

## KAC-MOODY LIE ALGEBRAS AND THE CLASSIFICATION OF NILPOTENT LIE ALGEBRAS OF MAXIMAL RANK

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**Introduction.** The natural problem of determining all the Lie algebras of finite dimension was broken in two parts by Levi's theorem:

1) the classification of semi-simple Lie algebras (achieved by Killing and Cartan around 1890)

2) the classification of solvable Lie algebras (reduced to the classification of nilpotent Lie algebras by Malcev in 1945 (see [10])).

The Killing form is identically equal to zero for a nilpotent Lie algebra but it is non-degenerate for a semi-simple Lie algebra. Therefore there was a huge gap between those two extreme cases. But this gap is only illusory because, as we will prove in this work, a large class of nilpotent Lie algebras is closely related to the Kac-Moody Lie algebras. These last algebras could be viewed as infinite dimensional version of the semi-simple Lie algebras.

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All the structures are on an algebraically closed field  $K$  of characteristic 0.

### 1. Kac-Moody Lie algebras.

1.1. *Definition.* One calls *Generalized Cartan Matrix* (denoted G.C.M.) a matrix  $A = (A_{ij})_{1 \leq i, j \leq l}$  with entries in  $\mathbf{Z}$  satisfying:

- (i)  $A_{ii} = 2 \forall i = 1 \dots l$
- (ii)  $A_{ij} \leq 0 \forall i, j = 1 \dots l, i \neq j$
- (iii)  $A_{ij} = 0 \Leftrightarrow A_{ji} = 0 \forall i, j = 1 \dots l.$

All through this paper the G.C.M. will be  $l \times l$ .

1.2. *Definition.* We will say that two G.C.M.s  $A$  and  $B$  are *equivalent*

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if there exists  $\sigma \in \mathfrak{S}_l$  (permutation group of  $\{1 \dots l\}$ ) such that  $B_{ij} = A_{\sigma_i \sigma_j} \forall i, j = 1 \dots l$ .

1.3. *Definition.* We will call *Kac-Moody Lie algebra associated to the G.C.M. A*, the Lie algebra  $L(A)$  generated by a set  $\{f_1 \dots f_l, h_1 \dots h_l, e_1 \dots e_l\}$  satisfying relations:

$$\begin{aligned} \forall i, j = 1 \dots l [h_i, h_j] &= 0 [e_i, f_j] = \delta_{ij} h_i \ (\delta_{ij}: \text{Kronecker's symbol}) \\ [h_i, e_j] &= A_{ij} e_j, [h_i, f_j] = -A_{ij} f_j; \\ \forall i, j = 1 \dots l, i \neq j &(\text{ad } e_i)^{-A_{ij+1}} e_j = 0 \quad (\text{ad } f_i)^{-A_{ij+1}} f_j = 0. \end{aligned}$$

1.4. Let  $\{\alpha_1 \dots \alpha_l\}$  be the canonical basis of  $\mathbf{Z}^l$ . For  $\alpha \in \mathbf{N}^l \setminus \{0\}$ ,  $\alpha = \sum d_i \alpha_i$  denote by  $L_\alpha$  (resp.  $L_{-\alpha}$ ) the subvector space of  $L(A)$  generated by the elements  $[e_{i_1} \dots e_{i_r}]$  (resp.  $[f_{i_1} \dots f_{i_r}]$ ) where  $e_i$  (resp.  $f_i$ ) appears  $d_i$  times ( $[x_1 \dots x_n] = [x_1[x_2 \dots x_n] \dots]$ ). If  $\alpha = \sum d_i \alpha_i \in \mathbf{Z}^l$  are such that all the  $d_i$ 's are not of the same sign, let  $L_\alpha = (0)$ . Denote

$$L_0 = H = Kh_1 \oplus \dots \oplus Kh_l.$$

One calls root system of  $L(A)$  the set

$$\Delta = \{\alpha \in \mathbf{Z}^l; \alpha \neq 0 \text{ and } L_\alpha \neq (0)\}.$$

The Lie algebra  $L(A)$  is graded by

$$\Delta \cup \{0\}: L(A) = \bigoplus_{\alpha \in \Delta \cup \{0\}} L_\alpha [L_\alpha, L_\beta] \subset L_{\alpha+\beta} \forall \alpha, \beta \in \Delta \cup \{0\}.$$

One calls *positive root system* the set

$$\Delta_+ = \{\alpha \in \mathbf{N}^l; \alpha \neq 0 \text{ and } L_\alpha \neq (0)\}$$

and we let  $\Delta^- = -\Delta^+$  (negative roots). We have then

$$\Delta = \Delta_- \cup \{0\} \cup \Delta_+.$$

Furthermore  $L(A) = L_-(A) \oplus H \oplus L_+(A)$  where  $L_+(A) = \bigoplus_{\alpha \in \Delta_+} L_\alpha$  is called the *positive part* and  $L_-(A) = \bigoplus_{\alpha \in \Delta_-} L_\alpha$  the *negative part*. (For the proofs see [8] and [11].)

1.5. If  $\alpha = \sum d_i \alpha_i$  let  $|\alpha| = \sum d_i$  and call  $|\alpha|$  the height of  $\alpha$ . Denote

$$\Delta_+^n = \{\alpha \in \Delta_+; |\alpha| = n\} \text{ for all } n \in \mathbf{N}^*.$$

Remark that  $\Delta_+^1 = \{\alpha_1 \dots \alpha_l\}$ .

**2. Root system for a nilpotent Lie algebra of maximal rank.** All through Section 2,  $\mathfrak{g}$  is a Lie algebra of finite dimension,  $\text{Derg}$  and  $\text{Autg}$  denote its derivation algebra and automorphism group.

2.1. *Definition.* One calls a *torus* on  $\mathfrak{g}$  a commutative subalgebra of  $\text{Derg}$  which consists of semi-simple endomorphisms. A torus is said to be *maximal* if it is not contained strictly in any other torus.

2.2. A torus defines a representation in  $\mathfrak{g}: T \times \mathfrak{g} \rightarrow \mathfrak{g} (t, x) \mapsto tx$ . Since  $T$  is a commutative family of semi-simple endomorphisms and since the ground field is algebraically closed, the elements of  $T$  can be diagonalized simultaneously. In other words,  $\mathfrak{g}$  is decomposed into a direct sum of root spaces for

$$T: \mathfrak{g} = \bigoplus_{\beta \in T^*} \mathfrak{g}^\beta$$

where  $T^*$  is the dual of the vector space  $T$  and

$$\mathfrak{g}^\beta = \{x \in \mathfrak{g}; tx = \beta(t)x \forall t \in T\}.$$

2.3. *Definition.* Let  $T$  be a maximal torus on  $\mathfrak{g}$ . One calls *root system of  $\mathfrak{g}$  associated to  $T$* , the set:

$$R(T) = \{\beta \in T^*; \mathfrak{g}^\beta \neq (0)\}.$$

2.4. **LEMMA.** *If  $\mathfrak{g}$  is a nilpotent Lie algebra, the two following assertions are equivalent:*

- (i)  $(x_1 \dots x_l)$  is a minimal system of generators;
- (ii)  $(x_1 + C^2\mathfrak{g}, \dots, x_l + C^2\mathfrak{g})$  is a basis for the vector space  $\mathfrak{g}/C^2\mathfrak{g}$  (where  $C^2\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}]$ ).

Define the *type* of  $\mathfrak{g}$  to be the dimension of  $\mathfrak{g}/C^2\mathfrak{g}$ .

*Proof.* See example 4 on page 119 of [2].

2.5. *Definition.* ( $\mathfrak{g}$  nilpotent). Let  $T$  be a torus on  $\mathfrak{g}$ . One calls  *$T$ -msg* a minimal system of generators which consists of root vectors for  $T$ .

2.6. **LEMMA.** ( $\mathfrak{g}$  nilpotent). *For any torus  $T$  on  $\mathfrak{g}$  there exists a  $T$ -msg.*

*Proof.* Just take root vectors for  $T$  which form a basis for a  $T$ -stable supplement of  $C^2\mathfrak{g}$ .

2.7. **LEMMA.** ( $\mathfrak{g}$  nilpotent of type  $l$ ). *Let  $T$  be a maximal torus on  $\mathfrak{g}$ ,  $(x_1 \dots x_l)$  a  $T$ -msg,  $\beta_i$  the root of  $x_i$ . The dimension of  $T$  is equal to the rank of  $\{\beta_1 \dots \beta_l\}$ .*

*Proof.* Let  $(t_1 \dots t_k)$  be a basis of  $T$ . The rank of  $(\beta_1 \dots \beta_l)$  is equal to the rank of the matrix

$$(\beta_i(t_j))_{\substack{1 \leq i \leq l \\ 1 \leq j \leq k}}$$

whose value is  $k$  as one can see easily.

2.8. **LEMMA.** ( $\mathfrak{g}$  nilpotent of type  $l$ ). *The dimension of a maximal torus is an invariant of  $\mathfrak{g}$  called the rank of  $\mathfrak{g}$ . If  $k$  is the rank, one has  $k \leq l$ .*

*Proof.* By Mostow's theorem (4.1 of [12]), if  $T$  and  $T'$  are two maximal tori, there exists  $\theta \in \text{Aut } \mathfrak{g}$  such that  $\theta T \theta^{-1} = T'$ , therefore  $\dim T = \dim T'$ ; by 2.7,  $k \leq l$ .

2.9. *Definition.* ( $\mathfrak{g}$  nilpotent of type  $l$ ). One says that  $\mathfrak{g}$  is of *maximal rank* if its rank is  $l$ .

2.10. **THEOREM.** ( $\mathfrak{g}$  nilpotent of maximal rank and of type  $l$ ). Let  $T$  be a maximal torus on  $\mathfrak{g}$ ,  $R(T)$  the associated root system,  $(x_1 \dots x_l)$  a  $T$ -msg and  $(\beta_1 \dots \beta_l)$  the corresponding roots.

- (i) The set  $\{\beta_1 \dots \beta_l\}$  is a basis for the vector space  $T^*$ .
- (ii) For any  $\beta \in R(T)$  there exists  $(d_1 \dots d_l) \in \mathbf{N}^l$  unique such that  $\beta = \sum d_i \beta_i$ .
- (iii) Furthermore if we let  $|\beta| = \sum d_i$  then  $1 \leq |\beta| \leq p$  where  $p$  is the nilpotency of  $\mathfrak{g}$ .

*Proof.* See p. 82 of [5].

**3. Cartan matrix associated to a nilpotent Lie algebra of maximal rank.**

3.1. **LEMMA.** ( $\mathfrak{g}$  nilpotent of maximal rank and of type  $l$ ). If  $T$  is a maximal torus on  $\mathfrak{g}$  and if  $(x_1 \dots x_l)$  and  $(y_1 \dots y_l)$  are two  $T$ -msgs then there exist a unique  $\sigma \in \mathfrak{S}_l$  and  $(\lambda_1 \dots \lambda_l) \in (K \setminus \{0\})^l$  such that  $y_i = \lambda_i x_{\sigma i}$ ,  $1 \leq i \leq l$ .

