# CONVOLUTION OPERATORS WITH TRIGONOMETRIC SPLINE KERNELS

by T. N. T. GOODMAN and S. L. LEE

(Received 1st December 1986)

#### 1. Introduction

The Bernstein polynomials are algebraic polynomial approximation operators which possess shape preserving properties. These polynomial operators have been extended to spline approximation operators, the Bernstein-Schoenberg spline approximation operators, which are also shape preserving like the Bernstein polynomials [8].

The trigonometric counterpart of the Bernstein polynomials are the de la Vallée Poussin means. These are trigonometric polynomial approximation operators of the convolution type which are shape preserving [7]. Our objective is to study the properties of a class of convolution operators with trigonometric spline kernels, which are reminiscent of those of the de la Vallée Poussin means.

## 2. Trigonometric B-splines

Let k be a positive integer,  $h = 2\pi/k$  and  $z_v = e^{ivh}$ , v = 0, 1, ..., k-1, be the kth roots of unity. Define on the unit circle U, the function

$$M_0(z) = \begin{cases} 1 & z \in \operatorname{arc}(z_0, z_1) \\ 0 & \text{otherwise,} \end{cases}$$
 (2.1)

and for n = 0, 1, ..., k - 1, define  $M_n$  recursively by convolution, viz.

$$M_n = M_0 * M_{n-1}, (2.2)$$

where the convolution \* of two functions f and g on U is defined by

$$f^*g := \int_U f(z\xi^{-1})g(\xi) d\xi$$

(see [6]).

If we denote the Fourier coefficients of a function f by  $\hat{f}_{\nu}$ ,  $\nu \in \mathbb{Z}$ , i.e.

$$\hat{f}_{v} = \int_{U} \frac{f(z)}{z^{v+1}} dz, \tag{2.3}$$

285

then

$$(f^*g)_{\nu} = \hat{f}_{\nu}\hat{g}_{\nu-1}. \tag{2.4}$$

Now,

$$(\hat{M}_{0})_{v} = \begin{cases} 2\pi i/k & v = 0\\ \frac{1 - e^{-ihv}}{v} & v \neq 0. \end{cases}$$
 (2.5)

Hence

$$(\hat{M}_{0})_{v} = (\hat{M}_{0})_{v}(\hat{M}_{0})_{v-1} \dots (\hat{M}_{0})_{v-n} = \begin{cases} \frac{1}{k} \prod_{j=0}^{n} \left( \frac{1 - e^{i(j-v)h}}{v-j} \right), & 0 \le v \le n \\ \\ \frac{1}{2\pi i} \prod_{j=0}^{n} \left( \frac{1 - e^{i(n-v)h}}{v-j} \right), & \text{otherwise.} \end{cases}$$

The notation  $\Pi'$  means that the factor corresponding to j = v is 1. A straightforward computation gives

$$(\hat{M}_n)_{\nu} = i^n e^{i(n+1)(\frac{1}{2}n - \nu)/2} t_{\nu}, \tag{2.6}$$

where

$$t_{v} = \begin{cases} \frac{2^{n}}{k} \prod_{j=0}^{n} \frac{\sin(v-j)h/2}{(v-j)}, & 0 \le v \le n \\ \frac{2^{n}}{\pi} \prod_{j=0}^{n} \frac{\sin(v-j)h/2}{(v-j)}, & \text{otherwise.} \end{cases}$$
 (2.7)

Hence, we can write

$$M_n(e^{ix}) = i^n e^{inx/2} \sum_{\nu} t_{\nu} e^{i(\nu - n/2)(x - (n+1)h/2)}.$$
 (2.8)

Since

$$t_{\nu} = t_{n-\nu}, \quad \nu \in \mathbb{Z}, \tag{2.9}$$

it follows that the function

$$T_n(x) = \sum_{\nu} t_{\nu} e^{i(\nu - n/2)(x - (n+1)h/2)}, \qquad x \in [0, 2\pi)$$
 (2.10)

is a real function supported on the interval (0,(n+1)h). It is called the *trigonometric B-spline* of degree n with uniform knots at vh,  $v=0,1,\ldots,n+1$ . From (2.9) and (2.10),  $T_n(x)$  is symmetrical about (n+1)h/2 and we record the following relation from (2.8) and (2.10)

$$T_n(x) = (-i)^n e^{-inx/2} M_n(e^{ix}), \quad x \in [0, 2\pi],$$
 (2.11)

and define  $T_n$  to be  $2\pi$ -periodic.

For the case where n=2m is an even integer, we define

$$K_m(x) := T_{2m}(x + (n+1)h/2)/t_m, \qquad x \in \mathbb{R}.$$
 (2.12)

Then

$$K_m(x) = \sum_{\nu} (\hat{K}_m)_{\nu} e^{i\nu x}, \qquad x \in \mathbb{R},$$

where

$$(\hat{K}_m)_v = t_{v+m}/t_m$$

$$= \begin{cases} \frac{(m!)^{2} (\sin{(m-v)}h/2 \dots \sin{h/2}) (\sin{(m+v)}h/2 \dots \sin{h/2})}{(m-v)!(m+v)! (\sin{h/2} \dots \sin{mh/2})^{2}}, -m \leq v \leq m \\ \frac{k(m!)^{2} \sin{(|v|-m)}h/2 \sin{(|v|-m+1)}h/2 \dots \sin{(|v|+m)}h/2}{\pi(|v|-m) \dots (|v|+m) (\sin{h/2} \dots \sin{mh/2})^{2}}, \quad |v| > m. \end{cases}$$
(2.13)

