The Early Growth and Development Study: A Prospective Adoption Study From Birth Through Middle Childhood

Leslie D. Leve, ¹ Jenae M. Neiderhiser, ² Daniel S. Shaw, ³ Jody Ganiban, ⁴ Misaki N. Natsuaki, ⁵ and David Reiss ⁶

The Early Growth and Development Study is a prospective adoption study of birth parents, adoptive parents, and adopted children recruited in two cohorts (N=561 triads). The primary study aims are to examine how family, peer, and contextual processes affect children's adjustment, and to examine their interplay (mediation, moderation) with genetic influences. Participants were recruited through adoption agencies located throughout the United States following the birth of a child. Assessments are ongoing, in 9-month intervals until the child reaches 3 years of age and in 1-year intervals thereafter through age 9. Data collection includes the following primary constructs: child temperament, social behavior, school performance, mental health, and health; birth and adoptive parent personality characteristics, mental health, competence, stress, health, context, substance use, parenting, and marital relations; and pregnancy use of drugs and maternal stress during pregnancy. DNA and salivary cortisol samples have also been collected. Analyses have indicated evidence for genotype-environment interactions during early childhood. Study procedures, sample representativeness (including tests of potential confounds in the adoption design), and an overview of findings to date are summarized, and future plans are described.

■ Keywords: adoption, childhood, longitudinal, genetic, parenting, birth parent

Longitudinal and cross-sectional studies have shown that heritable child characteristics influence parents' behavior toward their children (Dunn et al., 1986; Neiderhiser et al., 2007; Reiss et al., 2000). For example, heritable hostile behavior in adolescent adoptees has been shown to evoke harsh disciplinary practices in adoptive parents (evocative genotype-environment correlation [rGE]; Ge et al., 1996). The effects of heritable child characteristics on parents have also been found in the preschool and school entry periods (Deater-Deckard, 2000; Deater-Deckard & O'Connor, 2000). In addition, family context and parenting processes may be moderated by genetic influences. Twin, adoption, and molecular genetic studies have found significant genotype \times environment (G \times E) interaction effects for the development of conduct disorder and aggression in adolescence, anti-social personality disorder in adults, and depression in women (Button et al., 2005; Cadoret et al., 1995, 1996). Using adoption designs, such $G \times E$ interactions have also been identified in early childhood (e.g., Leve et al., 2009; Natsuaki et al., 2010), when child behavior has proven to be amenable to intervention (Olds et al., 2005). However, adoption studies prospectively examining social processes during early childhood are rare, with the current study and the Colorado Adoption Project (Plomin & DeFries, 1985) being the only such studies to date.

Most findings of evocative rGE effects are from twin studies. Because twin offspring inherit 50% of their genes from each parent, it is difficult to disentangle the effects

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ADDRESS FOR CORRESPONDENCE: Leslie D. Leve, Oregon Social Learning Center, 10 Shelton McMurphey Blvd., Eugene, OR 97401-4928. E-mail: lesliel@oslc.org

¹Oregon Social Learning Center, Eugene, OR, USA

²Department of Psychology, The Pennsylvania State University, University Park, PA, USA

³Department of Psychology, University of Pittsburgh, Pittsburgh, PA, USA

⁴Center for Family Research, George Washington University, Washington, DC, USA

⁵Department of Psychology, University of California, Riverside, CA, USA

⁶Yale Child Study Center, Yale School of Medicine, New Haven, CT, USA

of the child's genes from those of their parents' when examining associations between parent and child phenotypes (e.g., evocative rGE associations may be confounded by passive rGE effects). The adoption design is a powerful method for estimating evocative rGE effects because adoption is a natural experiment in which children are reared in families where they are genetically unrelated to their caretakers (Haugaard & Hazan, 2003; Plomin et al., 2013). In the adoption design, similarities between birth parents and the adopted child suggest genetic influences (due to shared genes and a lack of shared rearing environments). Similarities between adoptive parents and adopted children indicate environmental processes (due to shared rearing environments and the lack of shared genes), although evocative rGE effects may also cause parent—child similarities.

Overview of Current Study

This report provides an update on the goals, results, and plans of the Early Growth and Development Study (EGDS; see Leve et al., 2007, for our initial report on this study). The EGDS is a prospective adoption study designed to examine specific features of families, peers, and social contexts that affect child adjustment, that may mediate the expression of genetic influences, and/or that may be moderated by (or moderate) genetic influences. The EGDS is comprised of multiple, interrelated studies, each spanning a different developmental period and with unique foci (further described in the Primary Study Hypotheses and Aims section, below). To date, we have focused on cross-sectional as well as longitudinal associations, from infancy through to age 9. The investigation of G × E interaction effects and rGE can provide crucial information about malleable environmental processes that might reduce adverse genetic risk and enhance child outcomes. Thus, a second goal of the EGDS is to systematically identify specific family and peer processes and maladaptive conditions that could serve as malleable targets for intervention. The study includes 561 adoption triads consisting of the child, the child's adoptive parents, and the child's birth parent(s). By focusing on family processes beginning in infancy, the EGDS provides a unique opportunity to detect GE interplay when first expressed and examine its unfolding over time.