*Proof.* Let

$$\{x_1 \dots x_l\} \cup \{[x_{i_1} \dots x_{i_r}]; r \geq 2, (i_1 \dots i_r) \in I_r\}$$

a basis of the vector space  $\mathfrak{g}$  generated by  $\{x_1 \dots x_l\}$ ; there exist  $y_{ij}, y_{i_1 \dots i_r} \in K$  such that

$$y_i = \sum_{j=1}^l y_{ij} x_j + \sum_{r \geq 2, (i_1 \dots i_r) \in I_r} y_{i_1 \dots i_r} [x_{i_1} \dots x_{i_r}];$$

let  $\beta_i$  be the root of  $x_i$  and  $\gamma_i$  the root of  $y_i$  ( $1 \leq i \leq l$ ). For  $t \in T$  one has:

$$ty_i = \gamma_i(t)y_i = \sum y_{ij} \gamma_i(t)x_j + \sum y_{i_1 \dots i_r} \gamma_i(t)[x_{i_1} \dots x_{i_r}];$$

on the other hand:

$$ty_i = \sum y_{ij} \beta_j(t)x_j + \sum y_{i_1 \dots i_r} (\beta_{i_1} + \dots + \beta_{i_r})(t)[x_{i_1} \dots x_{i_r}],$$

therefore:

$$y_{ij}(\beta_j - \gamma_i) = 0 \quad \forall i, j = 1 \dots l$$

and

$$y_{i_1 \dots i_r} (\beta_{i_1} + \dots + \beta_{i_r} - \gamma_i) = 0 \quad \forall i = 1 \dots l \quad \forall r \geq 2 \quad \forall (i_1 \dots i_r) \in I_r.$$

By 2.4, for any  $i = 1 \dots l$ , there exists  $j = 1 \dots l$  such that  $y_{ij} \neq 0$  thus  $\beta_j = \gamma_i$ ; the integer  $j$  is unique since the  $\beta_j$ 's are all distinct, there-

fore one defines a map  $\sigma: \{1 \dots l\} \rightarrow \{1 \dots l\}$  by setting  $\sigma i = j$ . Now, assume there exist  $i, r, (i_1 \dots i_r)$  such that  $y_{i i_1 \dots i_r} \neq 0$  then

$$\gamma_i = \beta_{i_1} + \dots + \beta_{i_r}$$

thus

$$\beta_{\sigma i} = \beta_{i_1} + \dots + \beta_{i_r}$$

which is impossible by 2.10 (since  $r \geq 2$ ) thus  $y_{i i_1 \dots i_r} = 0$  for all  $i = 1 \dots l$  all  $r \geq 2$  and all  $(i_1 \dots i_r) \in I_r$  therefore  $y_i = y_{i \sigma i} x_{\sigma i}$  with  $y_{i \sigma i} \neq 0$ ; this implies that  $\sigma \in \mathfrak{S}_i$  and that  $\sigma$  is unique; one then lets  $\lambda_i = y_{i \sigma i}$ .

3.2. THEOREM. *To any nilpotent Lie algebra of maximal rank and of type  $l$ , one can associate an  $l \times l$  Cartan matrix  $A$  whose equivalence class is an invariant of  $\mathfrak{g}$  and which is characterized by the following property: to any maximal torus  $T$  and any  $T$ -msg  $(x_1 \dots x_l)$ , there exists  $\sigma \in \mathfrak{S}_l$  such that for all  $i, j = 1 \dots l, i \neq j$ :*

$$(\text{ad } x_{\sigma i})^{-A_{i i} x_{\sigma j}} \neq 0 \text{ and } (\text{ad } x_{\sigma i})^{-A_{i j+1} x_{\sigma j}} = 0.$$

3.3. Definition. With the preceding notations one says that  $(x_1 \dots x_l)$  is ordered relatively to  $A$  if  $\sigma = \text{Id}$ .

3.4. Proof of 3.2. We will proceed in four steps:

(i) Let  $T$  be a maximal torus and  $(y_1 \dots y_l)$  a  $T$ -msg. Since  $\text{ad } y_i$  is nilpotent, for  $j \neq i$  there exists  $A_{ij} \in \mathbf{Z}_{\leq 0}$  unique such that

$$(\text{ad } y_i)^{-A_{ij} y_j} \neq 0 \text{ and } (\text{ad } y_i)^{-A_{ij+1} y_j} = 0;$$

let  $A_{ii} = 2$ ; obviously  $A = (A_{ij})$  is a G.C.M.

(ii) Let  $(x_1 \dots x_l)$  be another  $T$ -msg. By 3.1 there exist  $\sigma \in \mathfrak{S}_l$  and  $(\lambda_1 \dots \lambda_l) \in (K \setminus (0))^l$  such that  $y_i = \lambda_i x_{\sigma i}$  therefore

$$(\text{ad } x_{\sigma i})^{-A_{i i} x_{\sigma j}} \neq 0 \text{ and } (\text{ad } x_{\sigma i})^{-A_{i j+1} x_{\sigma j}} = 0.$$

(iii) Let  $T'$  be another maximal torus. By Mostow's theorem (4.1 of [12]) there exists  $\theta \in \text{Autg}$  such that  $\theta T \theta^{-1} = T'$ ; obviously  $(\theta y_1 \dots \theta y_l)$  is a  $T'$ -msg; by (i) there exists a G.C.M.  $A'$  such that

$$\begin{aligned} &(\text{ad } \theta y_i)^{-A'_{i i} \theta y_j} \neq 0 \text{ and} \\ &(\text{ad } \theta y_i)^{-A'_{i j+1} \theta y_j} = 0 \text{ for all } i \neq j; \end{aligned}$$

this is equivalent to

$$\begin{aligned} &(\text{ad } y_i)^{-A'_{i i} y_j} \neq 0 \text{ and} \\ &(\text{ad } y_i)^{-A'_{i j+1} y_j} = 0 \text{ for all } i \neq j; \end{aligned}$$

thus  $A = A'$  by unicity.

(iv) In (i) we associated to  $(T, (y_1 \dots y_l))$  a G.C.M.  $A$ . In (ii) we modified only  $(y_1 \dots y_l)$  and we obtained an equivalent G.C.M.; therefore the equivalence class of  $A$  depends only on  $T$ . In (iii) we modified  $T$  into  $T'$  and obtained for a suitable  $T'$ -msg the same G.C.M., thus the equivalence class of  $A$  does not depend on  $T$  either.

**4. Universal property.**

4.1. If  $X = \{\epsilon_1 \dots \epsilon_l\}$  is a set, the free Lie algebra  $F(X)$  generated by  $X$  is graded by  $\mathbf{N} \setminus \{0\}$ . If  $(\alpha_1 \dots \alpha_l)$  is the canonical basis of  $\mathbf{Z}^l$  and  $\alpha = \sum d_i \alpha_i \in \mathbf{N} \setminus \{0\}$ , denote by  $F^\alpha$  the subvector space of  $F(X)$  spanned by the  $[\epsilon_{i_1} \dots \epsilon_{i_r}]$ 's where  $\epsilon_i$  appears  $d_i$  times for all  $i = 1 \dots l$ . One has then

$$F(X) = \bigoplus_{\alpha \in \mathbf{N} \setminus \{0\}} F^\alpha \text{ and } [F^\alpha, F^\beta] \subset F^{\alpha+\beta} \text{ for all}$$

$\alpha, \beta \in \mathbf{N} \setminus \{0\}$  (see [2], p. 22).

4.2. Let  $\rho: X \rightarrow F(X)$  be the canonical imbedding ([2], p. 19). The pair  $(\rho, F(X))$  satisfies the following universal property: for any Lie algebra  $\mathfrak{g}$  and any map  $f: X \rightarrow \mathfrak{g}$  there exists a unique homomorphism  $\varphi: F(X) \rightarrow \mathfrak{g}$  such that  $f = \varphi \circ \rho$  ([2], p. 18).

4.3. LEMMA. *With the notation of 1.4 we have:*

(i)  $L_+(A)$  is a Lie algebra generated by  $\{e_1 \dots e_l\}$  satisfying only the relations

$$(\text{ad } e_i)^{-A_{ii+1}} e_j = 0 \quad \forall i \neq j.$$

(ii)  $L_+(A)$  is graded by

$$\Delta_+ : L_+(A) = \bigoplus_{\alpha \in \Delta_+} L_\alpha, [L_\alpha, L_\beta] \subset L_{\alpha+\beta} \text{ for all } \alpha, \beta \in \Delta_+.$$

(iii) There exists a unique homomorphism  $\lambda$  from  $F(X)$  onto  $L_+(A)$  such that  $\lambda \epsilon_i = e_i$  and satisfying the following properties:  $\text{Ker } \lambda$  is generated by

$$(\text{ad } \epsilon_i)^{-A_{ii+1}} \epsilon_j, \quad i, j = 1 \dots l, \quad i \neq j \text{ and} \\ \lambda F_\alpha(X) = L_\alpha \text{ for all } \alpha \in \mathbf{N} \setminus \{0\}.$$

*Proof.* The proof is straightforward.

4.4. LEMMA. *With the above notation one has:*

$$C^n L_+(A) = \bigoplus_{|\alpha| \geq n} L_\alpha$$

where  $C^n L_+(A)$  is the  $n$ th term of the central descending series.

*Proof.* This, again, is straightforward.

4.5. LEMMA. *For all  $\alpha \in \Delta_+ \setminus \{\alpha_1 \dots \alpha_l\}$  there exists  $i \in \{1 \dots l\}$  such that  $\alpha - \alpha_i \in \Delta_+$ .*

*Proof.* This follows as in the semi-simple case.

4.6. LEMMA. Let  $\Delta_+^k = \{\alpha \in \Delta_+; |\alpha| = k\}$ . If  $\Delta_+^p = \emptyset$  for some  $p \in \mathbf{N}^*$  then  $\Delta_+^{p+n} = \emptyset$  for all  $n \in \mathbf{N}$ .

*Proof.* This follows from 4.5.

4.7. LEMMA. For all  $k \in \mathbf{N}$  and all  $i, j \in \{1 \dots l\}$  we have

$$L_{\alpha_j+k\alpha_i} = K(\text{ad } e_i)^k e_j.$$

*Proof.* This is clear from above.

