# ON CERTAIN PAIRS OF AUTOMORPHISMS OF RINGS 

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#### Abstract

In this paper we prove algebraic generalizations of some results of C. J. K. Batty and A. B. Thaheem, concerned with the identity $\alpha+\alpha^{-1}=\beta+\beta^{-1}$ where $\alpha$ and $\beta$ are automorphisms of a $C^{*}$-algebra. The main result asserts that if automorphisms $\alpha, \beta$ of a semiprime ring $R$ satisfy $\alpha+\alpha^{-1}=\beta+\beta^{-1}$ then there exist invariant ideals $U_{1}, U_{2}$ and $U_{3}$ of $R$ such that $U_{i} \cap U_{j}=0, i \neq j, U_{1} \oplus U_{2} \oplus U_{3}$ is an essential ideal, $\alpha=\beta$ on $U_{1}, \alpha=\beta^{-1}$ on $U_{2}$, and $\alpha^{2}=\beta^{2}=\alpha^{-2}$ on $U_{3}$. Furthermore, if the annihilator of any ideal in $R$ is a direct summand (in particular, if $R$ is a von Neumann algebra), then $U_{1} \oplus U_{2} \oplus U_{3}=R$. 1991 Mathematics subject classification (Amer. Math. Soc.): primary 16 W 20; secondary 46 L 40. Keywords and phrases: automorphism, semiprime ring, prime ring, $C^{*}$-algebra, von Neumann algebra, ideal.


## Introduction

Over the last ten years a lot of work has been done on the operator equation

$$
\begin{equation*}
\alpha+\alpha^{-1}=\beta+\beta^{-1} \tag{*}
\end{equation*}
$$

where $\alpha$ and $\beta$ are $*$-automorphisms of a von Neumann algebra. We refer to some recent papers [1,5] for a detailed discussion on this equation and a more comprehensive bibliography.

It seems that the culminating results in the series of papers concerning the equation (*) can be found in the paper [1] of Batty, where the treatment of this problem was extended from von Neumann algebras to $C^{*}$-algebras. The
main result in [1] gives a condition which is both necessary and sufficient for solution of the equation in $C^{*}$-algebras, and which produces as corollaries the necessary conditions which we will establish purely algebraically.

Our work had been motivated by the following two results of Batty [1; Corollary 3.2, Corollary 3.5]:

Theorem A. Suppose that *-automorphisms $\alpha$ and $\beta$ of a $C^{*}$-algebra $R$ satisfy (*). Then there exist ideals $\mathrm{I}_{1}, \mathrm{I}_{2}$ and $\mathrm{I}_{3}$ of $R$, each invariant under $\alpha, \beta, \alpha^{-1}$ and $\beta^{-1}$, such that $\mathrm{I}_{1} \cap \mathrm{I}_{2} \cap \mathrm{I}_{3}=0, \mathrm{I}_{3} \subseteq \mathrm{I}_{1}+\mathrm{I}_{2}$ and, for every $x$ in $R, \beta(x)-\alpha(x) \in \mathrm{I}_{1}, \beta(x)-\alpha^{-1}(x) \in \mathrm{I}_{2}, \beta^{2}(x)-\alpha^{2}(x) \in \mathrm{I}_{3}$, $\beta^{2}(x)-\alpha^{-2}(x) \in \mathrm{I}_{3}$.

The next theorem was also proved by Thaheem in $[4,5]$.
Theorem B. Suppose that $*$-automorphisms $\alpha$ and $\beta$ of a von Neumann algebra $R$ satisfy (*). Then $R=U_{1} \oplus U_{2} \oplus U_{3}$, where $U_{1}, U_{2}$ and $U_{3}$ are von Neumann subalgebras of $R$, invariant under $\alpha$ and $\beta$, such that $\alpha=\beta$ on $U_{1}, \alpha=\beta^{-1}$ on $U_{2}$, and $\alpha^{2}=\beta^{2}=\alpha^{-2}$ on $U_{3}$.