Observe that  $(\hat{K}_m)_v = 0$  if and only if |v| = pk - m, pk - m + 1, ..., pk + m, p = 1, 2, ... In particular, if k = 2m + 1, then  $(\hat{K}_m)_v = 0 \ \forall |v| \ge m + 1$ , and

$$(\hat{K}_m)_v = \frac{(m!)^2}{(m-v)!(m+v)!}, \qquad -m \le v \le m,$$

so that

$$K_m(x) = \omega_m(x) := \sum_{v=-m}^m \frac{(m!)^2}{(m-v)!(m+v)!} e^{ivx}, \ x \in \mathbb{R}$$
 (2.14)

are the de la Vallée Poussin kernels and

$$V_{m}(f;x) := \frac{1}{2\pi} \int_{-\pi}^{\pi} \omega_{m}(x-t)f(t) dt, \qquad x \in [0,2\pi)$$
 (2.15)

are the de la Vallée Poussin means for a  $2\pi$ -periodic integrable function f. It is well-known that  $V_n(f;)$  converges uniformly to f if f is continuous. Furthermore the transform (2.15) is cyclic variation diminishing (see [6]). In particular, the kernel  $\omega_m(t)$  is

convex preserving, in the sense that if  $\gamma(t) = (f_1(t), f_2(t)), t \in [0, 2\pi]$ , where  $f_i$  are  $2\pi$ -periodic functions, is a convex curve in  $\mathbb{R}^2$ , then

$$\Gamma(x) := \frac{1}{2\pi} \int_{-\pi}^{\pi} \omega_m(x-t) f(t) dt \qquad x \in [0, 2\pi]$$
 (2.16)

is also a convex curve in  $\mathbb{R}^2$ .

Our objective is to study the shape preserving and approximation properties of the convolution operators

$$B_{m}(f;x) := \frac{1}{2\pi} \int_{-\pi}^{\pi} K_{m}(x-t)f(t) dt, \qquad x \in [-\pi, \pi].$$
 (2.17)

From the above discussions on the Fourier coefficients  $(\hat{K}_m)_v$  we see that the transform (2.17) cannot be cyclic variation diminishing because the condition  $|(\hat{K}_m)_v| \ge |(\hat{K}_m)_{v+1}|$ , v = 0, 1, ... is not satisfied (see [5]).

In Section 3 we study the general convolution kernel and give sufficient conditions for it to be "convex preserving". In Section 4, we show that the curve  $(T'_n(x), T_n(x))$ ,  $x \in [0, 2\pi]$  is a positively convex curve, and deduce that the kernel  $T_n$  maps convex curves onto locally convex curves.

Section 5 deals with the approximation properties of the operator  $B_m(f;x)$ . We show that for any continuous  $2\pi$ -periodic function  $f, B_m(f;x)$  converges uniformly to f(x) as  $m \to \infty$ . We also give an asymptotic estimate for  $B_m(f;x) - f(x)$  when f is twice differentiable.

## 3. Convexity preserving convolution kernels

Let K be a piecewise smooth, real  $2\pi$ -periodic function and  $\gamma(t) = (f_1(t), f_2(t)), t \in [0, 2\pi]$ , where  $f_1(t)$  (i = 1, 2) are also piecewise smooth and  $2\pi$ -periodic, be a closed curve in  $\mathbb{R}^2$ . We shall henceforward assume that all curves are piecewise smooth. Then

$$\Gamma(x) := \int_{0}^{2\pi} K(x - t) \gamma(t) dt, \qquad x \in [0, 2\pi]$$
 (3.1)

is also a closed curve in  $\mathbb{R}^2$ . The kernel K is said to be *convex preserving* if  $\Gamma$  is convex whenever  $\gamma$  is. By *convexity* of  $\gamma$  we mean that it does not intersect any straight line more than twice. We also need the concept of local convexity. The curve  $\Gamma(x) = (g_1(x), g_2(x)), x \in [0, 2\pi]$  is *locally convex* if the Wronksian

$$W(g_1', g_2') := \begin{vmatrix} g_1'(x) & g_2'(x) \\ g_1''(x) & g_2''(x) \end{vmatrix} \ge 0 \quad \forall x \in [0, 2\pi].$$
 (3.2)

As usual, anticlockwise direction is taken as the positive orientation.

**Theorem 3.1.** A necessary and sufficient condition for the convolution transform (3.1) to

map a positively convex curve onto a positively locally convex curve is that the kernel  $(K'(x), K(x)), 0 \le x \le 2\pi$  be positively convex.

The necessity of the condition in Theorem 3.1 was proved in [7] by I. J. Schoenberg who attributed it to Loewner. The converse was recently established by Goodman and Lee [3].

## 4. Shape preserving trigonometric B-spline kernel

We shall consider convolution kernels  $T_n$ , n=1,2,..., which are the trigonometric B-splines defined in Section 2. If  $\gamma(t)$ ,  $t \in [0,2\pi]$  is a closed curve, we define

$$\Gamma_n(x) = \int_0^{2\pi} T_n(x - t) \gamma(t) dt, \qquad x \in [0, 2\pi].$$
 (4.1)

Our main result in this section is

**Theorem 4.1.** For  $n=1,2,\ldots$ , the curve  $z_n(x)=(T'_n(x),\ T_n(x)),\ x\in[0,2\pi]$  is positively convex.

Since  $z_n(x) = (T'_n(x), T_n(x)), x \in [0, 2\pi]$  is positively convex, in view of Theorem 3.1, we have the following

**Corollary.** The convolution transform (4.1) maps a positively convex curve onto a positively locally convex curve.

**Remark.** Theorem 4.1 is also true for real polynomial *B*-splines, the proof of which is much simpler.

We shall show that the curve  $z_n(x)$  is positively locally convex by establishing inequality (4.12) by induction on n. That  $z_n(x)$  is positively convex then follows easily from the symmetric, bell-shaped nature of  $T_n(x)$  proved in Lemma 7. The inductive step in the derivation of (4.12) requires a series of technical lemmas.