4.8. Let  $p \in \mathbf{N}^*$  and  $A$  a G.C.M. We will need in the sequel two conditions on  $p$  and  $A$ . By commodity we gather them here. As shown in 4.9 (ii) and (vi), without these two hypotheses, the Lie algebra  $\mathfrak{m}_p(A)$  won't have the invariants  $p$  and  $A$ .

$$(H_1) \text{ either } \dim L(A) = +\infty \text{ or } \dim L(A) < \infty$$

and in this case  $p \leq p_A$  where  $p_A$  is the height of the highest root of  $L_+(A)$ .

$$(H_2) p \geq \text{Sup} \{-A_{ij} + 1; i, j \in \{1 \dots l\}\}.$$

4.9. PROPOSITION. Let

$$\begin{aligned} \mathfrak{m} &= \mathfrak{m}_p(A) = L_+(A)/C^{p+1}L_+(A) \quad (p \geq 1) \text{ and} \\ \mu : L_+(A) &\rightarrow \mathfrak{m}_p(A) \quad x \mapsto \bar{x} \end{aligned}$$

the canonical map.

(i) The restriction of  $\mu$  to the vector spaces  $L_\alpha$  such that  $|\alpha| \leq p$  is an isomorphism from  $L_\alpha$  onto  $\bar{L}_\alpha$  and  $\mathfrak{m}_p(A)$  is graded by

$$\{\alpha \in \Delta_+; |\alpha| \leq p\} : \mathfrak{m}_p(A) = \bigoplus_{|\alpha| \leq p} \bar{L}_\alpha[\bar{L}_\alpha, \bar{L}_\beta] \subset \bar{L}_{\alpha+\beta}.$$

(ii) The Lie algebra  $\mathfrak{m}_p(A)$  is nilpotent and under the hypothesis  $H_1$  of 4.8. its nilpotency is  $p$ .

(iii) The set  $\{\bar{e}_1 \dots \bar{e}_l\}$  is a minimal system of generators of  $\mathfrak{m}_p(A)$ .

(iv) Let  $t_i \in \text{Der } \mathfrak{m}_p(A)$  ( $1 \leq i \leq l$ ) defined by  $t_i \bar{e}_j = \delta_{ij} \bar{e}_j$ ; then  $T = \bigoplus_{i=1}^l Kt_i$  is a maximal torus on  $\mathfrak{m}_p(A)$  and the nilpotent Lie algebra  $\mathfrak{m}_p(A)$  is of maximal rank; furthermore  $(\bar{e}_1 \dots \bar{e}_l)$  is a  $T$ -msg.

(v) Let  $(t^{*1} \dots t^{*l})$  be the dual basis of  $(t_1 \dots t_l)$ ; if we identify  $t^{*i}$  and  $\alpha_i$  then the root space decomposition relative to  $T$  is identical to the decomposition

$$\mathfrak{m}_p(A) = \bigoplus_{\alpha \in \Delta_+; |\alpha| \leq p} \bar{L}_\alpha.$$

(vi) Under the hypothesis  $H_2$  of 4.8  $A$  is a G.C.M. associated to  $\mathfrak{m}_p(A)$  and  $(\bar{e}_1 \dots \bar{e}_l)$  is ordered relative to  $A$ .

*Proof.* (i) is obvious from 4.4.

(ii) The lie algebra  $\mathfrak{m}$  is obviously nilpotent of nilpotency  $\leq p$ . By 4.4,

$$C^p \mathfrak{m} = \overline{\bigoplus_{|\alpha|=p} L_\alpha};$$

by (i) one has  $C^p \mathfrak{m} = (0)$  if and only if

$$\bigoplus_{|\alpha|=p} L_\alpha = (0);$$

by the definition of  $L_\alpha$  one has  $\bigoplus_{|\alpha|=p} L_\alpha = (0)$  if and only if  $\Delta_+^p = \emptyset$ ; by 4.6 we have  $\Delta_+^p = \emptyset$  if and only if  $\Delta_+^{p+n} = \emptyset \forall n \geq 0$ ; since

$$C^p L_+(A) = \bigoplus_{n \geq 0} \bigoplus_{|\alpha|=p+n} L_\alpha$$

we have  $\Delta_+^{p+n} = \emptyset \forall n \geq 0$  if and only if  $C^p L_+(A) = (0)$ .

If  $\dim L(A) = +\infty$  then  $C^p L_+(A) \neq (0) \forall p \geq 1$ ; if  $\dim L(A) < \infty$  then  $L(A)$  is a semi-simple Lie algebra and  $L_+(A)$  is the nilpotent part ([11], p. 230) of nilpotency  $p_A$  thus  $C^p L_+(A) \neq (0)$  (since  $p \leq p_A$ ). In both cases  $C^p L_+(A) \neq (0)$  therefore  $C^p \mathfrak{m} \neq (0)$ .

(iii) We have

$$\mathfrak{m}/C^2 \mathfrak{m} \cong \bigoplus_{|\alpha|=1} \bar{L}_\alpha = \bigoplus_{i=1}^l K \bar{e}_i$$

thus  $(\bar{e}_1 \dots \bar{e}_l)$  is a minimal system of generators for  $\mathfrak{m}/C^2 \mathfrak{m}$ .

(iv) Obviously  $T$  is a torus on  $\mathfrak{m}$ . Since the dimension of  $T$  is equal to the type of  $\mathfrak{m}$  (by (iii)),  $T$  is a maximal torus and  $\mathfrak{m}$  is of maximal rank.

(v) Let

$$\mathfrak{m}_\alpha = \{\bar{x} \in \bar{L}; t\bar{x} = \alpha(t)\bar{x} \forall t \in T\};$$

it is easy to prove both inclusions:  $\mathfrak{m}_\alpha \subset \bar{L}_\alpha$  and  $\bar{L}_\alpha \subset \mathfrak{m}_\alpha$ .

(vi) By (iii) and (iv)  $(\bar{e}_1 \dots \bar{e}_l)$  is a  $T$ -msg of  $\mathfrak{m}$ . We have

$$(\text{ad } \bar{e}_i)^{-A_{ij}+1} \bar{e}_j = 0.$$

Assume that  $(\text{ad } \bar{e}_i)^{-A_{ij}} \bar{e}_j = \bar{0}$  then

$$(\text{ad } e_i)^{-A_{ij}} e_j \in \text{Ker } \mu$$

thus

$$L_{\alpha_j - A_{ij}\alpha_i} \subset \bigoplus_{|\alpha| \geq p+1} L_\alpha$$

(by 4.4 and 4.7) therefore  $1 - A_{ij} \geq p + 1$  which contradicts  $H_2$ .

4.10. With the notation of 4.1, 4.2, 4.3 and 4.9 denote

$$u = \mu \circ \lambda \circ \rho : X \rightarrow \mathfrak{m}_p(A)$$

i.e.,  $u(\epsilon_i) = \bar{e}_i$ . We assume in the sequel that  $H_1$  and  $H_2$  of 4.8 are satisfied which implies that  $\mathfrak{m}_p(A)$  is a nilpotent Lie algebra of nilpotency  $p$  and that  $A$  is a G.C.M. associated to  $\mathfrak{m}_p(A)$ .

4.11. PROPOSITION. (i) *The pair  $(u, \mathfrak{m}_p(A))$  satisfies the following universal property: for any nilpotent Lie algebra of type  $l$ , of maximal rank, of nilpotency  $q$  such that  $q \leq p$ , whose associated G.C.M.  $B$  is such that  $|B_{ij}| \leq |A_{ij}| \forall i, j$ ; and for any map  $f: X \rightarrow \mathfrak{g}$  such that  $(f\epsilon_1 \dots f\epsilon_l)$  is a  $T\mathfrak{g}$ -msg ordered relative to  $B$  (for a maximal torus  $T\mathfrak{g}$  on  $\mathfrak{g}$ ), there exists a unique homomorphism  $\varphi$  from  $\mathfrak{m}_p(A)$  onto  $\mathfrak{g}$  such that  $\varphi \circ u = f$ .*

(ii) *Let  $\mathfrak{m}_p'(A)$  be a nilpotent Lie of nilpotency  $p$ , of type  $l$ , of maximal rank, whose associated G.C.M. is  $A$ ; let  $u': X \rightarrow \mathfrak{m}_p'(A)$  be a map such that the pair  $(u', \mathfrak{m}_p'(A))$  satisfies the universal property of (i); then there exists an isomorphism  $\Psi: \mathfrak{m}_p(A) \rightarrow \mathfrak{m}_p'(A)$  such that  $\Psi \circ u = u'$ .*

*Proof.* (i) By 4.2. there exists a unique homomorphism  $f_1: F(X) \rightarrow \mathfrak{g}$  such that  $f = f_1 \circ \rho$ . Since  $(f\epsilon_1 \dots f\epsilon_l)$  is ordered relative to  $B$  one has

$$(\text{ad } f\epsilon_i)^{-A_{ij+1}} f\epsilon_j = 0 \quad \forall i \neq j,$$

therefore

$$f_1((\text{ad } \epsilon_i)^{-A_{ij+1}} \epsilon_j) = 0 \quad \forall i \neq j$$

thus  $\text{Ker } f_1 \subset \text{Ker } \lambda$ , and this implies the existence of a unique homomorphism  $f_2: L \rightarrow \mathfrak{g}$  such that  $f_2 \circ \lambda = f_1$ . Since  $q \leq p$  and  $C^{p+1}\mathfrak{g} = (0)$  we have  $f_2(\text{Ker } \mu) = 0$  therefore there exists a unique homomorphism  $\varphi: \mathfrak{m} \rightarrow \mathfrak{g}$  such that  $\varphi \circ \mu = f_2$ . This yields  $\varphi \circ u = f$ .

(ii) Apply (i).

### 5. Classification theorem.

5.1. Recall that  $H_1$  and  $H_2$  of 4.8 are assumed. If  $\mathfrak{a}$  is an ideal of  $\mathfrak{m}_p(A)$  denote  $\mathfrak{g} = \mathfrak{m}/\mathfrak{a}$  and  $\pi: \mathfrak{m} \rightarrow \mathfrak{g}$  the canonical map;  $\mathfrak{g}$  is a nilpotent Lie algebra of nilpotency less than  $p$ .

5.2. LEMMA. *The two following assertions are equivalent:*

- (i)  $\mathfrak{a} \subset C^2\mathfrak{m}$ ;
- (ii)  $(\pi\bar{e}_1 \dots \pi\bar{e}_l)$  is a minimal system of generators of  $\mathfrak{g}$ .

*Proof.* If  $\mathfrak{a} \subset C^2\mathfrak{m}$  then

$$\bar{e}_j + C^2\mathfrak{m} \mapsto \pi\bar{e}_j + C^2\mathfrak{g}, \quad \mathfrak{m}/C^2\mathfrak{m} \rightarrow \mathfrak{g}/C^2\mathfrak{g}$$

is an isomorphism; one then applies 2.4. Conversely if  $\mathfrak{a} \not\subset C^2\mathfrak{m}$  there exist  $(\lambda_1 \dots \lambda_l) \in K \setminus (0)$  such that  $\sum \lambda_i \bar{e}_i \in \mathfrak{a}$  and thus

$$\sum \lambda_i \pi\bar{e}_i = 0.$$

5.3. LEMMA. *If  $\mathfrak{a}$  is homogenous and contained in  $C^2\mathfrak{m}$  and if  $T$  is the maximal torus defined in 4.9 then:*

- (i) *For any  $y \in T$  there exists  $\tilde{\pi}(t) \in \text{Der } \mathfrak{g}$  unique such that  $\pi \circ t = \tilde{\pi}(t) \circ \pi$ .*

(ii) The nilpotent Lie algebra  $\mathfrak{g}$  is of maximal rank with  $\tilde{\pi}(T)$  as a maximal torus and  $(\pi\bar{e}_1 \dots \pi\bar{e}_l)$  as a  $\tilde{\pi}(T)$ -msg.