In this paper we will generalize both Theorems A and B. Our methods are much more elementary than those employed by the other authors. Roughly speaking, we will show that the presence of analysis in the study of equation $(*)$ is sometimes superfluous. We will see that Theorem A remains true if $R$ is an arbitrary semiprime ring, and that Theorem B holds if $R$ is a semiprime ring in which the annihilator of any ideal is a direct summand. Moreover, if we no longer insist that $U_{1} \oplus U_{2} \oplus U_{3}$ is $R$ but rather just a "large piece" of $R$ (more precisely, an essential ideal), then Theorem B holds in any semiprime ring $R$.

In particular, our results imply that the assumption that $\alpha$ and $\beta$ preserve adjoints, which is required in Theorems $A$ and $B$, can be removed.

We remark that the study of equation $(*)$ is much simpler if one assumes that $\alpha$ and $\beta$ commute. It turns out that in this case the presence of the ideal $U_{3}$ in Theorem B is not necessary (see, for example, [3, 6]). An algebraic generalization of this result is presented in our forthcoming paper [2].

## Preliminaries

We recall a few definitions and easy results. Let $R$ be a ring. Then $R$ is said to be prime if $a R b=0$ implies $a=0$ or $b=0$. A von Neumann algebra is prime if and only if it is a factor (that is, its center consists of
scalar multiples of the identity). If $a R a=0$ implies $a=0$, then $R$ is called semiprime. Every $C^{*}$-algebra $R$ is semiprime (for $0 \neq a a^{*} a \in a R a$ if $a \neq 0$ ).

Remark A. Let $R$ be semiprime. Suppose that $a, b \in R$ satisfy $a R b=$ 0 . Then we also have $(b R a) R(b R a)=0, a b R a b=0, b a R b a=0$, and therefore $b R a=0, a b=0, b a=0$ by the semiprimeness of $R$. Observe that the left and the right annihilators of an ideal $U$ of $R$ coincide. It will be denoted by $\operatorname{Ann}(U)$. Note that $U \cap \operatorname{Ann}(U)=0$, and that $U \oplus \operatorname{Ann}(U)$ is an essential ideal.

We will be especially concerned with semiprime rings $R$ in which the annihilator of any ideal is a direct summand; that is, $\operatorname{Ann}(U) \oplus \operatorname{Ann}(\operatorname{Ann}(U))=R$ for any ideal $U$ of $R$. Note that every von Neumann algebra has this property; namely, the annihilator of any ideal is of the form $p R$ for some central projection $p$ in $R$. More generally, the same is true for $\mathrm{AW}^{*}$-algebras.

Remark B. Let $\alpha$ be an automorphism of a ring $R$. Suppose that the ideal $I$ of $R$ is invariant under $\alpha$ and $\alpha^{-1}$, that is, $\alpha$ maps I onto itself. One can easily verify that in this case the two-sided annihilator Ann(I) of I is also invariant under $\alpha$ and $\alpha^{-1}$.

## The results

We begin our investigation of the equation (*) by considering a somewhat more general situation where automorphisms $\alpha, \beta$ and $\gamma$ satisfy $\alpha+\gamma=$ $\beta+1$.

Lemma 1. Let $\alpha, \beta, \gamma$ be automorphisms of a ring $R$. If $\alpha+\gamma=\beta+1$ then
(1) $(\alpha-1)(x) R((\beta+1)(\alpha-\beta))(w) R(\alpha-\beta)(z)=0$,
(2) $(\alpha-1)(x) R((\beta+1)(\alpha-1))(w) R(\alpha-\beta)(z)=0$ for all $x, w, z \in R$.

Proof. From $\alpha-\beta=1-\gamma$ it follows that

$$
\begin{aligned}
(\alpha- & \beta)(x) \alpha(y)+\beta(x)(\alpha-\beta)(y) \\
& =(\alpha-\beta)(x y)=(1-\gamma)(x y) \\
& =(1-\gamma)(x) y+\gamma(x)(1-\gamma)(y) \\
& =(\alpha-\beta)(x) y+\gamma(x)(\alpha-\beta)(y)
\end{aligned}
$$

