Canad. Math. Bull. Vol. 58 (2), 2015 pp. 233–240 http://dx.doi.org/10.4153/CMB-2015-012-5 © Canadian Mathematical Society 2015



Affine Actions of $U_q(sl(2))$ on Polynomial Rings

To Len Krop in honor of his retirement.

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Abstract. We classify the affine actions of $U_q(sl(2))$ on commutative polynomial rings in $m \ge 1$ variables. We show that, up to scalar multiplication, there are two possible actions. In addition, for each action, the subring of invariants is a polynomial ring in either m or m - 1 variables, depending upon whether q is or is not a root of 1.

Montgomery and Smith [3] examine the actions of the quantum group $U_q(sl(2))$ on a polynomial ring in one variable. A natural direction for generalization is to try to realize $U_q(sl(2))$, or more generally $U_q(sl(n))$, as differential operators on quantum *n*-space. For $U_q(sl(2))$, this was also done in [3]. In [2], this was done for $U_q(sl(n))$, for any *n*.

Another natural direction is to try to find all actions of $U_q(sl(2))$ on commutative polynomial rings in $m \ge 1$ variables and to then describe the invariants of these actions. The definition of $U_q(sl(2))$ has evolved slightly since the Montgomery–Smith paper, and we use the newer definition to determine all affine actions of $U_q(sl(2))$ on commutative polynomial rings. We will show, following a change of variables, that these actions are trivial on m - 1 variables, and the behavior on the last variable is very similar to the situation described in [3]. The main result of this paper will be the following theorem.

Theorem 4 Consider an affine action of $U_q(sl(2))$ on the commutative polynomial ring $R = k[x_1, ..., x_m]$ such that $\sigma^2 \neq 1$. Then there exist $y_1, ..., y_m, \in R$ such that

(i) *R* is the polynomial ring $k[y_1, \ldots, y_m]$;

(ii) $\sigma(y_i) = y_i$ and $\delta_E(y_i) = \delta_F(y_i) = 0$, for $2 \le i \le m$,

where σ is an automorphism, δ_E is a $(\sigma, 1)$ -skew derivation, and δ_F is a $(1, \sigma^{-1})$ -skew derivation. Furthermore, the only two possibilities, up to scalar multiplication, for the action of $U_a(sl(2))$ on y_1^n , for $n \ge 1$, are

(i)
$$\sigma(y_1^n) = q^{2n} y_1^n, \, \delta_E(y_1^n) = \frac{q^{2n} - 1}{q^2 - 1} y_1^{n+1}, \, \delta_F(y_1^n) = \frac{q^{-2n} - 1}{q^2 - 1} y_1^{n-1};$$

(ii)
$$\sigma(y_1^n) = q^{-2n} y_1^n, \, \delta_E(y_1^n) = \frac{q^{2n} - 1}{q^{-2} - 1} y_1^{n-1}, \, \delta_F(y_1^n) = \frac{q^{2n} - 1}{q^{2} - 1} y_1^{n+1}.$$

Using Theorem 4, we can easily describe the invariants of these actions.

The author was supported by the DePaul University Office of Academic Affairs.

Received by the editors June 20, 2014.

Published electronically March 9, 2015.

AMS subject classification: 16T20, 17B37, 20G42.

Keywords: skew derivation, quantum group, invariants.

Corollary 5 Consider an affine action of $H = U_q(sl(2))$ on the commutative polynomial ring $R = k[x_1, ..., x_m]$ with $\sigma^2 \neq 1$.

- (i) If q is not a root of 1, then the subring of invariants R^H is a commutative polynomial ring in m 1 variables.
- (ii) If q is a root of 1 and t is the smallest positive integer such that $q^{2t} = 1$, then the subring of invariants R^H is a commutative polynomial ring in m variables and R is a free R^H -module of rank t.

We now introduce the terminology and notation that will be used throughout this paper. Additional background material on $U_q(sl(2))$ and Hopf algebras can be found in [1]. We will let k denote a field and $R = k[x_1, \ldots, x_m]$ will be the commutative polynomial ring over k. There will be no assumptions made about the characteristic of k, and we let $0 \neq q \in k$ be such that $q^2 \neq 1$. It will not be important whether or not q is a root of 1 until Corollary 5.

