

RESEARCH ARTICLE

Spaces of Lorentzian and real stable polynomials are Euclidean balls

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Abstract

We prove that projective spaces of Lorentzian and real stable polynomials are homeomorphic to Euclidean balls. This solves a conjecture of June Huh and the author. The proof utilises and refines a connection between the symmetric exclusion process in interacting particle systems and the geometry of polynomials.

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1. Introduction

Over the past two decades there has been a surge of activity in the study of stable, hyperbolic and Lorentzian polynomials. Spectacular applications in several different areas have been given; see [1, 2, 7, 14, 18] and the references therein. In this article we are interested in the shapes of spaces of such polynomials.

The space of Lorentzian polynomials was studied by Huh and the author in [7]; by Gurvits in [12], who called it the space of strongly log-concave polynomials; and by Anari et al. in [2], who called it the space of completely log-concave polynomials. This space contains all volume polynomials of convex bodies and projective varieties, as well as homogeneous stable polynomials with nonnegative coefficients. The theory of Lorentzian polynomials links discrete and continuous notions of convexity. Denote by \mathbb{PL}_n^d the projective space of all Lorentzian degree *d* polynomials in the variables w_1, \ldots, w_n . This remarkable space is stratified by the family of all M-convex sets [15] on the discrete simplex. It was proved in [7] that the space \mathbb{PL}_n^d is compact and contractible and conjectured that \mathbb{PL}_n^d is homeomorphic to a closed Euclidean ball. We prove this conjecture here (Theorem 3.4) by utilising and further developing a powerful connection between the *symmetric exclusion process* (SEP) and the *geometry of polynomials*, which was discovered and studied in [6]. The proof technique also applies to spaces of real stable polynomials, and we prove that these spaces are homeomorphic to closed Euclidean balls.

It was proved in [6] and [7] that SEP preserves the classes of multiaffine stable and multiaffine Lorentzian polynomials, respectively. In Sections 2 and 4 we study in more detail how SEP acts on multiaffine Lorentzian polynomials. We prove that SEP is a contractive flow (Lemma 2.3) that maps the boundaries of the spaces into their interiors for all positive times (Lemma 2.5). Furthermore, SEP contracts the spaces to a point in the interior. These results are used in Section 3, in conjunction with a construction of Galashin, Karp and Lam [9], to prove that various spaces of Lorentzian polynomials are closed Euclidean balls.

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In Section 4 we prove, by similar methods, that projective spaces of real stable polynomials are homeomorphic to closed Euclidean balls. This refines a result of Nuij [16], who proved that such spaces are contractible.

In the final section we identify topics for further studies.

2. Lorentzian polynomials and the symmetric exclusion process

Let H_n^d denote the linear space of all degree d homogeneous polynomials in $\mathbb{R}[w_1, \ldots, w_n]$, adjoining the identically zero polynomial. A polynomial f in H_n^d , where $d \ge 2$, is strictly Lorentzian if

- 1. all coefficients of f are positive and
- 2. for any $1 \le i_1, i_2, \ldots, i_{d-2} \le n$, the quadratic $\partial_{i_1} \partial_{i_2} \cdots \partial_{i_{d-2}} f$, where $\partial_i = \partial/\partial w_i$, has the Lorentzian signature (+, -, -, ..., -).

Also, any homogeneous polynomial of degree 0 or 1 with only positive coefficients is defined to be strictly Lorentzian. Polynomials that are limits in H_n^d , in the standard Euclidean topology on the space of coefficients of the polynomials, of strictly Lorentzian polynomials are called Lorentzian.

Denote by L_n^d the space of Lorentzian polynomials in H_n^d and by $\mathbb{P}L_n^d$ the projectivisation of L_n^d . Since Lorentzian polynomials have nonnegative coefficients, we may identify $\mathbb{P}L_n^d$ with the space of all polynomials f in L_n^d such that f(1) = 1, where 1 = (1, 1, ..., 1). Let E_n^d be the affine Euclidean space of all f in H_n^d for which f(1) = 1. Let further \underline{E}_n^d and \underline{H}_n^d be the spaces of all *multiaffine* polynomials in E_n^d and H_n^d , respectively; that is, those polynomials in E_n^d (and H_n^d) that have degree at most one in each variable. Denote by $\mathbb{P}L_n^d$ the space of multiaffine polynomials in $\mathbb{P}L_n^d$; that is, the space of all Lorentzian polynomials in \underline{E}_n^d . We equip $\mathbb{P}L_n^d$ and $\mathbb{P}\underline{L}_n^d$ with the standard topology on the affine Euclidean spaces \mathbf{E}_n^d and \mathbf{E}_n^d .