Thus $(\alpha-\beta)(x)(\alpha-1)(y)+(\beta-\gamma)(x)(\alpha-\beta)(y)=0$. That is,

$$
\begin{equation*}
(\alpha-\beta)(x)(\alpha-1)(y)+(\alpha-1)(x)(\alpha-\beta)(y)=0 \quad \text { for all } x, y \in R \tag{3}
\end{equation*}
$$

since $\beta-\gamma=\alpha-1$ by assumption. Replacing $y$ by $y z$ in (3) we obtain

$$
\begin{aligned}
& (\alpha-\beta)(x)(\alpha-1)(y) \alpha(z)+(\alpha-\beta)(x) y(\alpha-1)(z) \\
& \quad+(\alpha-1)(x)(\alpha-\beta)(y) \alpha(z)+(\alpha-1)(x) \beta(y)(\alpha-\beta)(z)=0
\end{aligned}
$$

By (3) this relation reduces to

$$
\begin{align*}
& (\alpha-\beta)(x) y(\alpha-1)(z)  \tag{4}\\
& \quad+(\alpha-1)(x) \beta(y)(\alpha-\beta)(z)=0 \quad \text { for all } x, y, z \in R
\end{align*}
$$

Replacing $y$ by $y(\alpha-\beta)(w) u$ in (4) we get

$$
\begin{aligned}
& (\alpha-\beta)(x) y(\alpha-\beta)(w) u(\alpha-1)(z) \\
& \quad=-(\alpha-1)(x) \beta(y)(\beta(\alpha-\beta))(w) \beta(u)(\alpha-\beta)(z)
\end{aligned}
$$

But on the other hand, using (4) twice we obtain

$$
\begin{aligned}
& (\alpha-\beta)(x) y\{(\alpha-\beta)(w) u(\alpha-1)(z)\} \\
& \quad=-\{(\alpha-\beta)(x) y(\alpha-1)(w)\} \beta(u)(\alpha-\beta)(z) \\
& \quad=(\alpha-1)(x) \beta(y)(\alpha-\beta)(w) \beta(u)(\alpha-\beta)(z)
\end{aligned}
$$

Comparing the last two relations we obtain (1). In a similar fashion, by substituting $y(\alpha-1)(w) u$ for $y$ in (4), one shows that (2) holds.

Corollary 1. Suppose that automorphisms $\alpha, \beta, \gamma$ of a prime ring $R$ satisfy $\alpha+\gamma=\beta+1$. If $\alpha \neq \beta$ and $\alpha \neq 1$ then $\alpha=\beta \gamma, \gamma=\beta \alpha$, and $\beta^{2}=1$.

Proof. From (1) it follows immediately that $\beta(\alpha-\beta)=-(\alpha-\beta)$. By assumption, $\alpha-\beta=1-\gamma$, therefore this relation yields $\beta-\alpha=\beta(1-\gamma)=$ $\beta-\beta \gamma$ which means that $\alpha=\beta \gamma$. Similarly, by (2) we have $\beta(\alpha-1)=$ $-(\alpha-1)$; since $1-\alpha=\gamma-\beta$ it follows that $\gamma=\beta \alpha$. According to both identities, $\alpha=\beta \gamma$ and $\gamma=\beta \alpha$, we are forced to conclude that $\beta^{2}=1$.

Remark 1. The next simple example illustrates Corollary 1 (compare with [1; Proposition 2.1]). Let $R$ be an algebra with unit element $e$, and let $b$ in $R$ be such that $b^{2}=e$. Define the inner automorphism $\beta$ by $\beta(x)=$ $b x b$. Let $\lambda$ be any scalar different from 1 and -1 and define the inner automorphism $\gamma$ by $\gamma(x)=\left(1-\lambda^{2}\right)^{-1}(e+\lambda b) x(e-\lambda b)$. Note that $\beta \gamma+\gamma=$ $\beta+1$.

As a special case of Corollary 1 we obtain an extension of [1; Corollary 3.3].

Corollary 2. Suppose that automorphisms $\alpha$ and $\beta$ of a prime ring $R$ satisfy $\alpha+\alpha^{-1}=\beta+\beta^{-1}$. If $\alpha \neq \beta$ and $\alpha \neq \beta^{-1}$ then $\alpha^{2}=\beta^{2}=\alpha^{-2}$.