Next, we let $U_q(sl(2))$ be the *k*-algebra generated by *K*, K^{-1} , *E*, *F* subject to the relations

$$KK^{-1} = K^{-1}K = 1$$
, $KE = q^2 EK$, $KF = q^{-2}FK$, $EF - FE = \frac{K - K^{-1}}{q - q^{-1}}$.

Observe that these relations require that $q^2 \neq 1$, and this guarantees that $U_q(sl(2))$ is not commutative.

Since $U_q(sl(2))$ is a Hopf algebra, we also need to examine its comultiplication, counit, and antipode. The comultiplication Δ in $U_q(sl(2))$ is given by

$$\Delta(K) = K \otimes K, \quad \Delta(E) = E \otimes K + 1 \otimes K, \quad \Delta(F) = F \otimes 1 + K^{-1} \otimes F.$$

Observe that $U_q(sl(2))$ is also not cocommutative. In addition, the counit ϵ and antipode *S* are given by

$$\epsilon(K) = 1, \qquad \epsilon(E) = 0, \qquad \epsilon(F) = 0,$$

$$S(K) = K^{-1}, \qquad S(E) = -EK^{-1}, \qquad S(F) = -KF$$

If *H* is a Hopf algebra, then an algebra *A* is called an *H*-module algebra if *A* is a left *H*-module with the added properties that

$$h(1) = \epsilon(h)1$$
 and $h(ab) = \sum_{(h)} h_{(1)}(a)h_{(2)}(b),$

for all $a, b \in A$ and $h \in H$, where $\Delta(h) = \sum_{(h)} h_{(1)} \otimes h_{(2)}$ is comultiplication applied to h. When we refer to an *action* of $H = U_q(sl(2))$ on $R = k[x_1, \ldots, x_m]$ or say that *H acts* on *R*, we mean that *R* is an *H*-module algebra. If *A* is a commutative domain, we let Q(A) denote its quotient field.

When $U_q(sl(2))$ acts on R, since $\Delta(K) = K \otimes K$ and K is invertible, K acts as an automorphism. We will let σ denote the automorphism of R induced by K. If g is an automorphism, a k-linear map d is called a (g, 1)-skew derivation if

$$d(ab) = d(a)g(b) + ad(b)$$

for all $a, b \in R$. Analogously, d is called a (1, g)-skew derivation if

$$d(ab) = d(a)b + g(a)d(b).$$

Since $\Delta(E) = E \otimes K + 1 \otimes K$ and $\Delta(F) = F \otimes 1 + K^{-1} \otimes F$, *E* acts as a (K, 1)-skew derivation and *F* acts as a $(1, K^{-1})$ -skew derivation. We will let δ_E and δ_F denote the skew derivations of *R* induced, respectively, by *E* and *F*. It is important to note that we will always assume that $\sigma^2 \neq 1$. Observe that if $\sigma^2 \neq 1$ then $\delta_E \neq 0$ and $\delta_F \neq 0$, whereas if $\sigma^2 = 1$, then either $q^4 = 1$ or $\delta_E = \delta_F = 0$.

The invariants of the action of H on R is the subalgebra

$$\mathbb{R}^{H} = \{a \in \mathbb{R} \mid h(a) = \epsilon(h)a, \text{ for all } h \in H\}.$$

In our situation, observe that

$$R^{H} = \{a \in R \mid \sigma(a) = a\} \cap \{a \in R \mid \delta_{E}(a) = 0\} \cap \{a \in R \mid \delta_{F}(a) = 0\}.$$

We say that the action of $U_q(sl(2))$ on $k[x_1, ..., x_m]$ is *affine* if $\sigma(x_i)$ has degree one, for $1 \le i \le m$. Certainly when $U_q(sl(2))$ acts on $k[x_1]$, as in [3], the action must be affine. However, in general, actions of $U_q(sl(2))$ on $k[x_1, ..., x_m]$ need not be affine.