Guiding Theoretical Model

Our theoretical model was derived from research indicating family process predictors of, and continuities within, five life course developmental pathways: internalizing behavior, externalizing behavior, social competence, school adjustment, and healthy weight. The patterns of life course development in each of these pathways are well supported by existing genetic and phenotypic data (e.g., Birch & Davison, 2001; Caspi et al., 1995; Eisenberg et al., 2003; Gilliom & Shaw, 2004). To select phenotypes (and subsequent measures) to test our hypotheses, we relied on

three types of studies: adoption studies to identify phenotypes that are known to be linked between birth parent and adopted child, and to also be influenced by the environment (e.g., Ge et al., 1996); twin and sibling studies to identify phenotypes that have known genetic and environmental influences (e.g., Petrill et al., 2006); and life course studies to identify how a phenotype might change or evolve across development (e.g., Caspi & Roberts, 2001). Individually, these approaches have limitations as guiding methodologies. For example, the knowledge base from adoption studies is quite small, twin and sibling studies generally do not permit a comparison of phenotypes between generations, and life course studies without a genetically sensitive component cannot distinguish between phenotypic stability due to environmental versus genetic influences. Considered together, however, these three lines of work have facilitated our development of data-based hypotheses about probable birth parent-adopted child phenotypic similarities and likely environmental influences on these genetically influenced phenotypes. For instance, converging evidence from twin, adoption, and life course studies indicates five sets of phenotypes that are genetically linked across generations, and for which there are known environmental influences: (1) birth parent anxiety and child fearful temperament, (2) birth parent antisocial behavior and child impulsivity, (3) birth parent sociability and child positive affect, (4) birth parent cognitive function and child cognitive skills, and (5) birth parent body mass index (BMI) and child BMI.

Primary Study Hypotheses and Aims

The conceptual model for the EGDS is based on the following general hypotheses: (1) parenting behaviors, marital dynamics, and peer behaviors will have main effects on child adjustment (replicating prior studies that have found such associations among genetically related family members); (2) genetic influences of the child will evoke specific reactions and interactions from their social environment (parenting, marital relations, peer behaviors; evocative rGE); (3) environmental main effects will be moderated by and moderate genetic influences (G \times E), at times offsetting genetically influenced risks and at times enhancing genetically influenced strengths; (4) child and parenting behaviors will show both change and continuity across development, with child behavioral continuity associated with both environmental and genetic influences; and (5) the environmental context may enhance the effects that genetically influenced child behaviors have on parenting practices (e.g., genetically influenced effects of child characteristics on parenting may only appear in certain contexts; moderation of evocative rGE). Within this model, we hypothesize specific mediating and moderating mechanisms on adjustment along five developmental pathways: externalizing behavior, internalizing behavior, social competence, school performance, and weight trajectories.

	Child age	4 mo	9 mo	18 mo	27 mo	4.5 yrs	6 yrs	7 yrs	8 yrs	9 yrs
Birth parent	Cohort I	N = 361 2003- 2010	003- 2004-			N= 338 2008- 2013 EGDS school				N=187* 2012- 2016 EGDS Health
	Cohort II	N = 200 2007 – 2012	GDS Prena	N = 195 $2008 - 2012$				N = 0* 2013- 2016 EGDS Health		
Adoptive family (adoptive parents, adopted child)	Cohort I	Cohort I				N = 304 2007- 2010	N = 310 2009- 2012 GDS Scho	N=268* 2010- 2013	N=136* 2011- 2014 EGDS NIMH	N=31* 2012- 2015 EGDS Health
	Cohort II		N=196 2008- 2010	N=193 2008- 2010 GDS Prena	N = 191 $2009 - $ 2011 tal		N=0* 2013- 2015 EGDS NIMH	N = 0* 2015- 2016 EGDS Health		

FIGURE 1
Timeline for the EGDS studies and assessments.
Note: *Assessments are currently ongoing; final n will be higher.

The EGDS comprises several related and interdependent grants that share the following primary aims. Aim 1 is to conduct longitudinal model testing free from the influences of shared genes to identify early emerging behaviors and emotions in children that predict later behavioral, psychiatric, and health outcomes across early to middle childhood. Aim 2 is to isolate genetic and environmental influences using a behavioral approach. Here we aim to estimate postnatal family environmental effects independent of genetic variability and prenatal exposures, and genetic influences independent of postnatal and prenatal influences. Aim 3 is to address the question of how genetic influences affect the social environment by examining the mediating role of the rearing environment on genetic influences on child outcomes (evocative rGE). Aim 4 is to examine how the interaction between genetic and environmental processes influences child outcomes by examining the moderating role of the rearing environment on prenatal and genetic influences on child outcomes (G \times E and prenatal E \times postnatal E).