Proof. We have $\alpha \beta+\alpha^{-1} \beta=\beta^{2}+1$; now apply Corollary 1 .
Lemma 2. If automorphisms $\alpha$ and $\beta$ of a semiprime ring $R$ satisfy $\alpha+\alpha^{-1}=\beta+\beta^{-1}$, then

$$
\begin{array}{cl}
\left(\alpha-\beta^{-1}\right)(x) R\left(\alpha^{2}-\beta^{2}\right)(y)=0 & \text { for all } x, y \in R, \\
(\alpha-\beta)(x) R\left(\alpha^{2}-\beta^{-2}\right)(y)=0 & \text { for all } x, y \in R . \tag{6}
\end{array}
$$

Proof. We have $\alpha \beta+\alpha^{-1} \beta=\beta^{2}+1$. Therefore Lemma 1 implies that

$$
(\alpha \beta-1)(x) R\left(\left(\beta^{2}+1\right)\left(\alpha \beta-\beta^{2}\right)\right)(w) R\left(\alpha \beta-\beta^{2}\right)(z)=0
$$

for all $x, w, z \in R$. Since $\beta$ is onto we then also have
(7) $\left(\alpha-\beta^{-1}\right)(x) R\left(\left(\beta^{2}+1\right)(\alpha-\beta)\right)(w) R(\alpha-\beta)(z)=0 \quad$ for all $x, w, z \in R$.

We have
$\beta^{2}(\alpha-\beta)+(\alpha-\beta)=\beta^{2}\left(\beta^{-1}-\alpha^{-1}\right)+(\alpha-\beta)=\alpha-\beta^{2} \alpha^{-1}=\left(\alpha^{2}-\beta^{2}\right) \alpha^{-1}$, therefore it follows from (7) that

$$
\begin{equation*}
\left(\alpha-\beta^{-1}\right)(x) R\left(\alpha^{2}-\beta^{2}\right)(y) R(\alpha-\beta)(z)=0 \quad \text { for all } x, y, z \in R . \tag{8}
\end{equation*}
$$

The range of $\alpha^{2}-\beta^{2}$ is contained in the range of $\alpha-\beta$; indeed, we have $\alpha^{2}-\beta^{2}=\alpha\left(\alpha+\alpha^{-1}\right)-\beta\left(\beta+\beta^{-1}\right)=(\alpha-\beta)\left(\alpha+\alpha^{-1}\right)$. Hence (8) yields

$$
\left(\alpha-\beta^{-1}\right)(x) R\left(\alpha^{2}-\beta^{2}\right)(y) R\left(\alpha^{2}-\beta^{2}\right)(z)=0 \quad \text { for all } x, y, z \in R .
$$

But then (5) holds by the semiprimeness of $R$. Noting that $\alpha^{2}+\alpha^{-2}=$ $\beta^{2}+\beta^{-2}$, and then using the analogous approach as in the proof of (5), one proves (6).

Lemma 3. If automorphisms $\alpha$ and $\beta$ of a semiprime ring $R$ satisfy $\alpha+\alpha^{-1}=\beta+\beta^{-1}$, then $\alpha$ commutes with $\beta^{2}$ and $\beta$ commutes with $\alpha^{2}$.

Proof. Let us show that $\alpha$ commutes with $\beta^{2}$. The initial hypothesis yields

$$
\begin{equation*}
\alpha(\alpha-\beta)=(\alpha-\beta) \beta^{-1} \tag{9}
\end{equation*}
$$

By (6) it follows that

$$
(\alpha(\alpha-\beta))(x) \alpha(R)\left(\alpha\left(\alpha^{2}-\beta^{-2}\right)\right)(y)=0 \quad \text { for all } x, y \in R .
$$

In view of (9) this relation implies that

$$
(\alpha-\beta)(x) R\left(\alpha^{3}-\alpha \beta^{-2}\right)(y)=0 \quad \text { for all } x, y \in R
$$

Substituting $\alpha(y)$ for $y$ in (6) we obtain

$$
(\alpha-\beta)(x) R\left(\alpha^{3}-\beta^{-2} \alpha\right)(y)=0 \quad \text { for all } x, y \in R
$$

Comparing the last two relations we get

$$
\begin{equation*}
(\alpha-\beta)(x) R\left(\alpha \beta^{-2}-\beta^{-2} \alpha\right)(y)=0 \quad \text { for all } x, y \in R \tag{10}
\end{equation*}
$$

