Hippocampal-subregion functional alterations associated with antidepressant effects and cognitive impairments of electroconvulsive therapy

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Abstract

Background. Electroconvulsive therapy (ECT), an effective antidepressive treatment, is frequently accompanied by cognitive impairment (predominantly memory), usually transient and self-limited. The hippocampus is a key region involved in memory and emotion processing, and in particular, the anterior-posterior hippocampal subregions has been shown to be associated with emotion and memory. However, less is known about the relationship between hippocampal-subregion alterations following ECT and antidepressant effects or cognitive impairments.

Methods. Resting-state functional connectivity (RSFC) based on the seeds of hippocampal subregions were investigated in 45 pre- and post-ECT depressed patients. Structural connectivity between hippocampal subregions and corresponding functionally abnormal regions was also conducted using probabilistic tractography. Antidepressant effects and cognitive impairments were measured by the Hamilton Depressive Rating Scale (HDRS) and the Category Verbal Fluency Test (CVFT, respectively. Their relationships with hippocampal-subregions alterations were examined.

Results. After ECT, patients showed increased RSFC in the hippocampal emotional subregion (HIPe) with the left middle occipital gyrus (LMOG) and right medial temporal gyrus (RMTG). Decreased HDRS was associated with increased HIPe-RMTG RSFC (r = −0.316, p = 0.035) significantly and increased HIPe-LMOG RSFC at trend level (r = −0.283, p = 0.060). In contrast, the hippocampal cognitive subregion showed decreased RSFC with the bilateral angular gyrus, and was correlated with decreased CVFT (r = 0.418, p = 0.015 for left; r = 0.356, p = 0.042 for right). No significant changes were found in structural connectivity.

Conclusion. The hippocampal-subregions functional alterations may be specially associated with the antidepressant and cognitive effects of ECT.

Introduction

Electroconvulsive therapy (ECT) is considered as an effective treatment for depression with rapid remission (Fink, 2000; Spaans et al., 2015). ECT has been clinically applied for decades with well documented positive benefits. Prior evidence also showed that ECT would cause cognitive impairment, especially retrograde and anterograde memory deficits (Rami-Gonzalez et al., 2001). These neurocognitive side-effects, subsequently, have generally resulted in negative attitudes regarding ECT among patients with depression who may otherwise benefit from the treatment (Chakrabarti et al., 2010), although research has suggested that this is only short-lived and self-limited side effects (Semkovska and McLoughlin, 2010). The neural mechanism underlying the antidepressive effect and memory impairment associated with ECT, however, remains unclear (Jiang et al., 2016; Nobler and Sackeim, 2008). A better understanding of these processes is needed to fine-tune ECT in order to induce less negative side effects and thus enhance the positive attitudes among patients who may benefit from it.

It is well established that the hippocampus is one of the most vital brain region involved in memory processes and is responsible for regulating emotion (Femenia et al., 2012; Goosens, 2011). The aberration of hippocampal function and structure has been implicated in emotional disorders, such as depression (Cao et al., 2012; McKinnon et al., 2009; Small et al., 2011; Tahmasian et al., 2013). It is worth noting that, both abnormal hippocampal function and structure could be normalized by effective antidepressant treatments, such as ECT (Abbott
et al., 2014; Dukart et al., 2014; Joshi et al., 2016). Although few human studies have addressed the neural underpinnings of ECT-induced memory impairment, animal model has suggested that it is closely related to altered synaptic plasticity in the hippocampus (Reid and Stewart, 1997). Taken together, these findings may indicate that the hippocampus plays a significant role in both the antidepressant effect and cognitive impairment associated with ECT.