For $0 \le d \le n$, let $[n] = \{1, 2, \dots, n\}$ and $\binom{[n]}{d} = \{S \subseteq [n] \mid |S| = d\}$. The dth elementary symmetric polynomial in the variables w_1, \ldots, w_n is

$$e_d(\mathbf{w}) = e_d(w_1, \dots, w_n) = \sum_{S \in \binom{[n]}{d}} \mathbf{w}^S$$
, where $\mathbf{w}^S = \prod_{i \in S} w_i$.

Let $\mathbb{S}^{n-1} = \{\mathbf{x} \in \mathbb{R}^n \mid x_1^2 + \dots + x_n^2 = 1\}$ denote the unit sphere in \mathbb{R}^n , and let $\mathbb{S}_1^{n-2} = \{\mathbf{x} \in \mathbb{S}^{n-1} \mid x \in \mathbb{S}^n\}$ $x_1 + \dots + x_n = 0\}.$

Lemma 2.1. Suppose $f = \sum_{S \in \binom{[n]}{d}} a(S) \mathbf{w}^S$ is a polynomial in \mathbb{E}_n^d with nonnegative coefficients a(S). Then f is in the interior of $\mathbb{P}\underline{L}_n^d$ if and only if a(S) > 0 for all $S \in \binom{[n]}{d}$ and one (and then all) of the following three conditions are satisfied:

- 1. for each set $S \subset [n]$ of size d 2, the quadratic $\partial^S f = \prod_{i \in S} \partial_i f$, considered as a polynomial in the n-d+2 variables $\{w_j \mid j \in [n] \setminus S\}$, has signature (+, -, -, ..., -); 2. for each set $S \subset [n]$ of size d-2 and each $\mathbf{y} \in \mathbb{R}^{n-d+2}$ not parallel to $\mathbf{1}$, the degree 2 polynomial
- $\partial^{S} f(t\mathbf{1} \mathbf{y})$ has two real and distinct zeros;
- 3. for each set $S \subset [n]$ of size d 2 and each $\mathbf{y} \in \mathbb{S}_1^{n-d}$, the degree 2 polynomial $\partial^S f(t\mathbf{1} \mathbf{y})$ has two real and distinct zeros.

Proof. Clearly all coefficients of polynomials in the interior are positive. By, for example, [7, Theorem 2.25, Lemma 2.5], the sufficiency (and equivalence) of (1) and (2) follows. Also, (2) and (3) are equivalent by homogeneity.

Suppose (2) fails for some f in the interior of $\mathbb{P}\underline{L}_n^d$, $\mathbf{y} \in \mathbb{S}_1^{n-d}$ and $S \in {\binom{[n]}{d-2}}$. Let $y_k = \min\{y_i\}$ and $y_{\ell} = \max\{y_i\}$. Since the coefficients of f are positive, it follows that $\partial^s f(t\mathbf{1} - \mathbf{y}) = (t - a)^2$, where $y_k < a < y_\ell$. Let $f_{\epsilon} = (1 + \epsilon)f - \epsilon w_k w_\ell \mathbf{w}^S$. The discriminant of $\partial^S f_{\epsilon}(t\mathbf{1} - \mathbf{y})$ is equal to $\epsilon^2 (y_\ell - y_k)^2 - 4\epsilon (a - y_k)(y_\ell - a)$, which is negative for all $\epsilon > 0$ sufficiently small. Hence, $f_\epsilon (t\mathbf{1} - \mathbf{y})$ has non-real zeros for such ϵ . This contradiction shows that (3) is necessary. П

Lemma 2.2. Let $0 \le d \le n$ be integers. The normalised elementary symmetric polynomial $e_d(\mathbf{w})/\binom{n}{d}$ lies in the interior of \mathbb{PL}_n^d .

