# *k*-DISCRETENESS AND *k*-ANALYTIC SETS

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**1. Preliminaries.** All spaces considered here are metrizable. k will always denote an infinite cardinal. The successor of k will be denoted by  $k^+$ .

Of particular interest will be the Baire spaces  $B(k) = \prod_{n=1}^{\infty} T_n$ , where each  $T_n$  is a discrete space of cardinal k. The product topology on B(k) is the same as the topology given by the (complete) "first-difference" metric,  $\rho : \rho(s, t) = 1/n$  if  $s_i = t_i$  for  $1 \leq i \leq n-1$  and  $s_n = t_n$ . A great deal of information about these spaces can be found in [4].

A subset A of X is called *k*-analytic (in X) if there exist, for each  $t \in B(k)$ , closed subsets  $F(t_1), \ldots, F(t_1, \ldots, t_n), \ldots$  of X such that

 $A = \bigcup \{ \bigcap_{n=1}^{\infty} F(t_1, \ldots, t_n) : t \in B(k) \}.$ 

A is called *absolutely k-analytic* if A is homeomorphic to a k-analytic set in some complete metric space. This is equivalent to saying that A is k-analytic in any metric space in which it is embedded. The k-analytic sets of X contain the family of Borel sets of X. Sets k-analytic in this sense were introduced in [5], where their basic properties are discussed.

If A is a subset of the metric space (X, d) and if, for some  $\epsilon > 0$ ,  $d(x, y) \ge \epsilon$ whenever x,  $y \in A$ , we say A is  $\epsilon$ -discrete (in (X, d)). A is called *metrically* discrete if A is  $\epsilon$ -discrete for some  $\epsilon > 0$ .

**2.** k-discrete sets. In this section, we introduce the idea of k-discreteness and some of its elementary properties. Essentially the same concept occurs in a different context in [3]. It is designed as a measure of the "thinness" of a space. We precede the definition with the following lemma.

LEMMA 1. Let  $A \subseteq (X, d)$ . Then the following are equivalent:

(1)  $A = \bigcup \{X(\lambda) : \lambda \in \mathfrak{A}\}, \text{ where } |\mathfrak{A}| \leq k \text{ and each } X(\lambda) \text{ is discrete in its relative topology.}$ 

(2)  $A = \bigcup \{Y(\lambda) : \lambda \in \mathfrak{B}\}$ , where  $|\mathfrak{B}| \leq k$  and each  $Y(\lambda)$  is discrete in its relative topology and closed in X.

(3)  $A = \bigcup \{Z(\lambda) : \lambda \in \mathfrak{C}\}, \text{ where } |\mathfrak{C}| \leq k \text{ and each } Z(\lambda) \text{ is metrically discrete.}$ 

*Proof.* Only that (1) implies (3) is not immediate. For each  $x \in X(\lambda)$ , there is a  $\delta(x) > 0$  such that  $X(\lambda) \cap S(x; \delta(x)) = \{x\}$ . Let

 $X(\lambda, n) = \{x \in X(\lambda) : \delta(x) \ge 1/n\}.$ 

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Then  $A = \bigcup \{\bigcup_{n=1}^{\infty} X(\lambda, n) : \lambda \in \mathfrak{A}\}$ , and each  $X(\lambda, n)$  is metrically discrete.

Definition 2. A space A is called *k*-discrete if any one of the equivalent conditions of Lemma 1 holds.

Some elementary properties of k-discreteness are immediate. It is trivial that k-discreteness is a topological invariant. Indeed, though we shall not use the fact, k-discreteness is an invariant of Borel isomorphism among absolute Borel sets. This follows directly from the fact that  $\aleph_0$ -discreteness (=  $\sigma$ -discreteness) is such an invariant [**6**].

Any space with  $\leq k$  points is k-discrete, and any subspace of a k-discrete space is k-discrete. If A has weight  $\leq k$  and  $A = \bigcup \{Z(\lambda) : \lambda \in \mathbb{C}\}$ , with  $|\mathbb{C}| \leq k$  and  $Z(\lambda)$  metrically discrete, then each  $Z(\lambda)$  must have cardinality  $\leq k$ ; hence a k-discrete space of weight  $\leq k$  has  $\leq k$  points.

Definition 3. A point  $a \in A$  is k-isolated if it has an (open) k-discrete neighborhood in A.

We denote  $\{a \in A : a \text{ is } k \text{-isolated in } A\}$  by  $A_k$ , and  $A - A_k$  by  $A_k^*$ . Thus  $A_k^*$  is closed in A.