The hippocampus has multiple subregions corresponding to different functions, including the processes of memory consolidation and emotional regulation. The hippocampus is divided into two subregions, the ventral and dorsal part in rodents, which correspond to the anterior and posterior hippocampus, respectively, in humans (Fanselow and Dong, 2010; Poppenk et al., 2013). In humans, the posterior hippocampus (dorsal hippocampus in rodents) is primarily involved in memory function through structural connections with memory-associated regions (Buckner et al., 2008; Cenquizca and Swanson, 2007). In contrast, the anterior hippocampus (the ventral hippocampus in rodents) is primarily involved in the process of regulating emotion through connections with emotion-associated structures (Cenquizca and Swanson, 2007; Parent et al., 2010; Roberts et al., 2007). Consistently, based on the task-related functional magnetic resonance imaging (fMRI) data from the BrainMap database, the left hippocampus is segmented into the anterior-most emotional cluster, the middle cognitive cluster and the posterior-most perceptual cluster via the method of coactivation-based parcellation (Robinson et al., 2015). Indeed, dysfunction of the anterior hippocampus has been implicated in various mood disorders (Abdallah et al., 2017; Chen and Etkin, 2013; Finkelmeyer et al., 2016) and while the posterior hippocampus is closely linked with memory performance in humans (Ludowig et al., 2008; Poppenk and Moscovitch, 2011). In addition, both animal and human studies reported that antidepressants specifically increased anterior hippocampal neurogenesis (Boldrini et al., 2009; Santarelli et al., 2003) that is crucial for the success of antidepressant treatments (Sahay and Hen, 2007).

According to studies supporting diverse traits of hippocampal subregions and ECT-induced alterations in memory and emotion processes, we proposed that anterior-hippocampal alterations would be associated with the antidepressant effects of ECT, in contrary, the alterations in the posterior-hippocampus would be associated with cognitive impairments. In the current study, hippocampal alterations were examined with resting-state functional connectivity (RSFC) based on the seed of hippocampal subregions and also by structural connectivity using probabilistic tractography to analyze interactions between functionally abnormal regions.

Materials and methods

Participants

Patients were recruited from the Anhui Mental Health Center, Hefei, China and diagnosed with depression according to the Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition. Patients were excluded due to the following exclusion criteria: (1) a history of ECT in the last 3 months; (2) age over 65 years; (3) diagnosed with substance misuse, schizoaffective disorder or schizophrenia; (4) past or current neurological illness; (5) other contraindications of MRI scan and ECT administration. A total of 53 patients completed twice MRI scans and one course of ECT. This study was approved by the Anhui Medical University Ethics Committee, and written informed consent was obtained from all patients.

Clinical evaluation

Patients received clinical assessments and MRI scanning both before the first ECT administration and after the last ECT administration (72 h apart). Clinical symptoms were assessed by the 17-item Hamilton Depression Rating Scale (HDRS) and cognitive function was evaluated using the verbal fluency test (CVFT). During the CVFT, participants were required to say as many words as possible describing an animal and a vegetable within 1 min, respectively. One point was scored when participants gave a correct term for either the correct description of an animal or a vegetable. The total score was used as memory performance for further analysis.

ECT procedure

ECT procedures were conducted as previously described (Bai et al., 2017). Briefly, pre-treatment examinations were conducted on all patients to exclude contraindications of ECT and anesthetics. Patients fasted for 8–12 h before each ECT session. ECT was administered using a Thymatron System IVY Integrated ECT Instrument (Somatronics, Inc, Lake Bluff, IL) located in the Anhui Mental Health Center. All patients received ECT with bifrontal electrode placement. Six to 12 sessions were administered three times per week. The initial percent energy was set according to the age-based method. During each ECT procedure, patients were under anesthesia with propofol, as well as the secondary medications succinylcholine and atropine.

MRI data acquisition

Resting-state and structural images of patients were acquired at the First Affiliated Hospital of Anhui Medical University. Patients were instructed to keep their eyes closed and move and think as little as possible during the MRI scan. Resting-state MRI scans were conducted under a 3.0 T MRI scanner (Signa HDxt 3.0 T, GE Healthcare, Buckinghamshire, UK) composed of 240 echo-planar imaging volumes with the following parameters: TR = 2000 ms; TE = 22.5 ms; flip angle = 30°; matrix size = 64 × 64, field of view = 220 × 220 mm; slice thickness = 4 mm; 33 continuous slices (one voxel = 3.4 × 3.4 × 4.6 mm). Total acquisition of resting-state MRI lasted 8 min. A T1-weighted anatomical image with 188 slices was also acquired for each patient to further elucidate and discard gross radiological alterations. (TR = 8.676 ms; TE = 3.184 ms; inversion time = 800 ms; flip angle = 8°; field of view = 256 × 256 mm²; slice thickness = 1 mm; voxel size = 1 × 1 × 1 mm³). Diffusion tensor imaging (DTI) data were collected using spin echo single-shot echo planar imaging sequencing (repetition time (TR)/echo time (TE) = 11 000 ms/72 ms; matrix, 256 × 256; field of view = 256 × 256 mm²; 50 contiguous axial slices with slice thickness of 3 mm) with diffusion-sensitizing gradient orientations along 64 non-collinear directions (b = 1000 s/mm²) and using three scans without diffusion weighting (b = 0 s/mm², b0).

