

On Stieltjes-Volterra integral equations

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A Stieltjes-Volterra integral equation system

$$x(t) = f(t) + \int_{t_0}^t K(t, s, x(s)) du(s)$$

is firstly considered. Pointwise estimates and boundedness of its solutions are obtained under various conditions on the function K . To do this, the well-known Gronwall-Bellman integral inequality is generalized. For a particular choice of u , it is shown that the integral equation reduces to a difference equation. The problem of existence (and non-existence), uniqueness (and non-uniqueness) of the difference equation is discussed. Gronwall-Bellman inequality is further generalized to n linear terms and is subsequently applied to obtain sufficient conditions in order that a certain stability of the unperturbed Volterra system

$$x(t) = f(t) + \int_{t_0}^t a(t, s)x(s)ds$$

implies the corresponding local stability of the (discontinuously) perturbed system

$$x(t) = f(t) + \int_{t_0}^t a(t, s)x(s)ds + \int_{t_0}^t b(t, s)F(s, x(s))du(s).$$

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1.

In many problems of physics and engineering (optimal control theory in particular), one can not expect perturbations to be well-behaved and it is therefore important to consider the cases when the perturbations are impulsive [3, 7]. Such systems would be described by differential equations containing measures, which are equivalent to Volterra integral equations with perturbations involving Lebesgue-Stieltjes integrals. The purpose of this paper is to obtain pointwise estimates and boundedness of solutions of Stieltjes-Volterra integral equations and to study a stability property of Volterra integral equations with discontinuous perturbations. The tools used for the purpose are the generalized Gronwall-Bellman inequalities involving Lebesgue-Stieltjes integrals.

Let $J = [t_0, \infty)$, $t_0 \geq 0$, and $BV(J, R^n) = BV(J)$ denote the space of all functions of bounded variation which are defined on J and taking values in R^n . The norm of $x = x(t) \in BV(J)$ is defined by $\|x\| = V(x, J) + |x(t_0)|$ where $V(x, J)$ is the total variation of x on J and $|\cdot|$ is any norm in R^n . Let u be a scalar function which is right-continuous and of bounded variation on every compact subinterval of J . We consider the following Volterra integral equations

$$(1.1) \quad x(t) = f(t) + \int_{t_0}^t K(t, s, x(s)) du(s),$$

$$(1.2) \quad x(t) = f(t) + \int_{t_0}^t a(t, s)x(s) ds,$$

$$(1.3) \quad x(t) = f(t) + \int_{t_0}^t a(t, s)x(s) ds + \int_{t_0}^t b(t, s)F(s, x(s)) du(s),$$

where $x, f \in BV(J)$, $K(t, s, \phi) : J \times J \times R^n \rightarrow R^n$, $F : J \times R^n \rightarrow R^n$, and $a(t, s), b(t, s)$ are $n \times n$ matrices defined for $t_0 \leq s \leq t < \infty$.

A special case of (1.1) is considered in [2] where the integrals are in the Riemann-Stieltjes sense. (1.2) and (1.3) have been dealt with in [9]. [6, 10] also treat these equations when $a(t, s) = b(t, s)$ and u is

absolutely continuous on J .

In Section 2, we generalize the Gronwall-Bellman integral inequality and apply it to obtain pointwise estimates and boundedness of solutions of (1.1). Section 3 deals with a difference equation arising from (1.1) for a particular choice of u . The existence (or non-existence) and uniqueness (or non-uniqueness) of solutions of the difference equation are discussed. Finally, in Section 4, we further generalize the Gronwall-Bellman inequality and study a stability property of (1.3) in the light of (1.2). In the following discussion, it is assumed that (1.1)-(1.3) possess solutions on J .

2.

Let $t_1 < t_2 < \dots$ denote the discontinuities of u on J (note that u is of bounded variation). We assume that the discontinuities are isolated. u may be decomposed as $u = u_1 + u_2$ where u_1 is an absolutely continuous function of bounded variation on J and u_2 is a sum of jump functions, the jumps being those of u . It follows that u' exists (and is equal to u'_1 almost everywhere) on J . Let

$\lambda_k = u(t_k) - u(t_k^-)$ denote the jump of u at $t = t_k$, $k = 1, 2, \dots$.