Figure 1 illustrates the interrelation of the studies and the developmental periods covered. EGDS-Toddlers focused on the infancy and toddlerhood period; EGDS-Prenatal expanded EGDS-Toddlers by adding 200 new cases, adding DNA collection on all 561 children and their birth and adoptive parents, and focusing specifically on the role of prenatal influences. Assessments for EGDS-Toddlers and

EGDS-Prenatal are complete, with the exception that coding and DNA assaying activities are ongoing. EGDS-School was designed to continue assessments of EGDS-Toddlers participants through the school entry period, with additional data collection specific to school readiness, academic achievement, and stress reactivity (measured by salivary cortisol collection). EGDS-NIMH focuses specifically on child and adoptive parent mental health symptoms and diagnoses. EGDS-Health includes an examination of pathways to healthy weight and obesity through the assessment of family nutrition practices, exercise habits, and body mass indices of all participants. EGDS-School, EGDS-NIMH, and EGDS-Health assessments are ongoing.

Sample Description

The EGDS sample includes 561 linked sets of participants: 561 adopted children, their adoptive parents (552 adoptive fathers and 567 adoptive mothers; this includes 41 same-sex parent families), their birth mothers (n = 554), and their birth fathers (n = 208). Just over half of the children are male (57.2%), and 55.6% of the children are Caucasian, 19.3% are more than one race (multi-racial), 13% are African American, 10.9% are Latino, <1% are Asian, <1% are American Indian, and <1% are of unknown ethnicity/not reported. The median child age at adoption placement was

TABLE 1 Sample Demographics

Median annual household income

	Ad	loptive parent 1		Adoptive parent 2		
Variables	Cohort I	Cohort II	Combined	Cohort I	Cohort II	Combined
Mean age at the adopted child's birth \pm SD (yrs)	37.8 ± 5.5 ^d	36.8 ± 5.7 ^d	37.4 ± 5.6	38.4 ± 5.8	38.1 ± 5.9	38.3 ± 5.8
Race (%)						
Caucasian	91.4	92.5	91.8	90.2	90.7	90.4
African American	3.6	4.5	3.9	5.0	4.6	4.9
Hispanic/Latino	2.5	1.0	2.0	1.7	1.6	1.6
Multi-ethnic	1.1	0.5	0.9	1.1	1.0	1.1
Other ^a	1.4	1.5	1.4	2.0	2.1	2.0
Mean educational level $^{ m b}\pm {\it SD}$	5.8 ± 1.3	6.0 ± 1.2	5.9 ± 1.3	5.6 ± 1.5	5.6 ± 1.4	5.6 ± 1.5
Less than a high school degree	0.0	0.0	0.0	0.0	1.1	0.4
GED degree	0.3	0.0	0.2	0.3	0.6	0.4
High school degree	11.4	10.2	11.0	18.4	13.5	16.7
Trade school	4.3	3.7	4.1	6.8	6.2	6.6
2-year college or university degree	6.6	4.8	6.0	3.6	3.4	3.5
4-year college or university degree	43.9	39.0	42.2	37.7	47.2	41.0
Graduate program	33.6	42.3	36.6	33.2	28.1	31.5
Married (%) ^c	90.9	91.4	91.1	91.7	89.9	91.1
Median annual household income	\$70K~\$100K ^d	\$100K+ ^d	\$100K+	\$70K~\$100K ^d	\$100K+ ^d	\$100K+
		Birth mother			Birth father	
Variables	Cohort I	Cohort II	Combined	Cohort I	Cohort II	Combined
Mean age at the adopted child's birth \pm SD (yrs)	24.1 ± 5.9	24.8±6.3	24.4 ± 6.0	25.4 ± 7.2	27.0 ± 8.5	26.1 ± 7.8
Race (%)						
Caucasian	71.1	68.4	70.1	74.6	62.7	69.9
African American	11.4	16.8	13.3	8.7	15.7	11.5
Hispanic/Latino	6.7	6.6	6.7	8.7	9.6	9.1
Multi-ethnic	5.0	4.6	4.9	4.8	4.8	4.8
Other ^a	5.8	3.6	5.0	3.2	7.2	4.7
Mean educational level $^{ m b}\pm SD$	2.6 ± 1.3	2.6 ± 1.3	2.6 ± 1.3	2.6 ± 1.3	2.8 ± 1.4	2.7 ± 1.3
Less than a high school degree	25.6	30.4	27.3	26.4	20.9	24.3
GED degree	14.4	12.0	13.5	16.0	10.5	13.9
High school degree	41.7	40.2	41.2	41.5	49.3	44.5
Trade school	11.0	9.8	10.6	10.4	11.9	11.0
2-year college or university degree	3.4	2.7	3.2	1.9	0.0	1.2
4-year college or university degree	3.7	4.9	4.1	1.9	6.0	3.5
Graduate program	0.3	0.0	0.2	1.9	1.5	1.7
Married (%) ^c	29.5	32.8	30.6	55.7	45.2	51.4
	#451	#4 FI	#4 FI	#4514 #0514	#4 FIX #0 FIX	#4 FI/ #6