Functional data preprocessing

Resting-state fMRI data were preprocessed with a Microsoft Windows platform using the Data Processing Assistant for Resting-State Functional MR Imaging toolkit (DPARSF)
coefficients were converted to the mean time series of each ROI and the time series of each voxel individual, Pearson clusters were selected as ROIs (Fig. 1). Note that some regions involved in perceptual function. Noteworthy, the overlap of the left hippocampal cognitive region and the left angular gyrus, as they are ipsilateral and their respective dysfunctional connectivities were associated with clinical changes (see below).

The hippocampal subregions were derived from a recent data-driven study that revealed a subspecialization in the hippocampus using coactivation-based parcellation (Robinson et al., 2015). The hippocampus was segmented into three subregions: the anterior-most subregion, involved in emotional processes (HIPe); the middle subregion, involved in cognitive processes (HIPc); and the most posterior subregion, involved in perceptual function. Noteworthy, the overlapping voxels between adjacent clusters (Fig. 1a) that may influence subsequent analysis were removed and the remaining clusters were selected as ROIs (Fig. 1b).

RSFC

The RSFC was calculated using DPARSF software. For each individual, Pearson’s correlation coefficients were computed between the mean time series of each ROI and the time series of each voxel in the remainder of the brain. To improve normality, correlation coefficients were converted to z-values using Fisher’s r-to-z transformation and results were displayed using RSFC maps for each participant. Afterward, paired t tests were used to quantitatively compare the differences in RSFC of each ROI between pre- and post-ECT within the whole-brain mask using statistical parametric mapping. Statistical maps were then thresholded using a cluster-level family-wise error-corrected threshold of \( p < 0.05 \) (cluster-forming threshold at voxel-level \( p < 0.001 \)) (Woo et al., 2014) based on the SPM8 software and xjView toolbox (http://www.alivelearn.net/xjview). The BrainNet Viewer package was used to map the remaining regions onto cortical surfaces (Xia et al., 2013).

Structural connectivity

DTI data of 38 patients were collected and performed in the analysis of structural connectivity. Structural connectivity was estimated for two paired regions, the left hippocampal emotional region and the left middle occipital gyrus (LMOG), as well as the left hippocampal cognitive region and the left angular gyrus, as they are ipsilateral and their respective dysfunctional connectivities were associated with clinical changes (see below).

Correlations analysis

Spearman’s correlation was performed to explore associations between the altered hippocampal connectivity (functional and structural connectivity) and clinical changes (HDRS and CVFT). Significance was determined at \( p < 0.05 \) (two-tailed), with no
correction. Depressive symptoms of all patients \((n = 45)\) were assessed with HDRS, only 34 patients completed the CVFT. One patient was excluded as an outlier that exceeded three standard deviations of the mean of CVFT. Finally, 45 patients were included for subsequent correlation analysis on the changes of HDRS, and 33 patients were included for correlation analysis on the changes of CVFT.

**Results**

**Demographic and clinical characteristic**

Forty-five depressed patients with an average age 38.02 ± 11.65 (17 males) were included for the final analyses. Patients showed significant improvements in depressive symptoms after a series of ECT, as demonstrated by a HDRS of 22.67 ± 4.55 (pre-ECT) compared with a mean of 5.16 ± 4.49 (post-ECT) \((t = 19.27, p < 0.001)\). There were also significant differences in CVFT between pre-ECT and post-ECT patients \((29.41 ± 9.55 \text{ for pre-ECT, } 22.35 ± 8.10 \text{ for post-ECT, } t = 4.08, p < 0.001)\).