In the following all functions of one variable are assumed to be defined, real-valued, and measurable on J . Such a function w is said to be *locally du-integrable on J* if, for each $t \in J$, the Lebesgue-Stieltjes

integral $\int_{t_0}^t w(s)du(s)$ is finite.

THEOREM 2.1. *Suppose that*

$$(2.1) \quad x(t) \leq f(t) + g(t) \int_{t_0}^t h(s)x(s)du(s), \quad t \in J,$$

where

(i) x, f, g , and h are non-negative and locally du-integrable on J , with f non-decreasing and $g \geq 1$,

(ii) u is such that $u'_1 \geq 0$ on J and

$$(2.2) \quad \lambda_k g(t_k) h(t_k) < 1, \quad k = 1, 2, \dots,$$

(iii) the series

$$(2.3) \quad \sum_{k=1}^{\infty} \lambda_k g(t_k) h(t_k)$$

converges absolutely.

Then

$$(2.4) \quad x(t) \leq P^{-1} f(t) g(t) \exp \left\{ \int_{t_0}^t g(s) h(s) u_1'(s) ds \right\}, \quad t \in J,$$

where

$$P = \prod_{k=1}^{\infty} \{1 - \lambda_k g(t_k) h(t_k)\}.$$

Proof. Since f is non-decreasing and $g \geq 1$ on J , (2.1) may be written as

$$(2.5) \quad \frac{x(t)}{f(t)} \leq g(t) \left[1 + \int_{t_0}^t h(s) \frac{x(s)}{f(s)} du(s) \right], \quad t \in J.$$

Denote the bracket on the right side of (2.5) by $r(t)$. Firstly suppose $t_0 \leq t < t_1$. Since u is differentiable on $[t_0, t_1]$, by the classical Gronwall-Bellman inequality [1, p. 58], we obtain

$$(2.6) \quad r(t) \leq \exp \left\{ \int_{t_0}^t g(s) h(s) u_1'(s) ds \right\}.$$

At $t = t_1$ we have

$$r(t_1) = r(t_1 - \varepsilon) + \int_{t_1 - \varepsilon}^{t_1} h(s) \frac{x(s)}{f(s)} du(s),$$

where $\varepsilon > 0$. Taking the limit as $\varepsilon \rightarrow 0_+$ and using (2.6), we get

$$r(t_1) \leq \exp \left\{ \int_{t_0}^{t_1} g(s) h(s) u_1'(s) ds \right\} + \lambda_1 g(t_1) h(t_1) r(t_1),$$

which, in view of (2.2), yields

$$r(t_1) \leq P_1^{-1} \exp \left\{ \int_{t_0}^{t_1} g(s)h(s)u_1'(s)ds \right\},$$

where

$$P_k = \prod_{n=1}^k \{1 - \lambda_n g(t_n)h(t_n)\}, \quad k = 1, 2, \dots$$

By mathematical induction, it follows that

$$(2.7) \quad r(t_m) \leq P_m^{-1} \exp \left\{ \int_{t_0}^{t_m} g(s)h(s)u_1'(s)ds \right\}, \quad m = 1, 2, \dots$$

Since $P_i \geq P_{i+1}$ for each $i \geq 1$ and $\lim_{i \rightarrow \infty} P_i = P$ (which exists in view of hypothesis (iii)), we may write (2.7) as

$$r(t_m) \leq P^{-1} \exp \left\{ \int_{t_0}^{t_m} g(s)h(s)u_1'(s)ds \right\}, \quad m = 1, 2, \dots$$

Now, given any $t \in J$, there is a unique integer $m \geq 0$ such that $t \in [t_m, t_{m+1})$. Therefore

$$\begin{aligned} r(t) &= r(t_m) + \int_{[t_m, t]} h(s) \frac{x(s)}{f(s)} du(s) \\ &\leq r(t_m) \exp \left\{ \int_{t_m}^t g(s)h(s)u_1'(s)ds \right\}. \end{aligned}$$

Hence we conclude that

$$\begin{aligned} x(t) &\leq f(t)g(t)r(t) \\ &\leq P^{-1}f(t)g(t) \exp \left\{ \int_{t_0}^t g(s)h(s)u_1'(s)ds \right\}, \quad t \in J. \end{aligned}$$

This completes the proof.