PROPOSITION 4. A is k-discrete if and only if A is locally k-discrete.

*Proof.* The latter condition is clearly necessary. So suppose A is locally k-discrete. From a fixed  $\sigma$ -discrete open basis for A, pick a family

 $\{O(\lambda, i) : \lambda \in \Lambda, i = 1, 2, \ldots\}$ 

of k-discrete sets covering A so that, for fixed i,  $\{O(\lambda, i) : \lambda \in \Lambda\}$  is discrete. Write

$$O(\lambda, i) = \bigcup \{O(\lambda, i, \alpha) : \alpha < k\}$$

where each  $O(\lambda, i, \alpha)$  is metrically discrete, and put

$$B(\alpha, i) = \bigcup \{O(\lambda, i, \alpha) : \lambda \in \Lambda\}.$$

Given  $\alpha$ , *i* and  $x \in \Lambda$ , pick a neighborhood  $N_x$  of *x* which meets at most one  $O(\lambda, i)$ , say  $O(\lambda^*, i)$ , and then a neighborhood  $N_x'$  of *x* meeting at most one point of  $O(\lambda^*, i, \alpha)$ . Then  $N_x \cap N_x'$  meets  $B(\alpha, i)$  in at most one point, so  $B(\alpha, i)$  is discrete. Then

$$A = \bigcup \{ B(\alpha, i) : \alpha < k, i = 1, 2, ... \}$$

is k-discrete.

The following propositions are easy to check, and, in fact, are special cases of the kernel properties [7];  $A_k^*$  is the "nowhere locally k-discrete kernel" of A. In the case k = 1, these propositions are familiar properties of discreteness.

## k-discretness

PROPOSITION 5. For any A, (1)  $A_k$  is k-discrete, (2) if  $A_k^*$  is k-discrete, then so is A, (3) either  $A_k^* = \emptyset$  or  $A_k^*$  is not k-discrete (and therefore  $|A_k^*| > k$ ). PROPOSITION 6. For any A,

(1)  $(A_k^*)_k^* = A_k^*,$ (2)  $(A_k^*)_k = \emptyset,$ (3)  $(A_k)_k = A_k,$ (4)  $(A_k)_k^* = \emptyset.$ 

The following simple corollary will be used repeatedly in the next section.

COROLLARY 7. If  $A \subseteq X$  and G is open in X, and if  $M = G \cap A_k^*$ , then  $M_k = \emptyset$ . Hence if  $M \neq \emptyset$ , M is not k-discrete.

*Proof.* M is open in  $A_k^*$ . If  $M_k \neq \emptyset$ , then  $(A_k^*)_k \neq \emptyset$ , contrary to Proposition 6. Hence, if  $M \neq \emptyset$ , the set  $M = M_k^*$  is not k-discrete by Proposition 5.

Other generalizations of discreteness have been used in descriptive set theory, for example the properties " $\sigma lw(< k)$ " (=  $\sigma$ -locally of weight less than k) and "h - lw(< k)" (= h-locally of weight less than k), which occur in [8] and in [9, 10] respectively. In the latter two papers, the concept of k-discreteness also occurs.

The following theorem, which was pointed out to the author by the referee, can be used to relate the concepts of k-discreteness and  $\sigma lw(< k)$ . This is perhaps of special interest since the latter property plays such an important role in the structure theory of absolute Borel sets [8].

THEOREM 8. For any metric space (X, d), the following are equivalent:

(1) X is k-discrete.

(2) X is  $\sigma$ -locally-of-cardinal  $\leq k$ , i.e.,  $X = \bigcup_{n=1}^{\infty} Y_n$ , where, for each n, each  $y \in Y_n$  has a neighborhood in  $Y_n$  of cardinality  $\leq k$ .

*Proof.* Assume (1). Then  $X = \bigcup \{X_{\lambda} : \lambda \in \Lambda\}$ , where  $|\Lambda| \leq k$  and each  $X_{\lambda}$  is discrete. We may assume, by Lemma 1, that each  $X_{\lambda}$  is metrically discrete. For each  $n = 1, 2, 3, \ldots$ , let  $\Lambda_n = \{\lambda \in \Lambda : X_{\lambda} \text{ is } 1/n \text{-discrete}\}$ , and

 $Y_n = \bigcup \{X_{\lambda} : \lambda \in \Lambda_n\}.$ 

Then  $X = \bigcup_{n=1}^{\infty} Y_n$ , and  $|Y_n| \leq k$ , since the (1/2n)-neighborhood of a point in  $Y_n$  contains at most one point from each  $X_{\lambda}$ .