We begin the work needed to prove Theorem 4 with the following lemma.

Lemma 1 Let $d \neq 0$ be either a (g,1) or (1,g)-skew derivation of a commutative domain A where $g \neq 1$. Then there exists $0 \neq \lambda \in Q(A)$ such that $d = \lambda(g-1)$.

Proof First, suppose *d* is a (g, 1)-skew derivation and let $a, b \in A$. Since *A* is commutative, if $a, b \in A$, we have

$$d(ab) = d(ba) = d(b)g(a) + bd(a) = d(a)b + g(a)d(b).$$

Therefore d is also a (1, g)-skew derivation, and it suffices to consider (1, g)-skew derivations.

Let $r \in A$; since $g \neq 1$, we can choose $a \in A$ such that $g(a) \neq a$. Since A is commutative, we have

$$d(a)r + g(a)d(r) = d(ar) = d(ra) = d(r)a + g(r)d(a).$$

If we subtract d(a)r + d(r)a from the far left and far right of the previous equation, we obtain

$$(g(a) - a)d(r) = d(a)(g(r) - r).$$

Since $g(a) \neq a$, we can divide both sides of the previous equation by g(a) - a, and if we let $\lambda = (g(a) - a)^{-1}d(a)$, we obtain

$$d(r) = \lambda(g(r) - r).$$

Thus, $d = \lambda(g - 1)$.

In light of Lemma 1, when $H = U_q(sl(2))$ acts on $R = k[x_1, ..., x_m]$, the fixed points of σ are the same as the kernel of both δ_E and δ_F . Therefore, when we examine R^H , it will suffice to study the fixed points of σ .

Lemma 2 Let A be a commutative domain with an automorphism σ such that $\sigma^2 \neq 1$ and let $0 \neq e, f \in Q(A)$. If $\delta_E = e(\sigma - 1)$ and $\delta_F = f(\sigma^{-1} - 1)$, then $\sigma, \delta_E, \delta_F$ induce an action of $U_q(sl(2))$ on A if and only if

(i)
$$\sigma(e) = q^2 e, \, \sigma(f) = q^{-2} f$$

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(ii)
$$ef = \frac{q^3}{(q^2-1)^2}$$
,
(iii) $\delta_E(A) \subseteq A, \ \delta_F(A) \subseteq A$

Proof In order for σ , δ_E , δ_F to induce an action of $U_q(sl(2))$ on A, they need to satisfy the same relations satisfied, respectively, by K, E, F, in $U_q(sl(2))$. Using the facts that A is commutative, σ is an automorphism, $\delta_E = e(\sigma-1)$, and $\delta_F = f(\sigma^{-1}-1)$, it is easy to see that

$$\sigma(ab) = \sigma(a)\sigma(b), \quad \delta_E(ab) = \delta_E(a)\sigma(b) + a\delta_E(b),$$

 $\delta_F(ab) = \delta_F(a)b + \sigma^{-1}(a)\delta_F(b),$

for all $a, b \in A$. Thus the actions of σ , δ_E , δ_F on A are consistent with the comultiplication of K, E, F in $U_q(sl(2))$.

Next, we need to find necessary and sufficient conditions on e, f such that σ , δ_E , δ_F satisfy the relations

$$\sigma \delta_E = q^2 \delta_E \sigma, \quad \sigma \delta_F = q^{-2} \delta_F \sigma, \quad \delta_E \delta_F - \delta_F \delta_E = \frac{\sigma - \sigma^{-1}}{q - q^{-1}}.$$

If $a \in A$, we have

$$\sigma(\delta_E(a)) = \sigma(e\sigma(a) - ea) = \sigma(e)(\sigma^2(a) - \sigma(a)),$$

$$q^2\delta_E(\sigma(a)) = q^2(e\sigma^2(a) - e\sigma(a)) = q^2e(\sigma^2(a) - \sigma(a))$$

and

$$\sigma(\delta_F(a)) = \sigma(f\sigma^{-1}(a) - fa) = \sigma(f)(a - \sigma(a)),$$

$$q^{-2}\delta_F(\sigma(a)) = q^{-2}(fa - f\sigma(a)) = q^{-2}f(a - \sigma(a)).$$

Since there exists $a \in A$ such that $\sigma(a) \neq a$, the above equations show that $\sigma\delta_E = q^2\delta_E\sigma$ and $\sigma\delta_F = q^{-2}\delta_F\sigma$ if and only if $\sigma(e) = q^2e$ and $\sigma(f) = q^{-2}f$.