Proof. We may assume $d \ge 2$. Let |S| = d - 2. The Hessian of $\partial^S e_d = e_2$, considered as a polynomial in n - d + 2 variables, is $\mathbf{1}^T \mathbf{1} - I$, where *I* is the identity matrix. This matrix has eigenvalues $-1, -1, \ldots, -1$ and n - d + 1.

The symmetric exclusion process (SEP) is one of the main models in interacting particle systems [13]. It models particles moving on a finite or countable set in a continuous way. Particles can only jump to vacant sites, and there can be at most one particle per site; see [6, 13]. Here we view SEP as a flow $T_s : \underline{H}_n^d \to \underline{H}_n^d$, $s \in \mathbb{R}$, a family of linear operators indexed by a real parameter (time) satisfying

$$T_s \circ T_t = T_{s+t}$$
, for all $s, t \in \mathbb{R}$,

where $T_0 = I$ is the identity operator.

The symmetric group \mathfrak{S}_n acts linearly on $\underline{\mathrm{H}}_n^d$ by permuting the variables

$$\sigma(f)(\mathbf{w}) = f(w_{\sigma(1)}, \dots, w_{\sigma(n)}), \text{ for all } \sigma \in \mathfrak{S}_n.$$

The flow $T_s : \underline{H}_n^d \to \underline{H}_n^d$, $s \in \mathbb{R}$, is defined by $T_s = e^{-s} e^{s\mathcal{L}}$, where

$$\mathcal{L} = \sum_{\tau} q_{\tau} \tau,$$

where the sum is over all transposition τ in \mathfrak{S}_n . We require that the numbers q_{τ} are nonnegative and sum to one and that $\{\tau \mid q_{\tau} > 0\}$ generates \mathfrak{S}_n . Clearly, $T_s : \underline{E}_n^d \to \underline{E}_n^d$ for all $s \in \mathbb{R}$, and $e_d(\mathbf{w})/\binom{n}{d}$ is an eigenvector/polynomial of \mathcal{L} .

Notice that the matrix corresponding to a transposition τ , with respect to the basis $\{\mathbf{w}^S \mid S \in {[n] \atop d}\}$ of $\underline{\mathrm{H}}_n^d$, is symmetric. Hence, so is the matrix corresponding to \mathcal{L} . Thus, \mathcal{L} has an orthogonal basis of eigenvectors f_0, f_1, \ldots, f_N in $\underline{\mathrm{H}}_n^d$, where $N = {n \choose d} - 1$ and $f_0(\mathbf{w}) = e_d(\mathbf{w})/{n \choose d}$. Also, by the assumption on $\{\tau \mid q_\tau > 0\}$, there exists a positive number *m* such that for each $\epsilon > 0$, all entries of the matrix representing $(\mathcal{L} + \epsilon I)^m$ are positive. By Perron–Frobenius theory [3, Chapter 1], the eigenvalues of \mathcal{L} satisfy

$$1 = \lambda_0 > \lambda_1 \ge \cdots \ge \lambda_N \ge -1.$$

Hence, if we write an element f in $\underline{\mathbf{H}}_{n}^{d}$ as $f = x_{0}f_{0} + \sum_{j=1}^{N} x_{j}f_{j}$, then

$$T_s(f) = x_0 f_0 + \sum_{j=1}^N x_j e^{-s(1-\lambda_j)} f_j.$$
 (2.1)

Consequently, $T_s(f) \to x_0 f_0$ as $s \to \infty$, and since $T_s(f)(1) = f(1)$, we have $x_0 = f(1)$ and $f_j(1) = 0$ for all j > 0.

Define a ball of radius r, centred at f_0 , by

$$B_r = \left\{ f_0 + \sum_{j=1}^N x_j f_j \mid \mathbf{x} \in \mathbb{R}^N \text{ and } \sum_{j=1}^N x_j^2 \le r^2 \right\}.$$

Thus, B_r is a closed Euclidean ball in the affine space \underline{E}_n^d .

Using (2.1), we may read off how T_s deforms the ball B_r .