Conversely, suppose (2) holds. We can assume that X is locally of cardinal  $\leq k$ . Cover X by open sets of cardinal  $\leq k$  and let  $\{V_{n,\mu} : \mu \in M_n, n = 1, 2...\}$  be a  $\sigma$ -discrete open refinement of that cover. Index the points of each  $V_{n,\mu}$  as  $v_{n,\mu,\alpha}$  ( $\alpha \leq$  some ordinal  $\alpha_{n,\mu} \leq k$ ). Then, for each  $\alpha \leq k$  and each n = 1, 2, ...,let  $D_{\alpha,n} = \{v_{n,\mu,\alpha} : \mu \in M_n\}$ . Each  $D_{\alpha,n}$  is discrete since the  $V_{n,\mu}$ 's are open and disjoint for fixed n. There are  $\leq k D_{\alpha,n}$ 's and

 $X = \bigcup \{ D_{\alpha,n} : \alpha \leq k, n = 1, 2 \ldots \}.$ 

It follows that if X is k-discrete, then X is  $\sigma lw(\leq k)$ . If X is  $\sigma lw(\leq k)$ , then X is  $k^{\aleph_0}$ -discrete. In particular, if  $k^{\aleph_0} = k$ , then k-discreteness coincides with  $\sigma lw(\leq k)$ ; and assuming GCH, if X is  $\sigma lw(\leq k)$ , then X is  $k^+$ -discrete.

3. *k*-discreteness and *k*-analytic sets. In this section we investigate the consequences if an absolutely *k*-analytic set A is "thick", in the sense that  $A_k^* \neq \emptyset$ . We first prove the following simple lemma.

**LEMMA** 9. Let (A, d) be an absolutely k-analytic metric space with  $A_k^* \neq \emptyset$ . Then  $A_k^*$  contains either a metrically discrete subset of cardinal  $k^+$  or a closed subspace C, of cardinal  $k^{\aleph_0}$ , and homeomorphic either to the Cantor set or a Baire space B(p).

*Proof.* Let *m* denote the weight of  $A_k^*$ . Since  $A_k^*$  is not *k*-discrete,  $|A_k^*| > k$ . So if  $m \leq k$ , then, by a theorem of Stone [5],  $A_k^*$  contains a closed subset of the form *C*. On the other hand, if m > k, then, letting  $D_n$  denote a maximal 1/n-discrete subset of  $A_k^*$ , we get that  $|\bigcup_{n=1}^{\infty} D_n| \geq m$ , so some  $D_n$  has cardinal > k.

COROLLARY 10. Under the hypotheses of Lemma 9,  $A_k^*$  contains either a metrically discrete subset of cardinal  $k^+$ , or a copy of B(p) for some p such that  $p^{\aleph_0} = k^{\aleph_0}$ .

*Proof.* If C is the Cantor set, then C contains a copy of  $B(\aleph_0)$ , and  $|B(\aleph_0)| = \aleph_0^{\aleph_0} = c = |C| = k^{\aleph_0}$ .

In [6], Stone showed that any absolute Borel set is either  $\aleph_0$ -discrete (=  $\sigma$ -discrete) or contains a copy of the Cantor set. And in [1], El'kin generalized this result to absolutely  $\aleph_0$ -analytic sets. The next theorem shows that it remains true for absolutely *k*-analytic sets.

THEOREM 11. Let (A, d) be an absolutely k-analytic metric space. Then either A is k-discrete or A contains a closed subspace C of cardinal  $k^{\aleph_0}$ , homeomorphic either to the Cantor set or a Baire space B(p).

*Proof.* Assume A is not k-discrete, and write  $B(k) = \prod_{n=1}^{\infty} T_n$ , where  $T_n$  is a discrete space of cardinal k. Let X denote the completion of (A, d). Since A is k-analytic in X, we can find, for each  $t \in B(k)$ , and for each n, closed subsets  $F(t_1, \ldots, t_n)$  of X, with

$$F(t_1,\ldots,t_{n+1}) \subseteq F(t_1,\ldots,t_n), \text{ such that} \\ A = \bigcup \{\bigcap_{n=1}^{\infty} F(t_1,\ldots,t_n) : t \in B(k)\}.$$

Define

$$A(t_1,\ldots,t_n) = \bigcup \{ \bigcap_{n=1}^{\infty} F(t_1,\ldots,t_k) : (t_{n+1},t_{n+2},\ldots) \\ \in T_{n+1} \times T_{n+2} \times \ldots \} \subseteq A.$$

#### k-discretness

Clearly  $A = \bigcup \{A(t_1) : t_1 \in T_1\}, A(t_1, \ldots, t_n) \subseteq F(t_1, \ldots, t_n)$ , and it is easy to check that each  $A(t_1, \ldots, t_n)$  is k-analytic in X.