Note: a Includes Asian, Native Hawaiian/Pacific Islander, American Indian/Alaskan Native, and Unknown. Mean education level is calculated with a 7-point scale ranging from 1 (<high school degree), 2 (GED), 3 (high school degree), 4 (trade school), 5 (2-year college), 6 (4-year college), to 7 (graduate program). c Includes marriage and living together in a committed marriage-like relationship. d Statistically significant difference between cohorts at p < 1

<\$15K

<\$15K

\$15K~\$25K

\$15K~\$25K

\$15K~\$25K

<\$15K

2 days (M = 6.2, SD = 12.45; range = 0–91 days). Adopted children's birth dates ranged from January 2003 to May 2009. Demographic information regarding parent age, race, education, marital status, and income at the first wave of data collection (when the child was 4-9 months old) is provided in Table 1. Cohort differences were examined for all demographic variables, and negligible differences were identified (Cohort I adoptive parent 1s (usually the mother) were approximately 1 year younger than Cohort II adoptive parent 1s, and both adoptive parents in Cohort I had slightly lower incomes than adoptive parents in Cohort II).

As is indicated by these demographic statistics, EGDS shows the typical pattern of differences in sociodemographic characteristics often found between birth and adoptive parents, with adoptive parents having more advantaged socio-economic background than birth parents (DeFries et al., 1994). Further, the adoptive families in EGDS generally had higher education and income levels than nonadoptive parents of young children (Bramlett, 2011), and higher incomes than the average US household income of \$54,489 (for 2007; DeNavas-Walt et al., 2012). These elevated levels are expected given the financial costs incurred by adoptive families when completing a domestic adoption placement, and also suggests the utility of adoption as an intervention on the socio-demographic environments of young children. It further indicates potential restriction of range in the environment to be biased toward families with higher socio-demographic backgrounds, as has been noted in prior reviews of the adoption design (Stoolmiller, 1999). However, a systematic test of range restriction biases has shown negligible effects on estimates of heritability and the environment, even when range restriction was present (McGue et al., 2007).

Sample Recruitment

The EGDS recruitment procedures were designed to accomplish the following: (1) to reduce the likelihood of recruiting only one member of the adoption triad (child, adoptive parents, and birth parents); (2) to minimize potential ethical concerns by not initiating contact until after the period of revocation; (3) to minimize the probability that participation in the study would cause information to be transferred across participants, including adoption agencies; (4) to recruit a sample that would contain ethnic diversity and varying levels of adoption openness (contact and knowledge between birth and adoptive families); and (5) to recruit a large sub-sample of birth fathers. This complex recruitment strategy entailed the collaboration of four recruitment sites: the Mid-Atlantic (George Washington University and The Pennsylvania State University), the West/Southwest (University of California, Davis and University of California, Riverside), the Midwest (University of Minnesota), and the Pacific Northwest (Oregon Social Learning Center).

Recruitment occurred between March 2003 and January 2010 with the recruitment of adoption agencies into the study (N= 45 agencies in 15 states). The agencies reflected the full range of US adoption agencies: public, private, religious, secular, those favoring more open adoptions, and those favoring more closed adoptions. Adoption agencies often work in multiple states, and some participants have moved residences since the adoption placement; thus, EGDS participants currently reside in 46 states, the District of Columbia in the United States, and in seven other countries. Each adoption agency appointed a liaison from their organization to perform the initial stages of recruitment into the study. Liaisons received recruitment training by EGDS staff, and agencies were provided an honorarium for their efforts assisting with recruitment.

Inclusion criteria. Agency liaisons identified participants who completed an adoption plan through their agency and met the study's eligibility criteria: (a) the adoption placement was domestic, (b) placement occurred within 3 months postpartum, (c) the infant was placed with an adoptive family that was not biologically related to the child, (d) there were no known major medical conditions such as extreme prematurity or extensive medical surgeries, and (e) the birth and adoptive parents were able to understand English at the 8th-grade level. All types of adoptive families were eligible for study enrollment (e.g., same-sex parents, single parents, and hearing-impaired parents). A total of 3,293 triads met the study criteria. A flow chart of the recruitment procedures is illustrated in Figure 2 with the leftbolded column indicating the path to successful recruitment into the study.

Initial recruitment by agency liaison. Once eligibility criteria were met, 2–4 weeks post-placement, the agency liaison mailed a letter describing the study to each eligible adoptive family. Adoptive families were given the opportunity to opt out of future study contact by returning a self-addressed, stamped postcard. Two weeks after the mailing, liaisons called the birth mothers linked to the adoptive families that

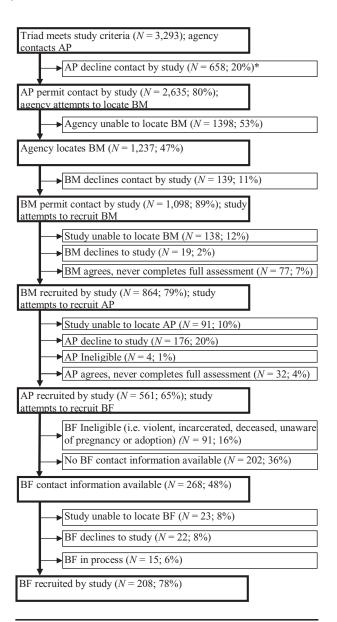


FIGURE 2

Recruitment flow chart.