**Lemma 1.** For n = 1, 2, ...

$$nT_n(x) = 2\sin\frac{1}{2}xT_{n-1}(x) + 2\sin\frac{1}{2}((n+1)h - x)T_{n-1}(x-h)$$
(4.2)

$$T'_{n}(x) = \cos \frac{1}{2}x T_{n-1}(x) - \cos \frac{1}{2}((n+1)h - x) T_{n-1}(x-h). \tag{4.3}$$

The relations (4.2) and (4.3) are special cases of the recurrence relations for trigonometric *B*-splines and their derivatives (see [2], [9]). They can also be obtained directly and simultaneously by differentiating the convolution formula (2.4) via the relation (2.11).

Lemma. For  $n = 1, 2, \dots$ 

$$(n-1)T'_n(x) = 2\sin\frac{1}{2}xT'_{n-1}(x) + 2\sin\frac{1}{2}((n+1)h - x)T'_{n-1}(x - h). \tag{4.4}$$

$$T_n^{(2)}(x) + \frac{n}{4} T_n(x) = \cos \frac{1}{2} x T'_{n-1}(x) - \cos \frac{1}{2} ((n+1)h - x) T'_{n-1}(x-h). \tag{4.5}$$

$$(n-2)T_n^{(2)}(x) - \frac{n}{4}T_n(x) = 2\sin\frac{1}{2}xT_{n-1}^{(2)}(x) + 2\sin\frac{1}{2}((n+1)h - x)T_{n-1}^{(2)}(x-h). \tag{4.6}$$

$$T_n^{(3)}(x) + \left(\frac{2n-1}{4}\right)T_n'(x) = \cos\frac{1}{2}xT_{n-1}^{(2)}(x) + \cos\frac{1}{2}((n+1)h - x)T_{n-1}^{(2)}(x - h). \tag{4.7}$$

$$(n-3)T_n^{(3)}(x) - \left(\frac{3n+1}{4}\right)T_n'(x) = 2\sin\frac{1}{2}xT_{n-1}^{(3)}(x) + 2\sin\frac{1}{2}((n+1)h - x)T_{n-1}^{(3)}(x - h). \tag{4.8}$$

**Proof of (4.4).** Differentiating (4.2) gives

$$nT'_{n}(x) = \cos\frac{1}{2}xT_{n-1}(x) + \cos\frac{1}{2}((n+1)h - x)T_{n-1}(x - h)$$

$$+ 2\sin\frac{1}{2}xT'_{n-1}(x) + 2\sin\frac{1}{2}((n+1)h - x)T'_{n-1}(x - h)$$

$$= T'_{n}(x) + 2\sin\frac{1}{2}xT'_{n-1}(x) + 2\sin\frac{1}{2}((n+1)h - x)T'_{n-1}(x - h),$$

and (4.4) follows. The other formulas are obtained in a similar manner.

**Lemma 3.** If  $z_{n-1}(x) = (T'_{n-1}(x), T_{n-1}(x))$  is positively convex, then

$$(n-1)T_n'(x)^2 - nT_n(x)\left(T_n^{(2)}(x) + \frac{n}{4}T_n(x)\right) \ge 0, \qquad 0 \le x \le 2\pi.$$
(4.9)

**Proof.** From (4.2), (4.3), (4.4) and (4.5),

$$(n-1)T'_{n}(x)T'_{n}(x) - nT_{n}(x)\left(T^{(2)}_{n}(x) + \frac{n}{4}T_{n}(x)\right)$$

$$= \sin x T_{n-1}(x)T'_{n-1}(x) - \sin\left((n+1)h - x\right)T_{n-1}(x-h)T'_{n-1}(x-h)$$

$$-2\sin\frac{1}{2}x\cos\frac{1}{2}((n+1)h - x)T_{n-1}(x-h)T'_{n-1}(x)$$

$$+2\cos\frac{1}{2}x\sin\frac{1}{2}((n+1)h - x)T'_{n-1}(x-h)T_{n-1}(x)$$

$$-\sin x T_{n-1}(x)T'_{n-1}(x) + \sin\left((n+1)h - x\right)T_{n-1}(x-h)T'_{n-1}(x-h)$$

$$+2\sin\frac{1}{2}x\cos\frac{1}{2}((n+1)h - x)T_{n-1}(x)T'_{n-1}(x-h)$$

$$-2\cos\frac{1}{2}x\sin\frac{1}{2}((n+1)h - x)T_{n-1}(x) - h)T'_{n-1}(x)$$

$$= \sin\frac{1}{2}(n+1)hT'_{n-1}(x-h)T_{n-1}(x) - \sin\frac{1}{2}(n+1)hT'_{n-1}(x)T_{n-1}(x-h)$$

$$= \sin\frac{1}{2}(n+1)h(T'_{n-1}(x-h)T_{n-1}(x) - T'_{n-1}(x)T_{n-1}(x-h)) \ge 0$$

because of the convexity of  $(T'_{n-1}(x), T_{n-1}(x))$ .

