

## Zinc and cognitive development

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Cognition is a field of thought processes by which an individual processes information through skills of perception, thinking, memory, learning and attention. Zinc deficiency may affect cognitive development by alterations in attention, activity, neuropsychological behavior and motor development. The exact mechanisms are not clear but it appears that zinc is essential for neurogenesis, neuronal migration, synaptogenesis and its deficiency could interfere with neurotransmission and subsequent neuropsychological behavior. Studies in animals show that zinc deficiency during the time of rapid brain growth, or during the juvenile and adolescent period affects cognitive development by decreasing activity, increasing emotional behavior, impairing memory and the capacity to learn. Evidence from human studies is limited. Low maternal intakes of zinc during pregnancy and lactation were found to be associated with less focused attention in neonates and decreased motor functions at 6 months of age. Zinc supplementation resulted in better motor development and more playfulness in low birth weight infants and increased vigorous and functional activity in infants and toddlers. In older school going children the data is controversial but there is some evidence of improved neuropsychological functions with zinc supplementation. Additional research is required to determine the exact biological mechanisms, the critical periods, the threshold of severity and the long-term effects of zinc deprivation on cognitive development.

### Zinc: Cognition

#### Cognitive development

Cognition is a complex construct and consists of a field of thought processes by which an individual registers, encodes, selects, maintains, transforms, stores and retrieves information. This would extend to include visual and somatosensory perception, thinking, memory, and learning. Memory helps to learn, retain and reproduce information. Another important aspect is attention; an integrated process by which the individual, from the time of infancy focuses on information that is essential for its growth and development (Ruff & Rauthbart, 1996). It includes a readiness to respond and an intact capacity to focus on one thing resisting other distracting stimuli.

In the first year of life, the infant learns to construct mental structures, which is dependent on perceptions and body movements. It further develops its attention skills by exploring and concentrating on the novel aspects of its environment and extends it to focus on testing and organizing the information through the pre-school years. Activity and motor skills form an integral part of developing the attention system by increasing the responsiveness to the environment. A lethargic child with delayed motor activity would have fewer opportunities to explore and concentrate on specific objects or events. Activity would be directly related to motor activities at that particular age.

Cognitive development continues as a period of concrete operations through school years as skills like thought, memory and language develop (Piaget, 1997). During this period multiple stimuli are appreciated simultaneously and attention abilities increase with greater learning and memory. Full adult intelligence and hypothetical thinking evolve during adolescence.

Assessment of cognitive development would include evaluation of perception, thought, attention, memory, language and activity.

#### Biological mechanisms of zinc deprivation on cognitive development

Zinc has a critical role in the function of several structural, regulatory and catalytic proteins (Fierke, 2000; Hambidge, 2000). It is present in the brain bound to proteins and is important for its structure and function (Pfeiffer & Braverman, 1997; Sandstead, 1986). Prompted by early reports of birth defects in the offspring of zinc deficient rats (Hurley & Shrader, 1972; Dreosti & Smith, 1983), extensive work has been done on animals to examine the role of zinc deficiency on brain function and cognitive development. The exact mechanisms are not clear but its presence in high concentrations in the synaptic vesicles of the special 'zinc containing' neurons in the forebrain

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(Hesse, 1979; Howell *et al.* 1984; Frederickson & Danscher, 1990; Frederickson *et al.* 2000) together with its function in biochemical processes like myelination and release of neurotransmitters like  $\gamma$ -amino butyric acid [GABA] (Ben-Ari & Cherubini, 1991) and glutamate, indicates that it may be a key modulator of neuronal excitability. There is also some evidence to suggest that zinc deficiency results in lowered levels of long  $\omega$ -3 and  $\omega$ -6 chains possibly causing impaired fatty acid metabolism in the neurons (Wauben *et al.* 1999). Moreover, it seems to be important for neurogenesis, neuronal migration and synaptogenesis and its deficiency could interfere with neurotransmission and subsequent neurophysiological development (Dvergsten, 1984; Colvin *et al.* 2000; Fredrickson *et al.* 2000). In addition, zinc is involved in the metabolism of thyroid hormones, receptor function and transport of other hormones that could influence the central nervous system (Morley *et al.* 1980).