(iii) For any  $\tilde{\pi}(T)$ -msg  $(y_1 \dots y_l)$  there exists a unique  $T$ -msg  $(x_1 \dots x_l)$  of  $\mathfrak{m}$  such that  $\pi x_i = y_i \forall i = 1 \dots l$ .

*Proof.* (i) By 4.9 (v)  $\alpha$  is homogenous if and only if  $\alpha$  is  $T$ -invariant; this allows us to define  $\tilde{\pi}(t)$  by

$$\tilde{\pi}(t)(\pi x) = \pi tx \quad \forall x \in \mathfrak{m}.$$

(ii) Since  $\alpha \subset C^2\mathfrak{m}$ ,  $(\pi\bar{e}_1 \dots \pi\bar{e}_l)$  is a minimal system of generators of  $\mathfrak{g}$  (by 5.2.) thus  $\mathfrak{g}$  is of type  $l$ . Obviously  $\tilde{\pi}(T)$  is a torus on  $\mathfrak{g}$  with root vectors  $(\pi\bar{e}_1 \dots \pi\bar{e}_l)$ ; let  $\lambda_1 \dots \lambda_l \in K$  such that

$$\sum \lambda_i \tilde{\pi}(t_i) = 0$$

then  $\lambda_j \pi e_j = 0$  thus  $\lambda_j = 0$  therefore  $\dim \tilde{\pi}(T) = l$  and by 2.8  $\mathfrak{g}$  is of maximal rank and  $\tilde{\pi}(T)$  is a maximal torus.

(iii) Let

$$W = \bigoplus_{i=1}^l K\bar{e}_i;$$

it is easy to see that

$$\mathfrak{g} = \pi W \oplus C^2\mathfrak{g} \text{ and } W \cong \pi W;$$

let  $(y_1 \dots y_l)$  a  $\tilde{\pi}(T)$ -msg of  $\mathfrak{g}$ ; there exist  $x_i \in W$  unique and  $z_i \in C^2\mathfrak{g}$  unique such that  $y_i = \pi x_i + z_i$ . If  $\beta_i$  is the root of  $y_i$  it is easy to see (by using the preceding decomposition of  $\mathfrak{g}$ ) that

$$\pi x_i = \beta_i(\tilde{\pi}t)x_i \text{ and } z_i \in \mathfrak{g}^{\beta_i} \cap C^2\mathfrak{g} = (0).$$

5.4. LEMMA. *If  $\alpha$  is homogenous and if*

$$(\text{ad } \bar{e}_i)^{-A_{ii}} \bar{e}_j \notin \alpha \quad \forall i, j = 1 \dots l, i \neq j$$

*then  $\mathfrak{g}$  is of maximal rank and  $A$  is a G.C.M. associated to  $\mathfrak{g}$ .*

*Proof.* By simple arguments one can prove that  $\alpha \subset C^2\mathfrak{m}$ ; by applying 5.3 (ii) it suffices to prove that

$$(\text{ad } \pi\bar{e}_i)^{-A_{ii}} \pi\bar{e}_j \neq 0 \text{ and } (\text{ad } \pi\bar{e}_i)^{-A_{ij}+1} \pi\bar{e}_j = 0 \quad \forall i \neq j$$

which is obvious.

5.5. LEMMA. *The two following assertions are equivalent:*

- (i)  $\mathfrak{g}$  is of nilpotency  $p$ .
- (ii)  $C^p\mathfrak{m} \not\subset \alpha$ .

*Proof.* This is straightforward.

5.6. *Definition.* We call the automorphism group of the G.C.M.  $A$  the group

$$\mathfrak{S}_i(A) = \{\sigma \in \mathfrak{S}_i; A_{\sigma i \sigma j} = A_{ij} \forall i, j = 1 \dots l\}.$$

5.7. **LEMMA.** *Let  $\sigma \in \mathfrak{S}_i$ . There exists  $\bar{\sigma} \in \text{Aut } \mathfrak{m}$  such that  $\bar{\sigma} \bar{e}_i = \bar{e}_{\sigma i} \forall i = 1 \dots l$  if and only if  $\sigma \in \mathfrak{S}_i(A)$ . Write*

$$\tilde{\mathfrak{S}}_i(A) = \{\bar{\sigma} \in \text{Aut } \mathfrak{m}; \sigma \in \mathfrak{S}_i(A)\}.$$

*Proof.* We can define a bijective linear map  $\bar{\sigma} : \mathfrak{m} \rightarrow \mathfrak{m}$  by setting

$$\bar{\sigma} \bar{e}_i = \bar{e}_{\sigma i} \forall i = 1 \dots l.$$

We have  $\bar{\sigma} \in \text{Aut } \mathfrak{m}$  if and only if

$$(\text{ad } \bar{e}_{\sigma i})^{-A_{ii+1}} \bar{e}_{\sigma j} = 0 \forall i \neq j$$

$$\text{i.e., } (\text{ad } e_{\sigma i})^{-A_{ii+1}} e_{\sigma j} \in C^{p+1} L_+(A) \forall i \neq j.$$

Assume that  $\bar{\sigma} \in \text{Aut } \mathfrak{m}$  and let  $(i, j)$  be such that

$$(\text{ad } e_{\sigma i})^{-A_{ii+1}} e_{\sigma j} \neq 0$$

then

$$\alpha_{\sigma j} + (-A_{ij} + 1)\alpha_{\sigma i} \in \Delta_+$$

and we have

$$|\alpha_{\sigma j} + (-A_{ij} + 1)\alpha_{\sigma i}| \geq p + 1;$$

since  $p \geq -A_{\sigma i \sigma j} + 1$  (by H<sub>1</sub> of 4.8) it follows that  $A_{\sigma i \sigma j} \geq A_{ij}$ ; now let  $(i, j)$  such that

$$(\text{ad } e_{\sigma i})^{-A_{ii+1}} e_{\sigma j} = 0;$$

then  $-A_{\sigma i \sigma j} + 1 \leq -A_{ij} + 1$  and thus  $A_{\sigma i \sigma j} \geq A_{ij}$ ; in both cases we have  $A_{\sigma i \sigma j} \geq A_{ij}$  and therefore

$$A_{ij} \leq A_{\sigma i \sigma j} \leq A_{\sigma^2 i \sigma^2 j} \leq \dots;$$

there exists  $n \in \mathbf{N}^*$  such that  $\sigma^n = 1$  therefore

$$A_{ij} \leq A_{\sigma i \sigma j} \leq \dots \leq A_{ij}$$

which implies that  $A_{ij} = A_{\sigma i \sigma j} \forall i \neq j$  thus  $\sigma \in \mathfrak{S}_i(A)$ . The converse is obvious.

5.8. **LEMMA.** *The set*

$$\mathfrak{I} = \mathfrak{I}_p(A) = \{\alpha \text{ homogenous ideal of } \mathfrak{m}; C^p \mathfrak{m} \not\subset \alpha \text{ and } (\text{ad } \bar{e}_i)^{-A_{ii}} \bar{e}_j \notin \alpha \forall i \neq j\}$$

*is stable under  $\tilde{\mathfrak{S}}_i(A)$ .*

*Proof.* This is clear.

5.9. PROPOSITION. Let  $\mathfrak{g}$  be a nilpotent Lie algebra of maximal rank, of nilpotency  $p$  and such that  $A$  is an associated G.C.M.

(i) There exists  $\mathfrak{a} \in \mathfrak{F}$  such that  $\mathfrak{g} \cong \mathfrak{m}/\mathfrak{a}$ .

(ii) If  $\mathfrak{a}' \in \mathfrak{F}$  is such that  $\mathfrak{g} \cong \mathfrak{m}/\mathfrak{a}'$  then there exists  $\bar{\sigma} \in \tilde{\mathfrak{S}}_1(A)$  such that  $\bar{\sigma}\mathfrak{a} = \mathfrak{a}'$ .

*Proof.* (i) Let  $(x_1 \dots x_l)$  be a  $T\mathfrak{g} - \text{msg}$  ordered relative to  $A$  (where  $T\mathfrak{g}$  is a maximal torus on  $\mathfrak{g}$ ). Let  $f: X \rightarrow \{x_1 \dots x_l\}$  be a map defined by  $f\epsilon_i = x_i$ ; by 4.11 there exists a homomorphism  $\pi$  from  $\mathfrak{m}$  onto  $\mathfrak{g}$  such that  $\pi\bar{e}_i = x_i$ . Let  $\mathfrak{a} = \text{Ker } \pi$  then  $\mathfrak{g} \cong \mathfrak{m}/\mathfrak{a}$ . Let us prove that  $\mathfrak{a} \in \mathfrak{F}$ . By 5.5 we have  $C^p\mathfrak{m} \not\subset \mathfrak{a}$ . Secondly, we have

$$(\text{ad } \bar{e}_j)^{-A_{ij}} \bar{e}_j \notin \mathfrak{a}$$

since

$$(\text{ad } x_i)^{-A_{ij}} x_j \neq 0.$$

Finally to prove that  $\mathfrak{a}$  is homogenous one uses 2.10: let

$$\sum_{(i_1 \dots i_r) \in I} \lambda_{i_1 \dots i_r} [\bar{e}_{i_1} \dots \bar{e}_{i_r}] \in \mathfrak{a} \setminus \{0\}$$

with  $\lambda_{i_1 \dots i_r} \neq 0$  and  $[\bar{e}_{i_1} \dots \bar{e}_{i_r}] \notin \mathfrak{a} \forall (i_1 \dots i_r) \in I$ , we have then that

$$\sum \lambda_{i_1 \dots i_r} [x_{i_1} \dots x_{i_r}] = 0$$

with  $[x_{i_1} \dots x_{i_r}] \neq 0 \forall (i_1 \dots i_r) \in I$  therefore there exists  $\beta = \sum d_i \beta_i \in R(T)$  such that

$$\beta = \beta_{i_1} + \dots + \beta_{i_r} \forall (i_1 \dots i_r) \in I.$$

( $\beta_i$  is the root of  $x_i$  which implies that  $\beta_{i_1} + \dots + \beta_{i_r}$  is the root of  $[x_{i_1} \dots x_{i_r}]$ .) Let  $d_{i_1 \dots i_r}$  be the number of times that  $i$  appears in  $(i_1 \dots i_r)$ . We have

$$\sum_i d_i \beta_i = \sum_i d_{i_1 \dots i_r} \beta_i;$$

therefore, by 2.10,

$$d_i = d_{i_1 \dots i_r} \forall i = 1 \dots l \forall (i_1 \dots i_r) \in I;$$

thus  $\bar{e}_i$  appears  $d_i$  times in  $[\bar{e}_{i_1} \dots \bar{e}_{i_r}] \forall (i_1 \dots i_r) \in I$  which means that

$$[\bar{e}_{i_1} \dots \bar{e}_{i_r}] \in \bar{L}_\alpha \quad \forall (i_1 \dots i_r) \in I$$

where  $\alpha = \sum d_i \alpha_i$ ; therefore  $\mathfrak{a}$  is homogenous.