Multiply the identity $\alpha+\alpha^{-1}=\beta+\beta^{-1}$ from the left by $\beta$ and from the right by $\alpha$; then we get $\beta \alpha^{2}-\beta^{2} \alpha=\alpha-\beta$. Since $\alpha-\beta=\beta^{-1}-\alpha^{-1}$, and since $\beta^{2}=\alpha^{2}+\alpha^{-2}-\beta^{-2}$, it follows that $\beta^{-1}+\alpha^{3}-\beta \alpha^{2}=\beta^{-2} \alpha$. Consequently

$$
\alpha \beta^{-2}-\beta^{-2} \alpha=(\alpha-\beta)\left(\beta^{-2}-\alpha^{2}\right)
$$

Therefore (10) implies that

$$
\left(\alpha \beta^{-2}-\beta^{-2} \alpha\right)(y) R\left(\alpha \beta^{-2}-\beta^{-2} \alpha\right)(y)=0
$$

for every $y \in R$. But then $\alpha \beta^{-2}=\beta^{-2} \alpha$ since $R$ is semiprime. Thus $\alpha$ and $\beta^{2}$ commute. For the sake of symmetry we omit the proof of the commutativity of $\alpha^{2}$ and $\beta$.

Corollary 3. Let $R$ be a semiprime ring with unit element and containing the element $1 / 2$. If inner automorphisms $\alpha, \beta$ of $R$ satisfy $\alpha+\alpha^{-1}=$ $\beta+\beta^{-1}$, then they commute.

Proof. Let $a, b \in R$ be such that $\alpha(x)=a x a^{-1}$ and $\beta(x)=b x b^{-1}$. By assumption, $a x a^{-1}+a^{-1} x a=b x b^{-1}+b^{-1} x b$ for all $x \in R$. In particular, $2 a=b a b^{-1}+b^{-1} a b$. Multiplying from the right by $b$ we obtain

$$
\begin{equation*}
2 a b=b a+b^{-1} a b^{2} \tag{11}
\end{equation*}
$$

By Lemma 3, $\alpha$ and $\beta^{2}$ commute. Hence $a b^{2}=c b^{2} a$ for some $c$ in the center of $R$. By (11) we then have $2 a b=(1+c) b a$. Since $R$ contains the element $1 / 2$ it follows that $a b=c_{1} b a$, where $c_{1}$ is an invertible element in the center of $R$. But then $\alpha$ and $\beta$ commute.

Remark 2. The case where commuting automorphisms $\alpha, \beta$ of a semiprime ring $R$ satisfy $\alpha+\alpha^{-1}=\beta+\beta^{-1}$ is considered in our paper [2]. In particular, it was shown that if $R$ is prime of characteristic not 2 then either $\alpha=\beta$ or $\alpha=\beta^{-1}$. Combining this with Corollary 3 we obtain the following
result which generalizes [3; Corollary 2.1]: Let $R$ be a prime ring with unit element and containing the element $1 / 2$. If inner automorphisms $\alpha, \beta$ of $R$ satisfy $\alpha+\alpha^{-1}=\beta+\beta^{-1}$ then either $\alpha=\beta$ or $\alpha=\beta^{-1}$.

We now come to the main result of this paper.
Theorem 1. Let $\alpha$ and $\beta$ be automorphisms of a semiprime ring $R$ such that $\alpha+\alpha^{-1}=\beta+\beta^{-1}$. Then there exists ideals $U_{1}, U_{2}$ and $U_{3}$ of $R$ such that
(i) $U_{i} \cap U_{j}=0, i \neq j$, and $U_{1} \oplus U_{2} \oplus U_{3}$ is an essential ideal of $R$. Moreover, if the annihilator of any ideal in $R$ is a direct summand (in particular, if $R$ is a von Neumann algebra), then $U_{1} \oplus U_{2} \oplus U_{3}=R$,
(ii) $U_{i}$ are invariant under $\alpha, \beta, \alpha^{-1}$ and $\beta^{-1}$,
(iii) $\alpha=\beta$ on $U_{1}$,
(iv) $\alpha=\beta^{-1}$ on $U_{2}$,
(v) $\alpha^{2}=\beta^{2}=\alpha^{-2}$ on $U_{3}$.