Since A is not k-discrete, neither is its closed subset  $A_k^*$ . If  $A_k^*$  contains a closed set of form C, we are done. Otherwise, by Lemma 9, for some  $\epsilon_1 > 0$ ,  $A_k^*$  contains an  $\epsilon_1$ -discrete subset  $\{a(\lambda_1) : \lambda_1 < k\}$ . For each  $\lambda_1 < k$ , pick an open (in X) sphere  $U(\lambda_1)$ , centered at  $a(\lambda_1)$  and of radius  $< \min\{1/2, (\epsilon_1)/3\}$ . Note that if  $\lambda_1 \neq \lambda_1' < k$ , then  $cl_X U(\lambda_1)$  and  $cl_X U(\lambda_1')$  are at distance  $> (\epsilon_1)/3$ .

For each  $\lambda_1 < k$ , there is a  $t(\lambda_1) \in T_1$  such that  $A(t(\lambda_1))_k^* \cap U(\lambda_1) \neq \emptyset$ , and therefore, by Corollary 7, is not k-discrete. For if not, then for some  $\lambda_1 < k$ ,

$$A \cap U(\lambda_1) = \bigcup \{ [A(t_1)_k \cup A(t_1)_k^*] \cap U(\lambda_1) : t_1 \in T_1 \}$$
$$= \bigcup \{ A(t_1)_k \cap U(\lambda_1) : t_1 \in T_1 \}$$

would be k-discrete. Hence  $A_k^* \cap U(\lambda_1)$  would be k-discrete, which, since it contains  $a(\lambda_1)$ , would contradict Corollary 7.

Now suppose that given n, we have defined for every i,  $1 \leq i \leq n$ , and every i-tuple  $(\lambda_1, \ldots, \lambda_i)$  (with  $\lambda_s < k, 1 \leq s \leq i$ )

(1) points  $t(\lambda_1, \ldots, \lambda_i) \in T_i$ 

(2) positive numbers  $\epsilon_i(\lambda_1, \ldots, \lambda_{i-1})$  (=  $\epsilon_1$  if i = 1)

(3)  $\epsilon_i(\lambda_1, \ldots, \lambda_{i-1})$ -discrete sets  $\{a(\lambda_1, \ldots, \lambda_{i-1}, \lambda_i) : \lambda_i < k\}$ 

(4) open (in X) spheres  $U(\lambda_1, \ldots, \lambda_1)$  of radius  $< \min\{1/2^i, \epsilon_i(\lambda_1, \ldots, \lambda_{i-1})/3\}$  centered at  $a(\lambda_1, \ldots, \lambda_i)$  in such a way that

(5) for i > 1,  $\{a(\lambda_1, \ldots, \lambda_i) : \lambda_i < k\} \subseteq A(t(\lambda_1), \ldots, t(\lambda_1, \ldots, \lambda_{i-1}))_k^* \cap U(\lambda_1, \ldots, \lambda_{i-1})$ 

(6) for i > 1,  $cl_X U(\lambda_1, \ldots, \lambda_i) \subseteq U(\lambda_1, \ldots, \lambda_{i-1})$ 

(7)  $A(t(\lambda_1), \ldots, t(\lambda_1, \ldots, \lambda_i))_k^* \cap U(\lambda_1, \ldots, \lambda_i) \neq \emptyset$  (and hence, by Corollary 7, is not k-discrete).

If any of the closed sets  $cl_A(A[t(\lambda_1), \ldots, t(\lambda_1, \ldots, \lambda_n)]_k^* \cap U(\lambda_1, \ldots, \lambda_n))$ contains a closed set of the form *C*, we are done. So suppose not. The set