Lemma 2.3. If r and s are positive numbers, then

$$B_{r_N(s)} \subseteq T_s(B_r) \subseteq B_{r_1(s)}$$
 and $B_{r_1(-s)} \subseteq T_{-s}(B_r) \subseteq B_{r_N(-s)}$,

where $r_N(s) = r \exp(-s(1 - \lambda_N))$ and $r_1(s) = r \exp(-s(1 - \lambda_1))$.

The next proposition was essentially proved in [7]. For completeness, we provide the remaining details here.

Proposition 2.4. If $s \ge 0$, then $T_s : \underline{L}_n^d \to \underline{L}_n^d$.

Proof. Assume first that $\mathcal{L} = \tau$ is a transposition. It was proved in [7, Corollary 3.9] that any operator of the form $(1 - \theta)I + \theta\tau$, where $0 \le \theta \le 1$, preserves the Lorentzian property. Since

$$e^{s\mathcal{L}} = \frac{e^s + e^{-s}}{2}I + \frac{e^s - e^{-s}}{2}\tau,$$

we deduce $e^{s\mathcal{L}} : \underline{\mathbf{L}}_n^d \to \underline{\mathbf{L}}_n^d$ for all $s \ge 0$. Suppose $\mathcal{L}_i : \underline{\mathbf{H}}_n^d \to \underline{\mathbf{H}}_n^d$ are linear operators such that $e^{s\mathcal{L}_i} : \underline{\mathbf{L}}_n^d \to \underline{\mathbf{L}}_n^d$ for i = 1, 2 and all $s \ge 0$. By the Trotter product formula [8, page 33],

$$e^{s(\mathcal{L}_1+\mathcal{L}_2)} = \lim_{k \to \infty} \left(e^{(s/k)\mathcal{L}_1} \circ e^{(s/k)\mathcal{L}_2} \right)^k.$$

Hence, $e^{s(\mathcal{L}_1 + \mathcal{L}_2)} : \underline{L}_n^d \to \underline{L}_n^d$, for all $s \ge 0$, since \underline{L}_n^d is closed. Iterating this proves the proposition. \Box

Lemma 2.5. If $f \in \mathbb{P}\underline{L}_n^d$ and s > 0, then $T_s(f)$ lies in the interior of $\mathbb{P}\underline{L}_n^d$.

Proof. By the open mapping theorem and Proposition 2.4, T_s maps the interior of \underline{L}_n^d to itself for each $s \ge 0$. Hence, it suffices to prove that if f is in $\mathbb{P}\underline{L}_n^d$, then $T_s(f)$ lies in the interior of $\mathbb{P}\underline{L}_n^d$ for all s > 0sufficiently small.

All coefficients of $T_s(f)$ are positive for all s > 0 and $f \in \mathbb{P}\underline{L}_n^d$. Let $f \in \mathbb{P}\underline{L}_n^d$ and suppose $S \subset [n]$ has size d - 2 and that $\mathbf{y} \in \mathbb{S}_1^{n-d}$. Denote by $\Delta_S(\mathbf{y}; s)$ the discriminant of the degree 2 polynomial

$$t \mapsto \partial^{S} T_{s}(f)(t\mathbf{1} - \mathbf{y}). \tag{2.2}$$

From (2.1) it follows that $\Delta_S(\mathbf{y}; s)$ defines an entire function in the (complex) variable s. Hence, either there is a positive number $\delta(\mathbf{y})$ for which $\Delta_{\mathcal{S}}(\mathbf{y};s) \neq 0$ whenever $0 < |s| < \delta(\mathbf{y})$ or $\Delta_f(\mathbf{y};s)$ is identically zero. The latter cannot happen since then, by letting $s \to \infty$, it follows that the discriminant of the polynomial $t \mapsto e_2(t\mathbf{1} - \mathbf{y})$ is equal to zero, which contradicts the fact that $e_2(\mathbf{w})$ is in the interior of $\mathbb{P}L_n^2$. By compactness (of \mathbb{S}_1^{n-d}) and Hurwitz's theorem on the continuity of zeros, it follows that there is a uniform $\delta > 0$ such that $\Delta_S(\mathbf{y}; s) \neq 0$ whenever $0 < |s| < \delta$ and $\mathbf{y} \in \mathbb{S}_1^{n-d}$ and $S \subset [n]$ has size d - 2. Hence, $T_s(f)$ is in the interior of $\mathbb{P}L_n^d$ for all $0 < s < \delta$.