 $\Box$ 

**Lemma 4.** If  $z_{n-1}(x) = (T'_{n-1}(x), T_{n-1}(x))$  is positively convex, then

$$(nT_n(x)T_n^{(2)}(x) - (2n-1)T_n'(x)^2) \le 0 \qquad 0 \le x \le 2\pi. \tag{4.10}$$

**Proof.**  $nT_n(x)T_n^{(2)}(x)-(2n-1)T_n'(x)^2$ 

$$= nT_n(x)T_n^{(2)}(x) - (n-1)T_n'(x)^2 + n^2T_n(x)^2 - \left(nT_n'(x)^2 + \frac{n^2}{4}T_n(x)^2\right)$$

$$= -\left\{(n-1)T_n'(x)^2 - nT(x)\left(\frac{n}{4}T_n(x) + T_n^{(2)}(x)\right)\right\} - \left(nT_n'(x)^2 + \frac{n^2}{4}T_n(x)^2\right)$$

and the result follows by Lemma 3.

The following lemma is geometrically obvious.

**Lemma 5.** If  $z_{n-1}(x) = (T'_{n-1}(x), T_{n-1}(x))$  is positively convex, then

$$T_{n-1}^{(2)}(x_1)T'_{n-1}(x_2) - T_{n-1}^{(2)}(x_2)T'_{n-1}(x_1) \ge 0,$$
 (4.11)

for all  $0 \le x_1 < x_2 \le 2\pi$  for which the angle from the tangent  $(T_{n-1}^{(2)}(x_1), T_{n-1}'(x_1))$  to  $(T_{n-1}^{(2)}(x_2), T_{n-1}'(x_2))$  in the positive direction, does not exceed 180°.

**Lemma 6.** For  $n = 1, 2, ..., \cos \frac{1}{2}(n+1)h + \frac{1}{2}(n-3) \le \frac{1}{2}(n-1)\cos \frac{1}{2}h$ .

**Proof.** For  $n=1,2,\ldots$ 

$$\left(\frac{n-3}{2}\right)\left(\frac{h}{4}\right)^2 \le \frac{n(n+2)}{\pi^2} \left(\frac{h}{4}\right)^2$$

$$\Rightarrow \left(\frac{n-3}{2}\right) \sin^2 \frac{h}{4} \le \left(\frac{n-3}{2}\right) \left(\frac{h}{4}\right)^2$$

$$\le \left(\frac{nh}{4\pi}\right) \left(\frac{(n+2)h}{4\pi}\right)$$

$$\le \sin \frac{1}{4}nh \sin \frac{1}{4}(n+2)h$$

$$\Rightarrow \left(\frac{n-3}{2}\right) (1-\cos \frac{1}{2}h) \le \cos \frac{1}{2}h - \cos \frac{1}{2}(n+1)h$$

 $\Rightarrow \cos \frac{1}{2}(n+1)h + \frac{1}{2}(n-3) \le \frac{1}{2}(n-1)\cos \frac{1}{2}h.$  **Lemma 7.** The function  $T_n(x)$  is strictly increasing for  $0 \le x \le (n+1)h/2$  and strictly

decreasing for  $(n+1)h/2 \le x \le (n+1)h$ .

**Proof.** The function  $P_n(x) = T_n(x + (n+1)h/2)$  is the central trigonometric B-spline supported on the interval (-(n+1)h/2, (n+1)h/2) with knots at  $(v-(n+1)/2)h, v=0, 1, \ldots n+1$ . It is  $C^{n-1}$ , and its restriction on each interval ((v-(n+1)/2)h, (v+1-(n+1)/2)h) is a polynomial in  $\sin^k \frac{1}{2}x \cos^{n-k} \frac{1}{2}x, k=0, \ldots, n$  (see [2]). By the transformation  $t=\tan \frac{1}{2}x, -\pi < x < \pi$ , we have

$$\sin^{k} \frac{1}{2}x \cos^{n-k} \frac{1}{2}x = \tan^{k} \frac{1}{2}x \cos^{n} \frac{1}{2}x = t^{k}/(1+t^{2})^{n/2}$$

(see [2]) and  $P_n(x) = M_n(t)/(1+t^2)^{n/2}$ ,  $t \in \mathbb{R}$ , where  $M_n(t)$  is a real polynomial spline of degree n with knots at  $t_v = 2 \arctan(v - (n+1)/2)h$ , v = 0, 1, ..., n+1, and supported at  $(t_0, t_{n+1})$ . Hence  $M_n(t)$  is a positive multiple of a polynomial B-spline of degree n.

If  $-(n+1)h/2 < x_1 < x_2 \le 0$ , then  $t_1 := \tan \frac{1}{2}x_1 < t_2 := \tan \frac{1}{2}x_2 \le 0$ , which implies that  $M_n(t_1) < M_n(t_2)$ , and  $(1+t_1^2)^{n/2} > (1+t_2^2)^{n/2}$ . It follows that  $P_n(x)$  is strictly increasing for  $-(n+1)h/2 \le x \le 0$ . Lemma 7 follows by symmetry.