Remark 3. In [5] Thaheem constructed an example of automorphisms $\alpha$ and $\beta$ satisfying $\alpha+\alpha^{-1}=\beta+\beta^{-1}$ on a von Neumann algebra $R$ but there is no decomposition of $R$ for which $\alpha=\beta$ on the one part and $\alpha=\beta^{-1}$ on the other part. Thus the presence of an ideal $U_{3}$ in Theorem 1 is really necessary. In Thaheem's example the algebra $R$ was not prime. We do not know whether the equation $\alpha+\alpha^{-1}=\beta+\beta^{-1}$ has any nontrivial solutions in prime rings (in the sense that $\alpha \neq \beta$ and $\alpha \neq \beta^{-1}$ ). In order to find such a solution one can assume that $\alpha^{2}=\beta^{2}=\alpha^{-2}$ (Corollary 2) and that $\alpha, \beta$ are not both inner (Remark 2).

Proof of Theorem 1. Let $U_{0}$ be an ideal of $R$ generated by all $\left(\alpha^{2}-\beta^{-2}\right)(x), x \in R$. We set $V=\operatorname{Ann}\left(U_{0}\right)$ and $U_{1}=\operatorname{Ann}(V)$. By Remark A we have $U_{1} \cap V=0$ and $U_{1} \oplus V$ is an essential ideal. From Lemma 3 we see that the mapping $\alpha^{2}-\beta^{-2}$ commutes with $\alpha, \beta, \alpha^{-1}$ and $\beta^{-1}$. Simple calculations show that this implies that $U_{0}$ is invariant under $\alpha, \beta, \alpha^{-1}$ and $\beta^{-1}$. But then, by Remark B , the same is true for ideals $V$ and $U_{1}$.

Take $u_{1} \in U_{1}$. Since $U_{1}$ is invariant under $\alpha$ and $\beta,(\alpha-\beta)\left(u_{1}\right)$ lies in $U_{1}$. However, from Lemma 2 (and Remark A) it follows that the range of $\alpha-\beta$ lies in $\operatorname{Ann}\left(U_{0}\right)=V$. Since $U_{1} \cap V=0$ we then have $\alpha\left(u_{1}\right)=\beta\left(u_{1}\right)$. Thus we have proved (iii).

Let $V_{1}$ be an ideal of $R$ generated by all $\left(\beta^{2}-\beta^{-2}\right)(v), v \in V$. Of course, $V_{1} \subseteq V$. We define $U_{3}=\operatorname{Ann}\left(V_{1}\right) \cap V$ and $U_{2}=\operatorname{Ann}\left(U_{3}\right) \cap V$. Since $U_{2} \subseteq \operatorname{Ann}\left(U_{3}\right)$, we have $U_{2} \cap U_{3}=0$. Next, since $U_{2}$ and $U_{3}$ are
contained in $V$, we also have $U_{2} \cap U_{1}=0$ and $U_{3} \cap U_{1}=0$. Let us show that the ideal $W=U_{1} \oplus U_{2} \oplus U_{3}$ is essential. We have to show that

$$
\operatorname{Ann}(W)=\operatorname{Ann}\left(U_{1}\right) \cap \operatorname{Ann}\left(U_{2}\right) \cap \operatorname{Ann}\left(U_{3}\right)
$$

is equal to zero. Since $U_{2}=\operatorname{Ann}\left(U_{3}\right) \cap V$, we have

$$
\operatorname{Ann}(W) \cap V=\operatorname{Ann}\left(U_{1}\right) \cap \operatorname{Ann}\left(U_{2}\right) \cap U_{2}=0
$$

Hence $\operatorname{Ann}(W) \subseteq \operatorname{Ann}(V)$. But on the other hand we have $\operatorname{Ann}(W) \subseteq$ $\operatorname{Ann}\left(U_{1}\right)$. Since $\operatorname{Ann}(V) \cap \operatorname{Ann}\left(U_{1}\right)=\operatorname{Ann}\left(V \oplus U_{1}\right)=0$ (namely, the ideal $V \oplus U_{1}$ is essential) it follows that $\operatorname{Ann}(W)=0$. That is, $W$ is essential.