Note: *Percentiles for each box correspond to the proportion relative to the bolded box above it. AP = adoptive parents; BF = birth father; BM = birth mother.

had not opted out of study contact (80% of the adoptive families). In this call, the liaison described the study and asked for permission to have a recruiter from the study contact her directly.

Recruitment of birth mothers by project staff. If birth mothers provided permission for EGDS to contact them (89% of the time), the EGDS birth parent recruiter contacted the birth mother. Once the birth mother returned a signed consent form via postage-paid mail and began the assessment, she was considered an active study participant (79%). The project employs separate birth parent and adoptive family recruiters to ensure that project staff do not transfer information between members of the adoption triad. We

maintain this separation through all stages of the study, including assessment.

Recruitment of adoptive families by project staff. Next, the EGDS adoptive family recruiter attempted to recruit the adoptive family using contact information provided by the agency. If the adoptive parents agreed to participate, they were sent informed consent forms and additional study information. Like birth mothers, adoptive parents were considered recruited once they returned a signed informed consent form and began the first assessment (65%). At any point, if the birth mother or adoptive family declined participation or was unable to be contacted, recruitment efforts for that adoption triad ceased. However, once an individual had consented to participate, that individual continued as a participant. Such unlinked participants are not considered as part of our sample of 561 adoption triads, but are included in analyses examining questions specific to birth parents or specific to adoptive families.

Recruitment of birth fathers by project staff. After the birth mother and adoptive parents were recruited, project staff attempted to recruit the birth father. The EGDS has the largest sample of directly studied birth fathers in an adoption study and is the only study to assess birth fathers longitudinally; we have recruited and assessed birth fathers in 37% of our participating triads (n = 208). Project staff attempted to recruit the birth father using the procedures outlined in the birth mother recruitment section. If the agency liaison did not know or could not reach the birth father, a project staff member asked the birth mother if she was willing to answer some questions about the birth father to help the study locate him and invite him to participate. In addition, recruitment efforts for birth father continue at later waves, which have helped us to recruit additional birth fathers on an ongoing basis.

As is shown in Figure 2, project recruitment staff had low rates of declines (2% of birth mothers, 20% of adoptive families, and 8% of birth fathers). Most non-participation resulted from the inability of the agency or the project to locate and contact a potential participant. Minimal systematic sampling biases were detected in recruitment, as shown in Table 2. Data for comparisons in Table 2 were derived with the assistance of our participating adoption agencies, who recorded the education, income, and age of all birth and adoptive parents who met the EGDS inclusion criteria and completed an adoption plan through their agency during the EGDS enrollment period. We compared the demographic information between triads who participated in the EGDS (N=561 triads) with those of the eligible non-participants (N=2,391 triads available for analysis). As shown in Table 2, four comparisons reached statistical significance at our set threshold (p < .01), and they proved trivial in practical terms (e.g., participating birth mothers, birth fathers, and adoptive parents were slightly younger than the eligible non-participants). There were no significant demographic differences between birth mothers for

TABLE 2
Comparison Between EGDS Participants and Eligible
Non-Participants on Education, Income, and Age (N = 561Participants and 2,391 Eligible Non-Participants)

Variable	Participants M (SD)	Non-participants M (SD)	р
BM education	4.23 (2.46)	4.01 (2.32)	
BM income	1.18 (0.60)	1.20 (0.60)	
BM age	24.01 (6.23)	24.83 (6.41)	p < .01
BF education	4.16 (2.31)	4.00 (2.28)	
BF income	1.23 (0.55)	1.24 (0.52)	
BF age	25.67 (7.63)	27.54 (8.51)	p < .01
AP1 education	9.09 (1.84)	8.61 (2.28)	p < .01
AP1 age	36.82 (5.56)	37.60 (5.97)	p < .01
AP2 education	8.77 (2.29)	8.45 (2.43)	
AP2 age	37.76 (6.02)	38.51 (6.27)	
AP income	5.08 (1.15)	5.01 (1.19)	

Note: The information was provided by adoption agencies during the study enrollment period. BM=birth mother; BF=birth father; AP=adoptive parent. Education was assessed via an 11-point scale with 1 (<8th grade), 2 (completed 8th grade), 3 (completed 12th grade), 4 (some trade school), 5 (completed trade school), 6 (some 2-year college or university), 7 (completed 2-year college or university degree), 8 (some 4-year college or university), 9 (completed 4-year college or university degree), 10 (some professional/graduate school), and 11 (completed professional/graduate school). Income was measured with a 6-point scale with 1 (less than \$20K), 3 (\$40K~\$59,999), 6 (\$100K or more).

whom birth fathers were recruited and birth mothers for whom birth fathers were not recruited, with one exception: birth mothers with non-participating birth fathers were less likely to be married or in a similarly committed relationship than birth mothers with participating birth fathers. These comparisons suggest that the EGDS sample is representative of the population from which it was drawn.