(ii) Let  $\mathfrak{a}' \in \mathfrak{F}$  be such that  $\mathfrak{g} \cong \mathfrak{m}/\mathfrak{a}'$ . Let us make the following identification:

$$\mathfrak{g} = \mathfrak{m}/\mathfrak{a} = \mathfrak{m}/\mathfrak{a}'.$$

Let  $\pi': \mathfrak{m} \rightarrow \mathfrak{g}$  associated to  $\mathfrak{a}'$  (see (i)). By 5.2 we have  $\mathfrak{a} \subset C^2\mathfrak{m}$  and  $\mathfrak{a}' \subset C^2\mathfrak{m}$ . By 5.3 (ii),  $\tilde{\pi}(T)$  and  $\tilde{\pi}'(T)$  are maximal tori on  $\mathfrak{g}$  and by 4.1 of [12] there exists  $\varphi \in \text{Aut } \mathfrak{g}$  such that

$$\varphi \tilde{\pi}(T) \varphi^{-1} = \tilde{\pi}'(T).$$

By 5.2 (ii)  $(\pi \bar{e}_1 \dots \pi \bar{e}_l)$  is a  $\tilde{\pi}(T)$ -msg and therefore  $(\varphi \pi \bar{e}_1 \dots \varphi \pi \bar{e}_l)$  is a  $\tilde{\pi}'(T)$ -msg. By 5.3 (iii) there exists a  $T$ -msg  $(\bar{e}'_1 \dots \bar{e}'_l)$  of  $\mathfrak{m}$  such that

$$\pi' \bar{e}'_i = \varphi \pi \bar{e}_i \quad \forall i = 1 \dots l.$$

By 3.1 there exist  $\sigma \in \mathfrak{S}_l$  and  $(\lambda_1 \dots \lambda_l) \in (K \setminus \{0\})^l$  such that

$$\bar{e}'_i = \lambda_i \bar{e}_{\sigma i} \quad \forall i = 1 \dots l.$$

Since  $(\bar{e}'_1 \dots \bar{e}'_l)$  and  $(\bar{e}_1 \dots \bar{e}_l)$  are ordered relative to  $A$  we have  $\sigma \in \mathfrak{S}_l(A)$  and therefore we define  $\theta \in \text{Aut } \mathfrak{m}$  by setting  $\theta \bar{e}_i = \bar{e}'_i$ ; we then have  $\varphi \pi' = \pi' \theta$  and thus  $\theta \mathfrak{a} \subset \mathfrak{a}'$ ; since  $\theta$  is one to one and  $\dim \mathfrak{a} = \dim \mathfrak{a}'$  this implies  $\theta \mathfrak{a} = \mathfrak{a}'$ ; on the other hand  $\theta \mathfrak{a} = \bar{\sigma} \mathfrak{a}$  thus  $\bar{\sigma} \mathfrak{a} = \mathfrak{a}'$  with  $\bar{\sigma} \in \tilde{\mathfrak{S}}_l(A)$ .

**5.10. THEOREM.** *The isomorphism classes of nilpotent Lie algebras of maximal rank, of nilpotency  $p$  and such that  $A$  is an associated G.C.M. are in bijection with the orbits of  $\mathfrak{F}_p(A)$  under the action of  $\tilde{\mathfrak{S}}_l(A)$ .*

*Proof.* To each  $\mathfrak{a} \in \mathfrak{F}$  associate the isomorphism class of  $\mathfrak{m}/\mathfrak{a}$ ; by the preceding results this gives the bijection.

### 6. Model of nilpotent Lie algebra.

**6.1. LEMMA.** *Let  $\mathfrak{m}(l, p) = F(X)/C^{p+1}F(X)$  ( $p \geq 1$ ) and  $\pi: F(X) \rightarrow \mathfrak{m} \ x \mapsto \tilde{x}$  be the canonical map.*

(i) *The restriction of  $\pi$  to the subspaces  $F^\alpha$  such that  $|\alpha| \leq p$  is an isomorphism from  $F^\alpha$  onto  $\tilde{F}^\alpha$  and  $\mathfrak{m}(l, p)$  is graded by  $\{\alpha \in \mathbb{N}^l \setminus \{0\}; |\alpha| \leq p\}$ :*

$$\mathfrak{m}(l, p) = \bigoplus_{|\alpha| \leq p} \tilde{F}^\alpha \text{ and } [\tilde{F}^\alpha, \tilde{F}^\beta] \subset \tilde{F}^{\alpha+\beta}.$$

(ii)  *$\mathfrak{m}(l, p)$  is a nilpotent Lie algebra of nilpotency  $p$ .*

(iii)  *$(\tilde{\epsilon}_1 \dots \tilde{\epsilon}_l)$  is a minimal system of generators of  $\mathfrak{m}(l, p)$ .*

(iv) *Let  $D_i \in \text{Der } \mathfrak{m}$  ( $1 \leq i \leq l$ ) be defined by*

$$D_i \tilde{\epsilon}_j = \delta_{ij} \tilde{\epsilon}_j,$$

*then  $D = \bigoplus_{i=1}^l KD_i$  is a maximal torus on  $\mathfrak{m}(l, p)$  and  $\mathfrak{m}(l, p)$  is of maximal rank; furthermore  $(\tilde{\epsilon}_1 \dots \tilde{\epsilon}_l)$  is a  $D$ -msg.*

(v) *Let  $(D^{*1} \dots D^{*l})$  be the dual basis of  $D^*$ . If we identify  $D_i$  and  $\alpha_i$  then the root space decomposition relative to  $D$  is identical to the decomposition of (i).*

(vi) Define the G.C.M.  $A$  by

$$A_{ij} = -p + 1 \quad \forall i \neq j.$$

Then  $A$  is associated to  $\mathfrak{m}(l, p)$  and  $(\bar{\epsilon}_1 \dots \bar{\epsilon}_l)$  is ordered relative to  $A$ .

*Proof.* (i), . . . , (v) as for  $\mathfrak{m}(l, p)$ . Since  $F(X)$  is free one has

$$(\text{ad } \bar{\epsilon}_i)^{p-1} \bar{\epsilon}_j \neq 0;$$

on the other hand

$$(\text{ad } \bar{\epsilon}_i)^p \bar{\epsilon}_j = 0.$$

This proves (vi).

6.2. PROPOSITION. *Let  $A$  be a G.C.M. such that*

$$A_{ij} = -p + 1 \quad \forall i \neq j.$$

*One has the following graded isomorphism  $\mathfrak{m}_p(A) \cong \mathfrak{m}(l, p)$  i.e.,  $\bar{L}_\alpha \cong \bar{F}^\alpha$  for all  $\alpha \in \mathbb{N}^l \setminus (0)$  such that  $|\alpha| \leq p$ .*

*Proof.* It is easy to check that  $H_1$  and  $H_2$  of 4.8 are satisfied for  $p$  and  $A$ . One uses now the universal property of  $\mathfrak{m}_p(A)$  (4.11) and of  $\mathfrak{m}(l, p)$ : any nilpotent Lie algebra of nilpotency  $p$  and of type  $l$  is a quotient of  $\mathfrak{m}(l, p)$  (which comes from 4.2).

6.3. PROPOSITION. ([1], [5], [6]). *The isomorphism classes of nilpotent Lie algebras of nilpotency  $p$  and of type  $l$  are in bijection with the orbits of  $\mathfrak{S}(l, p)$  under the action of  $\tilde{\mathfrak{S}}_l$  (We denote by  $\mathfrak{S}(l, p)$  the set of homogenous ideals contained in  $C^2\mathfrak{m}$  and not contained in  $C^p\mathfrak{m}$ ; the action of  $\mathfrak{S}_l$  is  $\sigma \bar{\epsilon}_i = \bar{\epsilon}_{\sigma(i)}$ .)*

*Proof.* This follows from 5.10 and 6.2.

### 7. Examples.

7.1. We shall refer to the tables given in [4]. We drop the obvious study of algebras with direct factor.

7.2. Dimension 3.

*Definition.*

$$\mathfrak{g}_3 = Kx_1 \oplus \dots \oplus Kx_3 : [x_1x_2] = x_3.$$

Maximal torus:

$$T = Kt_1 \oplus Kt_2, t_i x_j = \delta_{ij} x_j, i, j = 1, 2.$$

$T$ -msg:  $(x_1x_2)$ . Roots:

$$(\beta_1\beta_2), \beta_i(t_j) = \delta_{ij}, i, j = 1, 2.$$

Root space decomposition:

$$\mathfrak{g} = \mathfrak{g}^{\beta_1} \oplus \mathfrak{g}^{\beta_2} \oplus \mathfrak{g}^{\beta_1+\beta_2}$$

with

$$\mathfrak{g}^{\beta_1} = Kx_1, \mathfrak{g}^{\beta_2} = Kx_2, \mathfrak{g}^{\beta_1+\beta_2} = Kx_3.$$

Type:  $l = 2$ . Nilpotency:  $p = 2$ . G.C.M.  $A = \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix} = A_2$ . Dyn-kin diagram: (see [7])  $\begin{matrix} 0 & -0 \\ 1 & 2 \end{matrix}$ . Conclusion:

$$\mathfrak{g}_3 = \mathfrak{m}_2(A_2)/(0) = L_+(A_2).$$

7.3. Dimension 4.

Definition:

$$\mathfrak{g}_4 = Kx_1 \oplus \dots \oplus Kx_4: [x_1x_2] = x_3, [x_1x_3] = x_4.$$

Maximal torus:

$$T = Kt_1 \oplus Kt_2; t_i x_j = \delta_{ij} x_j, i, j = 1, 2.$$

$T$ -msg:  $(x_1x_2)$ . Roots:  $(\beta_1\beta_2)$ :

$$\beta_i(t_j) = \delta_{ij}, i, j = 1, 2.$$

Root space decomposition:

$$\mathfrak{g} = \mathfrak{g}^{\beta_1} \oplus \mathfrak{g}^{\beta_2} \oplus \mathfrak{g}^{\beta_1+\beta_2} \oplus \mathfrak{g}^{2\beta_1+\beta_2},$$

with

$$\mathfrak{g}^{\beta_1} = Kx_1, \mathfrak{g}^{\beta_2} = Kx_2, \mathfrak{g}^{\beta_1+\beta_2} = Kx_3, \mathfrak{g}^{2\beta_1+\beta_2} = Kx_4.$$