We will now compute $\delta_E \delta_F$ and $\delta_F \delta_E$. In light of the previous argument, we may assume that $\sigma(e) = q^2 e$ and $\sigma(f) = q^{-2} f$. If $a \in A$, we have

(1)
$$\delta_{E}(\delta_{F}(a)) = e\sigma(f\sigma^{-1}(a) - fa) - e(f\sigma^{-1}(a) - fa)$$
$$= e\sigma(f)a - e\sigma(f)\sigma(a) - ef\sigma^{-1}(a) + efa$$
$$= (1 + q^{-2})efa - q^{-2}ef\sigma(a) - ef\sigma^{-1}(a)$$

and

(2)
$$\delta_F(\delta_E(a)) = f\sigma^{-1}(e\sigma(a) - ea) - f(e\sigma(a) - ea) \\ = \sigma^{-1}(e)fa - \sigma^{-1}(e)f\sigma^{-1}(a) - ef\sigma(a) + efa \\ = (1 + q^{-2})efa - ef\sigma(a) - q^{-2}ef\sigma^{-1}(a).$$

Subtracting equation (2) from equation (1) gives us

 $(\delta_E \delta_F - \delta_F \delta_E)(a) = (1 - q^{-2})ef(\sigma(a) - \sigma^{-1}(a)),$

therefore

$$\delta_E \delta_F - \delta_F \delta_E = (1 - q^{-2}) e f(\sigma - \sigma^{-1}).$$

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Since there exists $a \in A$ such that $\sigma^2(a) \neq a$, the previous equation shows us that

$$\delta_E \delta_F - \delta_F \delta_E = \frac{\sigma - \sigma^{-1}}{q - q^{-1}}$$

if and only if

$$(1-q^{-2})ef = \frac{1}{q-q^{-1}}.$$

However, this is clearly equivalent to

$$ef = \left(\frac{1}{1-q^{-2}}\right) \left(\frac{1}{q-q^{-1}}\right) = \frac{q^3}{(q^2-1)^2}.$$

Finally, since *e*, *f* need not belong to *A*, in order to have an action of $U_q(sl(2))$ on *A*, we also need to add the conditions that $\delta_E(A) \subseteq A$ and $\delta_F(A) \subseteq A$.

The next lemma will exploit the fact that $k[x_1, ..., x_m]$ is a unique factorization domain.

Lemma 3 Let $R = k[x_1, ..., x_m]$, and suppose $y \in R$ has degree one and $0 \neq d \in Q(R)$ such that $dy, \frac{y}{d} \in R$ with $d \notin k$. Then there exists $0 \neq \alpha \in k$ such that either $y = \alpha d$ or $y = \frac{\alpha}{d}$.

Proof One possibility is that either *d* or $\frac{1}{d}$ belongs to *R*, and we first consider the case where $d \in R$. Since *R* is a unique factorization domain and $d \notin k$, we have $d = p_1 \cdots p_s$, where $s \ge 1$ and each p_i is an irreducible polynomial. However, *y* has degree one and

$$\frac{y}{d}=\frac{y}{p_1\cdots p_s}\in R,$$

therefore it must be the case that s = 1 and p_1 has degree one. As a result, $d = p_1$ and $y = \alpha d$, for some $0 \neq \alpha \in k$. An identical argument then shows that if $\frac{1}{d} \in R$, then $y = \frac{\alpha}{d}$, for some $0 \neq \alpha \in k$.

