert schemes

We have seen that  $\operatorname{Hilb}^n(S)$  and  $\operatorname{Hilb}^n(S^{\tau})$  are birational if and only if there is a solution to

$$3p^{2}(n-1) - (d/6)q^{2} = -1. (4.2)$$

We give some examples for low n.

n=3. The lowest d satisfying (\*\*) and  $6 \mid d$  is d=42. Equation (4·2) with n=3 reads  $6p^2-7q^2=-1$ , which is solved by p=q=1. In general, one can show that if d/6 is a prime  $m \equiv 5$ , 7 mod 8, then Hilb<sup>3</sup>(S)  $\sim_{\text{bir}}$  Hilb<sup>3</sup>( $S^{\tau}$ ).

n=4. In this case, equation  $(4\cdot 2)$  reads  $(3p)^2-(d/6)q^2=-1$ . This is always solvable when d/6 is a prime  $m\equiv 1 \mod 4$ . Namely, note that when m>2 is prime, then  $x^2-my^2=-1$  has a solution if and only if  $m\equiv 1 \mod 4$ . Reducing this modulo 3 gives  $x^2-y^2\equiv -1 \mod 3$ . This implies that  $x\equiv 0 \mod 3$ . Writing x=3x' gives  $9(x')^2-(d/6)y^2=-1$ , i.e.  $(4\cdot 2)$  with n=4.

n = 5. Equation (4·2) is given by  $3(2p)^2 - (d/6)q^2 = -1$ , which is a solution for (4·1). This shows that  $\text{Hilb}^5(S) \sim_{\text{bir}} \text{Hilb}^5(S^{\tau})$  implies  $\text{Hilb}^2(S) \sim_{\text{bir}} \text{Hilb}^2(S^{\tau})$ .

Acknowledgements. This work was part of my research as a PhD candidate. I am grateful to my advisor Daniel Huybrechts for many helpful suggestions and comments. I would also like to thank Giovanni Mongardi and Andrey Soldatenkov for their help, and Pablo Magni for comments on the first version. Finally, I want to thank the referee for useful comments.

This work was supported by the Bonn International Graduate School of Mathematics and the SFB/TR 45 'Periods, Moduli Spaces and Arithmetic of Algebraic Varieties' of the DFG (German Research Foundation).

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