**Proof of Theorem 4.1.** The proof will be by induction on n. The convexity of  $z_1(x) = (T'_1(x), T_1(x))$  and  $z_2(x) = (T'_2(x), T_2(x))$  may be verified directly. Suppose  $z_1(x)$  is positively convex for i = 1, 2, ..., n-1. We shall first show that

$$W_n(x) := [T_n^{(2)}(x)]^2 - T_n'(x)T_n^{(3)}(x) > 0 \quad \forall 0 \le x \le (n+1)h.$$
(4.12)

By symmetry we need only to show that  $W_n(x) \ge 0$  for  $0 \le x \le (n+1)h/2$ .

Differentiating equation (4.4), we obtain

$$(n-1)T_n^{(2)}(x) = \cos\frac{1}{2}xT_{n-1}'(x) - \cos\frac{1}{2}((n+1)h-x)T_{n-1}'(x-h) + 2\sin\frac{1}{2}xT_{n-1}^{(2)}(x) + 2\sin\frac{1}{2}((n+1)h-x)T_{n-1}^{(2)}(x-h),$$

$$0 \le x \le 2\pi. \tag{4.13}$$

From (4.4), (4.5), (4.7) and (4.13), we have

$$(n-1)W_{n}(x) + \frac{1}{4}(n-1)(nT_{n}(x)T_{n}^{(2)}(x) - (2n-1)[T'_{n}(x)]^{2})$$

$$= (\cos\frac{1}{2}xT'_{n-1}(x) + \cos\frac{1}{2}((n+1)h - x)T'_{n-1}(x-h))^{2}$$

$$+ 2\sin\frac{1}{2}(n+1)h(T_{n-1}^{(2)}(x-h)T'_{n-1}(x) - T_{n-1}^{(2)}(x)T'_{n-1}(x-h)),$$

$$0 \le x \le 2\pi.$$

$$(4.14)$$

The second term on the left of equation (4.14) is non positive by Lemma 4. The second term on the right of (4.14) is non negative for  $0 \le x < nh/2$  by Lemma 5 and Lemma 7. Hence  $W_n(x) \ge 0$  for 0 < x < nh/2. In order to complete the proof of (4.12), it remains to show that the inequality is also true for  $nh/2 \le x \le (n+1)h/2$ . To this end, let

$$D_{n-1}(x) := T_{n-1}^{(2)}(x-h)T'_{n-1}(x) - T_{n-1}^{(2)}(x)T'_{n-1}(x-h).$$

Writing

$$D_{n-1}(x) = \left\{ \left( T_{n-1}^{(2)}(x-h) + \frac{n-1}{4} T_{n-1}(x-h) \right) T'_{n-1}(x) - \left( T_{n-1}^{(2)}(x) + \frac{n-1}{4} T_{n-1}(x) \right) T'_{n-1}(x-h) \right\}$$
$$- \left\{ \frac{n-1}{4} T_{n-1}(x-h) T'_{n-1}(x) - \frac{n-1}{4} T_{n-1}(x) T'_{n-1}(x-h) \right\},$$

and then substituting (4.3) and (4.5) for the first expression on the right and (4.2) and (4.3) for the second, with n replaced by n-1, we obtain

$$(n-2)D_{n-1}(x) = \begin{vmatrix} A_{n-1}(x) & B_{n-1}(x) & C_{n-1}(x) \\ T'_{n-2}(x-2h) & T'_{n-2}(x-h) & T'_{n-2}(x) \\ T_{n-2}(x-2h) & T_{n-2}(x-h) & T_{n-2}(x) \end{vmatrix},$$
(4.15)

where

$$A_{n-1}(x) := (n-2)\cos\frac{1}{2}x\cos\frac{1}{2}(x-h) + \sin\frac{1}{2}x\sin\frac{1}{2}(x-h)$$

$$B_{n-1}(x) := \sin\frac{1}{2}x\sin\frac{1}{2}((n+1)h - x) - (n-2)\cos\frac{1}{2}x\cos\frac{1}{2}((n+1)h - x)$$

$$C_{n-1}(x) := (n-2)\cos\frac{1}{2}(nh - x)\cos\frac{1}{2}((n+1)h - x) + \sin\frac{1}{2}(nh - x)\sin\frac{1}{2}((n+1)h - x).$$

A straightforward computation yields

$$A_{n-1}(x) = \frac{1}{2}(n-3)\cos\frac{1}{2}(x-\frac{1}{2}h) + \frac{1}{2}(n-1)\cos\frac{1}{2}h,$$

$$B_{n-1}(x) = \frac{1}{2}(n-1)\cos\frac{1}{2}(n+1)h + \frac{1}{2}(n-3)\cos(\frac{1}{2}(n+1)h - x),$$

and

$$C_{n-1}(x) = \frac{1}{2}(n-3)\cos(\frac{1}{2}(2n+1)h - x) + \frac{1}{2}(n-1)\cos\frac{1}{2}h.$$

If  $nh/2 \le x \le (n+1)h/2$ , then

$$A_{n-1}(x) \ge \frac{1}{2}(n-3)\cos\frac{1}{2}nh + \frac{1}{2}(n-1)\cos\frac{1}{2}h,$$

$$B_{n-1}(x) \le \frac{1}{2}(n-1)\cos\frac{1}{2}(n+1)h + \frac{1}{2}(n-3)$$
(4.16)

$$= \frac{1}{2}(n-3)\cos\frac{1}{2}(n+1)h + \cos\frac{1}{2}(n+1)h + \frac{1}{2}(n-3), \tag{4.17}$$

and

$$C_{n-1}(x) \ge \frac{1}{2}(n-3)\cos\frac{1}{2}(n+1)h + \frac{1}{2}(n-1)\cos\frac{1}{2}h = :\alpha_n. \tag{4.18}$$