**Pre- and post-ECT contrasts with RSFC of hippocampal subregions**

Increased HIPe connectivity with three brain areas after ECT was found: the right medial temporal gyrus (RMTG), the LMOG, and the left putamen \((\text{Fig. 2a, Table 1})\). Changes in HDRS were associated with changes in HIPe-LMOG RSFC at the trend level \((r = −0.283, p = 0.060)\) and changes in HIPe-RMTG RSFC \((r = −0.316, p = 0.035)\) \((\text{Fig. 2b and c})\). Decreased HIPc connectivity with two clusters after ECT was found: the right angular gyrus (RAG) and the left angular gyrus (LAG). There were two clusters that showed increased connectivity with HIPc after ECT: the left postcentral gyrus/inferior parietal lobule and the medial frontal gyrus \((\text{Fig. 3a, Table 1})\). A significant relationship was also found between the change in CVFT and the change in HIPc-LAG RSFC \((r = 0.418, p = 0.015)\), as well as HIPc-RAG RSFC \((r = 0.356, p = 0.042)\) \((\text{Fig. 3b and c})\). There was no significant relationship between the change of function in HIPc and the change of CVFT, or between the change of function in HIPc and the change in HDRS. No altered connectivity was identified in regards to the hippocampal perceptive subregion.

**Pre- and post-ECT contrasts with structural connectivity of hippocampal subregions**

Structural connectivity between HIPe-LMOG and HIPc-LAG were identified at all four thresholds. The mean image of tractography resulted in a threshold of 0.01 and is shown in the MNI space \((\text{Fig. 4a, b})\). There were no significant FA differences between patients pre- and post-ECT in any of the four thresholds \((p > 0.05 \text{ for all, Fig. 4c, d})\).

**Discussion**

In the present study, we found different functional alterations in hippocampal subregions induced by ECT in patients with depression. Specifically, ECT increased connectivity in the HIPe, which may be associated with the alleviation of depressive symptoms. In contrast, ECT decreased connectivity in the HIPc, which may be related to increased cognitive impairment. Unexpectedly, ECT had no effects on the structural connectivity between the hippocampus and functionally abnormal regions, which may suggest that the effects of ECT on brain connectivity may be reversible.

Previous studies revealed a significant relationship between hippocampal functional changes and antidepressive efficacy during ECT \((\text{Abbott et al., 2014})\). However, little is known regarding alterations in hippocampal subregions segmented along the posterior-to-anterior axis in depressed patients treated with ECT. The present study identified an association between altered functions in the anterior hippocampus and effective improvement. Consistent with our results, a post-mortem study on depressed patients revealed that antidepressant drugs increased anterior hippocampal neurogenesis \((\text{Boldrini et al., 2009})\), that could be an important factor in the success of antidepressant treatments \((\text{Santarelli et al., 2003})\). The HIPe (anterior hippocampus in previous studies) used in the present study is known to be closely correlated with functions associated with facial emotion \((\text{Robinson et al., 2015})\), and its abnormal processing is thought to be a primary clinical feature of depression \((\text{Gollan et al., 2008; Surguladze et al., 2004})\). Indeed, the abnormal neural response to facial emotions was also observed in the anterior hippocampus in previous studies on patients with depression \((\text{Lau et al., 2010})\). In addition, the altered hippocampal response to affective facial expressions has been shown to be related to symptomatic improvement following antidepressant treatment \((\text{Fu et al., 2007})\).
ECT increased the RSFC of the HIPe with the right medial/inferior temporal gyrus (including the fusiform gyrus), the LMOG (including the fusiform gyrus) and the left putamen. Increased RSFC in the medial/inferior temporal gyrus was linked to the remission of depressive severity. Interestingly, all these regions are also involved in facial emotion processing (Freiwald et al., 2009). Dysfunctions of the occipital gyrus, temporal gyri, and putamen have all been implicated in depressed patients and individuals at risk of depression during the task of processing facial emotion (Chan et al., 2009; Kerestes et al., 2016; Surguladze et al., 2005). Based on prior evidence, as well as our results, we speculate that the alleviation of affective symptoms is associated with an improvement in processing facial emotion.