In light of the previous argument, it suffices to show that either $d \in R$ or $\frac{1}{d} \in R$. Therefore, by way of contradiction, we will assume that neither d nor $\frac{1}{d}$ belong to R. Since R is a unique factorization domain, we can write

$$d=\frac{p_1\cdots p_s}{q_1\cdots q_t},$$

where *s*, $t \ge 1$ and every p_i , q_j is an irreducible polynomial such that no p_i is a multiple in *R* of any q_j Recall that

$$dy = \left(\frac{p_1 \cdots p_s}{q_1 \cdots q_t}\right) y$$
 and $\frac{y}{d} = \frac{q_1 \cdots q_t}{p_1 \cdots p_s} y$

both belong to *R*. Since $dy \in R$, we see that $p_1 \cdots p_s y$ is a multiple in *R* of q_1 , hence *y* is a multiple in *R* of q_1 . Similarly, since $\frac{y}{d} \in R$, we know that $q_1 \cdots q_t y$ is a multiple in *R* of p_1 , hence *y* is also a multiple in *R* of p_1 . However, *y* has degree one, therefore there exist $0 \neq \beta$, $y \in k$ such that $y = \beta q_1$ and $y = y p_1$. This immediately leads to the contradiction that p_1 is a multiple in *R* of q_1 , concluding the proof.

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Suppose that δ_1 is a $(\sigma, 1)$ -skew derivation and δ_2 is a $(1, \sigma^{-1})$ -skew derivation such that

(3)
$$\sigma \delta_1 = q^2 \delta_1 \sigma, \quad \sigma \delta_2 = q^{-2} \delta_2 \sigma, \quad \delta_1 \delta_2 - \delta_2 \delta_1 = \alpha (\sigma - \sigma^{-1}),$$

where $0 \neq \alpha \in k$. It is easy to see that, for any $0 \neq \beta \in k$, there exists a unique $0 \neq \beta' \in k$ such that

$$\sigma(\beta\delta_1) = q^2(\beta\delta_1)\sigma, \quad \sigma(\beta'\delta_2) = q^{-2}(\beta'\delta_2)\sigma,$$
$$(\beta\delta_1)(\beta'\delta_2) - (\beta'\delta_2)(\beta\delta_1) = \frac{\sigma - \sigma^{-1}}{q - q^{-1}}.$$

Therefore, for any σ , δ_1 , δ_2 satisfying (3) and $0 \neq \beta \in k$, we see that σ , $\beta \delta_1$, $\beta' \delta_2$ represent an action of $U_q(sl(2))$ on $k[x_1, \ldots, x_m]$. As a result, finding actions of $U_q(sl(2))$ on $k[x_1, \ldots, x_m]$ reduces to finding σ , δ_1 , δ_2 satisfying (3) and if $0 \neq \gamma$, $\gamma' \in K$ then σ , $\gamma \delta_1$, $\gamma' \delta_2$ represents essentially the same action. In this situation, we say that σ , δ_1 , δ_2 and σ , $\gamma \delta_1$, $\gamma' \delta_2$ are scalar multiples. Thus, up to scalar multiplication, it suffices to find triples σ , δ_1 , δ_2 satisfying (3).

Theorem 4 Consider an affine action of $U_q(sl(2))$ on the commutative polynomial ring $R = k[x_1, ..., x_m]$ such that $\sigma^2 \neq 1$. Then there exist $y_1, ..., y_m, \in R$ such that

- (i) *R* is the polynomial ring $k[y_1, \ldots, y_m]$;
- (ii) $\sigma(y_i) = y_i \text{ and } \delta_E(y_i) = \delta_F(y_i) = 0, \text{ for } 2 \le i \le m.$

Furthermore, the only two possibilities, up to scalar multiplication, for the action of $U_q(sl(2))$ on y_1^n , for $n \ge 1$, are

(i)
$$\sigma(y_1^n) = q^{2n} y_1^n, \, \delta_E(y_1^n) = \frac{q^{2n}-1}{q^{2-1}} y_1^{n+1}, \, \delta_F(y_1^n) = \frac{q^{-2n}-1}{q^{2-1}} y_1^{n-1};$$