### Animal studies

Rats have been used to study the effects of zinc deprivation during the prenatal period and infancy while the rhesus monkey model is well suited for evaluating deficiency in childhood and adolescence. Rats have a brief 2-week period between weaning and puberty whereas in monkeys it is 3 years. Therefore, the period from 18 to 45 months in monkeys is approximately equivalent to 6–16 years in humans. Furthermore, like humans they have an adolescent growth spurt during which micronutrient deficiency could be induced.

#### Critical period studies

Animal research has shown that severe maternal zinc deficiency in early pregnancy, a period of fetal organogenesis, results in impaired implantation, fetal resorption, abortions and fetal brain malformations (Hurley & Shrader, 1972; Dreosti & Smith, 1983).

Studies with a critical period design evaluated the effects of maternal zinc deprivation during periods of rapid fetal and infant brain growth. Zinc deficient diets were given to pregnant animals during the last third of gestation (14–20 days) or during lactation, a period which would not affect organogenesis but would be critical for brain growth. The experimental treatment was stopped after a certain period, the animals were rehabilitated with a normal zinc diet and the impact of zinc deprivation during periods of brain growth was examined on activity, attention and behavior later in the offspring after they had achieved sexual maturation. The control group was fed a zinc adequate diet *ad libitum*. Since the effects of zinc deprivation could be ascribed to reduced food intake and not just deficiency of zinc, another group of controls who were pair-fed with the same amount of food consumed by the zinc deprived group was included. These studies showed that nutritional insult during the critical period of cerebral growth did not get reversed with subsequent *ad libitum* feeding. The rehabilitated adult offspring exhibited poor performance of shock induced learning tasks (Lokken *et al.* 1973; Halas & Sandstead, 1975) and an enhanced shock motivated

aggression (Halas *et al.* 1977). The investigators attributed this behavior to increased emotionality and heightened susceptibility to stress.

Similarly adults rehabilitated after severe lactational zinc deprivation (0–20 days postnatal) showed poor performance of tasks designed to test long-term, short-term and working memory as compared to the controls (Halas *et al.* 1979; 1980; 1983). When mild to moderate zinc deficiency was induced from birth to weaning (0–20 days postnatal) by zinc deprivation of nursing animals, the rate of brain protein synthesis and the total brain lipids were found to be decreased in the pups. These animals displayed inferior learning and poor working memory following nutritional rehabilitation with zinc after weaning (Sandstead *et al.* 1975; Halas *et al.* 1980; Halas & Sandstead, 1982; Dreosti & Smith, 1983)

#### Concurrent period studies

Studies with a concurrent period design studied the effect on behavior and neuropsychological development of concurrently produced zinc deficiency in monkeys.

Severe zinc deprivation (<1  $\mu$ g of Zn per g diet) in nursing (before weaning) or juvenile (after weaning) monkeys resulted in changes in emotional behavior and cognitive function deficits respectively. The severely zinc deprived monkeys who had not been weaned were difficult to separate from their over protective mothers while the juvenile monkeys were unable to retain previously learned tasks and had difficulties in learning new problems (Strobel & Sandstead, 1984).

In a crossover trial, concurrent moderate zinc deficiency was induced over a short period of 15 weeks in juvenile monkeys (20–24 months) by giving a 2  $\mu$ g of Zn per g diet, thus simulating zinc deficiency in childhood. The zinc deprivation period was compared with periods of zinc adequate (50  $\mu$ g of Zn per g) diet that either preceded or followed it in two sub-groups. Spontaneous motor activity was decreased and performance on tasks of visual attention (assessed by continuous performance task) were inadequate during the zinc-deprived period (Table 1). Short-term memory tasks (delayed spatial alteration) were also affected in these animals.