Assumptions Underlying the Adoption Design: Openness and Selective Placement

The adoption design rests on several assumptions about the separate influences of genetic and environmental influences on child development. For example, once intrauterine factors such as prenatal alcohol and drug consumption, maternal depression and stress, and exposure to environmental toxins have been considered, similarities between the birth parent and adoptive child can be assumed to result from genetic factors. Trends in adoption practices such as openness (contact and knowledge between birth and adoptive families) and selective placement (agency matching of birth and adoptive parent characteristics) can pose a threat to these assumptions and can bias model estimates. For example, adopted children might be more likely to resemble their birth parents (inflating genetic estimates) if birth parents are in direct contact with the child. Thus, we examined the variation in two aspects of the adoption process — openness and selective placement — with our sample of 561 participating families.

The level of openness was measured by asking birth parents and adoptive parents to report on the amount of contact and knowledge between them. Responses were categorized into seven discrete categories: very closed (no

TABLE 3Self-Reported Level of Openness in the Adoption

Level of openness (%)	AP 1	AP 2	ВМ	BF
Very closed	0.2	0.0	0.4	4.9
Closed	4.6	6.4	3.4	5.4
Mediated	18.4	18.1	12.0	10.3
Semi-open	15.7	15.1	15.9	21.2
Open	38.5	40.0	35.1	33.7
Quite open	14.5	13.0	17.8	13.0
Very open	8.1	7.4	15.4	11.4

Note: AP = adoptive parent; BM = birth mother; BF = birth father.

information about the adoptive parents or birth parents), closed (only general information that the agency provided), mediated (written communication only, conducted through an agency), semi-open (exchange of letters and emails, cards, and pictures, but no face-to-face contact), open (visits one to three times per year and communication semi-regularly by telephone, mail, or email), quite open (visits about every other month and frequent communication by telephone, mail, or email), and very open (visits at least once monthly and communication several times a month by telephone, mail, or email). The prevalence of each level of openness during infancy as rated by birth and adoptive parents is shown in Table 3. Results suggest significant variation in openness across the sample. In addition, birth mothers, adoptive mothers, and adoptive fathers were in strong agreement about the level of openness (r = .71 - .84). We have included a composite measure of openness in all EGDS papers to date, and in only one case was openness significantly associated with the parent and child variables in the models (Leve et al., 2012), suggesting minimal potential for bias.

To test for selective placement, we correlated birth parent characteristics with adoptive family characteristics that were unlikely to be influenced by evocative effects (e.g., scales of personality, self-worth, executive function, temperament, intelligence, and financial needs). Of 132 comparisons, only 3 were statistically significant at p < .05. Thus, systematic selective placement biases have not been detected in the EGDS sample.

Data Collection

Measurement for the EGDS has been guided by four principles: adherence to a theoretical model guiding the domains of assessment between parents and children, utilization of a multi-method multi-agent assessment strategy, use of identical or developmentally comparable measures across assessments to facilitate the examination of change over time, and repeated assessment of birth parents to attempt to more fully capture genetic influences on development through the reduction of measurement error in the birth parent assessments.

Overview of assessment. The EGDS assessments include questionnaires, in-person interviews, and standardized testing for birth parents, adoptive parents, and children; diag-

nostic interviews with adoptive parents (about themselves and about the adopted child) and birth parents; observational interactions (mother-father, mother-child, fatherchild, and mother-father-child) for adoptive families; food and activity diaries for adoptive families; medical records for birth parents and the adopted child; DNA collection via buccal cells for adopted children, adoptive parents, and birth parents; diurnal cortisol measures for adopted children and birth parents; teacher questionnaires for children; and official arrest records for birth parents. The interviews include interviewer-administered questions, which create a context whereby the interviewer can establish rapport with the participant, and computer-assisted personal interviews that are completed privately by participants to facilitate confidentiality and honest responses. In-person assessments (adoptive family: age 9 months, 18 months, 27 months, and age 4.5, 6, 7, and 8 years; birth parents: 4- and 18-months postpartum and 4.5 years postpartum) last approximately 3-4 hours each and are conducted in a location convenient for the participant, most often at home.

Brief telephone interviews (15 minutes) are conducted for birth and adoptive parents in between the primary in-person assessments and last approximately 15 minutes. These also serve as a means of maintaining contact and rapport with participants. Overall, 149 different assessment measures have been administered focusing on the primary theoretical model and aims. A full listing of study measures can be found within our study website: https://www.egdstudy.org/measures.