Assume that the annihilator of any ideal in $R$ is a direct summand. Then $U_{1} \oplus V=R$. We want to show that $U_{2} \oplus U_{3}=V$. By assumption, Ann $\left(V_{1}\right)$ is a direct summand. Thus $R=\operatorname{Ann}\left(V_{1}\right) \oplus Z$ for some ideal $Z$ of $R$. Since $V_{1} \subseteq V$, we have

$$
Z=\operatorname{Ann}\left(\operatorname{Ann}\left(V_{1}\right)\right) \subseteq \operatorname{Ann}(\operatorname{Ann}(V))=\operatorname{Ann}\left(U_{1}\right)=V
$$

Thus $Z$ is contained in $V$. Pick $v \in V$. There exist elements $w \in \operatorname{Ann}\left(V_{1}\right)$ and $z \in Z$ such that $v=w+z$. We claim that $w \in U_{3}$ and $z \in U_{2}$. Since $z \in Z \subseteq V$, we also have $w \in V$. Thus $w \in \operatorname{Ann}\left(V_{1}\right) \cap V=U_{3}$. The ideal $U_{3}$ is contained in $\operatorname{Ann}\left(V_{1}\right)$, therefore $Z=\operatorname{Ann}\left(\operatorname{Ann}\left(V_{1}\right)\right) \subseteq \operatorname{Ann}\left(U_{3}\right)$. Hence $z \in \operatorname{Ann}\left(U_{3}\right) \cap V=U_{2}$. With this we have proved that $U_{1} \oplus U_{2}=V$, and, therefore, $U_{1} \oplus U_{2} \oplus U_{3}=R$. The proof of (i) is thus complete.

Since $\alpha, \beta, \alpha^{-1}$ and $\beta^{-1}$ commute with $\beta^{2}-\beta^{-2}$ (Lemma 3), and since all these automorphisms leave $V$ invariant, it follows easily that $V_{1}$ is also invariant under $\alpha, \beta, \alpha^{-1}$ and $\beta^{-1}$. Using Remark B we see the same is true for the ideal $U_{3}=\operatorname{Ann}\left(V_{1}\right) \cap V$. Similarly we argue about the ideal $U_{2}$. Thus (ii) is proved.

Let us prove (iv). Given $v \in V$, we have $\alpha^{2}(v)-\beta^{-2}(v) \in V$ since $V$ is invariant under $\alpha^{2}$ and $\beta^{-2}$. But on the other hand, $\left(\alpha^{2}-\beta^{-2}\right)(v)$ is contained in $U_{0}$. Since $U_{0} \cap V=0$ it follows that $\alpha^{2}(v)=\beta^{-2}(v)$. Lemma 2 then yields

$$
\left(\alpha-\beta^{-1}\right)(x) R\left(\beta^{2}-\beta^{-2}\right)(v)=0 \quad \text { for all } x \in R, v \in V
$$

This means that the range of $\alpha-\beta^{-1}$ is contained in $\operatorname{Ann}\left(V_{1}\right)$. Since ( $\alpha-$ $\left.\beta^{-1}\right)\left(u_{2}\right) \in U_{2}$ if $u_{2} \in U_{2}$, and since $U_{2} \cap \operatorname{Ann}\left(V_{1}\right)=U_{2} \cap \operatorname{Ann}\left(V_{1}\right) \cap V=$ $U_{2} \cap U_{3}=0$, it follows that $\alpha\left(u_{2}\right)=\beta^{-1}\left(u_{2}\right)$.

It remains to prove (v). Pick $u_{3} \in U_{3}$. On the one hand we have $\left(\beta^{2}-\beta^{-2}\right)\left(u_{3}\right) \in U_{3}$, and on the other hand, by the definition of $V_{1}$, $\left(\beta^{2}-\beta^{-2}\right)\left(u_{3}\right) \in V_{1}$. However, $U_{3} \cap V_{1}=V \cap \operatorname{Ann}\left(V_{1}\right) \cap V_{1}=0$, and hence
$\beta^{2}\left(u_{3}\right)=\beta^{-2}\left(u_{3}\right)$. We have proved that $\alpha^{2}=\beta^{-2}$ on $V$, and therefore also on $U_{3} \subseteq V$. With this (v) is proved.