Effects of long-term zinc deprivation in female monkeys (18–33 months of age) fed on a moderately zinc deficient diet (2  $\mu$ g of Zn per g diet) starting from the pre-puberty period and given through puberty were compared with controls (those given 50  $\mu$ g of zinc per g diet) (Golub *et al.* 1996). The zinc deprived group showed progressive decline in daytime activity and attention performance (measured by the continuous performance task). These differences were noted before the actual decline in growth spurt had started and the plasma zinc concentrations were reduced indicating the onset of zinc deficiency. The findings of this study suggest that the zinc deprived adolescents maybe more susceptible to behavioral changes before the onset of growth retardation.

In a differently designed series of animal studies Golub *et al.* (1984; 1985; 1988; 1990; 1994) examined cognitive functions at different stages of sexual maturation after producing continuous marginal zinc deprivation during the

Table 1.

**1. Critical period studies:** (Lokken *et al.* 1973; Halas & Sandstead, 1975; Halas *et al.* 1977; 1979; 1980; Halas & Sandstead, 1982)  
Impact of limited period zinc deprivation during periods of brain growth on offspring behavior after they had become adults

| Species | Deprivation      |                         | Outcome  |
|---------|------------------|-------------------------|--|
|         | Extent           | Time                    |  |
| Rats    | Severe           | 14–20 days of gestation | Poor avoidance response to shock, increased aggression |
| Rats    | Severe           | 0–21 days postnatal     | Poor memory  |
| Rats    | Mild to moderate | 0–21 days postnatal     | Inferior learning                                      |

**2. Concurrent period studies:** (Golub *et al.* 1994; 1995; Strobel & Sandstead, 1984)

Effects of short-term and chronic zinc deprivation on young monkeys (zinc-deprived *v.* zinc-adequate diet periods)

|         |                          |                     |  |
|---------|--------------------------|---------------------|--|
| Monkeys | Moderate for 15 wk       | Juvenile (20–24 mo) | Decrease in spontaneous motor activity<br>Decrease in performance on tasks of visual attention and short-term memory |
| Monkeys | Moderate through puberty | Juvenile (18–33 mo) | Decrease in day-time activity<br>Decrease in attention performance   |
| Monkeys | Severe                   | Nursing             | Difficulty in separating from mothers  |
| Monkeys | Severe                   | Juvenile            | Impaired learning  |

**3. Behavior assessment of zinc deprived monkeys during development:** (Golub *et al.* 1984; 1985; 1988; 1990; 1994)

Pregnant mothers fed marginally zinc deficient diet from conception and the offspring fed the same diet after weaning

| Age at evaluation          | Outcome                         |
|----------------------------|---------------------------------|
| Neonates                   | Lower postural muscle tone      |
| 1–12 months                | Less activity, less exploration |
| 30–42 months (adolescence) | Impaired learning and memory    |

period of rapid brain growth and then concurrently until adolescence. This experiment best simulates a situation that would be commonly seen in malnourished humans. The pregnant monkeys were fed a marginally zinc deficient diet from conception and the offspring were fed the same diet after weaning. The behavior assessment done at several stages of sexual maturation (infant, juvenile, and adolescent) showed general hypoactivity and reduced responses to the environment in young offspring. Effects on cognitive tasks like visual discrimination, learning and memory were more marked in the zinc-deprived adolescents (Table 1).

## Human studies

### Observational studies

Observational studies in humans to examine the association of maternal zinc nutrition on the fetus and neonates are very few (Table 2).

Micronutrient intake including that of bioavailable zinc of Egyptian mothers during the last 6 months of gestation was a significant positive predictor of newborns' habituation behavior assessed by the Brazelton Neonatal Behavior Assessment Scale (Brazelton, 1984) administered within 7 days of birth (Kirksey *et al.* 1991). More rapid habituation is interpreted as having more focused attention and is a good measure of the infants' early ability to process information. It is also said to predict a variety of cognitive indices in the pre-school period. Motor development evaluated by the Bayley's Scale of Infant Development (Bayley, 1969) applied on these infants at 6 months was negatively related to maternal intakes of plant zinc,

phytates and fibre (Kirksey *et al.* 1994). The results of these observational studies should be interpreted with caution as they may be confounded by deficiency of other micronutrients.