As an illustration of Theorem 2.1, consider the inequality

$$x(t) \leq t^2 + e^t \int_1^t 2e^{-s}(s^2-s+1)^{-1}x(s)du(s), \quad t \in [1, \infty),$$

where

$$u(t) = 2^{-1}(t^2-t) + \left\{ \sum_{i=1}^{k-1} (i+1)^{-1} \right\} \chi_{[k-1, k)}(t), \quad k = 2, 3, \dots$$

Here χ_A , the characteristic function of the set A , is defined as

$\chi_A(t) = 1$ if $t \in A$ and equal to zero otherwise. It is easily seen that

$u'(t) = 2^{-1}(2t-1)$ almost everywhere on $[1, \infty)$; $t_k = k$, $\lambda_k = (k+1)^{-1}$ for $k = 2, 3, \dots$; $\lambda_k g(t_k)h(t_k) = 2(k^3+1)^{-1} < 1$ for all $k \geq 2$; the series

$$\sum_{k=2}^{\infty} 2(k^3+1)^{-1} < \infty$$

by comparison test and

$$P = \prod_{k=2}^{\infty} \{1 - 2(k^3+1)^{-1}\} = \frac{2}{3}.$$

Following the estimate in (2.4), we obtain

$$x(t) \leq \frac{3}{2} (t^4 - t^3 + t^2) e^t, \quad \text{for all } t \geq 1.$$

We apply Theorem 2.1, in the natural way, to Volterra integral equations of the form (1.1). To this end, we assume that there exist non-negative functions g and h which are defined and locally du -integrable on J and are such that

$$(2.8) \quad |K(t, s, \phi_1) - K(t, s, \phi_2)| \leq g(t)h(s)|\phi_1 - \phi_2|$$

for all $\phi_1, \phi_2 \in R^n$.

THEOREM 2.2. *Suppose that*

- (i) (1.1) has a bounded solution x defined on J ,
- (ii) $g \geq 1$ is bounded on J and

$$(2.9) \quad \int_{t_0}^{\infty} g(s)h(s)v_1'(s)ds < \infty$$

where $v = v_1 + v_2$ is the decomposition of $v(t) = V(u(t), |t_0, t|)$, the total variation function of $u(t)$ on $|t_0, t|$,

(iii) $v_1' \geq 0$ on J and $\mu_k g(t_k)h(t_k) < 1$, where $\mu_k = v(t_k) - v(t_k^-)$, $k = 1, 2, \dots$;

the series $\sum_{k=1}^{\infty} \mu_k g(t_k)h(t_k)$ converges absolutely.

If $f^* \in BV(J)$ is locally du -integrable on J and $\|f(t) - f^*(t)\|$ is non-decreasing and bounded on J , then any solution of the equation

$$(2.10) \quad y(t) = f^*(t) + \int_{t_0}^t K(t, s, y(s))du(s), \quad t \in J,$$

is bounded.

Proof. From (1.1), (2.8), and (2.10), we obtain

$$\|x(t) - y(t)\| \leq \|f(t) - f^*(t)\| + g(t) \int_{t_0}^t h(s)\|x(s) - y(s)\|dv(s), \quad t \in J.$$

Since v is a right-continuous function of bounded variation and has discontinuities where u has, a suitable application of Theorem 2.1 gives

$$(2.11) \quad \|x(t) - y(t)\| \leq P^{-1} \|f(t) - f^*(t)\| g(t) \exp \left\{ \int_{t_0}^t g(s)h(s)v_1'(s)ds \right\}, \quad t \in J.$$

As x, g , and $\|f - f^*\|$ are all bounded on J , the conclusion follows from (2.9), (2.11), and the fact that $\|y(t)\| \leq \|y(t) - x(t)\| + \|x(t)\|$.