Summary of Results

Our hypothesis testing to date has focused on the completed waves of data (through child age 4.5 for Cohort I and through child age 27 months for Cohort II; published age 6 data were not yet available as of the writing of this report). Figure 3 provides a summary of the main foci that have been examined and published. The largest area of emphasis has been on examining environmental influences on child temperament and behavior problems (General Hypothesis 1). In particular, we have focused on parenting, marital relations, and parent mental health predictors of child adjustment outcomes. Our findings regarding General Hypothesis 1 indicate main effects of parenting (over-reactive parenting, responsivity, parenting efficacy, and observed structured guidance), marital hostility, and adoptive parent depressive symptoms and anxiety on child temperament and behavioral outcomes, as early as 9 months of age (e.g., Leve et al., 2009; Mannering et al., 2011; Natsuaki et al., 2010). Many of these papers have used longitudinal data and/or observational data (e.g., Leve et al., 2012; Rhoades et al., 2012). In addition, many of the analyses have focused on both adoptive mothers and fathers, with results typically showing unique effects of fathers (e.g., Harold et al., in press; Stover et al., 2012). Although main effects of

TABLE 4	
Summary of Publications and Manuscript Fo	ci

	EGDS toddler/ school	EGDS-pre- natal	EGDS- NIMH	EGDS- Health	References
G main effects	Х	Х	Х	Х	Leve et al. (2010b; 2012)
E main effects	Х	X	Х	X	Leve et al. (2009, 2010b), Natsuaki et al. (2010), Pemberton et al. (2010), Lipscomb et al. (2011), Mannering et al. (2011), Rhoades et al. (2011), Lipscomb et al. (2012), Stover et al. (2012), Harold et al. (in press), Laurent et al. (2012a; 2012b), Natsuaki et al. (in press a, in press b), Rhoades et al. (2012)
G × E	X	X	X	Х	Leve et al. (2009, 2010b), Natsuaki et al. (2010), Brooker et al. (2011), Rhoades et al. (2011), Lipscomb et al. (2012), Laurent et al. (2012a), Natsuaki et al. (in press b)
Evocative rGE	X	Χ	Х	X	
Longitudinal models	X	X	Х	Х	Leve et al. (2009), Natsuaki et al. (2010), Pemberton et al. (2010), Lipscomb et al. (2011), Mannering et al. (2011), Rhoades et al. (2011), Lipscomb et al. (2012), Stover et al. (2012), Leve et al. (2012), Laurent et al. (2012a; 2012b), Natsuaki et al. (in press a, in press b), Rhoades et al. (2012)
Mechanisms of G \times E	Х				Pemberton et al. (2010), Brooker et al. (2011), Lipscomb et al. (2012), Laurent et al. (2012a)
Molecular/DNA		Χ			
Prenatal influences		X	Χ	Х	Pemberton et al. (2010), Massey et al. (2011, 2012), Laurent et al. (2012a), Leve et al. (2012)
Child temp/behavior	X	X	X	Х	Leve et al. (2009), Leve et al. (2010b), Natsuaki et al. (2010), Brooker et al. (2011), Lipscomb et al. (2011), Mannering et al. (2011), Rhoades et al. (2011), Lipscomb et al. (2012), Stover et al. (2012), Natsuaki et al. (in press a, in press b), Rhoades et al. (2012)
Child mental health			Х		Leve et al. (2009), Pemberton et al. (2010), Brooker et al. (2011), Lipscomb et al. (2012), Harold et al. (in press), Laurent et al. (2012a; 2012b), Natsuaki et al. (in press b)
Observational data	Х	Х	X		Leve et al. (2009, 2010b), Brooker et al. (2011), Leve et al. (2012), Natsuaki et al. (in press a, in press b), Rhoades et al. (2012)
Parenting	X	X	Х	X	Leve et al. (2009), Natsuaki et al. (2010), Lipscomb et al. (2011), Rhoades et al. (2011), Lipscomb et al. (2012), Stover et al. (2012), Harold et al. (in press), Natsuaki et al. (in press a, in press b), Rhoades et al. (2012)
Fathers/fathering	X	X	X	X	Leve et al. (2009), Natsuaki et al. (2010), Pemberton et al. (2010), Lipscomb et al. (2011), Mannering et al. (2011), Rhoades et al. (2011), Stover et al. (2012), Blozis et al. (In press), Harold et al. (in press), Laurent et al. (2012a; 2012b), Natsuaki et al. (in press a, in press b), Rhoades et al. (2012)
Marital relations	Х	Х	Х	Х	Mannering et al. (2011), Rhoades et al. (2011), Stover et al. (2012), Harold et al. (in press), Rhoades et al. (2012)
Parent mental health	Х	X	Х		Leve et al. (2010b), Natsuaki et al. (2010), Pemberton et al. (2010), Brooker et al. (2011), Massey et al. (2011), Rhoades et al. (2011), Massey et al. (2012), Stover et al. (2012), Laurent et al. (2012a; 2012b), Natsuaki et al. (in press b)
Parent drug use		Х			Leve et al. (2009, 2010b), Massey et al. (2011, 2012)
HPA axis/cortisol	Х				Laurent et al. (2012a; 2012b)
Executive function	X				Leve et al. (2012), McClelland et al. (in press)
Weight/obesity				Х	
Adoption process	Х	Х			Ge et al. (2008), Martin et al. (2011), Blozis et al. (in press)
Prevention relevance					Leve et al. (2007), Reiss and Leve (2007), Ganiban et al. (2008), Reiss et al. (2009), Leve et al. (2010a; 2010c), Massey et al. (2011, 2012)

parenting, marital function, and parent psychopathology are not new to the field of psychology, EGDS is able to show that associations between these family characteristics and child psychosocial adjustment are not solely a function of passive gene-environment correlation, and that they can be detected very early in development.