 $\mathrm{cl}_A(A[t(\lambda_1),\ldots,t(\lambda_1,\ldots,\lambda_n)]_k^* \cap U(\lambda_1,\ldots,\lambda_n))$ 

is not k-discrete or else its subset

$$A[t(\lambda_1),\ldots,t(\lambda_1,\ldots,\lambda_n)]_k^* \cap U(\lambda_1,\ldots,\lambda_n)$$

would be, contrary to (7). Hence it contains a metrically discrete subset of cardinal k, and therefore, for some  $\epsilon_{n+1}(\lambda_1, \ldots, \lambda_n) > 0$ ,  $A[t(\lambda_1), \ldots, t(\lambda_1, \ldots, \lambda_n)]_k^* \cap U(\lambda_1, \ldots, \lambda_n)$  contains an  $\epsilon_{n+1}(\lambda_1, \ldots, \lambda_n)$ -discrete set of cardinal k, say

$$\{a(\lambda_1,\ldots,\lambda_n,\lambda_{n+1}):\lambda_{n+1}< k\}.$$

Pick open spheres (in X)  $U(\lambda_1, \ldots, \lambda_{n+1})$  of radius  $< \min\{1/2^{n+1}, \epsilon_{n+1}(\lambda_1, \ldots, \lambda_n)/3\}$  centered at  $u(\lambda_1, \ldots, \lambda_{n+1})$  and so that

$$\operatorname{cl}_X U(\lambda_1,\ldots,\lambda_{n+1}) \subseteq U(\lambda_1,\ldots,\lambda_n).$$

Given now  $(\lambda_1, \ldots, \lambda_{n+1})$ , there must be a point  $t(\lambda_1, \ldots, \lambda_{n+1}) \in T_{n+1}$  such that

$$A[t(\lambda_1),\ldots,t(\lambda_{n+1})]_k^* \cap U(\lambda_1,\ldots,\lambda_{n+1}) \neq \emptyset.$$

Otherwise,

$$\begin{aligned} A[t(\lambda_{1}), \dots, t(\lambda_{1}, \dots, \lambda_{n})] &\cap U(\lambda_{1}, \dots, \lambda_{n+1}) \\ &= \bigcup \left\{ (A[t(\lambda_{1}), \dots, t(\lambda_{1}, \dots, \lambda_{n}), t_{n+1}]_{k} \\ &\cup A[t(\lambda_{1}), \dots, t(\lambda_{1}, \dots, \lambda_{n}), t_{n+1}]_{k}^{*} \right\} \\ &\cap U(\lambda_{1}, \dots, \lambda_{n+1}) : t_{n+1} \in T_{n+1} \\ &= \bigcup \left\{ A[t(\lambda_{1}), \dots, t(\lambda_{1}, \dots, \lambda_{n}), t_{n+1}]_{k} \cap U(\lambda_{1}, \dots, \lambda_{n+1}) : t_{n+1} \in T_{n+1} \right\} \end{aligned}$$

which is k-discrete. This would imply  $A[t(\lambda_1), \ldots, t(\lambda_1, \ldots, \lambda_n)]_k^* \cap U(\lambda_1, \ldots, \lambda_{n+1})$  is k-discrete, which, since it contains  $a(\lambda_1, \ldots, \lambda_{n+1})$ , would contradict Corollary 7.

Thus we either produce, at some finite stage of this construction, a closed subset of A of the form C, or else, by induction, we define, for all n, objects satisfying (1)-(7). Assume the latter occurs.

The space of all sequences  $\{(\lambda_1, \ldots, \lambda_n, \ldots) : \lambda_n < k\}$ , with the "firstdifference" metric, is homeomorphic to B(k). Given  $\lambda = (\lambda_1, \ldots, \lambda_n, \ldots) \in B(k)$ , the sets

$$A[t(\lambda_1)] \cap U(\lambda_1), \ldots, A[t(\lambda_1), \ldots, t(\lambda_1, \ldots, \lambda_n)] \cap U(\lambda_1, \ldots, \lambda_n), \ldots$$

are non-empty. It follows that the decreasing sequence of non-empty closed sets of X,

$$F[t(\lambda_1)] \cap \operatorname{cl}_X U(\lambda_1), \ldots, F[t(\lambda_1), \ldots, t(\lambda_1, \ldots, \lambda_n)]$$
$$\cap \operatorname{cl}_X U(\lambda_1, \ldots, \lambda_n), \ldots,$$

whose diameters tend to 0, intersect in a single point  $f(\lambda)$  of X. In fact,  $f(\lambda) \in A$  since

$$\bigcap_{n=1}^{\infty} F[t(\lambda_1), \ldots, t(\lambda_1, \ldots, \lambda_n)] \subseteq A.$$

It is easy to check that the map  $f: B(k) \to A$  is continuous and one to one.