### Zinc supplementation studies

Because of a lack of definitive indicators for assessing zinc deficiency a causal association between zinc deficiency and development may be best inferred from supplementation trials.

Fetal heart rate [FHR] accelerations and increased heart rate variability observed with fetal movements and more vigorous fetal activity are an index of fetal well-being and reflect *in utero* development of different components of the nervous system (DiPietro *et al.* 1996a; DiPietro *et al.* 1996b). Furthermore, fetal neurobehavior at 36 weeks of gestation may predict subsequent infant temperament (DiPietro *et al.* 1996c). Meriardi *et al.* (1999) examined FHR and movement patterns at 32 and 36 weeks gestation in 55 fetuses whose mothers were randomly assigned to daily iron and folate supplementation with or without 15 mg of zinc through pregnancy (started at 10–24 weeks of gestation and continued until one week postpartum). All FHR recordings were divided into 3-minute epochs and each epoch was analyzed separately. The fetuses of zinc supplemented mothers had an increased fetal heart range (difference between the highest and the lowest values of the FHR), less minimal variability (< six beats per minute) and increased number of accelerations (increase in FHR above the basal ten beats per minute lasting for at least 15 seconds) than the fetuses of mothers not supplemented with

**Table 2.** Summary of observation and zinc supplementation studies in humans on cognitive development

| Study, no. & age of subjects                                   | Dose and duration of zinc  | Outcome in the zinc group  |
|--|--|--|
| <b>Observation studies</b>                                     |  |  |
| Kirksey <i>et al.</i> 1991; 1994<br>50 women                   | Zinc nutriture in last 6 months of pregnancy & first 6 mo of lactation.                          | Quicker habituation (Brazelton Neonatal Assessment Scale) in neonates. Low scores on Bayley's Motor Development Scale at 6 months with high intakes of phytates and fibre  |
| <b>Randomized controlled studies</b>                           |  |  |
| Merialdi <i>et al.</i> 1999<br>55 fetus'                       | 15 mg zinc/day<br>Through pregnancy (10–24 wk gestation – 1 wk postpartum)                       | Increased fetal heart range, number of FHR accelerations, minimal FHR variability & more vigorous fetal movements  |
| Friel <i>et al.</i> 1993<br>50 neonates, <1500 g (29 ± 3 wk)   | 11 mg zinc/l of formula v. 6.7 mg zinc/l of zinc; 6 mo   | Higher loco-motor development score (Griffiths Sub Scales)   |
| Ashworth <i>et al.</i> 1998<br>1500–2499 g, term, 134 neonates | 1 mg zinc/d v. placebo   | No difference in mental, psychomotor development or behavior. Highest ratings on all Behavior Scales (Bayley <i>et al.</i> and Wolke <i>et al.</i> ) at 12 months  |
|  | 71 neonates 5 mg of zinc/day with no concurrent controls<br>8 weeks                              |  |
| Sazawal <i>et al.</i> 1996<br>93 subjects, 12–23 months        | 10 mg zinc/day; 1 month  | Spent 72 % more time performing higher movement activities. Greater effect in boys.  |
| Bentley <i>et al.</i> 1997<br>85 subjects, 6–9 months          | 10 mg zinc/day; 7 months   | More time in sitting, playing than lying; less time in crying.   |
| Sandstead <i>et al.</i> 1998<br>740 subjects, 6–9 years        | 20 mg zinc/day v. 20 mg of zinc and other micronutrients v. other micronutrients alone; 10 weeks | Significant improvement in fine and gross motor skills, sustained attention and capacity for concept formation and abstract reasoning (Cognition Psychomotor Assessment System) in the group receiving zinc and other micronutrients |
| Cavan <i>et al.</i> 1993<br>162 subjects, 7–8 years            | 10 mg zinc/day; 25 weeks   | No difference on mental concentration or short term memory   |
| Gibson <i>et al.</i> 1989<br>60 subjects, 5–7 years            | 10 mg zinc/day; 12 mo  | No difference in average attention span scores   |

zinc. They also had more vigorous *in utero* activity as observed by increased larger movements. In addition, the differences associated with zinc supplementation increased with advancing gestational period. Although, this study supports some role of prenatal zinc supplementation in improving *in utero* neurobehaviour, the data needs to be interpreted with caution because of the small sample size and certain methodological limitations of the study. Further, the indices used for testing need to be validated (Table 2).