Type:  $l = 2$ . Nilpotency:  $p = 3$ . G.C.M.:  $A = \begin{pmatrix} 2 & -2 \\ -1 & 2 \end{pmatrix} = B_2$  Dyn-kin diagram:  $\begin{matrix} 0 \Rightarrow 0 \\ 1 & 2 \end{matrix}$ . Conclusion:

$$\mathfrak{g}_4 = \mathfrak{m}_3(B_2)/(0) = L_+(B_2).$$

7.4. Dimension 5.

7.4.1. Definition.

$$\mathfrak{g}_{5,2} = Kx_1 \oplus \dots \oplus Kx_5: [x_1x_2] = x_4, [x_2x_3] = x_5$$

(we made the following change of notation:  $x_1 \leftrightarrow x_2$   $x_4 \rightarrow -x_4$ ). Maximal torus:

$$T = Kt_1 \oplus \dots \oplus Kt_3: t_i x_j = \delta_{ij} x_j, i, j = 1, 2, 3.$$

$T$ -msg:  $(x_1x_2x_3)$ . Roots:  $(\beta_1\beta_2\beta_3)$ :

$$\beta_i(t_j) = \delta_{ij}, i, j = 1, 2, 3.$$

Root space decomposition:

$$\mathfrak{g} = \mathfrak{g}^{\beta_1} \oplus \mathfrak{g}^{\beta_2} \oplus \mathfrak{g}^{\beta_3} \oplus \mathfrak{g}^{\beta_1+\beta_2} \oplus \mathfrak{g}^{\beta_2+\beta_3}$$

with

$$\mathfrak{g}^{\beta_1} = Kx_1, \mathfrak{g}^{\beta_2} = Kx_2, \mathfrak{g}^{\beta_3} = Kx_3, \mathfrak{g}^{\beta_1+\beta_2} = Kx_4, \mathfrak{g}^{\beta_2+\beta_3} = Kx_5.$$

Type:  $l = 2$ . Nilpotency:  $p = 2$ . G.C.M.:

$$A = \begin{pmatrix} 2 & -1 & 0 \\ -1 & 2 & -1 \\ 0 & -1 & 2 \end{pmatrix} = A_3.$$

Dynkin diagram:

$$\begin{array}{ccc} 0 & - & 0 & - & 0 \\ 1 & & 2 & & 3. \end{array}$$

Conclusion:  $\mathfrak{g}_{5,2} = \mathfrak{m}_2(A_3)/(0)$  with

$$\mathfrak{m}_2(A_3) = L_+(A_3)/L_{\alpha_1+\alpha_2+\alpha_3}.$$

7.4.2. Definition.

$$\mathfrak{g}_{5,4} = Kx_1 \oplus \dots \oplus Kx_5 : [x_1x_2] = x_3, [x_1x_3] = x_4, [x_2x_3] = x_5.$$

Maximal torus:

$$T = Kt_1 \oplus Kt_2 : t_i x_j = \delta_{ij} x_j, i, j = 1, 2.$$

$T$ -msg:  $(x_1x_2)$ . Roots:

$$(\beta_1\beta_2) : \beta_i(t_j) = \delta_{ij}, i, j = 1, 2.$$

Root space decomposition:

$$\mathfrak{g} = \mathfrak{g}^{\beta_1} \oplus \mathfrak{g}^{\beta_2} \oplus \mathfrak{g}^{\beta_1+\beta_2} \oplus \mathfrak{g}^{2\beta_1+\beta_2} \oplus \mathfrak{g}^{\beta_1+2\beta_2}$$

with

$$\begin{aligned} \mathfrak{g}^{\beta_1} &= Kx_1, \mathfrak{g}^{\beta_2} = Kx_2, \mathfrak{g}^{\beta_1+\beta_2} = Kx_3, \\ \mathfrak{g}^{2\beta_1+\beta_2} &= Kx_4, \mathfrak{g}^{\beta_1+2\beta_2} = Kx_5. \end{aligned}$$

Type:  $l = 2$ . Nilpotency:  $p = 3$ . G.C.M.:  $A = \begin{pmatrix} 2 & -2 \\ -2 & 2 \end{pmatrix} = A_1^{(1)}$ .

Dynkin diagram:  $\begin{array}{c} 0 \equiv 0 \\ 1 \quad 2 \end{array}$ . Conclusion:

$$\mathfrak{g}_{5,4} = \mathfrak{m}_3(A_1^{(1)})/(0)$$

with

$$\mathfrak{m}_3(A_1^{(1)}) = L_+(A_1^{(1)}) / \bigoplus_{|\alpha| \geq 4} L_\alpha.$$

7.4.3. *Definition.*

$$\mathfrak{g}_{5,5} = Kx_1 \oplus \dots \oplus Kx_5 : [x_1x_2] = x_3[x_1x_3] = x_4, [x_1x_4] = x_5.$$

Maximal torus:

$$T = Kt_1 \oplus Kt_2 : t_i x_j = \delta_{ij} x_j, i, j = 1, 2.$$

$T$ -msg  $(x_1x_2)$ . Roots:  $(\beta_1\beta_2)$ :

$$\beta_i(t_j) = \delta_{ij}, i, j = 1, 2.$$

Root space decomposition:

$$\mathfrak{g} = \mathfrak{g}^{\beta_1} \oplus \mathfrak{g}^{\beta_2} \oplus \mathfrak{g}^{\beta_1+\beta_2} \oplus \mathfrak{g}^{2\beta_1+\beta_2} \oplus \mathfrak{g}^{3\beta_1+\beta_2}$$

with

$$\mathfrak{g}^{\beta_1} = Kx_1, \mathfrak{g}^{\beta_2} = Kx_2, \mathfrak{g}^{\beta_1+\beta_2} = Kx_3, \mathfrak{g}^{2\beta_1+\beta_2} = Kx_4, \mathfrak{g}^{3\beta_1+\beta_2} = Kx_5.$$

Type:  $l = 2$ . Nilpotency:  $p = 4$ . G.C.M.:  $A = \begin{pmatrix} 2 & -3 \\ -1 & 2 \end{pmatrix} = G_2$ .

Dynkin diagram:  $\begin{matrix} 0 & \Rightarrow & 0 \\ & \searrow & \\ 1 & & 2 \end{matrix}$ . Conclusion:

$$\mathfrak{g}_{5,5} = \mathfrak{m}_3(G_2)$$

with

$$\mathfrak{m}_3(G_2) = L_+(G_2)/L_{3\alpha_1+2\alpha_2}.$$

**8. The semi-simple and the Euclidian (of rank 2) case.**

8.1. All through Section 8 we assume that  $A$  is of semi-simple type i.e.,

$$A \in \{A_l B_l C_l D_l E_6 E_7 E_8 F_4 G_2\}$$

(see [7]) or Euclidian (of rank 2) type i.e.,  $A \in \{A_1^{(1)}, A_2^{(2)}\}$  with

$$A_1^{(1)} = \begin{pmatrix} 2 & -2 \\ -2 & 2 \end{pmatrix}, A_2^{(2)} = \begin{pmatrix} 2 & -4 \\ -1 & 2 \end{pmatrix}$$

(see [9]). Those types have in common the fact that  $\dim L_\alpha = 1 \forall \alpha \in \Delta$  (the converse is true). We assume also that  $H_1$  and  $H_2$  of 4.8. hold.

8.2. Denote by  $\bar{\mathcal{N}}_p(A)$  the set of isomorphism classes of nilpotent Lie algebras of maximal rank, of nilpotency  $p$  such that  $A$  is an associated G.C.M.

8.3. Let  $\mathfrak{a}$  be an homogenous ideal of  $\mathfrak{m}_p(A)$ ; then

$$\mathfrak{a} = \bigoplus_{\alpha \in \Delta_p} \mathfrak{a} \cap \bar{L}_\alpha$$

where

$$\Delta_p = \{\alpha \in \Delta_+; |\alpha| \leq p\};$$

since  $\mathfrak{a} \cap \bar{L}_\alpha = (0)$  or  $\bar{L}_\alpha$  we have

$$\mathfrak{a} = \bigoplus_{\alpha \in \Delta_p(\mathfrak{a})} \bar{L}_\alpha$$

with

$$\Delta_p(\mathfrak{a}) = \{\alpha \in \Delta_p : \mathfrak{a} \cap \bar{L}_\alpha \neq (0)\}.$$

By 1.5 and 4.4,  $C^p \mathfrak{m} \not\subset \mathfrak{a}$  is equivalent to  $\Delta_+^p \not\subset \Delta_p(\mathfrak{a})$ . By 4.7

$$(\text{ad } \bar{e}_i)^{-A_{ij}} \bar{e}_j \notin \mathfrak{a}$$

is equivalent to

$$\alpha_j - A_{ij}\alpha_i \notin \Delta_p(\mathfrak{a}).$$

Let  $E$  be a subset of  $\Delta_p$ , and call  $E$  ideal of  $\Delta_p$  if for all  $\alpha \in E$  and all  $i = 1 \dots l$  such that  $\alpha + \alpha_i \in \Delta_p$  one has  $\alpha + \alpha_i \in E$ . Obviously  $\mathfrak{a}$  is an ideal of  $\mathfrak{m}$  if and only if  $\Delta_p(\mathfrak{a})$  is an ideal of  $\Delta_p$ . Define

$$j_p(A) = \{E \text{ ideal of } \Delta_p; \text{ (a) } \Delta_+^p \not\subset E \text{ (b) } \alpha_j - A_{ij}\alpha_i \notin E \forall i \neq j\}.$$

By the above remarks the map

$$\mathcal{F}_p(A) \rightarrow j_p(A) \quad \mathfrak{a} \mapsto \Delta_p(\mathfrak{a})$$

is a bijection with inverse

$$E \mapsto \mathfrak{a}_E = \bigoplus_{\alpha \in E} \bar{L}_\alpha.$$

The group  $\mathfrak{S}_i(A)$  operates on  $\Delta_p$  by

$$\sigma(\sum d_i \alpha_i) = \sum d_i \alpha_{\sigma i}.$$

Denote by  $\bar{j}_p(A)$  the set of orbits. With the notation of 5.9 (i) and by 5.9 (ii),  $\mathfrak{S}_i(A) \cdot \Delta_p(\mathfrak{a})$  does not depend on  $\mathfrak{a}$ . By 5.10 one gets

8.4. THEOREM. *If  $A$  is of semi-simple type or Euclidian type of rank 2 and if  $p$  satisfies  $H_1$  and  $H_2$  of 4.8 then the  $\mathfrak{S}_i(A)$ -orbits of  $j_p(A)$  classify canonically the elements of  $\bar{\mathcal{N}}_p(A)$ . More precisely, the map*

$$\bar{\mathcal{N}}_p(A) \rightarrow \bar{j}_p(A) \quad \bar{\mathfrak{g}} \rightarrow \mathfrak{S}_i(A) \cdot \Delta_p(\mathfrak{a})$$

( $\mathfrak{a}$  defined in 5.9 (i)) is a bijection and  $\mathfrak{S}_i(A) \cdot E \rightarrow \overline{(\mathfrak{m}/\mathfrak{a}_E)}$  is the inverse ( $\mathfrak{a}_E$  defined in 8.3).