According to the meta-analysis that was used to define ROI, we speculate that the HIPc (hippocampal body) proposed in the current study is substantially involved in memory processes, including paired association recall, cued explicit recognition and encoding (Robinson et al., 2015). In contrast to our results, it has been shown the hippocampal posterior subregion preferentially contributes to memory function (Poppenk and Moscovitch, 2011). The inconsistency may result from the different segmentation of the hippocampus. Indeed, a study that divided the hippocampus into three segments showed that impaired connectivity in the hippocampal body (analogous to the HIPc in the present study) of Alzheimer’s patients is positively correlated with cognitive performance (Zarei et al., 2013). In addition, the HIPc defined in the present study has previously been identified in posterior segments in most other studies (Ludowig et al., 2008; Poppenk and Moscovitch, 2011). In line with the above results, our results revealed that ECT decreased RSFC between the HIPc and the bilateral AG in patients with depression, which was positively correlated with memory impairment.

The AG is a region that may have multiple functions, including semantic processing, attention, and memory retrieval (Seghier, 2013). Neuroimaging studies have implicated the strong connectivity between the AG and the hippocampus (Rushworth et al., 2006; Uddin et al., 2010). This strong connectivity is thought to facilitate memory processing (Seghier, 2013; Vilberg and Rugg, 2008). Furthermore, the AG has direct connections with the parahippocampal cortex (Ranganath and Ritchey, 2012), which is strongly connected with the posterior hippocampus (Libby et al., 2012). Indeed, abnormal functions associated with the AG, as well as impaired hippocampus-AG connectivity, have been found in patients with memory impairment (Damoiseaux et al., 2016; Greicius et al., 2004). For example, bilateral AG lesions resulted in an impoverished free recall of autobiographical memory and impaired memory confidence (Berrylhill et al., 2007; Simons et al., 2010). Importantly, enhancement of AG-hippocampus connectivity improved memory performance in patients that underwent high-frequency repetitive transcranial magnetic stimulation targeting the AG (Wang et al., 2014). Taken together, we infer that the impaired connectivity between the hippocampus and memory-associated cerebral regions contributes to the memory impairment in depression after ECT.

It is notable that there was increased RSFC between the HIPc and both the LAG and right RAG after ECT. In contrast, there was increased RSFC between the left postcentral gyrus/inferior parietal lobule and the medial frontal gyrus (Z > 3.1, p < 0.001, cluster-level FWE corrected). (b) There was a significant relationship between decreased HIPc-LAG connectivity and decreased memory performance. (c) There was a significant relationship between increased HIPc-RAG connectivity and reduced memory performance (two-tailed, no correction). Memory performance was evaluated using the CVFT. All scores were calculated using post-ECT scores subtracted from pre-ECT scores (two-tailed, no correction). The red point was regarded as singular data (defined as outside three standard deviations of the mean) and was not included in correlation analysis. ECT, electroconvulsive therapy; RSFC, resting-state functional connectivity; HIPc, hippocampal cognitive region; R: right; L: left; B: bilateral.

**Table 1.** Regions showing significant differences between pre and post-ECT patients with depression

<table>
<thead>
<tr>
<th>Regions</th>
<th>MNI coordinate (x, y, z)</th>
<th>Voxel number</th>
<th>Peak t value</th>
</tr>
</thead>
<tbody>
<tr>
<td>L middle occipital gyrus</td>
<td>−45, −75, 0</td>
<td>45</td>
<td>5.19</td>
</tr>
<tr>
<td>L putamen</td>
<td>−24, 0, −3</td>
<td>29</td>
<td>5.04</td>
</tr>
<tr>
<td>R medial temporal gyrus</td>
<td>48, −57, −6</td>
<td>32</td>
<td>4.85</td>
</tr>
<tr>
<td>Seed of HIPc</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>L angular gyrus</td>
<td>−42, −66, 36</td>
<td>70</td>
<td>−4.80</td>
</tr>
<tr>
<td>R angular gyrus</td>
<td>51, −63, 21</td>
<td>69</td>
<td>−4.66</td>
</tr>
<tr>
<td>L postcentral gyrus/inferior</td>
<td>−33, −27, 51</td>
<td>112</td>
<td>5.25</td>
</tr>
<tr>
<td>parietal lobule</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>B medial frontal gyrus</td>
<td>−3, −6, 54</td>
<td>79</td>
<td>5.79</td>
</tr>
</tbody>
</table>

ECT: electroconvulsive therapy; MNI: Montreal Neurologic Institute; HIPc: hippocampal emotional region; HIPc: hippocampal cognitive region; R: right; L: left; B: bilateral.