*f* is also an open map of B(k) onto f(B(k)). Indeed, if  $W(\lambda_1, \ldots, \lambda_n)$  is the basic open set  $\{(\lambda_1, \ldots, \lambda_n, \mu_{n+1}, \ldots) : \mu_{n+i} < k\}$  of B(k), then

$$f[W(\lambda_1,\ldots,\lambda_n)] = U(\lambda_1,\ldots,\lambda_n) \cap f[B(k)].$$

Finally, we claim f[B(k)] is closed in A. We will, in fact, show it is even closed in X, by proving (f(B(k)), d) is complete. Let  $\{y_n : n = 1, 2, ...\}$  be a Cauchy sequence in (f[B(k)], d). Pick a positive integer  $N_1$  so that if  $n \ge N_1$ , then  $d(y_n, y_{N_1}) < (\epsilon_1)/3$ , where  $\epsilon_1$  is as above. Since  $y_{N_1} \in f[B(k)]$ , it is in some (unique) set of the form

$$F[t(\mu_1)] \cap \operatorname{cl}_X U(\mu_1), \quad \mu_1 < k,$$

and since, if  $\mu_1 \neq \mu_1' < k$ , the sets  $\operatorname{cl}_X U(\mu_1)$  and  $\operatorname{cl}_X U(\mu_1')$  are at distance  $> (\epsilon_1)/3$ , we get that if  $n \ge N_1$ ,

$$y_n \in F[t(\mu_1)] \cap \operatorname{cl}_X U(\mu_1).$$

Now assume that positive integers  $N_s > \ldots > N_1$  have been chosen, and ordinals  $\mu_1, \ldots, \mu_s < k$  so that, if  $n \ge N_i$ ,

$$y_n \in F[t(\mu_1), \ldots, t(\mu_1, \ldots, \mu_i)] \cap \operatorname{cl}_X U(\mu_1, \ldots, \mu_i).$$

Then choose  $N_{s+1} > N_s$  so  $n \ge N_{s+1}$  implies

 $d(y_n, y_{N_{s+1}}) < \epsilon_{s+1}(\mu_1, \ldots, \mu_s)/3.$ 

Again,  $y_{N_{s+1}}$  is in a unique set of the form

$$F[t(\lambda_1),\ldots,t(\lambda_1,\ldots,\lambda_{s+1})] \cap \operatorname{cl}_X U(\lambda_1,\ldots,\lambda_{s+1}),$$

and since  $N_{s+1} > \ldots > N_1$ , we get  $\lambda_i = \mu_i$ ,  $1 \leq i \leq s$ . Let  $\lambda_{s+1} = \mu_{s+1}$ . As before, if  $n \geq N_{s+1}$ , we have

$$y_n \in F[t(\mu_1),\ldots,t(\mu_1,\ldots,\mu_{s+1})] \cap \operatorname{cl}_X U(\mu_1,\ldots,\mu_{s+1}).$$

Let  $y = f(\mu_1, \ldots, \mu_s, \ldots,) \in f[B(k)]$ . Since y and  $y_{N_s}$  are both in  $\operatorname{cl}_X U(\mu_1, \ldots, \mu_s)$ , which has diameter  $<1/2^{s-1}$ , the sequence  $\{y_{N_s}: s = 1, 2, \ldots\} \rightarrow y$ , and therefore the Cauchy sequence  $\{y_n: n = 1, 2, \ldots\}$  converges to y as well.

COROLLARY 12. Under the hypotheses of Theorem 11, A is either k-discrete or contains a copy of B(p) for some p such that  $p^{\aleph_0} = k^{\aleph_0}$ .

COROLLARY 13. (El'kin) If A is absolutely  $\aleph_0$ -analytic, then A is either  $\aleph_0$ -discrete or A contains a Cantor set.

*Proof.* By Corollary 12, A is either  $\aleph_0$ -discrete or contains a copy of a Baire space B(p), which in turn contains a Cantor set.

The alternatives of Theorem 11 are not mutually exclusive. For example, the space B(k) itself, having weight k, is k-discrete precisely when  $k^{\aleph_0} = k$ . We shall show, however, that the alternatives are mutually exclusive if  $k^{\aleph_0} > k$ . We begin by examining B(k) again.

PROPOSITION 14. The smallest k for which B(m) is k-discrete satisfies  $m \leq k \leq m^{\aleph_0}$ , and m < k unless  $m = m^{\aleph_0}$ .

*Proof.* We first show B(m) is not k-discrete if k < m. It suffices to show  $B(k^+)$  is not k-discrete. We assume  $\prod_{n=1}^{\infty} T_n = B(k^+)$  has the "first-difference" metric,  $\rho$ .