It is suggested that inadequate zinc intake may lead to poor developmental outcomes in very low birth weight neonates. They are likely to be deficient in zinc because of reduced zinc stores at birth. Friel *et al.* (1993) evaluated the effect of zinc supplementation in fifty-two infants (forty-one appropriate size for age and eleven small for gestational age) with birth weight <1500 g. The neonates were randomized at discharge to receive either a regular term formula supplemented to provide 11 mg/L of zinc or the same formula without additional zinc (final concentration of 6.7 mg zinc/L) for a period of 6 months. The supplemented group had higher plasma zinc levels at 1 and 3 months but not after the supplementation was stopped. While there were no significant differences in the overall developmental score on the Griffiths scale (Griffiths, 1979) applied at every 3 months from 3 to 12 months, the maximum locomotor development scores on the sub-scales were higher in the supplemented group (Table 2).

A study from Brazil (Ashworth *et al.* 1998) intended to examine the effect of supplementing 5 mg of zinc daily (except on Sundays) for a period of 8 weeks starting from birth, to term infants weighing 1500–2499 g on mental and behavioural development as compared to a placebo. After the first 13 months of the study, it was discovered that because of a mistake in the zinc formulation 66 babies had received 1 mg of zinc/day instead of 5 mg/day. The design was modified and another cohort of 71 low birth weight, term neonates were recruited over the next 7 months and were given 5 mg of zinc daily. There were no concurrent controls for this period. Mental and psychomotor development assessed by the Bayley Scales of Infant Development (Bayley, 1969) at 6 and 12 months did not show any significant differences between the three groups. At 12 months the infants' behavior was rated on five scales adapted from the rating scales of Bayley (1993) and Wolke *et al.* (1990). While there were no differences between the groups receiving either 1 mg zinc per day or a placebo the subjects supplemented with 5 mg zinc per day had the highest scores on all ratings. These infants were more responsive to the environment than the others and were less inhibited or fearful. However, since this group was not randomly allocated these results would compare with those of an observational study (Table 2).

Two trials, one in India and the other in Guatemala, studied the association between zinc deprivation and activity in malnourished children. Reduced activity inhibits exploration in children, which may contribute directly to diminished cognitive development. The study in India (Sazawal *et al.* 1996) found that children randomized to receive 10 mg/day of zinc gluconate in addition to the vitamins A, B1, B2, B6, D3, E and niacinamide spent 72 %

more time performing high movement activities like running. The effects were greater in boys and this could be because of extra zinc requirement in boys. The control group received a mixture of vitamins alone. Among the zinc supplemented group, the activity rating was 12 % and 8 % higher by a previously validated children's Activity Rating and the energy expenditure score (estimated energy cost of each category of activity) respectively.

Bentley *et al.* (1997) evaluated the effect of 10 mg of oral zinc given daily for 7 months in a randomized double blind placebo controlled study on the activity patterns of eighty-five Guatemalan infants. These children were younger and better nourished than the Indian children. The infant activity was assessed by the time sampling observation method at baseline and then at 3 and 7 months follow-up. The zinc-supplemented group was found to be sitting up more frequently than lying and playing more at 7 months of follow-up. These two studies suggest that zinc supplementation is associated with more vigorous and functional activity respectively. The exact relation between activity and cognitive development it is not clear and is possibly an intermediate step in the development of motor skills and improved cognitive performance.