3. Balls of Lorentzian polynomials

Let $\mathcal{A} = \{A_j\}_{j=1}^m$ be a partition of the set of variables $\{w_1, \ldots, w_n\}$; that is, a collection of pairwise disjoint and nonempty sets such that

$$A_1 \cup A_2 \cup \cdots \cup A_m = \{w_1, \ldots, w_n\}.$$

Let further $\underline{E}_{\mathcal{A}}^d$ be the space of all polynomials in \underline{E}_n^d that are symmetric in the variables in A_j for each $j \in [m].$

Consider the symmetric exclusion process, T_s , with rates $q_{\tau} = 1/\binom{n}{2}$ for all transpositions τ in \mathfrak{S}_n . Then $\tau \mathcal{L}\tau = \mathcal{L}$ for all transpositions τ , so that $T_s : \underline{E}^d_{\mathcal{A}} \to \underline{E}^d_{\mathcal{A}}$ for all $s \in \mathbb{R}$. Let $\mathbb{P}\underline{L}^d_{\mathcal{A}} = \mathbb{P}\underline{L}^d_n \cap \underline{E}^d_{\mathcal{A}}$.

We are now in a position to prove our main results for Lorentzian polynomials. The final tool needed is a general construction in [9]. Explicit homeomorphisms may be extracted from the proof of [9, Lemma 2.3].

Theorem 3.1. Let \mathcal{A} be a partition of $\{w_1, \ldots, w_n\}$. The space $\mathbb{P}\underline{L}^d_{\mathcal{A}}$ is homeomorphic to a closed Euclidean ball.

Proof. Notice that $\underline{E}_{\mathcal{A}}^d$ is the intersection of \underline{E}_n^d with an affine space, $f_0 + U$, where U is a linear subspace of span $\{f_1, \ldots, f_N\}$. We identify the affine linear space $\underline{E}_{\mathcal{A}}^d$ with \mathbb{R}^M , where $M = \dim(U)$, equipped with the Euclidean norm, inherited by span $\{f_1, \ldots, f_N\}$,

$$||f|| = \sqrt{\sum_{i=1}^{N} x_i^2}, \quad \text{if } f = \sum_{i=1}^{N} x_i f_i.$$

Consider the map $F : \mathbb{R} \times \mathbb{R}^M \to \mathbb{R}^M$ defined by

$$F(s, f) = T_s(f).$$

Then

- 1. the map F is continuous, by, for example, the explicit form (2.1),
- 2. F(0, f) = f and $F(s_1 + s_2, f) = F(s_1, F(s_2, f))$, for all $f \in \mathbb{R}^M$ and $s_1, s_2 \in \mathbb{R}$,
- 3. ||F(s, f)|| < ||f||, for all $f \neq 0$ and s > 0, by Lemma 2.3.

Thus, F is a contractive flow, as defined in [9]. By Lemma 2.5,

$$F(s, \mathbb{P}\underline{L}_{A}^{d}) \subset \operatorname{int}(\mathbb{P}\underline{L}_{A}^{d}) \text{ for all } s > 0,$$

where int denotes the interior. The theorem now follows from [9, Lemma 2.3] and its proof.

The case of Theorem 3.1 when A is the partition $\{w_1\}, \{w_2\}, \ldots, \{w_n\}$, yields the following theorem.

Theorem 3.2. The space $\mathbb{P}\underline{L}_n^d$ is homeomorphic to a closed Euclidean ball.

Let $\kappa = (\kappa_1, \dots, \kappa_n)$ be a vector of positive integers. Define \mathbf{E}_{κ}^d to be the space of all polynomials f in \mathbf{E}_n^d for which the degree of f in the variable w_i is at most κ_i , for each $1 \le i \le n$. Also, let $\mathbb{PL}_{\kappa}^d = \mathbf{E}_{\kappa}^d \cap \mathbb{PL}_n^d$.