8.5. *Semi-simple case of rank 2.*

8.5.1. Start with the G.C.M.  $A_2 = \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}$ . The root system is

$$\Delta_+ = \{\alpha_1, \alpha_2, \alpha_1 + \alpha_2\}.$$

The hypotheses  $H_1$  and  $H_2$  give  $2 \leq p \leq 2$  thus  $p = 2$ ,  $\Delta_2 = \Delta_+$ ,  $\Delta_+^2 = \{\alpha_1 + \alpha_2\}$ . The conditions  $\Delta_+^2 \not\subset E$  and  $E$  ideal of  $\Delta_2$  imply  $E = \emptyset$ . Thus

$j_2(A_2) = \{\emptyset\}$  and therefore  $\mathfrak{J}_2(A_2) = \{(0)\}$  which gives  $\mathcal{N}_2(A_2) = \{m_2(A_2)\}$ . Since  $m_2(A_2) = L_+(A_2) = \mathfrak{g}_3$  (7.2) we have the following

**THEOREM.** *Up to isomorphism  $\mathfrak{g}_3$  defined in 7.2 is the only nilpotent Lie algebra of maximal rank with  $A_2$  as an associated G.C.M.*

8.5.2. Case

$$B_2 = \begin{pmatrix} 2 & -2 \\ -1 & 2 \end{pmatrix}.$$

Root system:

$$\Delta_+ = \{\alpha_1, \alpha_2, \alpha_1 + \alpha_2, 2\alpha_1 + \alpha_2\}.$$

Hypothesis:  $3 \leq p \leq 3$ . Consequences:

$$p = 3, \Delta_3 = \Delta_+, \Delta_+^3 = \{2\alpha_1 + \alpha_2\}, j_3(B_2) = \{\emptyset\}, \mathcal{F}_3(B_2) = \{(0)\}, \mathcal{N}_3(B_2) = \{\mathfrak{g}_4\} \quad (7.3).$$

**THEOREM.** *Up to isomorphism  $\mathfrak{g}_4$  defined in 7.4 is the only nilpotent Lie algebra of maximal rank with  $B_2$  as an associated G.C.M.*

8.5.3. Case

$$G_2 = \begin{pmatrix} 2 & -3 \\ -1 & 2 \end{pmatrix}.$$

Root system:

$$\Delta_+ = \{\alpha_1, \alpha_2, \alpha_1 + \alpha_2, 2\alpha_1 + \alpha_2, 3\alpha_1 + \alpha_2, 3\alpha_1 + 2\alpha_2\}.$$

Hypothesis:  $4 \leq p \leq 5$ . Consequences:  $p = 4$  or  $5$ ,

$$\begin{aligned} \Delta_4 &= \{\alpha_1, \alpha_2, \alpha_1 + \alpha_2, 2\alpha_1 + \alpha_2\}, \Delta_5 = \Delta_+, \\ \Delta_+^4 &= \{3\alpha_1 + \alpha_2\}, \Delta_+^5 = \{3\alpha_1 + 2\alpha_2\}, \\ j_4(G_2) &= j_5(G_2) = \{\emptyset\}, \mathfrak{J}_4(G_2) = \mathfrak{J}_5(G_2) = \{(0)\}, \\ \mathcal{N}_4(G_2) &= \{\mathfrak{g}_{5,5}\} \quad (7.4.3), \mathcal{N}_5(G_2) = \{L_+(G_2)\}. \end{aligned}$$

**THEOREM.** *Up to isomorphism  $\mathfrak{g}_{5,5}$  defined in 7.4.3 and*

$$\begin{aligned} L_+(G_2) &= Kx_1 \oplus \dots \oplus Kx_6 : [x_1x_2] = x_3, [x_1x_3] = x_4, \\ [x_1x_4] &= x_5, [x_2x_5] = [x_3x_4] = x_6 \end{aligned}$$

*are the only nilpotent Lie algebras of maximal rank with  $G_2$  as an associated G.C.M.*

8.6. The case of  $A_1^{(1)}$ .

8.6.1. We use the presentation of [9], Section 3. Let  $K[t]$  be the vector space of polynomials with one indeterminate,  $K_m[t]$  the vector space of polynomials of degree  $< m$  and  $sl(2, K) = Kf + Kh + Ke$  with brackets

$[e, f] = h, [h, e] = 2e, [h, f] = -2f$ . If

$$A = A_1^{(1)} = \begin{pmatrix} 2 & -2 \\ -2 & 2 \end{pmatrix}$$

then

$$L_+(A) = Ke \otimes 1 + sl(2, K) \otimes tK[t];$$

the brackets in  $L_+(A)$  are defined by:

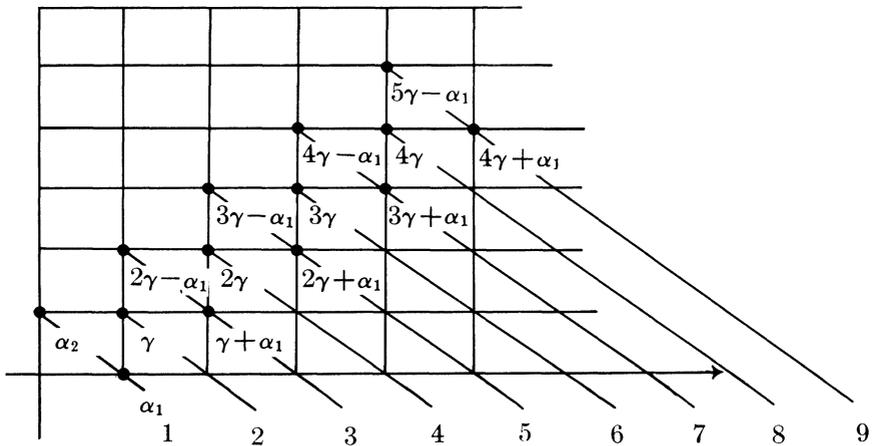
$$[x \otimes t^i, y \otimes t^j] = [x, y] \otimes t^{i+j}.$$

The root spaces are:

$$\begin{aligned} L_{\alpha_1} &= Ke \otimes 1, \\ L_{i\gamma - \alpha_1} &= Kf \otimes t^i, \quad (\gamma = \alpha_1 + \alpha_2) \\ L_{i\gamma} &= Kh \otimes t^i, \\ L_{i\gamma + \alpha_1} &= Ke \otimes t^i, \quad i \geq 1. \end{aligned}$$

The set of positive roots is

$$\Delta_+ = \{\alpha_1\} \cup \{i\gamma - \alpha_1, i\gamma, i\gamma + \alpha_1; i \geq 1\}.$$



Picture of  $\Delta_9$  for  $A_1^{(1)}(\gamma = \alpha_1 + \alpha_2)$

8.6.2. LEMMA. *We have*

$$\begin{aligned} j_{2q}(A_1^{(1)}) &= \{\emptyset\} \text{ and} \\ j_{2q+1}(A_1^{(1)}) &= \{\emptyset, \{q\gamma + \alpha_1\}, \{(q+1)\gamma - \alpha_1\}\} \end{aligned}$$

with  $q \geq 2$ .

*Proof.* The condition  $\Delta_+^p \not\subset E$  implies  $q\gamma \notin E$  if  $p = 2q$  and  $\{q\gamma + \alpha_1, (q + 1)\gamma - \alpha_1\} \not\subset E$  if  $p = 2q + 1$ . By the picture, the fact that an element  $E$  of  $\mathfrak{j}_{2q}$  is an ideal gives  $E = \emptyset$ ; if  $E \in \mathfrak{j}_{2q+1}$  then, obviously,

$$E \subsetneq \{q\gamma + \alpha_1, (q + 1)\gamma - \alpha_1\},$$

thus

$$E = \emptyset, \{q\gamma + \alpha_1\}, \{(q + 1)\gamma - \alpha_1\}.$$

Since  $\text{Sup}\{-A_{ij} + 1; i \neq j\} = 2$  we have  $q \geq 2$ .

**8.6.3. THEOREM.** *Up to isomorphism, there exist exactly 3 infinite series of nilpotent Lie algebras of maximal rank such that  $A_1^{(1)}$  is an associated G.C.M.: (we write down respectively the algebra  $\mathfrak{g}$ , the nilpotency  $\mathfrak{p}$ , the dimension  $n$ , the element  $\Delta_p(\alpha)$  in  $\mathfrak{j}_p(A)$  (8.3), and the root system  $R$ ):*

- (1)  $A_{1,q,1}^{(1)} = Ke \otimes 1 + \bigoplus_{i=1}^q sl(2, K) \otimes t^i + Kf \otimes t^{q+1}, q \geq 1,$   
 $\mathfrak{p} = 2q + 1, n = 3q + 2, \Delta_p(\alpha) = \emptyset,$   
 $R_1 = \{\alpha_1\} \cup \{i\gamma - \alpha_1, i\gamma, i\gamma + \alpha_1; 1 \leq i \leq q\} \cup \{(q + 1)\gamma - \alpha_1\}.$
- (2)  $A_{1,q,2}^{(1)} = A_{1,q,1}^{(1)} + Kh \otimes t^{q+1}, q \geq 1,$   
 $\mathfrak{p} = 2q + 2, n = 3q + 3, \Delta_p(\alpha) = \emptyset,$   
 $R_2 = R_1 \cup \{(q + 1)\gamma\}.$
- (3)  $A_{1,q,3}^{(1)} = A_{1,q,2}^{(1)} + Ke \otimes t^{q+1}, q \geq 1,$   
 $\mathfrak{p} = 2q + 3, n = 3q + 4, \Delta_p(\alpha) = \{(q + 2)\gamma - \alpha_1\},$   
 $R_3 = R_2 \cup \{(q + 1)\gamma + \alpha_1\}.$

(Notations are such that  $\dim A_{1,q,r}^{(1)} = 3q + r + 1$ .)

*Proof.* The ideals  $\{q\gamma + \alpha_1\}$  and  $\{(q + 1)\gamma - \alpha_1\}$  of 8.6.2 are interchanged by non-trivial element of  $\mathfrak{S}_i(A)$ . We then apply 8.4.

**8.6.4. Remark.** The algebra  $\mathfrak{g}_{5,4}$  (7.4.2) given by [4] is the first term of the series  $(A_{1,q,1})_{q \geq 1}$ .