It is easy to verify that  $\alpha_n > 0$  for  $n \ge 3$ . Now, from (4.16) and (4.18),  $A_{n-1}(x) \ge \alpha_n$  and  $C_{n-1}(x) \ge \alpha_n$  for  $nh/2 \le x \le (n+1)h/2$ , and by Lemma 6 and (4.17),  $B_{n-1}(x) \le \alpha_n$ . It follows from (4.15) and the inductive hypothesis that for  $nh/2 \le x \le (n+1)h/2$ ,

$$(n-2)D_{n-1}(x) \ge \alpha_n \begin{vmatrix} 1 & 1 & 1 \\ T'_{n-2}(x-2h) & T'_{n-2}(x-h) & T'_{n-2}(x) \\ T_{n-2}(x-2h) & T_{n-2}(x-h) & T_{n-2}(x) \end{vmatrix} \ge 0.$$
 (4.19)

We can conclude by (4.14) and (4.19) that  $W_n(x) \ge 0$  for  $nh/2 \le x \le (n+1)h/2$ . Thus the relation (4.12) is established.

The inequality (4.12) means that the curve  $z_n(x) = (T'_n(x), T_n(x))$ ,  $0 \le x \le (n+1)h$ , is positively locally convex. Also,  $z_n(x)$  has no loops for 0 < x < (n+1)h. For if  $z_n(x_1) = z_n(x_2)$  for some  $x_1, x_2 \in (0, (n+1)h)$ , then  $T'_n(x_1) = T'_n(x_2)$  and  $T_n(x_1) = T_n(x_2)$ . The last equality implies  $x_1 = (n+1)h - x_2$ , by symmetry, which means that  $T'_n(x_1) = -T'_n(x_2)$ . This is possible if and only if  $x_1 = 0$  and  $x_2 = (n+1)h$  or  $x_1 = x_1 = x_2 = (n+1)h/2$ , by Lemma 7.

Since  $z_n(x)$  is positively locally convex and has no loops for 0 < x < (n+1)h, then it must be positively convex.

## 5. Approximation by trigonometric B-spline convolution operators

The trigonometric B-spline convolution operators  $B_m(f;\cdot)$  defined by (2.17) are bounded positive linear operators on the space of  $2\pi$ -periodic continuous functions with the supremum norm. The kernels  $K_m$  are even functions whose Fourier series representations may be expressed as

$$K_m(x) = 1 + \sum_{\nu=1}^{\infty} 2(\hat{K}_m)_{\nu} \cos \nu x, \qquad x \in \mathbb{R},$$
 (5.1)

where  $(\hat{K}_m)_{\nu}$ ,  $\nu = 1, 2, ...$ , are given in (2.13). These operators fit into the rich theory of positive integral operators of convolution type (see [1], [4]). Here, we shall study only the convergence behaviour of  $B_m(f; \cdot)$ .

The kernel  $K_m$ , in fact depends on two parameters m, the degree of the spline functions, and h the size of the partition. Whenever we need to emphasize these parameters we shall write  $K_m^h \equiv K_m$ , and similarly  $B_m^h = B_m$ .

**Theorem 5.1.** For any continuous  $2\pi$ -periodic function f.

$$B_m^h(f;\cdot) \to f$$
 uniformly on  $[0,2\pi],$  (5.2)

if and only if  $m \to \infty$ .

**Proof.** From (2.13), the first Fourier coefficient of the kernel  $K_m^h$  is

$$(\hat{K}_m^h)_1 = \frac{m\sin(m+1)h/2}{(m+1)\sin mh/2}.$$
 (5.3)

Clearly  $(\hat{K}_m^h)_1 \to 1$  if and only if  $m \to \infty$ . The result follows by Korovkin's Theorem [4].

**Remark.** The operators  $B_m(f;\cdot)$  do not converge to f if the degree m is fixed and the mesh size  $h\to 0$ . This is in contrast to the interpolating spline operators which converge to the interpolated function as the mesh size tends to zero.

Corollary. For v = 0, 1, ...,

$$(\hat{K}_m^h)_v \to 1 \quad as \quad m \to \infty.$$
 (5.4)

Suppose  $f^{(2)}(x)$  exists. If we write  $f(t) = \phi(e^{it})$ ,  $-\pi \le t < \pi$ , then we have

$$\phi(e^{it}) = \phi(e^{ix}) + \phi'(e^{ix})(e^{it} - e^{ix}) + \frac{1}{2!}\phi^{(2)}(e^{ix})(e^{it} - e^{ix})^2 + s(e^{it})(e^{it} - e^{ix})^2,$$
(5.5)

where s is integrable and bounded, and

$$\lim_{t \to x} s(e^{it}) = 0. {(5.6)}$$

Applying the convolution operator to both sides of equation (5.5), with fixed x, gives

$$B_m(f;x) = f(x) + \phi'(e^{ix})e^{ix}((\hat{K}_m^h)_1 - 1)$$

$$+ \frac{1}{2}\phi^{(2)}(e^{ix})e^{i2x}((\hat{K}_m^h)_2 - 2(\hat{K}_m^h)_1 + 1) + R_m(x),$$
(5.7)

where the remainder term

$$R_m(x) = \int_{-\pi}^{\pi} s(e^{it})(e^{it} - e^{ix})^2 K_m(x - t) dt.$$
 (5.8)

Since  $f'(x) = \phi'(e^{ix})ie^{ix}$  and  $f^{(2)}(x) = -\phi'(e^{ix})e^{ix} - \phi^{(2)}(e^{ix})e^{i2x}$ , we can express (5.7) as

$$B_m(f;x) - f(x) = (1 - (\hat{K}_m^h)_1)f^{(2)}(x) + \frac{1}{2}\phi^{(2)}(e^{ix})\{(\hat{K}_m^h)_2 - 4(\hat{K}_m^h)_1 + 3\} + R_m(x).$$
 (5.9)

**Lemma 1.** When  $m \to \infty$  and  $mh \to \alpha$ ,  $(m+1)(1-(\hat{K}_m^h)_1) \to 1-\frac{1}{2}\alpha \cot \frac{1}{2}\alpha$ .