Suppose  $\mathfrak{B} = \{B(\lambda) : \lambda < k\}$  is a family of metrically discrete subsets of  $B(k^+)$ . We shall show  $\bigcup \mathfrak{B} \neq B(k^+)$ . For each *n*, let

 $\Lambda_n = \{\lambda < k : B(\lambda) \text{ is } 1/n \text{-discrete}\}.$ 

Then  $|\Lambda| \leq k$  and  $\Lambda_n \subseteq \Lambda_{n+1}$ .

Pick  $x_1^* \in T_1$ . Any two points of the form  $(x_1^*, x_2, ...)$  are at distance  $\leq 1/2$ , so no two of them are in one  $B(\lambda)(\lambda \in \Lambda_1)$ . Thus  $\bigcup \{B(\lambda) : \lambda \in \Lambda_1\}$  contains  $\leq k$  points of that form. Therefore we can choose  $x_2^* \in T_2$  so no point of the form  $(x_1^*, x_2^*, ...)$  is in  $\bigcup \{B(\lambda) : \lambda \in \Lambda_1\}$ .

Continuing in this way, suppose, for  $1 \leq i \leq n$ ,  $x_i^* \in T_i$  are chosen so that no two points of the form  $(x_1^*, \ldots, x_n^*, x_{n+1}, \ldots)$  are in  $\cup \{B(\lambda) : \lambda \in \Lambda_{n-1}\}$ . Since any two such points are at distance  $\leq 1/(n + 1)$  no  $B(\lambda)(\lambda \in \Lambda_n)$  can contain two of them. Hence, as before, we can choose  $x_{n+1}^* \in T_{n+1}$  so that no point of the form  $(x_1^*, \ldots, x_{n+1}^*, x_{n+2}, \ldots)$  is in  $\cup \{B(\lambda) : \lambda \in \Lambda_n\}$ . The point  $(x_1^*, \ldots, x_n^*, \ldots)$  whose coordinates have been inductively defined in this way is clearly not in  $\cup \mathfrak{B}$ .

The second inequality of the theorem follows from the fact that B(m), with  $m^{\aleph_0}$  points, is  $m^{\aleph_0}$ -discrete. The last assertion follows from the remark following Corollary 13.

THEOREM 15. If A is absolutely k-analytic and  $k^{\mathbf{k}_0} > k$ , then one and only one of the following holds:

(1) A is k-discrete

(2) A contains a closed subset C, of cardinal  $k^{\aleph_0}$ , and homeomorphic to either the Cantor set or a Baire space B(p).

*Proof.* It only remains to show (1) and (2) are mutually exclusive. So suppose  $k^{\mathbf{x}_0} > k$  and A is k-discrete. If (2) also holds, then A contains a copy of B(p) with  $p^{\mathbf{x}_0} = k^{\mathbf{x}_0}$ . This copy of B(p) is k-discrete, so  $p \leq k$  by Proposition 14; since a k-discrete space of weight  $\leq k$  has  $\langle k$  points, it follows that  $p^{\mathbf{x}_0} \leq k < k^{\mathbf{x}_0}$ , while  $p^{\mathbf{x}_0} = k^{\mathbf{x}_0}$ , a contradiction.

Corollary 12 also produces a different proof of the following result due to Stone [5].

THEOREM 16. Let k be an infinite cardinal such that (i)  $k < k^{\aleph_0}$  and (ii)  $p^{\aleph_0} < k$  whenever  $\aleph_0 \leq p < k$ . Then the following statements about an absolute Borel set X are equivalent:

(1) X has weight  $\leq k$  and |X| > k.

(2) X is Borel isomorphic to B(k).

(3) X is generalized homeomorphic to B(k).

*Proof.* That (3) implies (2) is trivial. If (2) holds, then  $|X| = k^{\aleph_0} > k$ , and the weight of X is  $\leq k$  (since weight is an invariant of Borel isomorphism among absolute Borel sets [5]). If (1) holds, then X is not k-discrete and so, by Corollary 12, X contains a Baire space B(p) with  $p^{\aleph_0} = k^{\aleph_0} > k$ . Then  $p \geq k$ , so X contains a copy of B(k). Hence, X is generalized homeomorphic to B(k) [5].

We remark that on the generalized continuum hypothesis, any infinite cardinal k satisfying (i) in Theorem 16 also satisfies (ii). Also, (2) and (3) are known to be equivalent (for absolutely  $\aleph_0$ -analytic metric spaces) without any cardinal assumptions. This follows from theorems of Preiss [11] and Hansell [2].