REMARK 2.1. A result similar to Theorem 2.2 is proved in [5, Theorem 3] where the integrals are in the *Riemann-Stieltjes* sense. Hence it is necessary that the integrand and the integrator should not have the same discontinuities. In our case, x and u have the same

discontinuities and therefore the methods of [5] are not applicable.

3.

In this section, we consider a special case of (1.1), namely

$$(3.1) \quad x(t) = f(t) + \int_{t_0}^t A(t, s)x(s)du(s), \quad t \in J,$$

where $A(t, s)$ is an $n \times n$ matrix defined for $t_0 \leq s \leq t < \infty$. We show, under certain conditions, that (3.1) reduces to a difference equation. Choose u to be a step function (that is $u_1 \equiv 0$) of the form

$$u(t) = \left\{ \sum_{i=0}^{k-1} a_i \right\} X_{|t_{k-1}, t_k)}(t), \quad k = 1, 2, \dots,$$

where the a_i 's are constants. Let $J_{t_0} = \{t_k\}$, $k = 0, 1, \dots$. Denote by B_k the matrix $I - a_k A(t_k, t_k)$, $k = 1, 2, \dots$, where I is the identity $n \times n$ matrix.

THEOREM 3.1. *On J_{t_0} , (3.1) reduces to the difference equation*

$$(3.2) \quad \nabla x(t_k) = \nabla f(t_k) + a_k A(t_k, t_k)x(t_k), \quad x(t_0) = f(t_0),$$

where ∇ is the operator such that $\nabla x(t_k) = x(t_k) - x(t_{k-1})$. Furthermore, if B_k is non-singular for each $k = 1, 2, \dots$ then the unique solution of (3.2) is given by the recurrence formula

$$(3.3) \quad x(t_k) = B_k^{-1} \{x(t_{k-1}) + \nabla f(t_k)\}, \quad k = 1, 2, \dots$$

Proof. It is clear that $x(t_0) = f(t_0)$. For $t_1 \in J_{t_0}$, we have from (3.1),

$$\begin{aligned} x(t_1) &= f(t_1) + \int_{t_0}^{t_1} A(t_1, s)x(s)du(s) \\ &= f(t_1) + a_1 A(t_1, t_1)x(t_1). \end{aligned}$$

Similarly

$$\begin{aligned}
 x(t_2) &= f(t_2) + \int_{t_0}^{t_1} A(t_1, s)x(s)du(s) + \int_{t_1}^{t_2} A(t_2, s)x(s)du(s) \\
 &= x(t_1) + \nabla f(t_2) + a_2 A(t_2, t_2)x(t_2) .
 \end{aligned}$$

In general, by induction,

$$(3.4) \quad x(t_k) = x(t_{k-1}) + \nabla f(t_k) + a_k A(t_k, t_k)x(t_k) , \quad k = 1, 2, \dots ,$$

which is the same as (3.2). If B_k is invertible, it follows from (3.4) that $x(t_k)$ exists uniquely and is given by (3.3).

REMARK 3.1. If, for some k , a_k is zero, then $B_k (= I)$ is clearly invertible. If $A(t, s) = A$ is a constant matrix and if $a_k \neq 0$, then a sufficient condition for B_k to be invertible is that a_k^{-1} is not an eigenvalue of A .

REMARK 3.2. Suppose B_k is not invertible for some k . Then it follows from (3.4) that, in general, $x(t_k)$ does not exist. On the other hand, if $x(t_{k-1}) + \nabla f(t_k) = 0$, then $x(t_k)$ is arbitrarily determined, which means that there are infinitely many solutions at t_k . It is to be noted that if $f \equiv 0$, then $x(t_k) = 0$ for each $k = 0, 1, \dots$.