Theorem 3.3. The space \mathbb{PL}^d_{κ} is homeomorphic to a closed Euclidean ball.

Proof. Consider the variables w_{ij} , $1 \le i \le n$ and $1 \le j \le \kappa_j$, and let $\mathcal{A} = \{A_1, \ldots, A_n\}$ be the partition of $\{w_{ij}\}$ given by $A_i = \{w_{ij} \mid 1 \le j \le \kappa_i\}$ for $1 \le i \le n$.

The *polarisation operator* $\Pi^{\uparrow}: \mathbb{E}^{d}_{\kappa} \to \mathbb{E}^{d}_{\mathcal{A}}$ is defined as follows. Let $f \in \mathbb{E}^{d}_{\kappa}$. For all $i \in [n]$ and each monomial $w_{1}^{\alpha_{1}} \cdots w_{n}^{\alpha_{n}}$ in the expansion of f, replace $w_{i}^{\alpha_{i}}$ with

$$e_{\alpha_i}(w_{i1},\ldots,w_{i\kappa_i})/\binom{\kappa_i}{\alpha_i}$$

The polarisation operator is a linear isomorphism with inverse Π^{\downarrow} defined by the change of variables $w_{ij} \rightarrow w_i$. Also Π^{\uparrow} and Π^{\downarrow} preserve the Lorentzian property [7, Proposition 3.1]. Hence, Π^{\uparrow} defines a homeomorphism between \mathbb{PL}^d_{κ} and $\mathbb{P}\underline{L}^d_{\mathcal{A}}$. The theorem now follows from Theorem 3.1.

By choosing $\kappa = (d, d, \dots, d)$ in Theorem 3.3, we have arrived at a proof of [7, Conjecture 2.29].

Theorem 3.4. The space \mathbb{PL}_n^d is homeomorphic to a closed Euclidean ball.

4. Balls of real stable polynomials

A polynomial f in $\mathbb{R}[w_1, \ldots, w_n]$ is *real stable* if $f(\mathbf{w}) \neq 0$ whenever $\operatorname{Im}(w_j) > 0$ for all $j \in [n]$; see [4, 5, 18]. Homogeneous real stable polynomials with nonnegative coefficients are Lorentzian; see [7, Proposition 2.2]. Let S_n^d be the space of degree d homogeneous and real stable polynomials fin $\mathbb{R}[w_1, \ldots, w_n]$ with nonnegative coefficients. Let further $\mathbb{P}S_n^d$ be the set of all $f \in S_n^d$ for which $f(\mathbf{1}) = 1$. It follows from [6, Theorem 4.5] that a polynomial $f \in H_n^d$ with nonnegative coefficients is real stable if and only if for each $\mathbf{y} \in \mathbb{R}^n$, the polynomial

$$t \mapsto f(t\mathbf{1} - \mathbf{y})$$

has only real zeros. Notice that by homogeneity this condition is equivalent to the condition: for each $\mathbf{y} \in \mathbb{S}_1^{n-2}$, the polynomial $t \mapsto f(t\mathbf{1} - \mathbf{y})$ has only real zeros. By compactness it follows that $f \in \mathbf{H}_n^d$ is in the interior of \mathbf{S}_n^d if and only if all coefficients of f are positive, and for each $\mathbf{y} \in \mathbb{S}_1^{n-2}$, the polynomial $t \mapsto f(t\mathbf{1} - \mathbf{y})$ has only real and distinct zeros.

Lemma 4.1. Let $0 \le d \le n$ be integers, and let $\mathbf{y} \in \mathbb{R}^n$ be such that at most n - d + 1 of the coordinates agree. Then the zeros of $e_d(t\mathbf{1} - \mathbf{y})$ are real and distinct.