**8.7. The case  $A_2^{(2)}$ .**

**8.7.1.** Let  $\mathcal{S} = sl(3, K) = Kf_2 + Kf_1 + Kh_1 + Kh_2 + Ke_1 + Ke_2$  be the Kac-Moody Lie algebra associated to  $A_2 = \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}$  (1.3). The group  $\mathfrak{S}_2(A_2)$  ( $= \mathfrak{S}_2 = \{1, \sigma\}$   $\sigma: 1 \leftrightarrow 2$ ) operates on  $\mathcal{S}$  by  $\sigma e_i = e_{\sigma i}, \sigma f = f_{\sigma i}, \sigma h_i = h_{\sigma i}$ ; the eigenvalues of  $\sigma$  are  $\pm 1$  and the eigenspaces are

$$\mathcal{S}_{\pm 1} = \{a \in \mathcal{S}; \sigma a = \pm a\}.$$

We have:

$$\mathcal{S}_{+1} = K(f_1 + f_2) + K(h_1 + h_2) + K(e_1 + e_2)$$

and

$$\mathcal{S}_{-1} = K[f_1f_2] + K(f_1 - f_2) + K(h_1 - h_2) + K(e_1 - e_2) + K[e_1e_2].$$

We have

$$L_+(A_2^{(2)}) = K(e_1 + e_2) \otimes 1 + \bigoplus_{i \geq 1} \mathcal{S}_{+1} \otimes t^{2i} + \bigoplus_{i \geq 0} \mathcal{S}_{-1} \otimes t^{2i+1}$$

where the brackets in  $L_+(A_2^{(2)})$  are defined as in  $L_+(A_1^{(1)})$  (8.6.1). The root spaces are

$$\begin{aligned} L_{\alpha_1} &= K(e_1 + e_2) \otimes 1, \\ L_{(2i+1)\gamma-2\alpha_1} &= K[f_1f_2] \otimes t^{2i+1}, \gamma = 2\alpha_1 + \alpha_2, \\ L_{(2i+1)\gamma-\alpha_1} &= K(f_1 - f_2) \otimes t^{2i+1}, \\ L_{(2i+1)\gamma} &= K(h_1 - h_2) \otimes t^{2i+1}, \\ L_{(2i+1)\gamma+\alpha_1} &= K(e_1 - e_2) \otimes t^{2i+1}, \\ L_{(2i+1)\gamma+2\alpha_1} &= K[e_1e_2] \otimes t^{2i+1}, \text{ (with } i \geq 0\text{),} \\ L_{2j\gamma-\alpha_1} &= K(f_1 + f_2) \otimes t^{2j}, \\ L_{2j\gamma} &= K(h_1 + h_2) \otimes t^{2j}, \\ L_{2j\gamma+\alpha_1} &= K(e_1 + e_2) \otimes t^{2j}, \text{ (with } j \geq 1\text{).} \end{aligned}$$

The set of positive roots is

$$\begin{aligned} \Delta_+ &= \{\alpha\} \cup \{2i\gamma + k\alpha_1; i \geq 1, k = 0, 1\} \\ &\cup \{(2i + 1)\gamma + k\alpha_1; i \geq 0, k = 0, \pm 1, \pm 2\}. \end{aligned}$$

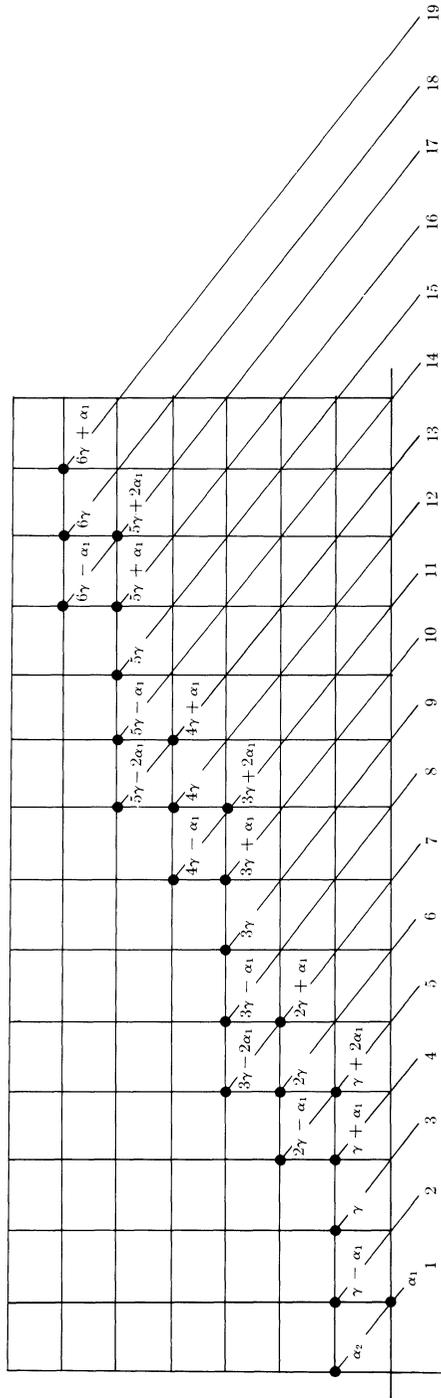
(See [9] for details.)

8.7.2. LEMMA. *We have*

$$\begin{aligned} \mathfrak{h}_{6q+r} &= \{\emptyset\} \text{ for } q \geq 1, r = 0, 2, 3, 4, \\ \mathfrak{h}_{6q+1} &= \{\emptyset, \{2q\gamma + \alpha_1\}, \{(2q + 1)\gamma - 2\alpha_1\}\} \text{ for } q \geq 1 \text{ and} \\ \mathfrak{h}_{6q+5} &= \{\emptyset, \{(2q + 1)\gamma + 2\alpha_1\}, \{(2q + 2)\gamma - \alpha_1\}\} \text{ for } q \geq 1. \end{aligned}$$

*Proof.* This follows as for  $A_1^{(1)}$  (8.6.2) with the help of the picture.

8.7.3. THEOREM. *Up to isomorphism there exist exactly 10 infinite series of nilpotent Lie algebras of maximal rank such that  $A_2^{(2)}$  is an associated*



Picture of  $\Delta_{18}(\gamma = 2\alpha_1 + \alpha_2)$ .

G.C.M. (we use same notations as in 8.6.3):

- (1)  $A_{2,q,1}^{(2)} = K(e_1 + e_2) \otimes 1 + \bigoplus_{i=1}^q \mathcal{S}_{+1} \otimes t^{2i} + \bigoplus_{i=0}^q \mathcal{S}_{-1} \otimes t^{2i+1}, q \geq 0,$   
 $p = 6q + 5, n = 8q + 6, \Delta_p(\mathfrak{a}) = \{(2q + 2)\gamma - \alpha_1\},$   
 $R_1 = \{\alpha_1\} \cup \{2i\gamma + k\alpha_1; 1 \leq i \leq q, k = 0, \pm 1\}$   
 $\cup \{(2i + 1)\gamma + k\alpha_1; 0 \leq i \leq q, k = 0, \pm 1, \pm 2\}.$
- (2)  $A_{2,q,2}^{(2)} = A_{2,q,1}^{(2)} + K(f_1 + f_2) \otimes t^{2q+2}, q \geq 0,$   
 $p = 6q + 5, n = 8q + 7, \Delta_p(\mathfrak{a}) = \emptyset,$   
 $R_2 = R_1 \cup \{(2q + 2)\gamma - \alpha_1\}.$
- (3)  $A_{2,q,3}^{(2)} = A_{2,q,2}^{(2)} + K(h_1 + h_2) \otimes t^{2q+2},$   
 $p = 6q + 6, n = 8q + 8, \Delta_p(\mathfrak{a}) = \emptyset,$   
 $R_3 = R_2 \cup \{(2q + 2)\gamma\}.$
- (4)  $A_{2,q,4}^{(2)} = A_{2,q,3}^{(2)} + K(e_1 + e_2) \otimes t^{2q+2},$   
 $p = 6q + 7, n = 8q + 9, \Delta_p(\mathfrak{a}) = \{(2q + 3)\gamma - 2\alpha_1\},$   
 $R_4 = R_3 \cup \{(2q + 2)\gamma + \alpha_1\}.$
- (5)  $A_{2,q,5}^{(2)} = A_{2,q,3}^{(2)} + K[f_1, f_2] \otimes t^{2q+3}, q \geq 0,$   
 $p = 6q + 7, n = 8q + 9, \Delta_p(\mathfrak{a}) = \{(2q + 2)\gamma + \alpha_1\},$   
 $R_5 = R_3 \cup \{(2q + 3)\gamma - 2\alpha_1\}.$
- (6)  $A_{2,q,6}^{(2)} = A_{2,q,3}^{(2)} + K(e_1 + e_2) \otimes t^{2q+2} + K[f_1, f_2] \otimes t^{2q+3}, q \geq 0,$   
 $p = 6q + 7, n = 8q + 10, \Delta_p(\mathfrak{a}) = \emptyset,$   
 $R_6 = R_3 \cup \{(2q + 2)\gamma + \alpha_1, (2q + 3)\gamma - 2\alpha_1\}.$
- (7)  $A_{2,q,7}^{(2)} = A_{2,q,6}^{(2)} + K(f_1 - f_2) \otimes t^{2q+3}, q \geq 0$   
 $p = 6q + 8, n = 8q + 11, \Delta_p(\mathfrak{a}) = \emptyset,$   
 $R_7 = R_6 \cup \{(2q + 3)\gamma - \alpha_1\}.$
- (8)  $A_{2,q,8}^{(2)} = A_{2,q,7}^{(2)} + K(h_1 - h_2) \otimes t^{2q+3}, q \geq 0,$   
 $p = 6q + 9, n = 8q + 12, \Delta_p(\mathfrak{a}) = \emptyset,$   
 $R_8 = R_7 \cup \{(2q + 3)\gamma\}.$
- (9)  $A_{2,q,9}^{(2)} = A_{2,q,8}^{(2)} + K(e_1 - e_2) \otimes t^{2q+3}, q \geq 0$   
 $p = 6q + 10, n = 8q + 13, \Delta_p(\mathfrak{a}) = \emptyset,$   
 $R_9 = R_8 \cup \{(2q + 3)\gamma + \alpha_1\}.$
- (10)  $A_{2,q,10}^{(2)} = A_{2,q,9}^{(2)} + K(f_1 + f_2) \otimes t^{2q+4}, q \geq 0,$   
 $p = 6q + 11, n = 8q + 14, \Delta_p(\mathfrak{a}) = \{(2q + 3)\gamma + 2\alpha_1\},$   
 $R_{10} = R_9 \cup \{(2q + 4)\gamma - \alpha_1\}.$

*Proof.* This follows as for  $A_1^{(1)}$ .

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