In the case that the annihilator of any ideal of $R$ is a direct summand, we see from Theorem 1 that the range of $\alpha-\beta$ is contained in the ideal $\mathrm{I}_{1}=U_{2} \oplus U_{3}$, the range of $\alpha-\beta^{-1}$ is contained in $\mathrm{I}_{2}=U_{1} \oplus U_{3}$, and the union of the ranges of $\alpha^{2}-\beta^{2}$ and $\alpha^{2}-\beta^{-2}$ is contained in $\mathrm{I}_{3}=U_{1} \oplus U_{2}$. This result is in accordance with Theorem A. With the aid of Lemmas 2 and 3 , even if we do not assume that the annihilator of any ideal of $R$ is a direct summand, it is not difficult to prove the following generalization of Theorem A.

Theorem 2. Let $\alpha$ and $\beta$ be automorphisms of a semiprime ring $R$ such that $\alpha+\alpha^{-1}=\beta+\beta^{-1}$. Then there exists ideals $\mathrm{I}_{1}, \mathrm{I}_{2}$ and $\mathrm{I}_{3}$ of $R$, each invariant under $\alpha, \alpha^{-1}, \beta$ and $\beta^{-1}$, such that $\mathrm{I}_{1} \cap \mathrm{I}_{2} \cap \mathrm{I}_{3}=0, \mathrm{I}_{3} \subseteq \mathrm{I}_{1} \cap \mathrm{I}_{2}$ and, for each $x$ in $R$,

$$
\begin{array}{cc}
\beta(x)-\alpha(x) \in \mathrm{I}_{1}, & \beta(x)-\alpha^{-1}(x) \in \mathrm{I}_{2} \\
\beta^{2}(x)-\alpha^{2}(x) \in \mathrm{I}_{3}, & \beta^{2}(x)-\alpha^{-2}(x) \in \mathrm{I}_{3} .
\end{array}
$$

Proof. Let $J$ be an ideal of $R$ generated by all $\left(\alpha^{2}-\beta^{-2}\right)(x), x \in R$, and set $\mathrm{I}_{1}=\operatorname{Ann}(J)$. From Lemma 2 we see that $(\alpha-\beta)(x) \in \mathrm{I}_{1}$ for every $x \in R$. Since the mapping $\alpha^{2}-\beta^{-2}$ commutes with $\alpha, \beta, \alpha^{-1}$ and $\beta^{-1}$ (Lemma 3) it follows that $J$ is invariant under $\alpha, \beta, \alpha^{-1}$ and $\beta^{-1}$. But then Remark B tells us that the same is true for the ideal $I_{1}$.

We introduce $L$ to be an ideal of $R$ generated by all $\left(\alpha^{2}-\beta^{2}\right)(x), x \in R$, and let $\mathrm{I}_{2}=\operatorname{Ann}(L)$. Similarly as above one deduces that the range of $\alpha-\beta^{-1}$ is contained in $\mathrm{I}_{2}$, and that $L$ and $\mathrm{I}_{2}$ are invariant under $\alpha, \beta$, $\alpha^{-1}$ and $\beta^{-1}$.

The union of the ranges of $\alpha^{2}-\beta^{-2}$ and $\alpha^{2}-\beta^{2}$ is certainly contained in the ideal $\mathrm{I}_{3}=J+L$. Of course, $\mathrm{I}_{3}$ is also invariant under $\alpha, \beta, \alpha^{-1}$ and $\beta^{-1}$. Next,

$$
\mathrm{I}_{1} \cap \mathrm{I}_{2} \cap \mathrm{I}_{3}=\operatorname{Ann}(J) \cap \operatorname{Ann}(L) \cap(J+L)=\operatorname{Ann}(J+L) \cap(J+L)=0
$$

by Remark A. From $\alpha^{2}-\beta^{2}=\alpha\left(\alpha+\alpha^{-1}\right)-\beta\left(\beta+\beta^{-1}\right)=(\alpha-\beta)\left(\beta+\beta^{-1}\right)$ we see that the range of $\alpha^{2}-\beta^{2}$ is contained in the range of $\alpha-\beta$. Therefore, $L$ is contained in $\mathrm{I}_{1}$. Similarly we see that $J$ is contained in $\mathrm{I}_{2}$. Consequently $I_{3}$ is contained in $I_{1}+I_{2}$. The proof of the theorem is complete.

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