(ii)
$$\sigma(y_1^n) = q^{-2n} y_1^n, \, \delta_E(y_1^n) = \frac{q^{-2n}-1}{q^{-2}-1} y_1^{n-1}, \, \delta_F(y_1^n) = \frac{q^{2n}-1}{q^{2}-1} y_1^{n+1}.$$

Proof Given an action of $U_q(sl(2))$ on $R = k[x_1, ..., x_m]$, Lemma 1 implies that there exist $0 \neq e, f \in Q(R)$ such that $\delta_E = e(\sigma - 1)$ and $\delta_F = f(\sigma^{-1} - 1)$. Recall that we only need to find δ_E and δ_F up to scalar multiplication. Therefore, given σ , Lemma 2 tells us that it suffices to find $0 \neq e \in Q(R)$ such that $\sigma(e) = q^2 e$ and

(4)
$$e(\sigma(a)-a), \quad \frac{1}{e}(\sigma^{-1}(a)-a) \in \mathbb{R},$$

for all $a \in R$. Observe, in this situation, we are letting $f = \frac{1}{e}$ and it immediately follows that $\sigma(f) = q^{-2}f$.

Choose $1 \le i \le m$ and let $y = \sigma(x_i) - x_i$. If $y \ne 0$, then *y* has degree one and, from (4), it follows that

$$ey = e(\sigma(x_i) - x_i) \in R$$
 and $\frac{1}{e}y = -\frac{1}{e}(\sigma^{-1}(\sigma(x_i)) - \sigma(x_i)) \in R.$

By Lemma 3, there exists $0 \neq \alpha \in k$ such that $y = \alpha e$ or $y = \frac{\alpha}{e}$. Thus, at least one of *e* or $\frac{1}{e}$ belongs to *R*. However, since σ is not the identity on *e*, we have $e \notin k$. Therefore, at most one of *e* or $\frac{1}{e}$ belongs to *R*.

It follows from the argument above that exactly one of *e* or $\frac{1}{e}$ belongs to *R* and we will let *e'* denote the one that does. As a result, $y = \alpha e'$ and *e'* has degree one.

Thus, every nonzero element of the form $\sigma(x_i) - x_i$ is a scalar multiple of e'. If we let $F = \sigma - 1$, then F is a linear map from the vector space $kx_1 + \cdots + kx_m$ to the vector space ke'. Furthermore, since $\sigma \neq 1$, there is some i such that $\sigma(x_i) \neq x_i$. Hence, F is not the zero map; thus the image of F has dimension one and the kernel of F has dimension m - 1.

We can let $y_1 = e'$ and then choose a basis $\{y_2, \ldots, y_m\}$ for the kernel of *F*. Since $\{y_1, y_2, \ldots, y_m\}$ consists of *m* linearly independent degree one polynomials, *R* is equal to the polynomial ring $k[y_1, \ldots, y_m]$. In addition, since $F = \sigma - 1$, we immediately see that

$$\sigma(y_i) = y_i$$
 and $\delta_E(y_i) = \delta_F(y_i) = 0$,

for $2 \le i \le m$. At this point, all that remains is to examine the action of σ , δ_E , δ_F on y_1 . Since $y_1 = e'$, we now have two cases to consider: either $y_1 = e$ or $y_1 = \frac{1}{e}$. If $y_1 = e$,

then since $\sigma(e) = q^2 e$, we have

$$\sigma(y_1) = q^2 y_1, \quad \delta_E(y_1) = e(\sigma(y_1) - y_1) = y_1(q^2 y_1 - y_1) = (q^2 - 1)y_1^2,$$

$$\delta_F(y_1) = \frac{1}{e}(\sigma^{-1}(y_1) - y_1) = \frac{1}{y_1}(q^{-2}y_1 - y_1) = (q^{-2} - 1).$$

However, we are finding δ_E and δ_F up to scalar multiplication. Therefore, without loss of generality, we may assume

$$\sigma(y_1) = q^2 y_1, \quad \delta_E(y_1) = y_1^2, \quad \delta_F(y_1) = 1.$$

It now easily follows, by mathematical induction, that if $n \ge 1$, we have

$$\sigma(y_1^n) = q^{2n} y_1^n \quad \delta_E(y_1^n) = \frac{q^{2n} - 1}{q^2 - 1} y_1^{n+1}, \quad \delta_F(y_1^n) = \frac{q^{-2n} - 1}{q^{-2} - 1} y_1^{n-1}.$$