Proof. A straightforward computation gives

$$(m+1)(-(\hat{K}_m^h)_1 = m+1 - m\cos\frac{1}{2}h - m\cot\frac{1}{2}mh\sin\frac{1}{2}h$$
$$= 1 + 2m\sin^2\frac{1}{4}h - m\cot\frac{1}{2}mh\sin\frac{1}{2}h$$

and the result follows on taking limit as  $m \to \infty$  and  $mh \to \alpha$ .

**Lemma 2.** When  $m \to \infty$  and  $mh \to \alpha$ ,

$$(m+1)\{(\hat{K}_m^h)_2 - 4(\hat{K}_m^h)_1 + 3\} \to 0.$$
 (5.10)

 $\Box$ 

**Proof.** Writing

$$(m+1)\{(\hat{K}_m^h)_2 - 4(\hat{K}_m^h)_1 + 3\} = (m+1)((\hat{K}_m^h)_2 - (\hat{K}_m^h)_1) + 3(m+1)(1 - (K_m^h)), \tag{5.11}$$

we see that the second term on the right of (5.11) converges to  $3 - \frac{3}{2}\alpha \cot \frac{1}{2}\alpha$ , by Lemma 1. A similar computation as in Lemma 1 shows that as  $m \to \infty$  and  $mh \to \alpha$ ,  $(m+1)((\hat{K}_m^h)_2 - (\hat{K}_m^h)_1) \to \frac{3}{2} \cot \frac{1}{2}\alpha - 3$ , and we obtain (5.10).

**Theorem 5.2.** If  $f^{(2)}(x)$  exists, then

$$(m+1)\{B_m(f;x)-f(x)\}\to (1-\frac{1}{2}\alpha\cot\frac{1}{2}\alpha)f^{(2)}(x) \text{ as } m\to\infty \text{ and } mh\to\alpha.$$
 (5.12)

**Proof.** Observe that from (5.9) and Lemmas 1 and 2, the theorem will be proved, if we show that

$$(m+1)R_m(x) \to 0$$
 as  $m \to \infty$  and  $mh \to \alpha$ . (5.13)

For  $\varepsilon > 0$ , choose  $\delta > 0$  such that  $|s(e^{i(x-t)})| < \varepsilon$  whenever  $|t| < \delta$ . Then from (5.8), we can write

$$(m+1)R_m(x) = (m+1) \int_{-\pi}^{\pi} s(e^{i(x-t)})(e^{i(x-t)} - e^{ix})^2 K_m(t) dt$$

$$= I_1 + I_2$$
(5.14)

where

$$I_1 = (m+1) \int_{|t| \le \delta} s(e^{i(x-t)}) (e^{i(x-t)} - e^{ix})^2 K_m(t) dt$$
 (5.15)

and

$$I_2 = (m+1) \int_{\delta \le |t| \le \pi} s(e^{i(x-t)}) (e^{i(x-t)} - e^{ix})^2 K_m(t) dt.$$
 (5.16)

Now

$$\begin{aligned} |I_1| &\leq (m+1)\varepsilon \int_{|t|<\delta} |e^{-it} - 1|^2 K_m(t) \, dt \\ &\leq (m+1)\varepsilon \int_{-\pi}^{\pi} 2(1-\cos t) K_m(t) \, dt \\ &= 2\varepsilon (m+1)(1-(\hat{K}_m)_1) \\ &\leq 4\varepsilon \quad \text{for sufficiently large } m, \end{aligned}$$
 (5.17)

by Lemma 1. If  $|s(e^{it})| \leq M$ ,  $t \in \mathbb{R}$ ,

$$\begin{aligned} |I_{2}| &\leq (m+1)M \int_{\delta \leq |t| \leq \pi} 4 \sin^{2} \frac{1}{2} t K_{m}(t) dt \\ &\leq \frac{(m+1)M}{\delta^{2}} \int_{-\pi}^{\pi} 4 t^{2} \sin^{2} \frac{1}{2} t K_{m}(t) dt \\ &\leq \frac{(m+1)M\pi^{2}}{\delta^{2}} \int_{-\pi}^{\pi} 4 \sin^{4} \frac{1}{2} t K_{m}(t) dt \\ &= \frac{(m+1)M\pi^{2}}{2\delta^{2}} \int_{-\pi}^{\pi} (3 + \cos 2t - 4 \cos t) K_{m}(t) dt \\ &= M\pi^{2} (m+1) \{ (\hat{K}_{m}^{h})_{2} - 4 (\hat{K}_{m}^{h})_{1} + 3 \} / 2\delta^{2} \end{aligned}$$
(5.18)

which tends to zero as  $m \to \infty$  and  $mh \to \alpha$ . Combining (5.14)–(5.18), we obtain (5.13).  $\square$ 

Recall that when k=2m+1,  $B_m(f;)=V_m(f;)$ , the de la Vallée Poussin means of f, defined by (2.15). In this case  $mh=m2\pi/(2m+1)\to\pi$ , as  $m\to\infty$ , and with  $\alpha=\pi$ ,  $\frac{1}{2}\alpha\cot\frac{1}{2}\alpha=0$ . Theorem 5.2 then reduces to the following result of Natanson.