Proof. For $\mathbf{y} \in \mathbb{R}^n$, let $p(t) = \prod_{i=1}^n (t - y_i)$. Notice that

$$(n-d)!e_d(t\mathbf{1}-\mathbf{y}) = p^{(n-d)}(t),$$

the (n - d)th derivative of p(t). Hence, all zeros of $e_d(t\mathbf{1} - \mathbf{y})$ are real. Moreover, if α is a zero of $e_d(t\mathbf{1} - \mathbf{y})$ of multiplicity $k \ge 2$, then α is a zero of p of multiplicity k + (n - d). The lemma follows. \Box

For $1 \le d \le n$, let $f_n^d(w_1, \ldots, w_n)$ be the polynomial obtained from $e_d(w_{11}, w_{12}, \ldots, w_{nd})/{\binom{nd}{d}}$ by the change of variables $w_{ij} \to w_i$ for all $1 \le i \le n$ and $1 \le j \le d$.

Lemma 4.2. The polynomial $f_n^d(\mathbf{w})$ lies in the interior of $\mathbb{P}S_n^d$.

Proof. All coefficients of $f_n^d(\mathbf{w})$ are positive. We need to prove that the zeros of $f_n^d(t\mathbf{1} - \mathbf{y})$ are real and distinct whenever $\mathbf{y} \in \mathbb{R}^n$ is not parallel to **1**. This follows from Lemma 4.1, since

$$f_n^d(t\mathbf{1} - \mathbf{y}) = e_d(t\mathbf{1} - \widetilde{\mathbf{y}}) / {nd \choose d}$$
, where $\widetilde{\mathbf{y}} = (y_1, \dots, y_1, \dots, y_n, \dots, y_n)$.

Theorem 4.3. The space \mathbb{PS}_n^d is homeomorphic to a closed Euclidean ball.

Proof. The proof is a modification of the proof of Theorem 3.1.

It was proved in [6, Proposition 5.1] that SEP preserves stability on multiaffine polynomials. Also, in [4, Proposition 3.4] it was proved that the polarisation operator Π^{\uparrow} preserves stability. For $s \in \mathbb{R}$, define $\widehat{T}_s : \mathbb{E}_n^d \to \mathbb{E}_n^d$ by $\widehat{T}_s = \Pi^{\downarrow} \circ T_s \circ \Pi^{\uparrow}$, where T_s is the SEP on \mathbb{E}_{nd}^d , with rates $q_{\tau} = 1/\binom{nd}{2}$ for all transpositions τ . By the discussion in Section 3, it follows that \widehat{T}_s is a contractive flow, which contracts the space to the point $f_n^d(\mathbf{w})$ in its interior (Lemma 4.2). To finish the proof, it remains to prove that for each fixed $f \in \mathbb{PS}_n^d$, there is a $\delta > 0$ such that $\widehat{T}_s(f)$ is in the interior of \mathbb{PS}_n^d for all $0 < s < \delta$.

Let $f \in \mathbb{P}S_n^d$ and $\mathbf{y} \in \mathbb{S}_1^{n-2}$. Denote by $\Delta_f(\mathbf{y}; s)$ the discriminant of the polynomial $t \mapsto \widehat{T}_s(f)(t\mathbf{1}-\mathbf{y})$. From (2.1) it follows that $\Delta_f(\mathbf{y}; s)$ defines an entire function in the (complex) variable s. Hence, either there is a positive number $\delta(\mathbf{y})$ for which $\Delta_f(\mathbf{y}; s) \neq 0$ whenever $0 < |s| < \delta(\mathbf{y})$ or $\Delta_f(\mathbf{y}; s)$ is identically zero. The latter cannot happen since then, by letting $s \to \infty$, it follows that the discriminant of the polynomial $t \mapsto f_n^d(t\mathbf{1} - \mathbf{y})$ is equal to zero, which contradicts the fact that $f_n^d(\mathbf{w})$ is in the interior of $\mathbb{P}S_n^d$. By compactness (of \mathbb{S}_1^{n-2}) and Hurwitz's theorem on the continuity of zeros, it follows that there is a uniform $\delta > 0$ such that $\Delta_f(\mathbf{y}; s) \neq 0$ whenever $0 < |s| < \delta$ and $\mathbf{y} \in \mathbb{S}_1^{n-2}$. Hence, $\widehat{T}_s(f)$ is in the interior of $\mathbb{P}S_n^d$ for all $0 < s < \delta$.