**4.** Results using the generalized continuum hypothesis. If we assume the generalized continuum hypothesis ([GCH]), then the results of the previous section can be somewhat sharpened.

LEMMA 9\* [GCH]. Let (A, d) be an absolutely k-analytic metric space with  $A_k^* \neq \emptyset$ . Then  $A_k^*$  contains either a metrically discrete subset of cardinal  $k^+$  or a closed subspace C, of cardinal  $k^{\aleph_0}$ , homeomorphic either to the Cantor set or B(k).

*Proof.* If  $k^{\aleph_0} = k$ , then the weight of  $A_k^*$  must be >k, or else  $A_k^*$  would be k-discrete. Then it follows, as in the proof of Lemma 9, that  $A_k^*$  contains a metrically discrete subset of cardinal  $k^+$ .

If  $k^{\mathbf{x}_0} > k$ , and  $A_k^*$  contains a closed set C homeomorphic to B(p), with  $p^{\mathbf{x}_0} = k^{\mathbf{x}_0}$ , then either p = k or  $p = k^+$ , and so  $A_k^*$  contains a closed copy of B(k).

THEOREM 11<sup>\*</sup> [GCH]. Let (A, d) be an absolutely k-analytic metric space. Then either A is k-discrete or A contains a closed subspace C of cardinal  $k^{\aleph_0}$ , homeomorphic either to the Cantor set or B(k).

*Proof.* The proof is virtually identical to that of Theorem 11, replacing the uses of Lemma 9 by Lemma 9\*.

*Remark.* It is not possible to conclude that the set A of Theorem 11<sup>\*</sup> is either k-discrete or contains a closed subset homeomorphic to B(k). For example, the Cantor set is not  $\aleph_0$ -discrete and contains no closed copy of  $B(\aleph_0)$  (or, for that matter, of any Baire space B(p)). However, it is easy to see that this stronger conclusion can be drawn, on the generalized continuum hypothesis, if  $k > \aleph_0$ .

Our next result generalizes, under the generalized continuum hypothesis, the classical theorem that every uncountable, complete, separable, zero-dimensional space is, after the deletion of an appropriate countable set, homeomorphic to  $B(\mathbf{X}_0)$  [4, p. 443].

COROLLARY 17 [GCH]. Every complete space with (covering) dimension 0 and weight  $\leq k$  is the union of two disjoint subspaces A and B where

(1) A is open and has cardinal  $\leq k$ ;

(2) B is either empty or homeomorphic to B(k).

*Proof.* Since the proof of the classical result covers the case  $k = \mathbf{X}_0$ , we assume  $k > \mathbf{X}_0$ . Let  $A = X_k$  and  $B = X_k^*$ . Then A is open, and since A is k-discrete and has weight  $\leq k$ ,  $|A| \leq k$ .

If  $B \neq \emptyset$ , then it is a completely metrizable, zero-dimensional space of weight  $\leq k$  which, by Proposition 6, has no k-isolated points. Hence no open subset of B has k-isolated points. Since each non-empty subset of B is absolutely k-analytic, each contains, by the remarks following Theorem 10<sup>\*</sup>, a closed copy of B(k), and hence a discrete subset of cardinal k. It follows that B is homeomorphic to B(k) [5].

In [5], Stone has shown, under the generalized continuum hypothesis, that the space X of weight  $\leq k$  has every subset absolutely k-analytic if and only if  $|X| \leq k$ , and raised the question of a similar theorem for spaces of arbitrary weight. Our next result provides a partial answer.

THEOREM 17 [GCH]. Let A be absolutely k-analytic and assume  $k^{\mathbf{x}_0} > k$ . Then the following are equivalent:

(1) A is k-discrete.

(2) Every subset of A is (absolutely) k-analytic.

*Proof.* If A is k-discrete, then every subset of A is the union of  $\leq k$  closed sets and is therefore (absolutely) k-analytic. Now assume (2) holds and A is not k-discrete. Then A contains a copy of B(k). Therefore all subsets of B(k) are absolutely k-analytic, and hence each subset of B(k) is a continuous image of B(k) [5]. But the number of continuous images of B(k) in B(k) is  $\leq (k^{\aleph_0})^k = k^k = 2^k$ , while B(k) has  $2^{k^{\aleph_0}} = 2^{2^k}$  subsets.

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## *k*-discretness

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