**Fig. 3.** The effect ECT RSFC of the HIPc and its relationship with memory change. (a) There was lower RSFC between the HIPc and both the LAG and right RAG after ECT. In contrast, there was increased RSFC between the left postcentral gyrus/inferior parietal lobule and the medial frontal gyrus (Z > 3.1, p < 0.001, cluster-level FWE corrected). (b) There was a significant relationship between decreased HIPc-LAG connectivity and decreased memory performance. (c) There was a significant relationship between increased HIPc-RAG connectivity and reduced memory performance (two-tailed, no correction). Memory performance was evaluated using the CVFT. All scores were calculated using post-ECT scores subtracted from pre-ECT scores (two-tailed, no correction). The red point was regarded as singular data (defined as outside three standard deviations of the mean) and was not included in correlation analysis. ECT, electroconvulsive therapy; RSFC, resting-state functional connectivity; HIPc, hippocampal cognitive region; LAG, left angular gyrus; RAG, right angular gyrus; FWE, family-wise error; CVFT, Category Verbal Fluency Test.
the hippocampal and inferior parietal lobules (Goveas et al., 2011; Xie et al., 2012).

Although the poorer performance of verbal fluency test following ECT has been documented in the previous study, it is more frequently treated as impairment of executive function, not memory impairment (Semkovska and McLoughlin, 2010). In truth, there are two classes of verbal fluency test, i.e. category verbal fluency and letter verbal fluency. Increasing evidence suggests that these two classes may depend on distinct cognitive processes underpinned by different neural mechanisms. Specially, letter verbal fluency mainly reflects the executive function underpinned by frontal cortex, in contrast, category verbal fluency most likely relies on medial temporal cortex (predominantly in the hippocampus), mediated the storage and retrieval of semantic knowledge (Henry and Crawford, 2004). Hippocampal involvement in category verbal fluency has consistently been validated functional imaging studies (Sheldon and Moscovitch, 2012; Whitney et al., 2009). Increasingly, CVFT is suggested as an indication of semantic memory and hippocampal function (Glikmann-Johnston et al., 2015; Shapira-Lichter et al., 2013). However, CVFT merely indicates the memory ability for learned information but not the hippocampal-connection changes and clinical variables. We conducted multiple correlations with no correction, which may potentially increase the risk of obtaining false-positive results. However, our results were acquired based on explicit prior assumptions and showed remarkable consistency with assumptions using a few of correlations analysis (three for emotional effect and four for cognitive effect). For paired ipsilateral regions (HIPe-LMOG and HIPc-LAG) which showed a significant correlation between inter-regions functional connectivity and clinical variables, impressive anatomical connectivity was also presented with DTI. These may potentially reduce the risk of drawing a conclusion based on false-positive results. Certainly, we must acknowledge that our results presented low magnitude of correlations. For example, most of the correlation coefficients just achieved statistical significance at the 0.05 level in our study and we labeled a p value >0.05 (p value = 0.06) as a ‘trend’. The low magnitude of correlations may be caused by small sample size and high between-participants heterogeneity. The seeds defined with population-level, not individual-level atlases would erode actual individual variations (Wang et al., 2015), which may lessen the correlations between hippocampal connectivity and clinical variables. Besides, the absence of long scan duration would reduce the reliability of measuring functional connectivity (Birn et al., 2013; Termenon et al., 2016), which also may contribute to the low magnitude of correlation in our results. Thus, future studies are necessary to replicate our results with more large-size and homogeneous sample, longer scan duration and hippocampal seeds based on individual-level atlases.

We also admit to several additional limitations in this study. First, no healthy controls were included. Second, the sample size is too small that may have led to sampling bias. Third, twice verbal fluency tests were given and, in general, performances were evaluated after the second test. However, most patients performed worse during the second test and we believe the results of the CVFT were not biased by practice. Finally, only functional changes in the left hippocampal subregions were assessed and in future studies, it will be necessary to explore alterations in both the right and left hippocampal-subregions.

These limitations notwithstanding, our results suggest that altered functions of the HIPe may be associated with remission of depression, while altered functions of the HIPc may be associated with impaired cognition, which indicates a functional dissociation between subregions of the hippocampus.

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