5. Discussion

The support of a polynomial $\sum_{\alpha \in \mathbb{N}^n} a_\alpha w_1^{\alpha_1} \cdots w_n^{\alpha_n}$ is $J = \{\alpha \mid a_\alpha \neq 0\}$. There is a one-to-one correspondence between the supports of polynomials in \mathbb{PL}_n^d and M-convex sets in $\Delta_n^d = \{\alpha \in \mathbb{N}^n \mid \alpha_1 + \cdots + \alpha_n = d\}$; see [7, Theorem 3.10]. Let \mathbb{PL}_J be the space of polynomials in \mathbb{PL}_n^d with support J. Hence,

$$\mathbb{P} \mathcal{L}_n^d = \bigsqcup_{\mathcal{J}} \mathbb{P} \mathcal{L}_{\mathcal{J}},$$

where the disjoint union is over all M-convex sets in Δ_n^d . The space $\mathbb{P}L_J$ is nonempty and contractible for each J [7, Theorem 3.10 and Proposition 3.25].

Similarly, there is a one-to-one correspondence between the supports of polynomials in $\mathbb{P}\underline{L}_n^d$ and rank d matroids on [n]. Let $\mathbb{P}\underline{L}_M$ be the space of polynomials in $\mathbb{P}\underline{L}_n^d$ whose support is the set of bases of M. Then

$$\mathbb{P}\underline{\mathrm{L}}_{n}^{d} = \bigsqcup_{\mathrm{M}} \mathbb{P}\underline{\mathrm{L}}_{\mathrm{M}},$$

where the union is over all matroids of rank d on [n]. The space $\mathbb{P}\underline{L}_{M}$ is nonempty and contractible for each M [7, Theorem 3.10 and Proposition 3.25].

Postnikov [17] proved that there is a similar stratification of the *totally nonnegative Grassmannian* into closed cells corresponding to matroids that are realisable by real matrices whose maximal minors are all nonnegative. He conjectured that these cells are homeomorphic to closed Euclidean balls. The conjecture was recently proved by Galashin, Karp and Lam [11] in a more general setting. The next questions are Lorentzian analogs of Postnikov's conjecture.

Question 5.1. Let J in Δ_n^d be an M-convex set. Is the closure of $\mathbb{P}L_J$ homeomorphic to a closed Euclidean ball?

In this article we settled the case of Question 5.1 when $J = \Delta_n^d$. Since the polynomial $n^{-d}(w_1 + \cdots + w_n)^d$ has full support and lies on the boundary of $\mathbb{P}L_n^d$, it follows that the interiors of $\mathbb{P}L_J$ do not form a cell decomposition of $\mathbb{P}L_J$. This suggests the following question.

Question 5.2. Is there a refinement of the decomposition given by the interiors of $\mathbb{P}L_J$, that to form a cell decomposition, and ideally a regular CW complex?

These questions have multiaffine analogs.

Question 5.3. Let M be a matroid on [n]. Is the closure of $\mathbb{P}\underline{L}_{M}$ homeomorphic to a closed Euclidean ball?

In this article we settled the case of Question 5.3 when M is uniform.

Question 5.4. Is there a refinement of the decomposition given by the interiors of $\mathbb{P}\underline{L}_M$, that to form a cell decomposition, and ideally a regular CW complex?

We also have a stratification

$$\mathbb{P}\mathbf{S}_n^d = \bigsqcup_{\mathbf{J}} \mathbb{P}\mathbf{S}_{\mathbf{J}},$$

where the union is over all M-convex sets in Δ_n^d that occur as supports of polynomials in $\mathbb{P}S_n^d$. Is the space $\overline{\mathbb{P}S_J}$ homeomorphic to a closed Euclidean ball? Is it contractible? Similar questions could be asked for the analogous spaces of multiaffine homogeneous and real stable polynomials. Let $\mathbb{P}S_n^d$ be the space of all multiaffine polynomials in $\mathbb{P}S_n^d$. In particular, we have the following.

Conjecture 5.5. The space $\mathbb{P}\underline{S}_n^d$ is homeomorphic to a closed Euclidean ball.

Equivalently, in the terminology of [6], Conjecture 5.5 says that the space of strongly Rayleigh measures on $\{1, \ldots, n\}$, with constant sum *d*, is homeomorphic to a closed Euclidean ball.

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