EXAMPLE 3.1. Let $f(t) = t$ and $A(t, s) = (e^t + t) \sin \frac{\pi s}{2}$ be scalar functions on $[0, \infty)$. Choose

$$u(t) = \left\{ \sum_{i=0}^{k-1} i^{-1} \right\} x_{[k-1, k)}(t) , \quad k = 1, 2, \dots .$$

Then u is discontinuous at isolated points $t_k = k$ and $a_k = k^{-1}$ for $k = 1, 2, \dots$. The difference equation corresponding to (3.1) is

$$x(0) = 0 ,$$

$$x(k) = x(k-1) + 1 + k^{-1}(e^k + k) \sin \frac{k\pi}{2} x(k) , \quad k = 1, 2, \dots .$$

Since $(e^k + k) \sin \frac{k\pi}{2} \neq k$ for any $k \geq 1$, the condition of Theorem 3.1 is satisfied. $x(k)$ can now be determined from (3.3).

EXAMPLE 3.2. Let $A(t, s) = A$ be the constant matrix

$$\begin{bmatrix} 2 & -1/3 \\ -6 & 1 \end{bmatrix}$$

and

$$u(t) = \frac{2}{3} X_{[0,1)}(t) + \left\{ \sum_{i=1}^{k-1} i^2 \right\} X_{[k-1,k)}(t), \quad k = 2, 3, \dots$$

Here $\alpha_1^{-1} = 3$ is an eigenvalue of A , the corresponding eigenvector being

$$\begin{bmatrix} 1 \\ -3 \end{bmatrix}. \quad \text{If } f(1) \neq \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \quad x(1) \text{ does not exist. Moreover, } c \begin{bmatrix} 1 \\ -3 \end{bmatrix} \text{ is}$$

also an eigenvector where c is any constant. Therefore, if $f(1) = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$

then $x(1) = c \begin{bmatrix} 1 \\ -3 \end{bmatrix}$, meaning thereby that there are infinitely many solutions.

4.

In this section, we obtain sufficient conditions in order that a certain stability of the system (1.2) implies the corresponding local stability of the system (1.3). The solutions $y(t)$ and $x(t)$ of (1.2) and (1.3) are respectively given by (the variation of constants formula)

$$(4.1) \quad y(t) = f(t) + \int_{t_0}^t R(t, s) f(s) ds, \quad t \geq t_0,$$

and

$$(4.2) \quad x(t) = y(t) + \int_{t_0}^t R^*(t, s) F(s, x(s)) du(s), \quad t \geq t_0,$$

where $R(t, s)$ and $R^*(t, s)$ satisfy

$$(4.3) \quad R(t, s) = a(t, s) + \int_s^t R(t, \tau) a(\tau, s) d\tau$$

and

$$(4.4) \quad R^*(t, s) = b(t, s) + \int_s^t R^*(t, \tau)b(\tau, s)d\tau .$$

The main result (Theorem 4.1) of this section depends on the following lemma, which is interesting in itself.

LEMMA 4.1. Assume

- (i) x, f , and u are as in Theorem 2.1,
- (ii) g_i, h_i are non-negative functions, locally du -integrable on J , and $g_i \geq 1$ for $i = 1, 2, \dots, n$,
- (iii) $\lambda_k g_i(t_k) h_i(t_k) < 1$ for $k \geq 1$ and the n series

$$\sum_{k=1}^{\infty} \lambda_k g_i(t_k) h_i(t_k)$$

converge absolutely for $i = 1, 2, \dots, n$.

Then the inequality

$$(4.5) \quad x(t) \leq f(t) + \sum_{i=1}^n g_i(t) \int_{t_0}^t h_i(s)x(s)du(s), \quad t \in J,$$

implies

$$(4.6) \quad x(t) \leq P^{-1}E^n f$$

where

$$E^0 f = f,$$

$$(4.7) \quad E^r f = f \left\{ E^{r-1} g_r \right\} \exp \left\{ \int_{t_0}^t h_r \left\{ E^{r-1} g_r \right\} u_1'(s) ds \right\}, \quad r = 1, 2, \dots, n,$$

$$P_i = \prod_{k=1}^{\infty} \{ 1 - \lambda_k g_i(t_k) h_i(t_k) \}, \quad i = 1, 2, \dots, n,$$

and

$$P = P_1 P_2 \dots P_n .$$

The proof can be obtained by applying Theorem 2.1 and the method of Theorem 1 in [4]. We omit the details.