The remaining possibility is that $y_1 = \frac{1}{e}$. Since $\sigma(e) = q^2 e$, we have $\sigma(\frac{1}{e}) = q^{-2} \frac{1}{e}$, therefore

$$\sigma(y_1) = q^{-2}y_1, \quad \delta_E(y_1) = e(\sigma(y_1) - y_1) = \frac{1}{y_1}(q^{-2}y_1 - y_1) = q^{-2} - 1,$$

$$\delta_F(y_1) = \frac{1}{e}(\sigma^{-1}(y_1) - y_1) = y_1(q^2y_1 - y_1) = (q^2 - 1)y_1^2.$$

Since we are finding δ_E and δ_F up to scalar multiplication, without loss of generality, we may assume that

$$\sigma(y_1) = q^{-2}y_1, \quad \delta_E(y_1) = 1, \quad \delta_F(y_1) = y_1^2.$$

Mathematical induction can now be used to show that, for $n \ge 1$,

$$\sigma(y_1^n) = q^{-2n} y_1^n, \quad \delta_E(y_1^n) = \frac{q^{-2n} - 1}{q^{-2} - 1} y_1^{n-1}, \quad \delta_F(y_1^n) = \frac{q^{2n} - 1}{q^2 - 1} y_1^{n+1}.$$

We conclude our paper with any easy application of Theorem 4.

Corollary 5 Consider an affine action of $H = U_q(sl(2))$ on the commutative polynomial ring $R = k[x_1, ..., x_m]$ with $\sigma^2 \neq 1$.

(i) If q is not a root of 1, then the subring of invariants R^H is a commutative polynomial ring in m - 1 variables.

(ii) If q is a root of 1 and t is the smallest positive integer such that $q^{2t} = 1$, then the subring of invariants R^H is a commutative polynomial ring in m variables and R is a free R^H -module of rank t.

Proof Since σ , δ_E , δ_F all have the same invariants, R^H is equal to the invariants of σ . By Theorem 4, R is the polynomial ring $k[y_1, \ldots, y_m]$, $\sigma(y_1) = \alpha y_1$, where $\alpha = q^2$ or $\alpha = q^{-2}$, and $\sigma(y_i) = y_i$, for $2 \le i \le m$. If $r \in R$, we can express r uniquely as $r = \sum_{i=0}^n p_i y_i^{-i}$, where $n \ge 0$ and each $p_i \in k[y_2, \ldots, y_m]$. Applying σ , we have

(5)
$$\sigma(r) = \sigma\left(\sum_{i=0}^{n} p_{i} y_{1}^{i}\right) = \sum_{i=0}^{n} \sigma(p_{i}) \sigma(y_{1})^{i} = \sum_{i=0}^{n} p_{i} \alpha^{i} y_{1}^{i}.$$

In light of (5), $\sigma(r) = r$ if and only if $\alpha^i p_i = p_i$, for $0 \le i \le n$. If we are in the case where *q* is not a root of 1, then α is not a root of 1 and we see that $\sigma(r) = r$ if and only if $p_i = 0$, for $i \ge 1$. Thus, $r \in \mathbb{R}^H$ if and only if $r = p_0 \in k[y_2, \ldots, y_m]$. Therefore, in this case, $\mathbb{R}^H = k[y_2, \ldots, y_m]$.

On the other hand, if q is a root of 1, let t is the smallest positive integer such that $q^{2t} = 1$. Therefore t is the smallest positive integer such that $\alpha^t = 1$ and it follows from (5) that $\sigma(r) = r$ if and only if $p_i = 0$ whenever i is not a multiple of t. Therefore R^H is the polynomial ring $k[y_1^t, y_2, \ldots, y_m]$ and, as a R^H -module, we have

$$R = R^H \oplus R^H y_1 \oplus \cdots \oplus R^H y_1^{t-1}.$$

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