Although data on zinc supplementation in school going children is limited there is some evidence that zinc status influences neuropsychological behavior (Sandstead *et al.* 1998). Preparations of zinc with or without other micronutrients or other micronutrients alone were administered double blind 6 days/week for 10 weeks to school going children aged 6–9 years (Table 2). The neuropsychological performance was tested by the Cognition–Psychomotor Assessment System – Revised (CPAS – R) developed by JG Penland (Penland *et al.* 1997). The testing was done over 50 minutes and CPAS software automated the scoring of the neuropsychological tasks. Visual motor tracking and finger tapping assessed eye–hand co-ordination and fine and gross motor skills respectively. Continuous performance task measured sustained attention while the oddity task assessed concept formation and abstract reasoning. Treatment with zinc and micronutrients was associated with significant improvement than with micronutrients alone in the following tasks; number of taps on a single key, percentage of time on the target during circular tracking, percentage of correct choices during a continuous vigilance task and the number of trials required to identify correct shapes. However, two earlier double blind placebo controlled studies conducted in the same age group did not report any beneficial effects of zinc supplementation. In Guatemala (Cavan *et al.* 1993), zinc supplementation of 10 mg/day given for 25 weeks did not improve mental concentration or short-term memory in low income school going children. Similarly, there was no difference in average attention span scores measured on the Detroit Tests of Learning Aptitude (Hammill, 1985) in the low to middle income Canadian children supplemented with 10 mg zinc/day for 12 weeks as compared to the placebo group (Gibson *et al.* 1989) (Table 2). The improvement in neuropsychological performance in the study by Sandstead *et al.* (1998) could be due to the correction of other latent micronutrient deficiencies which if present may not

allow the full beneficial effects of zinc supplementation to manifest themselves.

### Discussion

In sum, there is convincing evidence from animal research that zinc deficiency affects cognitive development by decreasing activity, increasing emotional behavior and impairing memory and the capacity to learn.

It may not always be possible to extrapolate findings from animal research to humans. In animals isolated zinc deficiency can be induced and consistent levels can be maintained over a defined period of time allowing evaluation of the direct causal relationship between zinc deficiency and cognitive functions under optimal conditions. In humans direct causal inferences may be limited by the presence of other deficiencies existing for an indeterminate period of time. As behavior is more complicated in humans, use of animal models may underestimate the effects of nutritional deficiencies. Furthermore, the rates of neuronal and biochemical development differ in humans.

Studies in humans have shown that low maternal zinc intakes are associated with less focused attention in neonates and poor motor activity in the infant at 6 months of age. Zinc supplementation trials have resulted in alteration in fetal neurobehavior, better motor development in very low birth weight infants, more vigorous and functional activity in malnourished infants and toddlers and improved neuropsychological functions in school age children.

Zinc deficiency affects cognitive development by alterations in attention, activity, other features of neuropsychological behavior and motor development. These effects vary by age and may be influenced by the care giving environment particularly the behaviour of the mother and the social context (Black, 1998). The different neuropsychological performances should be assessed with respect to the development expected for that age as in infants and pre-school children, measures of attention span and activity are influenced by motor development for that age while concept formation, development of abstract reasoning, visual perception and short-term memory are more critical for school going children.

Zinc is essential for brain structure and function but to understand the association between zinc and cognitive development it is important to determine the period most affected by zinc deficiency. It appears from animal studies that periods of brain growth and pre-adolescent growth spurt are most sensitive, however there is only limited data available from human studies. In addition, the threshold of severity for zinc deficiency that would influence cognitive performance is essential and would have major implications in developing country settings where mild to moderate zinc deficiency is common.

There is presently no data to show the long-term developmental importance of different activity patterns observed with zinc supplementation. It would be interesting to investigate if the changes in cognitive performance produced by zinc deprivation are irreversible.

More research is needed to examine the biological mechanisms that explain the role of zinc in cognitive

development. Zinc deficiency exists as part of overall malnutrition and in combination with other micronutrients. Additional studies are required to study effects of zinc supplementation on cognitive development in combination with other nutrients including micronutrients and to analyze the effects of zinc deprivation independent of moderators like the social and the care giving environment.

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