Now consider equations (1.2) and (1.3) whose solutions are given by (4.1) and (4.2) respectively. Assume that

H₁. There exists $r > 0$ such that

$$|F(t, x)| \leq f(t)\|x\| \text{ for } t \geq t_0 \text{ and } \|x\| < r,$$

where $f(t)$ is non-negative and du -integrable on J .

H₂. R^* satisfies

$$|R^*(t, s)| \leq \sum_{i=1}^n g_i(t)h_i(s) \text{ for } t_0 \leq s \leq t < \infty,$$

where, for $i = 1, 2, \dots, n$, g_i, h_i are non-negative functions, du -integrable on J , and $g_i \geq 1$; $\mu_k f(t_k)g_i(t_k)h_i(t_k) < 1$ for $k \geq 1$, and the n series

$$\sum_{k=1}^{\infty} \mu_k f(t_k)g_i(t_k)h_i(t_k)$$

converge absolutely where μ_k is as defined in Theorem 2.2.

THEOREM 4.1. *Under the hypotheses H₁ and H₂, any solution x of (1.3) satisfies*

$$\|x(t)\| \leq P^{-1}E^n\|y\|$$

where y is any solution of (1.2); E^n is as defined in Lemma 4.1 except that u'_1 is replaced by v'_1 ;

$$P_i = \prod_{k=1}^{\infty} \{1 - \mu_k f(t_k)g_i(t_k)h_i(t_k)\}, \quad i = 1, 2, \dots, n \text{ and } P = P_1 P_2 \dots P_n.$$

Proof. We have

$$\|x(t)\| \leq \|y(t)\| + \sum_{i=1}^n f(t)g_i(t) \int_{t_0}^t h_i(s)\|x(s)\|dv(s), \quad t \in J.$$

Since $\|y(t)\|$ is non-decreasing on J , an application of Lemma 4.1 gives the desired conclusion.

REMARK 4.1. Theorem 4.1 may be regarded as a result on local

stability of the system (1.3) with respect to the system (1.2) in the following sense: given $\delta > 0$ and sufficiently small, the solution x of (1.3) satisfies $\|x(t)\| < c\delta$, $c > 0$, $t \geq t_0$, whenever $\|y(t)\| < \delta$.

As an illustration of Lemma 4.1, consider the inequality

$$(4.8) \quad x(t) \leq e^t + t \int_1^t s^{-2} x(s) du(s) + t^2 \int_1^t (4s^3)^{-1} x(s) du(s),$$

where

$$u(t) = t + \left\{ \sum_{i=1}^{k-1} i^{-1} \right\} \chi_{[k-1, k)}(t), \quad k = 2, 3, \dots$$

Here $t_k = k$, $\lambda_k = k^{-1}$ for $k = 2, 3, \dots$;

$$P_1 = \prod_{k=2}^{\infty} (1 - k^{-2}) = 1/2;$$

$$P_2 = \prod_{k=2}^{\infty} \left(1 - \frac{1}{4k^2} \right) = \frac{8}{3\pi}.$$

In view of (4.6), we obtain

$$x(t) \leq P^{-1} E^2 f = \frac{3\pi}{4} t^4 \exp\left\{ \frac{t^2 + 8t - 1}{8} \right\}, \text{ for all } t \geq 1.$$

REMARK 4.1. Lemma 4.1 has a distinct advantage over Theorem 2.1. To see this, consider the inequality (4.8). Since $t \geq s \geq 1$, we may write it as

$$x(t) \leq e^t + 2t^2 \int_1^t s^{-2} x(s) du(s), \quad t \geq 1,$$

which is of the form (2.1). In the notation of Theorem 2.1, we see that

$\lambda_k g(t_k) h(t_k) = 2k^{-1}$, $k = 2, 3, \dots$. However, Theorem 2.1 is not

applicable here for two reasons; firstly because $\lambda_2 g(t_2) h(t_2) \not\leq 1$, and

secondly because the series $\sum_{k=2}^{\infty} 2k^{-1}$ diverges.

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