

ON THE EXISTENCE OF EMBEDDED MINIMAL
2-SPHERES IN THE 3-SPHERE,
ENDOWED WITH AN ARBITRARY METRIC

FRANCIS R. SMITH

In this thesis it is proved that in the 3-sphere endowed with any Riemannian metric (denoted by N), there exists an embedded, minimal 2-sphere.

Previously, the work of Sacks and Uhlenbeck [3] had shown that there exists a stationary (in general, not stable) immersion of S^2 into N . All other results concerning minimal immersions or embeddings of S^2 into a compact 3-manifold have excluded the case when the ambient space is topologically a 3-sphere.

First, by modifying the minimax techniques of Pitts [2], it is shown that there exists in N a stationary 2-varifold V which can be written as the (varifold) limit of embedded 2-spheres and which has certain local stability properties. Then, using these stability properties together with the recently developed regularity theorems of Almgren and Simon [1] and Schoen, Simon and Yau [4], one can prove that V has the form

$$V = \sum_{j=1}^R n_j \underline{v}(M_j) \quad (n_j, R \in \{\text{integers}\})$$

where M_j ($j = 1, \dots, R$) are embedded, oriented, connected minimal surfaces in N and where $\underline{v}(M_j)$ ($j = 1, \dots, R$) denotes the multiplicity

Received 13 April 1983. Thesis submitted to the University of Melbourne, April 1982. Degree approved January 1983. Supervisor: Professor L.M. Simon.

one varifold associated with M_j .

Finally, each M_j is shown to be diffeomorphic to S^2 . The proof of this makes a more subtle use of the stability properties of V , together with the fact that V is the weak limit of embedded 2-spheres. Here it was necessary to make use of some previously unpublished results of Simon. The main results of the thesis will be published shortly in a joint paper with Simon.

References

- [1] F. Almgren, Jr. and L.M. Simon, "Existence of embedded solutions to Plateau's problem", *Ann. Scuola Norm. Sup. Pisa* 6 (1979), 447-495.
- [2] J. Pitts, *Existence and regularity of minimal surfaces in Riemannian manifolds* (Mathematical Notes, 27. Princeton University Press, Princeton, 1981).
- [3] J. Sacks and K. Uhlenbeck, "The existence of minimal immersions of 2-spheres", *Ann. of Math. (2)* 113 (1981), 1-24.
- [4] R. Schoen, L.M. Simon and S.T. Yau, "Curvature estimates for minimal hypersurfaces", *Acta Math.* 134 (1975), 275-288.

38 Willow Grove,
East Kew,
Victoria 3102,
Australia.