Corollary (I. P. Natanson). If  $f^{(2)}(x)$  exists, then

$$\lim_{m \to \infty} (m+1) \{ V_m(f; x) - f(x) \} = f^{(2)}(x). \tag{5.19}$$

## 6. Kernels which are linear combinations of translates of B-splines

Let  $a_j^k, k = 1, 2, ..., j = 0, 1, ..., k-1$  be a triangular array of numbers and  $K_m^h(x)$  be the normalised central trigonometric *B*-splines defined by (2.12), where  $h = 2\pi/k$ . Define

$$S_{m}^{h}(x) = \sum_{j=0}^{k-1} a_{j}^{k} K_{m}^{h}(x - jh), \qquad x \in [-\pi, \pi]$$
(6.1)

and  $S_m^h$  is  $2\pi$ -periodic. The function  $S_m^h$  is a trigonometric spline of degree m with knots at (v-(n+1)/2)h,  $v\in\mathbb{Z}$  (For detail see [2]). The convolution operator

$$\Omega_{m}^{h}(f;x) := \frac{1}{2\pi} \int_{-\pi}^{\pi} S_{m}^{h}(x-t)f(t) dt, \qquad x \in [-\pi, \pi]$$
 (6.2)

is similar to the summation process for the Fourier series of  $2\pi$ -periodic functions. We can write

$$\Omega_m^h(f;x) = \sum_{j=0}^{k-1} a_j^k \int_{-\pi}^{\pi} K_m^h(x-t-jh) f(t) dt.$$
 (6.3)

Then

$$|\Omega_m^h(f;x)| \le ||f|| \sum_{j=0}^{k-1} |a_j^k| \quad \forall x \in [0, 2\pi],$$
 (6.4)

where  $\| \ \|$  is the supremum norm. Hence for any m and h,  $\Omega_m^h(f;)$  is a bounded linear operator on the space  $\tilde{C}([-\pi,\pi])$  of  $2\pi$ -periodic continuous functions.

**Theorem 6.1.** Suppose that  $\sum_{j=0}^{k-1} |a_j^k|, k=1,2,\ldots$ , is bounded. Then for  $f \in \tilde{C}([-\pi \ \pi]),$ 

$$\Omega_m^h(f;) \to f$$
 uniformly on  $[-\pi, \pi]$  as  $m \to \infty$  if and only if (6.5)

$$\sum_{j=0}^{k-1} a_j^k \omega^{\nu j} \to 1 \quad as \quad k = 2\pi/h \to \infty, \tag{6.6}$$

where  $\omega = e^{-ih}$ .

**Proof.** Substituting  $f(t) = e_v(t) := \exp(ivt)$ ,  $v \in \mathbb{Z}$  in (6.3), we have

$$\Omega_{m}^{h}(e_{v};x) = e_{v}(x)(\hat{K}_{m}^{h})_{v} \sum_{j=0}^{k-1} a_{j}^{k} \omega^{vj}.$$
(6.7)

It follows from (5.4) that

$$\Omega_m^h(e_v; ) \to e_v \text{ uniformly on } [-\pi \ \pi], \text{ as } m \to \infty$$
 (6.8)

if and only if (6.6) holds. The result then follows.

Acknowledgement. The second author, S. L. Lee, acknowledges with thanks the support of SERC, U.K.

#### REFERENCES

- 1. P. L. BUTZER and R. J. NESSEL, Fourier Analysis and Approximation (Academic Press, 1971).
- 2. T. N. T. GOODMAN and S. L. LEE, B-splines on the circle and trigonometric B-splines, Proc. Conference on Approximation Theory and Spline Functions (S. P. Singh, J. W. H. Bury and B. Watson, eds., St. Johns, Newfoundland, Reidel Pub. Co., 1983), 297-325.
- 3. T. N. T. GOODMAN and S. L. Lee, Convexity preserving convolution operators, Proc. Conference on Constructive Approximation, Edmonton (1986), to appear.
  - 4. P. P. Korovkin, Linear Operators and Approximation Theory (Hindustan Press, 1960).
- 5. J. MAIRHUBER, I. J. SCHOENBERG and R. WILLIAMSON, On variation diminishing transformations on the circle, *Rend. Circ. Mat. Palermo* (2) 8 (1959), 241–270.
- 6. I. J. Schoenberg, On polynomial splines on the circle I, Proc. Conference on Constructive Theory of Functions (Budapest, 1972), 403-433.
- 7. I. J. Schoenberg, On variation diminishing approximation methods, On Numerical Approximation (Ed. R. E. Langer, University of Wisconsin Press, 1959), 249-274.
- 8. I. J. Schoenberg, On spline functions, Inequalities (Ed. O. Shisha, Academic Press, 1967), 255-290.
  - 9. L. L. Schumaker, Spline functions: Basic theory (Wiley, New York, 1981).

DEPARTMENT OF MATHEMATICAL SCIENCES
THE UNIVERSITY
DUNDEE DD1 4HN
SCOTLAND

SCHOOL OF MATHEMATICAL SCIENCES UNIVERSITY OF SCIENCES OF MALAYSIA PENANG MALAYSIA