General Hypothesis 2 examines evocative *r*GE. In analyses to date, we have found little evidence for evocative *r*GE

during the early childhood developmental period. We hypothesize that the lack of rGE effects may be due to the young age of the children (Scarr & McCartney, 1983), and that we will begin to see evidence for evocative rGE as the children enter the preschool and school age periods.

General Hypothesis 3 examines environmental moderation of genetic influences and genetic moderation of environmental influences (G \times E). We have found significant G × E effects during infancy and toddlerhood for several types of child behavior, including toddler externalizing and total problems (Leve et al., 2009; Lipscomb et al., 2012), attention behavior (Brooker et al., 2011; Leve et al., 2010b), fussiness and anger (Natsuaki et al., 2010; Rhoades et al., 2011), and inhibition (Natsuaki et al., in press b). We have also found G × E interaction effects on preschoolers' cortisol regulation (Laurent et al., 2012b). This strong set of $G \times E$ findings during early childhood is typically not found in twin studies during this developmental period, and may be the result of the different strengths of the adoption and twin approaches. In the adoption design, we cannot estimate genetic influences as effectively as twin studies can, and thus genetic influences are inferred through measured associations between birth parent and child. Similarly, environmental influences are directly measured in the adoption design through characteristics of the rearing environment. In comparison, twin studies estimate genetic and environmental influences using variation in sibling similarity. In $G \times E$ analyses, the most common strategy in twin analyses is to examine how these genetic and environmental influences may be moderated by the environment. These methodological differences, combined with the fact that there are few studies of twins as young as the EGDS sample, likely explain the different patterns of $G \times E$ findings in the two approaches.

General Hypothesis 4 purports to examine longitudinal pathways of continuity and change across development in relation to genetic and environmental influences. Our analyses suggest fairly high stability of child behavior, parenting behavior, and marital behavior across early childhood (e.g., Lipscomb et al., 2011; Mannering et al., 2011), and indicate that changes in parenting are associated with changes in child behavior (Lipscomb et al., 2012). Further, the influence of genetic risk on trajectories of child externalizing problems was greatest among children who received lower levels of over-reactive parenting from their mothers (Lipscomb et al., 2012). General Hypothesis 5 — to examine moderation of evocative *r*GE effects — has not been examined to date given the lack of evocative *r*GE effects during toddlerhood.

Overall, the findings to date contribute in novel ways by showing that family environmental variables (e.g., parenting, parental psychopathology, and marital relations) are associated with child adjustment outcomes even when passive rGE effects are removed, and that specific $G \times E$ effects can be detected during early childhood. However,

results from this study are just one source of knowledge about the interplay between genetic and environmental influences on development during early childhood, and there is a need to synthesize findings across multiple design types. For example, while the adoption design is well powered for detecting environmental (but not genetic) effects, twin studies are among the most powerful designs for detecting genetic effects. However, twin studies are underpowered for identifying shared environmental influences and for specifying which environmental factors are influencing development (Plomin et al., 2013). Together, the results across designs suggest the importance of family environmental effects (EGDS results) and genetic influences (twin studies) on child behavioral adjustment.

Future Plans

As new waves of data are collected, we will continue to examine the original aims and hypotheses across development, and using longitudinal modeling approaches. As noted earlier, we are particularly keen to test whether evocative rGE effects emerge as children enter school and have more experiences outside of the family system. In addition, assaying of the DNA samples will allow us to examine whether some of our published G × E findings can be replicated using molecular genetic approaches. We have nearly completed genotyping of 11 polymorphisms, and may, in future years, expand to a sequencing approach. We do not have sufficient power for genome-wide association study (GWAS) analyses and these are therefore not planned. Similarly, through ongoing collection of the diagnostic interview data and of the food and activity diaries, we will be able to test hypotheses relating to GE interplay on early emerging mental health symptoms and weight trajectories in children — two areas with great public health impact.

Additional hypotheses requiring new data collection. In addition to addressing the original study aims, we are also seeking funding to extend the scope of EGDS in four ways. First, to better understand how genes, prenatal exposures, and rearing environments work together to influence child development, we seek to follow the Cohort II participants through middle childhood to age 8. Second, we would like to better understand how genes and environments jointly influence sleep. To pursue this objective, we plan to use sleep actigraphy methods and sleep diaries to measure child and adult sleep patterns over time. Third, we would like to understand the transition to middle school for Cohort I participants and are seeking funding to assess children at age 10–12. Finally, we would like to add a unique sample of biological siblings of the EGDS participating children to the study. Specifically, birth parents of children participating in EGDS who are also rearing at least one biological child would be recruited and family processes in the biological homes would be examined. Although we do have current plans to recruit a non-adoptive control sample of families,

we have sought collaborations with other researchers who have conducted studies of similarly aged children and are planning a series of manuscripts that examine child adjustment and family processes across a range of family types (e.g., see Harold et al., in press). Each of these proposed data extensions is currently under consideration for future funding.

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